

## Birkhoff Theorem

Let  $f: \mathbb{R}^2 \rightarrow \mathbb{R}^2$  be a diffeomorphism of class  $C^1$

If it has a saddle point  $p$  and a transverse homoclinic point  $q$

then, in any neighbourhood of  $q$   $f$  has a periodic point

Proof

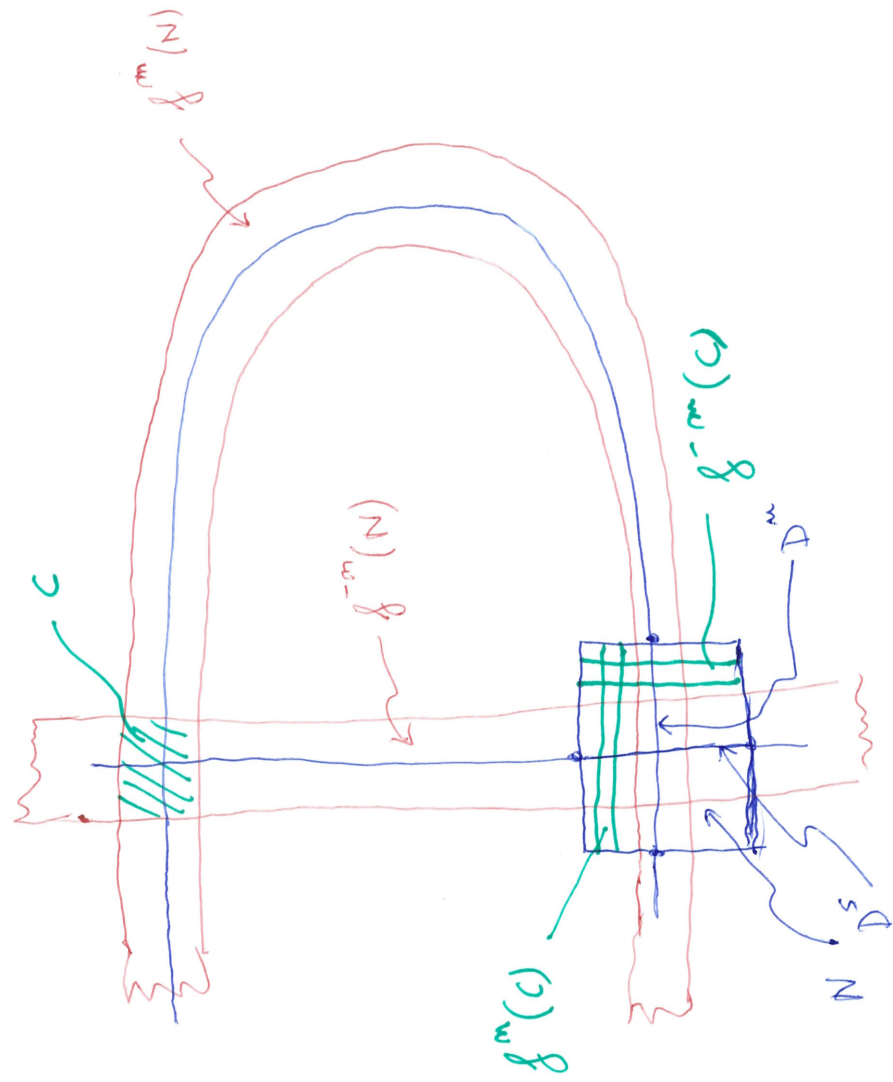
We assume  $p = 0$ .

(1)  $\exists$  coordinates such that close to  $p = 0$  the stable and unstable manifolds

are  $E^s$  and  $E^u$  respectively

Let  $D^u$  disc in  $E^u$  and  $D^s$  disc in  $E^s$  centered at 0.

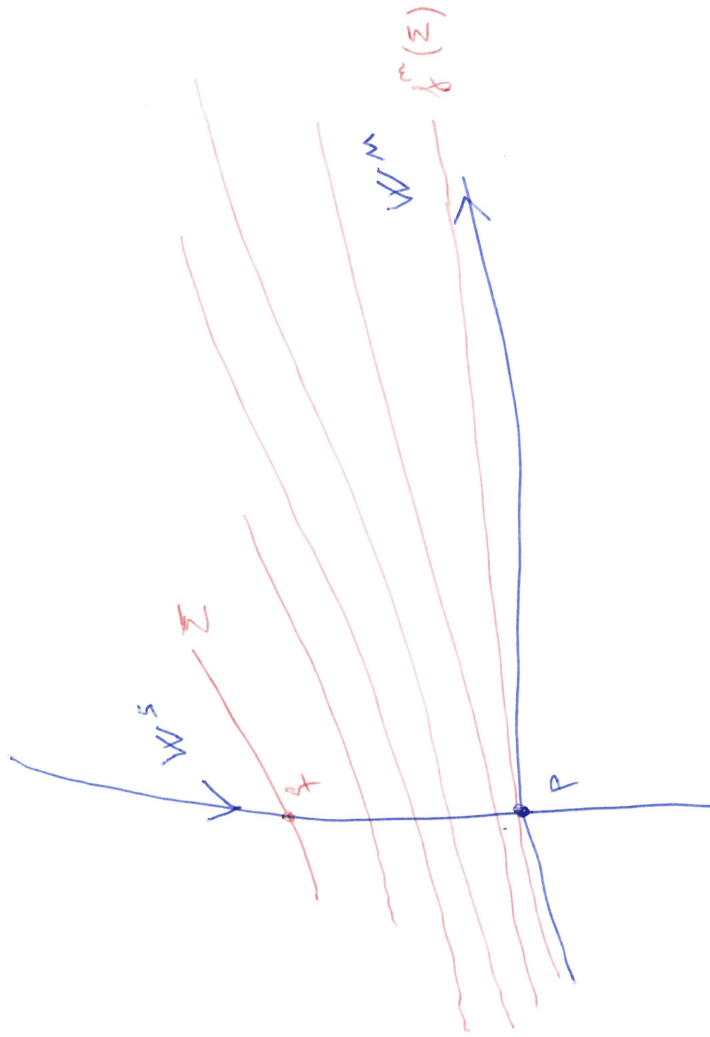
Let  $N = D^u \times D^s$



$N$  small  $\Rightarrow C$  small

(J. Palis)

$\lambda$ -lemma



$p$  hyperbolic point

$\Sigma$  transversal to  $W^s$  at  $q \neq p$

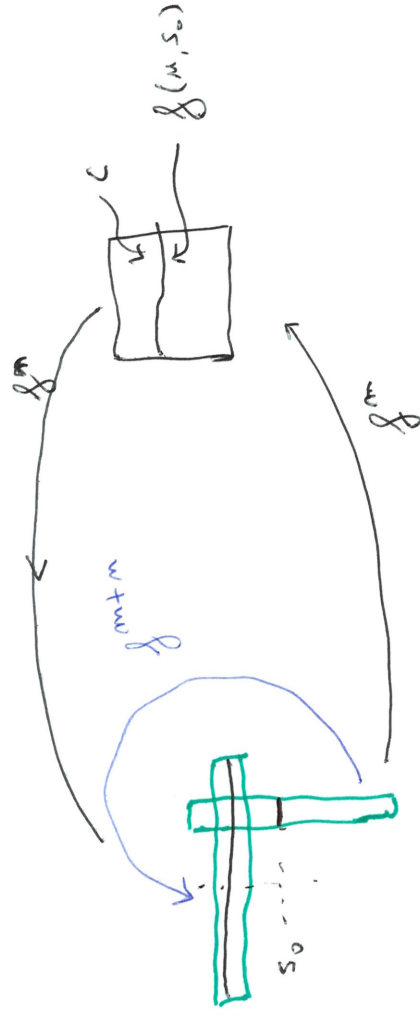
$f^m(\Sigma)$  converge to  $W^u$  in the

$C^1$ -topology

We define  $g_1: f^{-m}(c) \rightarrow N$ ,  $g_1(u, s) = (\pi_1 f^{m+m}(u, s), s)$   
 $g_2: f^m(c) \rightarrow N$ ,  $g_2(u, s) = (u, \pi_2 f^{-m-m}(u, s))$

Claim  $g_1, g_2$  are bijections and homeomorphisms

Proof Let  $(u_0, s_0) \in N$ . The condition  $g_1(u, s) = (u_0, s_0)$  implies  $s = s_0$  and  $\pi_1 f^{m+m}(u, s_0) = u_0$



$\exists! u$  such that  $\pi_1 f^{m+m}(u, s_0) = u_0$ . Then  $g_1$  is bijective

Since  $N$  is compact,  $g_1$  is continuous and bijective then  $g_1$  is homeomorphism. Analogously for  $g_2$ .

We define  $h: N \rightarrow N$  by

$$h(u, s) = (\pi_1 g_1^{-1}(u, s), \pi_2 g_2^{-1}(u, s))$$

$\uparrow \quad \uparrow$   
 $\in D^m \quad \in D^s$

$h$  is continuous

By our theorem  $\Rightarrow h$  has a fixed point  $(u_0, s_0)$

Claim  $(u_0, s_0)$  is a fixed point for  $g_1$  and  $g_2$

Proof

$$h(u_0, s_0) = (\pi_1 g_1^{-1}(u_0, s_0), \pi_2 g_2^{-1}(u_0, s_0)) \Rightarrow \pi_1 g_1^{-1}(u_0, s_0) = u_0$$

From the definition of  $g_1$ ,  $\pi_2 g_1^{-1}(u_0, s_0) = s_0$

$$\Rightarrow g_1^{-1}(u_0, s_0) = (u_0, s_0) \Rightarrow g_1(u_0, s_0) = (u_0, s_0).$$

Analogously for  $g_2$

Claim

$$f^{m+n} = g_2^{-1} \circ g_1$$

Proof We will prove that  $g_2(f^{m+n}(u, s)) = g_1(u, s)$ .

$$\begin{aligned} g_2(f^{m+n}(u, s)) &= g_2(\pi_1 f^{m+n}(u, s), \pi_2 f^{m+n}(u, s)) \\ &= (\underbrace{\pi_1 f^{m+n}(u, s)}_{\pi_1 g_1(u, s)}, \underbrace{\pi_2 g_2(\pi_1 f^{m+n}(u, s), \pi_2 f^{m+n}(u, s))}_{\pi_2 f^{-m-n}(f^{m+n}(u, s))}) \\ &= \underbrace{\pi_2(u, s)}_S = \pi_2 g_1(u, s) \quad \square \\ &= g_1(u, s) \end{aligned}$$

Final

$(u_0, s_0)$  is fixed point of  $f^{m+n}$ . Since  $(u_0, s_0) \in N$ ,  $f^m(u_0, s_0) \in C$