

# Homework 1

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# 1 Exercise 3

Prove the following useful fact about substrings. An arbitrary string  $x$  is a substring of another arbitrary string  $w = u \bullet v$  if and only if at least one of the following conditions holds:

- $x$  is a substring of  $u$ .
- $x$  is a substring of  $v$
- $x = yz$  where  $y$  is a suffix of  $u$  and  $z$  is a prefix of  $v$ .

## 1.1 Proof

$\Rightarrow$  Let  $x$  be an arbitrary string, substring from other arbitrary string  $w = u \bullet v$ . Let's see that one of the three conditions described above holds. As  $x$  is a substring of  $w$ , there are strings  $r, s$  such that:

$$w = rxs$$

But, by definition,  $w = uv$ , then

$$rxs = uv$$

So, we have 3 possibilities for  $rxs$ ;

1.  $|r| \geq |u|$ . Then,  $|xs| \leq |v|$ , which means that  $x$  and  $s$  are substrings of  $v$ .
2.  $|s| \geq |v|$ . Then,  $|rx| \leq |u|$ , which means that  $r$  and  $x$  are substrings of  $u$ .
3.  $|s| < |v|$  and  $|r| < |u|$ . Then, (assuming  $x$  non-empty),  $s$  must be a proper suffix of  $v$  and  $r$  must be a proper prefix of  $u$ . Besides, by the strict inequality and the position of  $r$  and  $s$ , which are at the ends of the string, we have a remaining space in which  $x$  is located, the string that connects them ( $u$  and  $v$ ). In other words,  $x$  is part of  $u$  and  $v$ .

Let  $x = yz$  where  $y$  is the part of  $x$  in  $u$  and  $z$  the part in  $v$ . As  $x$  is a string, substring of  $w$ ,  $y$  will be suffix of  $u$  and  $z$  prefix of  $v$  because  $x$  is at the part that concatenates  $u$  with  $v$ .

$\Leftarrow$  Let  $x$  be an arbitrary string, that holds one of the conditions described above. Let's see that  $x$  is a substring of the string  $w = uv$

1. **CASE 1:**  $x$  is a substring of  $u$ .

As  $x$  is a substring of  $u$ , there are strings  $r, s$  such that  $u = rxs$ . This in  $w$  is

$$w = rxsuv$$

It is clear then, that  $x$  is a substring of  $w$

2. **CASE 2:**  $x$  is a substring of  $v$ .

As  $x$  is a substring of  $v$ , there are strings  $r, s$  such that  $v = rxs$ . This in  $w$  is

$$w = urxs$$

It is clear then, that  $x$  is a substring of  $w$

3. **CASE 3:**  $x = yz$  where  $y$  is a suffix of  $u$  and  $z$  is a prefix of  $v$ .

As  $y$  is a suffix of  $u$ , there is a string  $r$  such that

$$u = ry$$

As  $z$  is a prefix of  $v$ , there is a string  $s$  such that

$$v = zs$$

Then,  $w$  can be written as the concatenation of  $u, v$ , i.e.

$$w = uv = (ry)(zs)$$

By the associativity property we have  $w = r(yz)s$ , which by hypothesis is  $W = rxs$ . So,  $x$  is a substring of  $w$

## 2 Exercise 7

For any string  $w$  and any non-negative integer  $n$ , let  $\mathbf{w}^n$  denote the string obtained by concatenating  $n$  copies of  $w$ ; more formally, we define

$$w^n := \begin{cases} \varepsilon & \text{if } n = 0 \\ w \bullet w^{n-1} & \text{otherwise} \end{cases}$$

For example,  $(\textcolor{red}{BLAH})^5 = \textcolor{red}{BLAHBLAHBLAHBLAHBLAH}$  and  $\varepsilon^{374} = \varepsilon$ .

- a) Prove that  $w^m \bullet w^n = w^{m+n}$  for every string  $w$  and all non-negative integers  $n$  and  $m$ .
- b) Prove that  $\#(a, w^n) = n * \#(a, w)$  for every string  $w$ , every symbol  $a$ , and every non-negative integer  $n$ .
- c) Prove that  $(w^R)^n = (w^n)^R$  for every string  $w$  and every non-negative integer  $n$ .
- d) Prove that for all strings  $x$  and  $y$  that if  $x \bullet y = y \bullet x$ , then  $x = w^m$  and  $y = w^n$  for some string  $w$  and some non-negative integers  $m$  and  $n$ . *[Hint: Careful with  $\varepsilon$ !]*

## 2.a Proof

By induction over  $m$ . Let  $m, n \geq 0$

**Base Case:** Let  $m = 0$ .

$$w^m \bullet w^n = w^0 \bullet w^n = \varepsilon \cdot w^n = w^n = w^{0+n} = w^{m+n}$$

**Inductive Hypothesis (IH):** Assume that for every string  $w$  and all non-negative integers  $n$  and  $m$

$$w^m \bullet w^n = w^{m+n}$$

**Inductive Step:** Let's see the  $m+1$  case, i.e. let's see what is  $w^{m+1} \bullet w^n$

$$w^{m+1} = w \bullet w^{m+1-1} = w \bullet w^m \quad (\text{By definition})$$

$$\begin{aligned} w^{m+1} \bullet w^n &= (w \bullet w^m) \bullet w^n \\ &= w \bullet (w^m \bullet w^n) \quad (\text{By associative property}) \\ &= w \bullet w^{m+n} \quad (\text{By IH}) \\ &= w^{m+n+1} \quad (\text{By definition}) \end{aligned}$$

Hence, for every string  $w$  and all non-negative integers  $n$  and  $m$ :  $w^m \bullet w^n = w^{m+n}$ , completing the inductive step.

We conclude that for all strings, the property holds with the conditions given. This completes the proof.

## 2.b Proof

By induction over  $n$ . Let  $n \geq 0$

**Base Case:** Let  $n = 0$ .

$$\#(a, w^0) = \#(a, \varepsilon) \quad (\text{By definition})$$

As the function  $\#$  denotes the number of occurrences of  $a$  in  $w$ , we know that the number of occurrences of  $a$  in the empty string is 0. Hence

$$\#(a, w^0) = \#(a, \varepsilon) = 0 = 0 * \#(a, w)$$

**Inductive Hypothesis (IH):** Assume that for every string  $w$ , every symbol  $a$  and every positive integer  $n$

$$\#(a, w^n) = n * \#(a, w)$$

**Inductive Step:** Let's see the  $n+1$  case, i.e. let's see what is  $\#(a, w^{n+1})$

$$\begin{aligned} \#(a, w^{n+1}) &= \#(a, w \bullet w^n) \quad (\text{By definition}) \\ &= \#(a, w) + \#(a, w^n) \quad (\text{By 5.b}) \\ &= \#(a, w) + n * \#(a, w) \quad (\text{By IH}) \\ &= (1 + n) * \#(a, w) \quad (\text{Factoring}) \\ &= (n + 1) * \#(a, w) \end{aligned}$$

Hence, for every string  $w$ , every symbol  $a$  and every positive integer  $n$ :  $\#(a, w^n) = n * \#(a, w)$ , completing the inductive step.

We conclude that for all strings, the property holds with the conditions given. This completes the proof.

## 2.c Proof

By induction over  $n$ . Let  $n \geq 0$

**Base Case:** Let  $n = 0$ .

$$(w^R)^0 = \varepsilon = \varepsilon^R = (w^0)^R$$

**Inductive Hypothesis (IH):** Assume that for every string  $w$  and every non-negative integer  $n$

$$(w^R)^n = (w^n)^R$$

**Inductive Step:** Let's see the  $n + 1$  case, i.e. let's see what is  $(w^R)^{n+1}$

$$\begin{aligned}(w^R)^{n+1} &= w^R \bullet (w^R)^n \quad (\text{By definition}) \\ &= w^R \bullet (w^n)^R \quad (\text{By IH}) \\ &= (w^n \bullet w)^R \\ &= (w^{n+1})^R\end{aligned}$$

Hence, for every string  $w$  and every non-negative integer  $n$ :  $(w^R)^n = (w^n)^R$ , completing the inductive step.

We conclude that for all strings, the property holds with the conditions given. This completes the proof.

## 2.d Proof

By induction over  $|x \bullet y|$ .

**Base Case:** Let  $|x \bullet y| = 0$ . Then,  $|x| = 0$  and  $|y| = 0$  In other words,  $|x|$  and  $|y|$  are empty strings. As by definition,  $w^0 = \varepsilon$ , where  $w$  is any string, at this case, let  $w$  an arbitrary string,  $n = m = 0$ . With this election, we can express  $x$  and  $y$  as follows.

$$\begin{aligned}x &= \varepsilon = w^0 = w^m \\ y &= \varepsilon = w^0 = w^n\end{aligned}$$

At other election, let  $w = \varepsilon$  and  $m, n \in \mathbb{Z}$  such that  $m, n \geq 0$ , so we can express  $x$  and  $y$  as follows.

$$\begin{aligned}x &= \varepsilon = \varepsilon^m = w^m \\ y &= \varepsilon = \varepsilon^n = w^n\end{aligned}$$

**Inductive Hypothesis (IH):** Assume that for all strings  $u$  and  $v$  such that  $|u \bullet v| < |x \bullet y|$ ,  $u \bullet v = v \bullet u$  implies that exists string  $w$  and non-negative integers  $m$  and  $n$  such that

$$\begin{aligned}u &= w^m \\ v &= w^n\end{aligned}$$

**Inductive Step:** Let's see the case of the  $x \bullet y$  string. As

$$x \bullet y = y \bullet x \tag{1}$$

We have three options:

- $|x| = |y|$ , then  $x = y$  and with  $w = x$ ,  $n = m = 1$ , we have

$$x = x^1 = w^1 = w^m$$

$$y = x = w = w^1 = w^n$$

- $|x| < |y|$ , then  $x$  is a proper prefix of  $y$

$$y = x \bullet u$$

With  $u$  a string. Replacing at (1)

$$x \bullet y = x \bullet u \bullet x$$

$$y = u \bullet x$$

$$\therefore x \bullet u = u \bullet x$$

As  $|x \bullet u| < |x \bullet y|$  and as  $x \bullet u = u \bullet x$ , by IH there exists string  $w$  and integers  $m, n$  such that  $x = w^m$ ,  $u = w^n$

$$y = u \bullet x = w^n \bullet w^m = w^{n+m}$$

Let  $k = n + m$ , then exists string  $w$  and non-negative integers  $m, k$  such that if  $x \bullet y = y \bullet x$ , then  $x = w^m$ ,  $y = w^k$

- $|x| > |y|$ , then  $y$  is a proper prefix of  $x$

$$x = y \bullet u$$

With  $u$  a string. Replacing at (1)

$$y \bullet u \bullet y = y \bullet x$$

$$u \bullet y = x$$

$$\therefore y \bullet u = u \bullet y$$

As  $|y \bullet u| < |x \bullet y|$  and as  $y \bullet u = u \bullet y$ , by IH there exists string  $w$  and integers  $m, n$  such that  $y = w^m$ ,  $u = w^n$

$$x = y \bullet u = w^m \bullet w^n = w^{m+n}$$

Let  $k = m + n$ , then exists string  $w$  and non-negative integers  $m, k$  such that if  $x \bullet y = y \bullet x$ , then  $x = w^k$ ,  $y = w^m$

Hence, for all string  $x$  and  $y$ , if  $x \bullet y = y \bullet x$ , then  $x = w^m$  and  $y = w^n$  for some string  $w$  and some non-negative integers  $m$  and  $n$ , completing the inductive step.

We conclude that for all strings  $x$  and  $y$ , the property holds with the conditions given. This completes the proof.

### 3 Exercise 12

Consider the following recursively defined function:

$$\text{merge}(x, y) := \begin{cases} y & \text{if } x = \varepsilon \\ x & \text{if } y = \varepsilon \\ 0 \cdot \text{merge}(w, y) & \text{if } x = 0w \\ 0 \cdot \text{merge}(x, z) & \text{if } y = 0z \\ 1 \cdot \text{merge}(w, y) & \text{if } x = 1w \text{ and } y = 1z \end{cases}$$

For example:

$$\text{merge}(10, 10) = 1010$$

$$\text{merge}(10, 010) = 01010$$

$$\text{merge}(010, 0001100) = 0000101100$$

- a) Prove that  $\text{merge}(x, y) \in 0^*1^*$  for all strings  $x, y \in 0^*1^*$ . (The regular expression  $0^*1^*$  is shorthand for the language  $\{0^a1^b \mid a, b \geq 0\}$ .)
- b) Prove that  $\text{sort}(x \bullet y) = \text{merge}(\text{sort}(x), \text{sort}(y))$  for all strings  $x, y \in \{0, 1\}^*$ .

#### 3.a Proof

Induction over  $a, b$ , quantity of 0s in the string.

Let  $x = 0^a1^c, y = 0^b1^d$  con  $a, b, c, d \in \mathbb{Z}$ . As so,  $x, y \in 0^*1^*$

**Base Case:** Let  $a, b = 0$ . Then,

$$x = 1^c, y = 1^d$$

This leads to

$$\text{merge}(x, y) = \text{merge}(1^c, 1^d)$$

And so, it is clear that, for every instance of the merge function, the following



is true;

$$\begin{aligned}
\text{merge}(1^c, 1^d) &= 1 \cdot \text{merge}(1^{c-1}, 1^d) \\
&= 11 \bullet \text{merge}(1^{c-2}, 1^d) \\
&\vdots \\
&= 1^{c-1} \bullet \text{merge}(1, 1^d) \\
&= 1^c \bullet \text{merge}(\varepsilon, 1^d) \\
&= 1^c \bullet 1^d \in 0^*1^*
\end{aligned}$$

And so, it holds true.

**Inductive Hypothesis (IH):** Suppose that this holds true for  $a = n$ ,  $b = m$ . That is, that for two strings  $w = 0^n 1^p$ ,  $z = 0^m 1^q$  it is true that  $\text{merge}(w, z) \in 0^*1^*$

**Inductive Step:** Let  $x = 0^{n+1} 1^p$ ,  $y = 0^{m+1} 1^q$ . We want to prove that, if  $w = 0^n 1^p$ ,  $z = 0^m 1^q \rightarrow \text{merge}(w, z) \in 0^*1^*$ , then  $\text{merge}(x, y) \in 0^*1^*$ .

$$\begin{aligned}
\text{merge}(x, y) &= \text{merge}(0^{n+1} 1^p, 0^{m+1} 1^q) \\
&= 0 \cdot \text{merge}(0^n 1^p, 0^{m+1} 1^q) \\
&= 00 \bullet \text{merge}(0^n 1^p, 0^m 1^q)
\end{aligned}$$

By induction hypothesis,  $\text{merge}(0^n 1^p, 0^m 1^q) \in 0^*1^*$ , and it's clear that

$$00 \bullet \text{merge}(0^n 1^p, 0^m 1^q) \in 0^*1^*$$

Finally proving, by induction, that the property is true.

### 3.b Proof

Induction over the quantity of 0s in  $x$

**Base Case:** Let  $x = \varepsilon$ . Then,

$$\begin{aligned}
\text{sort}(x \bullet y) &= \text{sort}(\varepsilon \bullet y) \\
&= \text{sort}(y)
\end{aligned}$$

And,

$$\begin{aligned}
\text{merge}(\text{sort}(x), \text{sort}(y)) &= \text{merge}(\text{sort}(\varepsilon), \text{sort}(y)) \\
&= \text{merge}(\varepsilon, \text{sort}(y)) \\
&= \text{sort}(y)
\end{aligned}$$

It then holds true.

**Inductive Hypothesis (IH):** Suppose that it holds true for strings  $x, y \in \{0, 1\}^*$  that,

$$\text{sort}(x \bullet y) = \text{merge}(\text{sort}(x), \text{sort}(y))$$

And  $\text{sort}(x \bullet y) = 0^{m+n}1^{p+q}$ , where  $m = \#(0, x)$ ,  $n = \#(0, y)$ ,  $p = \#(1, x)$ ,  $q = \#(1, y)$ .

**Inductive Step:** We want to prove that for string  $w, y \in \{0, 1\}^*$ , with  $w = ax$ ;  $a \in \{0, 1\}$ , if  $\text{sort}(x \bullet y) = \text{merge}(\text{sort}(x), \text{sort}(y))$ , then  $\text{sort}(w \bullet y) = \text{merge}(\text{sort}(w), \text{sort}(y))$ .

First, it is clear that  $\text{sort}(w \bullet y) \in 0^*1^*$  (check previous exercise). More specifically,

I. If  $a = 0$ :

Then, with  $m = \#(0, x)$ ,  $n = \#(0, y)$ ,  $p = \#(1, x)$ ,  $q = \#(1, y)$ ,

$$\begin{aligned} \text{sort}(w \bullet y) &= \text{sort}(0x, y) \\ &= 0 \cdot \text{sort}(x, y) \\ &= 0 \cdot 0^{m+n}1^{p+q} \\ &= 0^{m+n+1}1^{p+q} \end{aligned}$$

And, on the other side,

$$\begin{aligned} \text{merge}(\text{sort}(w), \text{sort}(y)) &= \text{merge}(0^{m+1}1^p, 0^n1^q) \\ &= 0 \cdot \text{merge}(0^m1^p, 0^n1^q) \\ &= 0 \cdot \text{merge}(\text{sort}(x), \text{sort}(y)) \\ &= 0 \cdot \text{sort}(x \bullet y) \\ &= 0 \cdot 0^{m+n}1^{p+q} \\ &= 0^{m+n+1}1^{p+q} \end{aligned}$$

It holds true.

II. If  $a = 1$ :

Then, with  $m = \#(0, x)$ ,  $n = \#(0, y)$ ,  $p = \#(1, x)$ ,  $q = \#(1, y)$ ,

$$\begin{aligned} \text{sort}(w \bullet y) &= \text{sort}(1x, y) \\ &= \text{sort}(x, y) \bullet 1 \\ &= 0^{m+n}1^{p+q} \bullet 1 \\ &= 0^{m+n}1^{p+q+1} \end{aligned}$$

And, on the other side,

$$\begin{aligned}
\text{merge}(\text{sort}(w), \text{sort}(y)) &= \text{merge}(0^m 1^{p+1}, 0^n 1^q) \\
&= 0 \cdot \text{merge}(0^{m-1} 1^{p+1}, 0^n 1^q) \\
&= 0^2 \bullet \text{merge}(0^{m-1} 1^{p+1}, 0^{n-1} 1^q) \\
&= 0^3 \bullet \text{merge}(0^{m-2} 1^{p+1}, 0^{n-1} 1^q) \\
&\vdots \\
&= 0^{m+n} \bullet \text{merge}(1^{p+1}, 1^q) \\
(\text{From}(a)) \rightarrow &= 0^{m+n} 1^{p+q+1}
\end{aligned}$$

It holds true.

In any case, the equation holds true.

## 4 Exercise 18

Consider the following recursively defined function

$$\text{slog}(w) = \begin{cases} \varepsilon & \text{if } w = \varepsilon \\ a \cdot \text{slog}(\text{evens}(w)) & \text{if } w = ax \end{cases}$$

Prove that  $|\text{slog}(w)| = \lceil \log_2(|w| + 1) \rceil$  for every string  $w$

### 4.a Proof

Induction over the length of the string  $w$

**Base Case:** Let  $w = \varepsilon$ . As so,  $\text{slog}(w) = \varepsilon$  and  $|w| = |\text{slog}(w)| = 0$

$$\begin{aligned}
\lceil \log_2(|w| + 1) \rceil &= \lceil \log_2(0 + 1) \rceil \\
&= \lceil \log_2(1) \rceil \\
&= 0
\end{aligned}$$

It holds true.

**Inductive Hypothesis (IH):** Suppose any string  $x$  for which  $|\text{slog}(x)| = \lceil \log_2(|x| + 1) \rceil$

**Inductive Step:** We want to prove that it holds true for a string  $w = ax$ . That is, that  $|\text{slog}(w)| = \lceil \log_2(|w| + 1) \rceil$ .

First, let  $y = \text{evens}(w)$ . As so,  $|y| = |\text{evens}(w)| = \left\lfloor \frac{|w|}{2} \right\rfloor$

$$\begin{aligned} |\text{slog}(w)| &= |\text{slog}(ax)| \\ &= |a \cdot \text{slog}(\text{evens}(ax))| \\ &= 1 + |\text{slog}(\text{evens}(ax))| \end{aligned}$$

I. If  $w = a$ :

$$\begin{aligned} &= 1 + |\text{slog}(\text{evens}(a))| \\ &= 1 + |\text{slog}(\text{odds}(\varepsilon))| \\ &= 1 + |\text{slog}(\varepsilon)| \\ &= 1 \end{aligned}$$

On the other hand,

$$\begin{aligned} \lceil \log_2(|w| + 1) \rceil &= \lceil \log_2(1 + 1) \rceil \\ &= \lceil \log_2(2) \rceil \\ &= \lceil \log_2 2 \rceil \\ &= 1 \end{aligned}$$

II. If  $|w|$  is even:  $|y| = |\text{evens}(w)| = \left\lfloor \frac{|w|}{2} \right\rfloor = \left\lceil \frac{|w|-1}{2} \right\rceil$ , since  $|w|$  is an integer. As  $|y| < |w|$ , induction hypothesis works for  $y$ .

$$\begin{aligned} &= 1 + |\text{slog}(\text{evens}(w))| \\ &= 1 + |\text{slog}(y)| \\ &= 1 + \lceil \log_2(|y| + 1) \rceil \\ &= 1 + \left\lceil \log_2 \left( \left\lceil \frac{|w|-1}{2} \right\rceil + 1 \right) \right\rceil \\ &= 1 + \left\lceil \log_2 \left( \left\lceil \frac{|w|-1}{2} + 1 \right\rceil \right) \right\rceil \\ &= 1 + \left\lceil \log_2 \left( \left\lceil \frac{|w|+1}{2} \right\rceil \right) \right\rceil \\ &= 1 + \left\lceil \log_2 \left( \frac{|w|+1}{2} \right) \right\rceil \\ &= 1 + \lceil \log_2(|w| + 1) - 1 \rceil \\ &= \lceil \log_2(|w| + 1) \rceil \end{aligned}$$

It holds true!

III. If  $|w|$  is odd:  $|y| = |evens(w)| = \left\lfloor \frac{|w|}{2} \right\rfloor = \frac{|w|-1}{2}$ , since  $|w|$  is an odd integer. As  $|y| < |w|$ , induction hypothesis works for  $y$ .

$$\begin{aligned}
&= 1 + |slog(evens(w))| \\
&= 1 + |slog(y)| \\
&= 1 + \lceil \log_2(|y| + 1) \rceil \\
&= 1 + \left\lceil \log_2 \left( \frac{|w| - 1}{2} + 1 \right) \right\rceil \\
&= 1 + \left\lceil \log_2 \left( \frac{|w| + 1}{2} \right) \right\rceil \\
&= 1 + \lceil \log_2(|w| + 1) - 1 \rceil \\
&= \lceil \log_2(|w| + 1) \rceil
\end{aligned}$$

It holds true!

In any case, the equation holds true.

## 5 Exercise 21

Recursively define a set  $L$  of strings over the alphabet  $\{0, 1\}$  as follows:

- The empty string  $\varepsilon$  is in  $L$ .
- For any two strings  $x$  and  $y$  in  $L$ , the string  $0x1y$  is also in  $L$ .
- For any two strings  $x$  and  $y$  in  $L$ , the string  $1x0y$  is also in  $L$ .
- These are the only strings in  $L$ .

- a) Prove that the string  $01000110111001$  is in  $L$ .
- b) Prove by induction that every string in  $L$  has exactly the same number of  $0$ s and  $1$ s. (You may assume the identity  $\#(a, xy) = \#(a, x) + \#(a, y)$  for any symbol  $a$  and any strings  $x$  and  $y$ )
- c) Prove by induction that  $L$  contains every string with the same number of  $0$ s and  $1$ s.

## 5.a Proof

Given the string  $w = 01000110111001$ , we can decompose it as follows:

$$w = 0 \cdot (1000) \cdot 1 \cdot (10111001)$$

Let  $x = 1000$  and  $y = 10111001$ , so  $w = 0x1y$ .

Further decompose  $x$  and  $y$ :  $x = 1 \cdot u \cdot 0 \cdot v$  with  $u = 0$  and  $v = 0$ , and  $y = 1 \cdot t \cdot 0 \cdot s$  with  $t = 0111$  and  $s = 01$ .

Decompose  $t$  and  $s$  even further:  $t = 0 \cdot j \cdot 1 \cdot k$  with  $j = 1$  and  $k = 1$ , and  $s = 1 \cdot a \cdot 0 \cdot b$  with  $a = \varepsilon$  and  $b = \varepsilon$ .

Since each of these components can be generated using the given rules of  $L$ ,  $w$  can be represented as a concatenation of such components, showing that  $w$  belongs to  $L$ .

## 5.b Proof

### Base Case:

Let  $w = \varepsilon$  (empty string). In this case,  $w$  has no 0s and no 1s, and hence it satisfies the property that it has the same number of 0s and 1s. Additionally,  $w$  is in  $L$  by definition.

### Inductive Hypothesis (IH):

Assume that for any two strings  $x$  and  $y$  in  $L$ , both  $x$  and  $y$  have the same number of 0s and 1s.

### Inductive Step:

We want to prove that for any two strings  $x$  and  $y$  in  $L$ , the strings  $0x1y$  and  $1x0y$  have the same number of 0s and 1s.

Consider the string  $0x1y$ . Using the identity in Exercise 5, it follows:

$$\begin{aligned} \#(0, 0x1y) &= \#(0, 0) + \#(0, x) + \#(0, 1) + \#(0, y) \\ &= 1 + \#(0, x) + 0 + \#(0, y) \\ &= 1 + \#(0, x) + \#(0, y) \end{aligned}$$

Similarly, we have:

$$\begin{aligned} \#(1, 0x1y) &= \#(1, 0) + \#(1, x) + \#(1, 1) + \#(1, y) \\ &= 0 + \#(1, x) + 1 + \#(1, y) \end{aligned}$$

$$= 1 + \#(1, x) + \#(1, y)$$

Using the Inductive Hypothesis, then  $\#(1, x) = \#(0, x)$  and  $\#(1, y) = \#(0, y)$ , thus showing that  $\#(1, 0x1y) = \#(0, 0x1y)$ .

Similarly, for the string  $1x0y$ :

$$\begin{aligned} \#(0, 1x0y) &= \#(0, 1) + \#(0, x) + \#(0, 0) + \#(0, y) \\ &= 1 + \#(0, x) + 1 + \#(0, y) \\ &= 1 + \#(0, x) + \#(0, y) \end{aligned}$$

$$\begin{aligned} \#(1, 1x0y) &= \#(1, 1) + \#(1, x) + \#(1, 0) + \#(1, y) \\ &= 1 + \#(1, x) + 0 + \#(1, y) \\ &= 1 + \#(1, x) + \#(1, y) \end{aligned}$$

Again using the IH,  $\#(1, x) = \#(0, x)$  and  $\#(1, y) = \#(0, y)$ , thus showing that  $\#(1, 1x0y) = \#(0, 1x0y)$ .

Hence, for any strings  $x$  and  $y$  in  $L$ , the strings  $0x1y$  and  $1x0y$  have the same number of 0s and 1s, completing the inductive step.

We conclude that for all strings in  $L$ , the property holds that they have the same number of 0s and 1s. This completes the proof.

## 5.c Proof

Let's prove this by induction over the length of a string  $w$  such that  $\#(0, w) = \#(1, w)$ .

**Base Case:** For  $w = \varepsilon$ , then  $\#(0, w) = \#(1, w) = 0$ . Thus, it has the same number of 0s and 1s and by definition  $L$  contains  $w$ .

**Inductive Hypothesis (IH):**

Suppose that for any string  $x$  such that  $|x| < |w|$ , the number of 0s and 1s is the same and it belongs to  $L$ .

**Inductive Step:**

Let's consider  $p$  such that  $p$  is the smallest prefix of  $w$  and  $\#(0, p) = \#(1, p)$ . We can say that  $p$  exists because if we consider  $D = \{ |d| \mid d \text{ is a prefix of } w \text{ and } \#(0, d) = \#(1, d) \}$ . We know that  $D \neq \emptyset$  because  $|w| \in D$  as  $w$  is a prefix of itself and  $\#(0, w) = \#(1, w)$  by IH. Thus  $w \in D$ . Now, by the well ordering principle we know that  $|p|$  exists, hence  $p$  exists.

Thus, we can write  $w = p \bullet v$ . We can consider 3 cases for  $w$ :

1.  $|p| < |w|$  and  $v \neq \varepsilon$

Now,  $|w| = |p| + |v|$ . Then as  $|p| < |w|$  and  $|v| < |w|$ . Also it is clear that  $\#(1, p) = \#(0, p)$  and  $\#(1, v) = \#(0, v)$ . Then  $p$  and  $v$  belong to  $L$ .

We can say  $p \bullet v$  belongs to  $w$  because of the construction of  $L$  we should be able to write  $p \bullet v$  as  $1x0y$  or  $0x1y$ .

2.  $|p| = |w|$

We can write  $w = p = azb$  with  $a \neq b$  because of how  $p$  is defined. In any case we would be able to write  $w = 1z0y$  or  $w = 0z1y$  with  $y = \varepsilon$ . Thus  $L$  contains  $w$ .

Thus  $L$  contains  $w$  if  $\#(0, w) = \#(1, w)$

## 6 Exercise 22(c)

Recursively define a set  $L$  of strings over the alphabet  $\{0, 1\}$  as follows:

- The empty string  $\varepsilon$  is in  $L$ .
- For any strings  $x$  in  $L$ , the strings  $0x1$  and  $1x0$  are also in  $L$ .
- For any two strings  $x$  and  $y$  in  $L$ , the string  $x \bullet y$  is also in  $L$ .
- These are the only strings in  $L$ .

- c) Prove by induction that every string with the same number of 0s and 1s is in  $L$ .

### 6.c Proof

Let's prove this by induction over the length of a string  $w$  such that  $\#(0, w) = \#(1, w)$ .

**Base Case:** For  $w = \varepsilon$ , then  $\#(0, w) = \#(1, w) = 0$ . Thus, it has the same number of 0s and 1s and by definition  $L$  contains  $w$ .

**Inductive Hypothesis (IH):**

Suppose that for any string  $x$  such that  $|x| < |w|$ , the number of 0s and 1s is the same and it belongs to  $L$ .



**Inductive Step:**

Let's consider  $p$  such that  $p$  is the smallest prefix of  $w$  and  $\#(0, p) = \#(1, p)$ . We can say that  $p$  exists because if we consider  $D = \{ |d| \mid d \text{ is a prefix of } w \text{ and } \#(0, d) = \#(1, d) \}$ . We know that  $D \neq \emptyset$  because  $|w| \in D$  as  $w$  is a prefix of itself and  $\#(0, w) = \#(1, w)$  by IH. Thus  $w \in D$ . Now, by the well ordering principle we know that  $|p|$  exists, hence  $p$  exists.

Thus, we can write  $w = p \bullet v$ . We can consider 3 cases for  $w$ :

1.  $|p| < |w|$  and  $v \neq \varepsilon$

Now,  $|w| = |p| + |v|$ . Then as  $|p| < |w|$  and  $|v| < |w|$ . Also it is clear that  $\#(1, p) = \#(0, p)$  and  $\#(1, v) = \#(0, v)$ . Then  $p$  and  $v$  belong to  $L$ .

We can say  $p \bullet v$  belongs to  $w$  because of the construction of  $L$ .

2.  $|p| = |w|$

We can write  $w = p = azb$  with  $a \neq b$  because of how  $p$  is defined. In any case we would be able to write  $w = 1z0y$  or  $w = 0z1y$  with  $y = \varepsilon$ . Thus  $L$  contains  $w$ .

Thus  $L$  contains  $w$  if  $\#(0, w) = \#(1, w)$ .