

Satisfiability Modulo Theories

Natal 2012

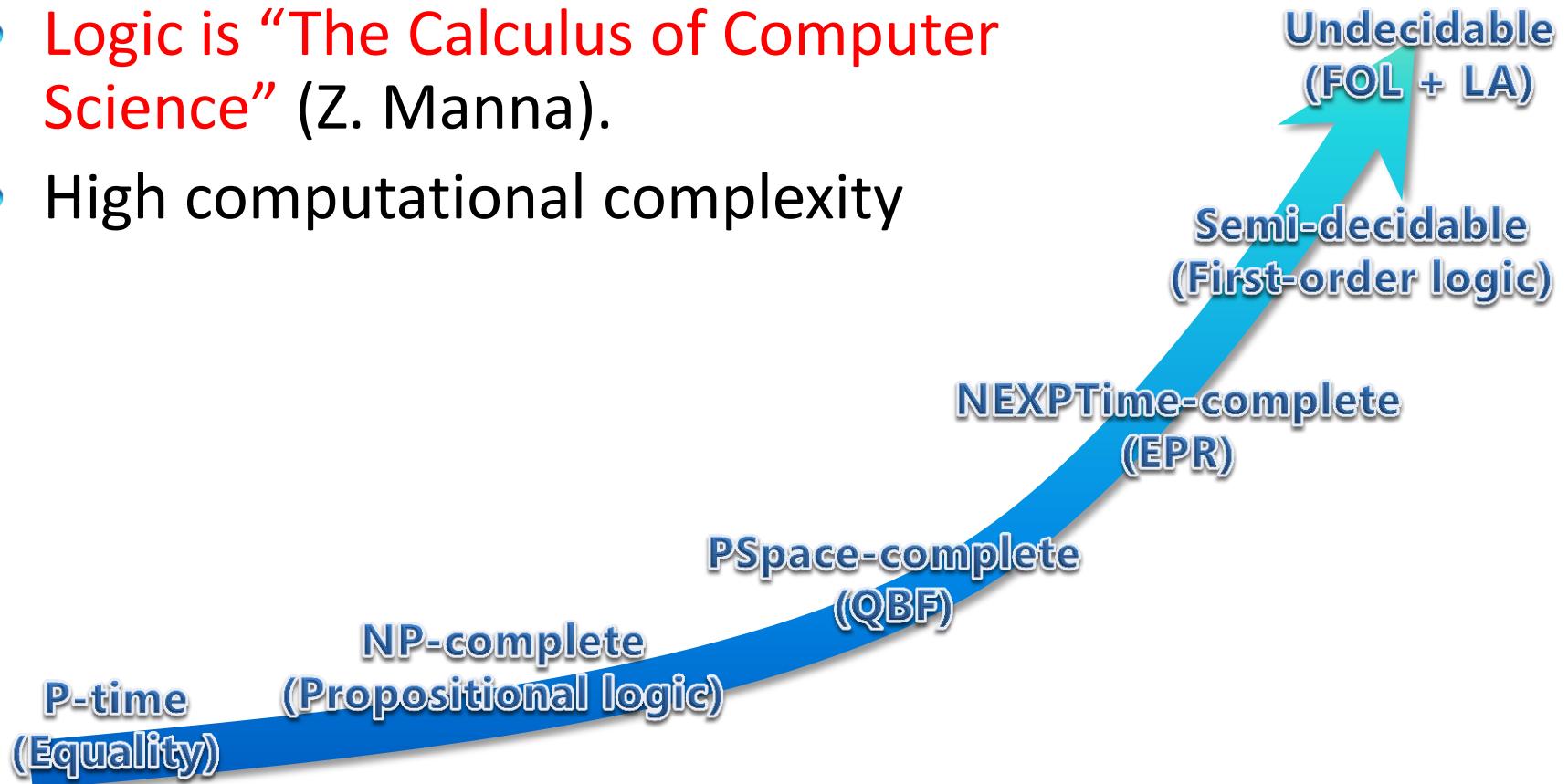
Leonardo de Moura
Microsoft Research

Symbolic Reasoning

Verification/Analysis tools
need some form of
Symbolic Reasoning

Symbolic Reasoning

- Logic is “The Calculus of Computer Science” (Z. Manna).
- High computational complexity



Applications

Test case generation

Verifying Compilers

Predicate Abstraction

Invariant Generation

Type Checking

Model Based Testing

Some Applications @ Microsoft



The
Spec#
Programming System

HAVOC



Hyper-V

Microsoft®

Virtualization

Terminator T-2

VCC

SLAM

NModel

Yogi

Vigilante

SpecExplorer

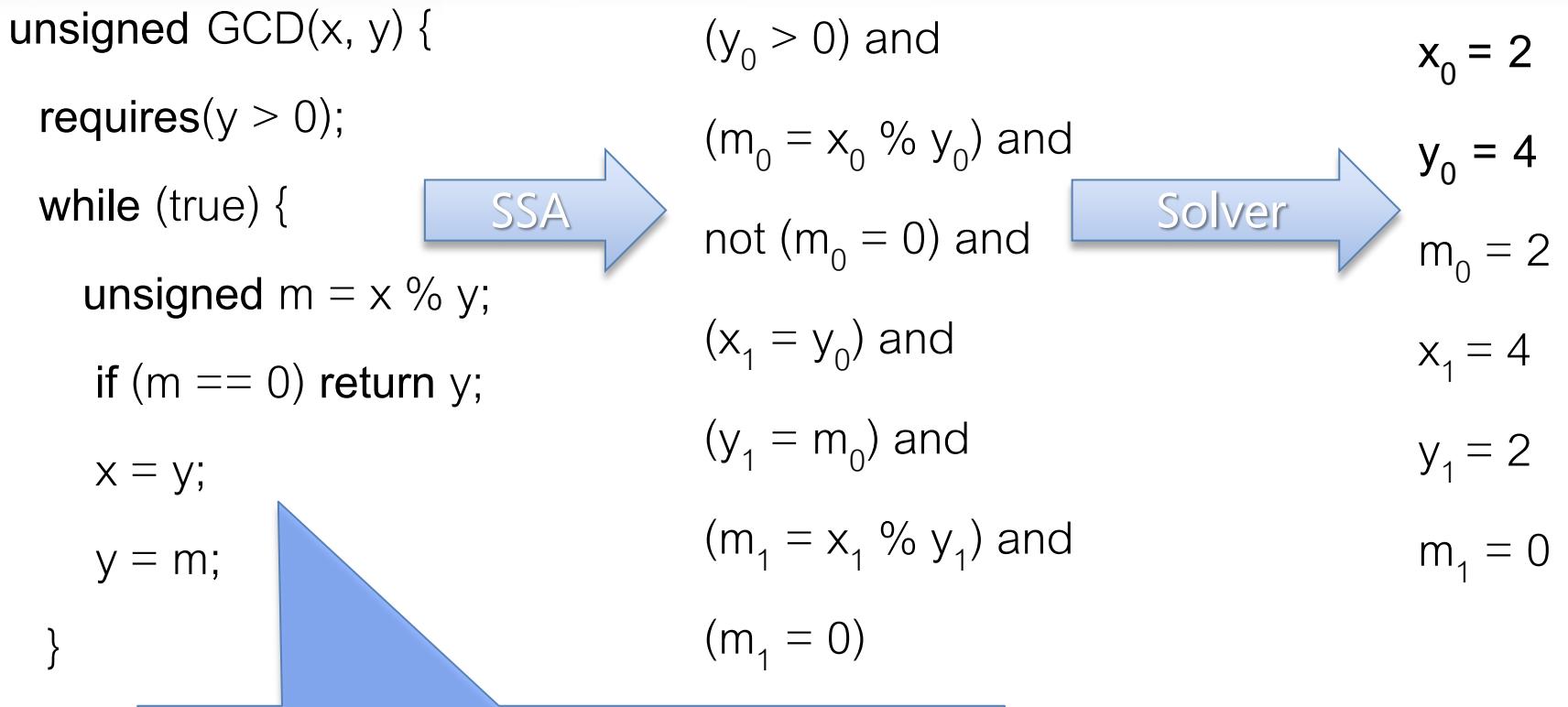


SAGE

F7

Microsoft®
Research

Test case generation



We want a trace where the loop is executed twice.

Type checking

Signature:

$\text{div} : \text{int}, \{ x : \text{int} \mid x \neq 0 \} \rightarrow \text{int}$

Subtype

Call site:

```
if a ≤ 1 and a ≤ b then  
    return div(a, b)
```

Verification condition

$a \leq 1 \text{ and } a \leq b \text{ implies } b \neq 0$

Satisfiability Modulo Theories (SMT)

Is formula F satisfiable
modulo theory T ?

SMT solvers have specialized
algorithms for T

Satisfiability Modulo Theories (SMT)

$b + 2 = c$ and $f(\text{read}(\text{write}(a,b,3)), c-2) \neq f(c-b+1)$

Satisfiability Modulo Theories (SMT)

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Arithmetic

Satisfiability Modulo Theories (SMT)

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Array Theory

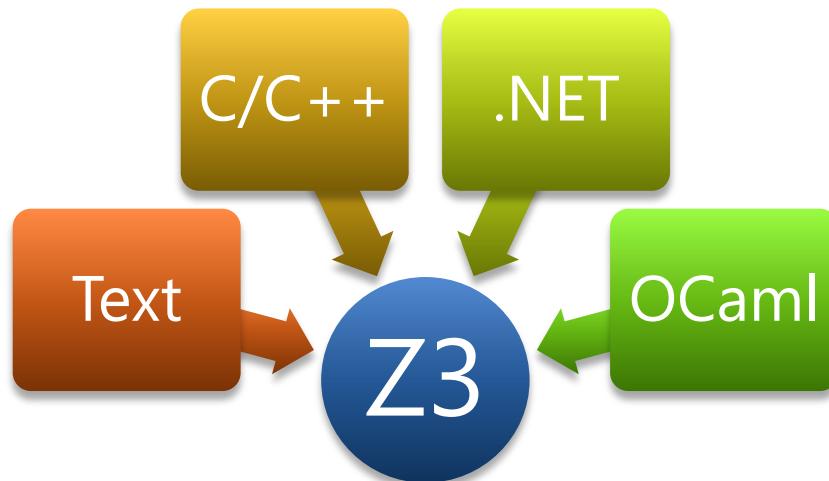
Satisfiability Modulo Theories (SMT)

$b + 2 = c$ and $f(\text{read}(\text{write}(a,b,3), c-2)) \neq f(c-b+1)$

Uninterpreted
Functions

SMT@Microsoft: Solver

- Z3 is a new solver developed at Microsoft Research.
- Development/Research driven by internal customers.
- Free for academic research.
- Interfaces:



- <http://research.microsoft.com/projects/z3>

Ground formulas

For most SMT solvers: F is a set of ground formulas

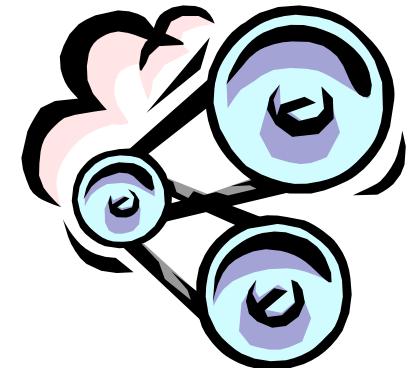
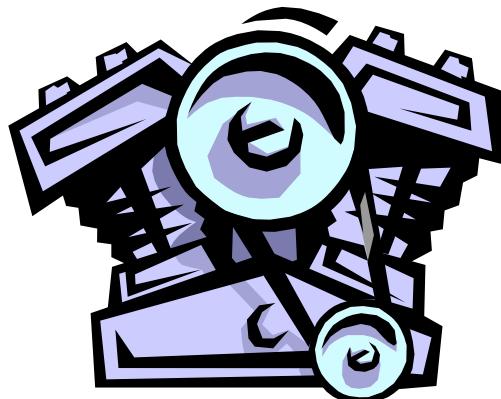
Many Applications

Bounded Model Checking

Test-Case Generation

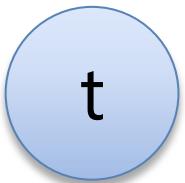
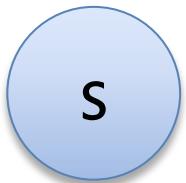
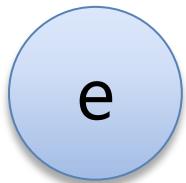
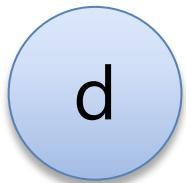
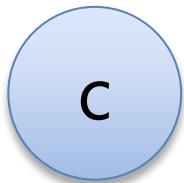
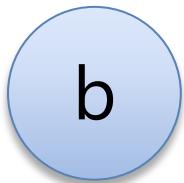
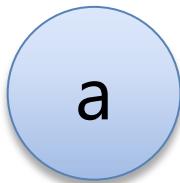
Little Engines of Proof

An SMT Solver is a collection of
Little Engines of Proof



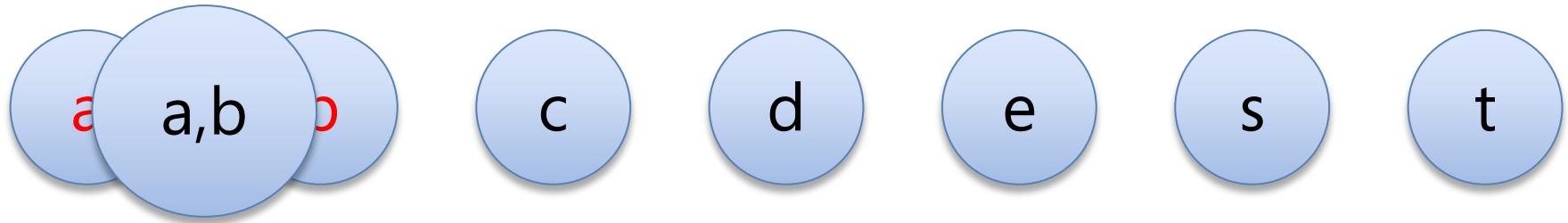
Deciding Equality

$a = b, b = c, d = e, b = s, d = t, a \neq e, a \neq s$



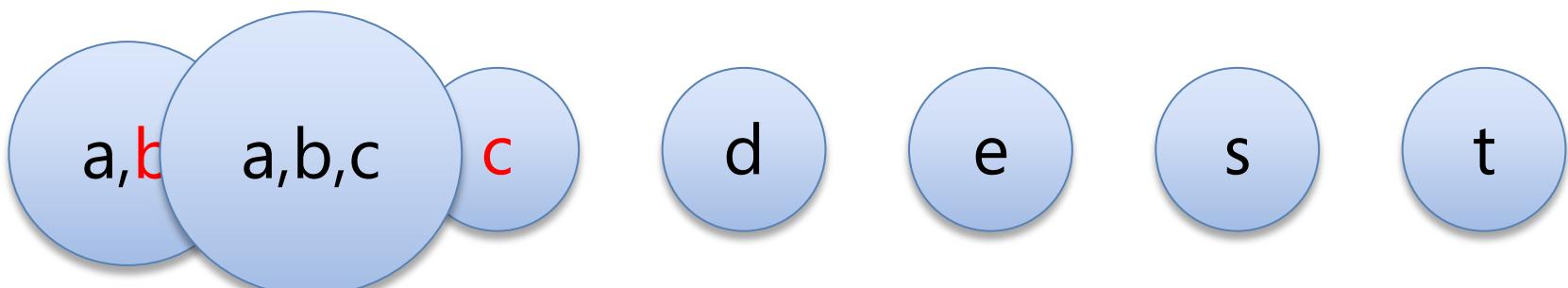
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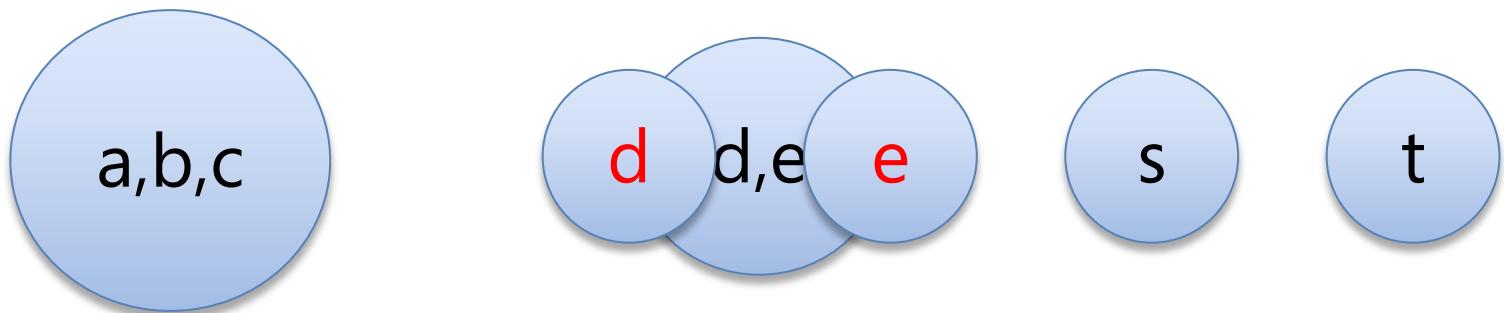
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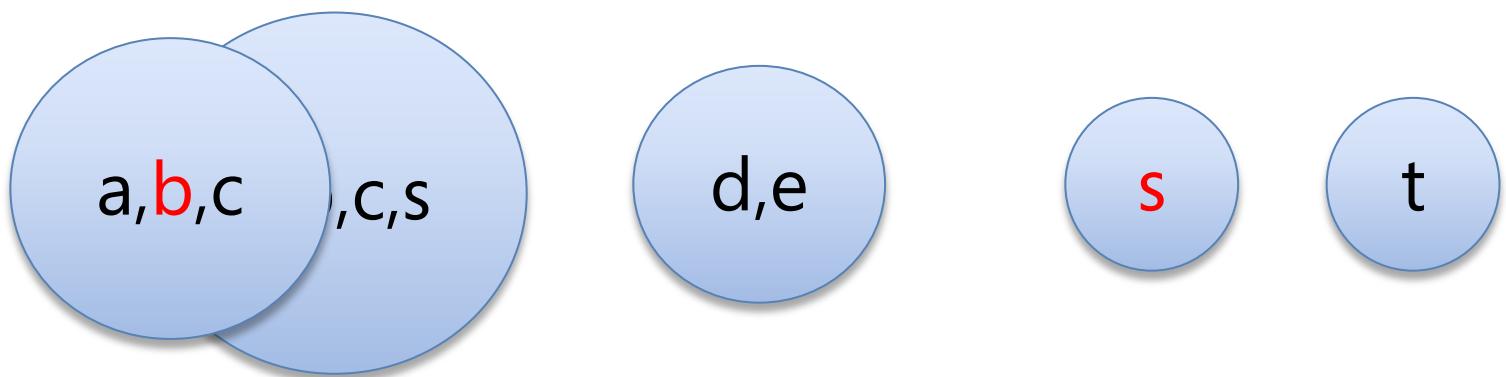
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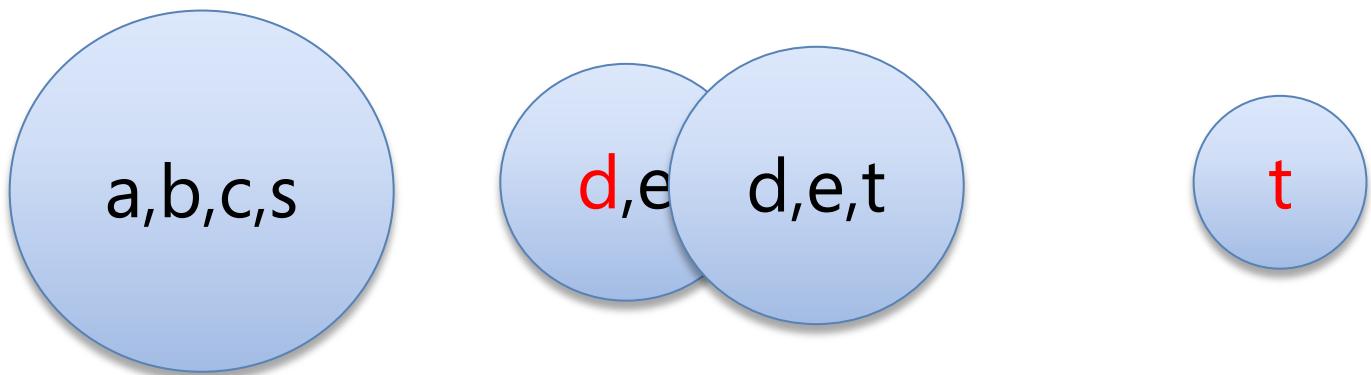
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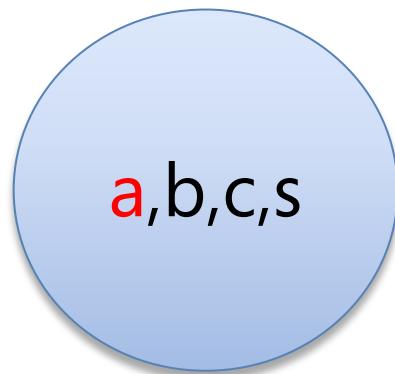
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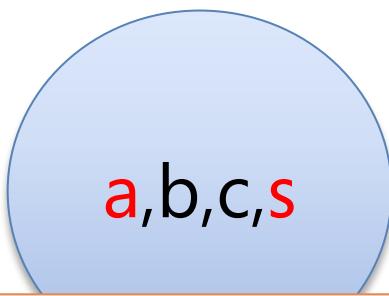
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Deciding Equality

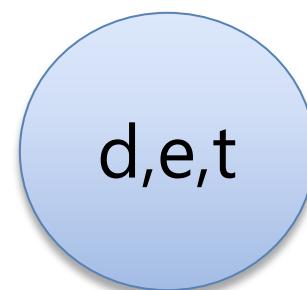
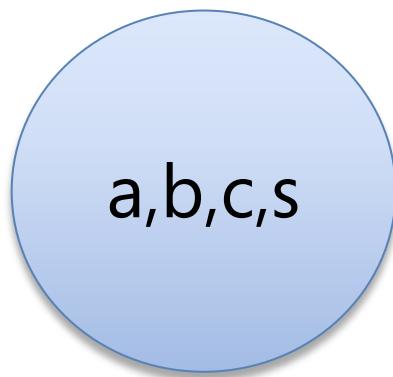
$a = b, b = c, d = e, b = s, d = t, a \neq e, a \neq s$



Unsatisfiable

Deciding Equality

$$a = b, b = c, d = e, b = s, d = t, a \neq e$$



Model

$$|M| = \{ 0, 1 \}$$

$$M(a) = M(b) = M(c) = M(s) = 0$$

$$M(d) = M(e) = M(t) = 1$$

Deciding Equality + (uninterpreted) Functions

$$a = b, b = c, d = e, b = s, d = t, f(a, g(d)) \neq f(b, g(e))$$

a,b,c,s

d,e,t

g(d)

g(e)

f(a,g(d))

f(b,g(e))

Congruence Rule:

$$x_1 = y_1, \dots, x_n = y_n \text{ implies } f(x_1, \dots, x_n) = f(y_1, \dots, y_n)$$

Deciding Equality + (uninterpreted) Functions

$$a = b, b = c, d = e, b = s, d = t, f(a, g(d)) \neq f(b, g(e))$$

a,b,c,s

d,e,t

g(d),g(e)

f(a,g(d))

f(b,g(e))

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$a = b, b = c, d = e, b = s, d = t, f(a, g(d)) \neq f(b, g(e))$

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f(a,g(d)),f(b,g(e))

Unsatisfiable

Deciding Equality + (uninterpreted) Functions

(fully shared) DAGs for representing terms

Union-find data-structure + Congruence Closure

$O(n \log n)$

Difference Logic: $a - b \leq 5$

Very useful in practice!

Most arithmetical constraints in software verification/analysis are in this fragment.

$$\begin{array}{c} x := x + 1 \\ \downarrow \\ x_1 = x_0 + 1 \\ \downarrow \\ x_1 - x_0 \leq 1, x_0 - x_1 \leq -1 \end{array}$$

Job shop scheduling

$d_{i,j}$	Machine 1	Machine 2
Job 1	2	1
Job 2	3	1
Job 3	2	3

$max = 8$

Solution

$$t_{1,1} = 5, \quad t_{1,2} = 7, \quad t_{2,1} = 2, \\ t_{2,2} = 6, \quad t_{3,1} = 0, \quad t_{3,2} = 3$$

Encoding

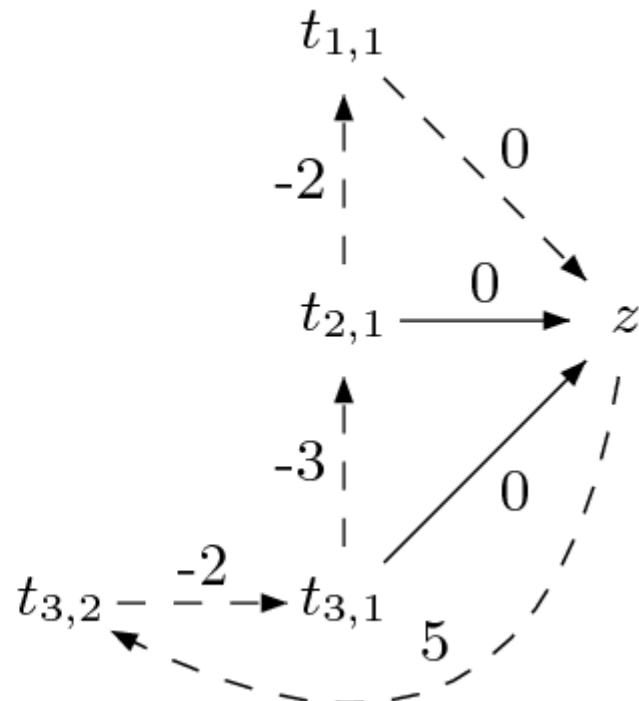
$$(t_{1,1} \geq 0) \wedge (t_{1,2} \geq t_{1,1} + 2) \wedge (t_{1,2} + 1 \leq 8) \wedge \\ (t_{2,1} \geq 0) \wedge (t_{2,2} \geq t_{2,1} + 3) \wedge (t_{2,2} + 1 \leq 8) \wedge \\ (t_{3,1} \geq 0) \wedge (t_{3,2} \geq t_{3,1} + 2) \wedge (t_{3,2} + 3 \leq 8) \wedge \\ ((t_{1,1} \geq t_{2,1} + 3) \vee (t_{2,1} \geq t_{1,1} + 2)) \wedge \\ ((t_{1,1} \geq t_{3,1} + 2) \vee (t_{3,1} \geq t_{1,1} + 2)) \wedge \\ ((t_{2,1} \geq t_{3,1} + 2) \vee (t_{3,1} \geq t_{2,1} + 3)) \wedge \\ ((t_{1,2} \geq t_{2,2} + 1) \vee (t_{2,2} \geq t_{1,2} + 1)) \wedge \\ ((t_{1,2} \geq t_{3,2} + 3) \vee (t_{3,2} \geq t_{1,2} + 1)) \wedge \\ ((t_{2,2} \geq t_{3,2} + 3) \vee (t_{3,2} \geq t_{2,2} + 1))$$

Difference Logic

Chasing negative cycles!

Algorithms based on Bellman-Ford ($O(mn)$).

$$\begin{array}{lcl} z - t_{1,1} \leq 0 \\ z - t_{2,1} \leq 0 \\ z - t_{3,1} \leq 0 \\ t_{3,2} - z \leq 5 \\ t_{3,1} - t_{3,2} \leq -2 \\ t_{2,1} - t_{3,1} \leq -3 \\ t_{1,1} - t_{2,1} \leq -2 \end{array}$$



Combining Solvers

In practice, we need a combination of theory solvers.

Nelson-Oppen combination method.

Reduction techniques.

Model-based theory combination.

SAT (propositional checkers): Case Analysis

$p \vee q,$

$p \vee \neg q,$

$\neg p \vee q,$

$\neg p \vee \neg q$

SAT (propositional checkers): Case Analysis

$p \vee q,$
 $p \vee \neg q,$
 $\neg p \vee q,$
 $\neg p \vee \neg q$

Assignment:
 $p = \text{false},$
 $q = \text{false}$

SAT (propositional checkers): Case Analysis

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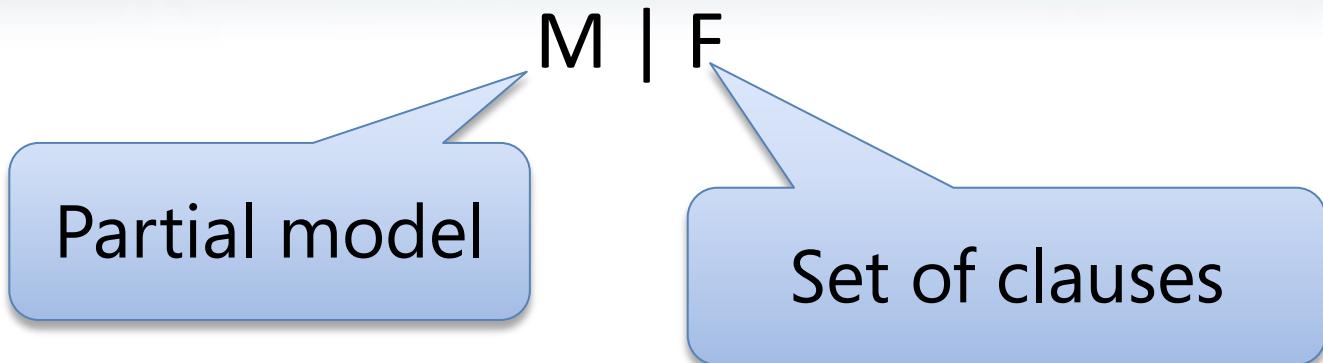
Assignment:
 $p = \text{true},$
 $q = \text{false}$

SAT (propositional checkers): Case Analysis

$p \vee q,$
 $p \vee \neg q,$
 $\neg p \vee q,$
 $\neg p \vee \neg q$

Assignment:
 $p = \text{true},$
 $q = \text{true}$

DPLL



DPLL

- Guessing

$p \mid p \vee q, \neg q \vee r$



$p, \neg q \mid p \vee q, \neg q \vee r$

DPLL

- Deducing

$p \mid p \vee q, \neg p \vee s$



$p, s \mid p \vee q, \neg p \vee s$

DPLL

- Backtracking

$$p, \neg s, \neg q \mid p \vee q, s \vee q, \neg p \vee \neg q$$

$$p, s \mid p \vee q, s \vee q, \neg p \vee \neg q$$

Modern DPLL

- Efficient indexing (two-watch literal)
- Non-chronological backtracking (backjumping)
- Lemma learning
- ...

SAT + Theory solvers

Basic Idea

$$x \geq 0, y = x + 1, (y > 2 \vee y < 1)$$



Abstract (aka “naming” atoms)

$$p_1, p_2, (p_3 \vee p_4)$$

$$\begin{aligned} p_1 &\equiv (x \geq 0), \\ p_2 &\equiv (y = x + 1), \\ p_3 &\equiv (y > 2), \\ p_4 &\equiv (y < 1) \end{aligned}$$

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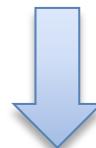
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SAT
Solver

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$$p_1, p_2, \neg p_3, p_4$$

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$$x \geq 0, y = x + 1,
\neg(y > 2), y < 1$$

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SAT
Solver



Assignment

$$p_1, p_2, \neg p_3, p_4$$



$$\begin{aligned} x &\geq 0, y = x + 1, \\ \neg(y &> 2), y < 1 \end{aligned}$$



Unsatisfiable

$$x \geq 0, y = x + 1, y < 1$$

Theory
Solver



SAT + Theory solvers

Basic Idea

$$x \geq 0, y = x + 1, (y > 2 \vee y < 1)$$



Abstract (aka “naming” atoms)

$$p_1, p_2, (p_3 \vee p_4)$$

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SAT
Solver



Assignment

$$p_1, p_2, \neg p_3, p_4$$



$$\begin{aligned} x \geq 0, y = x + 1, \\ \neg(y > 2), y < 1 \end{aligned}$$



Theory
Solver

Unsatisfiable

$$x \geq 0, y = x + 1, y < 1$$



New Lemma

$$\neg p_1 \vee \neg p_2 \vee \neg p_4$$

SAT + Theory solvers

New Lemma
 $\neg p_1 \vee \neg p_2 \vee \neg p_4$

Unsatisfiable
 $x \geq 0, y = x + 1, y < 1$

Theory
Solver

AKA
Theory conflict

SAT + Theory solvers: Main loop

```
procedure SmtSolver( $F$ )
     $(F_p, M) := \text{Abstract}(F)$ 
    loop
         $(R, A) := \text{SAT\_solver}(F_p)$ 
        if  $R = \text{UNSAT}$  then return  $\text{UNSAT}$ 
         $S := \text{Concretize}(A, M)$ 
         $(R, S') := \text{Theory\_solver}(S)$ 
        if  $R = \text{SAT}$  then return  $\text{SAT}$ 
         $L := \text{New\_Lemma}(S', M)$ 
        Add  $L$  to  $F_p$ 
```

SAT + Theory solvers

Basic Idea

F: $x \geq 0, y = x + 1, (y > 2 \vee y < 1)$



Abstract (aka “naming” atoms)

F_p: $p_1, p_2, (p_3 \vee p_4)$



SAT
Solver

M: $p_1 \equiv (x \geq 0), p_2 \equiv (y = x + 1),$
 $p_3 \equiv (y > 2), p_4 \equiv (y < 1)$



A: Assignment

$p_1, p_2, \neg p_3, p_4$

S: $x \geq 0, y = x + 1,$
 $\neg(y > 2), y < 1$



Theory
Solver

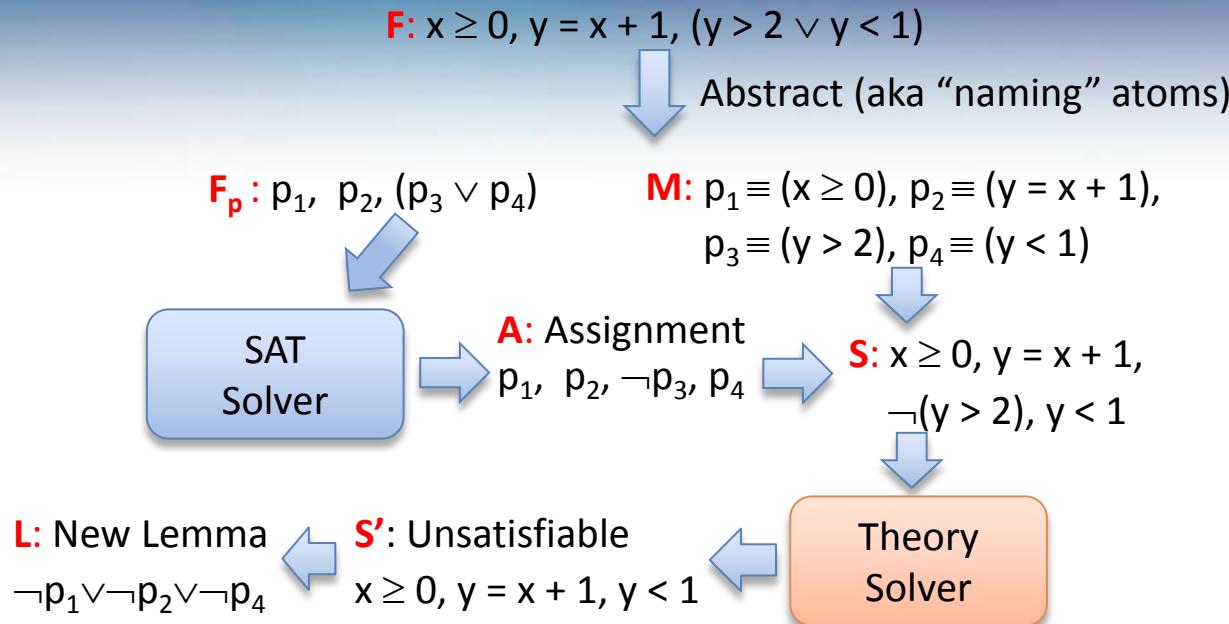
L: New Lemma
 $\neg p_1 \vee \neg p_2 \vee \neg p_4$

S': Unsatisfiable

$x \geq 0, y = x + 1, y < 1$



SAT + Theory solvers



```

procedure SMT_Solver(F)
    (Fp, M) := Abstract(F)
    loop
        (R, A) := SAT_solver(Fp)
        if R = UNSAT then return UNSAT
        S = Concretize(A, M)
        (R, S') := Theory_solver(S)
        if R = SAT then return SAT
        L := New_Lemma(S, M)
        Add L to Fp
    end loop
end procedure
    
```

“Lazy translation”
to
DNF

SAT + Theory solvers

**State-of-the-art SMT solvers implement
many improvements.**

SAT + Theory solvers

Incrementality

Send the literals to the Theory solver as they are assigned by the SAT solver

$$p_1 \equiv (x \geq 0), p_2 \equiv (y = x + 1),$$

$$p_3 \equiv (y > 2), p_4 \equiv (y < 1), p_5 \equiv (x < 2),$$

$$p_1, p_2, p_4 \quad | \quad p_1, p_2, (p_3 \vee p_4), (p_5 \vee \neg p_4)$$



Partial assignment is already
Theory inconsistent.

SAT + Theory solvers

Efficient Backtracking

We don't want to restart from scratch after each backtracking operation.

SAT + Theory solvers

Efficient Lemma Generation (computing a small S')

Avoid lemmas containing redundant literals.

$$p_1 \equiv (x \geq 0), p_2 \equiv (y = x + 1),$$

$$p_3 \equiv (y > 2), p_4 \equiv (y < 1), p_5 \equiv (x < 2),$$

$$p_1, p_2, p_3, p_4 \quad | \quad p_1, p_2, (p_3 \vee p_4), (p_5 \vee \neg p_4)$$

$$\neg p_1 \vee \neg p_2 \vee \neg p_3 \vee \neg p_4$$

Imprecise Lemma

SAT + Theory solvers

Theory Propagation

It is the SMT equivalent of unit propagation.

$$p_1 \equiv (x \geq 0), p_2 \equiv (y = x + 1),$$

$$p_3 \equiv (y > 2), p_4 \equiv (y < 1), p_5 \equiv (x < 2),$$

$$p_1, p_2 \mid p_1, p_2, (p_3 \vee p_4), (p_5 \vee \neg p_4)$$



p_1, p_2 imply $\neg p_4$ by theory propagation

$$p_1, p_2, \neg p_4 \mid p_1, p_2, (p_3 \vee p_4), (p_5 \vee \neg p_4)$$

SAT + Theory solvers

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p_1, p_2 imply $\neg p_4$ by theory propagation

$$p_1, p_2, \neg p_4 \mid p_1, p_2, (p_3 \vee p_4), (p_5 \vee \neg p_4)$$

Tradeoff between precision × performance.

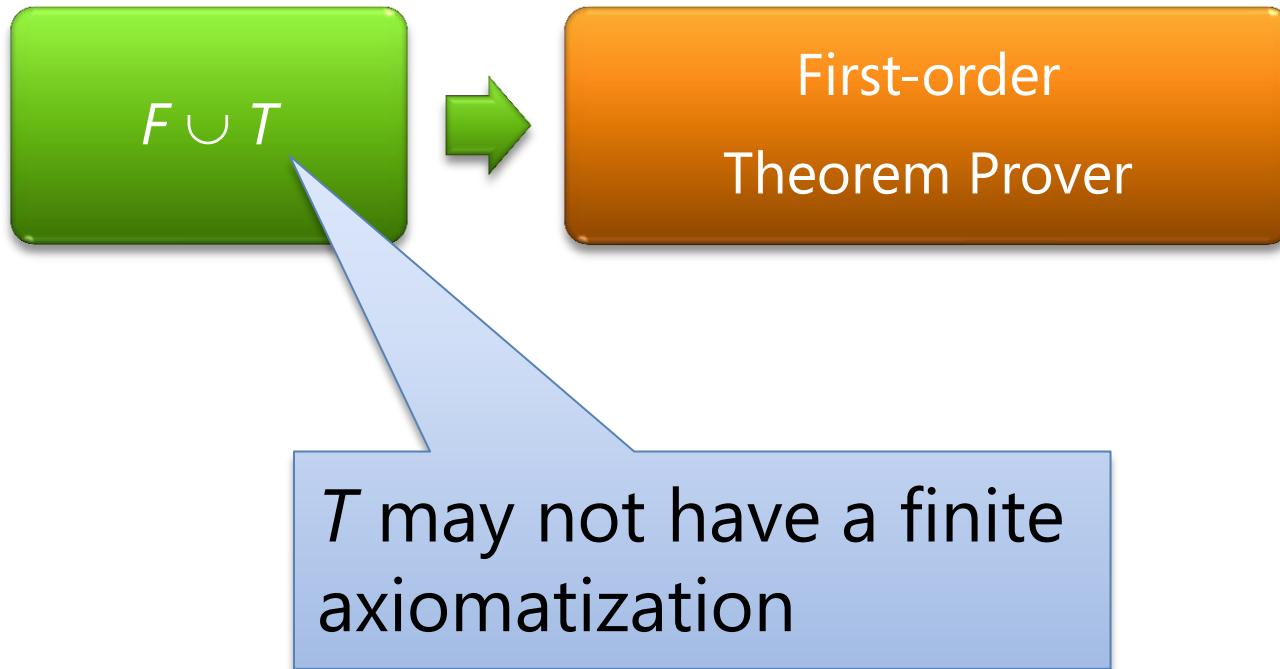
SMT x SAT

For some theories, SMT can be reduced to SAT

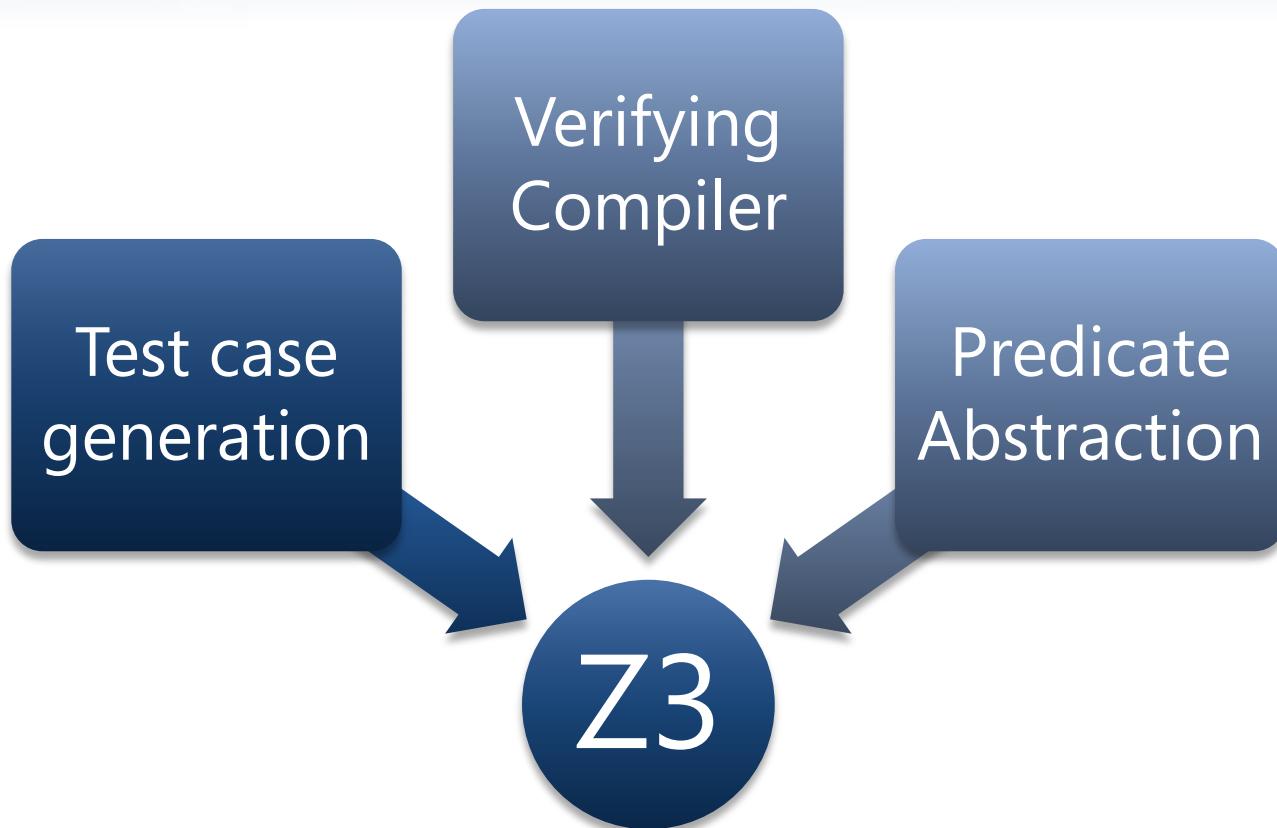
Higher level of abstraction

$$\text{bvmul}_{32}(a,b) = \text{bvmul}_{32}(b,a)$$

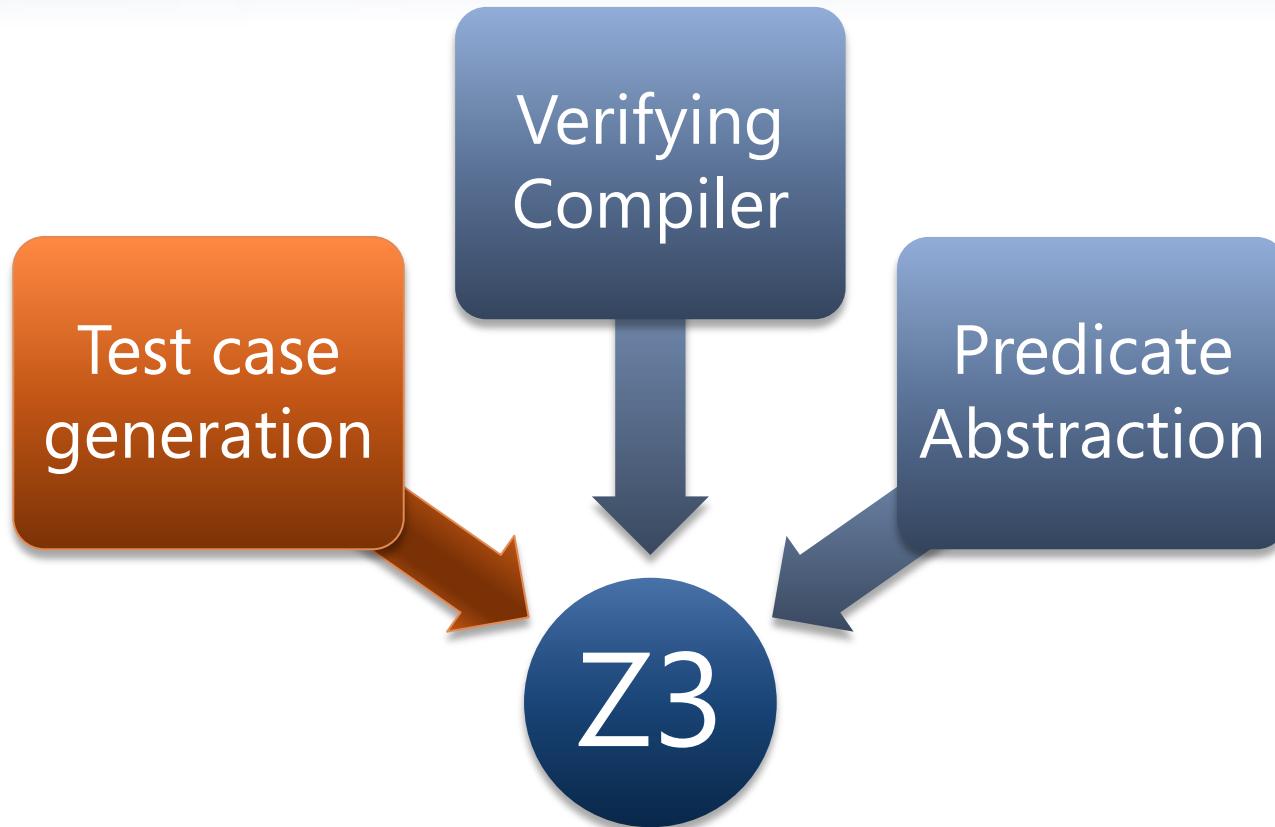
SMT x First-order provers



SMT: Some Applications



SMT: Some Applications



Test-case generation

- Test (correctness + usability) is 95% of the deal:
 - Dev/Test is 1-1 in products.
 - Developers are responsible for unit tests.
- Tools:
 - Annotations and static analysis (SAL + ESP)
 - File Fuzzing
 - Unit test case generation

Security is critical

- Security bugs can be very expensive:
 - Cost of each MS Security Bulletin: \$600k to \$Millions.
 - Cost due to worms: \$Billions.
 - The real victim is the customer.
- Most security exploits are initiated via files or packets.
 - Ex: Internet Explorer parses dozens of file formats.
- Security testing: hunting for million dollar bugs
 - Write A/V
 - Read A/V
 - Null pointer dereference
 - Division by zero

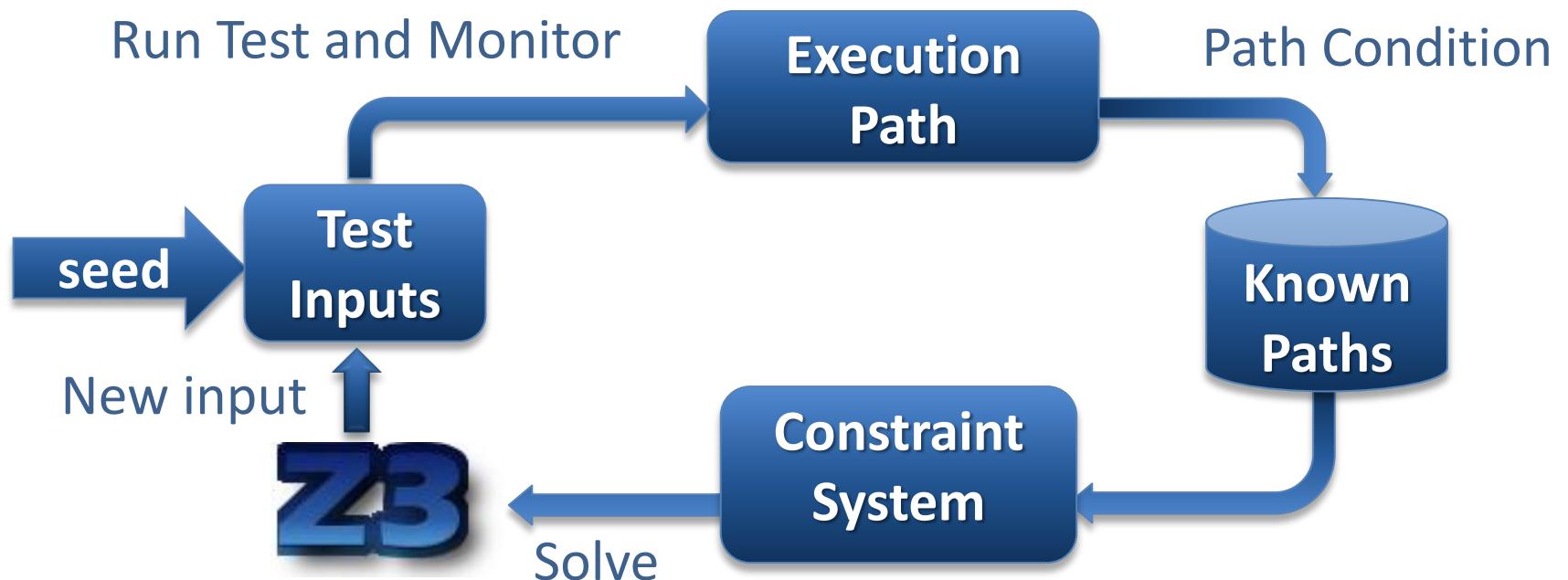


Hunting for Security Bugs.

- Two main techniques used by “*black hats*”:
 - Code inspection (of binaries).
 - *Black box fuzz testing*.
- **Black box** fuzz testing:
 - A form of black box random testing.
 - Randomly *fuzz* (=modify) a well formed input.
 - Grammar-based fuzzing: rules to encode how to fuzz.
- **Heavily** used in security testing
 - At MS: several internal tools.
 - Conceptually simple yet effective in practice



Directed Automated Random Testing (DART)



DARTish projects at Microsoft

PEX

Implements DART for .NET.

SAGE

Implements DART for x86 binaries.

YOGI

Implements DART to check the feasibility of program paths generated statically using a SLAM-like tool.

Vigilante

Partially implements DART to dynamically generate worm filters.

What is *Pex*?

- Test input generator
 - Pex starts from parameterized unit tests
 - Generated tests are emitted as traditional unit tests

ArrayList: The Spec

The screenshot shows two versions of the MSDN .NET Framework Developer Center page for the **ArrayList.Add Method**.

Left Version (Older Layout):

- Header:** msdn, .NET Framework Developer Center, MSDN Home, Developer Center.
- Toolbar:** Home, Library, Learn, Downloads, Support.
- Content:** Printer Friendly Version, Add To Favorites, Send, Add Content...
A sidebar navigation tree under "Microsoft" includes:
 - + Microsoft.Ink
 - + Microsoft.Ink.T
 - + Microsoft.JScri
 - + Microsoft.JScri
 - + Microsoft.Mana
 - + Microsoft.Mana
 - + Microsoft.Mana
- Section:** Remarks

ArrayList accepts a null reference (**Nothing** in Visual Basic) as a valid value and allows duplicate elements.

If Count already equals Capacity, the capacity of the ArrayList is increased by automatically reallocating the internal array, and the existing elements are copied to the new array before the new element is added.

If Count is less than Capacity, this method is an O(1) operation. If the capacity needs to be increased to accommodate the new element, this method becomes an O(n) operation, where n is Count.
- Feedback:** Click to Rate and Give Feedback (4 stars)

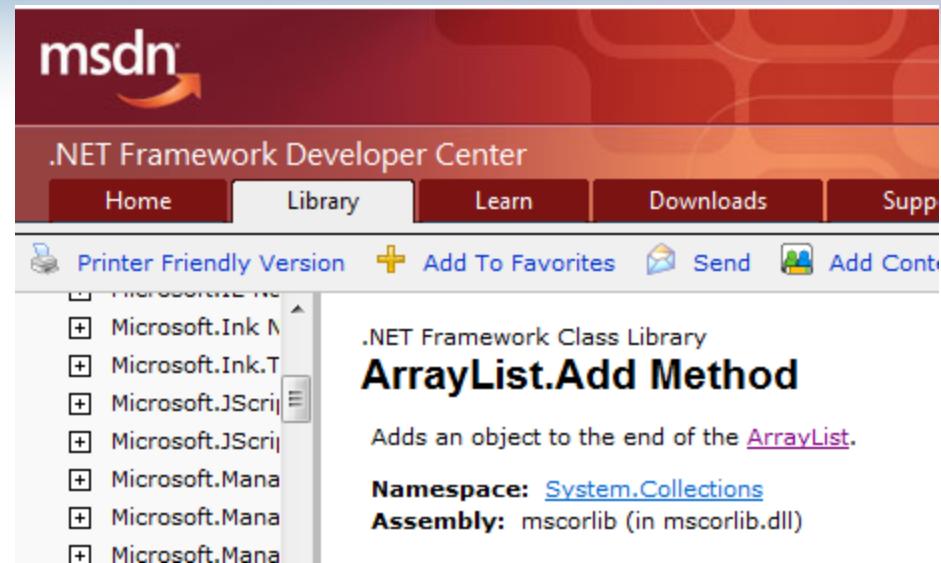
Right Version (Newer Layout):

- Header:** msdn, .NET Framework Developer Center, Home, Library, Learn, Downloads, Support.
- Content:** Printer Friendly Version, Add To Favorites, Send, Add Content...
A sidebar navigation tree under "Microsoft" includes:
 - + Microsoft.Ink
 - + Microsoft.Ink.T
 - + Microsoft.JScri
 - + Microsoft.JScri
 - + Microsoft.Mana
 - + Microsoft.Mana
 - + Microsoft.Mana
- Section:** .NET Framework Class Library
ArrayList.Add Method
Adds an object to the end of the ArrayList.
- Details:**
 - Namespace:** System.Collections
 - Assembly:** mscorlib (in mscorlib.dll)

ArrayList: AddItem Test

```
class ArrayListTest {  
    [PexMethod]  
    void AddItem(int c, object item) {  
        var list = new ArrayList(c);  
        list.Add(item);  
        Assert(list[0] == item); }  
}
```

```
class ArrayList {  
    object[] items;  
    int count;  
  
    ArrayList(int capacity) {  
        if (capacity < 0) throw ...;  
        items = new object[capacity];  
    }  
  
    void Add(object item) {  
        if (count == items.Length)  
            ResizeArray();  
  
        items[this.count++] = item; }  
    ...
```



The screenshot shows the MSDN .NET Framework Developer Center. The top navigation bar includes Home, Library (which is selected), Learn, Downloads, and Support. Below the navigation is a toolbar with links for Printer Friendly Version, Add To Favorites, Send, and Add Content. The main content area displays the .NET Framework Class Library for the **ArrayList.Add Method**. The method description states: "Adds an object to the end of the [ArrayList](#)". It specifies the **Namespace:** [System.Collections](#) and the **Assembly:** mscorelib (in mscorelib.dll). A sidebar on the left lists various Microsoft namespaces.

ArrayList: Starting Pex...

```
class ArrayListTest {  
    [PexMethod]  
    void AddItem(int c, object item) {  
        var list = new ArrayList(c);  
        list.Add(item);  
        Assert(list[0] == item); }  
}
```

```
class ArrayList {  
    object[] items;  
    int count;  
  
    ArrayList(int capacity) {  
        if (capacity < 0) throw ...;  
        items = new object[capacity];  
    }  
  
    void Add(object item) {  
        if (count == items.Length)  
            ResizeArray();  
  
        items[this.count++] = item; }  
...  
}
```

Inputs

ArrayList: Run 1, (0,null)

```
class ArrayListTest {  
    [PexMethod]  
    void AddItem(int c, object item) {  
        var list = new ArrayList(c);  
        list.Add(item);  
        Assert(list[0] == item); }  
}
```

Inputs

(0, null)

```
class ArrayList {  
    object[] items;  
    int count;  
  
    ArrayList(int capacity) {  
        if (capacity < 0) throw ...;  
        items = new object[capacity];  
    }  
  
    void Add(object item) {  
        if (count == items.Length)  
            ResizeArray();  
  
        items[this.count++] = item; }  
...  
}
```

ArrayList: Run 1, (0,null)

```
class ArrayListTest {  
    [PexMethod]  
    void AddItem(int c, object item) {  
        var list = new ArrayList(c);  
        list.Add(item);  
        Assert(list[0] == item); }  
}
```

Inputs	Observed Constraints
(0, null)	!(c < 0)

```
class ArrayList {  
    object[] items;  
    int count;  
  
    ArrayList(int capacity) {  
        if (capacity < 0) throw ...;  
        items = new object[capacity];  
    }  
  
    void Add(object item) {  
        if (count == items.Length)  
            ResizeArray();  
  
        items[this.count++] = item; }  
    ...  
}
```

c < 0 → false

ArrayList: Run 1, (0,null)

```
class ArrayListTest {  
    [PexMethod]  
    void AddItem(int c, object item) {  
        var list = new ArrayList(c);  
        list.Add(item);  
        Assert(list[0] == item); }  
}
```

```
class ArrayList {  
    object[] items;  
    int count;  
  
    ArrayList(int capacity) {  
        if (capacity < 0) throw ...;  
        items = new object[capacity];  
    }  
  
    void Add(object item) {  
        if (count == items.Length) 0 == c → true  
        ResizeArray();  
  
        items[this.count++] = item; }  
    ...
```

Inputs	Observed Constraints
(0,null)	!(c<0) && 0==c

ArrayList: Run 1, (0,null)

```
class ArrayListTest {  
    [PexMethod]  
    void AddItem(int c, object item) {  
        var list = new ArrayList(c);  
        list.Add(item);  
        Assert(list[0] == item); }  
}
```

Inputs	Observed Constraints
(0,null)	!(c<0) && 0==c

item == item → true

```
class ArrayList {  
    object[] items;  
    int count;  
  
    ArrayList(int capacity) {  
        if (capacity < 0) throw ...;  
        items = new object[capacity];  
    }  
  
    void Add(object item) {  
        if (count == items.Length)  
            ResizeArray();  
  
        items[this.count++] = item; }  
    ...
```

ArrayList: Picking the next branch to cover

```
class ArrayListTest {  
    [PexMethod]  
    void AddItem(int c, object item) {  
        var list = new ArrayList(c);  
        list.Add(item);  
        Assert(list[0] == item); }  
}
```

```
class ArrayList {  
    object[] items;  
    int count;  
  
    ArrayList(int capacity) {  
        if (capacity < 0) throw ...;  
        items = new object[capacity];  
    }  
  
    void Add(object item) {  
        if (count == items.Length)  
            ResizeArray();  
  
        items[this.count++] = item; }  
    ...
```

Constraints to solve	Inputs	Observed Constraints
	(0,null)	!(c<0) && 0==c
!(c<0) && 0!=c		

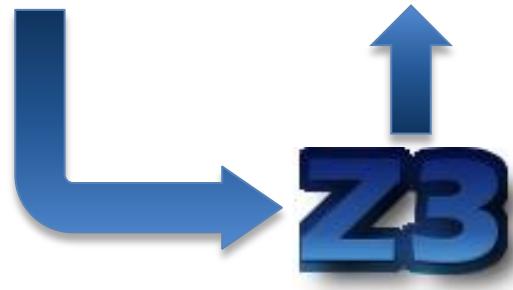


ArrayList: Solve constraints using SMT solver

```
class ArrayListTest {  
    [PexMethod]  
    void AddItem(int c, object item) {  
        var list = new ArrayList(c);  
        list.Add(item);  
        Assert(list[0] == item); }  
}
```

```
class ArrayList {  
    object[] items;  
    int count;  
  
    ArrayList(int capacity) {  
        if (capacity < 0) throw ...;  
        items = new object[capacity];  
    }  
  
    void Add(object item) {  
        if (count == items.Length)  
            ResizeArray();  
  
        items[this.count++] = item; }  
    ...
```

Constraints to solve	Inputs	Observed Constraints
	(0,null)	!(c<0) && 0==c
!(c<0) && 0!=c	(1,null)	



ArrayList: Run 2, (1, null)

```
class ArrayListTest {  
    [PexMethod]  
    void AddItem(int c, object item) {  
        var list = new ArrayList(c);  
        list.Add(item);  
        Assert(list[0] == item); }  
}
```

```
class ArrayList {  
    object[] items;  
    int count;  
  
    ArrayList(int capacity) {  
        if (capacity < 0) throw ...;  
        items = new object[capacity];  
    }  
  
    void Add(object item) {  
        if (count == items.Length) 0 == c → false  
        ResizeArray();  
  
        items[this.count++] = item; }  
    ...
```

Constraints to solve	Inputs	Observed Constraints
	(0,null)	!(c<0) && 0==c
!(c<0) && 0!=c	(1,null)	!(c<0) && 0!=c

ArrayList: Pick new branch

```
class ArrayListTest {  
    [PexMethod]  
    void AddItem(int c, object item) {  
        var list = new ArrayList(c);  
        list.Add(item);  
        Assert(list[0] == item); }  
}
```

```
class ArrayList {  
    object[] items;  
    int count;  
  
    ArrayList(int capacity) {  
        if (capacity < 0) throw ...;  
        items = new object[capacity];  
    }  
  
    void Add(object item) {  
        if (count == items.Length)  
            ResizeArray();  
  
        items[this.count++] = item; }  
    ...
```

Constraints to solve	Inputs	Observed Constraints
	(0,null)	!(c<0) && 0==c
!(c<0) && 0!=c	(1,null)	!(c<0) && 0!=c
c<0		

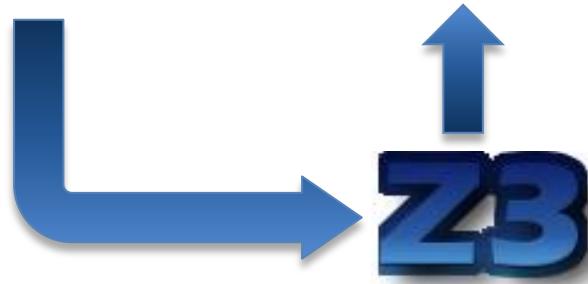


ArrayList: Run 3, (-1, null)

```
class ArrayListTest {  
    [PexMethod]  
    void AddItem(int c, object item) {  
        var list = new ArrayList(c);  
        list.Add(item);  
        Assert(list[0] == item); }  
}
```

```
class ArrayList {  
    object[] items;  
    int count;  
  
    ArrayList(int capacity) {  
        if (capacity < 0) throw ...;  
        items = new object[capacity];  
    }  
  
    void Add(object item) {  
        if (count == items.Length)  
            ResizeArray();  
  
        items[this.count++] = item; }  
    ...
```

Constraints to solve	Inputs	Observed Constraints
	(0,null)	!(c<0) && 0==c
!(c<0) && 0!=c	(1,null)	!(c<0) && 0!=c
c<0	(-1,null)	



ArrayList: Run 3, (-1, null)

```
class ArrayListTest {  
    [PexMethod]  
    void AddItem(int c, object item) {  
        var list = new ArrayList(c);  
        list.Add(item);  
        Assert(list[0] == item); }  
}
```

Constraints to solve	Inputs	Observed Constraints
	(0,null)	!(c<0) && 0==c
!(c<0) && 0!=c	(1,null)	!(c<0) && 0!=c
c<0	(-1,null)	c<0

```
class ArrayList {  
    object[] items;  
    int count;  
  
    ArrayList(int capacity) {  
        if (capacity < 0) throw ...;  
        items = new object[capacity];  
    }  
  
    void Add(object item) {  
        if (count == items.Length)  
            ResizeArray();  
  
        items[this.count++] = item; }  
    ...
```

c < 0 → true

ArrayList: Run 3, (-1, null)

```
class ArrayListTest {  
    [PexMethod]  
    void AddItem(int c, object item) {  
        var list = new ArrayList(c);  
        list.Add(item);  
        Assert(list[0] == item); }  
}
```

```
class ArrayList {  
    object[] items;  
    int count;  
  
    ArrayList(int capacity) {  
        if (capacity < 0) throw ...;  
        items = new object[capacity];  
    }  
  
    void Add(object item) {  
        if (count == items.Length)  
            ResizeArray();  
  
        items[this.count++] = item; }  
    ...
```

Constraints to solve	Inputs	Observed Constraints
	(0,null)	!(c<0) && 0==c
!(c<0) && 0!=c	(1,null)	!(c<0) && 0!=c
c<0	(-1,null)	c<0

PEX \leftrightarrow Z3

Rich Combination

Linear arithmetic

Bitvector

Arrays

Free Functions

Models

Model used as test inputs

\forall -Quantifier

Used to model custom theories (e.g., .NET type system)

API

Huge number of small problems. Textual interface is too inefficient.

PEX \leftrightarrow Z3: Incrementality

- Pex “sends” several similar formulas to Z3.
- Plus: backtracking primitives in the Z3 API.
 - **push**
 - **pop**
- Reuse (some) lemmas.

PEX \leftrightarrow Z3: Small models

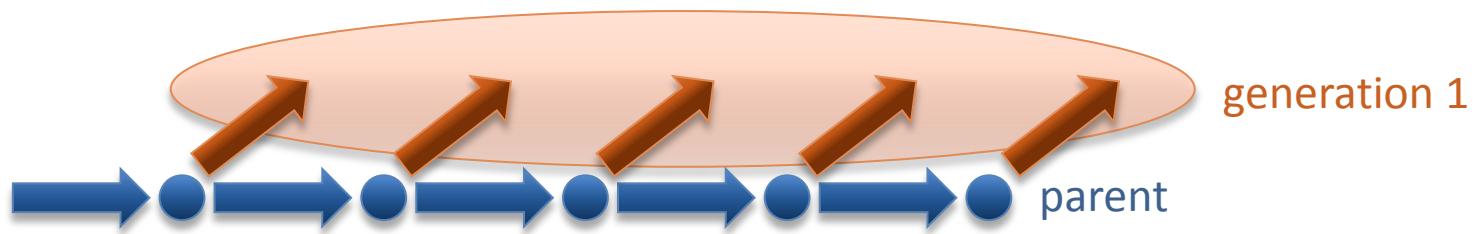
- Given a set of constraints C , find a model M that minimizes the interpretation for x_0, \dots, x_n .
- In the ArrayList example:
 - Why is the model where $c = 2147483648$ less desirable than the model with $c = 1$?
$$!(c < 0) \And 0 != c$$
- Simple solution:
 - Assert C
 - while satisfiable
 - Peek x_i such that $M[x_i]$ is big
 - Assert $x_i < n$, where n is a small constant
 - Return last found model

PEX \leftrightarrow Z3: Small models

- Given a set of constraints C , find a model M that minimizes the interpretation for x_0, \dots, x_n .
- In the ArrayList example:
 - Why is the model where $c = 2147483648$ less desirable than the model with $c = 1$?
$$!(c < 0) \And 0 \neq c$$
- Refinement:
 - Eager solution stops as soon as the system becomes unsatisfiable.
 - A “bad” choice (peek x_i) may prevent us from finding a good solution.
 - Use **push** and **pop** to retract “bad” choices.

SAGE

- Apply DART to large applications (not units).
- Start with well-formed input (not random).
- Combine with generational search (not DFS).
 - Negate 1-by-1 each constraint in a path constraint.
 - Generate many children for each parent run.



Zero to Crash in 10 Generations

- Starting with 100 zero bytes ...
- SAGE generates a crashing test for Media1 parser

```
00000000h: 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 ; .....
00000010h: 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 ; .....
00000020h: 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 ; .....
00000030h: 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 ; .....
00000040h: 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 ; .....
00000050h: 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 ; .....
00000060h: 00 00 00 00 ; .....
```

Generation 0 – seed file

Zero to Crash in 10 Generations

- Starting with 100 zero bytes ...
- SAGE generates a crashing test for Media1 parser

```
00000000h: 52 49 46 46 00 00 00 00 00 00 00 00 00 00 00 00 ; RIFF.....  
00000010h: 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 ; .....  
00000020h: 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 ; .....  
00000030h: 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 ; .....  
00000040h: 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 ; .....  
00000050h: 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 ; .....  
00000060h: 00 00 00 00 ; ....
```

Generation 1

Zero to Crash in 10 Generations

- Starting with 100 zero bytes ...
- SAGE generates a crashing test for Media1 parser

```
00000000h: 52 49 46 46 00 00 00 00 ** ** ** 20 00 00 00 00 00 ; RIFF....***....  
00000010h: 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 ; .....  
00000020h: 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 ; .....  
00000030h: 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 ; .....  
00000040h: 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 ; .....  
00000050h: 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 ; .....  
00000060h: 00 00 00 00
```

Generation 2

Zero to Crash in 10 Generations

- Starting with 100 zero bytes ...
- SAGE generates a crashing test for Media1 parser

```
00000000h: 52 49 46 46 3D 00 00 00 ** ** ** 20 00 00 00 00 00 ; RIFFE...*** ....
00000010h: 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 ; .....
00000020h: 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 ; .....
00000030h: 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 ; .....
00000040h: 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 ; .....
00000050h: 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 ; .....
00000060h: 00 00 00 00 ; .....
```

Generation 3

Zero to Crash in 10 Generations

- Starting with 100 zero bytes ...
- SAGE generates a crashing test for Media1 parser

```
00000000h: 52 49 46 46 3D 00 00 00 ** ** ** 20 00 00 00 00 00 ; RIFF=...*** ....
00000010h: 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 ; .....
00000020h: 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 ; .....
00000030h: 00 00 00 00 73 74 72 68 00 00 00 00 00 00 00 00 ; .....strh.....
00000040h: 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 ; .....
00000050h: 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 ; .....
00000060h: 00 00 00 00 ; .....
```

Generation 4

Zero to Crash in 10 Generations

- Starting with 100 zero bytes ...
- SAGE generates a crashing test for Media1 parser

```
00000000h: 52 49 46 46 3D 00 00 00 ** ** ** 20 00 00 00 00 00 ; RIFF=...*** ....
00000010h: 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 ; .....
00000020h: 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 ; .....
00000030h: 00 00 00 00 73 74 72 68 00 00 00 00 00 76 69 64 73 ; ....strh... vids
00000040h: 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 ; .....
00000050h: 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 ; .....
00000060h: 00 00 00 00 ; ....
```

Generation 5

Zero to Crash in 10 Generations

- Starting with 100 zero bytes ...
- SAGE generates a crashing test for Media1 parser

```
00000000h: 52 49 46 46 3D 00 00 00 ** ** ** 20 00 00 00 00 00 ; RIFF=...*** ....
00000010h: 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 ; .....
00000020h: 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 ; .....
00000030h: 00 00 00 00 73 74 72 68 00 00 00 00 00 76 69 64 73 ; .....strh.....vids
00000040h: 00 00 00 00 73 74 72 66 00 00 00 00 00 00 00 00 ; .....strf.....
00000050h: 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 ; .....
00000060h: 00 00 00 00 ; .....
```

Generation 6

Zero to Crash in 10 Generations

- Starting with 100 zero bytes ...
- SAGE generates a crashing test for Media1 parser

```
00000000h: 52 49 46 46 3D 00 00 00 ** ** ** 20 00 00 00 00 00 ; RIFF=...*** ....
00000010h: 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 ; .....
00000020h: 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 ; .....
00000030h: 00 00 00 00 73 74 72 68 00 00 00 00 00 76 69 64 73 ; ....strh....vids
00000040h: 00 00 00 00 73 74 72 66 00 00 00 00 00 28 00 00 00 ; ....strf....(...
00000050h: 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 ; .....
00000060h: 00 00 00 00
```

Generation 7

Zero to Crash in 10 Generations

- Starting with 100 zero bytes ...
- SAGE generates a crashing test for Media1 parser

```
00000000h: 52 49 46 46 3D 00 00 00 ** ** ** 20 00 00 00 00 00 ; RIFF=...*** ....
00000010h: 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 ; .....
00000020h: 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 ; .....
00000030h: 00 00 00 00 73 74 72 68 00 00 00 00 00 76 69 64 73 ; ....strh....vids
00000040h: 00 00 00 00 73 74 72 66 00 00 00 00 00 28 00 00 00 ; ....strf....(...
00000050h: 00 00 00 00 00 00 00 00 00 00 00 00 C9 9D E4 4E ; .....
00000060h: 00 00 00 00 ; ....
```

Generation 8

Zero to Crash in 10 Generations

- Starting with 100 zero bytes ...
- SAGE generates a crashing test for Media1 parser

```
00000000h: 52 49 46 46 3D 00 00 00 ** ** ** 20 00 00 00 00 00 ; RIFF=...*** ....
00000010h: 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 ; .....
00000020h: 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 ; .....
00000030h: 00 00 00 00 73 74 72 68 00 00 00 00 00 76 69 64 73 ; ....strh....vids
00000040h: 00 00 00 00 73 74 72 66 00 00 00 00 00 28 00 00 00 ; ....strf....(...
00000050h: 00 00 00 00 00 00 00 00 00 00 00 00 00 00 01 00 00 00 ; .....
00000060h: 00 00 00 00 ; ....
```

Generation 9

Zero to Crash in 10 Generations

- Starting with 100 zero bytes ...
- SAGE generates a crashing test for Media1 parser

```
00000000h: 52 49 46 46 3D 00 00 00 ** ** ** 20 00 00 00 00 00 ; RIFF=...*** ....
00000010h: 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 ; .....
00000020h: 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 ; .....
00000030h: 00 00 00 00 73 74 72 68 00 00 00 00 00 76 69 64 73 ; ....strh....vids
00000040h: 00 00 00 00 73 74 72 66 B2 75 76 3A 28 00 00 00 ; ....strh^uv:(...
00000050h: 00 00 00 00 00 00 00 00 00 00 00 00 01 00 00 00 ; .....
00000060h: 00 00 00 00 ; ....
```

Generation 10 – CRASH

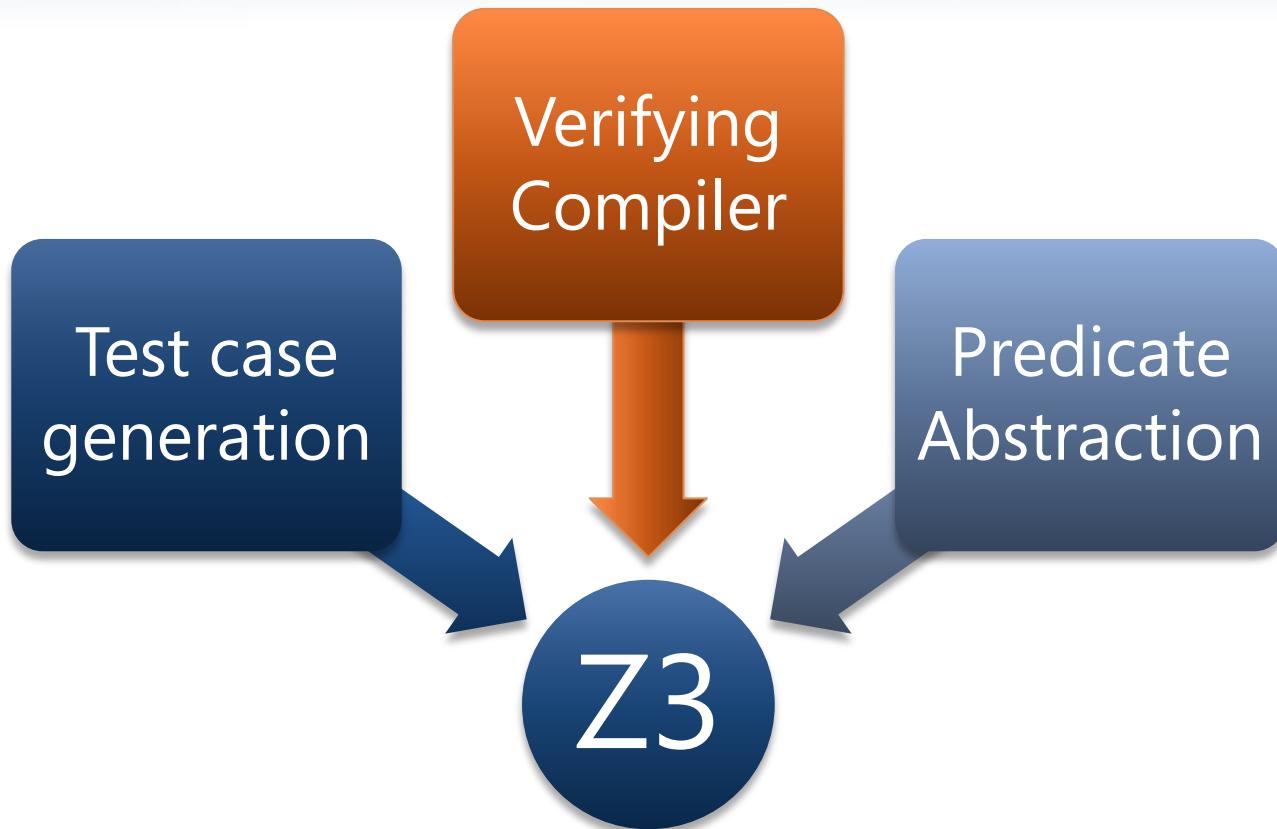
SAGE (cont.)

- SAGE is very effective at finding bugs.
- Works on large applications.
- Fully automated
- Easy to deploy (x86 analysis – any language)
- Used in various groups inside Microsoft
- Powered by Z3.

SAGE \leftrightarrow Z3

- Formulas are usually big conjunctions.
- SAGE uses only the bitvector and array theories.
- Pre-processing step has a huge performance impact.
 - Eliminate variables.
 - Simplify formulas.
- Early unsat detection.

SMT: Some Applications



Spec# Approach for a Verifying Compiler

- *Source Language*
 - C# + goodies = Spec#
- *Specifications*
 - method contracts,
 - invariants,
 - field and type annotations.
- *Program Logic:*
 - Dijkstra's weakest preconditions.
- *Automatic Verification*
 - type checking,
 - verification condition generation (VCG),
 - automatic theorem proving Z3

Spec# (annotated C#)

Spec# Compiler

Boogie PL

VC Generator

Formulas

Z3

Verification architecture

Spec#

Spec# compiler

MSIL

Bytecode
translator

VCC

HAVOC

Static program verifier (Boogie)

Boogie

V.C. generator

Verification condition

Z3

HAVOC

- A tool for specifying and checking properties of systems software written in C.
- It also translates annotated C into Boogie PL.
- It allows the expression of *richer properties about the program heap and data structures* such as linked lists and arrays.
- HAVOC is being used to specify and check:
 - Complex locking protocols over heap-allocated data structures in Windows.
 - Properties of collections such as IRP queues in device drivers.
 - Correctness properties of custom storage allocators.

A Verifying C Compiler

- VCC translates an *annotated C program* into a *Boogie PL* program.
- A C-ish memory model
 - Abstract heaps
 - Bit-level precision
- Microsoft Hypervisor: verification grand challenge.

Hypervisor: A Manhattan Project



- **Meta OS:** small layer of software between hardware and OS
- **Mini:** 60K lines of non-trivial concurrent systems C code
- **Critical:** must provide functional resource abstraction
- **Trusted:** a verification grand challenge

Hypervisor: Some Statistics

- VCs have several Mb
- Thousands of non ground clauses
- Developers are willing to wait at most 5 min per VC

Main Challenge

- Quantifiers, quantifiers, quantifiers, ...
- Modeling the runtime

$\forall h,o,f:$

$\text{IsHeap}(h) \wedge o \neq \text{null} \wedge \text{read}(h, o, \text{alloc}) = t$

\Rightarrow

$\text{read}(h,o, f) = \text{null} \vee \text{read}(h, \text{read}(h,o,f),\text{alloc}) = t$

Main Challenge

- Quantifiers, quantifiers, quantifiers, ...
- Modeling the runtime

- Frame axioms

$\forall o, f:$

$$o \neq \text{null} \wedge \text{read}(h_0, o, \text{alloc}) = t \Rightarrow \\ \text{read}(h_1, o, f) = \text{read}(h_0, o, f) \vee (o, f) \in M$$

Main Challenge

- Quantifiers, quantifiers, quantifiers, ...
- Modeling the runtime
- Frame axioms
- User provided assertions

$$\forall i,j: i \leq j \Rightarrow \text{read}(a,i) \leq \text{read}(b,j)$$

Main Challenge

- Quantifiers, quantifiers, quantifiers, ...
- Modeling the runtime
- Frame axioms
- User provided assertions
- Theories

$$\forall x: p(x,x)$$

$$\forall x,y,z: p(x,y), p(y,z) \Rightarrow p(x,z)$$

$$\forall x,y: p(x,y), p(y,x) \Rightarrow x = y$$

Main Challenge

- Quantifiers, quantifiers, quantifiers, ...
- Modeling the runtime
- Frame axioms
- User provided assertions
- Theories
- Solver must be fast in satisfiable instances.



We want to find bugs!

Bad news

**There is no sound and refutationally complete
procedure for
linear integer arithmetic + free function symbols**

Many Approaches

Heuristic quantifier instantiation

Combining SMT with Saturation provers

Complete quantifier instantiation

Decidable fragments

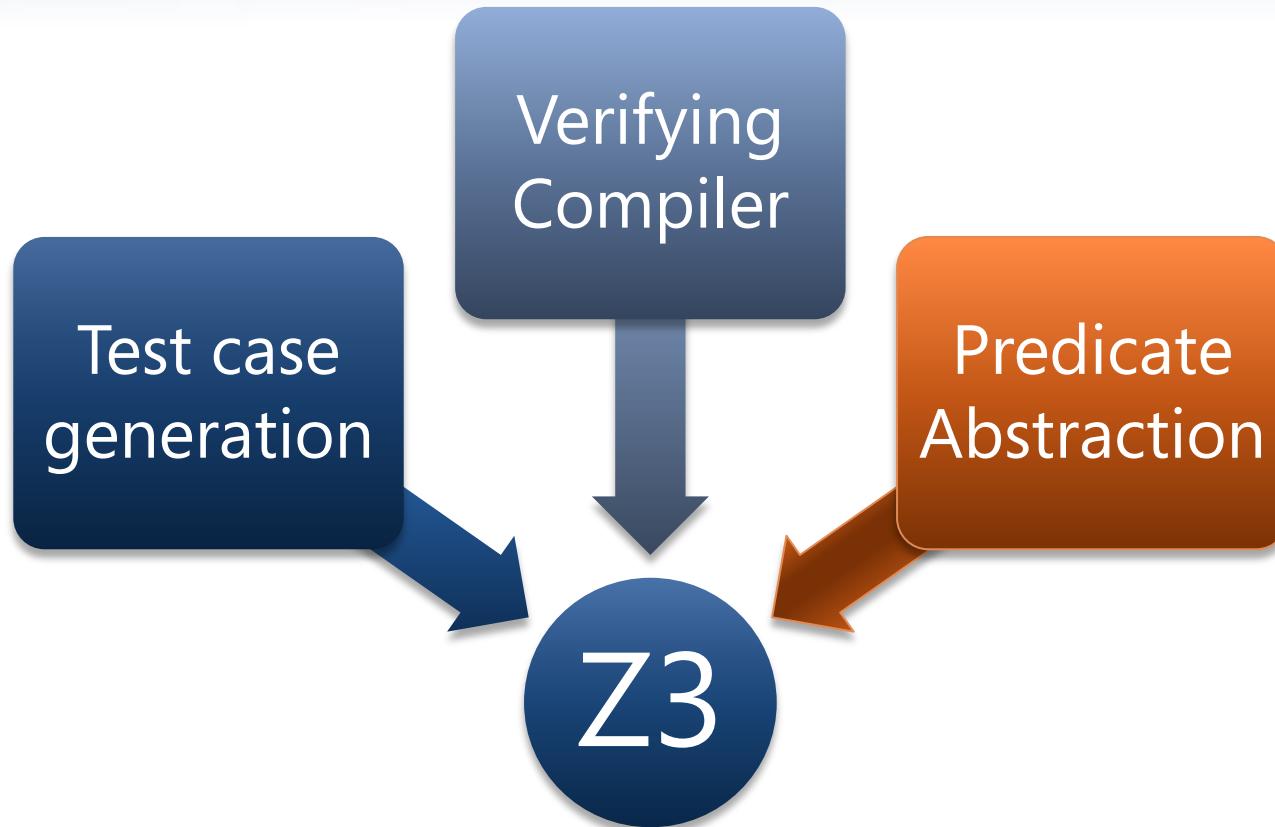
Model based quantifier instantiation

Challenge: Robustness

- Standard complain

“I made a small modification in my Spec, and Z3 is timingout”
- This also happens with SAT solvers (NP-complete)
- In our case, the problems are undecidable
- Partial solution: parallelization

SMT: Some Applications



Overview

- <http://research.microsoft.com/slam/>
- **SLAM/SDV** is a software model checker.
- Application domain: **device drivers**.
- Architecture:
 - c2bp** C program → boolean program (*predicate abstraction*).
 - bebop** Model checker for boolean programs.
 - newton** Model refinement (check for path feasibility)
- SMT solvers are used to perform predicate abstraction and to check path feasibility.
- c2bp makes several calls to the SMT solver. The formulas are relatively small.

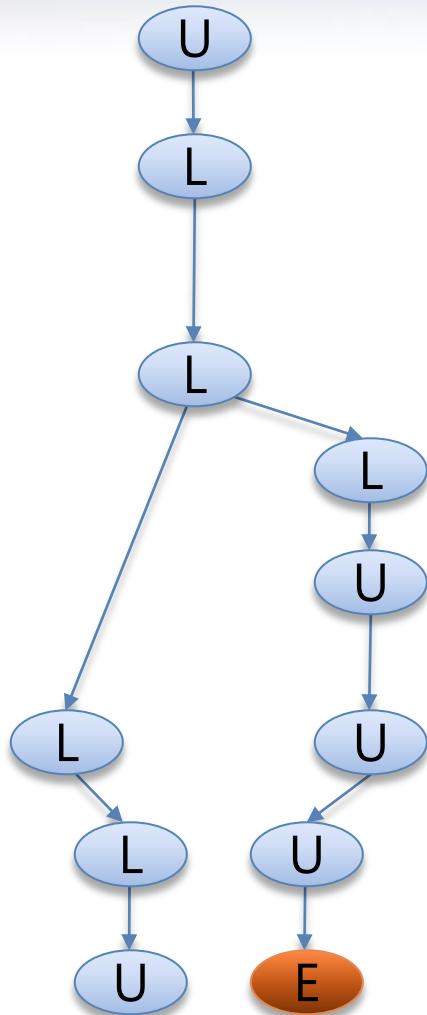
Example

Do this code
obey the looking
rule?

```
do {  
    KeAcquireSpinLock();  
  
    nPacketsOld = nPackets;  
  
    if(request) {  
        request = request->Next;  
        KeReleaseSpinLock();  
        nPackets++;  
    }  
} while (nPackets != nPacketsOld);  
  
KeReleaseSpinLock();
```

Example

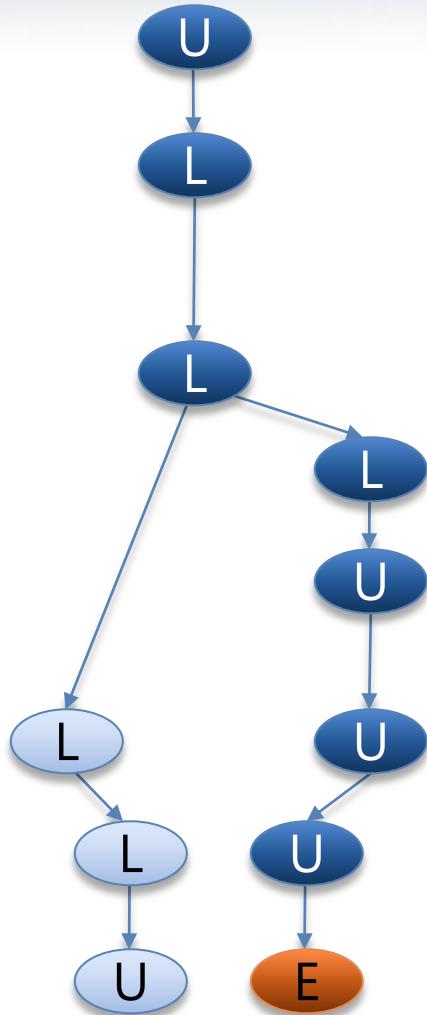
Model checking
Boolean program



```
do {  
    KeAcquireSpinLock();  
  
    if (*) {  
  
        KeReleaseSpinLock();  
  
    }  
} while (*);  
  
KeReleaseSpinLock();
```

Example

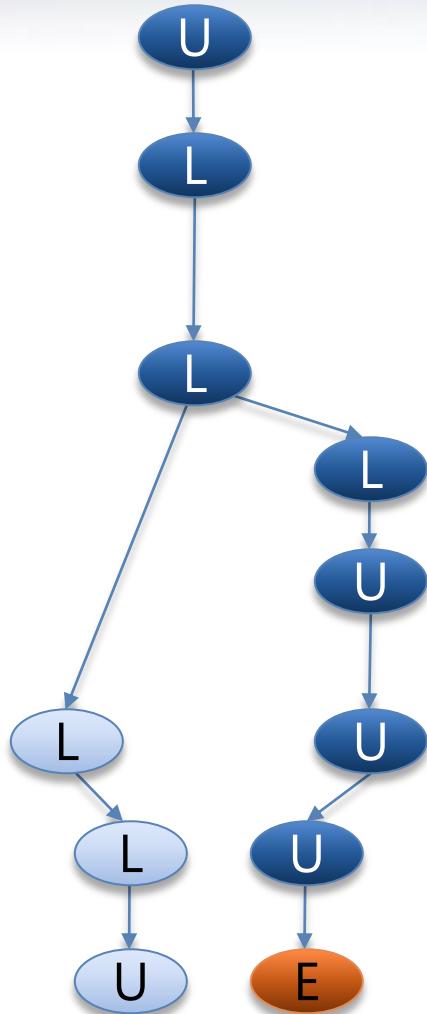
Is error path
feasible?



```
do {  
    KeAcquireSpinLock();  
  
    nPacketsOld = nPackets;  
  
    if(request) {  
        request = request->Next;  
        KeReleaseSpinLock();  
        nPackets++;  
    }  
} while (nPackets != nPacketsOld);  
  
KeReleaseSpinLock();
```

Example

Add new predicate to
Boolean program
b: ($n\text{PacketsOld} == n\text{Packets}$)



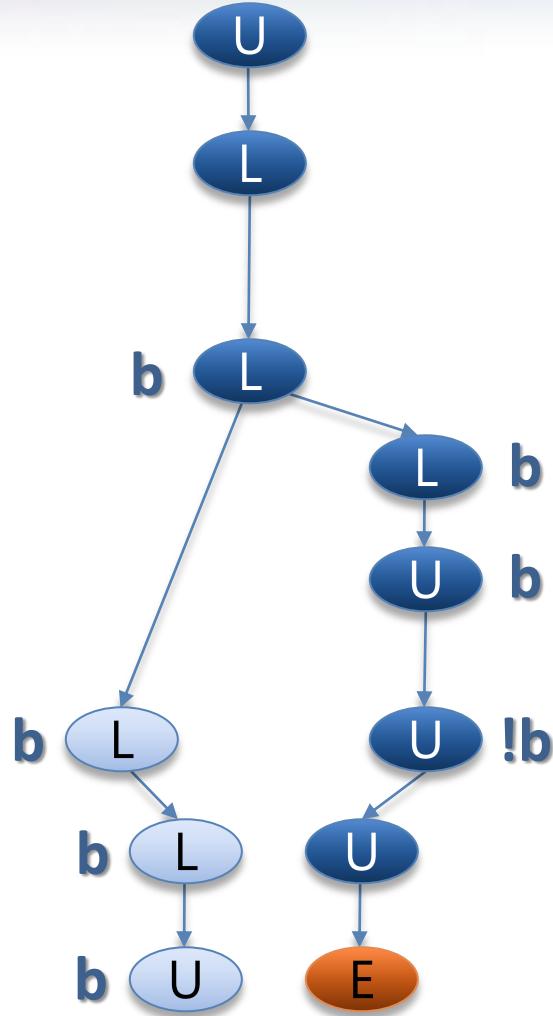
```
do {  
    KeAcquireSpinLock();  
  
    nPacketsOld = nPackets;  
    b = true;  
  
    if(request) {  
        request = request->Next;  
        KeReleaseSpinLock();  
        nPackets++;  
    }  
    b = b ? false : *;  
}  
} while (nPackets != nPacketsOld);  
!b  
KeReleaseSpinLock();
```

Example

Model Checking

Refined Program

b: (nPacketsOld == nPackets)



do {

KeAcquireSpinLock () ;

b = true;

 if (*) {

KeReleaseSpinLock () ;

b = b ? false : *;

}

} while (**!b**) ;

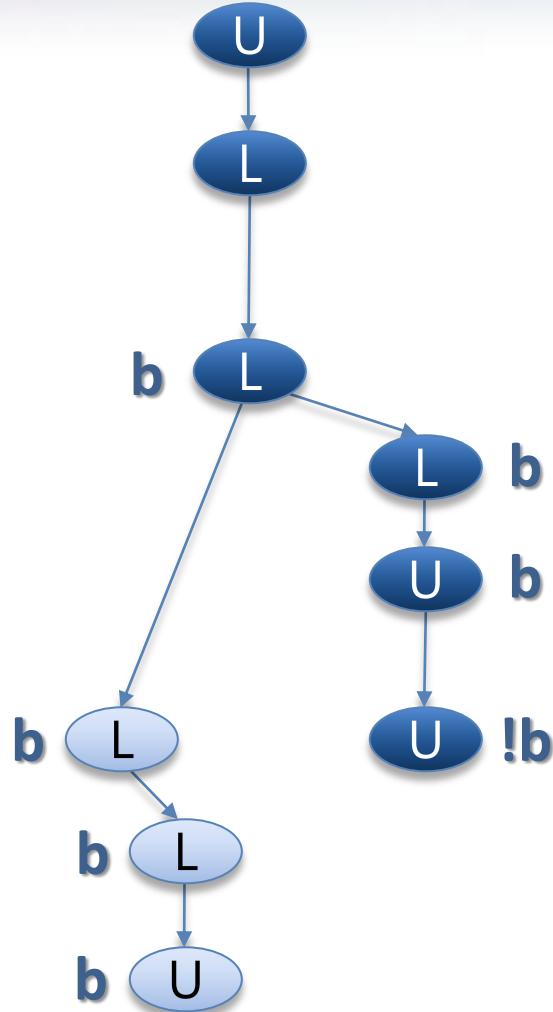
KeReleaseSpinLock () ;

Example

Model Checking

Refined Program

b: (nPacketsOld == nPackets)



do {

KeAcquireSpinLock () ;

b = true;

 if (*) {

KeReleaseSpinLock () ;

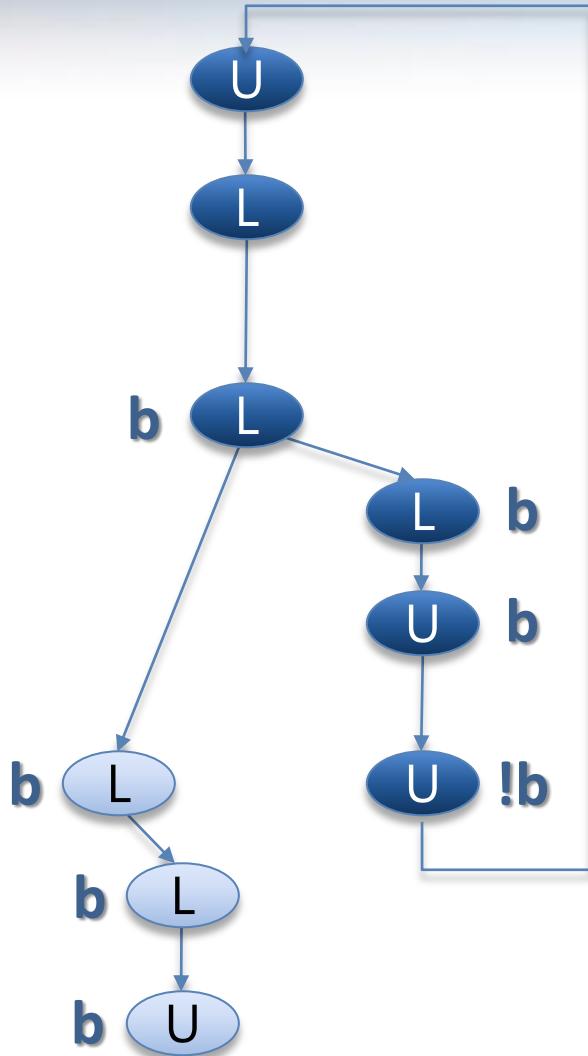
b = b ? false : *;

 }

} while (**!b**) ;

KeReleaseSpinLock () ;

Example



Model Checking

Refined Program

b: (nPacketsOld == nPackets)

do {

KeAcquireSpinLock () ;

b = true;

if (*) {

KeReleaseSpinLock () ;

b = b ? false : *;

}

} while (!b);

KeReleaseSpinLock () ;

Observations about SLAM

- Automatic discovery of invariants
 - driven by property and a finite set of (false) execution paths
 - predicates are *not* invariants, but *observations*
 - abstraction + model checking computes inductive invariants (Boolean combinations of observations)
- A hybrid dynamic/static analysis
 - newton executes path through C code symbolically
 - c2bp+bébop explore all paths through abstraction
- A new form of program slicing
 - program code and data not relevant to property are dropped
 - non-determinism allows slices to have more behaviors

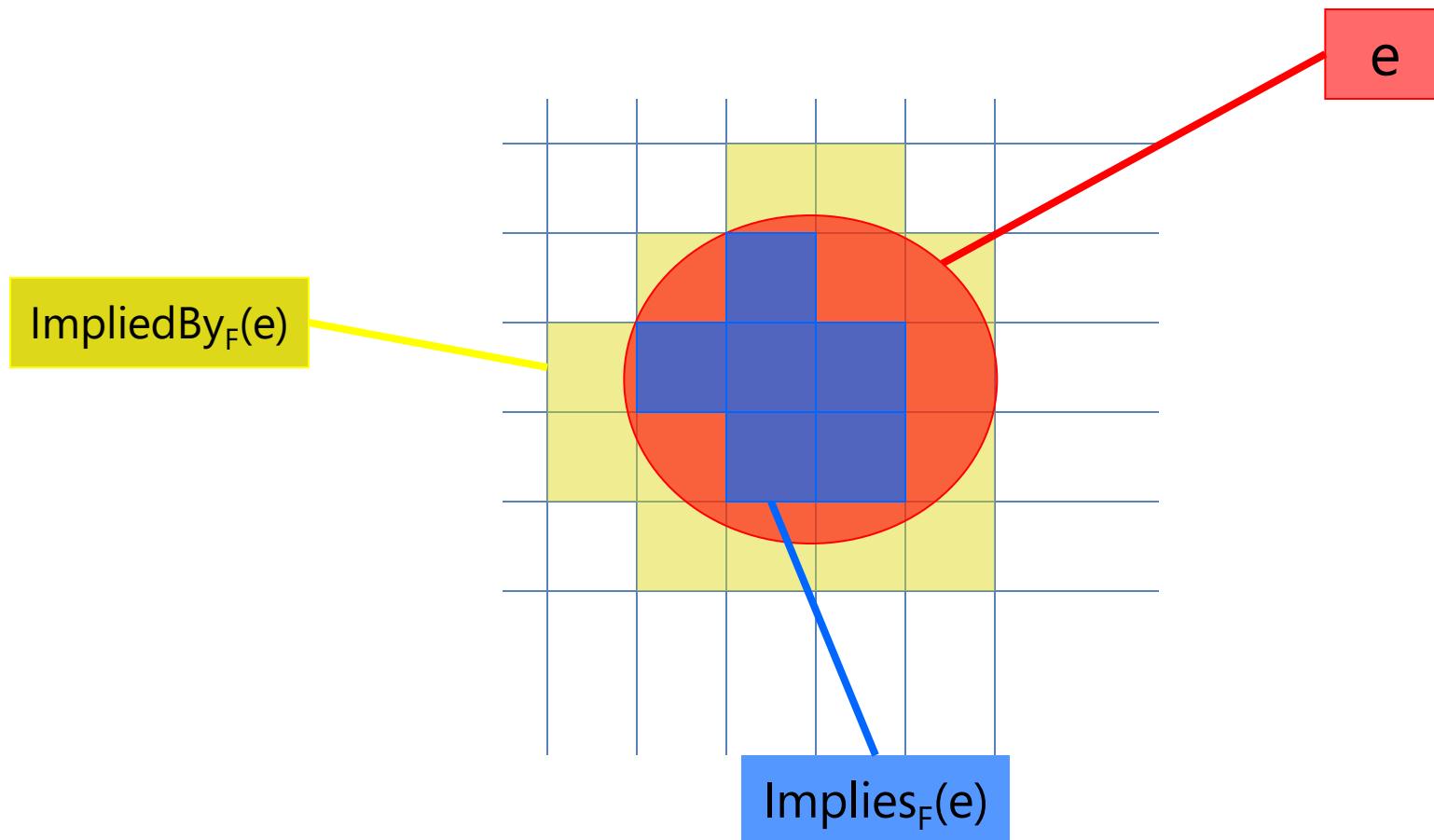
Predicate Abstraction: *c2bp*

- Given a C program P and $F = \{p_1, \dots, p_n\}$.
- Produce a Boolean program $B(P, F)$
 - Same control flow structure as P .
 - Boolean variables $\{b_1, \dots, b_n\}$ to match $\{p_1, \dots, p_n\}$.
 - Properties true in $B(P, F)$ are true in P .
- Each p_i is a pure Boolean expression.
- Each p_i represents set of states for which p_i is true.
- Performs modular abstraction.

Abstracting Expressions via F

- $\text{Implies}_F(e)$
 - Best Boolean function over F that implies e .
- $\text{ImpliedBy}_F(e)$
 - Best Boolean function over F that is implied by e .
 - $\text{ImpliedBy}_F(e) = \text{not } \text{Implies}_F(\text{not } e)$

$\text{Implies}_F(e)$ and $\text{ImpliedBy}_F(e)$



Computing $\text{Implies}_F(e)$

- minterm $m = I_1$ and ... and I_n , where $I_i = p_i$, or $I_i = \text{not } p_i$.
- $\text{Implies}_F(e)$: disjunction of all minterms that imply e .
- Naive approach
 - Generate all 2^n possible minterms.
 - For each minterm m , use SMT solver to check validity of m implies e .
- Many possible optimizations

Computing $\text{Implies}_F(e)$

- $F = \{ x < y, x = 2 \}$
- $e : y > 1$
- Minterms over F
 - $\neg x < y, \neg x = 2 \text{ implies } y > 1$
 - $x < y, \neg x = 2 \text{ implies } y > 1$
 - $\neg x < y, x = 2 \text{ implies } y > 1$
 - $x < y, x = 2 \text{ implies } y > 1$

Computing $\text{Implies}_F(e)$

- $F = \{ x < y, x = 2 \}$
- $e : y > 1$
- Minterms over F
 - $\neg(x < y), \neg(x = 2) \text{ implies } y > 1$
 - $x < y, \neg(x = 2) \text{ implies } y > 1$
 - $\neg(x < y), x = 2 \text{ implies } y > 1$
 - $x < y, x = 2 \text{ implies } y > 1$

Computing $\text{Implies}_F(e)$

- $F = \{ x < y, x = 2 \}$
- $e : y > 1$
- Minterms over F
 - $\neg(x < y), \neg(x = 2) \text{ implies } y > 1$
 - $x < y, \neg(x = 2) \text{ implies } y > 1$
 - $\neg(x < y), x = 2 \text{ implies } y > 1$
 - $x < y, x = 2 \text{ implies } y > 1$

$$\text{Implies}_F(y > 1) = x < y \wedge x = 2$$

Computing $\text{Implies}_F(e)$

- $F = \{ x < y, x = 2 \}$
- $e : y > 1$
- Minterms over F
 - $\neg(x < y), \neg(x = 2) \text{ implies } y > 1$
 - $x < y, \neg(x = 2) \text{ implies } y > 1$
 - $\neg(x < y), x = 2 \text{ implies } y > 1$
 - $x < y, x = 2 \text{ implies } y > 1$

$$\text{Implies}_F(y > 1) = b_1 \wedge b_2$$

Newton

- Given an error path p in the Boolean program B .
- Is p a feasible path of the corresponding C program?
 - Yes: found a bug.
 - No: find predicates that explain the infeasibility.
- Execute path symbolically.
- Check conditions for inconsistency using SMT solver.

SLAM \leftrightarrow Z3: Unsatisfiable cores

- Let S be an unsatisfiable set of formulas.
- $S' \subseteq S$ is an **unsatisfiable core** of S if:
 - S' is also unsatisfiable, and
 - There is not $S'' \subset S'$ that is also unsatisfiable.
- Computing $\text{Implies}_F(e)$ with $F = \{p_1, p_2, p_3, p_4\}$
 - Assume $p_1, p_2, p_3, p_4 \Rightarrow e$ is valid
 - That is $p_1, p_2, p_3, p_4, \neg e$ is unsat
 - Now assume $p_1, p_3, \neg e$ is the **unsatisfiable core**
 - Then it is unnecessary to check:
 - $p_1, \neg p_2, p_3, p_4 \Rightarrow e$
 - $p_1, \neg p_2, p_3, \neg p_4 \Rightarrow e$
 - $p_1, p_2, p_3, \neg p_4 \Rightarrow e$

SMT: Some Applications (Extra)

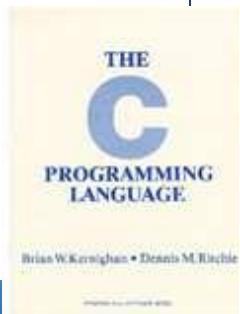
Bit-Precise
Static Analysis

What is wrong here?

```
int binary_search(int[] arr, int low,
                  int high, int key)
while (low <= high)
{
    // Find middle value
    int mid = (low + high) / 2;
    int val = arr[mid];
    if (val == key) return mid;
    if (val < key) low = mid+1;
    else high = mid-1;
}
return -1;
```

Package: java.util.Arrays
Function: binary_search

```
void itoa(int n, char* s) {
    if (n < 0) {
        *s++ = '-';
        n = -n;
    }
    // Add digits to s
    ....
```



Book: Kernighan and Ritchie
Function: itoa (integer to ascii)

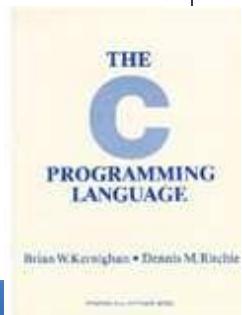
What is wrong here?

```
int binary_search(int arr[], int key, int low, int high) {
    while (low <= high) {
        // Find middle value
        int mid = (low + high) / 2;
        int val = arr[mid];
        if (val == key) return mid;
        if (val < key) low = mid+1;
        else high = mid-1;
    }
    return -1;
}
```

Package: java.util.Arrays
Function: binary_search

$$\begin{aligned}3(\text{INT_MAX}+1)/4 + \\ (\text{INT_MAX}+1)/4 \\ = \text{INT_MIN}\end{aligned}$$

```
int itoa(int n, char* s) {
    if (n < 0) {
        *s++ = '-';
        n = -n;
    }
    // Add digits to s
    ....
```



Book: Kernighan and Ritchie
Function: itoa (integer to ascii)

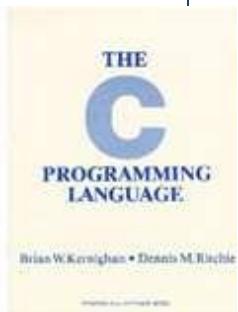
What is wrong here?

```
int binary_search(int arr[], int low, int high, int key) {
    while (low <= high) {
        // Find middle value
        int mid = (low + high) / 2;
        int val = arr[mid];
        if (val == key) return mid;
        if (val < key) low = mid+1;
        else high = mid-1;
    }
    return -1;
}
```

Package: java.util.Arrays
Function: binary_search

$$3(\text{INT_MAX}+1)/4 + (\text{INT_MAX}+1)/4 = \text{INT_MIN}$$

```
id itoa(int n, char* s) {
    if (n < 0) {
        *s++ = '-';
        n = -n;
    }
    // Add digits to s
    ....
```



Book: Kernighan and Ritchie
Function: itoa (integer to ascii)

The PREfix Static Analysis Engine

```
int init_name(char **outname, uint n)
{
    if (n == 0) return 0;
    else if (n > UINT16_MAX) exit(1);
    else if ((*outname = malloc(n)) == NULL) {
        return 0xC0000095; // NT_STATUS_NO_MEM;
    }
    return 0;
}

int get_name(char* dst, uint size)
{
    char* name;
    int status = 0;
    status = init_name(&name, size);
    if (status != 0) {
        goto error;
    }
    strcpy(dst, name);
error:
    return status;
}
```

C/C++ functions

The PREfix Static Analysis Engine

```
int init_name(char **outname, uint n)
{
    if (n == 0) return 0;
    else if (n > UINT16_MAX) exit(1);
    else if ((*outname = malloc(n)) == NULL) {
        return 0xC0000095; // NT_STATUS_NO_MEM;
    }
    return 0;
}
```

```
int get_name(char* dst, uint size)
{
    char* name;
    int status = 0;
    status = init_name(&name, size);
    if (status != 0) {
        goto error;
    }
    strcpy(dst, name);
error:
    return status;
}
```

model for function init_name

```
outcome init_name_0:
    guards: n == 0
    results: result == 0
outcome init_name_1:
    guards: n > 0; n <= 65535
    results: result == 0xC0000095
outcome init_name_2:
    guards: n > 0; n <= 65535
    constraints: valid(outname)
    results: result == 0; init(*outname)
```

models

C/C++ functions

The PREfix Static Analysis Engine

```
int init_name(char **outname, uint n)
{
    if (n == 0) return 0;
    else if (n > UINT16_MAX) exit(1);
    else if ((*outname = malloc(n)) == NULL) {
        return 0xC0000095; // NT_STATUS_NO_MEM;
    }
    return 0;
}
```

```
int get_name(char* dst, uint size)
{
    char* name;
    int status = 0;
    status = init_name(&name, size);
    if (status != 0) {
        goto error;
    }
    strcpy(dst, name);
error:
    return status;
}
```

model for function init_name
outcome init_name_0:
guards: n == 0
results: result == 0
outcome init_name_1:
guards: n > 0; n <= 65535
results: result == 0xC0000095
outcome init_name_2:
guards: n > 0; n <= 65535
constraints: valid(outname)
results: result == 0; init(*outname)

path for function get_name
guards: size == 0
constraints:
facts: init(dst); init(size); status == 0

pre-condition for function strcpy
init(dst) and valid(name)

models

paths

C/C++ functions

warnings

Overflow on unsigned addition

```
iElement = m_nSize;  
if( iElement >= m_nMaxSize )  
{  
    bool bSuccess = GrowBuffer( iElement+1 );  
    ...  
}  
::new( m_pData+iElement ) E( element );  
m_nSize++;
```

m_nSize == m_nMaxSize == UINT_MAX

iElement + 1 == 0

Write in
unallocated
memory

Code was written
for address space
< 4GB

Using an overflow value as allocation size

```
ULONG AllocationSize;  
while (CurrentBuffer != NULL) {  
    if (NumberOfBuffers > MAX ULONG / sizeof(MYBUFFER)) {  
        return NULL;  
    }  
    NumberofBuffers++;  
    CurrentBuffer = CurrentBuffer->NextBuffer;  
}  
AllocationSize = sizeof(MYBUFFER)*NumberOfBuffers;  
UserBuffersHead = malloc(AllocationSize);
```

Overflow check

Increment and exit
from loop

Possible
overflow

Other Microsoft clients

- Model programs (M. Veanaes – MSRR)
- Termination (B. Cook – MSRC)
- Security protocols (A. Gordon and C. Fournet - MSRC)
- Business Application Modeling (E. Jackson - MSRR)
- Cryptography (R. Venki – MSRR)
- Verifying Garbage Collectors (C. Hawblitzel – MSRR)
- Model Based Testing (L. Bruck – SQL)
- Semantic type checking for D models (G. Bierman – MSRC)
- **More coming soon...**

Conclusion

- SMT is hot at Microsoft.
- Many applications.
- Z3 is an **efficient** SMT solver.
- <http://research.microsoft.com/projects/z3>
- <http://research.microsoft.com/~leonardo>

Thank You!