DSA5104
Principles of Data Management and Retrieval

Lecture 2: Introduction to SQL

PART ONE

Introduction to SQL

Outline

- Overview of The SQL Query Language
- SQL Data Definition
- Basic Query Structure of SQL Queries
- Additional Basic Operations
- Set Operations
- Null Values
- Aggregate Functions
- Nested Subqueries
- Modification of the Database

History

- IBM Sequel language developed as part of System R project at the IBM San Jose Research Laboratory in the early 1970s
  - Renamed to Structured Query Language (SQL)
- ANSI and ISO standard SQL:
  - SQL-86
  - SQL-89
  - SQL-92
  - SQL:1999
  - SQL:2003, 2006, 2008, 2011, 2016, 2019
- Commercial systems offer most, if not all, SQL-92 features, plus varying feature sets from later standards and special proprietary features.
  - Not all examples here may work on your particular system.

SQL Parts

- DDL - provides commands for defining relation schemas, deleting relations, and modifying relation schemas
- DML - provides the ability to query information from the database and to insert tuples into, delete tuples from, and modify tuples in the database.
- Integrity – the SQL DDL includes commands for specifying integrity constraints.
- View definition - the SQL DDL includes commands for defining views.
- Transaction control –includes commands for specifying the beginning and ending of transactions.
- Embedded SQL and dynamic SQL - define how SQL statements can be embedded within general-purpose programming languages (C++, Java)
- Authorization – includes commands for specifying access rights to relations and views.

Data Definition Language

The SQL data-definition language (DDL) allows the specification of information about relations, including:

- The schema for each relation.
- The type of values associated with each attribute.
- The Integrity constraints
- The set of indices to be maintained for each relation.
- Security and authorization information for each relation.
- The physical storage structure of each relation on disk.

Domain Types in SQL

- **char(n).** Fixed length character string, with user-specified length *n.*
- **varchar(n).** Variable length character strings, with user-specified maximum length *n.*
- **int.** Integer (a finite subset of the integers that is machine-dependent).
- **smallint.** Small integer (a machine-dependent subset of the integer domain type).
- **numeric(p,d).** Fixed point number, with user-specified precision of *p* digits, with *d* digits to the right of decimal point. (ex., **numeric**(3,1), allows 44.5 to be stores exactly, but not 444.5 or 0.32)
- **real, double precision.** Floating point and double-precision floating point numbers, with machine-dependent precision.
- **float(n).** Floating point number, with user-specified precision of at least *n* digits.

Basic Schema Definition - Create Table

- An SQL relation is defined using the **create table** command:

  create table *r*

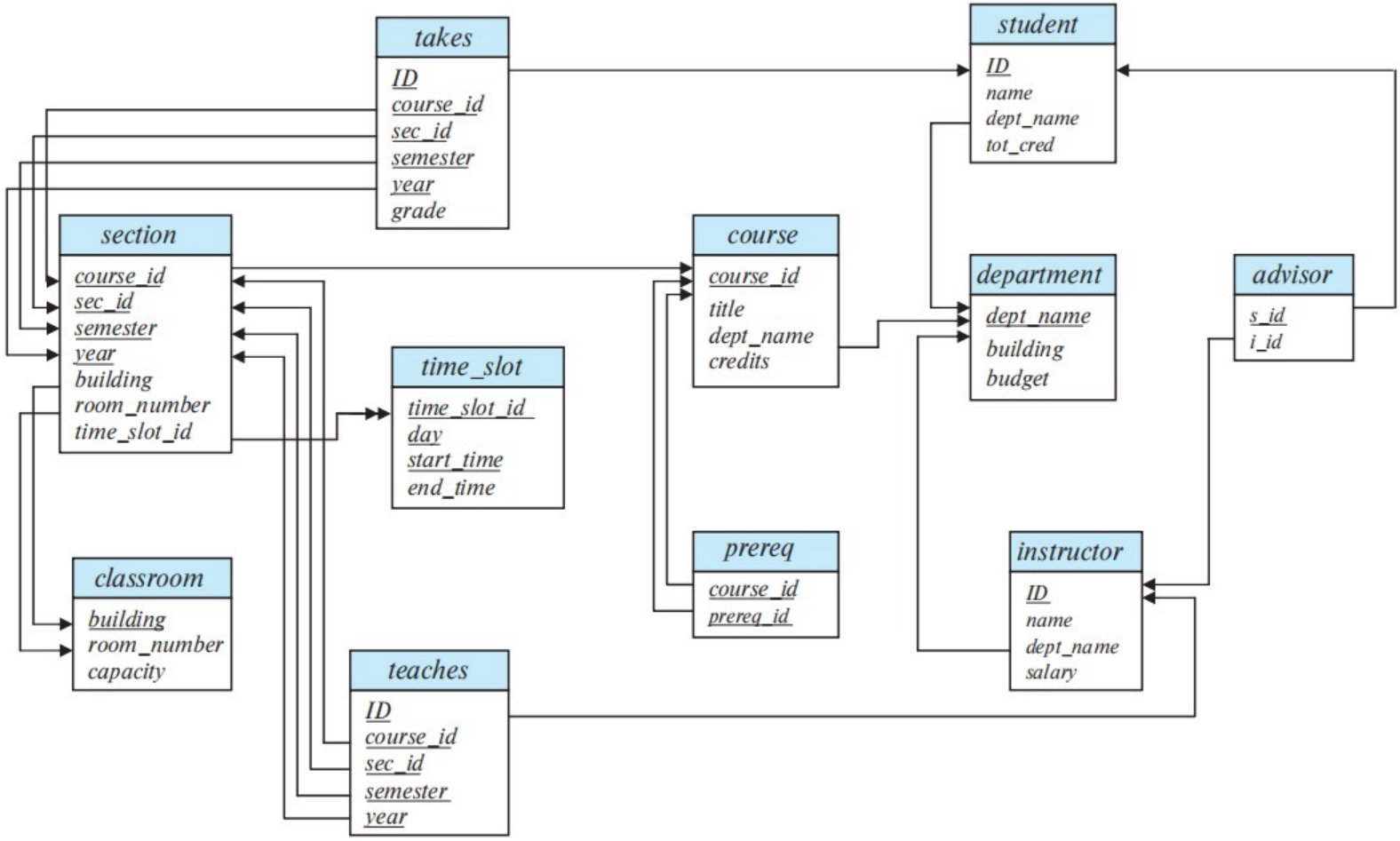
  (A_1 D_1, A_2 D_2, ..., A_n D_n,
  (integrity-constraint$_1$),
  ...,
  (integrity-constraint$_k$))

  - *r* is the name of the relation
  - each A_i is an attribute name in the schema of relation *r*
  - D_i is the data type of values in the domain of attribute A_i
- Example:

  create table *instructor* (
  ID **char**(5),
  name **varchar**(20),
  dept_name **varchar**(20),
  salary **numeric**(8,2))

ID	*name*	*dept_name*	*salary*
22222	Einstein	Physics	95000
12121	Wu	Finance	90000
32343	El Said	History	60000
45565	Katz	Comp. Sci.	75000
98345	Kim	Elec. Eng.	80000
76766	Crick	Biology	72000
10101	Srinivasan	Comp. Sci.	65000
58583	Califieri	History	62000
83821	Brandt	Comp. Sci.	92000
15151	Mozart	Music	40000
33456	Gold	Physics	87000
76543	Singh	Finance	80000

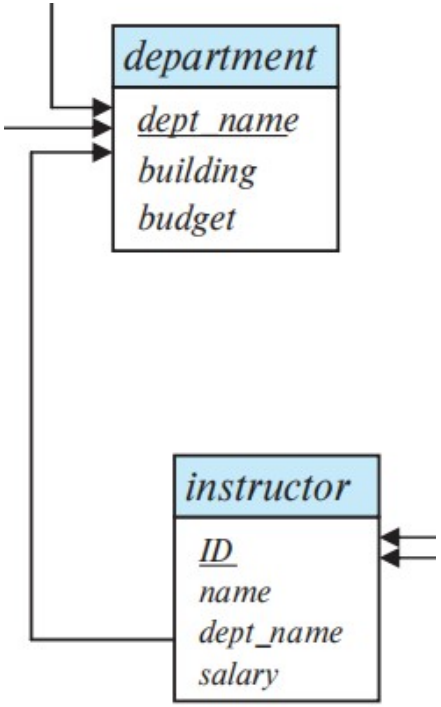
Schema Diagram for University Database



Integrity Constraints in Create Table

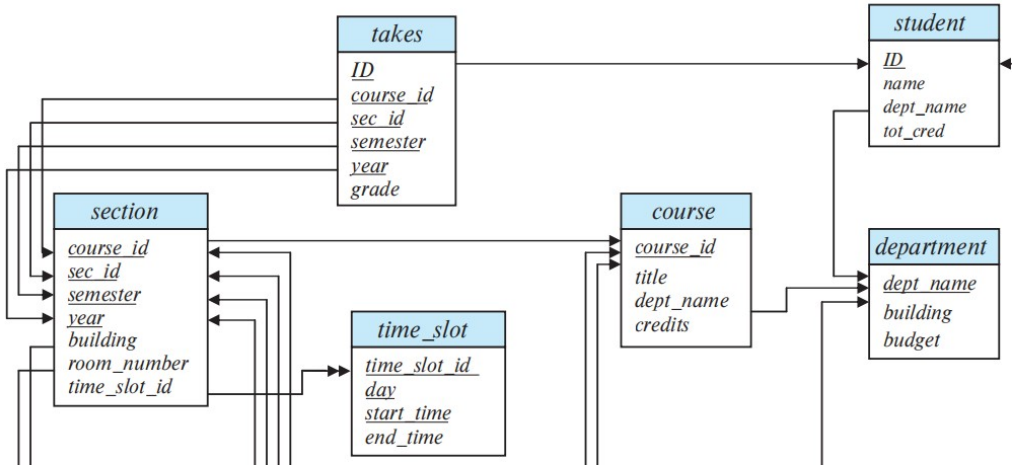
- Types of integrity constraints
  - **primary key** (A_1, ..., A_n)
  - **foreign key** (A_m, ..., A_n) **references** *r*
  - **not null**
- SQL prevents any update to the database that violates an integrity constraint.
- Example:

```
create table instructor (
        ID          char(5),
        name        varchar(20) not null,
        dept_name   varchar(20),
        salary      numeric(8,2),
        primary key (ID),
        foreign key (dept_name) references department);
```



More Relation Definitions

- **create table** *student* (
  ID **varchar**(5),
  name **varchar**(20) not null,
  dept_name **varchar**(20),
  tot_cred **numeric**(3,0),
  primary key *(ID),*
  foreign key *(dept_name)* **references** *department*);



- **create table** *takes* (
  ID **varchar**(5),
  course_id **varchar**(8),
  sec_id **varchar**(8),
  semester **varchar**(6),
  year **numeric**(4,0),
  grade **varchar**(2),
  primary key *(ID, course_id, sec_id, semester, year)* ,
  foreign key (*ID*) **references** *student,*
  foreign key (*course_id, sec_id, semester, year*) **references** *section*);

course_id	*sec_id*	*semester*	*year*	*building*	*room_number*	*time_slot_id*
BIO-101	1	Summer	2017	Painter	514	B
BIO-301	1	Summer	2018	Painter	514	A
CS-101	1	Fall	2017	Packard	101	H
CS-101	1	Spring	2018	Packard	101	F
CS-190	1	Spring	2017	Taylor	3128	E
CS-190	2	Spring	2017	Taylor	3128	A
CS-315	1	Spring	2018	Watson	120	D
CS-319	1	Spring	2018	Watson	100	B
CS-319	2	Spring	2018	Taylor	3128	C
CS-347	1	Fall	2017	Taylor	3128	A
EE-181	1	Spring	2017	Taylor	3128	C
FIN-201	1	Spring	2018	Packard	101	B
HIS-351	1	Spring	2018	Painter	514	C
MU-199	1	Spring	2018	Packard	101	D
PHY-101	1	Fall	2017	Watson	100	A

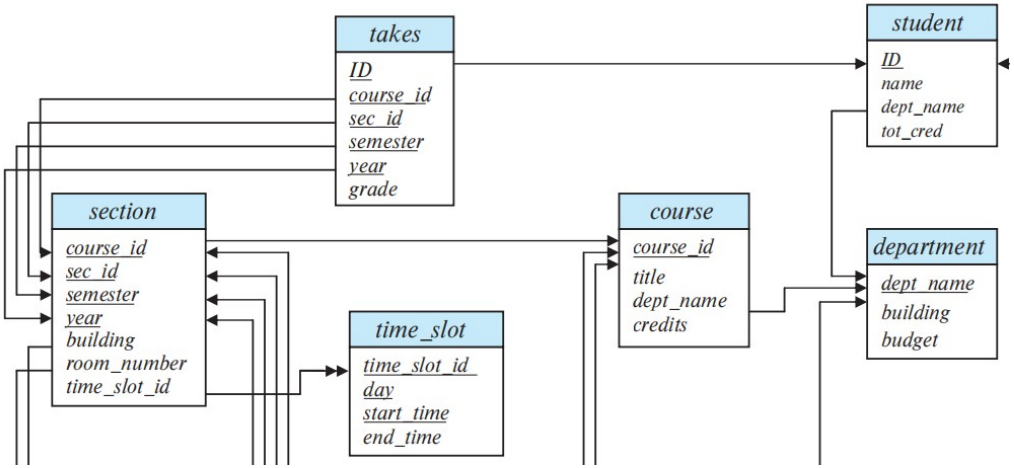
Figure 2.6 The *section* relation.

More Relation Definitions (cont.)

- **create table** *course* (
  course_id **varchar**(8),
  title **varchar(**50),
  dept_name **varchar**(20),
  credits **numeric**(2,0),

  primary key *(course_id),*

  foreign key (*dept_name*) **references** *department*);



Updates to Tables

- **Insert**
  - **insert into** *instructor* **values** ('10211', 'Smith', 'Biology', 66000);
- **Delete**
  - Remove all tuples from the *student* relation
    - **delete from** *student*
- **Drop Table**
  - **drop table** *r*
- **Alter**
  - **alter table** *r* **add** *A D*
    - where *A* is the name of the attribute to be added to relation *r* and *D* is the domain of *A.*
    - All exiting tuples in the relation are assigned *null* as the value for the new attribute.
  - **alter table** *r* **drop** *A*
    - where *A* is the name of an attribute of relation *r*
    - Dropping of attributes not supported by many databases.

Basic Query Structure

- A typical SQL query has the form:

  select A_1, A_2, ..., A_n
  from r_1, r_2, ..., r_m
  where P

  - A_i represents an attribute
  - R_i represents a relation
  - P is a predicate.
- The result of an SQL query is a relation.

The select Clause

- The **select** clause lists the attributes desired in the result of a query
  - Corresponds to the projection operation of the relational algebra
- Example: find the names of all instructors:

  select *name*
  from *instructor*
- NOTE: SQL names are case insensitive (i.e., you may use upper- or lower-case letters.)
  - E.g., *Name* ≡ *NAME* ≡ *name*
  - Some people use upper case wherever we use bold font.

The select Clause (Cont.)

- SQL allows duplicates in relations as well as in query results.
- To force the elimination of duplicates, insert the keyword **distinct** after select**.**
- Find the department names of all instructors, and remove duplicates

```
select distinct dept_name
from instructor
```

- The keyword **all** specifies that duplicates should not be removed.

```
select all dept_name
from instructor
```

(= **select** *dept name* **from** *instructor)*

dept_name
Comp. Sci.
Finance
Music
Physics
History
Physics
Comp. Sci.
History
Finance
Biology
Comp. Sci.
Elec. Eng.

The select Clause (Cont.)

- An asterisk in the select clause denotes “all attributes”

```
select *
from instructor
```

- An attribute can be a literal with no **from** clause

```
select '437'
```

  - Results is a table with one column and a single row with value “437”
  - Can give the column a name using:

```
select '437' as FOO
```

- An attribute can be a literal with **from** clause

```
select 'A'
from instructor
```

  - Result is a table with one column and *N* rows (number of tuples in the *instructors* table), each row with value “A”

The select Clause (Cont.)

- The **select** clause can contain arithmetic expressions involving the operation, +, –, , and /, and operating on constants or attributes of tuples.
  - The query:

    select *ID, name, salary/12*
    from *instructor*

    would return a relation that is the same as the *instructor* relation, except that the value of the attribute *salary* is divided by 12.
  - Can rename "s*alary/12"* using the **as** clause:

    select *ID, name, salary/12* **as** *monthly_salary*

The where Clause

- The **where** clause specifies conditions that the result must satisfy
  - Corresponds to the selection predicate of the relational algebra.
- To find all instructors in Comp. Sci. dept

```
select name
from instructor
where dept_name = 'Comp. Sci.'
```

- SQL allows the use of the logical connectives **and, or,** and **not**
- The operands of the logical connectives can be expressions involving the comparison operators <, <=, >, >=, =, and <>.
- Comparisons can be applied to results of arithmetic expressions
- To find all instructors in Comp. Sci. dept with salary > 70000

```
select name
from instructor
where dept_name = 'Comp. Sci.' and salary > 70000
```

name
Katz
Brandt

The from Clause

- The **from** clause lists the relations involved in the query
  - Corresponds to the Cartesian product operation of the relational algebra.
- Find the Cartesian product *instructor X teaches*

  select
  from *instructor, teaches*

  - generates every possible instructor – teaches pair, with all attributes from both relations.
  - For common attributes (e.g., *ID*), the attributes in the resulting table are renamed using the relation name (e.g., *instructor.ID*)
- Cartesian product not very useful directly, but useful combined with where-clause condition (selection operation in relational algebra).

Examples

- Find the names of all instructors who have taught some course and the course_id
  - **select** *name, course_id*
    from *instructor , teaches*
    where *instructor.ID = teaches.ID*
- Find the names of all instructors in the Art department who have taught some course and the course_id
  - **select** *name, course_id*
    from *instructor , teaches*
    where *instructor.ID = teaches.ID*
    and *instructor. dept_name* = 'Comp. Sci.'

name	*course_id*
Srinivasan	CS-101
Srinivasan	CS-315
Srinivasan	CS-347
Wu	FIN-201
Mozart	MU-199
Einstein	PHY-101
El Said	HIS-351
Katz	CS-101
Katz	CS-319
Crick	BIO-101
Crick	BIO-301
Brandt	CS-190
Brandt	CS-190
Brandt	CS-319
Kim	EE-181

The Rename Operation

- The SQL allows renaming relations and attributes using the **as** clause:

  old-name **as** *new-name*

- Find the names of all instructors who have a higher salary than some instructor in 'Comp. Sci'.
  - **select distinct** *T.name*
    from *instructor* **as** *T, instructor* **as** *S*
    where *T.salary* > *S.salary* **and** *S.dept_name* = *'Comp. Sci.'*

- Keyword **as** is optional and may be omitted

  instructor **as** *T* ≡ *instructor T*

Self Join Example

- Relation *emp-super*

person	*supervisor*
Bob	Alice
Mary	Susan
Alice	David
David	Mary

- Find the supervisor of “Bob”
- Find the supervisor of the supervisor of “Bob”
- Can you find ALL the supervisors (direct and indirect) of “Bob”?

String Operations

- SQL includes a string-matching operator for comparisons on character strings. The operator **like** uses patterns that are described using two special characters:
  - percent (%). The % character matches any substring.
  - underscore (_). The _ character matches any character.
- Find the names of all instructors whose name includes the substring “dar”.

```
select name
from instructor
where name like '%dar%'
```

- Match the string “100%”

```
like '100 \%'  escape  '\'
```

in that above we use backslash (\) as the escape character.

String Operations (Cont.)

- Patterns are case sensitive.
- Pattern matching examples:
  - 'Intro%' matches any string beginning with “Intro”.
  - '%Comp%' matches any string containing “Comp” as a substring.
  - '_ _ _' matches any string of exactly three characters.
  - '_ _ _ %' matches any string of at least three characters.
- SQL supports a variety of string operations such as
  - concatenation (using “||”)
  - converting from upper to lower case (and vice versa)
  - finding string length, extracting substrings, etc.

Ordering the Display of Tuples

- List in alphabetic order the names of all instructors

```
select distinct name
from    instructor
order by name
```

- We may specify **desc** for descending order or **asc** for ascending order, for each attribute; ascending order is the default.
  - Example: **order by** *name* **desc**
- Can sort on multiple attributes
  - Example: **order by** *dept_name, name*

Where Clause Predicates

- SQL includes a **between** comparison operator
- Example: Find the names of all instructors with salary between $90,000 and $100,000 (that is, $90,000 and $100,000)
  - **select** *name*
    from *instructor*
    where *salary* **between** 90000 **and** 100000
- Tuple comparison
  - **select** *name*, *course_id*
    from *instructor*, *teaches*
    where (*instructor.ID*, *dept_name*) = (*teaches.ID*, 'Biology');

Set Operations

- Find courses that ran in Fall 2017 or in Spring 2018

  (**select** *course_id* **from** *section* **where** *sem* = 'Fall' **and** *year* = 2017)
  union
  (**select** *course_id* **from** *section* **where** *sem* = 'Spring' **and** *year* = 2018)

- Find courses that ran in Fall 2017 and in Spring 2018

  (**select** *course_id* **from** *section* **where** *sem* = 'Fall' **and** *year* = 2017)
  intersect
  (**select** *course_id* **from** *section* **where** *sem* = 'Spring' **and** *year* = 2018)

- Find courses that ran in Fall 2017 but not in Spring 2018

  (**select** *course_id* **from** *section* **where** *sem* = 'Fall' **and** *year* = 2017)
  except
  (**select** *course_id* **from** *section* **where** *sem* = 'Spring' **and** *year* = 2018)

Set Operations (Cont.)

- Set operations **union**, **intersect**, and **except**
  - Each of the above operations automatically eliminates duplicates
- To retain all duplicates use the
  - **union all**,
  - **intersect all**
  - **except all**.

Null Values

- It is possible for tuples to have a null value, denoted by **null**, for some of their attributes
- **null** signifies an unknown value or that a value does not exist.
- The result of any arithmetic expression involving **null** is **null**
  - Example: 5 + **null** returns **null**
- The predicate **is null** can be used to check for null values.
  - Example: Find all instructors whose salary is null.

    select *name*
    from *instructor*
    where *salary* **is null**
- The predicate **is not null** succeeds if the value on which it is applied is not null.

Null Values (Cont.)

- SQL treats as **unknown** the result of any comparison involving a null value (other than predicates **is null** and **is not null**).
  - Example*: 5* < **null** or **null** <> **null** or **null** = **null**
- The predicate in a **where** clause can involve Boolean operations (**and**, **or**, **not**); thus the definitions of the Boolean operations need to be extended to deal with the value **unknown**.
  - **and** : *(true* **and** *unknown)* = *unknown,*
    (false **and** *unknown)* = *false,*
    (unknown **and** *unknown)* = *unknown*
  - **or:** (*unknown* **or** *true*) = *true*,
    (*unknown* **or** *false*) = *unknown*
    (*unknown* **or** *unknown)* = *unknown*
- Result of **where** clause predicate is treated as *false* if it evaluates to *unknown*

Aggregate Functions

- These functions operate on the multiset of values of a column of a relation, and return a value

avg: average value
min: minimum value
max: maximum value
sum: sum of values
count: number of values

Aggregate Functions Examples

- Find the average salary of instructors in the Computer Science department
  - **select avg** (*salary*)
    from *instructor*
    where *dept_name*= 'Comp. Sci.';
- Find the total number of instructors who teach a course in the Spring 2018 semester
  - **select count** (**distinct** *ID*)
    from *teaches*
    where *semester* = 'Spring' **and** *year* = 2018;
- Find the number of tuples in the *course* relation
  - **select count** (*)
    from *course*;

Aggregate Functions – Group By

- Find the average salary of instructors in each department
  - **select** *dept_name*, **avg** (*salary*) **as** *avg_salary*
    from *instructor*
    group by *dept_name*;

ID	*name*	*dept_name*	*salary*
76766	Crick	Biology	72000
45565	Katz	Comp. Sci.	75000
10101	Srinivasan	Comp. Sci.	65000
83821	Brandt	Comp. Sci.	92000
98345	Kim	Elec. Eng.	80000
12121	Wu	Finance	90000
76543	Singh	Finance	80000
32343	El Said	History	60000
58583	Califieri	History	62000
15151	Mozart	Music	40000
33456	Gold	Physics	87000
22222	Einstein	Physics	95000

Tuples of the *instructor* relation, grouped by the *dept_name* attribute.

dept_name	*avg_salary*
Biology	72000
Comp. Sci.	77333
Elec. Eng.	80000
Finance	85000
History	61000
Music	40000
Physics	91000

Aggregation (Cont.)

- Attributes in **select** clause outside of aggregate functions must appear in **group by** list
  - /* erroneous query */
    select *dept_name*, *ID*, **avg** (*salary*)
    from *instructor*
    group by *dept_name*;

Aggregate Functions – Having Clause

- Find the names and average salaries of all departments whose average salary is greater than 42000

  select *dept_name*, **avg** (*salary*) **as** *avg_salary*
  from *instructor*
  group by *dept_name*
  having avg (*salary*) > 42000;

- Note: predicates in the **having** clause are applied after the formation of groups whereas predicates in the **where** clause are applied before forming groups

Nested Subqueries

- SQL provides a mechanism for the nesting of subqueries. A **subquery** is a **select-from-where** expression that is nested within another query.
- The nesting can be done in the following SQL query

  select A_1, A_2, ..., A_n
  from r_1, r_2, ..., r_m
  where P

  as follows:

  - **From clause:** r_i can be replaced by any valid subquery
  - **Where clause:** P can be replaced with an expression of the form:

    B <operation> (subquery)

    B is an attribute and <operation> to be defined later.
  - **Select clause:**

    A_i can be replaced be a subquery that generates a single value.

Set Membership

- Find courses offered in Fall 2017 and in Spring 2018

```
select distinct course_id
from section
where semester = 'Fall' and year= 2017 and
        course_id in (select course_id
                      from section
                      where semester = 'Spring' and year= 2018);
```

- Find courses offered in Fall 2017 but not in Spring 2018

```
select distinct course_id
from section
where semester = 'Fall' and year= 2017 and
        course_id  not in (select course_id
                           from section
                           where semester = 'Spring' and year= 2018);
```

Set Membership (Cont.)

- Name all instructors whose name is neither “Mozart” nor Einstein”

```
select distinct name
from instructor
where  name not in ('Mozart', 'Einstein')
```

- Find the total number of (distinct) students who have taken course sections taught by the instructor with *ID* 10101

```
select count (distinct ID)
from takes
where (course_id, sec_id, semester, year) in
                    (select course_id, sec_id, semester, year
                     from teaches
                     where teaches.ID= 10101);
```

- Note: Above query can be written in a much simpler manner.
  The formulation above is simply to illustrate SQL features

Set Comparison – “some” Clause

- Find names of instructors with salary greater than that of some (at least one) instructor in the Biology department.

```
select distinct T.name
from instructor as T, instructor as S
where T.salary > S.salary and S.dept name = 'Biology';
```

- Same query using > **some** clause

```
select name
from instructor
where salary > some (select salary
                     from instructor
                     where dept name = 'Biology');
```

Definition of "some" Clause

- F <comp> **some** $r \Leftrightarrow \exists\, t \in r$ such that (F <comp> t)
  Where <comp> can be: $<,\ \leq,\ >,\ =,\ \neq$

(5 < **some** | 0 / 5 / 6 |) = true (read: 5 < some tuple in the relation)

(5 < **some** | 0 / 5 |) = false

(5 = **some** | 0 / 5 |) = true

(5 ≠ **some** | 0 / 5 |) = true (since 0 ≠ 5)

(= **some**) ≡ **in**
However, (≠ **some**) ≢ **not in**

Set Comparison – “all” Clause

- Find the names of all instructors whose salary is greater than the salary of all instructors in the Biology department.

```
select name
from instructor
where salary > all (select salary
                    from instructor
                    where dept name = 'Biology');
```

Definition of “all” Clause

- F <comp> **all** $r \Leftrightarrow \forall\ t \in r$ (F <comp> *t)*

(5 < **all** | 0 / 5 / 6) = false

(5 < **all** | 6 / 10) = true

(5 = **all** | 4 / 5) = false

(5 ≠ **all** | 4 / 6) = true (since 5 ≠ 4 and 5 ≠ 6)

(≠ **all**) ≡ **not in**
However, (= **all**) ≢ **in**