Algebra

April 12, 2017

# 1 Group theory

### 1.1 Week 1

**Def 1.** A non-empty set G with a binary function  $f: G \times G \to G, (a,b) \mapsto ab$  is a **group** if it satisfies

- 1. (ab)c = a(bc).
- 2.  $\exists 1 \in G \text{ s.t. } 1a = a1 = a, \forall a \in G.$
- 3.  $\exists a^{-1} \in G \text{ s.t. } aa^{-1} = a^{-1}a = 1.$

CONCON

**Def 2.** Let G be a group. Then G is said to be **abelian** if  $\forall a, b \in G, ab = ba$ .

**Ex 1.1.1.** Let G be a semigroup. Then TFAE (the following are equivalent)

- 1. G is a group.
- 2. For all  $a, b \in G$  and the equations bx = a, yb = a, each of them has a solution in G.
- 3.  $\exists e \in G \text{ s.t. } ae = a \ \forall a \in G \text{ and if we fix such } e, \text{ then } \forall b \in G \ \exists b' \in G \text{ s.t. } bb' = e.$

**Ex 1.1.2.** Let G be a group. Show that

- 1.  $\forall a \in G, a^2 = 1$ , then G is abelian.
- 2. G is abelian  $\iff \forall a, b \in G, (ab)^n = a^n b^n$  for three consecutive integer n.

**Def 3.** Let G be a group and  $H \subseteq G, H \neq \phi$ . Then H is said to be a subgroup of G, denoted by  $H \subseteq G$ , if

- 1.  $\forall a, b \in H, ab \in H$ .
- 2.  $1 \in H$ .
- 3.  $\forall a \in H, a^{-1} \in H$ .

useful criterion:  $H \leq G \iff \forall a, b \in H, ab^{-1} \in H$ .

Proof.

- $\Rightarrow$   $b \in H \implies b^{-1} \in H$ , and  $a \in H$ , so  $ab^{-1} \in H$ .
- $\ \ \, \Leftarrow \quad \ \ 1. \ H \neq \phi \implies \exists a \in H \implies aa^{-1} = 1 \in H.$ 
  - 2.  $1, a \in H \implies 1a^{-1} = a^{-1} \in H$ .
  - 3.  $a, b^{-1} \in H \implies a(b^{-1})^{-1} = ab \in H$ .

**Eg 1.1.1.**  $(\mathbb{Z}, +, 0) \le (\mathbb{Q}, +, 0) \le (\mathbb{R}, +, 0) \le (\mathbb{C}, +, 0)$ ;  $(\mathbb{Q}^{\times}, \times, 1) \le (\mathbb{R}^{\times}, \times, 1) \le (\mathbb{C}^{\times}, \times, 1)$ 

Eg 1.1.2.

- Special linear group  $SL(n, \mathbb{F}) = \{ A \in GL(n, \mathbb{F}) \mid \det A = 1 \}$
- Orthogonal group  $O(n) = \{ A \in GL(n, \mathbb{R}) \mid A^t A = I_n \}$
- Unitary group  $U(n) = \{ A \in GL(n, \mathbb{C}) \mid A^*A = I_n \}$
- Special orthogonal group  $SO(n) = SL(n, \mathbb{R}) \cap O(n)$

• Special unitary group  $SU(n) = SL(n, \mathbb{C}) \cap U(n)$ 

**Def 4.** Let  $f: G_1 \to G_2$ . f is called an **isomorphism** if

- 1. *f* is 1-1 and onto.
- 2.  $\forall a, b \in G_1, f(ab) = f(a)f(b)$ . (homomorphism)

, denoted by  $G_1 \cong G_2$ .

Remark 1. (practice)

- 1. f(1) = 1.
- 2.  $f(a^{-1}) = f(a)^{-1}$ .
- 3. If f is an isomorphism, then  $\exists f^{-1}$  is also a homomorphism.

Eg 1.1.3.

- $U(1) = \{ z \in \mathbb{C}^{\times} \mid \bar{z}z = 1 \}, z = \cos \theta + \sin \theta i$
- $SO(2) = \left\{ \begin{pmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{pmatrix} : \theta \in \mathbb{R} \right\}$

notice that  $U(1) \cong SO(2)$ .  $S^1 = \{(a,b) \in \mathbb{R}^2 \mid a^2 + b^2 = 1\}$ , 可被賦予群的結構.

**Eg 1.1.4.** Let 
$$A \in SU(2) \implies A = \begin{pmatrix} \alpha & \beta \\ -\bar{\beta} & \bar{\alpha} \end{pmatrix}, \alpha\bar{\alpha} + \beta\bar{\beta} = 1, \alpha, \beta \in \mathbb{C}.$$

Quaternion (四元數):  $\mathbb{H} = \{a + bi + cj + dk \mid a, b, c, d \in \mathbb{R} \}$  with  $i^2 = j^2 = k^2 = -1, ij = k, jk = i, ki = j ( \Longrightarrow ij = -ji).$ 

Let x = a + bi + cj + dk,  $\bar{x} = a - bi - cj - dk$ , then  $N(x) = x\bar{x} = a^2 + b^2 + c^2 + d^2$ , For  $x \neq 0, N(x) \neq 0, x^{-1} = \frac{1}{N(x)}\bar{x}$ 

Now, for x = a + bi + cj + dk = (a + bi) + (c + di)j. So SU(2)  $\cong \{x \in \mathbb{H}^{\times} \mid N(x) = 1\}$ .  $S^3 = \{(a, b, c, d) \in \mathbb{R}^4 \mid a^2 + b^2 + c^2 + d^2 = 1\}$ , 可被賦予群的結構.

 $\star$  The only spheres with continuous group law are  $S^1, S^3$ .

**Ex 1.1.3.** Find a way to regard  $M_{n\times n}(\mathbb{H})$  as a subset of  $M_{2n\times 2n}(\mathbb{C})$ , which preserves addition and multiplication, and then there is a way to characterize  $GL(n, \mathbb{H})$ .

**Def 5** (symplectic group).  $\operatorname{Sp}(n,\mathbb{F}) = \{ A \in \operatorname{GL}(2n,\mathbb{F}) \mid A^{\operatorname{t}}JA = J \}$  where  $J = \begin{pmatrix} O & I_n \\ -I_n & O \end{pmatrix}$ .  $(A^{\operatorname{t}}JA = J \text{ preserving non-degenerate skew-symmetric forms})$   $\operatorname{Sp}(n) = \{ A \in \operatorname{GL}(n,\mathbb{H}) \mid A^*A = I_n \}.$ 

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**Ex 1.1.4.** Show  $\operatorname{Sp}(n) \cong \operatorname{U}(2n) \cap \operatorname{Sp}(n, \mathbb{C})$ .

Ques: Find the smallest subgroup of SU(2) containing  $\begin{pmatrix} i & 0 \\ 0 & -i \end{pmatrix}$ .

#### 1.2 Week 2

### 1.2.1 Permutation groups and Dihedral groups

**Def 6.** A permutation of a set B is a 1-1 and onto function from B to B.

Let  $S_B :=$  the set of permutations of B. Then  $(S_B, \cdot, \mathrm{Id}_B)$  forms a group.

If  $B = \{a_1, \ldots, a_n\}$ , then  $S_B \cong S_{\{1,\ldots,n\}}$  and write  $S_n = S_{\{1,\ldots,n\}}$ , called the symmetric group of degree n.

**Theorem 1** (Cayley theorem). Any group is isomorphic to a subgroup of some permutation group.

(Hint): Let G be a group. Set B=G. Consider  $a\in G$  as  $\sigma_a:G\to G, x\mapsto ax$ . Then  $\sigma_a\in S_G\implies G\leq S_G$ .

**Fact 1.2.1.**  $S_n$  is a finite group of order n!, i.e.  $|S_n| = n!$ .

Proof. EASY = 
$$O$$

Cyclic notation: 
$$\sigma \in S_5$$
, say  $\sigma = \begin{pmatrix} 1 & 2 & 3 & 4 & 5 \\ 4 & 3 & 5 & 1 & 2 \end{pmatrix}$ . Write  $\sigma = (1\ 4)(2\ 3\ 5)$ .

⇒ Any permutation can be written as a product of disjoint cycles.

**Eg 1.2.1.** In 
$$S_7$$
,  $\sigma_1 = (1\ 2\ 3)(4\ 5\ 6)(7)$ ,  $\sigma_2 = (1\ 3\ 5\ 6)(2\ 4\ 7)$ .

Then  $\sigma_1 \sigma_2 = (2\ 5\ 4\ 7\ 3\ 6), \sigma_1^{-1} = (1\ 3\ 2)(4\ 6\ 5).$ 

**Def 7.** A 2 cycle is called a **transposition**.

**Eg 1.2.2.** 
$$(1\ 2\ 3) = (1\ 3)(1\ 2), (1\ 2\ 3\ 4\ 5) = (1\ 5)(1\ 4)(1\ 3)(1\ 2).$$

Any permutation is a product of 2 cycles.

Useful formula: 
$$\sigma \in S_n$$
,  $\sigma(j_1 \dots j_m)\sigma^{-1} = (\sigma(j_1) \dots \sigma(j_m))$ .

**Eg 1.2.3.** Let 
$$\sigma = (1\ 2\ 3)(4\ 5\ 6\ 7), \ \sigma(2\ 3\ 4)\sigma^{-1} = (3\ 1\ 5).$$

*Proof.* Note that both sides are functions. For  $i \in \{1, ..., n\}$ ,

Case 1:  $\exists k \text{ s.t. } \sigma(j_k) = i, \text{ CONCON}$ 

Case 2: Otherwise, CONCON

Fact 1.2.2. 
$$S_n = \langle (1 \ 2), \dots, (1 \ n) \rangle$$
.

*Proof.* 
$$(1 i)^{-1} = (1 i)$$
 and  $(i j) = (1 i)(1 j)(1 i)^{-1}$ .

**Def 8.** Let G be a group and  $S \subset G$ . The subgroup generated by S defined to be the smallest subgroup of G which contains S, denoted by  $\langle S \rangle$ .

Ex 1.2.1.

1.  $S_n = \langle (1\ 2), (2\ 3), \dots, (n-1\ n) \rangle, \quad n \geq 2.$ 

2. 
$$S_n = \langle (1\ 2), (1\ 2\ \dots\ n) \rangle, \quad n \ge 2.$$

**Def 9.**  $A_n = \{\text{even permutations of } S_n\} \leq S_n, |A_n| = \frac{n!}{2}.$ 

#### Ex 1.2.2.

1.  $A_n = \langle (1\ 2\ 3), (1\ 2\ 4), \dots, (1\ 2\ n) \rangle, n \geq 3.$ 

2. 
$$A_n = \langle (1\ 2\ 3), (2\ 3\ 4), \dots, (n-2\ n-1\ n) \rangle, n \geq 3.$$

Remark 2. 
$$\langle S \rangle = \bigcap_{S \subseteq H < G} H = \{a_1 a_2 \dots a_k \mid k \in \mathbb{N}, a_i \in S \cup S^{-1}\} \cup \{1\}$$

The orthogonal transformations on  $\mathbb{R}^2$ : O(2).

Let 
$$A = \begin{pmatrix} a_1 & a_2 \\ b_1 & b_2 \end{pmatrix} \in \mathcal{O}(2)$$
.

略... (這邊討論旋轉和反射的矩陣)

<u>Case 1</u>:  $A = \begin{pmatrix} \cos \alpha & -\sin \alpha \\ \sin \alpha & \cos \alpha \end{pmatrix}$  is counterclockwise roration w.r.t.  $\alpha$ .

<u>Case 2</u>:  $A = \begin{pmatrix} \cos \alpha & \sin \alpha \\ \sin \alpha & -\cos \alpha \end{pmatrix}$  is the reflection.  $A^2 = I_2 \implies$  eigenvalues are  $\pm 1$ .

Easy to show that  $L_A(v) = v - 2\langle v, v_2 \rangle v_2$ .

 $O(2) = \{\text{rotations}\} \cup \{\text{reflections}\}.$ 

**Def 10.** The dihedral group  $D_n$  is the group of symmetries of a regular n-gon.

In general, 
$$D_n = \langle T, R \mid T^n = 1, R^2 = 1, TR = RT^{-1} \rangle \le O(2) \le S_n, |D_n| = 2n.$$

**Def 11.** Let T be a linear transformation from  $\mathbb{R}^n \to \mathbb{R}^n$ .

- T is called a rotation if  $\exists$  a T-invariant subspace  $W \subseteq \mathbb{R}^n$  with dim W = 2 s.t.  $\begin{cases} T|_W \text{ is a rotation} \\ T|_{W^{\perp}} = \mathrm{id}_{W^{\perp}} \end{cases}$
- T is called a reflection if  $\exists$  a T-invariant subspace  $W \subseteq \mathbb{R}^n$  with dim W = 1 s.t.  $\begin{cases} T|_W = -\mathrm{id}_W \\ T|_{W^{\perp}} = \mathrm{id}_{W^{\perp}} \end{cases}$

<u>Main result</u>: the group of orthogonal transformations =  $\langle \text{rotations}, \text{reflections} \rangle$ .

**Prop 1.2.1.** For  $T: \mathbb{R}^n \to \mathbb{R}^n$ ,  $\exists$  a T-invariant subspace  $W \subseteq \mathbb{R}^n$  with  $1 \leq \dim W \leq 2$ .

*Proof.* Let  $A = [T]_{\alpha} \in M_{n \times n}(\mathbb{R}) \subseteq M_{n \times n}(\mathbb{C})$ . Consider  $\widetilde{L_A} : \mathbb{C}^n \to \mathbb{C}^n, v \mapsto Av$ .

Then  $\exists$  an eigenvalue  $\lambda \in \mathbb{C}$  and an eigenvector  $v \in \mathbb{C}^n$  for  $\widetilde{L_A}$ . Let  $\lambda = \lambda_1 + \lambda_2 i, v = v_1 + v_2 i$ . By definition, we have

$$Av = \widetilde{L_A}(v) = \lambda v = (\lambda_1 + \lambda_2 i)(v_1 + v_2 i) \implies \begin{cases} Av_1 = \lambda_1 v_1 - \lambda_2 v_2 \\ Av_1 = \lambda_2 v_1 + \lambda_1 v_2 \end{cases},$$

so 
$$W = \langle v_1, v_2 \rangle$$
.

Ex 1.2.3.

- 1. If T is orthogonal, then  $W^{\perp}$  is also T-invariant.
- 2. Use induction on n to show the main result.

For 
$$n = 3, A \in O(3)$$
, we have  $A \sim \begin{pmatrix} \cos \alpha & -\sin \alpha \\ \sin \alpha & \cos \alpha \\ & \pm 1 \end{pmatrix}$ .

## 1.2.2 Cyclic groups and internal direct product

**Def 12.** If  $G = \langle a \rangle = \{\dots, a^{-2}, a^{-1}, a, 1, a, a^2, \dots\} = \{a^n \mid n \in \mathbb{Z}\}$ , then G is a cyclic group generated by a.

Eg 1.2.4. 
$$\mathbb{Z} = \langle 1 \rangle = \langle -1 \rangle$$
.

Eg 1.2.5. Let 
$$A = \begin{pmatrix} \cos\frac{2\pi}{n} & -\sin\frac{2\pi}{n} \\ \sin\frac{2\pi}{n} & \cos\frac{2\pi}{n} \end{pmatrix} \in SO(2)$$
. Then  $\langle A \rangle = \{I_2, A, A^2, \dots, A^{n-1}\}$  and  $A^n = I_2, A^m = A^r$  where  $m \equiv r \pmod{n}$ .

Eg 1.2.6. 
$$\mathbb{Z}/n\mathbb{Z} = {\overline{0}, \overline{1}, \dots, \overline{(n-1)}}$$
 with  $\overline{j} = {m \in \mathbb{Z} \mid m \equiv j \pmod{n}}$ .

Define 
$$\bar{i} + \bar{j} = \begin{cases} \overline{i+j} & \text{if } 0 \leq i+j \leq n \\ \overline{i+j-n} & \text{otherwise} \end{cases} \implies (\mathbb{Z}/n\mathbb{Z}, +, \overline{0}) \text{ forms a group.}$$

Remark 3.  $\overline{i} \times \overline{j} = \overline{i \times j}$ .

- 略
- If  $gcd(j, n) = d, \exists h, k \in \mathbb{Z} \text{ s.t. } hj + kn = d.$

**Def 13.** 
$$(\mathbb{Z}/n\mathbb{Z})^{\times} = \{ j \in \mathbb{Z}/n\mathbb{Z} \mid \gcd(j,n) = 1 \} \implies ((\mathbb{Z}/n\mathbb{Z})^{\times}, \times, \overline{1}) \text{ forms a group.}$$

Eg 1.2.7. 略... 簡化剩餘系, 原根 (generator)  $(1,2,4,p^k,2p^k,p)$  is an odd prime)

#### Def 14.

- The **order** of a finite gorup G is the number of elements in G, denoted by |G|.
- Let  $a \in G$ , the order of a is defined to be the least positive integer n s.t.  $a^n = 1$ , denoted by ord(a) = n.
- If  $a^n \neq 1 \quad \forall n \in \mathbb{N}$ , then we call "a has infinte order".

**Prop 1.2.2.** Let  $G = \langle a \rangle$  with ord(a) = n. Then

1. 
$$a^m = 1 \iff n \mid m$$
.

Proof.

$$\Leftarrow$$
: Let  $m = dn$ , then  $a^m = (a^n)^d = 1$ .

$$\Rightarrow$$
: Let  $m = qn + r, 0 \le r < n$ . If  $r \ne 0$ , then  $a^r = a^{m-qn} = (a^m)(a^n)^{-q} = 1$ . But  $r < n$ , which is a contradiction. Hence  $r = 0 \implies n \mid m$ .

2. 
$$\operatorname{ord}(a^r) = n/\gcd(r, n)$$
.

*Proof.* Let gcd(r, n) = d, n = dn', r = dr' with gcd(n', r') = 1. Plan to show "ord( $a^r$ ) = n'."

- $(a^r)^{n'} = a^{r'dn'} = (a^n)^{r'} = 1 \implies \operatorname{ord}(a^r) \mid n'.$
- $1 = (a^r)^{\operatorname{ord}(a^r)} = a^{r \operatorname{ord}(a^r)} \implies n \mid r \operatorname{ord}(a^r) \implies n' \mid r' \operatorname{ord}(a^r) \implies n' \mid \operatorname{ord}(a^r).$

**Prop 1.2.3.** Any subgroup of a cyclic group is cyclic.

*Proof.* Let  $G = \langle a \rangle$  and  $H \leq G$ . If  $H = \{1\}$ , then  $H = \langle 1 \rangle$ , done!

Otherwise,  $d = \min\{m \in \mathbb{N} \mid a^m \in H\}$ , by well-ordering axiom. Claim  $H = \langle a^d \rangle$ .

- $\supset: a^d \in H$  by the definition of d.
- $\subset$ :  $\forall a^m \in H$ , write  $m = qd + r, 0 \le r < d$ . If  $r \ne 0$ , then  $a^r = a^{m-qd} = a^m(a^d)^{-q} \in H$ , which is a contradiction. Hence  $r = 0 \implies d \mid m$ .

Ex 1.2.4.

- 1.  $\operatorname{ord}(a) = \operatorname{ord}(a^{-1}) = n$ .
- 2.  $\langle a^r \rangle = \langle a^{\gcd(n,r)} \rangle$ .
- 3.  $\langle a^{r_1} \rangle = \langle a^{r_2} \rangle \iff \gcd(n, r_1) = \gcd(n, r_2).$
- 4.  $\forall m \mid n, \exists ! H \leq \langle a \rangle$  s.t. |H| = m. Conversely, if  $H \leq \langle a \rangle$ , then  $|H| \mid n$ .

**Prop 1.2.4.** Let  $G = \langle a \rangle$ . Then

- 1.  $\operatorname{ord}(a) = n \implies G \cong \mathbb{Z}/n\mathbb{Z}$
- 2.  $\operatorname{ord}(a) = \infty \implies G \cong \mathbb{Z}$

**Ex 1.2.5.** Show Prop 1.2.4.

**Def 15.** Let  $G_1, G_2 \leq G$ . G is the internal direct product of  $G_1, G_2$  if  $G_1 \times G_2 \to G$ ,  $(g_1, g_2) \mapsto g_1g_2$  is an isom.

Remark 4. In this case, we find that

- $G = G_1G_2 = \{ g_1g_2 \mid g_1 \in G_1, g_2 \in G_2 \}.$
- $G_1 \cap G_2 = \{1\}$ . (consider  $a \neq 1 \in G_1 \cap G_2$ , then  $(1, a) \mapsto a, (a, 1) \mapsto a$ , but the function is 1-1, which is a contradiction.)
- If  $a \in G$  with  $a = g_1g_2 = g_1'g_2'$ , then  $(g_1')^{-1}g_1 = (g_2')g_2^{-1} \in G_1 \cap G_2 = \{1\} \implies \begin{cases} g_1 = g_1' \\ g_2 = g_2' \end{cases}$ .
- For  $g_1 \in G_1, g_2 \in G_2, (g_1, g_2) = (g_1, 1)(1, g_2) = (1, g_2)(g_1, 1) \implies g_1g_2 = g_2g_1.$

**Ex 1.2.6.** TFAE

- 1. G is the internal direct product of  $G_1, G_2$ .
- 2.  $\forall a \in G, \exists ! g_1 \in G_1, g_2 \in G_2 \text{ s.t. } a = g_1g_2 \ ; \ \forall g_1 \in G_1, g_2 \in G_2, g_1g_2 = g_2g_1.$
- 3.  $G_1 \cap G_2 = \{1\}$ ;  $G = G_1G_2$ ;  $\forall g_1 \in G_1, g_2 \in G_2, g_1g_2 = g_2g_1$ .

Eg 1.2.8.

- 1.  $G = \mathbb{Z}/6\mathbb{Z} = {\overline{0}, \overline{1}, \overline{2}, \overline{3}, \overline{4}, \overline{5}}, G_1 = {\overline{0}, \overline{3}}, G_2 = {\overline{0}, \overline{2}, \overline{4}}.$  We have  $G \cong G_1 \times G_2$ .
- 2.  $G = S_3, G_1 = \langle (1\ 2) \rangle, G_2 = \langle (1\ 2\ 3) \rangle$ . We have  $G_1 \times G_2 \not\cong G$  since  $(1\ 2)(1\ 2\ 3) \neq (1\ 2\ 3)(1\ 2)$ .

**Eg 1.2.9.**  $G = S_3, G_1 = \langle (1 \ 2) \rangle, G_2 = \langle (2 \ 3) \rangle, G_1 G_2 = \{1, (1 \ 2), (2 \ 3), (1 \ 2 \ 3)\} \not\leq G$  since  $(1\ 3\ 2) = (1\ 2\ 3)^{-1} \not\in G_1G_2.$ 

**Prop 1.2.5.** Let  $H, K \leq G$ . Then  $HK \leq G \iff HK = KH$ .

Proof.

$$\Rightarrow: \begin{cases} H \leq HK \\ K \leq HK \end{cases} \implies KH \subseteq HK \; ; \; \forall hk \in HK, \exists h'k' \in HK \; \text{s.t.} \; (hk)(h'k') = 1 \implies hk = (k')^{-1}(h')^{-1} \in KH \implies HK \subseteq KH.$$

 $\Leftarrow$ : For  $h_1k_1, h_2k_2 \in HK$ ,  $(h_1k_1)(h_2k_2)^{-1} = h_1k_1k_2^{-1}h_2^{-1} = h_1h'k' \in HK$ .

#### 1.3 Week 3

### 1.3.1 Coset and Quotient Group

Let  $f: G_1 \to G_2$  be a group homo. Define Im  $f:=f(G_1)$ .

Notice that Im  $f \leq G_2$ .

*Proof.* Let 
$$z_1 = f(a_1), z_2 = f(a_2)$$
, then  $z_1 z_2^{-1} = f(a_1) f(a_2)^{-1} = f(a_1) f(a_2^{-1}) = f(a_1 a_2^{-1}) \in \text{Im } f$ .

**Def 16.**  $\ker f := \{ x \in G_1 \mid f(x) = 1 \} \le G_1.$ 

#### Fact 1.3.1.

- 1.  $x \in (\ker f)a \iff f(x) = f(a)$ .
- 2.  $\ker f = \{1\} \iff f \text{ is 1-1.}$

**Def 17.** Let  $H \leq G$ ,  $\forall a \in G, Ha$  is called a **right coset** of H in G.

#### Fact 1.3.2.

- 1. For 2 right cosets Ha, Hb, either Ha = Hb or  $Ha \cap Hb = \phi$  must hold.
- 2.  $\{Ha: a \in G\}$  forms a partition of G.

**Theorem 2** (Lagrange). Let  $|G| < \infty$  and  $H \le G$ ,  $|H| \mid |G|$ .

**Remark 5.** r is called the **index** of H in G, denoted by [G:H]. (The concept of index can be extended to infinite G, H.)

Ex 1.3.1. no subgroup of  $A_4$  has order 6. (converse of Lagrange thm. is false.)

**Coro 1.3.1.** If |G| = p is a prime in  $\mathbb{Z}$ , then G is cyclic.

$$\Gamma$$

**Coro 1.3.2.** If  $|G| < \infty, a \in G$ , then  $a^{|G|} = 1$ .

### Remark 6.

- 1. Let  $H \leq G, a \in G, aH$  is called a **left coset**.
- 2. {right cosets of H}  $\leftrightarrow$  {right cosets of H} by  $Ha \mapsto a^{-1}H$ .

Ques: How to make  $\{aH : a \in G\}$  to be a group? For aH, bH, we must have (aH)(bH) = abH. In general, (aH)(bH) = abH is not well-defined.

**Eg 1.3.1.** Let 
$$H = \langle (1\ 2) \rangle \leq S_3$$
.  $a_1 = (1\ 3), a_2 = (1\ 2\ 3), b_1 = (1\ 3\ 2), b_2 = (2\ 3)$ . 出慘點

If we hope  $a_1b_1H = a_2b_2H$ , then we need  $(a_1b_1)^{-1}a_2b_2 \in H$ .

$$b_1^{-1}a_1^{-1}a_2b_2 = b_1^{-1}b_2b_2^{-1}a_1^{-1}a_2b_2$$

Notice that  $b_1^{-1}b_2, a_1^{-1}a_2 \in H$ , so we need  $b_2^{-1}a_1^{-1}a_2b_2 \in H$ .

**Def 18.** Let  $H \leq G$ . H is said to be **normal subgroup** of G if  $\forall g \in G, h \in H, g^{-1}hg \in H$  (or  $g^{-1}Hg \subseteq H$ ), denoted by  $H \triangleleft G$ .

**Def 19.** Let  $H \triangleleft G$ . The set  $\{aH \mid a \in G\}$  forms a group under  $(aH)(bH) = abH, a, b \in G$ . We call it the **quotient group** of G by H, denoted by G/H.

(Note: The indentity is H = hH and  $(aH)^{-1} = a^{-1}H$ .)

**Remark 7.** Define  $q: G \to G/H, a \mapsto aH$ , called the quotient homomorphism.

**Ex 1.3.2.** Let  $H \leq G$ . Then TFAE

- (a)  $H \triangleleft G$ .
- (b)  $\forall x \in G, xHx^{-1} = H.$
- (c)  $\forall x \in G, xH = Hx$ .
- (d)  $\forall x, y \in G, (xH)(yH) = (xy)H.$

Ques: How to find a normal subgroup of G?

### Prop 1.3.1.

- 1. If G is abelian, then  $\forall H \leq G \rightsquigarrow H \triangleleft G$ . (done by (c))
- 2. If  $H \leq G$  with [G:H] = 2, then  $H \triangleleft G$ .

**Eg 1.3.2.** 
$$n \le 3, [S_n : A_n] = 2 \implies A_n \triangleleft S_n.$$

*Proof.* We can write  $G = H \cup Ha = H \cup aH \implies aH = Ha, \forall a \notin H$ .

**Def 20.** Define the center of G to be  $Z_G = \{ a \in G \mid ax = xa, \forall x \in G \} \leq G$ .

### Prop 1.3.2.

- 1.  $Z_G \triangleleft G$ . (by (c) and def.)
- 2. If  $G/Z_G$  is cyclic, then G is abelian.

$$Proof.$$
 Let  $G/Z_G = \langle aZ_G \rangle$ , (let  $\overline{a} := aZ_G$ ) for some  $a \in G$ . For  $x_1, x_2 \in G$ , let  $x_1 = a^{k_1}z_1, x_2 = a^{k_2}z_2$ , then  $x_1x_2 = a^{k_1+k_2}z_1z_2 = x_2x_1$ . ( $z_i$  可以各種交換)

**Def 21.** The commutator of G is define to be  $[G,G] = \langle xyx^{-1}y^{-1} \mid x,y \in G \rangle$ .

**Prop 1.3.3.**  $[G,G] \triangleleft G$ ;  $[G,G] = 1 \iff G$  is abelian.

*Proof.* 
$$\forall x \in G, a \in [G, G], xax^{-1} = xax^{-1}a^{-1}a \text{ and } xax^{-1}a^{-1}, a \in [G, G].$$

#### Ex 1.3.3.

1. If  $H \leq S_n$  and  $\exists \sigma \in H$  is odd, then  $[H : H \cap A_n] = 2$ .

2. For  $n \ge 3$ ,  $[S_n, S_n] = A_n$ .

**Ex 1.3.4.** Let  $H \leq G$ . Then  $H \triangleleft G$  and G/H is abelian  $\iff [G,G] \leq H$ . (hint: G/[G,G] is "max" among all abelian quotient groups)

### 1.3.2 Isomorphism theorems & Factor theorem

**Theorem 3** (1st isomorphism theorem). Let  $f: G_1 \to G_2$  be a group homo. Then  $G_1/\ker f \cong \operatorname{Im} f$ .

*Proof.* Define  $\varphi : a \ker f \mapsto f(a)$ .

- well-defined:  $a \ker f = b \ker f \implies a^{-1}b \in \ker f \implies f(a^{-1}b) = 1 \implies f(a)^{-1}f(b) = 1 \implies f(a) = f(b)$ .
- group homo:  $\varphi((a \ker f)(b \ker f)) = \varphi(ab \ker f) = f(ab) = f(a)f(b) = \varphi(a \ker f)\varphi(b \ker f)$ .
- onto: by def. of  $\operatorname{Im} f$ .
- 1-1:  $f(a) = f(b) \implies a \ker f = b \ker f$  (easy).

**Theorem 4** (Factor theorem). Let  $f: G_1 \to G_2$  be a group homo. and  $H \triangleleft G_1, H \leq \ker f$ . Then  $\exists$  a group homo.  $\varphi: G/H \to G_2$  s.t.



**Eg 1.3.3.** Let  $G = \langle a \rangle$  with ord(a) = n. Then  $G \cong \mathbb{Z}/n\mathbb{Z}$ . (1st isom. thm.)

**Eg 1.3.4.**  $\varphi: \mathbb{Z} \to \mathbb{Z}/2\mathbb{Z}, 4\mathbb{Z} \leq 2\mathbb{Z}$ , so by factor thm.,  $\mathbb{Z}/4\mathbb{Z} \to \mathbb{Z}/2\mathbb{Z}$ .

 $\mathbf{Eg}\ \mathbf{1.3.5.}\quad \det: \mathrm{GL}(n,\mathbb{F}) \to \mathbb{F}^{\times} \implies \mathrm{GL}(n,\mathbb{F})/\mathrm{SL}(n,\mathbb{F}) \cong \mathbb{F}^{\times}$ 

**Eg 1.3.6.**  $sgn: S_n \to \{\pm 1\} \implies S_n/A_n \cong \{\pm 1\}$ 

**Theorem 5** (2nd isomorphism theorem). Let  $H \leq G, K \triangleleft G$ . Then  $HK/K \cong H/H \cap K$ .

$$\textit{Proof. } \text{First, } \begin{cases} H \leq G \\ K \lhd G \end{cases} \implies HK = KH \implies HK \leq G \text{ ; } K \lhd G \implies K \lhd HK.$$

Define  $\varphi: H \to HK/K, h \mapsto hK$ . which is a group homo.

- onto:  $\forall (hk)K, hkK = hK, \text{ so } \varphi(h) = hK = hkK.$
- Find  $\ker \varphi \colon a \in \ker \varphi \iff \begin{cases} a \in H \\ aK = K \end{cases} \iff a \in H \cap K$ , so  $\ker \varphi = H \cap K$ .

Then by 1st isom. thm.

**Eg 1.3.7.**  $G = GL(2, \mathbb{C}), H = SL(2, \mathbb{C}), K = \mathbb{C}^{\times} I_2 = Z_G \triangleleft G.$ By 2nd isom. thm.,  $G/K \cong H/\{\pm I_2\}.$   $(G = HK, \{\pm I_2\} = H \cap K)$  projective linear group:  $\operatorname{PGL}(2,\mathbb{C}) = G/K$ . projective special linear group:  $\operatorname{PSL}(2,\mathbb{C}) = H/H \cap K$ .

齊次座標...OTL

#### Ex 1.3.5.

- 1. Let  $H_1 \triangleleft G_1, H_2 \triangleleft G_2$ . Then  $(H_1 \times H_2) \triangleleft (G_1 \times G_2)$  and  $G_1 \times G_2/H_1 \times H_2 \cong G_1/H_1 \times G_2/H_2$ .
- 2. Let  $H \triangleleft G, K \triangleleft G$  s.t. G = HK. Then  $G/H \cap K \cong G/H \times G/K$ .

**Ex 1.3.6.** Let  $H \triangleleft G$  with [G : H] = p, which is a prime in  $\mathbb{Z}$ . Then  $\forall K \leq G$ , either (1)  $K \leq H$  or (2) G = HK and  $[K : K \cap H] = p$ .

**Theorem 6** (3rd isomorphism theorem). Let  $K \triangleleft G$ .

1. There is a 1-1 correspondence between  $\{H \leq G \mid K \leq H\}$  and  $\{\text{subgroups of } G/K\}$ .  $(H \triangleleft G \dots \text{ normal})$ 

*Proof.* Define  $\varphi: H \mapsto H/K$ .  $(H/K \le G/K)$ 

- 1-1: Assume  $H_1/K = H_2/K$ . For  $a \in H_1$ ,  $aK \in H_1/K = H_2/K$ . so  $\exists b \in H_2$  s.t.  $aK = bK \implies b^{-1}a \in K \leq H_2 \implies a \in bH_2 = H_2$ . So  $H_1 \leq H_2$ . By symmetry,  $H_2 \leq H_1$ , and thus  $H_1 = H_2$ .
- onto: Given a subgroup Q of G/K, consider  $H = q^{-1}(Q)$  where  $q: G \to G/K$ .
  - $\begin{array}{lll} \ H \leq G \colon \, \forall a,b \in H, q(a), q(b) \in Q \implies q(a)q(b)^{-1} \in Q \implies q(ab^{-1}) \in Q \implies ab^{-1} \in H \implies H \leq G. \end{array}$
  - $-K \le H$ :  $\forall a \in K, q(a) = aK = K \in Q \implies a \in H \implies K \le H$ .
  - $-Q = H/K: \ \forall aK \in Q, aK = q(a) \implies a \in H \implies aK \in H/K \implies Q \subseteq H/K.$ And  $\forall aK \in H/K(a \in H), q(a) \in Q \implies H/K \subseteq Q.$  So Q = H/K.

- $H \triangleleft G, K \leq H \iff \forall g \in G, gHg^{-1} = H, K \leq H \iff \forall \overline{g} \in G/K, \overline{g}(H/K)\overline{g}^{-1} = H/K \iff H/K \triangleleft G/K.$
- 2. If  $H \triangleleft G$  with  $K \leq H$ , then  $(G/K)/(H/K) \cong G/H$ .

*Proof.* Define  $\varphi: G \to (G/K)/(H/K)$  with  $\varphi: a \mapsto aK(H/K)$ .

- onto: ... easy.
- Find  $\ker \varphi$ :  $a \in \ker \varphi \iff aK(H/K) = H/K \iff aK \in H/K \iff a \in H$ .

By 1st isom. thm.,  $(G/K)/(H/K) \cong G/H$ .

Eg 1.3.8.  $m\mathbb{Z} + n\mathbb{Z}/m\mathbb{Z} \cong n\mathbb{Z}/m\mathbb{Z} \cap n\mathbb{Z}$ .  $(m\mathbb{Z} + n\mathbb{Z} = \gcd(m, n)\mathbb{Z}, m\mathbb{Z} \cap n\mathbb{Z} = \operatorname{lcm}(m, n)\mathbb{Z})$ 

Ques:  $G/K \cong G'/K'$  and  $K \cong K' \implies G \cong G'$ .

Eg 1.3.9.  $Q_8$  and  $D_4$  交給陳力

Extension problem: given two groups A, B, how to find G and  $K \triangleleft G$ , s.t.  $K \cong A, G/K \cong B$ ?  $(1 \rightarrow H \rightarrow G \rightarrow G/H \rightarrow 1$ , short exact sequence)

(e.g. 
$$G = A \times B, K = A \times \{1\}$$
)

### 1.4 Week 4

### 1.4.1 Universal property and direct sum & product

In general, let  $f_1: G_1 \to G$ ,  $f_2: G_2 \to G$  are group homo.  $f_1 \times f_2: G_1 \times G_2 \to G$ ,  $(a,b) \mapsto f_1(a)f_2(b)$ . But we have (a,b) = (a,1)(1,b) = (1,b)(a,1), so  $f_1(a)f_2(b) = f_2(b)f_1(a) \Longrightarrow$  need G to be abelian.

So we intend to define the direct sum in the category of abelian group.

<u>Notation</u>: For abelian groups, we use "+" to denote the group operation and "0" to denote the identity.

**Def 22.** Given a non-empty family of abelian groups  $\{G_s \mid s \in \Lambda\}$ , a (external) direct sum of  $\{G_s \mid s \in \Lambda\}$  is an abelian group  $\bigoplus_{s \in \Lambda} G_s$  with the embedding mappings  $i_{s_0} : G_{s_0} \to \bigoplus_{s \in \Lambda} G_s, \forall s_0 \in \Lambda$  satisfying the universal property:

for any abelian group H and group homo.  $\varphi_s:G_s\to H \forall s\in\Lambda,\quad\exists!$  group homo.  $\varphi:\bigoplus_{s\in\Lambda}G_s\to H$  s.t. 又一個  $\Xi$  圖

**Theorem 7.**  $\bigoplus_{s \in \Lambda} G_s$  exists and is unique up to isomorphisms.

*Proof.* Existence:  $\bigoplus_{s \in \Lambda} G_s = \{ (g_s)_{s \in \Lambda} \mid g_s \in G_s, \text{ almost all of the } g_s' \text{ are } 0 \}$  and

$$i_{s_0}: G_{s_0} \to \bigoplus_{s \in \Lambda} G_s, a_{s_0} \mapsto (g_{s_0})_{s \in \Lambda} \text{ with } g_{s_0} = a_{s_0}, g_s = 0, \forall s \neq s_0.$$

group operaion:  $(g_s)_{s\in\Lambda}+(g_s')_{s\in\Lambda}:=(g_s+g_s')_{s\in\Lambda}\in\bigoplus_{s\in\Lambda}G_s$ . 這邊也一個  $\mathbb Z$  圖

Uniqueness: Assume  $\exists$  another G satisfies the universal property, 一個大 $\mathbb{Z}$  一個大 $\mathbb{Z}$  個代  $\mathbb{Z}$  是相有 唯一個映射可以 keep  $i_{s_0}, \, \varphi \circ \psi = \mathrm{id}_G, \psi \circ \varphi = \mathrm{id}_{\bigoplus_{s \in \Lambda} G_s}$ 

**Def 23.** Given a non-empty family of groups  $\{G_s \mid s \in \Lambda\}$ , a direct product of  $\{G_s \mid s \in \Lambda\}$  is a group  $\prod_{s \in \Lambda} G_s$  with projections  $p_{s_0} : \prod_{s \in \Lambda} G_s \to G_{s_0}, \forall s_0 \in \Lambda$  satisfying the following universal property:

for any group H with group homo.  $\varphi_s: H \to G_s, \forall s \in \Lambda, \exists ! \varphi: H \to \prod_{s \in \Lambda} G_s$  s.t. 又一個  $\Xi$ 

**Theorem 8.**  $\prod_{s \in \Lambda} G_s$  exists and is unique up to isomorphisms.

*Proof.* Existence:  $\prod_{s \in \Lambda} G_s = \{ (g_s)_{s \in \Lambda} \mid g_s \in G_s \}$  and

$$p_{s_0}: \prod_{s \in \Lambda} G_s \to G_{s_0}, (g_{s_0})_{s \in \Lambda} \mapsto g_{s_0}, \forall s_0 \in \Lambda$$

- group operaion:  $(g_s)_{s \in \Lambda} \cdot (g'_s)_{s \in \Lambda} := (g_s g'_s)_{s \in \Lambda} \in \prod_{s \in \Lambda} G_s$ .
- Define  $\varphi$ : 這邊也一個で圖 which is uniquely defined.

Uniqueness: Assume  $\exists$  another G satisfies the universal property, 一個大さ圖  $(G, \prod_{s \in \Lambda} G_s)$  互相有 唯一個映射可以 keep  $i_{s_0}, \varphi \circ \psi = \mathrm{id}_{G}, \psi \circ \varphi = \mathrm{id}_{\prod_{s \in \Lambda} G_s}$ 

Ex 1.4.1. Google the definition of the direct limit and show the existence and uniqueness.

Ex 1.4.2. Google the definition of the inverse limit and show the existence and uniqueness.

Motivation:  $\zeta_m$  is called an *m*-th root of unity if  $\zeta_m^m = 1$ .

$$\lim_{n \to \infty} \mathbb{Z}/2^n \mathbb{Z} \cong \{ 2^n \text{-th roots of unity} : n \in \mathbb{N} \}$$

$$\varinjlim_{n} \mathbb{Z}/2^{n}\mathbb{Z} = (\bigoplus_{n \in \mathbb{N}} \mathbb{Z}/2^{n}\mathbb{Z})/\langle i_{k}(a) - i_{j}(f_{kj}(a)) \mid k \leq j, a \in \mathbb{Z}/2^{k}\mathbb{Z}\rangle$$

where  $f_{kj}: \mathbb{Z}/2^k\mathbb{Z} \to \mathbb{Z}/2^j\mathbb{Z}$ .

Inverse limit:

$$\underline{\lim} \, \mathbb{Z}/2^n \mathbb{Z} = \left\{ (n_1, n_2, \dots) \in \prod_n \mathbb{Z}/2^n \mathbb{Z} \middle| \forall i < j, n_i \equiv n_j \pmod{2}^{i+1} \right\}$$

### 1.4.2 Rings and fields

**Def 24.** A ring is sa non-empty set R with two operations  $R \times R \to R$ 

$$(a,b) \mapsto a+b$$
 and  $(a,b) \mapsto ab$ 

satisfying

- 1. (R, +, 0) is an abelian group.
- 2.  $(R,\cdot)$  is a semigroup. (if it is a monoid, then it is called "a ring with 1.")

3. (Distributive laws) 
$$\forall a, b, c \in \mathbb{R}, \begin{cases} a(b+c) = ab + ac \\ (b+c)a = ba + ca \end{cases}$$

Eg 1.4.1.  $\mathbb{Z}, \mathbb{R}, \mathbb{C}, \mathbb{Z}/n\mathbb{Z}, M_{n \times n}(\mathbb{F})$ 

Eg 1.4.2. Let G be an abelian group. Define (endomorphism, automorphism)

$$\operatorname{End}(G) := \{ \operatorname{group homo}. \ G \to G \} \quad \operatorname{Aut}(G) := \{ \operatorname{group isom}. \ G \to G \}$$

A natural ring structure on End(G) is:

$$\forall a \in G, \begin{cases} (f+g)(a) := f(a)g(a) \\ (f \cdot g)(a) := f(g(a)) \end{cases}$$

Eg 1.4.3. 
$$\mathbb{Z}\left[\sqrt{2}\right] = \left\{a + b\sqrt{2} \mid a, b \in \mathbb{Z}\right\} \subset \mathbb{R}$$
.

**Def 25.** Let R be a ring with 1.

- (a)  $\forall a \in R, a \neq 0$ , a in called a unit if  $\exists a^{-1} \in R$ .
- (b)  $(R^{\times} = \{\text{units in } R\}, \cdot, 1))$  forms a group.
- (c) R is called a division ring if  $R \setminus \{0\} = R^{\times}$ .
- (d) R is said to be commutative if  $ab = ba, \forall a, b \in R$ .
- (e) R is a field if R is a commutative division ring.
- (f)  $a \neq 0$  is called a left zero divisor if  $\exists b \in R, b \neq 0$  s.t. ab = 0.
- (g) a is called a zero divisor if a is either a left or right zero divisor.
- (h) R is called an integral domain if R is a commutative ring without zero divisors.

Fact:

- 1. fields  $\implies$  integral domains.
- 2. finite + integral domain  $\implies$  fields.

Proof. Let 
$$R = \{0, a_1, \dots, a_n\}$$
, for  $a \in R, a \neq 0$ ,  $aa_i = aa_j \implies a(a_i - a_j) = 0 \implies i = j$ .  
So  $\{0, aa_1, \dots, aa_n\} = R \implies \exists a_i \text{ s.t. } aa_i = 1$ .

#### **Prop 1.4.1.** TFAE

- 1.  $\mathbb{Z}/n\mathbb{Z}$  is an integral domain.
- 2.  $\mathbb{Z}/n\mathbb{Z}$  is a field.
- 3. n = p is a prime.

easy to prove.

Def 26.

- $f: R_1 \to R_2$  is called a ring homomorphism if  $\forall a, b \in R, \begin{cases} f(a+b) &= f(a) + f(b) \\ f(ab) &= f(a)f(b) \end{cases}$ .
- Im f is a subring of  $R_2$ .
- $\ker f = \{x \in R_1 \mid f(x) = 0\}$  is an additive group of  $R_1$  and  $\forall r \in R_1, x \in \ker f, f(rx) = f(r)f(x) = f(r)0 = 0 \implies rx \in \ker f, xr \in \ker f.$
- $R_1/\ker f$  is an additive group and  $R_1/\ker f \cong \operatorname{Im} f$  (additive isomorphism).

**Def 27.** Let I be an additive subgroup of R. I is called an ideal if  $\forall r \in R, x \in I, rx \in I, xr \in I$ .  $(R/I, +, \cdot)$  forms a quotient ring under

$$\forall r_1, r_2 \in R, (r_1 + I)(r_2 + I) = r_1 r_2 + I$$

well-defined: easy to show.

Ex 1.4.3. State and show the isomorphism theorems and the factor theorem.

**Prop 1.4.2.** If R is a ring with 1, then  $\exists!$  ring homo.  $\varphi: \mathbb{Z} \to R$  s.t.  $\varphi(1) = 1$ .

*Proof.* Let  $\varphi : \mathbb{Z} \to R$  is a ring homo. s.t.  $\varphi(1) = 1$ . Then  $\forall n \in \mathbb{Z}, \varphi(n) = \varphi(1) + \cdots + \varphi(1) = n1$ . Now  $\forall n, m \in \mathbb{Z}, \varphi(n)\varphi(m) = (n1)(m1) = n(m1) = (nm)1$  by the distributive law. So  $\varphi$  is well-defined and unique.

**Def 28.** In Prop 1.4.2,  $\ker \varphi = m\mathbb{Z}$  for some m > 0. We call m the characteristic of R, denoted by  $\operatorname{char} R = m$ .

### Prop 1.4.3.

- 1. If R is an integral domain, then char R = 0 or p, where p is a prime. (try to prove this)
- 2. In the case of char R = p,  $\forall a, b \in R$ ,  $(a + b)^p = a^p + b^p$ .

Proof.

$$(a+b)^p = a^p + \binom{p}{1}a^{p-1}b + \dots + b^p = a^p + b^p$$

because  $p \mid \binom{p}{1} \implies \binom{p}{i} a^{p-i} b^i = 0$ .

### **Ex 1.4.4.** Let F be a field. Show that

- 1. if char F = 0, then  $\mathbb{Q} \hookrightarrow \text{subfield of } F$ .
- 2. if char F = p, then  $\mathbb{Z}/p\mathbb{Z} \hookrightarrow \text{subfield of } F$ .

**Theorem 9.** If F is a finite field, then  $|F| = p^n$  for some  $n \in \mathbb{N}$  and p is a prime.

*Proof.* By Ex. 1.4.4, char F = p, p is a prime and  $\mathbb{Z}/p\mathbb{Z} \hookrightarrow F$ .

We have  $\mathbb{Z}/p\mathbb{Z} \times F \to F$ ,  $(r, v) \mapsto rv$ . F can be rearded as a vector space over  $\mathbb{Z}/p\mathbb{Z}$ .

Let 
$$\dim_{\mathbb{Z}/p\mathbb{Z}} F = n$$
, then  $F \cong (\mathbb{Z}/p\mathbb{Z})^n \implies |F| = p^n$ .

**Theorem 10.** Let F be a field. Then any finite subgroup G of  $(F^{\times}, \cdot, 1)$  is cyclic.

*Proof.* Let |G| = n. Define h to be the max order of an element in G, say  $a^h = 1$ .

If 
$$h = n$$
, then  $|\langle a \rangle| = h = n = |G|$  and  $\langle a \rangle \subseteq G$ , so  $G = \langle a \rangle$ .

Otherwise, h < n. We know that  $x^h - 1$  has at most h roots. So  $\exists b \in G$  is not a root of  $x^h - 1$ . Let  $\operatorname{ord}(b) = h'$ , so  $h' \mid n$  and  $h' \nmid h$ . So  $\exists$  a prime p s.t.  $p' \mid h'$  but  $p' \nmid h$ .

Write  $h = mp^s$ , s < r and  $gcd(m, p) = 1 \implies ord(a^{p^s}) = m$ .

Write  $h' = qp^r \implies \operatorname{ord}(b^q) = p^r$ .

Since  $gcd(m, p^r) = 1$ , ord  $(a^{p^s}b^q) = mp^r > mp^s = h$ , which is a contradiction.

#### Ex 1.4.5.

- 1. Let  $a, b \in G$  with ab = ba and ord(a) = m, ord(b) = n. If gcd(m, n) = 1, then ord(ab) = mn. In general, is the order of ab equal to lcm(m, n)?
- 2. Let G be a finite group and  $H, K \leq G$ . Then  $|HK| = \frac{|H||K|}{|H \cap K|}$ .

#### 1.5 Week 5

### 1.5.1 Group actions I

**Def 29.** A group G is said to act on a nonempty set X if  $\exists$  a map  $G \times X \to X$  with  $(g, x) \mapsto gx$  s.t.

- 1. 1x = x
- 2.  $(g_1g_2)x = g_1(g_2x) \quad \forall g_1, g_2 \in G$

**Prop 1.5.1.** {actions of G}  $\leftrightarrow$  {group homo.  $G \rightarrow S_X$ }

*Proof.* Given an action  $(g, x) \mapsto gx$ , consider  $\varphi : G \to S_X$  s.t.  $\varphi : g \mapsto (\tau_g : x \mapsto gx)$ .

- 1-1:  $gx = gy \implies g^{-1}(gx) = y \implies x = y$ .
- onto:  $\forall y \in X$ , let  $x = g^{-1}y$ , then y = gx.
- group homo.:  $\varphi(gg') = (\tau_{gg'} : x \mapsto gg'x) = \tau_g \circ \tau_g' = \varphi(g)\varphi(g')$ .

Conversely, given a group homo.  $\varphi: G \to S_X$ , consider  $(g, x) \mapsto \varphi(g)(x)$ .

- $1x = \varphi(1)(x) = \text{Id}(x) = x$ .
- $g_1g_2x = \varphi(g_1g_2)(x) = \varphi(g_1) \circ \varphi(g_2)(x) = g_1(g_2x).$

**Def 30.** A representation of G on a vector space V is a group action of G on V linearly. i.e.  $\exists$  group homo.  $\varphi: G \to \operatorname{GL}(V)$ .

Eg 1.5.1.

$$\mathbb{Z}/m\mathbb{Z} \to \mathrm{SO}(2), \quad \overline{k} \mapsto \begin{pmatrix} \cos\frac{2k\pi}{m} & -\sin\frac{2k\pi}{m} \\ \sin\frac{2k\pi}{m} & \cos\frac{2k\pi}{m} \end{pmatrix}$$

Eg 1.5.2.

$$S_n \to \mathrm{GL}(n,\mathbb{R}), \quad \sigma \mapsto (\tau_\sigma : e_i \mapsto e_{\sigma(i)})$$

Remark 8.

- 1. An action  $G \times X \to X$  is said to be faithful if the corresponding group homo.  $\varphi : G \hookrightarrow S_X$ , denoted by  $G \curvearrowright X$ .
- 2. In general,  $\ker \varphi = \{ g \in G \mid gx = x \quad \forall x \in X \} = \bigcap_{x \in X} \{ g \mid gx = x \}.$ Define  $G_x = \{ g \mid gx = x \} \leq G$  is the isotropy subgroup of G at x. (the stabilizer of G at x)
- 3.  $\varphi: G \to S_X \implies G/\ker \varphi \hookrightarrow S_X$ . So  $G/\ker \varphi \times X \to X$  is faithful.
- 4. Let  $\mathcal{C}(X) = \{ f : X \to \mathbb{C} \}$ . If  $G \curvearrowright X$ , then  $G \curvearrowright \mathcal{C}(X)$  by  $G \times \mathcal{C}(X) \to \mathcal{C}(X)$  with  $(g, f) \mapsto gf(x) = f(g^{-1}x)$ .

The reason:  $(g_1g_2)f(x) = f((g_1g_2)^{-1}x) = f(g_2^{-1}g_1^{-1}x) = g_1(g_2f)(x)$ .

**Def 31.** Let  $G \curvearrowright X$  and  $x \in X$ .

- The **orbit** of x is defined to be  $Gx = \{gx \mid g \in G\}$ .
- $G \cap X$  is said to be transitive if  $\exists$  only one orbit. i.e.  $\forall x, y \in X, \exists g \in G$  s.t. y = gx.

The set of orbits forms a partition:  $x \sim y \iff \exists g \in G \text{ s.t. } y = gx.$ 

**Prop 1.5.2.** Let  $G \curvearrowright X$  and  $x \in X$ . Then  $|Gx| = [G : G_x]$ .

In particular,  $|G| < \infty \implies |G| = |Gx||G_x| \quad \forall x \in X$ .

*Proof.* Define  $\psi: Gx \to \{\text{left coset of } G_x\}$  as  $\psi: gx \mapsto gG_x$ .

- well-defined and 1-1:  $g_1x = g_2x \iff g_2^{-1}g_1x = x \iff g_2^{-1}g_1 \in G_x \iff g_2^{-1}g_1G_x = G_x \iff g_1G_x = g_2G_x.$
- onto:  $\forall g \in G, \psi(gx) = gG_x$ .

### 1.5.2 Action by left multiplication

- The action  $G \times G \to G$ ,  $(g, x) \mapsto gx$  is associated with  $\varphi : G \hookrightarrow S_G$ . It is faithful (Cayley theorem) and transitive.
- Let  $H \leq G$  and  $X := \{ \text{left coset of } H \}$ . The group action  $(g, xH) \mapsto gxH \leadsto \varphi : G \to S_X$ .

$$\ker \varphi = \bigcap_{x \in G} \underbrace{xHx^{-1}}_{\text{$x \in G$}} \leq H$$
 a conjugate of  $H$ 

which is the largest normal subgroup in G contained in H.

Proof. If 
$$\begin{cases} N \lhd G \\ N \leq H \end{cases}, \forall x \in G, xNx^{-1} \leq xHx^{-1} \implies N = N(xx^{-1}) = xNx^{-1} \leq xHx^{-1}.$$

**Prop 1.5.3.** Let  $H \leq G$  with [G:H] = p being the smallest prime dividing |G|. Then  $H \triangleleft G$ .

*Proof.* Let  $X = \{a_1H, \ldots, a_pH\}$  (all left coests of H) and  $\varphi : G \to S_p$  be the associated group homo. for the group action  $(g, a_iH) \mapsto ga_iH$ .

By the 1st isom. thm.,  $G/\ker \varphi \hookrightarrow S_p$ .

By Lagrange thm.  $|G/\ker\varphi| \mid |S_p| = p!$  and  $|G/\ker\varphi| \mid |G| \implies |G/\ker\varphi| \mid p$ .

So  $|G/\ker \varphi| = 1$  or p.

If  $|G/\ker \varphi| = 1 \implies G = \ker \varphi \le H \le G$ , which is a contradiction.

So  $|G/\ker \varphi| = p \implies [G : \ker \varphi] = p \implies [G : H][H : \ker \varphi] = p \implies [H : \ker \varphi] = 1 \implies H = \ker \varphi \lhd G.$ 

### 1.5.3 Action by conjugation

• The action  $G \times G \to G$   $(g,x) \mapsto gxg^{-1}$  is associated with the group homo.  $\varphi : G \to S_G$   $g \mapsto (\tau_g : x \mapsto gxg^{-1})$ .

$$\operatorname{Inn}(G) := \{ \tau_q \mid g \in G \}$$

Fact 1.5.1.  $\tau_g$  is an automorphism. (isom.  $G \to G$ )

So  $\varphi: G \to \operatorname{Inn}(G) \leq \operatorname{Aut}(G) \leq S_G$ .

$$\ker \varphi = \{ g \in G \mid gxg^{-1} = x \quad \forall x \in G \} = Z_G.$$

By the 1st isom. thm.,  $G/\ker \varphi \cong \operatorname{Inn}(G)$ .

- The conjugacy class:  $Gx = \{gxg^{-1} \mid g \in G\} = \text{Cl}(x)$ .
- The centralizer of x in G:  $G_x = \{ g \in G \mid gxg^{-1} = x \} = Z_G(x)$ .

$$|Cl(x)| = [G : Z_G(x)], \text{ if } |G| < \infty, |G| = |Cl(x)||Z_G(x)|$$

• For  $H \lhd G$ , define  $G \times H \to H$   $(g,h) \mapsto ghg^{-1}$  with the group homo.  $\varphi : G \to \operatorname{Aut}(H)$ .

$$\ker \varphi = \{ g \in G \mid gxg^{-1} = x \quad \forall x \in H \} = Z_G(H) \implies G/Z_G(H) \le \operatorname{Aut}(H)$$

• The normalizer of H in G:  $N_G(H) = \{ g \in G \mid gHg^{-1} = H \}$ 

**Theorem 11** (Normalizer-Centralizer theorem). If  $H \leq G$  then  $N_G(H)/Z_G(H) \hookrightarrow \operatorname{Aut}(H)$ .

*Proof.* Define  $\varphi = g :: N_G(H) \mapsto (h \mapsto ghg^{-1}) :: \operatorname{Aut}(H)$ . Then  $\ker \varphi = Z_G(H)$ , so  $N_G(H)/Z_G(H) \cong \operatorname{Im} \varphi \leq \operatorname{Aut}(H)$ .

#### 1.6 Week 6

### 1.6.1 Group actions II

**Def 32.** Let  $G \cap X$  and  $|X| < \infty$ . Write Fix  $G := \{ x \in X \mid gx = x \quad \forall g \in G \}$ .

- $x \in \operatorname{Fix} G$ ,  $Gx = \{x\}$ .
- $x \notin \operatorname{Fix} G$ ,  $|Gx| = [G : G_x]$ .

Let  $\{G_{x_1}, \ldots, G_{x_n}\}$  be the set of distinct orbits. After rearrangement, assume  $x_1, \ldots, x_r \in \operatorname{Fix} G, x_{r+1}, \ldots, x_n \notin \operatorname{Fix} G$ . Then

$$|X| = |\operatorname{Fix} G| + \sum_{i=r+1}^{n} [G: G_{x_i}]$$

**Theorem 12** (class equation). Let  $|G| < \infty$ . Then either  $G = Z_G$  or  $\exists a_1, \ldots, a_m \in G \setminus Z_G$  s.t.

$$|G| = |Z_G| + \sum_{i=1}^n [G:G_{a_i}]$$

*Proof.* Consider the action  $(g, x) \mapsto gxg^{-1}$ , then

$$\operatorname{Fix} G = \{ x \in G \mid gxg^{-1} = x \quad \forall g \in G \} = Z_G$$

It follows from the above argument.

**Def 33.** G is called a p-group if  $|G| = p^n$ , where p is a prime,  $n \in \mathbb{N}$ .

**Prop 1.6.1.** If G is a p-group, then  $Z_G \neq \{1\}$ .

*Proof.* Let  $|G| = p^n$ . If  $G = Z_G$ , then done. Otherwise, by the class equation (use action by conjugation),  $|G| = |Z_G| + \sum_{i=1}^n [G:G_{a_i}], \quad a_i \notin Z_G$ .

$$G_{a_i} = Z_G(a_i)$$
, so  $a_i \notin Z_G \implies Z_G(a_i) \subsetneq G \implies p \mid [G : Z_G(a_i)] = \frac{|G|}{|Z_G(a_i)|}$ .  
So  $|Z_G| = |G| - \sum_{i=1}^n [G : Z_G(a_i)] \implies p \mid |Z_G| \implies Z_G \neq \{1\}$ .

**Prop 1.6.2.** If  $|G| = p^2$ , then G is abelian.  $(\mathbb{Z}/p\mathbb{Z} \times \mathbb{Z}/p\mathbb{Z})$  and  $\mathbb{Z}/p^2\mathbb{Z}$ )

*Proof.* Assume that G is not abelian. By prop 1.6.1,  $|Z_G| = p \implies |G/Z_G| = p \implies G/Z_G$  is cyclic  $\implies G$  is abelian. (contradiction)

**Prop 1.6.3.** If  $|G| = p^3$  and G is not abelian, then  $|Z_G| = p$ .

(Abelian:  $\mathbb{Z}/p\mathbb{Z} \times \mathbb{Z}/p\mathbb{Z} \times \mathbb{Z}/p\mathbb{Z}, \mathbb{Z}/p^2\mathbb{Z} \times \mathbb{Z}/p\mathbb{Z}, \mathbb{Z}/p^3\mathbb{Z}$ )

**Prop 1.6.4.** Let  $|G| = p^n$ . Then  $\forall 0 \le k \le n, \exists G_k \lhd G$  s.t.  $|G_k| = p^k$  and  $G_i \le G_{i+1}$ .

In general, for a finite group G,  $\exists \{1\} = G_r \triangleleft G_{r-1} \triangleleft \cdots \triangleleft G_1 \triangleleft G_0 = G$  s.t.  $G_i/G_{i+1}$  is cyclic. we call G a solvable group.

*Proof.* By induction on n, n = 1 is trivial. For n > 1, assume that the statement a holds for n - 1. By prop 1.6.1,  $Z_G \neq \{1\}$ .  $\exists a \in Z_G, a \neq 1$ . Let  $\operatorname{ord}(a) = p^l$ , then  $\operatorname{ord}(a^{p^{l-1}}) = p$ .  $\Longrightarrow$  in any case,  $\exists a \in Z_G$  with  $\operatorname{ord}(a) = p$ .

Now  $|G/\langle a\rangle| = p^{n-1}$ , so by induction hypothesis,  $\forall 0 \le k \le n-1, \exists \overline{G_k} \triangleleft G/\langle a\rangle$  s.t.  $|\overline{G_k}| = p^k, \overline{G_i} \le \overline{G_{i+1}}$ .

By 3rd isom. thm.,  $\exists G_{k+1} \triangleleft G$  s.t.  $\overline{G_k} = G_{k+1}/\langle a \rangle, G_j \lneq G_{j+1}$  and  $|G_{k+1}| = p^{k+1}$ .

**Prop 1.6.5.** Let a *p*-group  $G \cap X$  with  $|X| < \infty$ . Then  $|X| \equiv |\operatorname{Fix} G| \pmod{p}$ .

**Theorem 13** (Cauchy theorem). Let  $p \mid |G|$ . Then  $\exists a \in G \text{ s.t. } \text{ord}(a) = p$ . Consider

$$X = \{ (a_1, \dots, a_p) \mid a_i \in G, a_1 a_2 \dots a_p = 1 \}$$

and the action  $\mathbb{Z}/p\mathbb{Z} \times X \to X$ :

$$(\overline{k},(a_1,\ldots,a_p))\mapsto (a_{k+1},\ldots,a_p,a_1,\ldots,a_k)$$

(This is well-defined since  $ab = 1 \implies ba = 1$  in a group.) We find that  $(a_1, \ldots, a_p) \in \operatorname{Fix} \mathbb{Z}/p\mathbb{Z} \iff a_1 = a_2 \ldots a_p$ . By prop 1.6.5,  $|\operatorname{Fix} \mathbb{Z}/p\mathbb{Z}| \equiv |X| \pmod{p}$ . And  $|X| = |G|^{p-1} \equiv 0 \pmod{p}$ . Since  $(1, \ldots, 1) \in \operatorname{Fix} \mathbb{Z}/p\mathbb{Z}, |\mathbb{Z}/p\mathbb{Z}| \neq 0 \implies |\mathbb{Z}/p\mathbb{Z}| \geq p$ .

So  $\exists (a, \ldots, a) \in \operatorname{Fix} \mathbb{Z}/p\mathbb{Z} \implies a^p = 1$ .

Application: Let  $|G| = p^3$  and G be non-abelian (p is odd). By prop 1.6.3,  $|G/Z_G| = p^2$ . Since G is non-abelian, we have  $G/Z_G \cong \mathbb{Z}/p\mathbb{Z} \times \mathbb{Z}/p\mathbb{Z}$ . That is,  $\forall a \in G, a^p \in Z_G$ .

$$\exists \varphi: G \to Z_G \cong C_p \text{ with } \varphi: a \mapsto a^p$$

Since  $G/Z_G$  is abelian,  $[G,G] \leq Z_G$ . And

$$\begin{cases} |[G,G]| \mid |Z_G| = p \\ G \text{ is non-abelian} \end{cases} \implies [G,G] = Z_G$$

**Def 34.**  $[x,y] = x^{-1}y^{-1}xy \in [G,G], [x,y]^p = 1$ 

So  $a^p b^p = a^p b^p [b, a]^p$  ... 換換換總共需要 p(p-1)/2

$$a^p b^p = (ab)^p [b, a]^{\frac{p(p-1)}{2}} = (ab)^p$$

So  $\varphi$  is a group homo.

So,

Now if  $\ker \varphi = G$  ( $\forall a \in G, a^p = 1$ ), i.e.  $\varphi$  is trivial, then  $\varphi$  is useless. Else,  $\exists a \in G$  s.t.  $\operatorname{ord}(a) = p^2$ , then  $H = \langle a \rangle \lhd G$ . ([G:H] = p is the smallest prime dividing |G|)

Also, in this case,  $\varphi: G \twoheadrightarrow Z_G \implies G/\ker \varphi \cong Z_G$ . Let  $E = \ker \varphi$ ,  $|E| = p^2$ . By the def. of  $\ker \varphi$ ,  $E \cong \mathbb{Z}/p\mathbb{Z} \times \mathbb{Z}/p\mathbb{Z}$ .

We find that  $H \cap E = \langle a^p \rangle$ . Pick  $b \in E \setminus H$  and let  $K = \langle b \rangle \implies |K| = p, H \cap K = \{1\}, HK = G$ .

### 1.6.2 Semidirect product

Fact 1.6.1.  $K \triangleleft G, H \triangleleft G, K \cap H = \{1\} \implies KH = K \times H$  $(\forall k \in K, h \in H, khk^{-1}h^{-1} \in H \cap K = \{1\}, \implies kh = hk)$ 

**Fact 1.6.2.** Let K, H be two groups, and  $G = K \times H \implies K \times \{1\} \triangleleft K \times H, \{1\} \times H \triangleleft K \times H$ 

Observation 1.  $K \leq G, H \triangleleft G, K \cap H = \{1\}$  (K 慘 H 好,簡稱慘好集) ⇒ elements in KH has unique representation? 好事喔  $KH \iff K \times H$  1-1 corresp,  $(kh) \leftrightarrow (k,h)$ 

Group operation:  $\forall k_1, k_2 \in K, h_1, h_2 \in H, (k_1h_1)(k_2h_2) = k_1k_2(k_2^{-1}h_1k_2)h_2$ Let  $\tau: K \to \text{Aut}(H), k \mapsto (\tau(k): h \mapsto khk^{-1})$  (類似  $\in \text{Inn}(H)$ )

**Def 35** (Semi-Direct Product (慘好積)).  $K \times_{\tau} H = \{(k,h)|k \in K, h \in H\}$  with group operation :  $(k_1,h_1)(k_2,h_2) = (k_1k_2,\tau(k_2^{-1})(h_1)(h_2))$  where  $\tau: K \to \operatorname{Aut}(H)$  (need not to be inner homomorphism)

### Properties:

- Associativity: Good, ex
- The identity = (1, 1)
- Inverse:  $(k,h)^{-1} = (k^{-1}, \tau(k)(h^{-1}))$
- $K \cong K \times \{1\} \leq K \times_{\tau} H : (k_1, 1)(k_2, 1) = (k_1k_2, \tau(k_2^{-1})(1)1) = (k_1k_2, 1) \in K \times \{1\}$  $H \cong \{1\} \times H \leq K \times \tau H : (1, h + 1), (1, h_2) = (1, \tau(1^{-1})(h_1)h_2) = (1, h_1h_2) \in \{1\} \times K$
- $\bullet \ \ H \lhd K \times_t H : (k,h)(1,h')(k,h)^{-1} = (k,hh')(k^{-1},\tau(k)(h^{-1})) = (1,\tau(k)(hh')\tau(k)(h^{-1})) \in H$
- $\tau(k)(h) = khk^{-1} : (k,1)(1,h)(k^{-1},1) = (k,h)(k^{-1},1) = (1,\tau(k)(h))$
- If  $\tau$  is trivial  $\implies K \times_t H \cong K \times H$

**Remark 9.** Some definition swaps the order of H and K, i.e.  $(h_1, k_1)(h_2, k_2) = (h_1\phi(k_1)(h_2), k_1k_2)$ 

**Ex 1.6.1.** Show that  $H \rtimes_{\phi} K$  is a group and satisfies the above properties.

Eg 1.6.1. Construct a non-abelian group of order 21.

Fact 1.6.3.  $\operatorname{Aut}(\mathbb{Z}/p\mathbb{Z}) \cong (\mathbb{Z}/p\mathbb{Z})^{\times} \cong C_{p-1}$ 

$$\begin{aligned} &\mathrm{Sol}: \ \phi_k: \mathbb{Z}/p\mathbb{Z} \to \mathbb{Z}/p\mathbb{Z}, \ \bar{1} \mapsto \bar{k} \\ &\phi_{k_2} \circ \phi_{k_1}(1) = \phi_{k_2}(\bar{k}_1) = \phi_{k_2}(1+\cdots+1) = \overline{k_2} + \cdots \overline{k_2} = \overline{k_1 k_2} \\ &\mathrm{Let} \ K = C_3, H = C_7, \ \mathrm{define} \ \tau: C_3 \to \mathrm{Aut}(C_7) \cong C_6, a \mapsto \phi_2 \\ &\phi_k: b \mapsto b^k \\ &G = \langle a, b | a^3 = 1, b^7 = 1, aba^{-1} = b^2 \rangle \end{aligned}$$

**Eg 1.6.2.** p : odd,  $|G| = p^3$ , G is non-abelian.

(sol)  $\phi: G \to Z(G), a \mapsto a^p$  non trivial case  $\exists a \in G$  with  $\operatorname{ord}(a) = p^2$ . Let  $H = \langle a \rangle$  here  $\phi$  is onto and  $E = \ker \phi \cong \mathbb{Z}/p\mathbb{Z} \times \mathbb{Z}/p\mathbb{Z}$  And  $|H \cap E| = p$   $H \lhd G$  because [G:H] = p Pick  $b \in E \setminus H$  and let  $K = \langle b \rangle \implies |K| = p, K \cap H = \{1\}$  so  $|G| = |KH| = p^3$ 

Fact 1.6.4.  $\operatorname{Aut}(\mathbb{Z}/p^2\mathbb{Z}) \cong (\mathbb{Z}/p^2\mathbb{Z})^{\times}$ 

Sol:  $\phi_k : \mathbb{Z}/p^2\mathbb{Z} \to \mathbb{Z}/p^2\mathbb{Z}, \bar{1} \mapsto \bar{k}, \gcd(k, p) = 1$ 

Find a group homo  $\tau: K \implies \operatorname{Aut}(H)$  because  $(1+p)^p \equiv 1 \mod p^2$ ,  $\operatorname{ord}\left(\overline{1+p}\right) = p$ . Let  $P = \langle \overline{1+p} \rangle$  is the only subgroup of order p. (if  $\exists |Q| = p, \ P \neq Q$  then  $P \cap Q = 1, \ |PQ| = p^2$  but

|G|=p(p-1), miserable.) So let  $\tau:b\mapsto (\phi_{1+p}:a\mapsto a^{1+p})$  so  $G=\langle a,b|a^{p^2}=1,b^p=1,bab^{-1}=a^{1+p}\rangle$  is a non-abelian group of order  $p^3$ .

Eg 1.6.3. Isometry of  $\mathbb{R}^n$ 

**Def 36** (Isometry). An isometry of  $\mathbb{R}^n$  is a function  $h: \mathbb{R}^n \to \mathbb{R}^n$  that preserves the distance between vectors.

 $h = t \circ k$  where t is translation, k is an isometry fixing the origin, i.e.  $k \in O(n)$ . Let T be the group of translations on  $R^n$ ,  $T \cong (R^n, +, 0), t \mapsto t(0)$ .

Let 
$$\tau: O(n) \to \operatorname{Aut}(T), A \mapsto L_A: R^n \to R^n, v \mapsto Av$$
  
 $\Longrightarrow \operatorname{Isom}(R^n) = O(n) \times_{\tau} R^n$ 

**Eg 1.6.4.** Quaternium  $Q_8 = \{\pm 1, \pm i, \pm j, \pm k\}$  is not a semi-deriect product of any two proper subgroups.

pf: since  $\{\pm 1\}$  is contained in any non-trivial subgroups, can't find  $H \cap K = \{1\}$ .

**Eg 1.6.5.** 
$$A_4, V_4 = \{1, (12)(34), (14)(23), (13)(24)\} \triangleleft A_4, V_4 \cong \mathbb{Z}/2\mathbb{Z} \times \mathbb{Z}/2\mathbb{Z}$$

Let 
$$H = \langle (123) \rangle \cong C_3$$
, define  $\tau : H \to \operatorname{Aut}(V_4) \cong GL_2(\mathbb{Z}/2\mathbb{Z})$  (123)  $\mapsto (\bar{0}\bar{1}; \bar{1}\bar{1})$  so  $A_4 \cong C_3 \times_{\tau} V_4$ .

**Ex 1.6.2.** Construct  $D_n$  as a semi-direct product of  $\mathbb{Z}/n\mathbb{Z}$  and  $\mathbb{Z}/2\mathbb{Z}$ .

#### Ex 1.6.3.

- 1. Show that  $S_4$  is a semi-direct product of  $V_4$  and  $H = \{ \sigma \in S_4 | \sigma(4) = 4 \} \sim S_3$ .
- 2. Show that  $S_n$  is a semi-direct product of  $A_n$  and  $H = \langle (12) \rangle$ .

### Remark 10.

- $\operatorname{Aut}(\mathbb{Z}/p\mathbb{Z} \times \mathbb{Z}/p\mathbb{Z}) \cong GL_2(\mathbb{Z}/p\mathbb{Z})$  (regarded as a vector space over  $\mathbb{Z}/p\mathbb{Z}$ )
- $\operatorname{Aut}(\mathbb{Z}/p\mathbb{Z} \times \mathbb{Z}/q\mathbb{Z}) \cong \operatorname{Aut}(\mathbb{Z}/p\mathbb{Z}) \times \operatorname{Aut}(\mathbb{Z}/q\mathbb{Z}) \cong C_{p-1} \times C_{q-1}$

### 1.7 Week 7

### 1.7.1 Composition series

Ques: How to simplify a finite group G?

### Strategy:

- If  $G = \{1\}$ , then done.
- Otherwise, check whether G has a nontrivial proper normal subgroup.
- If no, then G is said to be a simple group.
- Otherwise, find a normal subgroup  $G_1$  as large as possible s.t.  $G/G_1$  is simple.
- If  $G_1$  is simple, then done.
- Otherwse, repeat above on  $G_1$  and get  $G_2, \ldots, G_n$  s.t.

$$G_n = \{1\} \triangleleft G_1 \triangleleft \cdots \triangleleft G_1 \triangleleft G_0 = G$$
  $G_i/G_{i+1}$  is simple composition factors

Say "it is a composition series" with length(G) = n.

Hence simple groups can be regarded as basic building blocks of groups.

The classification of all finite simple groups is given as follows:

- 1.  $\mathbb{Z}/p\mathbb{Z}$ , p is a prime.
- 2.  $A_n, n \ge 5$ .
- 3. simple groups of Lie type.
- 4. 26 sporadic simple groups.

Eg 1.7.1. 
$$G = S_4, G_1 = A_4, G_2 = V_4, G_3 = \langle (1\ 2)(3\ 4) \rangle, G_4 = \{1\} \rightsquigarrow \text{length}(S_4) = 4.$$
 factors:  $C_2, C_3, C_2, C_2$ .

Eg 1.7.2. 
$$G = \mathbb{Z}/12\mathbb{Z} = \langle \bar{1} \rangle$$
.

- $G_1 = \langle \bar{2} \rangle, G_2 = \langle \bar{4} \rangle, G_3 = \langle \bar{0} \rangle \rightsquigarrow \text{length}(3), \text{ factors: } C_2, C_2, C_3.$
- $G_1' = \langle \bar{0} \rangle, G_2' = \langle \bar{6} \rangle, G_3' = \langle \bar{0} \rangle \leadsto \text{length}(3), \text{ factors: } C_2, C_3, C_2.$
- $G_1'' = \langle \bar{3} \rangle, G_2'' = \langle \bar{6} \rangle, G_3'' = \langle \bar{0} \rangle \rightsquigarrow \text{length}(3), \text{ factors: } C_3, C_2, C_2.$

**Eg 1.7.3.** Let 
$$|G| = p^n$$
. We know  $\forall 0 \le k \le n$ ,  $\exists G_k \triangleleft G$  with  $|G_k| = p^k$  and  $G_i \le G_{i+1}$ . length $(G) = n$ , factors:  $C_p, \ldots, C_p$ .  $(n \text{ times})$ 

**Theorem 14** (Jorden-Hölder theorem). If G has a composition series, then any two composition series have the same length and the same factors up to permutation.

**Lemma 1** (Zassenhaus lemma). Let  $H' \triangleleft H \leq G, K' \triangleleft K \leq G$ . Then  $(H \cap K')H' \triangleleft (H \cap K)H', (H' \cap K)K' \triangleleft (H \cap K)K'$  and

$$(H \cap K)H'/(H \cap K')H' \cong (H \cap K)K'/(H' \cap K)K'.$$

**Theorem 15** (Schreier theorem). Any two normal series of G have equivalent refinements. refinements: inserting a finite number of subgroups into the normal series.

*Proof.* For two normal series:

$$\{1\} = H_r \triangleleft H_{r-1} \triangleleft \cdots \triangleleft H_1 \triangleleft H_0 = G$$

$$\{1\} = K_s \triangleleft K_{r-1} \triangleleft \cdots \triangleleft K_1 \triangleleft K_0 = G$$

We define

$$H_{ij} = (H_i \cap K_j)H_{i+1}$$
$$K_{ji} = (H_i \cap K_j)K_{j+1}.$$

Then we have

$$\{1\} = H_{(r-1)s} \lhd H_{(r-1)(s-1)} \lhd \cdots \lhd H_{(r-1)0} = H_{r-1} = H_{(r-2)s} \lhd \cdots \lhd H_{10} = H_1 = H_{0s} \lhd \cdots \lhd H_{00} = G$$

$$\{1\} = K_{(s-1)r} \triangleleft K_{(s-1)(r-1)} \triangleleft \cdots \triangleleft K_{(s-1)0} = K_{s-1} = K_{(s-2)r} \triangleleft \cdots \triangleleft K_{10} = K_1 = K_{0r} \triangleleft \cdots \triangleleft K_{00} = G_{00} = G$$

Both have size 
$$= rs$$
. By lemma,  $H_{ij}/H_{i(j+1)} \cong K_{ji}/K_{j(i+1)}$ . Note that if  $H_{ij} = H_{i(j+1)}$ , then  $K_{ji} = K_{j(i+1)}$ .

proof of Jorden-Hölder theorem. Let

$$\begin{cases}
\{1\} = G_n \lhd \cdots \lhd G_1 \lhd G_0 = G & (*) \\
\{1\} = G'_m \lhd \cdots \lhd G'_1 \lhd G'_0 = G & (**)
\end{cases}$$

be two composition series.

By Schreier theorem, we get two refined equivalent series (\*)', (\*\*)'. Since (\*), (\*\*) are already composition series, (\*) = (\*)', (\*\*) = (\*\*)' So (\*), (\*\*) are equivalent.

proof of lemma. First prove  $(H \cap K')H' \triangleleft (H \cap K)H'$ .

• 
$$\forall g \in H \cap K, gK'g^{-1} = K' \leadsto (gHg^{-1}) \cap (gK'g^{-1}) = H \cap K' \text{ and } gH'g^{-1} = H'. \text{ So}$$
  
$$g(H \cap K')H'g^{-1} = (H \cap K')H'$$

•  $\forall g \in H', ab \in (H \cap K')H',$ 

To prove

$$(H \cap K)H'/(H \cap K')H' \cong (H \cap K)K'/(H' \cap K)K'.$$

$$(H \cap K)H'/(H \cap K')H' \cong (H \cap K)(H \cap K')H'/(H \cap K')H'$$

$$\cong (H \cap K)/(H \cap K) \cap (H \cap K')H'$$

$$\cong (H \cap K)/K \cap (H \cap K')H'$$

$$\cong (H \cap K)/(H' \cap K)(H \cap K')$$

 $(K \cap (H \cap K')H' = (H' \cap K)(H \cap K')$ , tricky) By symmetry,

$$(H \cap K)K'/(H' \cap K')K' \cong (H \cap K)/(H' \cap K)(H \cap K')$$

**Prop 1.7.1.** Let  $|G| < \infty$ . Then G is solvable  $\iff$  all composition factors are cyclic of prime order.

*Proof.* " $\Leftarrow$ ": by def.

"\Rightarrow": If 
$$G_i/G_{i+1} \cong C_n$$
 with  $n = p_1^{m_1} p_2^{m_2} \dots p_r^{m_r}$ .

**Observation.** Let  $K \triangleleft G$ . 把 K, G/K 拆成兩個 composition series 的話, 就可以把兩串接起來,長度就是加起來。

**Ex 1.7.1.** Let  $\{1\} = G_n \triangleleft G_{n-1} \triangleleft \cdots \triangleleft G_1 \triangleleft G_0 = G$  be a composition series of G and  $K \triangleleft G$ . Then after we eliminate equalities,

- 1.  $\{1\} = (K \cap G_n) \triangleleft (K \cap G_{n-1}) \triangleleft \cdots \triangleleft (K \cap G_1) \triangleleft (K \cap G_0) = K$  is a composition series of K.
- 2.  $\{\bar{1}\} = KG_n/K \triangleleft KG_{n-1}/K \triangleleft \cdots \triangleleft KG_1/K \triangleleft KG_0/K = G/K$  is a composition series of G/K.

**Ex 1.7.2.** Let  $\begin{cases} H \lhd G \\ K \lhd G \end{cases}$  with  $H \neq K$  s.t. G/H, G/K are simple. Then  $H/H \cap K, K/K \cap H$  are simple too.

**Ex 1.7.3.** Let  $\{1\} = G_n \triangleleft G_{n-1} \triangleleft \cdots \triangleleft G_1 \triangleleft G_0 = G$  be a composition series of length n. Show by induction on n that for every composition series of G:

$$\{1\} = H_m \triangleleft H_{n-1} \triangleleft \cdots \triangleleft H_1 \triangleleft H_0 = G,$$

we have m = n and

$$\{H_{n-1}/H_n,\ldots,H_0/H_1\}=\{G_{n-1}/G_n,\ldots,G_0/G_1\}$$

**Ex 1.7.4.** Exhibit all composition series for  $Q_8, D_4, \mathbb{Z}/8\mathbb{Z}, \mathbb{Z}/4\mathbb{Z} \oplus \mathbb{Z}/2\mathbb{Z}, \mathbb{Z}/2\mathbb{Z} \oplus \mathbb{Z}/2\mathbb{Z} \oplus \mathbb{Z}/2\mathbb{Z}$  respectively.

### 1.7.2 Modules over a PID

**Def 37.** Let R be a ring with 1. A R-modulue is an abelian group M (written additively) on which R acts linearly.  $R \times M \to M$   $(r, x) \mapsto rx$ 

- 1. r(x+y) = rx + ry  $r \in R, x, y \in M$
- 2.  $(r_1 + r_2)x = r_1x + r_2x$   $r_1, r_2 \in R, x \in M$
- 3.  $(r_1r_2)x = r_1(r_2x)$   $r_1, r_2 \in R, x \in M$
- $4. \ 1x = x \quad x \in M$

**Eg 1.7.4.** A k-vector space is a k-module.

**Eg 1.7.5.** An abelian group G can be regarded as a  $\mathbb{Z}$ -module.

$$\mathbb{Z} \times G \to G$$

$$(n,a) \mapsto na \quad \text{by} \quad na = \begin{cases} \underbrace{a + \dots + a}_{n \text{ times}} & \text{if } n \ge 0 \\ \underbrace{(-a) + \dots + (-a)}_{n \text{ times}} & \text{if } n < 0 \end{cases}$$

**Eg 1.7.6.** Let *I* be an ideal of *R*. Then *I* can be regarded as an *R*-module since  $\forall r \in R, a \in I$ ,  $ra \in I$ .

**Def 38.** A submodule N of M is an additive subgroup of M s.t.  $\forall r \in R, a \in N, ra \in N$ .

**Prop 1.7.2.** Let  $\phi \neq S \subseteq M$ . The submodule generated by S is defined to be

$$\begin{split} \langle S \rangle_R &= \left\{ \sum_{\text{finite}} r_i x_i \, \middle| \, x_i \in S, r_i \in R \right\} = \text{the least submodule containg } S \\ &= \bigcap_{S \subset N \subset M} N \end{split}$$

**Def 39.** An R-module M is said to be finitely generated if  $\exists x_1, \ldots, x_n \in M$  s.t.  $M = \langle x_1, \ldots, x_n \rangle_R = Rx_1 + Rx_2 + \ldots Rx_n$ 

**Eg 1.7.7.** R is generated by 1 as an R-module.

**Def 40.** An additive group homo.  $\varphi: M_1 \to M_2$  is called an R-module homo. if

$$\varphi(rx) = r\varphi(x) \quad \forall r \in R, x \in M_1$$

**Def 41.** An integral domain R is called a principal ideal domain (PID) if  $\forall I$  ideal in R,  $\exists a \in R$  s.t.  $I = \langle a \rangle_R$ .

**Eg 1.7.8.**  $\mathbb{Z}$  is a PID.

For  $I \subseteq \mathbb{Z}$ , I is an additive subgroup, so  $I = m\mathbb{Z} = \langle m \rangle_{\mathbb{Z}}$ .

**Def 42.** M is said to be a free module of rank n if  $M \cong \mathbb{R}^n = \mathbb{R} \oplus \cdots \oplus \mathbb{R}$  (or  $\mathbb{R} \times \cdots \times \mathbb{R}$ )

**Theorem 16.** If R is a PID, then any submodule of  $R^n$  is free of rank  $\leq n$ .

*Proof.* By induction on n. If n=1, notice that any submodule is an ideal I by the closure of submodule. Then since R is a PID,  $\forall I \subseteq R, \exists a \in R \text{ s.t. } I = \langle a \rangle_R = Ra \cong R \text{ (as a } R\text{-module)}.$ 

Let n > 1 and N be a submodule of  $\mathbb{R}^n$ . Consider

$$\pi_1: \frac{R^n \to R}{(r_1, \dots, r_n) \mapsto r_1}$$
 and  $\pi = \pi_1 \Big|_N: N \to R$ 

case 1: Im  $\pi = \{0\}$ . In this case,  $N \subseteq \ker \pi_1 \cong \mathbb{R}^{n-1}$ . By induction hypothesis, N is free of rank  $\leq n-1 < n$ .

case 2:  $\operatorname{Im} \pi = \langle a \rangle$ , say  $\pi(x) = a$ . Claim:  $N = Rx \oplus \ker \pi, \ker \pi \subseteq \ker \pi_1 \cong R^{n-1}$ .

- $Rx \cap \ker \pi = \{0\}$ :  $rx \in Rx \cap \ker \pi \implies \pi(rx) = 0$ , then  $r\pi(x) = 0$ . But integral domain doesn't have zero divisors, so r = 0 and hence rx = 0.
- $N \supseteq Rx \oplus \ker \pi$ : Obvious since Rx,  $\ker \pi \subseteq N$ .
- $N \subseteq Rx \oplus \ker \pi$ :  $\forall y \in N, \pi(y) = r_0 a$  for some  $r_0 \in R$ ,  $\pi(y r_0 x) = 0 \implies y r_0 x \in \ker \pi$ . So  $N \subseteq Rx \oplus \ker \pi$ .

Recall that the elementary matrices are

- $D_i(u) = \text{diag}(1, ..., 1, u, 1, ..., 1)$ .  $D_i(u) \in GL(n, R)$  if u is a unit.
- $B_{ij}(a) = I_n + ae_{ij}, a \in R, i \neq j.$   $B_{ij}(a)^{-1} = B_{ij}(-a) \implies B_{ij}(a) \in GL(n, R).$
- $P_{ij} = I_n e_{ii} e_{jj} + e_{ij} + e_{ji}$ .

**Fact 1.7.1.** If R is a PID and  $\langle a,b\rangle_R = \langle d\rangle_R$ , then  $d = \gcd(a,b)$ .

Proof.

- $\bullet \ \ a \in \langle d \rangle_R \implies a = rd \text{ for some } r \in R \implies d \mid a. \ v \in \langle d \rangle_R \implies d \mid b.$
- Let  $c \mid a, c \mid b$ , say  $a = k_1c$ ,  $b = k_2c$ .  $d \in \langle a, b \rangle_R \implies d = x_1a + x_2b$  for some  $x_1, x_2 \in R$ . So  $d = x_1k_1c + x_2k_2c = (x_1k_1 + x_2k_2)c \implies c \mid d$ .

**Theorem 17.** Let R be a PID and  $A \in M_{n \times m}(R)$ . Then  $\exists P \in GL_n(R)$  and  $Q \in GL_m(R)$  s.t.

$$PAQ = \begin{pmatrix} d_1 & & & & & & \\ & d_2 & & & & & \\ & & \ddots & & & & \\ & & & d_r & & & \\ & & & 0 & & \\ & & & \ddots & & \\ & & & 0 \end{pmatrix} \quad \text{with} \quad d_i \mid d_{i+1} \quad \forall i = 1, \dots, r-1$$

*Proof.* Define the length l(a) of  $a \neq 0$  to be r if  $a = p_1 p_2 \dots p_r$  where  $p_1, \dots, p_r$  are prime elements. prime elements:  $p \mid ab \implies p \mid a$  or  $p \mid b$ .

- 1. We may assume  $a_{11} \neq 0$  and  $l(a_{11}) \leq l(a_{ij}) \forall a_{ij} \neq 0$ . (換一換就上去了...XD)
- 2. We may assume  $\begin{cases} a_{11} \mid a_{1k} & \forall k=2,\ldots,m \\ a_{11} \mid a_{k1} & \forall k=2,\ldots,n \end{cases}$ . If  $a_{11} \nmid a_{1k}$ , then we can interchange 2nd and kth columns to assume  $a=a_{11} \nmid a_{12}=b$ .

Let 
$$d = \gcd(a, b) \implies \begin{cases} l(d) < l(a) \\ d = ax + by \text{ for some } x, y \in R \end{cases} \implies 1 = \frac{a}{d}x + \frac{b}{d}y$$
. Write  $b' = \frac{b}{d}, a' = -\frac{a}{d}$ . Then 
$$\begin{pmatrix} -a' & b' \\ y & -x \end{pmatrix} \begin{pmatrix} x & b' \\ y & a' \end{pmatrix} = I_2$$

反正就是移一下減掉, length 會一直變小 ⇒ 這個操作會停.

3. 有這個  $\begin{cases} a_{11} \mid a_{1k} & \forall k = 2, \dots, m \\ a_{11} \mid a_{k1} & \forall k = 2, \dots, n \end{cases}$  就可以全部消掉變成

$$\begin{pmatrix} a_{11} & 0 & \dots & 0 \\ 0 & b_{22} & \dots & b_{2m} \\ \vdots & \vdots & \ddots & \vdots \\ 0 & b_{n2} & \dots & b_{nm} \end{pmatrix}$$

4. May assume  $a_{11} \mid b_{kl} \quad \forall k, l$ . 不是的話就把該 row 往第一 row 加上去,重複前面的操作, $l(a_{11})$  總是變小,因此會停.

5. 遞迴下去...

最後就弄出想要的矩陣了.

#### 1.8 Week 8

### 1.8.1 Fundamental theorem of finitely generated abelian groups

**Theorem 18** (Structure theorem of finitely generated module over a PID). Let R be a PID and M be a finitely generated R-module. Then  $M \cong R/d_1R \oplus \cdots \oplus R/d_lR \oplus R^s, d_i \in R$  with  $d_i \mid d_{i+1} \quad \forall i=1,\ldots,l-1$  for some  $s \in \mathbb{Z}^{\geq 0}$ .

*Proof.* Let  $M = \langle x_1, \dots, x_n \rangle_R$  and consider

$$\varphi: R^n \to M$$
$$e_i \to x_i$$

By 1st isom. thm.,  $R^n/\ker \varphi \cong M$ .

We know  $\ker \varphi \cong R^m \ (e'_i \mapsto f_i, e'_i \in R^m)$  for some  $m \leq n$  and  $\forall x \in \ker \varphi \quad \exists! x_1, \dots, x_m \in R \text{ s.t. } x = \sum_{i=1}^m x_i f_i$ .

Note that  $\ker \varphi \subseteq R^n$ . So we can write  $f_i = \sum_{j=1}^n a_{ji} e_j \quad \forall i = 1, \ldots, m$ . Then  $x = \sum x_i \sum a_{ji} e_j = \sum (\sum a_{ji} x_i) e_j$ .

 $R \text{ is a PID} \implies \exists P \in \mathrm{GL}_n(R), Q \in \mathrm{GL}_m(R) \text{ s.t.}$ 

$$PAQ = \begin{pmatrix} d_1 & & & \\ & \ddots & & \\ & & d_r & \\ & & 0 & \\ & & & \ddots \end{pmatrix} \quad \text{with} \quad d_i \mid d_{i+1} \quad \forall i = 1, \dots, r-1$$

So consider  $[w_i] = Qe_i$ . Since P, Q invertible,  $R^n = \bigoplus Rw_i, \ker \varphi = \bigoplus d_iRw_i$  Hence

$$M \simeq R/ker\varphi = \bigoplus Rw_i/\bigoplus d_iRw_i = \bigoplus R/d_iR$$

 $R \rightarrow Rw_i/Rd_i'w_i$ 

 $1 \rightarrow \overline{w_i}$ 

 $r \rightarrow \overline{rw_i}$ 

**Remark 11.** If R is commutative, then " $R^n \cong R^m \implies n = m$ ."

**Theorem 19.** Let G be a finitely generated abelian group. Then Then  $G \cong \mathbb{Z}/d_1\mathbb{Z} \oplus \cdots \oplus \mathbb{Z}/d_l\mathbb{Z} \oplus \mathbb{Z}^s, d_i \in \mathbb{Z}$  with  $d_i \mid d_{i+1} \quad \forall i = 1, \ldots, l-1$  for some  $s \in \mathbb{Z}^{\geq 0}$ .

Since G can be regarded as a f.g.  $\mathbb{Z}$ -module and  $\mathbb{Z}$  is a PID, it follows from the main theorem.

 $\operatorname{Tor}(G) = \mathbb{Z}/d_1\mathbb{Z} \oplus \cdots \oplus \mathbb{Z}/d_l\mathbb{Z} \leq G \text{ and } G/\operatorname{Tor}(G) \cong \mathbb{Z}^s \text{ (free part of } G).$ 

**Fact 1.8.1.** If  $d = p_1^{m_1} p_2^{m_2} \dots p_s^{m_s}$ , then  $\mathbb{Z}/d\mathbb{Z} \cong \mathbb{Z}/p_1^{m_1} \mathbb{Z} \oplus \mathbb{Z}/p_2^{m_2} \mathbb{Z} \oplus \dots \oplus \mathbb{Z}/p_s^{m_s} \mathbb{Z}$ .

**Theorem 20** (Chinese Remainder theorem). Let R be a commutative ring with 1 and  $I_1, \ldots, I_n$  be ideals of R. Then

$$\varphi: R \to R/I_1 \times \cdots \times R/I_n$$
 is a ring homo.  
 $r \mapsto (\overline{r}, \dots, \overline{r})$ 

and

- (1) if  $I_i, I_j$  are coprime  $\forall i \neq j$ , then  $I_1 I_2 \dots I_n = I_1 \cap I_2 \cap \dots \cap I_n$ .
- (2)  $\varphi$  is surjective  $\iff I_i, I_j$  are coprime  $\forall i \neq j$ .
- (3)  $\varphi$  is injective  $\iff I_1 \cap I_2 \cap \cdots \cap I_n = \{0\}.$

So if  $I_i, I_j$  are coprime  $\forall i \neq j$ , then

$$R/I_1I_2...I_n \cong R/I_1 \times \cdots \times R/I_n.$$

 $I_i, I_j$  are coprime  $\iff I_i + I_j = R$ .

*Proof.* we only need to prove (1), (2).

(1) By induction on n. n = 2, need  $I_1 \cap I_2 \subseteq I_1 I_2$ . Indeed,  $I_1 \cap I_2 = (I_1 \cap I_2)R = (I_1 \cap I_2)(I_1 + I_2) \subseteq I_1 I_2$ .

For n > 2, since  $I_i + I_n = R$   $\forall i = 1, ..., n - 1$ ,  $\exists x_i \in I_i, y_i \in I_n$  s.t.  $x_i + y_i = 1$   $\forall i = 1, ..., n - 1$ .

So  $x_1x_2...x_{n-1} = (1-y_1)(1-y_2)...(1-y_{n-1}) = 1-y, y \in I_n \implies I_1I_2...I_{n-1} + I_n = R$ . Now,  $I_1I_2...I_n = (I_1...I_{n-1})I_n = (I_1...I_{n-1}) \cap I_n = I_1 \cap \cdots \cap I_n$ .

(2) " $\Rightarrow$ ": WLOG, we may let  $I_i = I_1, I_j = I_2$ . We have  $x \in R$  s.t.

$$\varphi(x) = (\overline{1}, \overline{0}, \dots, \overline{0})$$
 i.e.  $\overline{x} = \overline{1}$  in  $R/I_1$ 

Write  $x \equiv 1 \pmod{I_1}$ . Since  $1 - x \in I_1, x \in I_2$  and  $(1 - x) + x = 1, I_1 + I_2 = R$ .

"\(\infty\)":  $\forall y \in \text{RHS}, \ y = (\overline{r_1}, \dots, \overline{r_n})$ . If we may find that  $x_i \in R$  s.t.  $\varphi(x_i) = (\overline{0}, \dots, \overline{1}, \overline{0}, \dots, \overline{0})$ , then

$$\varphi\left(\sum_{i=1}^{n} r_i x_i\right) = y$$

It is enough to show, for example,  $\exists x \in R \text{ s.t. } \varphi(x) = (\overline{1}, \overline{0}, \dots, \overline{0}).$ 

Since  $I_1 + I_i = R$   $\forall i = 2, ..., n, \exists x_i \in I_1, y_i \in I_i \text{ s.t. } x_i + y_i = 1 \forall i = 2, ..., n.$ 

So let  $x = y_2 \dots y_n = (1 - x_2) \dots (1 - x_n)$ . We have  $x \in I_2, \dots, I_n$  and  $x \equiv 1 \pmod{I_1}$ .

**Eg 1.8.1.** |G| = 72 and G is abelian:

$$72 = 2 \times 36 = 3 \times 24 = 2 \times 2 \times 18 = 6 \times 12 = 2 \times 6 \times 6$$

Invariant factors

Elementary divisors

**Def 43.** The exponent of G with  $|G| < \infty$  is

$$\operatorname{Exp}(G) := \min \{ m \in \mathbb{N} | g^m = 1 \quad \forall g \in G \}$$

Ex 1.8.1.

- 1. Let G be abelian with |G| = n. Show that if  $d \mid n$ , then  $\exists H \leq G$  s.t. |H| = d.
- 2. If n = 540, d = 90, then construct all possible G and corresponding H.

**Ex 1.8.2.** Let G be abelian with  $|G| < \infty$ . Show that G is cyclic  $\iff \operatorname{Exp}(G) = |G|$ .

**Ex 1.8.3.** Let  $f_i(x) \in \mathbb{Z}[x]$ , i = 1, ..., k with deg  $f_i = d$  and  $p_1, ..., p_k$  be distinct primes. Show that  $\exists f(x) \in \mathbb{Z}[x]$  with deg f = d s.t.  $\overline{f}(x) = \overline{f_i}(x)$  in  $\mathbb{Z}/p_i\mathbb{Z}[x]$   $\forall i = 1, ..., k$ .  $f(x) = a_d x^d + \cdots + a_0, \overline{f}(x) = \overline{a_d} x^d + \cdots + \overline{a_0}$ 

### 1.8.2 Sylow theorems

**Def 44.** Let  $|G| = p^{\alpha}r$  with  $p \nmid r$ .

- 1. If  $H \leq G$  with  $|H| = p^{\alpha}$ , then we call H a Sylow p-subgroup of G.
- 2.  $\operatorname{Syl}_{p}(G) = \operatorname{the set}$  of all Sylow p-subgroups of G.
- 3.  $n_p = |\operatorname{Syl}_p(G)|$ .

**Lemma 2** (Key lemma). Let  $P \in \operatorname{Syl}_p(G)$  and Q be a p-subgroup of G. Then  $Q \cap N_G(P) = Q \cap P$ .

*Proof.* By Lagrange theorem,  $H = Q \cap N_G(P)$  is also a p-subgroup of  $N_G(P)$  since  $|H| \mid |Q|$ .

Since 
$$\begin{cases} P \lhd N_G(P) \\ H \leq N_G(P) \end{cases} \implies HP \leq N_G(P), \text{ we have}$$

$$|HP| = \frac{|H||P|}{|H \cap P|} = p^{\alpha + k - s}$$

where  $|H \cap P| = p^s, s \leq k$ . Then  $p^{\alpha+k-s} \mid |N_G(P)| \mid |G| = p^{\alpha}r$ .

So 
$$k = s \implies H = H \cap P \implies H \le P \cap Q$$
.

**Theorem 21** (Sylow I).  $\forall 0 \le k \le \alpha, \exists H \le G \text{ s.t. } |H| = p^k. \text{ In particular, } \mathrm{Syl}_p(G) \ne \phi.$ 

*Proof.* By induction on |G|. If |G| = 1, then k = 0,  $H = \{1\}$ .

Assume  $|G| > 1, k \ge 1, \alpha \ge 1$ .

case 1:  $p \mid |Z_G|$ . By Cauchy theorem,  $\exists a \in Z_G$  with  $\operatorname{ord}(a) = p$ . Then  $\langle a \rangle \triangleleft G$  and  $|G/\langle a \rangle| = p^{\alpha-1}r \leq |G|$ . If k = 1, then  $H = \langle a \rangle$ . Otherwise, we may assume that  $1 \leq k - 1 \leq \alpha - 1$ . By induction hypothesis,  $\exists H' = G/\langle a \rangle$  s.t.  $|H'| = p^{k-1}$ . By 3rd isom. thm., we can write  $H' = H/\langle a \rangle$  and thus  $|H| = p^k$ .

case 2:  $p \nmid |Z_G|$ . By the class equation,  $|G| = |Z_G| + \sum_{i=1}^m \frac{|G|}{|Z_G(a_i)|}, a_i \in Z_G$ .

In this cases,  $\exists a_j$  s.t.  $p \nmid \frac{|G|}{|Z_G(a_j)|} \implies p^{\alpha} \mid |Z_G(a_j)|$ . And  $Z_G(a_j) \lneq G$  since  $a_j \notin Z_G$ . By induction hypothesis,  $\exists H \leq Z_G(a_j) \leq G$  s.t.  $|H| = p^k$ .

**Theorem 22** (Sylow II). Let  $P \in \operatorname{Syl}_p(G)$  and Q be a p-subgroup of G. Then  $\exists a \in G$  s.t.  $Q \leq aPa^{-1}$ . In particular,  $\forall P_1, P_2 \in \operatorname{Syl}_p(G), \exists a \in G$  s.t.  $P_2 = aP_1a^{-1}$ .

*Proof.* Let  $X = \{ \text{ left cosets of } P \}$  and consider  $Q \times X \to X$  $(a, xP) \mapsto axP$ .

Observe that  $xP \in \text{Fix } Q \iff axP = xP \quad \forall a \in Q \iff x^{-1}axP = P \quad \forall a \in Q \iff x^{-1}ax \in P \quad \forall a \in Q \iff a \in xPx^{-1} \quad \forall a \in Q.$ 

We know  $|\operatorname{Fix} Q| \equiv |X| \pmod{p}$  and  $p \nmid r \implies |\operatorname{Fix} Q| \neq 0 \iff \exists a \in G, Q \leq aPa^{-1}$ .

In particular, 
$$\begin{cases} P_2 \le aP_1a^{-1} \\ |P_2| = |aP_1a^{-1}| \end{cases} \implies P_2 = aP_1a^{-1}.$$

**Theorem 23** (Sylow III).  $n_p \equiv 1 \pmod{p}$  and  $n_p \mid r$ .

$$Proof. \qquad \bullet \ \, \text{Consider} \ \, {P \times \operatorname{Syl}_p(G) \to \operatorname{Syl}_p(G) \atop (\ \, a, \quad \ \, Q) \mapsto aQa^{-1}} \ \, \text{where} \, \, P \in \operatorname{Syl}_p(G).$$

$$P' \in \operatorname{Fix} P \iff aP'a^{-1} = P' \quad \forall a \in P \iff P \leq N_G(P') \cap P = P' \cap P \iff P' = P.$$

So Fix 
$$P = \{P\} \implies n_p \equiv |\text{Fix } P| = 1 \pmod{p}$$
.

$$\bullet \ \ \text{Consider} \ \begin{array}{c} G \times \operatorname{Syl}_p(G) \to \operatorname{Syl}_p(G) \\ (a, \quad Q) \mapsto aQa^{-1} \end{array} \implies \text{There is only one orbit } \operatorname{Syl}_p(G).$$

We know 
$$|\operatorname{Syl}_p(G)| = \frac{|G|}{|G_Q|}$$
 and  $G_Q = N_G(Q)$ . Then  $n_p = \frac{|G|}{|G_Q|} \mid |G|$ . So  $n_p \mid p^{\alpha}r \implies n_p \mid r$ .

**Prop 1.8.1.** Let 
$$|G| = pq$$
 where  $p, q$  are primes with  $\begin{cases} p < q \\ q \not\equiv 1 \pmod{p} \end{cases}$ . Then  $G \cong C_{pq}$ .

*Proof.* 
$$n_p = 1 + kp \mid q \implies n_p = 1 \text{ i.e. } H \in \operatorname{Syl}_p(G) \implies H \triangleleft G.$$

$$n_q = 1 + kq \mid p \implies n_q = 1 \text{ i.e. } K \in \operatorname{Syl}_q(G) \implies K \lhd G.$$

Since 
$$gcd(p,q) = 1$$
,  $H \cap K = 1$ . Hence  $G = H \times K \cong C_p \times C_q \cong C_{pq}$ .

**Eg 1.8.2.** Consider  $|G| = 255 = 3 \times 5 \times 17$ .

- 1. 找兩個 normal subgroup (17, 5 or 3)
- 2. quot 掉後發現剩下的是 abelian  $\leadsto$  [G,G] 在裡面
- 3. [G, G] = 1
- 4. 唱 f.g. xxx thm. 得到  $G \cong \mathbb{Z}_3 \times \mathbb{Z}_5 \times \mathbb{Z}_{17}$ .
- 5. 中國剩飯定理  $G \cong C_{255}$ .

**Ex 1.8.4.** If  $|G| = 7 \times 11 \times 19$ , then *G* is abelian.

**Eg 1.8.3.** No group G of order  $48 = 2^4 \times 3$  is simple.

- 1.  $n_2 = 1 + 2k \mid 3 \leadsto n_2 = 1 \text{ or } 3.$
- 2.  $n_2 = 1$  then OK.
- 3. Assume  $n_2 = 3$ . Let  $P \in \text{Syl}_2(G), X = \{ \text{ left cosets of } P \} (|X| = 3)$ .
- 4. Consider  $(A, xP) \mapsto axP \rightsquigarrow \varphi : G \to S_3$ .
- 5. 考慮  $\ker \varphi$ .

**Ex 1.8.5.** No group G of order 36 is simple.

**Ex 1.8.6.** No group G of order 30 is simple.

**Ex 1.8.7.** Let |G| = 385. Show that  $\exists P \in \text{Syl}_7(G)$  s.t.  $P \leq Z_G$ .

#### 1.9 Week 9

#### 1.9.1 Classification

To classify groups of small orders:

- |G| = 1:  $G = \{1\}$
- |G|=2:  $G\cong C_2$
- |G| = 3:  $G \cong C_3$
- |G| = 4:  $G \cong \mathbb{Z}_4$  or  $\mathbb{Z}_2 \times \mathbb{Z}_2$
- |G| = 5:  $G \cong C_5$
- |G|=6:  $n_3=1, n_2=1$  or 3. Let  $H\in \mathrm{Syl}_3(G)$  and  $H\triangleleft G$ . Let  $K\in \mathrm{Syl}_2(G)$ . Also  $H\cap K=\{1\}$  and HK=G then  $G\cong K\times_{\tau}H$ 
  - If  $\tau$  is trivial:  $G \cong K \times H \cong C_2 \times C_3 \cong C_6$
  - $-\tau:b\mapsto\phi_2:\langle a\rangle\to\langle a\rangle\colon G\cong K\times_\tau H\cong\langle a,b\mid a^3=1,b^2=1,bab^{-1}=a^2=a^{-1}\rangle\cong D_3$
- |G| = 7:  $G \cong C_7$
- |G| = 8:
  - If abelian:  $\mathbb{Z}_8$  or  $\mathbb{Z}_4 \times \mathbb{Z}_2$  or  $\mathbb{Z}_2 \times \mathbb{Z}_2 \times \mathbb{Z}_2$
  - If non-abelian:
    - \*  $\not\exists a \in G \text{ with } \operatorname{ord}(a) = 8$
    - \* Not each  $a \in G$  with  $a^2 = 1$ , otherwise G is abelian.
    - \*  $\exists a \in G \text{ with } \operatorname{ord}(a) = 4$ : Let  $H = \langle a \rangle$  and  $H \triangleleft G$  since [G : H] = 2. Pick  $b \in G \setminus H$  and  $K = \langle b \rangle$ 
      - · ord(b) = 2:  $H \cap K = \{1\}$  and HK = G then  $G \cong K \times_{\tau} H$ ,  $\tau : b \mapsto \phi : a \mapsto a^3$ :  $G \cong K \times_{\tau} H \cong \langle a, b \mid a^4 = 1, b^2 = 1, bab^{-1} = a^3 = a^{-1} \rangle \cong D_4$
      - · ord(b) = 4:  $H \cap K = \langle a^2 = b^2 \rangle$ . Then consider  $bab^{-1} \in H \implies bab^{-1} = 1, a, a^2, a^3$ 
        - 1. 1, a obviously wrong.
        - 2.  $bab^{-1} = a^2$ :  $a = a^2aa^{-2} = b^2ab^{-2} = a^4 \implies a^3 = 1$  矛盾
        - 3. So  $bab^{-1} = a^3 = a^{-1}$ .

$$G \cong \langle a, b \mid a^4 = 1, b^4 = 1, a^2 = b^2, bab^{-1} = a^3 = a^{-1} \rangle \cong Q_8$$

- |G| = 9:  $G \cong \mathbb{Z}_9$  or  $\mathbb{Z}_3 \times \mathbb{Z}_3$
- |G| = 10:  $G \cong K \times H \cong C_2 \times C_5 \cong C_{10}$  or  $G \cong D_5$
- |G| = 11:  $G \cong C_{11}$
- |G|=12: Claim: If |G|=12, then either G has a normal Sylow 3-subgroup or  $G\cong A_4$ .

*Proof.* By Sylow 3,  $n_3 = 1 + 3k \mid 4 \implies n_3 = 1$  or 4.

- If  $n_3 = 1$ , then G has a normal Sylow 3-subgroup.
- Otherwise, let  $P \in \operatorname{Syl}_3(G)$  and  $X = \{ \text{left cosets of } P \}$ , |X| = 4. Consider  $G \times X \to X$  defined by  $(a, xP) \mapsto axP$  with  $\phi : G \to S_4$ . And  $\ker \phi \leq P$ , |P| = 3 and  $P \not\subset G$  (since  $n_3 = 4$ ), so  $\ker \phi = \{1\}$ .

And since  $n_3=4$ , there are 8 elements of order 3 which corresponds to 8 3-sycles in  $A_4$ , thus  $|\operatorname{Im} \phi \cap A_4| \geq 8$ . But  $|\operatorname{Im} \phi \cap A_4| \mid |A_4| = 12 \implies \operatorname{Im} \phi = A_4$ 

Now, for the case where  $\exists H \in \operatorname{Syl}_3(G)$  and  $H \triangleleft G$ . Let  $K \in \operatorname{Syl}_2(G)$ , then  $K \cap H = \{1\}$  and  $KH = G \implies G \cong K \times_{\tau} H$  for some  $\tau : K \to \operatorname{Aut}(H) = \{\operatorname{id}, \phi_2\}$ 

- $-\tau$  is trivial:  $\mathbb{Z}_{12}$  or  $\mathbb{Z}_2 \times \mathbb{Z}_6$ .
- $-\langle b \rangle = K \cong \mathbb{Z}_4: \ \tau(b) = \phi_2 \implies G = \langle a, b \mid a^3 = 1, b^4 = 1, bab^{-1} = a^{-1} \rangle \not\cong D_6, A_4$
- $-\langle b \rangle = K \cong \mathbb{Z}_2 \times \mathbb{Z}_2$ : Let  $K = \langle b, c \mid b^2 = 1, c^2 = 1, bc = cb \rangle$ , then  $\tau : b \mapsto \phi_2$  and  $c \mapsto id$  (the other cases are equivalent to this one),  $G = \langle a, b, c \mid a^3 = 1, b^2 = 1, c^2 = 1, bc = cb, bab^{-1} = a^{-1}, cac^{-1} = a \rangle \cong \langle a, b \mid a^3 = 1, b^2 = 1, bab^{-1} = a^{-1} \rangle \times \langle c \rangle \cong D_3 \times C_2 \cong D_6$

Fact 1.9.1. For odd  $n, D_{2n} \cong D_n \times \mathbb{Z}/2\mathbb{Z}$ .

Proof.

$$D_{2n} = \langle a, b \mid a^{2n} = 1, b^2 = 1, bab^{-1} = a^{-1} \rangle$$

$$H = \langle a^2, b \mid (a^2)^n = 1, b^2 = 1, b(a^2)b^{-1} = a^{-2} \rangle \cong D_n$$

$$K = \langle a^n \rangle \cong C_2$$

And n is odd, so  $H \cap K = \{1\}$  and  $D_{2n} \cong D_n \times C_2$ 

- |G| = 13:  $G \cong C_{13}$
- |G| = 14:  $G \cong C_{14}$  or  $D_7$
- |G| = 15:  $G \cong C_{15}$

**Ex 1.9.1.** Assume that K is cyclic and H is an arbitrary group. Let  $\tau_1: K \to \operatorname{Aut}(H)$ ,  $\tau_2: K \to \operatorname{Aut}(H)$  with  $\tau_1(K) \sim \tau_2(K)$  (conjugate). If  $|K| = \infty$ , then assume that  $\tau_1$  and  $\tau_2$  are injective. Show that  $K \times_{\tau_1} H \cong K \times_{\tau_2} H$ .

**Ex 1.9.2.** Classify G if  $|G| = p^3$  with p an odd prime and each nontrivial element of G has order p.

Ex 1.9.3. Classify groups of order 30.

## 1.9.2 Free groups

A free group generate by a non-empty set X is that there are no relations satisfied by any of elements in X.

**Def 45.** A free group on X is a group F with an inclusion map  $i: X \to F$  satisfying the following universal property: For any group G and any map  $f: X \to G$ , exists a unique group homo  $\varphi: F \to G$  that the following diagram commutes.



**Theorem 24.** F exists and is unique up to isomorphism. (Denote it as F(X) = F).

*Proof.* For X, we create a new disjoint set  $X^{-1} = \{x^{-1} : x \in X\}$  and an element  $1 \notin X \cup X^{-1}$ .

Define 
$$F(X) = \{1\} \cup \left\{ x_1^{\delta_1} x_2^{\delta_2} \cdots x_m^{\delta_m} : m \in \mathbb{N}, x_i \in X, \delta_i = \pm 1, x_{i+1}^{\delta_{i+1}} \neq \left( x_i^{\delta_i} \right)^{-1} \right\}$$
, and

$$x_1^{\delta_1}x_2^{\delta_2}\cdots x_m^{\delta_m}=y_1^{\epsilon_1}y_2^{\epsilon_2}\cdots y_m^{\epsilon_m}\iff n=m\text{ and }\delta_i=\epsilon_i\text{ and }x_i=y_i,\forall i$$

For each  $y \in X \cup X^{-1}$ , we define  $\sigma_y : F(X) \to F(X)$  by

$$\sigma_y(x_1^{\delta_1} x_2^{\delta_2} \cdots x_m^{\delta_m}) = \begin{cases} y x_1^{\delta_1} x_2^{\delta_2} \cdots x_m^{\delta_m} & \text{if } x_1^{\delta_1} \neq y^{-1} \\ x_1^{\delta_1} x_2^{\delta_2} \cdots x_m^{\delta_m} & (m \ge 2) \\ 1 & (m = 1) \end{cases} \quad \text{if } x_1^{\delta_1} = y^{-1}$$

Then  $\sigma_y$  is a permutation of F(X), since if  $\sigma_y(x_1^{\delta_1}x_2^{\delta_2}\cdots x_m^{\delta_m}) = \sigma_y(y_1^{\epsilon_1}y_2^{\epsilon_2}\cdots y_m^{\epsilon_m})$ .

 $\begin{array}{l} \mathbf{m}=\mathbf{n} \colon \text{ either } x_1^{\delta_1}=y_1^{\epsilon_1}=y^{-1} \text{ or not, then either } x_2^{\delta_1}x_3^{\delta_2}\cdots x_m^{\delta_m}=y_2^{\epsilon_1}y_3^{\epsilon_2}\cdots y_m^{\epsilon_m} \text{ or } yx_1^{\delta_1}x_2^{\delta_2}\cdots x_m^{\delta_m}=yy_1^{\epsilon_1}y_2^{\epsilon_2}\cdots y_m^{\epsilon_m}. \end{array}$ 

m = n+2: Omimi

Also  $\sigma_y$  is onto since omimi. And notice that  $\sigma_{y^{-1}} \circ \sigma_y = id_{F(X)}$ 

Define  $A = \langle \sigma_x : x \in X \rangle \leq S_{F(X)}$ . and define  $\phi : F(X) \to A$  by  $\phi(1) = id_{F(X)}$  and  $x_1^{\delta_1} \cdots x_m^{\delta_m} \mapsto \sigma_{x_1}^{\delta_1} \cdots \sigma_{x_m}^{\delta_m}$ . The it is omimi that  $\phi$  is a bijection. So we define  $x :: X \cdot y :: X = \phi^{-1}(\phi(x) \circ \phi(y))$ .

The  $\phi$  in the universal property could be defined as  $\phi(x_1^{\delta_1}x_2^{\delta_2}\cdots x_m^{\delta_m})=f(x_1)^{\delta_1}\cdots f(x_m)^{\delta_m}.$ 

**Prop 1.9.1.** Let  $G = \langle a_1, \dots, a_n \rangle$  and  $X = \{x_1, \dots, x_m\}$ . Then  $G \cong F(X)/K$  for some normal subgroup K. K is called the subgroup of relations connecting the generators.

Define  $f = x_i :: X_i \to a_i :: G$ . By universal property,  $\exists \phi = x_i :: F(X) \mapsto a_i :: G$ . Then  $F(x)/\ker \phi \cong G$ .

**Def 46.** Let  $X = \{x_1, x_2, \dots, x_n\}$  and  $R \subset F(X)$ . Let N(R) be the smallest normal subgroup of F(X) containing R, Then G = F(X)/N(R) is written as  $\langle x_1, \dots, x_n |$  elements of  $R \rangle$ , which is called a presentation of G. If  $|R| < \infty$ , then G is said to be finitely presented.

### Eg 1.9.1.

$$D_n = \left\langle \begin{bmatrix} \cos\frac{2\pi}{n} & -\sin\frac{2\pi}{n} \\ \sin\frac{2\pi}{n} & \cos\frac{2\pi}{n} \end{bmatrix}, \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix} \right\rangle$$

We find that  $x^n, y^2, xyxy \in \ker \phi$ . Then  $R = \{x^n, y^2, xyxy\} \subseteq \ker \phi \implies N(R) \le \ker \phi$ . By factor theorem,  $\exists \bar{\phi} :: F(X)/N(R) \to D_n$ . But notice that

$$|F(x)/N(R)| \le 2n$$

since  $xyxy=1 \implies xy=yx^{-1}$ , so every element could be turn into  $x^iy^j$ . Hence  $\bar{\phi}$  is an isomorphism.

**Prop 1.9.2.** Let  $X = \{x_1, x_2, \dots, x_n\}$ . Then  $F(X)/[F(X), F(X)] \cong \mathbb{Z}^n$ .

Proof. Define  $f = x_i :: X \mapsto e_i :: \mathbb{Z}^n$ . Then  $\phi = x_i :: F(X) \mapsto e_i :: \mathbb{Z}^n$ . By 1st isomorphism theorem  $F(X)/\ker \phi \cong \mathbb{Z}^n$  which is abelian, so  $[F(X), F(X)] \leq \ker \phi$ . By factor theorem, 一個  $\mathbb{Z}$  圖.

Claim that  $\bar{\phi}$  is 1-1.

Proof. Since F(X)/[F(X), F(X)] is abelian,  $\forall a \in F(X)/[F(X), F(X)]$ , we can write  $a = \bar{x}_1^{n_1} \bar{x}_2^{n_2} \cdots \bar{x}_m^{n_m}$ . If  $\bar{\phi}(\bar{a}) = (m_1, \dots, m_n) = 0$  in  $\mathbb{Z}^n$ , then  $m_i = 0, \forall i \implies a = 1$ 

# 2 Multilinear algebra

### 2.1 Week 11

### 2.1.1 Bilinear forms & Groups preserving bilinear forms

**Def 47.** Let V be a vector space over a field F.

• A function  $f: V \times V \to F$  is called a bilinear form if

$$\begin{cases} f(rx_1 + x_2, y) &= rf(x_1, y) + f(x_2, y) \\ f(x, ry_1 + y_2) &= rf(x, y_1) + f(x, y_2) \end{cases} \quad \forall x_1, x_2, x, y_1, y_2, y \in V, r \in F$$

•  $B_F(V,V) = \{ \text{ bilinear forms on } V \}$  can be regarded as a vector space over F.

**Theorem 25.** Let dim V = n and  $\beta = \{v_1, \dots, v_n\}$  be a basis for V. Then  $\exists$  an isomorphism  $\psi_{\beta}: B_F(V, V) \to M_{n \times n}(F)$ .

$$\textit{Proof. For } v,w \in V, \text{ write } v = \sum_i a_i v_i, w = \sum_j b_j v_j, \text{ i.e. } [v]_\beta = \begin{pmatrix} a_1 \\ \vdots \\ a_n \end{pmatrix}, [w]_\beta = \begin{pmatrix} b_1 \\ \vdots \\ b_n \end{pmatrix}.$$

For 
$$f \in B_F(V, V)$$
,  $f(v, w) = \sum_i \sum_j a_i b_j f(v_i, v_j) = \begin{pmatrix} a_1 & \dots & a_n \end{pmatrix} \begin{pmatrix} f(v_i, v_j) \end{pmatrix} \begin{pmatrix} b_1 \\ \vdots \\ b_n \end{pmatrix}$ .

Define  $\psi_{\beta}(f) = A$  with  $A_{ij} = f(v_i, v_j)$ .

- $\psi_{\beta}$  is a linear transformation.
- $\psi_{\beta}$  is 1-1.
- $\psi_{\beta}$  is onto:  $\forall A \in M_{n \times n}(F)$ , we define  $f(v, w) = [v]_{\beta}^t A[w]_{\beta}$ .

**Def 48.** Let  $f \in B_F(V, V)$ 

- f is said to be symmetric if  $f(v, w) = f(w, v) \quad \forall v, w \in V$ .
- f is said to be skew-symmetric if  $f(v, w) = -f(w, v) \quad \forall v, w \in V$ .
- f is said to be alternating if  $f(v,v)=0 \quad \forall v \in V$ .

### Remark 12.

- Alternating  $\implies$  skew-symmetric.
- If char  $F \neq 2$ , skew-symmetric  $\implies$  alternating.
- If char F = 2, symmetric = skew-symmetric.
- $\forall f \in B_F(V, V)$  with char  $F \neq 2$ ,

$$f_s(u, v) = \frac{1}{2} (f(u, v) + f(v, u))$$
$$f_a(u, v) = \frac{1}{2} (f(u, v) - f(v, u))$$

and  $f(u, v) = f_s(u, v) + f_a(u, v)$ .

So we only need to study "symmetric" & "alternating".

#### Ex 2.1.1.

1. If A and B are congruent  $(B = Q^t A Q)$  in  $M_{n \times n}(F)$ , then they define the same bilinear form.

2. 
$$f$$
 is  $\begin{cases} \text{symmetric} \\ \text{skew-symmetric} \end{cases} \iff \psi_{\beta}(f)$  is  $\begin{cases} \text{symmetric}(A^t = A) \\ \text{skew-symmetric}(A^t = -A) \end{cases}$ 

**Observation.** Let  $f \in B_F(V, V)$  and  $v_0 \in V$ .

$$L_f(v_0) = f(v_0,\cdot) \in V' = \operatorname{Hom}(V,F) : \text{the dual space of } V$$
 
$$R_f(v_0) = f(\cdot,v_0) \in V'$$

The left radical of  $f : \operatorname{lrad}(f) = N(L_f) = \{ v \in V \mid f(v, w) = 0 \quad \forall w \in V \}.$ 

The right radical of  $f : \operatorname{rrad}(f) = N(R_f) = \{ w \in V \mid f(v, w) = 0 \quad \forall v \in V \}.$ 

#### Ex 2.1.2.

- 1.  $\operatorname{rank}(\psi_{\beta}(f)) = \operatorname{rank}(R_f) = \operatorname{rank}(L_f)$ .
- 2. If dim V = n, then TFAE ( $\implies f$ : non degenerate)
  - (a) rank(f) = n.
  - (b)  $\forall v \in V, v \neq 0, \exists w \in V \text{ s.t. } f(v, w) \neq 0.$
  - (c)  $lrad(f) = \{0\}.$
  - (d)  $L_f: V \to V'$  is isom.

(also, right)

**Theorem 26** (Principal Axis theorem). Let dim V = n and char  $F \neq 2$ . If  $f \in B_F(V, V)$  is symmetric, then  $\exists \beta$  s.t.  $\psi_{\beta}(f)$  is diagonal.

*Proof.* It is sufficient to find  $\beta = \{v_1, \dots, v_n\}$  s.t.  $f(v_i, v_j) = 0 \quad \forall i \neq j$ .

If f = 0, then done! Assume  $f \neq 0$ . By induction on n: If n = 1, done. Let n > 1.

Claim 1:  $\exists v_1 \in V \text{ s.t. } f(v_1, v_1) \neq 0.$  Assume that  $f(v, v) = 0 \quad \forall v \in V.$ 

$$f(v,w) = \frac{1}{2} (f(v+w,v+w) - f(v,v) - f(w,w)) = 0.$$

So f = 0, which is a contradiction.

Now let  $v_1 \in V$  with  $f(v_1, v_1) \neq 0$ . Let  $W = \langle v_1 \rangle_F$  and  $W^{\perp} = \{ w \in V \mid f(v_1, w) = 0 \} \subseteq V$ .

Claim 2:  $V = W \oplus W^{\perp}$ 

- $V = W + W^{\perp}$ : For all  $v \in V$ , let  $a = f(v, v_1)/f(v_1, v_1)$ , then  $v = av_1 + (v av_1) \triangleq w + w'$  where  $w \in W$  and  $f(w', v_1) = f(v av_1, v_1) = f(v, v_1) af(v_1, v_1) = 0$ . So  $w' \in W^{\perp}$  and thus  $V = W + W^{\perp}$ .
- $W \cap W^{\perp} = \{0\}$ : obviously since if  $av_1 \in W$ ,  $f(av_1, v_1) = 0 \iff a = 0 \iff av_1 = 0$ .

Since  $f\Big|_{W^{\perp}\times W^{\perp}}$  is a symmetric bilinear form on  $W^{\perp}$  and  $\dim W^{\perp} < \dim V$ . By induction hypothesis,  $\exists \{v_2, \ldots, v_n\}$  a basis for  $W^{\perp}$  s.t.  $f(v_i, v_j) = 0 \quad \forall i \neq j$ . Then  $\beta = \{v_1, \ldots, v_n\}$ .

<sup>&</sup>lt;sup>1</sup>The argument in class requires char  $F \geq 4$ , omimi...

**Theorem 27** (Sylvester's theorem). Let  $f \in B_{\mathbb{R}}(V, V)$  be symmetric with dim V = n. Then  $\exists \beta$ 

$$\text{s.t. } \psi_{\beta}(f) = \begin{pmatrix} 1 & & & & & & \\ & \ddots & & & & & \\ & & 1 & & & & \\ & & & -1 & & & \\ & & & \ddots & & & \\ & & & & 0 & & \\ & & & & \ddots & \\ & & & & 0 \end{pmatrix}.$$

The triple (# of 1, # of -1, # of 0) is well-defined. (called the signature of f)

*Proof.* Assume  $V^+ = \langle v_1, \dots, v_p \rangle_F, V^- = \langle v_{p+1}, \dots, v_r \rangle_R, V^\perp = \langle v_{r+1}, \dots, v_n \rangle_F.$   $(V = V^+ \oplus V^- \oplus V^\perp)$ 

Claim: If W is a subspace of V s.t. f is positive-definite on W, then  $W, V^-, V^{\perp}$  are independent. Let  $\langle w_1, w_2, \dots, w_s \rangle$  be a basis of W. If

$$a_1w_1 + a_2w_2 + \dots + a_sw_s = b_{p+1}v_{p+1} + \dots + b_rv_r + c_{r+1}v_{r+1} + \dots + c_nv_n.$$

Let  $w \triangleq a_1w_1 + \cdots + a_sw_s, v \triangleq b_{p+1}v_{p+1} + \cdots + b_rv_r + c_{r+1}v_{r+1} + \cdots + c_nv_n$ . Since w = v, f(w,w) = f(v,v). but  $f(w,w) = \sum a_i^2 \geq 0$  and  $f(v,v) = -\sum b_i^2 \leq 0$ . Hence  $a_i = 0, b_i = 0$ . Since  $v_{r+1}, \cdots, v_n$  is linear independent,  $c_i = 0$ . Therefor these vectors are linear independent.

**Ex 2.1.3.** Let  $f \in B_F(V, V)$  with char  $F \neq 2$ . If f is skew-symmetric, then  $\exists \beta$  s.t.

Ex 2.1.4. Study Hermitian form

 $T: V \xrightarrow{\sim} V, f \in B_F(V, V)$ . T preserves f if  $f(T(v), T(w)) = f(v, w) \quad \forall v, w \in V$ . In matrix form, let  $\beta$  be a basis for  $V, M = [T]_{\beta}, A = \psi_{\beta}(f)$ , then  $A = M^t AM$ .

•  $f \in B_{\mathbb{R}}(V, V)$  symmetric, non-degenerate:  $\exists \beta$  s.t.  $\psi_{\beta}(f) = \begin{pmatrix} I_p \\ -I_q \end{pmatrix}$ .

Then  $\{\mathsf{T}: V \xrightarrow{\sim} V \text{ preserves } f\} \leftrightarrow \left\{M \in \mathrm{GL}_n(\mathbb{R}) \middle| M^t \begin{pmatrix} I_p \\ -I_q \end{pmatrix} M = \begin{pmatrix} I_p \\ -I_q \end{pmatrix}\right\} = \mathrm{O}(p,q)$ .

•  $f \in B_{\mathbb{R}}(V, V)$  skew-symmetric, non-degenerate: n = 2k,  $\exists \beta$  s.t.  $\psi_{\beta}(f) = J$ . Then  $\{ \mathsf{T} : V \xrightarrow{\sim} V \text{ preserves } f \} \leftrightarrow \{ M \in \mathrm{GL}_n(\mathbb{R}) | M^t J M = J \}$ , where

$$J = \begin{pmatrix} 0 & I_k \\ -I_k & 0 \end{pmatrix}$$

## 2.1.2 Tensor product

From now on, R is assumed to be commutative with 1.

**Def 49.** Let  $M_1, \ldots, M_n, L$  be R-modules.

A function  $F: M_1 \times \cdots \times M_n \to L$  is said to be *n*-multilinear if  $\forall i$ ,

$$f(x_1, \dots, rx_i + x_i', \dots, x_n) = rf(x_1, \dots, x_i, \dots, x_n) + f(x_1, \dots, x_i', \dots, x_n) \quad \forall r \in R, x_i, x_i' \in M_i$$

If n = 2, f is called a bilinear map.

**Def 50.** Let M, N be R-modules. A tensor product of M and N is an R-module  $M \otimes_R N$  with a bilinear map  $\rho: M \times N \to M \otimes_R N$  satisfying the following universal property:

for any R-mondule W and any bilinear map  $f: M \times N \to W$ ,  $\exists !$  R-module homomorphism  $\varphi: M \otimes_R N \to W$ ,

$$M \times N \xrightarrow{\rho} M \otimes_R N$$

$$\downarrow^{\varphi}$$

$$W$$

**Theorem 28** (Main theorem).  $M \otimes_R N$  exists and is unique up to isom.

*Proof.* Let  $X = M \times N$ . First we construct the free module  $V_1 = \bigoplus_{(x,y) \in X} R \cdot (x,y)$ .

Notice that in  $V_1$ ,

- $(x_1, y_1) + (x_2, y_2) \neq (x_1 + x_2, y_1 + y_2).$
- $r(x,y) \neq (rx,ry)$ .
- $r(r_1(x_1, y_1) + \cdots + r_n(x_n, y_n)) = rr_1(x_1, y_1) + \cdots + rr_n(x_n, y_n)$ .

Let 
$$V_0 = \left\langle \begin{array}{c} (x_1 + x_2, y) - (x_1, y) - (x_2, y), \\ (x, y_1 + y_2) - (x, y_1) - (x, y_2), \\ r(x, y) - (rx, y), r(x, y) - (x, ry) \end{array} \right| x_1, x_2, x \in M, y_1, y_2, y \in N, r \in R \right\rangle_R.$$

Define  $M \otimes_R N = V_1/V_0$  which is an R-module and  $\rho: M \times N \to M \otimes_R N$  which is R-bilinear. (check yourself)

Universal property:  $\forall (x,y) \in M \times N, \ \ R(x,y) \to W \ \ r(x,y) \mapsto rf(x,y)$ . So, by the universal property of  $\oplus$ ,  $\exists$ ! R-module homo.  $\varphi_1: V_1 \to W$ :

$$M \times N \xrightarrow{i} V_1$$

$$\downarrow^{\varphi_1}$$

$$W$$

Claim:  $V_0 \subseteq \ker \varphi_1$ . (check yourself) Then by factor theorem,

$$\exists ! \varphi : V_1/V_0 \longrightarrow W$$

$$M \times N$$

Eg 2.1.1.  $\mathbb{Q} \otimes_{\mathbb{Z}} \mathbb{Z}/n\mathbb{Z} = 0$ .

Eg 2.1.2.  $\mathbb{R}[x,y] \cong \mathbb{R}[x] \otimes_{\mathbb{R}} \mathbb{R}[y]$ .

Proof. 
$$\begin{array}{ll} \mathbb{R}[x] \times \mathbb{R}[y] \to \mathbb{R}[x,y] \\ (f(x),g(y)) \mapsto f(x)g(y) \end{array} \text{ is bilinear } \leadsto \begin{array}{ll} \exists ! \varphi : \mathbb{R}[x] \otimes_{\mathbb{R}} \mathbb{R}[y] \to \mathbb{R}[x,y] \\ f(x) \otimes g(y) \mapsto f(x)g(y) \end{array} .$$

Conversely, 
$$h(x,y) = \sum_{i \neq j} \mathbb{R}[x,y] \to \mathbb{R}[x] \otimes_{\mathbb{R}} \mathbb{R}[y]$$
.

**Prop 2.1.1.** If  $M = \langle x_1, \dots, x_n \rangle_R$  and  $N = \langle y_1, \dots, y_m \rangle_R$ . Then

$$M \otimes_R N = \langle x_i \otimes y_i \mid i = 1, \dots, n; j = 1, \dots, m \rangle_R$$

In particular, if R is a field F, then  $\dim_F M \otimes_F N = (\dim_F M)(\dim_F N)$ .

*Proof.* Note that 
$$M \otimes_R N = \langle x \otimes y \mid x \in M, y \in N \rangle$$
. Let  $x = \sum_i a_i x_i, y = \sum_j b_j y_j$ . Then  $x \otimes y = \sum_i \sum_j a_i b_j x_i \otimes y_j$ .

Some canonical isomorphisms:

•  $(M \otimes_R N) \otimes_R L \cong M \otimes_R (N \otimes_R L)$ .

*Proof.*  $\forall z \in L$ ,  $M \times N \to M \otimes_R (N \otimes_R L)$  is bilinear.  $\exists ! R$ -mod homo.  $\varphi_z : M \otimes_R N \to (x, y) \mapsto x \otimes (y \otimes z)$ 

 $M \otimes_R (N \otimes_R L)$ . Similarly,  $(M \otimes_R N) \times L \to M \otimes_R (N \otimes_R L)$  is bilinear. (The right is due to  $\varphi_z$  linear, and the left is because  $x \otimes (y \otimes (rz_1 + z_2)) = rx \otimes (y \otimes z_1) + x \otimes (y \otimes z_2)$ .) Hence exists unique R-mod homo.  $\varphi : (M \otimes_R N) \otimes_R L \to M \otimes_R (N \otimes_R L)$ . By the symmetric construction, we have  $\varphi^{-1}$  and  $\varphi^{-1} \circ \varphi = \varphi \circ \varphi^{-1} = 1$ , so the two are isomorphic.  $\square$ 

•  $(M \oplus M') \otimes_R N \cong (M \otimes_R N) \oplus (M' \otimes_R N)$ .

The mapping  $\psi :: (M \oplus M') \times N \to (M \otimes_R N) \oplus (M' \otimes_R N)$  by  $\psi = ((x, x'), y) \mapsto (x \otimes y, x' \otimes y)$  is biliear, hence exists R-mod homomorphism  $\varphi :: (M \oplus M') \otimes_R N \to (M \otimes_R N) \oplus (M' \otimes_R N)$ .

On the other hand, The mapping  $(x,y):: M \times N \mapsto (x,0) \otimes y:: (M \oplus M') \otimes_R N$  is bilinear. So exists  $\phi_1:: M \otimes N \to (M \oplus M') \otimes_R N$ , similarly there exists  $\phi_2:: M' \otimes N \to (M \oplus M') \otimes_R N$ . Now by the universal property of direct sum, there exists  $\phi:: (M \otimes_R N) \oplus (M' \otimes_R N) \to (M \oplus M') \otimes_R N$ . After a careful examine, we have

$$\varphi = (x, x') \otimes y \mapsto (x \otimes y, x' \otimes y), \phi = (x \otimes y, x' \otimes y) \mapsto (x, x') \otimes y$$

Thus  $\phi = \varphi^{-1}$  and hence the two are isomorphic.

## Ex 2.1.5.

- 1.  $R \otimes_R M \cong M$ .
- 2.  $M \otimes_R N \cong N \otimes_R M$ .

- **Ex 2.1.6.**  $R/I \otimes_R N \cong N/IN$  where  $IN := \{ \sum a_i x_i \mid a_i \in I, x_i \in N \}.$
- $\mathbf{Ex}\ \mathbf{2.1.7.}\quad \mathrm{Compute}\ \dim_{\mathbb{Q}}(\mathbb{Q}\otimes_{\mathbb{Z}}\mathbb{Q}), \dim_{\mathbb{R}}(\mathbb{R}\otimes_{\mathbb{R}}\mathbb{C}), \dim_{\mathbb{R}}(\mathbb{C}\otimes_{\mathbb{R}}\mathbb{C}), \dim_{\mathbb{C}}(\mathbb{C}\otimes_{\mathbb{R}}\mathbb{C})$

#### 2.2 Week 12

## 2.2.1 Tensor product II

By universal property, we get  $\{R\text{-bilinear maps } M \times N \to L\} \leftrightarrow \operatorname{Hom}_R(M \otimes_R N, L)$ . Similarly,

$$\operatorname{Hom}\left(\bigoplus_{s\in\Lambda}M_s,L\right)\cong\prod_{s\in\Lambda}\operatorname{Hom}\left(M_s,L\right)$$
 
$$\operatorname{Hom}\left(N,\prod_{s\in\Lambda}M_s\right)\cong\prod_{s\in\Lambda}\operatorname{Hom}\left(N,M_s\right)$$

Fact 2.2.1.  $f \in \operatorname{Hom}_R(M, M'), g \in \operatorname{Hom}_R(N, N') \leadsto f \otimes g \in \operatorname{Hom}_R(M \otimes N, M' \otimes N')$  by  $(f\otimes g)(x\otimes y)=f(x)\otimes g(y).$ 

Proof. Define 
$$h: M \times N \to M' \otimes_R N'$$
  
 $(x,y) \mapsto f(x) \otimes g(y)$ 

Restrition and extension of scalars.

Let  $f: R \to S$  be a ring homomorphism and R, S be commutative with 1. Then S can be regarded as an R-module.  $\begin{pmatrix} R \times S \to S \\ (r, x) \mapsto f(r)x \end{pmatrix}$ .

If M is a S-module, then M is also an R-module.  $\begin{pmatrix} R \times M \to M \\ (r,a) \mapsto f(r)a \end{pmatrix}.$  If N is an R-module, then  $S \otimes_R N$  an S-module.  $\begin{pmatrix} S \times (S \otimes_R N) \to S \otimes_R N \\ (r, x \otimes a) \mapsto rx \otimes a \end{pmatrix}.$ 

Eg 2.2.1 (Important example). Let V be a real vector space. The complexification of V is  $V^{\mathbb{C}} := \mathbb{C} \otimes_{\mathbb{R}} V$  which is a  $\mathbb{C}$ -vector space.

**Ex 2.2.1.** Let  $K \subseteq L$  be an inclusion of fields and let E be a vector space over K. Show that  $E^L := L \otimes_K E$  satisfies the following universal property: For any vector space U over L and any *K*-linear map  $f: E \to U$ ,  $\exists ! L$ -linear map  $\varphi$ :

$$\varphi: 1 \otimes x :: E^L \xrightarrow{f} f(x) :: U$$

$$x \cdots E$$

**Ex 2.2.2.**  $E \to E^L$  is a covariant functor from the category of vector spaces over K to the category of vector spaces over L.

Eg 2.2.2. 
$$\mathbb{Z}^n \cong \mathbb{Z}^m \leadsto \mathbb{Q} \otimes_{\mathbb{Z}} \mathbb{Z}^n \cong \mathbb{Q} \otimes_{\mathbb{Z}} \mathbb{Z}^m \leadsto n = m$$
.

Eg 2.2.3. 
$$G \cong \mathbb{Z}/d_1\mathbb{Z} \oplus \cdots \oplus \mathbb{Z}/d_l\mathbb{Z} \oplus \mathbb{Z}^s, \mathbb{Q} \otimes_{\mathbb{Z}} G = \mathbb{Q}^s$$
.

Let M, N and U be R-module. Then

$$\operatorname{Hom}_{R}(M \otimes_{R} N, U) \cong \operatorname{Hom}_{R}(N, \operatorname{Hom}_{R}(M, U))$$

Proof.

- For  $f \in \operatorname{Hom}_R(M \otimes_R N, U)$  and  $a \in N$ , define  $f_a = x :: M \mapsto f(x \otimes a) :: U$ .
  - linear: easy.
  - $-\overline{f}: a \mapsto f_a$  is an *R*-mod homo.: easy.
  - $-\tau: f \mapsto \overline{f}$  is an R-mod homo.:  $\tau(rf+g)(a)(x) = (rf+g)_a(x) = (rf+g)(x \otimes a) = rf(x \otimes a) + g(x \otimes a) = \cdots = r\tau(f)(a)(x) + \tau(g)(a)(x)$

- For  $g \in \operatorname{Hom}_R(N, \operatorname{Hom}_R(M, U))$ , define  $g' = (x, a) :: M \times N \mapsto g(a)(x) :: U$ .
  - -g' is R-bilinear: easy.
  - $-\exists ! \tilde{g} : x \otimes a \mapsto g(a)(x).$
  - $-\sigma: g \mapsto \tilde{g}$  is an R-mod homo.: easy.
- $\sigma \tau = id$ ,  $\tau \sigma = id$ : easy...

**Ex 2.2.3.** Hom<sub>R</sub> $(M, \cdot)$ ,  $M \otimes_R \cdot$  are covariant functors from the category of R-modules to itself. (is an adjoint pair)

Fact 2.2.2.  $\operatorname{Hom}_R(R,M) \cong M$ . By  $f \mapsto f(1)$ .

**Def 51.** An exact sequence  $A \xrightarrow{f_1} B \xrightarrow{f_2} \cdots$  is a sequence satisfying im  $f_k = \ker f_{k+1}$ .

- $0 \to \mathbb{Z} \xrightarrow{2} \mathbb{Z}$ .
- $\mathbb{Q} \to \mathbb{Q}/\mathbb{Z} \to 0$ .

Let V, W be vector spaces over F. Then  $V^* \otimes_F W \cong \operatorname{Hom}_F(V, W)$ .

*Proof.* Let  $\alpha = \{e_1, \dots, e_n\}$  and  $\beta = \{f_1, \dots, f_m\}$  be bases for V and W respectively. Via  $\alpha, \beta$ ,  $\text{Hom}_F(V, W) \cong \left\langle E_{ij} \middle| \begin{array}{c} i = 1, \dots, m \\ j = 1, \dots, n \end{array} \right\rangle_F$ .  $V^* \otimes W \cong \left\langle e_j^* \otimes f_i \middle| \begin{array}{c} i = 1, \dots, m \\ j = 1, \dots, n \end{array} \right\rangle_F$ .

## 2.2.2 Tensor algebra

Def 52.

- Let R be a commutative ring with 1. An R-algebra is a ring A which is also an R-module s.t. the multiplication map  $A \times A \to A$  is R-bilinear. ( r(ab) = (ra)b = a(rb) )
- Let A be an R-algebra. A grading of A is a collection of R-submodules  $\{A_n\}_{n=0}^{\infty}$  (n-th homogeneous part) s.t.

$$A = \bigoplus_{n=0}^{\infty} A_n$$
 and  $A_n A_m \subseteq A_{n+m} \quad \forall n, m$ 

- A graded R-algebra is an R-algebra with a chosen grading.
- $\mathfrak{M}_R$  is the category of R-modules.
- $\mathfrak{Gr}_R$  is the category of graded R-algebras.  $(f:A\to A')$  with  $f(A_n)\subseteq A'_n$

**Eg 2.2.4.**  $A = R[x], A_n = \langle x^n \rangle_R$ . If  $I = \langle x+1 \rangle_A$ , I is not graded.  $I = \langle x^2 \rangle_A$  is graded.

**Def 53.** An ideal I is graded in a graded ring A if and only if  $I = \bigoplus I \cap A_n$ .

<sup>&</sup>lt;sup>2</sup>This is not mentioned in class

#### **Ex 2.2.4.** TFAE

- (1) I is graded.
- (2)  $\forall a \in I \text{ write } a = a_{k_1} + a_{k_2} + \dots + a_{k_m}, a_{k_i} \in A_{k_i} \implies a_{k_i} \in I.$   $(a_{k_i} \text{ is the homogenuous component of } a)$
- (3) A/I is a graded ring with  $(A/I)_n = (A_n + I)/I \cong A_n/I \cap A_n$ .

### Ex 2.2.5.

- (1) If I is a f.g. graded ideal, then I has a finite system of generators consisting of homogeneous elements alone.
- (2) I, J are graded  $\implies I + J, IJ, I \cap J$  are graded.

Observation: Let  $\{M_i\}_{i=1}^{\infty}$  be a collection of R-modules.

- $M_1 \otimes_R M_2$  exists.
- $(M_1 \otimes_R M_2) \otimes_R M_3 \cong M_1 \otimes_R (M_2 \otimes_R M_3) \implies M_1 \otimes_R M_2 \otimes_R M_3$  is well-defined. Universal property: for any R-module L and a 3-multilinear map  $f: M_1 \times M_2 \times M_3 \to L$ . (拆括號囉)
- By induction,  $M_1 \otimes \cdots \otimes M_n$  is well-defined and satisfies the universal property. (n-multilinear map)

Goal: For a given R-module M, we intend to construct an graded R-algebra T(M) containing M that is "universal" w.r.t. R-algebras containing M.

That is, a tensor algebra is a pair (T(M), i) where T(M) is an R-algebra and  $i :: M \to T(M)$ , such that for any R-algebra A containing M, which is to say that exist a R-module homomorphism  $\varphi : M \to A$ , then  $\exists$  an R-algebra homomorphism  $\psi :: T(M) \to A$  such that  $\varphi = \psi \circ i$ .

## Construction:

•  $\forall k \in \mathbb{N}, T^k(M) := \underbrace{M \otimes \cdots \otimes M}_{k \text{ times}}$ , each  $x_1 \otimes x_2 \otimes \cdots \otimes x_k \in T^k(M)$  is called a k-tensor.

$$T^0(M) := R$$
 and

$$T(M) := \bigoplus_{k=0}^{\infty} T^k(M) = R \oplus T^1(M) \oplus \dots$$

• define multiplication on T(M) by:

$$T^{i}(M) \times T^{j}(M) \longrightarrow T^{i+j}(M)$$
  
 $(x_{1} \otimes \cdots \otimes x_{i}, y_{1} \otimes \cdots \otimes y_{i}) \longmapsto x_{1} \otimes \cdots \otimes x_{i} \otimes y_{1} \otimes \cdots \otimes y_{i}$ 

Distribution law: easy.

Proving the universal property: For any R-algebra A containing M and an R-module homo.  $\varphi: M \to A$ .  $\forall k \geq 2$ , we define  $f_k: M \times \cdots \times M \to A$ 

$$f_k: M \times \dots \times M \to A$$
  
 $(x_1, \dots, x_k) \mapsto \varphi(x_1) \dots \varphi(x_k)$ 

 $f_k$  is k-multilinear  $\rightsquigarrow$ 

$$\exists! \tilde{f}_k : M \otimes \cdots \otimes M \to A$$
$$x_1 \otimes \cdots \otimes x_k \mapsto \varphi(x_1) \dots \varphi(x_k)$$

By the universal property of  $\bigoplus$ , exists a unique R-module homo.  $\tilde{\varphi}::T(M)\to A$  which make the following diagram commutes.

 $\tilde{\varphi}: T(M) \xrightarrow{f_k} A$   $T^k(M)$ 

 $\tilde{\varphi}$  is an R-algebra homomorphism.

**Def 54.** T(M) is called the tensor algebra of M.

**Ex 2.2.6.** T is a covariant functor from  $\mathfrak{M}_R$  to  $\mathfrak{Gr}_R$ .

**Prop 2.2.1.** Let V be a vector space over F with a basis  $\beta = \{v_1, \dots, v_n\}$ . Then

$$\{v_{i_1} \otimes \cdots \otimes v_{i_k} \mid \forall j = 1, \dots, k, i_j = 1, \dots, n\}$$

forms a basis for  $T^k(V)$ .  $\dim_F T^k(V) = n^k$ .

T(V) can be regarded as a non-commutative polynomial algebra over F.

 $\odot$  Symmetrization (char F = 0)

$$V \times \cdots \times V \longrightarrow T^n(V)$$

$$(x_1,\ldots,x_n) \longmapsto \frac{1}{n!} \sum_{\tau \in S_n} x_{\tau(1)} \otimes \cdots \otimes x_{\tau(n)}$$

is n-multilinear.

The symmetrizer operator  $\sigma: T^n(V) \to T^n(V), \ \tilde{S}^n(V) := \sigma(T^n(V)) \subseteq T^n(V).$ 

Claim:  $T^n(V) = \tilde{S}^n(V) \oplus C^n(V)$  where

$$C^n(V) = C(V) \cap T^n(V)$$
  $C(V) = \langle v \otimes w - w \otimes v \mid v, w \in V \rangle$ 

#### 2.3 Week 13

## 2.3.1 Symmetric and Exterior algebra

Symmetric algebra Define

$$S: \mathfrak{M}_R \to \mathfrak{Gr}_R$$

$$M \mapsto T(M)/C(M)$$

$$S(M) := T(M)/C(M)$$

where C(M) is the gradded two-sided ideal generated by  $u \otimes v - v \otimes u$  with  $u, v \in M$ .

•  $C^k(M) := C(M) \cap T^k(M)$  is the submodule of  $T^k(M)$  generated by all

$$x_1 \otimes \ldots \otimes x_k - x_{\sigma(1)} \otimes \ldots \otimes x_{\sigma(k)} \quad \forall x_i \in M, \sigma \in S_k.$$

" $\subseteq$ ":  $x_1 \otimes \ldots \otimes x_s \otimes (u \otimes v - v \otimes u) \otimes y_1 \otimes \ldots \otimes y_t \in C(M) \cap T^k(M)$  with s + 2 + t = k. " $\supset$ ": bubble sort

•  $k \geq 2, S^k(M) = T^k(M)/C^k(M) = \langle \overline{x}_1 \otimes \ldots \otimes \overline{x}_k \mid x_i \in M \rangle_R \text{ with } \overline{x}_1 \otimes \ldots \otimes \overline{x}_k = \overline{x}_{\sigma(1)} \otimes \ldots \otimes \overline{x}_{\sigma(k)} \quad \forall \sigma \in S_k$ 

Hence,  $S(M) = \bigoplus_{k=0}^{\infty} S^k(M)$  is a graded commutative R-algebra.

**Def 55.**  $f: M \times \cdots \times M \to L$  is a symmetric k-multilinear map if f is k-multilinear and

$$f(x_1, \dots, x_k) = f(x_{\sigma(1)}, \dots, x_{\sigma(k)}) \quad \forall \sigma \in S_k$$

- $k \geq 2$ ,  $S^k(M)$  is universal w.r.t. symmetric k-multilinear maps on M: By the universal property of  $T^k(M)$ ,  $\exists !$  R-module homo.  $\tilde{f}: T^k(M) \to L$ . Now  $C^k(M) \subseteq \ker \tilde{f} \implies \exists !$  R-module homo.  $\bar{f}: S^k(M) \to L$  by factor thm.
- S(M) satisfies the universal property for maps to a commutative R-algebra: given a commutative R-algebra A and  $f: M \to A$  R-module homo.,

$$M \xrightarrow{f} A \\ \downarrow \qquad \uparrow \\ T(M) \xrightarrow{\exists !f'} \uparrow \\ T(M)/C(M)$$

•  $S: \mathfrak{M}_R \to \mathfrak{Gr}_R$  is a covariant functor.

$$-\varphi: M \to N$$
: R-module homo.  $\leadsto T(\varphi): T(M) \to T(N) \to T(N)/C(N) = S(N)$ 

**Ex 2.3.1.** Let E be a vector space over F with dim E = n.

- 1. Show that  $S(E) \cong F[x_1, \dots, x_n]$ .
- 2. Compute  $\dim_F S^k(E)$ .

Exterior algebra  $(\operatorname{char} R \neq 2)$ 

$$\Lambda: \mathfrak{M}_R \to \mathfrak{Gr}_R$$

$$M \mapsto \Lambda(M) = T(M)/A(M)$$

where A(M) is the two sided graded generated by  $v \otimes v \quad \forall v \in M$ .

•  $A^k(M) := A(M) \cap T^k(M)$  is the submodule of  $T^k(M)$  generated by all  $x_1 \otimes \ldots \otimes x_k$  with  $x_i = x_j$  for some  $i \neq j$ .

(Note: 
$$(x_1 + x_2) \otimes (x_1 + x_2) = x_1 \otimes x_1 + x_1 \otimes x_2 + x_2 \otimes x_1 + x_2 \otimes x_2 \rightsquigarrow x_1 \otimes x_2 + x_2 \otimes x_1 \in A(M)$$
)

•  $\Lambda^k(M) \cong T^k(M)/A^k(M) = \langle \overline{x_1 \otimes \ldots \otimes x_k} \mid x_i \in M \rangle$  with  $\overline{x_1 \otimes \ldots \otimes x_k} = \overline{0}$  if  $x_i = x_j$  for some  $i \neq j$ . We use  $x_1 \wedge \cdots \wedge x_k := \overline{x_1 \otimes \ldots \otimes x_k}$ .

Note:  $x_1 \wedge x_2 = -x_2 \wedge x_1$ .

**Def 56.**  $f: M \times \cdots \times M \to L$  is an alternating k-multilinear map if f is k-multilinear and  $f(x_1, \ldots, x_k) = 0$  when  $x_i = x_j$  for some  $i \neq j$ .

•  $k \geq 2$ ,  $\Lambda^k(M)$  is universal w.r.t. alternating k-multilinear maps on M:

•  $\Lambda(M)$  satisfies the universal property for maps to an R-algebra A with  $a^2 = 0 \quad \forall a \in A$ : given an R-algebra A and  $f: M \to A$  R-module homo.,

$$\begin{array}{c}
M \xrightarrow{f} A \\
\downarrow & \uparrow \\
T(M) \longrightarrow \Lambda(M)
\end{array}$$

•  $\Lambda: \mathfrak{M}_R \to \mathfrak{Gr}_R$  is a covariant functor.

$$-\varphi:M\to N$$
: R-module homo.  $\leadsto T(\varphi):T(M)\to T(N)\to T(N)/A(N)=\Lambda(N)$ 

**Ex 2.3.2.** Let V be a vector space over F with dim V = n and  $\varphi : V \to V$  be a linear transformation.

- (1) Compute  $\Lambda^k(V)$ .
- (2) Determine the map  $\Lambda^k(\varphi): \Lambda^k(V) \to \Lambda^k(V)$ .

#### Symmetrization and Skew-symmetrization

$$T^{k}(V) \xrightarrow{} T^{k}(V)$$

$$\operatorname{Sym} = \sigma : x_{1} \otimes \ldots \otimes x_{k} \longmapsto \frac{1}{k!} \sum_{\tau \in S_{k}} x_{\tau(1)} \otimes \ldots \otimes x_{\tau(k)}$$

$$\operatorname{Alt} = \sigma' : x_{1} \otimes \ldots \otimes x_{k} \longmapsto \frac{1}{k!} \sum_{\tau \in S_{k}} \operatorname{sgn}(\tau) x_{\tau(1)} \otimes \ldots \otimes x_{\tau(k)}$$

 $\tilde{S}^k(V) = \sigma(T^k(V)) \quad \tilde{\Lambda}^k(V) = \sigma'(T^k(V))$ 

- $\sigma^2 = \sigma$  easy  $\leadsto T^k(V) = \operatorname{Im} \sigma \oplus \ker \sigma = \tilde{S}^k(V) \oplus \ker \sigma$ .
- $\ker \sigma = C^k(V)$ .  $C^k(V) \subseteq \ker \sigma$  is obvious. Assume  $\supseteq$ , i.e.,  $\exists t \in \ker \sigma$  s.t.  $t \notin C^k(V)$ . Recall  $q: T^k(V) \twoheadrightarrow S^k(V)$ , since q is the quotient map. Also  $q|_{\tilde{S}^k(V)} \twoheadrightarrow S^k(V)$ , since if q(x) = y, then it could be easily checked that  $q(\sigma(x)) = y$ , so exists  $t' \in \tilde{S}^k(V)$  satisfies  $q(t') = q(t) \neq 0$ . But then  $q(t-t') = 0 \implies t-t' \in \ker q = C^k(V) \subseteq \ker \sigma$  and because of  $\sigma(t) = 0 \implies \sigma(t') = 0$ . Hence  $t' \in \ker \sigma$ . But then  $t' \in S^k(V) \subseteq \operatorname{Im} \sigma \implies t' \in \operatorname{Im} \sigma \cap \ker \sigma$ , which leads to an contradiction since  $\sigma$  is a projection.

Ex 2.3.3. 
$$T^k(V) = \tilde{\Lambda}^k(V) \oplus A^k(V)$$
.

# 3 Introduction to the linear representation theory of finite groups

## 3.1 Week 14

#### 3.1.1 Generallities on linear representations

#### Notation

- G: finite group
- V: vector space of finite dim over  $\mathbb C$
- GL(V): the group of all linear isom.  $V \to V$

**Def 57.** A group homo.  $\rho: G \to \operatorname{GL}(V)$  is called a linear representation of G. dim V is called the degree of  $\rho$ . (V is a representation space)

For a fixed basis  $\beta = \{e_i\},\$ 



(R is a matrix representation)

**Eg 3.1.1.** A representation of degree 1 of G is  $\rho: G \to GL(\mathbb{C}) \cong \mathbb{C}^{\times}$ .

 $\operatorname{ord}(g)$  is finite  $\rightsquigarrow \rho(g)^m = 1$  for some  $m \in \mathbb{N} \rightsquigarrow \rho(g)$  is a root of unity, i.e.  $|\rho(g)| = 1$ .

Note: So,  $\rho:G\to S^1,\,S^1$  is the unit circle.

- 1.  $G = \mathbb{Z}/p\mathbb{Z}, \ \rho : \overline{1} :: G \mapsto \zeta_p :: S^1 \text{ with } \zeta_p^p = 1.$
- 2.  $G = S_3, V = \mathbb{C}e_1 \oplus \mathbb{C}e_2 \oplus \mathbb{C}e_3$ .

A permutation representation is  $\rho : \tau :: S_3 \mapsto (\rho(\tau) : e_i \mapsto e_{\tau(i)}) :: GL(V)$ .

3.  $G = S_3, V = \bigoplus_{\sigma \in S_3} \mathbb{C}e_{\sigma}$ . The regular representation is

$$\rho^{\text{reg}} : \tau :: G \mapsto (\rho^{\text{reg}}(\tau) : e_{\sigma} \mapsto e_{\tau\sigma}) :: GL(V).$$

For general G, with  $V = \bigoplus_{g \in G} \mathbb{C}e_g$ ,

$$\rho^{\text{reg}}: h :: G \mapsto (\rho^{\text{reg}}(h): e_q \mapsto e_{hq}) :: GL(V).$$

## Def 58.

- $\rho:g::G\mapsto \mathrm{id}::\mathrm{GL}(V)$ : trivial representation.
- $\rho: G \hookrightarrow \mathrm{GL}(V)$ : faithful representation.
- $\rho, \rho'$  are said to be equivalent if  $\exists$  a linear isom.  $\mathsf{T}: V \xrightarrow{\sim} V'$  s.t.

$$\begin{array}{ccc} V & \stackrel{\sim}{\longrightarrow} & V' \\ \rho(g) \!\!\! \downarrow & & \!\!\! \downarrow \!\!\! \rho'(g) \\ V & \stackrel{\sim}{\longrightarrow} & V' \end{array}$$

**Remark 13.** When we choose two bases  $\beta$ ,  $\beta'$  for V,

$$G \xrightarrow{\rho} \operatorname{GL}(V) \qquad G \xrightarrow{\rho'} \operatorname{GL}(V)$$

$$R \xrightarrow{\beta \downarrow \emptyset} \operatorname{GL}_n(\mathbb{C}) \qquad \operatorname{GL}_n(\mathbb{C})$$

then  $\rho, \rho'$  are equivalent.

Let  $T: e_i :: V \mapsto e'_i :: V$ . For  $g \in G, R(g) = (a_{ij})$ .

 $T \circ \rho(g) = \rho'(g) \circ T$ 

**Def 59.** Let  $\langle \cdot, \cdot \rangle$  be a positive definite Hermitian form on V.

Then  $T: V \to V$  is called a unitary operator if  $\langle T(x), T(y) \rangle = \langle x, y \rangle \quad \forall x, y \in V$ .

or  $\forall \beta$ : orthonormal basis,  $[T]^*_{\beta}[T]_{\beta} = [T]_{\beta}[T]^*_{\beta} = I_n$ .

**Theorem 29.**  $\forall \rho: G \to \mathrm{GL}(V), \exists \text{ a matrix representation } R: G \to U_n.$ 

*Proof.* We only need to G-invariant positive definite Hermitian form on V.  $(\forall g \in G, \langle \rho(g)x, \rho(g)y \rangle = \langle x, y \rangle \quad \forall x, y \in V)$ 

We start with an arbitrary positive definite Hermitian form  $\langle \cdot, \cdot \rangle'$  on V.

Define a new form  $\langle \cdot, \cdot \rangle$  by

$$\langle x, y \rangle := \frac{1}{|G|} \sum_{g \in G} \langle \rho(g)(x), \rho(g)(y) \rangle'$$

which is a positive definite Hermitian form, since

$$\langle \rho(g)x, \rho(g)y \rangle \triangleq \frac{1}{|G|} \sum_{h \in G} \langle (\rho(h) \circ \rho(g))(x), (\rho(h) \circ \rho(g))(y) \rangle'$$
$$= \frac{1}{|G|} \sum_{gh \triangleq h' \in G} \langle (\rho(h'))(x), (\rho(h'))(y) \rangle' \triangleq \langle x, y \rangle$$

So with the basis of this hermitian form, every  $\rho(g)$  has a matrix representation R(g) which is unitary.

**Def 60.** Let  $\rho: G \to \mathrm{GL}(V)$ , For  $W \subset V$  (we use  $\subset$  to denote subspace), if  $\forall x \in W$ ,  $\rho(g)(x) \in W$ ,  $\forall g \in G$ , then W is said to be G-invariant and

$$\rho^W: G \to \operatorname{GL}(W)$$
$$g \mapsto \rho(g)\big|_W$$

is called a subrepresentation of  $\rho$ .

 $W \text{ is $G$-invariant} \leadsto \rho(g)\big|_W: W \xrightarrow{\sim} W.$ 

**Eg 3.1.2.** Let  $\rho$  be the regular rep. of  $S_3$ .

 $W^{\circ} = \{ \alpha_1 e_1 + \cdots + \alpha_6 e_6 \mid \alpha_1 + \cdots + \alpha_6 = 0 \}$  is G-invariant.

 $W^1 = \langle e_1 + \dots + e_6 \rangle_{\mathbb{C}}$  is G-invariant.

**Theorem 30.** Let  $\rho: G \to \operatorname{GL}(V)$  and  $W \subset V$  be G-invariant. Then  $\exists W^{\circ} \subset V$  is still G-invariant and  $V = W \oplus W^{\circ}$ .

*Proof.* We can pick an arbitrary W' with  $V = W \oplus W'$  and  $\pi_1 : V \to W$  is the projection to W. Then  $W' = \ker \pi_1$ .

Now we need  $\pi_1$  preserves the G action (G-equivariant). Define

$$\pi^{\circ} = \frac{1}{|G|} \sum_{g \in G} \rho(g)^{-1} \circ \pi_1 \circ \rho(g) : V \to W$$

- well-defined:  $\rho(g)(V) \subset V \leadsto \pi_1 \circ \rho(g)(V) \subset W \leadsto \rho(g)^{-1} \circ \pi_1 \circ \rho(g)(V) \subseteq W$ .
- surjective:  $\forall y \in W, (\rho(g)^{-1} \circ \pi_1 \circ \rho(g))(y) = (\rho(g)^{-1} \circ \rho(g))(y) = y \text{ since } \rho(g)(y) \in W. \text{ Also,}$  $\pi^{\circ}(y) = y, \forall y \in W \implies (\pi^{\circ})^2 = \pi^{\circ}. \text{ So } \pi^{\circ} \text{ is a projection and hence } V = \operatorname{Im} \pi^{\circ} \oplus \ker \pi^{\circ}.$
- G-equivariant:  $\forall g' \in G$ ,

$$\pi^{\circ} \circ \rho(g')(x) = \frac{1}{|G|} \sum_{g \in G} \rho(g)^{-1} \circ \pi_1 \circ \rho(g)(\rho(g')(x))$$
$$= \rho(g') \frac{1}{|G|} \sum_{gg' \in G} \rho(gg')^{-1} \circ \pi_1 \circ \rho(gg')(x)$$
$$= (\rho(g') \circ \pi^{\circ})(x)$$

•  $W^{\circ} := \ker \pi^{\circ}$  is G-invariant:  $\forall x \in W^{\circ}$ ,  $\pi^{\circ}(\rho(g)(x)) = \rho(g)(\pi^{\circ}(x)) = \rho(g)(0) = 0$ . So  $\rho(g)(x) \in W^{\circ}$ .

$$V \xrightarrow{\pi^{\circ}} W$$

$$\rho(g) \downarrow \qquad \qquad \downarrow \rho(g)$$

$$V \xrightarrow{\pi^{\circ}} W$$

**Remark 14.** If  $W \subset V$  is G-invariant, then  $W^{\perp}$  is also G-invariant. (w.r.t. a G-invariant positive definite Hermitian form)

**Def 61.**  $\rho: G \to GL(V)$  is irreducible if  $\rho$  has no proper notrivial subrepresentations.

**Theorem 31.** Each  $\rho: G \to GL(V)$  is a direct sum of irreducible subrepresentations.

*Proof.* By induction on dim V. For dim V=1, then  $\rho$  is irreducible.

For dim V > 1, if  $\rho$  is irreducible, then done. Otherwise,  $\exists W, W^{\circ}$  are G-invariant s.t.  $V = W \oplus W^{\circ}$  with dim  $W \ge 1$ , dim  $W^{\circ} \ge 1$ . By induction hypothesis,  $\rho^W, \rho^{W^{\circ}}$  are the direct sum of irreducible subrepresentations, and  $\rho = \rho^W \oplus \rho^{W^{\circ}}$ , done.

**Remark 15.** Let  $\rho: G \to GL(V)$  and  $\rho': G \to GL(V')$ .

- $\rho \oplus \rho' : G \to \mathrm{GL}(V \oplus V')$ . 矩陣是左上右下
- $\rho \otimes \rho' : G \to GL(V \otimes V')$ . 矩陣是密密麻麻  $(\sum_{i,j} r_{ip}, r'_{iq}(e_i \otimes e'_j))$

#### 3.1.2 Character Theory I

Main goal: To determine all equivalence classes of irreducible representations of a finite group G.

Def 62.

$$G \xrightarrow{\rho} \operatorname{GL}(V)$$

$$\downarrow \downarrow \beta = \{e_i\}$$

$$\operatorname{GL}_n(\mathbb{C})$$

The character  $\chi_{\rho}$  if  $\rho$  is the map  $\chi_{\rho}: G \to \mathbb{C}$  defined by  $\chi_{\rho}(g) = \operatorname{Tr}(R(g))$ .

#### Remark 16.

- 1.  $\chi_{\rho}$  is independent of the choice of  $\beta = \{e_i\}$  For another basis  $\beta' = \{e'_i\}$ . (Notice that  $\operatorname{Tr}(BA) = \operatorname{Tr}(AB)$ )
- 2.  $\rho \cong \rho' \rightsquigarrow \chi_{\rho} = \chi_{\rho'}$ . equivalent

#### Def 63.

- The degree of  $\chi_{\rho}$  is defined to the degree of  $\rho$  (= dim V).
- $\chi_{\rho}$  is an irreducible character if  $\rho$  is irreducible.

Basic facts:

- 1.  $\chi_{\rho}(1) = n$ .
- 2.  $\chi_{\rho}$  is a class function, i.e., it is constant on each conjugacy class.
- 3.  $\chi_{\rho}(g^{-1}) = \overline{\chi_{\rho}(g)}$ : Assume that the eigenvalues of R(g) are  $\lambda_1, \ldots, \lambda_n$ . Then the eigenvalues of  $R(g^{-1})$  are  $\lambda_1^{-1}, \ldots, \lambda_n^{-1}$ .

$$0 = \det(\lambda I_n - A) = \det(\lambda I_n (A^{-1} - \lambda^{-1} I_n) A) = \det(\lambda I_n) \det(A^{-1} - \lambda^{-1} I_n) \det(A)$$

So  $\det(A^{-1} - \lambda^{-1}I_n) = 0$ . Then  $g^m = 1 \Longrightarrow R(g)^m = I_n \Longrightarrow |\lambda_i| = 1 \Longrightarrow \lambda_i^{-1} = \overline{\lambda_i}$ . Thus  $\chi_{\rho}(g^{-1}) = \operatorname{Tr}(R(g)^{-1}) = \overline{\lambda_1 + \dots + \lambda_n} = \overline{\chi_{\rho}(g)}$ .

- 4.  $\chi_{\rho \oplus \rho'} = \chi_{\rho} + \chi_{\rho'}$ .
- 5.  $\chi_{\rho\otimes\rho'}=\chi_{\rho}\chi_{\rho'}$ .

**Def 64.**  $\mathcal{C}(G,\mathbb{C})$  is the vector space of complex functions on G.

 $\chi_{\rho} \in \mathcal{C}(G) \subset \mathcal{C}(G,\mathbb{C})$  is the vector space of complex class functions of G.

**Remark 17.** Assume that  $\{C_1, \ldots, C_k\}$  is the set of distinct conjugacy classes in G. Then  $\{f_i(C_j) = \delta_{ij} \mid \forall i = 1, \ldots, k\}$  forms a basis for C(G) over  $\mathbb{C}$ .

- $\forall f \in \mathcal{C}(G)$ , let  $f(C_i) = a_i$ , then  $f = \sum a_i f_i$ .
- $\sum a_i f_i = 0$ , pick  $x_i \in C_i$ , then  $(\sum a_i f_i)(x_i) = a_i = 0 \quad \forall i = 1, \dots k$ .

So dim C(G) = k.

**Def 65.**  $\phi, \psi \in \mathcal{C}(G, \mathbb{C})$ , then

$$\langle \phi, \psi \rangle := \frac{1}{|G|} \sum_{g \in G} \phi(g) \overline{\psi(g)}$$

is a positive definite Hermitian form on  $\mathcal{C}(G,\mathbb{C})$ .

**Theorem 32** (Main theorem). The set of all irreducible characters of G forms an orthonormal basis for  $\mathcal{C}(G)$  over  $\mathbb{C}$ . So there are only k irreducible representations up to equivalent.

**Lemma 3** (Schur's lemma). Let  $\rho: G \to \operatorname{GL}(V)$  and  $\rho': G \to \operatorname{GL}(V')$  be two irr. rep. of G.

Then

- 1.  $\rho, \rho'$  are not equivalent  $\Longrightarrow T = 0$ .
- 2.  $V = V', \rho = \rho' \implies \mathsf{T} = \lambda 1_V \text{ for some } \lambda \in \mathbb{C}.$

Proof.

- Assume T ≠ 0. We only needs to prove that T is an isomorphism, and then ρ, ρ' would be isomorphic by definition. Since T is G-equivariant, ker T ≤ V and Im T ≤ V' are G-invariant. ρ is irreducible ⇒ ker T = 0 or V, but if ker T = V then T = 0, so ker T = 0.
   Similarly, ρ' is irreducible ⇒ Im T = 0 or V. And by the fact that T ≠ 0, Im T = V.
   Thus T is an isom, and consequently ρ, ρ' are equivalent.
- 2. Since the vector field is over  $\mathbb{C}$ , T has an eigenvalue. Let  $\lambda$  be an eigenvalue of T, say  $\mathsf{T}(v) = \lambda v$  with  $v \neq 0$  in V. Put  $\mathsf{T}' = \mathsf{T} \lambda 1_V$ . Then

$$\rho(g) \circ \mathsf{T}' = \rho(g) \circ (\mathsf{T} - \lambda 1_V) \stackrel{*}{=} \rho(g) \circ \mathsf{T} - \rho(g) \circ \lambda 1_V = \mathsf{T} \circ \rho(g) - \lambda 1_V \rho(g) = \mathsf{T}' \rho(g)$$

Which \* is due to the linearity of  $\rho(g)$ . Hence T' is also G-equivariant.

But  $v \in \ker \mathsf{T}'$ , i.e.,  $\mathsf{T}'$  is not 1-1. Similar as in 1.,  $\ker \mathsf{T}' = \{0\}$  or  $V \implies \ker \mathsf{T}' = V \implies \mathsf{T}' = 0 \implies T = \lambda 1_V$ .

Coro 3.1.1. Assume  $\rho, \rho'$  is the same as above. Let  $L: V \to V'$  be a linear transformation. Define

$$\mathsf{T} = \frac{1}{|G|} \sum_{g \in G} \rho'(g)^{-1} \mathsf{L} \rho(g).$$

One could easily checks that T is G-equivariant (i.e.,  $T \circ \rho(g) = \rho'(g) \circ T$ ). Then

- 1.  $\rho, \rho'$  are not equivalent  $\Longrightarrow T = 0$ .
- 2.  $V = V', \rho = \rho' \implies \mathsf{T} = \lambda 1_V, \ \lambda = \mathrm{Tr}(\mathsf{T})/\dim V = \mathrm{Tr}(\mathsf{L})/\dim V.$

**Remark 18.** Let  $\rho \to_{\beta} R : G \to GL_n(\mathbb{C})$  and  $R(g) = [r_{ij}(g)]$ 

$$\rho' \to_{\beta'} R' : G \to \mathrm{GL}_{n'}(\mathbb{C}) \text{ and } R'(g) = [r'_{ij}(g)]$$

and let the matrix representation of L is  $[L]_{\beta}^{\beta'} = [x_{\mu\nu}] \in M_{n'\times n}(\mathbb{C})$ 

Then consider the matrix representation of T, which is  $[\mathsf{T}]^{\beta'}_{\beta} = [x^{\circ}_{tl}]$  with

$$x_{tl}^{\circ} = \frac{1}{|G|} \sum_{\substack{g \in G \\ i=1,\dots,n \\ j=1,\dots,n'}} r'_{tj}(g^{-1}) x_{ji} r_{il}(g)$$

In case 1.,  $x_{tl}^{\circ} = 0, \forall t, l$ . Since it hold for every L, which is independent of  $\rho, \rho'$ , fixing i, j and setting  $x_{ij} = 1$  and 0 otherwise, we gets

$$\frac{1}{|G|} \sum_{g \in G} r'_{tj}(g^{-1}) r_{il}(g) = 0, \quad \forall i, j, t, l$$

In case 2.,  $\mathsf{T} = \lambda 1_V$ , i.e.  $x_{tl}^{\circ} = \lambda \delta_{tl}$ .  $\lambda = \frac{\mathrm{Tr}(\mathsf{L})}{n} = \frac{1}{n} \sum_{i=1}^{n} x_{ii} = \frac{1}{n} \sum_{i,j} \delta_{ji} x_{ji}$  Hence,

$$\frac{1}{|G|} \sum_{g,i,j} r'_{tj}(g^{-1}) x_{ji} r_{il}(g) = \frac{1}{n} \sum_{i,j} \delta_{ji} x_{ji} \delta_{tl}$$

But notice that this equality hold for any L, which is independent of  $\rho$ ,  $\rho'$ . So if we fix i, j and set  $x_{ji} = 1$ , and  $x_{j'i'} = 0$  otherwise, we get

$$\frac{1}{|G|} \sum_{g \in G} r_{tj}(g^{-1}) r_{il}(g) = \frac{1}{n} \delta_{ji} \delta_{tl}$$

## Prop 3.1.1.

- 1. If  $\chi_{\rho}$  is irreducible, then  $\langle \chi_{\rho}, \chi_{\rho} \rangle = 1$ .
- 2. If two irreducible representations  $\rho, \rho'$  are not equivalent, then  $\langle \chi_{\rho}, \chi_{\rho'} \rangle = 0$ .

Proof.

1. Let  $R(g) = [r_{ij}(g)]$  be the matrix representation of  $\rho(g)$ . Then

$$\langle \chi_{\rho}, \chi_{\rho} \rangle \triangleq \frac{1}{|G|} \sum_{g} \chi_{\rho}(g) \overline{\chi_{\rho}(g)} = \frac{1}{|G|} \sum_{g} \chi_{\rho}(g) \chi_{\rho}(g^{-1})$$
$$= \frac{1}{|G|} \sum_{g} \sum_{i,j} r_{ii}(g) r_{jj}(g^{-1}) = \sum_{i,j} \frac{1}{n} \delta_{ij} \delta_{ij} = 1$$

2. Let  $R(g) = [r_{ij}(g)], R'(g) = [r'_{ij}(g)]$  be the matrix representation of  $\rho(g), \rho'(g)$ . Then

$$\langle \chi_{\rho}, \chi_{\rho'} \rangle \triangleq \frac{1}{|G|} \sum_{g} \chi_{\rho}(g) \overline{\chi'_{\rho}(g)} = \frac{1}{|G|} \sum_{g} \chi_{\rho}(g) \chi_{\rho}(g^{-1})$$
$$= \frac{1}{|G|} \sum_{g} \sum_{i,j} r_{ii}(g) r'_{jj}(g^{-1}) = 0$$

**Remark 19.**  $\langle \chi_{\rho}, \chi_{\rho} \rangle = 1 \implies \rho$  is irr.

*Proof.* We write  $\rho = \rho_1^{\oplus m_1} \oplus \cdots \oplus \rho^{\oplus m_l}$  where  $\rho_1, \ldots, \rho_l$  are non-equivalent irr. rep.

$$\chi_{\rho} = \sum_{i=1}^{l} m_i \chi_{\rho_i}$$

$$1 = \langle \chi_{\rho}, \chi_{\rho} \rangle = \sum_{i=1}^{l} m_i^2 \implies \exists m_i = 1 \text{ and } m_j = 0 \text{ for } j \neq i$$

So  $\rho \cong \rho_i$ .

#### 3.2 Week 15

## 3.2.1 Character Theory II

**Prop 3.2.1.** Let  $\rho: G \to \operatorname{GL}(V)$  and  $\rho = \rho^{W_1} \oplus \cdots \oplus \rho^{W_k}$  where  $\rho_i = \rho^{W_i}$  is irr.  $\forall i. \ (V \cong W_1 \oplus \cdots \oplus W_k)$ 

If  $\tilde{\rho}: G \to \mathrm{GL}(\tilde{W})$  is an irr. rep. then the number of  $\rho_i$  isomorphic to  $\tilde{\rho}$  is equal to  $\langle \chi_{\rho}, \chi_{\tilde{\rho}} \rangle$ .

*Proof.* We know  $\chi_{\rho} = \chi_{\rho_1} + \cdots + \chi_{\rho_k}$ , so

$$\langle \chi_{\rho}, \chi_{\tilde{\rho}} \rangle = \sum_{i=1}^{k} \langle \chi_{\rho_i}, \chi_{\tilde{\rho}} \rangle$$

Recall  $\rho_i \cong \tilde{\rho} \implies \langle \chi_{\rho_i}, \chi_{\tilde{\rho}} \rangle = 1$ , otherwise  $\langle \chi_{\rho_i}, \chi_{\tilde{\rho}} \rangle = 0$ .

#### Remark 20.

1. The number of  $W_i$  isomorphic to  $\tilde{W}$  does not depend on the chosen decomposition. (=  $\langle \chi_{\rho}, \chi_{\tilde{\rho}} \rangle$ )

- 2. If  $\chi_{\rho} = \chi_{\rho'}$ , then  $\rho \cong \rho'$ :  $\langle \chi_{\rho}, \chi_{\tilde{\rho}} \rangle = \langle \chi_{\rho'}, \chi_{\tilde{\rho}} \rangle$  The type of irr. subrep of  $\rho$  is the same as  $\rho'$ .
- 3. If  $\chi_1, \ldots, \chi_l$  are distinct irr. characters of G, then since  $x_1, \ldots, x_l$  are orthonormal w.r.t.  $\langle \cdot, \cdot \rangle$  in  $\mathcal{C}(G)$ ,  $x_1, \ldots, x_l$  are linearly indep. over  $\mathbb{C}$  in  $\mathcal{C}(G)$ .

But dim C(G) = k = # of conjugacy classes in G. So  $l \leq k$  i.e. we conclude that there are at most k mutually non-equivalent irr. rep. of G, say  $\rho_1, \ldots, \rho_l, l \leq k$ .

For any  $\rho: G \to \mathrm{GL}(V)$ ,  $\rho \cong \rho_1^{\oplus m_1} \oplus \cdots \oplus \rho_l^{\oplus m_l}$  where  $m_i = \langle \chi_{\rho}, \chi_{\rho_i} \rangle \in \mathbb{Z}^{\geq 0}$ .

**Theorem 33** (Orthogonality relations for  $\chi$ 's). The set of all irr. characters of G forms an orthonormal basis  $\mathcal{C}(G)$  over  $\mathbb{C}$ . In particular, the number of irr. rep. of G is equal to # of conjugacy classes in G. (up to equivalence)

*Proof.* Let  $\chi_i = \chi_{\rho_i}, i = 1, \dots, l$  be all irr. characters of G and  $\mathcal{D} = \langle \chi_1, \dots, \chi_l \rangle_{\mathbb{C}} \subseteq \mathcal{C}(G)$ . Then  $\mathcal{C}(G) = \mathcal{D} \oplus \mathcal{D}^{\perp}$ . Claim:  $\mathcal{D}^{\perp} = \{0\}$ .

Let  $\varphi \in \mathcal{D}^{\perp}$ , i.e.  $\langle \varphi, \chi_i \rangle = 0, \forall i = 1, \dots, l$ .

Write  $\rho^{\text{reg}} \cong \rho_1^{\oplus m_1} \oplus \cdots \oplus \rho_l^{\oplus m_l} \implies \chi^{\text{reg}} = m_1 \chi_1 + \cdots + m_k \chi_l$ . By assumption,  $\langle \varphi, \chi_{\rho} \rangle = 0$ .

For each i, define  $\mathsf{T}_{\rho_i} \in \mathrm{Hom}_{\mathbb{C}}(V, V)$  by

$$\mathsf{T}_{\rho_i} \triangleq \frac{1}{|G|} \sum_{g \in G} \overline{\varphi(g)} \rho_i(g)$$

Then we have

$$\operatorname{Tr}(\mathsf{T}_{\rho_i}) = \frac{1}{|G|} \sum_{g \in G} \overline{\varphi(g)} \chi_{\rho}(g) = \overline{\langle \varphi, \chi_{\rho} \rangle} = 0$$

Also, for all  $h \in G$ .

$$\rho_i(h)^{-1} \circ \mathsf{T}_{\rho_i} \circ \rho_i(h) = \frac{1}{|G|} \sum_{g \in G} \overline{\varphi(g)} \rho_i(h)^{-1} \circ \rho_i(g) \circ \rho_i(h)$$

$$\stackrel{*}{=} \frac{1}{|G|} \sum_{g \in G} \overline{\varphi(h^{-1}gh)} \rho_i(h^{-1}gh)$$

$$= \frac{1}{|G|} \sum_{g \in G} \overline{\varphi(g)} \rho_i(g) = \mathsf{T}_{\rho_i}$$

Where \* is because  $\varphi$  is a class function. So  $\mathsf{T}_{\rho_i}$  is G-equivariant. By Schur's lemma,  $\mathsf{T}_{\rho_i} = \lambda_i 1_{W_i}$  where  $\rho_i : G \to \mathrm{GL}(W_i)$ .

But  $\operatorname{Tr} \mathsf{T}_{\rho_i} = 0 \implies \lambda_i = 0 \implies \mathsf{T}_{\rho_i} = 0.$ 

Also, because  $\rho \cong \rho_1^{\oplus m_1} \oplus \cdots \oplus \rho_l^{\oplus m_l}$ , if we define

$$\mathsf{T}_{\rho^{\mathrm{reg}}} \triangleq \frac{1}{|G|} \sum_{g \in G} \overline{\varphi(g)} \rho^{\mathrm{reg}}(g) \implies \mathsf{T}_{\rho^{\mathrm{reg}}} = \mathsf{T}_{\rho_1}^{\oplus m_1} \oplus \cdots \oplus \mathsf{T}_{\rho_k}^{\oplus m_k} = 0$$

Finally, let  $\rho = \rho^{\text{reg}} : G \to \text{GL}(V)$  with  $V = \bigoplus_{g \in G} \mathbb{C}e_g$ . Then  $\mathsf{T}_{\rho} = 0 \implies \mathsf{T}_{\rho}(e_1) = 0$  and

$$0 = \mathsf{T}_{\rho}(e_1) = \frac{1}{|G|} \sum_{g \in G} \overline{\varphi(g)} \rho(g)(e_1) = \frac{1}{|G|} \sum_{g \in G} \overline{\varphi(g)} e_g$$

Since  $\{e_g\}$  is a basis,  $\overline{\varphi(g)} = 0 \quad \forall g$ . That is,  $\varphi \equiv 0$ .

**Prop 3.2.2.** Each irr. rep.  $\rho_i: G \to \mathrm{GL}(W_i)$  is contained in  $\rho^{\mathrm{reg}}$  with multiplicity equal to  $\dim W_i = m_i, i = 1, \ldots, k$ .

In particular, 
$$\bigoplus_{g \in G} \mathbb{C}e_g \cong \underbrace{W_1 \oplus \cdots \oplus W_1}_{m_1 \text{times}} \oplus \cdots \oplus \underbrace{W_1 \oplus \cdots \oplus W_k}_{m_k \text{times}}$$
. So  $|G| = m_1^2 + \cdots + m_k^2$ .

*Proof.* Let  $\chi^{\text{reg}} := \chi_{\rho^{\text{reg}}}$  and  $\chi_i = \chi_{\rho_i}, i = 1, \dots, k$ . Then

$$\langle \chi^{\text{reg}}, \chi_i \rangle = \frac{1}{|G|} \sum_{g \in G} \chi^{\text{reg}}(g) \chi_i(g^{-1}) = \frac{1}{|G|} |G| \chi_i(1) = m_i$$

**Theorem 34** (Divisibility).  $\forall i = 1, ..., k, \quad \chi_i(1) = m_i \mid |G|$ .

*Proof.* First, we shall proof that for each  $\rho = \rho_i$ ,  $\chi = \chi_i$  and j, we have

$$\mathsf{T} \triangleq \sum_{g \in C_j} \rho(g) = \frac{|C_j| \chi(g_0)}{m_i} \mathsf{I}_{m_i}, \quad \text{for any } g_0 \in C_j$$

Observe that  $\forall h \in G$ ,

$$\rho(h)^{-1} \circ \mathsf{T} \circ \rho(h) = \sum_{g \in C_i} \rho(h^{-1}gh) = \sum_{g' \in C_i} \rho(g') = \mathsf{T}$$

So T is G-equivariant w.r.t.  $\rho$ .

By Schur's lemma,  $\mathsf{T} = \lambda \mathsf{I}_{m_i}$  for some  $\lambda \in \mathbb{C}$ . And  $\lambda = \mathrm{Tr}(\mathsf{T})/m_i = \sum_{g \in C_j} \chi(g)/m_i = |C_j|\chi(g_0)/m_i$  for any  $g_0 \in C_j$ , thus  $\sum_{g \in C_j} \rho(g) = \frac{|C_j|\chi(g_0)}{m_j} \mathsf{I}$  for any  $g_0 \in C_j$ .

Define  $\lambda_{\mu}(C_i) \triangleq |C_i|\chi_{\mu}(g_i)/m_{\mu}$ . Now, for a  $g \in C_l$ , define  $a_{i,j,l} \triangleq \#\{(g_i,g_j) \in C_i \times C_j \mid g_ig_j = g\}$ , which is indep. of the choice of g.

We claim that  $\lambda_{\mu}(C_i)\lambda_{\mu}(C_j) = \sum_{l=1}^k a_{i,j,l}\lambda_{\mu}(C_j), \forall i,j,\mu$ . Then

$$\lambda_{\mu}(C_{i}) \begin{bmatrix} \lambda_{\mu}(C_{1}) \\ \vdots \\ \lambda_{\mu}(C_{k}) \end{bmatrix} = A \begin{bmatrix} \lambda_{\mu}(C_{1}) \\ \vdots \\ \lambda_{\mu}(C_{k}) \end{bmatrix}, \text{ where } A \triangleq \begin{bmatrix} a_{i,1,1} & \dots & a_{i,1,k} \\ \vdots & \ddots & \vdots \\ a_{i,k,1} & \dots & a_{i,1,k} \end{bmatrix}$$

So  $\lambda_{\mu}(C_j)$  is an eigenvalue of A, i.e.,  $\lambda = \lambda_{\mu}(C_j)$  satisfies  $\det(\lambda I - A) = 0$ . And thus  $\lambda_{\mu}(C_i)$  is an algebraic integer.

We proof the claim by the following calculating.

$$\lambda_{\mu}(C_{i})\lambda_{\mu}(C_{j})I_{m_{\mu}} = \left(\lambda_{\mu}(C_{i})I_{m_{\mu}}\right)\left(\lambda_{\mu}(C_{j})I_{m_{\mu}}\right) = \left(\sum_{g \in C_{i}} \rho(g)\right)\left(\sum_{g' \in C_{j}} \rho(g')\right)$$

$$= \sum_{\substack{g \in C_{i} \\ g' \in C_{j}}} \rho(gg') = \sum_{l=1}^{k} \sum_{\bar{g} \in C_{l}} a_{i,j,l}\rho(\bar{g})$$

$$= \sum_{l=1}^{k} a_{i,j,l} \sum_{\bar{g} \in C_{l}} \rho(\bar{g})$$

$$= \sum_{l=1}^{k} a_{i,j,l}\lambda_{\mu}(C_{l})I_{m_{\mu}}$$

Finally,

$$\begin{aligned} \frac{|G|}{m_i} &= \frac{|G|}{m_i} \langle \chi_i, \chi_i \rangle \\ &= \frac{|G|}{m_i} \frac{1}{|G|} \sum_{g \in G} \chi_i(g) \chi_i(g^{-1}) \\ &= \sum_{g \in G} \frac{\chi_i(g)}{m_i} \chi_i(g^{-1}) \\ &= \sum_{j=1}^k \sum_{g \in C_j} \frac{\chi_i(g)}{m_i} \chi_i(g^{-1}) \\ &= \sum_{j=1}^k \frac{|C_j| \chi_i(g_j)}{m_i} \chi_i(g_j^{-1}) \\ &= \sum_{j=1}^k \lambda_i(C_j) \chi_i(g_j^{-1}) \end{aligned}$$

and thus is an algebraic integer.

Also,  $|G|/m_i \in \mathbb{Q}$ , so we conclude that  $|G|/m_i \in \mathbb{Z} \implies m_i \mid |G|$ .

## Ex 3.2.1.

- 1. Show that if  $g \in G$  and  $g \neq 1$ , then  $\sum_{i=1}^k m_i \chi_i(g) = 0$ .
- 2. Show that each character  $\chi$  of G with  $\chi(g) = 0 \quad \forall g \neq 1$  is an integral multiple of  $\chi^{\text{reg}}$ .

#### Ex 3.2.2.

- 1. Let  $|G| < \infty$ . Then G is abelian  $\iff$  each irr. rep. of G is of degree 1.
- 2. {the deg 1 rep. of G} = {the irr. rep. of G/[G,G]}.

#### 3.2.2 Applications

1. 
$$G = S_3 = D_3$$
,  $6 = 1^2 + 1^2 + 2^2$ .

Classes
 1
 (1 2)
 (1 2 3)

 size
 1
 3
 2

 
$$\chi_1$$
 1
 1
 1

  $\chi_2$ 
 1
 -1
 1

  $\chi_3$ 
 2
 0
 -1

The permutation representation

$$\deg 4 \colon \tilde{\rho} = \rho^W \otimes \rho^W \leadsto \chi_{\tilde{\rho}} = \chi_3 \cdot \chi_3 = (4, 0, 1).$$

By inner product with  $\chi_1, \chi_2, \chi_3$ , we can find  $\chi_{\tilde{\rho}} = \chi_1 + \chi_2 + \chi_3 \leadsto \tilde{\rho} = \rho_1 \oplus \rho_2 \oplus \rho_3$ .

2. 
$$G = D_4 = \langle x, y \mid x^4 = 1, y^2 = 1, yxy^{-1} = x^{-1} \rangle$$
.  $|G| = 8 = 1^2 + 1^2 + 1^2 + 1^2 + 2^2$ .

Classes	1	y	$\boldsymbol{x}$	$x^2$	xy
size	1	2	2	1	2
$\chi_1$	1	1	1	1	1
$\chi_2$	1	-1	1	1	-1
$\chi_3$	1	1	-1	1	-1
$\chi_4$	1	-1	-1	1	1
$\chi_5$	2	0	0	-2	0

$$\chi^{\text{reg}} = (8, 0, 0, 0, 0) = \chi_1 + \chi_2 + \chi_3 + \chi_4 + 2\chi_5.$$

3. 
$$G = D_n$$
,  $(n \text{ even})$   $[G, G] = H = \langle x^2 \rangle$ 

4. 
$$G = D_n$$
,  $(n \text{ odd})$   $[G, G] = H = \langle x \rangle$ 

5. 
$$G = S_4$$
.

Classes	1	$(1\ 2)$	$(1\ 2\ 3)$	$(1\ 2\ 3\ 4)$	$(1\ 2)(3\ 4)$
size	1	6	8	6	3
$\chi_1$	1	1	1	1	1
$\chi_2$	1	-1	1	-1	1
$\chi_3$	2	0	-1	0	2
$\chi_4$	3	1	0	-1	-1
$\chi_5$	3	-1	0	1	-1

6. 
$$G = A_4$$
,  $[A_4, A_4] = V_4$ .

Classes	1	$(1\ 2\ 3)$	$(1\ 3\ 2)$	$(1\ 2)(3\ 4)$
size	1	4	4	3
$\chi_1$	1	1	1	1
$\chi_2$	1	$\omega$	$\omega^2$	1
$\chi_3$	1	$\omega^2$	$\omega$	1
$\chi_4$	3	0	0	-1

**Theorem 35** (Product of groups). For  $\rho: G \to \operatorname{GL}(V)$  and  $\rho': G' \to \operatorname{GL}(V')$ , write  $\rho \otimes \rho': G \times G' \to \operatorname{GL}(V \otimes V')$ . If  $\{\rho_i\}$  are irreducible representations of G,  $\{\rho'_j\}$  are irreducible representations of G', then  $\{\rho_i \otimes \rho'_j\}$  are exactly the irreducible representations of  $G \times G'$ .

*Proof.* It is evidence that  $\rho_i \otimes \rho'_j$  is a homomorphism, and hence a representation.

Notice that  $\chi_{\rho\otimes\rho'}=\chi_{\rho}\odot\chi_{\rho'}$  where  $\chi_{\rho}\odot\chi_{\rho'}(g,g')=\chi_{\rho}(g)\chi_{\rho'}(g')$ 

Now we calculate

$$\langle \chi_{\rho_1} \otimes \chi_{\rho'_1}, \chi_{\rho_2} \otimes \chi_{\rho'_2} \rangle = \frac{1}{|G||G'|} \sum_{g,g'} \chi_{\rho_1}(g) \chi_{\rho'_1}(g') \chi_{\rho_2}(g) \chi_{\rho'_2}(g')$$

$$= \left(\frac{1}{|G|} \sum_g \chi_{\rho_1}(g) \chi_{\rho_2}(g)\right) \left(\frac{1}{|G'|} \sum_{g'} \chi_{\rho'_1}(g') \chi_{\rho'_2}(g')\right)$$

$$= \langle \chi_{\rho_1}, \chi_{\rho_2} \rangle \langle \chi_{\rho'_1}, \chi_{\rho'_2} \rangle$$

So  $\langle \chi_{\rho} \otimes \chi_{\rho'}, \chi_{\rho} \otimes \chi_{\rho'} \rangle = 1$  hence each  $\chi_{\rho} \otimes \chi_{\rho'}$  is irreducible. And  $\langle \chi_{\rho_1} \otimes \chi_{\rho'_1}, \chi_{\rho_2} \otimes \chi_{\rho'_2} \rangle = 0$  if  $\rho_1 \otimes \rho'_1 \neq \rho_2 \otimes \rho'_2$ , and thus these representations are not isomorphic.

Finally we proof that any irreducible representations of  $G \times G'$  is isomorphic to some  $\rho \otimes \rho'$ .

Let  $\{\rho_1, \ldots, \rho_k\}, \{\rho'_1, \ldots, \rho'_{k'}\}$  be the sets of irreducible representations of G, G' respectively. Write  $\chi_i = \chi_{\rho_i}, \chi'_i = \chi_{\rho'_i}$ .

Let  $\mathcal{D} \triangleq \mathcal{C}(G \times G') = \langle \chi_i, \chi'_j \mid i = 1, \dots, k, j = 1, \dots, k' \rangle_{\mathbb{C}} =$ . We claim that  $\mathcal{D}^{\perp} = \{0\}$ . Let  $f \in \mathcal{D}^{\perp}$ . Then

$$0 = \frac{1}{|G \times G'|} \sum_{(g,g') \in G \times G'} f(g,g') \overline{\chi_i(g) \chi_j'(g')}$$
$$= \frac{1}{|G'|} \sum_{g'} \left( \frac{1}{|G|} \sum_g f(g,g') \overline{\chi_i(g)} \right) \chi_j'(g')$$
$$= \left\langle \frac{1}{|G|} \sum_g f(g,\cdot) \overline{\chi_i(g)}, \chi_j' \right\rangle$$

Since  $\rho'_j$  are othonogal basis of  $\mathcal{C}(G')$ , we have  $\frac{1}{|G|}\sum_g f(g,g')\overline{\chi_i(g)}=0$  for all g'. Again,

$$0 = \frac{1}{|G|} \sum_{g} f(g, g') \overline{\chi_i(g)} = \langle f(\cdot, g'), \chi_i \rangle$$

Hence f(g, g') = 0 for all g, g', which implies  $f \equiv 0$ .

**Ex 3.2.3.** Determine all irr. rep. of  $C_n$ .

**Ex 3.2.4.** Calculate the character table of  $Q_8$ .

**Ex 3.2.5.** Calculate the character table of  $\mathbb{Z}/2\mathbb{Z} \times S_4$  and  $S_3 \times S_4$ .

To calculate  $S_5$ ,  $|S_5| = 120 = 1^2 + 1^2 + 4^2 + 4^2 + 5^2 + 5^2 + 6^2$ .

## 4 Extensions of Groups

### 4.1 Week 16

#### 4.1.1 Extensions of abelian groups

**Def 66.** If a group E contains a normal subgroup N and  $E/N \cong G$ , then we call E an extension of N by G, denoted by  $1 \to N \to E \to G \to 1$ .

Ques: When N and G are given, how to obtain all extensions of N by G.

Now assume that N is abelian.

**Def 67.**  $1 \to N \to E \xrightarrow{p} G \to 1$ .  $l: G \to E$  is a lifting if  $p \circ l = \mathrm{id}_G$  and l(1) = 1.

**Remark 21.**  $G \cong E/N = \{xN \mid x \in E\}, p \circ l(\bar{x}) = \bar{x}, l(\bar{x}) \text{ is a representative of } xN = \bar{x}.$ 

## Prop 4.1.1.

- 1.  $\forall \bar{x} \in G, \theta_{\bar{x}} : N \to N, a \mapsto l(\bar{x})al(\bar{x})^{-1}$ . is independent of the choice of l.
- 2.  $\theta: G \to \operatorname{Aut}(N), \bar{x} \mapsto \theta_{\bar{x}}$  is a group homomorphism.

Proof.

- 1. Suppose  $l': G \to E$  is another lifting. Then  $l(\bar{x})N = l'(\bar{x})N$ . So  $l'(\bar{x}) = l(\bar{x})b$  for some  $b \in N$ .  $\forall a \in N$ ,  $l'(\bar{x})al'(\bar{x})^{-1} = l(\bar{x})bab^{-1}l(\bar{x})^{-1} = l(\bar{x})al(\bar{x})^{-1}$  since N is abelian.
- 2.  $\theta_{\bar{x}\bar{y}}(a) = l(\bar{x}\bar{y})al(\bar{x}\bar{y})^{-1}$ .

$$\begin{cases} p \circ l(\bar{x}\bar{y}) = \bar{x}\bar{y} \\ p \circ (l(\bar{x})l(\bar{y})) = \bar{x}\bar{y} \end{cases} \rightsquigarrow l(\bar{x}\bar{y}), l(\bar{x})l(\bar{y}) \text{ are liftings of } \bar{x}\bar{y} \qquad \Box$$

**Def 68.** An extension  $1 \to N \to E \to G \to 1$  splits if  $\exists$  a lifting  $l: G \to E$  is a group homo.

## **Prop 4.1.2.** TFAE

- 1.  $1 \to N \to E \to G \to 1$  splits.
- 2.  $\exists$  a subgroup  $K \leq E$  s.t.  $K \cong G$  and  $\begin{cases} K \cap N = \{1\} \\ NK = E \end{cases} \implies E \cong N \rtimes K (\cong N \rtimes G).$

*Proof.* (1)  $\Rightarrow$  (2): Let K = Im l which is a subgroup since l is a group homo.

- l is an isomorphism from G to K: If  $l(\bar{x}) = l(\bar{y})$ , then  $p \circ l(\bar{x}) = p \circ l(\bar{y}) \leadsto \bar{x} = \bar{y}$ . So l is 1-1.
- E = NK:  $\forall x \in E, \bar{x} = p(x) \leadsto y = l(\bar{x}) \text{ and } p(x) = p(y) \leadsto \exists a \in N \text{ s.t. } x = ay.$
- $K \cap N = \{1\}$ :  $a = l(\bar{x}) \in K \cap N \leadsto 1 = p(a) = p(l(\bar{x})) = \bar{x} \leadsto a = l(1) = 1$ .

 $(2) \Rightarrow (1)$ :

- $\bullet \ \ p\big|_K: K \rightarrow G \text{ is an isom.: onto: } p(K) = p(NK) = p(E) = G, \text{ 1-1: } \ker(p\big|_K) = N \cap K = \{1\}.$
- $l = (p|_K)^{-1}$  is a group homo.

Observation: Let  $l: G \to E$  be a lifting. Then  $E = \bigcup_{\bar{x} \in G} Nl(\bar{x}), \forall x, y \in E$ , write  $x = al(\bar{x}), y = bl(\bar{y}), a, b \in N, \bar{x}, \bar{y} \in G$ .

$$xy = (al(\bar{x})bl(\bar{y})) = al(\bar{x})bl(\bar{x})^{-1}l(\bar{x})l(\bar{y}) = a\theta_{\bar{x}}(b)l(\bar{x})l(\bar{y})$$

Notice that  $l(\bar{x})l(\bar{y})$  and  $l(\bar{x}\bar{y})$  are liftings, so we can write  $l(\bar{x})l(\bar{y}) = f(\bar{x},\bar{y})l(\bar{x}\bar{y})$  for some  $f(\bar{x},\bar{y}) \in N$ .

Ex 4.1.1.  $B^2(G, N) \leq Z^2(G, N)$ .

**Ex 4.1.2.** Show that there are inequivalent extensions of N by G with isomorphic middle groups. (Hint:  $N = \mathbb{Z}/p\mathbb{Z}$  with p is odd,  $E = \mathbb{Z}/p^2\mathbb{Z}$ ,  $a :: N \mapsto x^p :: E$  and please give another morphism  $N \to E$  by yourself.)

**Def 69.** Given  $1 \to N \to E \xrightarrow{p} G \to 1$  and  $l: G \to E$ , a factor set is a function  $f: G \times G \to N$  s.t.  $\forall \bar{x}, \bar{y} \in G, l(\bar{x})l(\bar{y}) = f(\bar{x}, \bar{y})l(\bar{x}\bar{y})$ .

**Prop 4.1.3.** Let  $1 \to N \to E \xrightarrow{p} G \to 1$  and  $l: G \to E$ . If f is a factor set, then

- (1)  $f(x,1) = 1 = f(1,y) \quad \forall x, y \in G.$
- (2) (cocycle identity)  $\forall x, y, z \in G, f(x, y) f(xy, z) = \theta_x(f(y, z)) f(x, yz).$ (i.e. f(x, y) + f(xy, z) = xf(y, z) + f(x, yz))

Proof.

- (1) Trivial since  $l(x)l(1) = l(1 \cdot x)$ .
- (2) By associativity. (l(x)l(y))l(z) = l(x)(l(y)l(z)). (l(x)l(y))l(z) = f(x,y)l(xy)l(z) = f(x,y)f(xy,z)l(xyz), and  $l(x)(l(y)l(z)) = l(x)f(y,z)l(yz) = l(x)f(y,z)l^{-1}(x)l(x)l(yz) = \theta_x(f(y,z))f(x,yz)l(xyz)$ . Thus  $f(x,y)f(xy,z) = \theta_x(f(y,z))f(x,yz)$ .

**Theorem 36.** Let  $\sigma: G \to \operatorname{Aut}(N), x \mapsto \sigma_x$  be a group homo. and  $f: G \times G \to N$  satisfies (1),(2) in Prop. 4.1.3. Then  $\exists 1 \to N \to E \to G \to 1$  and  $l: G \to E$  s.t.  $\theta = \sigma$  and f is the corresponding factor set.

*Proof.* • Define  $E = N \times G$  equipped with the operation

$$(a, x)(b, y) = (a\sigma_x(b)f(x, y), xy)$$

- associativity:

$$\begin{aligned} \big((a,x)(b,y)\big)(c,z) &= (a\sigma_x(b)f(x,y),xy)(c,z) \\ &= (a\sigma_x(b)f(x,y)\sigma_{xy}(c)f(xy,z),xyz) \\ &= (a\sigma_x(b)\sigma_{xy}(c)f(x,y)f(xy,z),xyz) \quad (\because N \text{ abelian}) \end{aligned}$$

and

$$(a,x)((b,y)(c,z)) = (a,x)(b\sigma_y(c)f(y,z))$$

$$= (a\sigma_x(b\sigma_y(c)f(y,z))f(x,yz),xyz)$$

$$= (a\sigma_x(b)\sigma_{xy}(c)\sigma_x(f(y,z))f(x,yz),xyz)$$

$$= (a\sigma_x(b)\sigma_{xy}(c)f(x,y)f(xy,z),xyz)$$

- indentity: (1,1).

- inverse: 
$$(a,x)^{-1} = (\sigma_{x^{-1}}(a^{-1}f(x,x^{-1})^{-1}),x^{-1}).$$

- $p: E \to G, (a, x) \mapsto x$  is a group homo by def.
- $i: N \to E, a \mapsto (a, 1)$  is a group homo.  $(a, 1)(b, 1) = (a\sigma_1(b)f(1, 1), 1) = (ab, 1)$ .
- $\ker p = \operatorname{Im} i$ .
- Fix  $l: G \to E, a \in N, x \in G$ , say l(x) = (b, x).

$$l(x)(a,1)l(x)^{-1} = (b,x)(a,1)(b,x)^{-1} = (b\sigma_x(a),x)\left(\sigma_{x^{-1}}(a^{-1}f(x,x^{-1})^{-1}),x^{-1}\right)$$
$$= (b\sigma_x(a)\cdot(\sigma_x\circ\sigma_{x^{-1}})\left(b^{-1}f(x,x^{-1})^{-1}\right)\cdot f(x,x^{-1}),1)$$
$$= (\sigma_x(a),1)$$

So  $\theta_x = \sigma_x$ .

• Let  $l: G \to E, x \mapsto (1, x)$ . Check  $l(x)l(y)l(xy)^{-1} = (f(x, y), 1)$ . Then f is the corresponding factor set.

**Prop 4.1.4.** Let  $1 \to N \to E \xrightarrow{p} G \to 1$  with two liftings  $l_1 : G \to E$ ,  $l_2 : G \to E$  with  $f_1 : G \times G \to N$ ,  $f_2 : G \times G \to N$  respectively.

Then  $\exists h : G \to N$  with h(1) = 1 and  $\forall x, y \in G, f_2(x, y) f_1(x, y)^{-1} = \theta_x(h(y)) h(xy)^{-1} h(x)$ .  $(f_2(x, y) - f_1(x, y) = xh(y) - h(xy) + h(x))$ 

*Proof.* For  $x \in G$ ,  $\exists h(x) \in N$  s.t.  $l_2(x) = h(x)l_1(x)$ . Since  $l_1(1) = l_2(1) = 1$ , h(1) = 1.

Now,  $l_2(x)l_2(y) = f_2(x,y)l_2(x,y) = f_2(x,y)h(xy)l_1(x,y)$ . and

$$l_2(x)l_2(y) = h(x)l_1(x)h(y)l_1(y) = h(x)l_1(x)h(y)l_1^{-1}(x)l_1(x)l_1(y)$$
  
=  $h(x)\theta_x(h(y))l_1(x)l_1(y) = f_1(x,y)h(x)\theta_x(h(y))l_1(x,y)$ 

So  $f_2(x,y)f_1(x,y)^{-1} = \theta_x(h(y))h(xy)^{-1}h(x)$ .

**Remark 22.** A map which has the form  $\tilde{h}: G \times G \to N, (x,y) \mapsto xh(y) - h(xy) + h(x)$  is called a coboundary map.

**Def 70.**  $Z^2(G, N) =$ the abelian group of all factor sets.

 $B^2(G, N)$  = the abelian group of all coboundary maps.

$$H^{2}(G, N) = Z^{2}(G, N)/B^{2}(G, N)$$

 $\textbf{Def 71.} \quad \text{Two extensions } \begin{cases} 1 \to N \to E \to G \to 1 \\ 1 \to N \to E' \to G \to 1 \end{cases} \quad \text{are equivalent if exists an isomorphism } \varphi:$ 

 $E \xrightarrow{\sim} E'$  which let the following diagram comutes.

$$1 \longrightarrow N \longrightarrow E \longrightarrow G \longrightarrow 1$$

$$\downarrow^{1_N} \qquad \varphi \downarrow \wr \qquad \downarrow^{1_G}$$

$$1 \longrightarrow N \longrightarrow E' \longrightarrow G \longrightarrow 1$$

**Theorem 37.** Two extensions  $\begin{cases} 1 \to N \to E \to G \to 1 \\ 1 \to N \to E' \to G \to 1 \end{cases}$  are equivalent  $\iff$ 

Exists mappings  $l: G \to E, l': G \to E'$  with two factor sets f, f' respectively satisfies  $f - f' \in B^2(G, N)$ .

*Proof.* " $\Rightarrow$ ": Choose  $l:G\to E$  which has a corresponding factor set  $f:G\times G\to N$ . Now define  $l':G\to E'$  by  $l'=\varphi\circ l$ . Since  $p'\circ l'=p'\circ\varphi\circ l=p\circ l=1$ , l' is a lifting. Let  $f':G\times G\to N$  be its factor set.

Since  $1_N = 1_N \circ \varphi$ ,  $\varphi|_N = 1_N$ . And

$$l(x)l(y) = f(x,y)l(xy)$$

$$\Rightarrow \varphi(l(x)l(y)) = \varphi(f(x,y)l(xy))$$

$$\Rightarrow l'(x)l'(y) = \varphi(f(x,y))l'(xy)$$

$$\Rightarrow f'(x,y) = \varphi(f(x,y))$$

But  $f(x,y) \in N$ ,  $\varphi(f(x,y)) = \varphi|_N(f(x,y)) = f(x,y)$ . So f(x,y) = f'(x,y), hence  $f - f' = 0 \in$  $B^2(G,N)$ .

#### Ex 4.1.3.

- (1) Show that  $f' f \in B^2(G, N)$ .
- (2) "←": Show all details of the following steps:

• 
$$\begin{cases} 1 \to N \to E \to G \to 1 \\ 1 \to N \to E(N, G, f, \theta) \to G \to 1 \end{cases}$$
 are equivalent.

- $\begin{array}{l} \bullet & \begin{cases} 1 \to N \to E \to G \to 1 \\ 1 \to N \to E(N,G,f,\theta) \to G \to 1 \end{cases} & \text{are equivalent.} \\ \bullet & \text{Similarly } \begin{cases} 1 \to N \to E' \to G \to 1 \\ 1 \to N \to E(N,G,f',\theta') \to G \to 1 \end{cases} & \text{are equivalent.} \end{array}$

## 4.1.2 1st and 2nd group cohomology

Let N be an abelian group and G be a group with a group homo  $\sigma: G \to \operatorname{Aut}(N)$   $(G \curvearrowright N)$ 

 $e(G, N) = \{ \text{equivalence classes of } N \text{ by } G \}$ 

$$Z^{2}(G, N) = \{ f : G \times G \to N \mid f(1, v) = f = f(u, 1), f(u, v) + f(uv, w) = uf(v, w) + f(u, vw) \quad u, v, w \in G \}$$

$$B^{2}(G, N) = \{ f : G \times G \to N \mid \exists h : G \to N \text{ with } h(1) = 1 \text{ s.t. } f(u, v) = uh(v) - h(uv) + h(u) \quad u, v \in G \}$$

$$H^{2}(G, N) = Z^{2}(G, N)/B^{2}(G, N)$$

Then  $e(G, N) \leftrightarrow H^2(G, N)$ .

#### Def 72.

•  $\varphi \in Aut(E)$  stabilizes  $1 \to N \to E \to G \to 1$  if

•  $\operatorname{Stab}_{E}(G, N) = \{\operatorname{stabilizing automorphisms}\} \leq \operatorname{Aut}(E)$ 

## Def 73.

- A derivation is a function  $d: G \to N$  s.t.  $d(uv) = ud(v) + d(u) \quad \forall u, v \in G$ .
- $Der(G, N) = \{derivations : G \to N\}$  is an abelian group with pointwise addition.

**Theorem 38.** Let  $1 \to N \to E \to G \to 1$  with  $\theta = \sigma$ . Then  $\operatorname{Stab}_E(G, N) \cong \operatorname{Der}(G, N)$ . So  $\operatorname{Stab}_{E}(G,N)$  is abelian.

Proof.

• Let  $\varphi \in \text{LHS}$  and fix  $l: G \to E$ .

$$1 \longrightarrow N \longrightarrow E \longrightarrow G \longrightarrow 1$$

$$\downarrow_{1_N} \qquad \varphi \downarrow_{\coloredge l} \qquad \qquad \varphi(al(u)) = \varphi(a)\varphi(l(u)) = ad(u)l(u)$$

$$1 \longrightarrow N \longrightarrow E \longrightarrow G \longrightarrow 1$$

- For another  $l': G \to E$ , say l'(u) = g(u)l(u), where  $g(u) \in N$ , we have

$$d'(u) = \varphi(l'(u))(l'(u))^{-1} = \varphi(g(u)l(u))(g(u)l(u))^{-1}) = g(u)\varphi(l(u))l(u)^{-1}g(u)^{-1} = d(u).$$

 $-d \in RHS$ ,

$$\begin{split} d(uv) &= \varphi(l(uv))l(uv)^{-1} \\ &= \varphi(f(u,v)^{-1}l(u)l(v))l(v)^{-1}l(u)^{-1}f(u,v) \\ &= f(u,v)^{-1}d(u)l(u)d(v)l(v)l(v)^{-1}l(u)^{-1}f(u,v) \\ &= f(u,v)^{-1}d(u)\big(l(u)d(v)l(u)^{-1}\big)f(u,v) \\ &= \big(ud(v)\big)d(u) \end{split}$$

• Conversely,

**Ex 4.1.4.** proof it

• group homo:  $\varphi_2 \circ \varphi_1(al(u)) = \varphi_2(ad_1(u)l(u)) = ad_1(u)\varphi_2(l(u)) = ad_1(u)d_2(u)l(u)$ . That is,  $\varphi_2 \circ \varphi_1 \mapsto d_1d_2$ .

Def 74.

- $\operatorname{Inn}_E(G, N) = \{ \varphi \in \operatorname{Stab}_E(G, N) \mid \varphi : E \to E, x \mapsto a_0 x a_0^{-1} \text{ for some } a_0 \in N \}.$
- $PDer(G, N) = \{d \in Der(G, N) \mid d(u) = ua_1 a_1 \text{ for some } a_1 \in N\}.$

**Ex 4.1.5.** Show that  $\operatorname{Inn}_E(G, N) \cong \operatorname{PDer}(G, N)$ .

 $\operatorname{Stab}_{E}(G, N)/\operatorname{Inn}_{E}(G, N) \cong \operatorname{Der}(G, N)/\operatorname{PDer}(G, N) = H^{1}(G, N).$ 

**Ex 4.1.6.** Fix  $1 \to N \to E \to G \to 1$ . Show that if  $H^2(G, N) = 0, H^1(G, N) = 0$ , then for  $l: G \to E$  with K = l(G), we get that K and K' are conjugate. K' = l'(G)

**Def 75.** Let R be a commutative ring with 1 and G be a group. The group ring

$$R[G] = \left\{ \sum_{g \in G} r_g g \,\middle|\, \text{only finitely many } r_g\text{'s} \neq 0 \text{ in } R \right\}$$

forms an R-algebra via

$$\begin{split} \sum_{g \in G} r_g g + \sum_{g \in G} r_g' g &= \sum_{g \in G} (r_g + r_g') g \\ \left(\sum_{g \in G} r_g g\right) \left(\sum_{g' \in G} r_g' g'\right) &= \sum_{g, g' \in G} (r_g r_g') g g' \\ r\left(\sum_{g \in G} r_g g\right) &= \sum_{g \in G} (r r_g) g \end{split}$$

## Remark 23.

- 1.  $\{\rho: G \to \mathrm{GL}(V)\} \leftrightarrow \{V: \mathbb{C}[G]\text{-module}\}.$ 
  - $\rho$ : irr  $\leftrightarrow V$ : simple  $\mathbb{C}[G]$ -module (i.e. no nontrivial proper submodule)
  - $W \subset V$ : G-invariant  $\leftrightarrow W : \mathbb{C}[G]$ -submodule.
- 2. N: abelian  $\leadsto N: \mathbb{Z}$ -module and  $G \curvearrowright N. \implies N: \mathbb{Z}[G]$ -module.

**Def 76.**  $G \curvearrowright \mathbb{Z}$  trivially. i.e.  $g \cdot n = n \quad \forall g \in G, n \in \mathbb{Z}$ , then  $\mathbb{Z} : \mathbb{Z}[G]$ -module.

- $B_0 = \mathbb{Z}[G][$  ]: the free  $\mathbb{Z}[G]$ -module on the symbol [ ].
- $B_1 = \bigoplus_{u \in G} \mathbb{Z}[G][u]$ : the free  $\mathbb{Z}[G]$ -module on the set G.
- $B_2 = \bigoplus_{u,v \in G} \mathbb{Z}[G][u|v]$ : the free  $\mathbb{Z}[G]$ -module on the set  $G \times G$ .
- $B_3 = \bigoplus_{u,v,w \in G} \mathbb{Z}[G][u|v|w]$ : the free  $\mathbb{Z}[G]$ -module on the set  $G \times G \times G$ .

Now apply  $\operatorname{Hom}(\cdot, N)$  to it:

...

**Theorem 39.**  $\operatorname{Ext}^1_{\mathbb{Z}[G]}(\mathbb{Z}, N) := \ker d_2^* / \ker d_1^* \cong \operatorname{Der}(G, N) / \operatorname{PDer}(G, N) = H^1(G, N).$ 

Proof.

- $g \in \ker d_2^* \subseteq \operatorname{Hom}(B_1, N) \implies g \circ d_2 = 0. \dots$
- ...
- Let  $t \in \text{Hom}(B_0, N)$ , say  $t([]) = a_0 \in N$ .

$$d_1^*(t)([u]) = t \circ d_1([u]) = t(u[] - []) = ut([]) - t([]) = ua_0 - a_0$$

Then  $d(u) := d_1^*(t)([u]) \implies d \in PDer(G, N)$ .

• ...

Remark 24.  $\operatorname{Ext}^2_{\mathbb{Z}[G]}(\mathbb{Z}, N) \cong H^2(G, N)$ .

## 5 Fields

## 5.1 Algebraic extensions

#### Def 77.

- L/K is called an field extension if L is a field and K is a subfield of L.
- L/K is called an algebraic extension if  $\forall \alpha \in L, \exists f(x) \in K[x]$  such that  $f(\alpha) = 0$ .
- $K(\alpha_1, \alpha_2, \dots, \alpha_n) \triangleq \{P(\alpha_1, \dots, \alpha_n)/Q(\alpha_1, \dots, \alpha_n) : P, Q \in K[x_1, x_2, \dots, x_n] \text{ and } Q \neq 0\}$

## Theorem 40 (Eisenstein criterion).

Let  $f(x) = a_n x^n + \dots + a_1 x + a_0 \in \mathbb{Z}[x]$  with  $gcd(a_1, a_2, \dots, a_n) = 1$ . Assume that there exists a prime p s.t.  $p \nmid a_n$  but  $p \mid a_i$  for other  $i \neq n$ , and  $p^2 \nmid a_0$ , then f is irreducible.

Proof. Consider  $\bar{f}(x)$ , by assumption,  $\bar{f}(x) = \bar{a}_n x^n$ . So if f(x) = g(x)h(x) with  $\deg g, \deg h \geq 1$ , let  $g(x) = b_r x^r + \dots + b_0, h(x) = c_{n-r} x^{n-r} + \dots + c_0$ , then  $\bar{g}(x) = \bar{b}_r x^r, \bar{h}(x) = \bar{c}_{n-r} x^{n-r}$  for some r. But then we would find out that  $\bar{b}_0 = \bar{c}_0 = 0$ , and thus  $p^2 \mid a_0$ , which is a contradiction, hence f is irreducible.

**Prop 5.1.1.** Given L/K and  $\alpha \in L$ , if  $\alpha$  is algebraic over K, then there exists a unique monic irreducible polynomial  $m_{\alpha,K}(x) \in K[x]$  of minimal degree s.t.  $m_{\alpha,K}(\alpha) = 0$  and for any other  $f(x) \in K[x]$  with  $f(\alpha) = 0$ , we have  $m_{\alpha,K} \mid f$ . We call  $m_{\alpha,K}$  the **minimal polynomial** of  $\alpha$  over K

*Proof.* Let I be the set of all polynomials such that  $f(\alpha)=0$ , since  $\alpha$  algebraic,  $I\neq\varnothing$ , so pick a monic polynomial g(x) of minimal degree in I. For any other  $f(x)\in I$ , write f(x)=g(x)q(x)+r(x) with  $\deg r<\deg g$ . If  $r(x)\neq 0$ , then  $r(\alpha)=f(\alpha)-q(\alpha)g(\alpha)$ . But then  $r(\alpha)=f(\alpha)-q(\alpha)g(\alpha)=0$  with  $\deg r<\deg g$ , which contradicts the minimality of g, thus r=0, and hence  $g\mid f$ .

Finally, if  $g(x) = h_1(x)h_2(x)$  with deg  $h_1$ , deg  $h_2 < \deg g$ , then one of them, say  $h_1(\alpha) = 0$  again contradicts the minimality of g, hence g is irreducible.

## **Prop 5.1.2.** Let L/K be an extension and $\alpha \in L$ , the following are equivalent:

- (1)  $\alpha$  is algebraic over K.
- (2)  $K[\alpha] = K(\alpha)$ .
- (3)  $[K(\alpha):K]<\infty$ .

*Proof.* (1)  $\Rightarrow$  (2): " $\subset$ " trivial.

"\(\tau\)": For all  $\beta \in K(\alpha)$ ,  $\beta = g(\alpha)/h(\alpha)$  with  $h(\alpha) \neq 0$ . So  $m_{\alpha,K} \nmid h$ . Since  $m_{\alpha,K}$  is irreducible,  $\gcd(m_{\alpha,K},h) = 1$ , hence there exists  $a(x),b(x) \in K[x]$  such that  $1 = a(x)h(x) + b(x)m_{\alpha,K}(x)$  Substitute  $\alpha$  and we get  $1/h(\alpha) = a(\alpha)$ , hence  $\beta = g(\alpha)a(\alpha) \in K[\alpha]$ .

- (2)  $\Rightarrow$  (1): Since  $1/\alpha \in K[\alpha]$ , thus  $1/\alpha = f(\alpha)$  for some polynomial f, hence if g(x) = xf(x) 1,  $g(\alpha) = 0$  which implies  $\alpha$  is algebraic.
- (1)  $\Rightarrow$  (3): Assume that  $\deg m_{\alpha,K} = n$ , it is easy to see that  $K[\alpha] = \langle 1, \alpha, \dots, \alpha^{n-1} \rangle_K$ . Since (1)  $\Rightarrow$  (2), we have  $[K(\alpha) : K] = [K[\alpha], K] = n$ .
- (3)  $\Rightarrow$  (1): Since  $[K(\alpha):K]=n$ , consider  $1,\alpha,\alpha^2,\ldots,\alpha^n$ . Some of these n+1 elements may be coincident, but nevertheless these elements are linear dependent. Hence there exists  $\alpha_0,\ldots,\alpha_n$  not all zero in K s.t.  $a_0+a_1\alpha+\cdots+a_n\alpha_n=0 \implies \alpha$  is algebraic.

**Prop 5.1.3.** Given M/L and L/K, [M:K] = [M:L][L:K].

*Proof.* If  $[M:L]=m<\infty$  and  $[L:K]=n<\infty$ , then  $L\cong K^{\oplus n}, M\cong L^{\oplus m}$ . So  $M\cong (K^{\oplus n})^{\oplus m}\cong K^{\oplus mn}$ , thus [M:K]=mn.

Now if  $[M:K]=l<\infty$ , then there exists a basis  $\{z_1,z_2,\ldots,z_l\}$  which is a basis for M over K. Then  $M=Kz_1+\cdots+Kz_l\subset Lz_1+\cdots+Lz_l\subset M\implies M=Lz_1+\cdots+Lz_l$ . Hence  $[M:L]<\infty$ . Also, since L is a K-linear subspace of M,  $[L:K]\leq l\implies [L:K]<\infty$ . Thus if  $[M:L]=\infty$  or  $[L:K]=\infty$ , then  $[M:K]=\infty$ .

**Prop 5.1.4.** Given L/K, define  $L^{\text{alg}} \triangleq \{\alpha \in L \mid \alpha \text{ is algebraic over } K\}$ , then  $L^{\text{alg}}$  is a subfield of L.

*Proof.* Notice that if  $\alpha, \beta \in L^{\text{alg}}$ , then  $\beta$  is algebraic over K implies that  $\beta$  is algebraic over  $K(\alpha)$ . Thus

$$[K(\alpha, \beta) : K] = [K(\alpha)(\beta) : K(\alpha)][K(\alpha) : K] < \infty$$

Also, since  $K(\alpha + \beta)$ ,  $K(\alpha - \beta)$ ,  $K(\alpha\beta)$ ,  $K(\alpha/\beta)$  are all contained in  $K(\alpha, \beta)$ , they are all algebraic over K, thus these elements are all algebraic, and hence  $L^{\text{alg}}$  is a subfield.

**Prop 5.1.5.**  $[L:K] < \infty$  if and only if  $L = K(\alpha_1, \alpha_2, \dots, \alpha_n)$  with each  $\alpha_i$  algebraic over K. In this case, L/K is algebraic (but the other side may not hold).

*Proof.* " $\Rightarrow$ ": Let [L:K] = n, so there is a basis  $\{\alpha_1, \alpha_2, \ldots, \alpha_n\}$  for L over K. It is easy to see that  $L = K(\alpha_1, \ldots, \alpha_n)$ . Also  $[K(\alpha_i):K] \leq [L:K] < \infty$ , thus  $\alpha_i$  is algebraic.

"\(\epsilon\)": Since  $\alpha_i$  is algebraic over K,  $\alpha_i$  is algebraic over  $K(\alpha_1, \ldots, \alpha_{i-1})$ . Thus

$$[L:K] = [K(\alpha_1, \dots, \alpha_n): K(\alpha_1, \dots, \alpha_{n-1})][K(\alpha_1, \dots, \alpha_{n-1}): K(\alpha_1, \dots, \alpha_{n-2})] \dots [K(\alpha_1): K] < \infty$$

Moreover,  $\forall \alpha \in L, [K(\alpha) : K] \leq [L : K] < \infty$ , so  $\alpha$  is algebraic over K.

**Coro 5.1.1.** Given L/K, and S a subset of L, if  $\forall \alpha \in S$ ,  $\alpha$  is algebraic over K, then K(S)/K is algebraic.

*Proof.* If  $\beta \in K(S)$ , by definition we know that there exists  $\alpha_1, \ldots, \alpha_n$  such that  $\beta \in K(\alpha_1, \ldots, \alpha_n)$ . Thus  $\beta$  is algebraic over K.

**Prop 5.1.6.** If M/L and L/K are algebraic, then M/K is algebraic.

*Proof.* For all  $\alpha \in M$ , since  $\alpha$  is algebraic over L, there exists  $a_{n-1}, \ldots, a_0$  so that  $\alpha^n + a_{n-1}\alpha^{n-1} + \cdots + a_0 = 0$ , that is,  $\alpha$  is algebraic over  $K(a_0, \ldots, a_{n-1})$ .

So  $[K(a_0, ..., a_{n-1}, \alpha) : K] = [K(a_0, ..., a_{n-1})(\alpha) : K(a_0, ..., a_{n-1})][K(a_0, ..., a_{n-1}) : K] < \infty$ , thus  $\alpha$  is algebraic over K.

**Def 78.** Given  $L/L_1$  and  $L/L_2$ ,  $L_1L_2$  is defined as the smallest subfield of L containing both  $L_1$  and  $L_2$ .

**Prop 5.1.7.** Let  $[L_1:K]=m$  and  $[L_2:K]=n$ .

- (1)  $[L_1L_2:K] < mn$ .
- (2) If gcd(m, n) = 1, then  $[L_1L_2 : K] = mn$ .

Proof. (1): Assume  $L_1 = K(\alpha_1, \ldots, \alpha_m), L_2 = K(\beta_1, \ldots, \beta_n)$ . We could find that  $L_1L_2 = K(\alpha_1, \ldots, \alpha_m, \beta_1, \ldots, \beta_n)$ . Notice that  $[K(\beta_1, \ldots, \beta_m)(\alpha_i) : K(\beta_1, \ldots, \beta_m)] \leq [K(\alpha_i) : K]$ , and thus  $[L_1L_2 : K] = [K(\alpha_1, \ldots, \alpha_m, \beta_1, \ldots, \beta_n) : K(\beta_1, \ldots, \beta_n)][K(\beta_1, \ldots, \beta_m) : K] \leq [K(\alpha_i, \ldots, \alpha_n) : K][K(\beta_1, \ldots, \beta_n) : K] = [L_1 : K][L_2 : K]$ .

(2): Notice that  $[L_i:K] \mid [L_1L_2:K]$ , so  $mn \mid [L_1L_2:K]$ . By (1),  $[L_1L_2:K] \leq nm$ , hence  $[L_1L_2:K] = nm$ .

**Def 79.** Let R be a commutative ring with 1, and I be an ideal of R, then

- I is called a **maximal ideal** if for any ideal J satisfying  $I \subseteq J$  we have J = I or J = R.
- I is called a **prime ideal** if  $I \neq R$  and  $ab \in I \implies a \in I$  or  $b \in I$ .

## **Prop 5.1.8.** Suppose R is a ring and $I \subseteq R$ is an ideal, then

- 1. I is maximal  $\iff R/I$  is a field.
- 2. I is a prime ideal  $\iff$  R/I is an integral domain.

Proof.

- 1. " $\Rightarrow$ ": For any  $\bar{r} \in R/I$  with  $\bar{r} \neq 0$ , then  $r \notin I$ . Consider  $\langle r \rangle + I$  which contains I and is not equal to I because  $r \notin I$ . Since I is maximal,  $\langle r \rangle + I = R$ , and thus  $\exists x \in R, y \in I$  such that xr + y = 1, so  $\bar{x}\bar{r} = \bar{1}$ . Hence every non-zero element has multiply inverse and R/I is a field. " $\Leftarrow$ ": If J is an ideal such that  $I \subsetneq J$ , pick  $x \in J \setminus I$ , then  $\bar{x} \neq 0$ , so  $\exists r \in J$  such that  $\bar{x}\bar{r} = 1$ . Then  $xr + I = 1 + I \implies \exists y \in I$  s.t. xr + y = 1. So  $1 \in J$ , and because J is an ideal, J = R.
- 2. By the fact that  $(ab \in I \implies a \in I \text{ or } b \in I) \iff (\bar{a}\bar{b} = 0 \implies \bar{a} = 0 \text{ or } \bar{b} = 0)$  the proof is complete.

**Prop 5.1.9.** If  $f(x) \in K[x]$  is irreducible, where K is a ring, then  $\langle f(x) \rangle$  is maximal ideal.

*Proof.* We know that K[x] is a principle ideal domain, so if  $\langle f(x) \rangle \subseteq J$ , then J is generated by a element, say g(x). Since  $f(x) \in J$ , we could write f(x) = g(x)h(x). By the fact that f(x) is irreducible, either g(x) is an unit then J = R, or h(x) is an unit then  $J = \langle f(x) \rangle$ .

**Eg 5.1.1.**  $f(x) = x^2 + 1$  has roots  $\alpha = \pm \sqrt{-1}$ , so  $\mathbb{R}(\sqrt{-1}) \cong \mathbb{R}[x]/\langle x^2 + 1 \rangle$ .

**Theorem 41.** Let  $f(x) \in K[x]$  be monic, irreducible and of degree n. Then there exists L/K and  $\alpha \in L$  s.t.  $f(\alpha) = 0, L = K(\alpha)$  and [L : K] = n.

*Proof.* Since f(x) is irreducible, by prop. 5.1.9  $\langle f(x) \rangle$  is a maximal ideal. Then by prop. 5.1.8  $L = K[x]/\langle f(x) \rangle$  is a field, and K is a subfield of L by the inclusion map  $\alpha \mapsto \bar{\alpha}$ . The map is 1-1 since  $\bar{1} \neq 0$  and a field homomorphism is either a 1-1 map or a zero (全洪) map.

Notice that  $L \cong K[\bar{x}]$ , where  $\bar{x}$  is the coset  $x + \langle f(x) \rangle$ . Now let  $\alpha = \bar{x}$ , and it is easy to see that  $f(\alpha) = f(x) + \langle f(x) \rangle = 0$ . Also  $L \cong K[\bar{x}] \cong K(\alpha)$ . Finally,  $m_{\alpha,K} \mid f$  and by the fact that f is monic and irreducible,  $m_{\alpha,K} = f$  and thus  $[L:K] = \deg m_{\alpha,K} = \deg f = n$ .

**Theorem 42.** Let  $f(x) \in K[x]$  be of degree n > 0. Then there exists L/K s.t. f splits over L, that is,

$$f(x) = \lambda(x - \alpha_1)(x - \alpha_2) \cdots (x - \alpha_n)$$
 with  $\alpha_1, \alpha_2, \cdots, \alpha_n \in L, \lambda \in K$ 

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In fact, L can be chosen to be the smallest field over which f splits and in this case  $[L:K] \leq n!$ . L is called a *splitting field* for f over K.

*Proof.* By induction on n, n = 1 is trivial, simply pick L = K.

For n > 1, let p(x) be an monic irreducible factor of f(x). By theorem 41, there exists an extension  $K(\alpha_1)$  s.t.  $p(\alpha_1) = 0$ . By division algorithm,  $f(x) = (x - \alpha_1)f_1(x)$  where  $f_1(x) \in K(\alpha_1)[x]$  and deg  $f_1 = n - 1$ . Using the induction hypothesis, we know that there exists L, which is an extension of  $K(\alpha_1)$ , s.t.  $f_1$  splits over L. Hence  $\exists \alpha_2, \alpha_3, \dots, \alpha_n \in L$  s.t.  $f_1(x) = \lambda(x - \alpha_2) \dots (x - \alpha_n)$ , thus  $f(x) = \lambda(x - \alpha_1)(x - \alpha_2) \dots (x - \alpha_n)$ . Compare the coefficient of  $x^n$  we know that  $\lambda \in K$ .

More over, observe that  $K(\alpha_1, \dots, \alpha_n)$  is the smallest field containing K and  $\{\alpha_1, \dots, \alpha_n\}$ . So if we choose  $L = K(\alpha_1, \alpha_2, \dots, \alpha_n)$ , then

$$[L:K] = [K(\alpha_1, \alpha_2, \cdots, \alpha_n) : K(\alpha_1, \alpha_2, \cdots, \alpha_{n-1})] \cdots [K(\alpha_1) : K] \le n!$$

Since  $[K(\alpha_1, \alpha_2, \dots, \alpha_k) : K(\alpha_1, \alpha_2, \dots, \alpha_{k-1})] = [K(\alpha_1, \alpha_2, \dots, \alpha_{k-1})(\alpha_k) : K(\alpha_1, \alpha_2, \dots, \alpha_{k-1})]$ and  $\alpha_k$  is a root of  $p(x) \in K(\alpha_1, \alpha_2, \dots, \alpha_{k-1})[x]$  where  $f(x) = (x - \alpha_1)(x - \alpha_2) \cdots (x - \alpha_{k-1})p(x)$ .

**Eg 5.1.2.** Find a splitting field L for  $x^8 - 2$  over  $\mathbb{Q}$  and determine  $[L : \mathbb{Q}]$ .

Remark 25.  $\mathbb{Q}[x]/\langle x^8 - 2 \rangle = \mathbb{Q}(\bar{x}) \cong \mathbb{Q}(\sqrt[8]{2}) \cong \mathbb{Q}(\sqrt[8]{2}\zeta)$ 

**Prop 5.1.10.** Let K, L be two fields and  $\tau : K \to L$  be a nontrivial homomorphism. We define  $\bar{\tau} : K[x] \to \tau(K)[x] \subseteq L[x]$  by

$$a_n x^n + \ldots + a_0 \mapsto \bar{\tau}(f) \triangleq \tau(a_n) x^n + \ldots + \tau(a_0)$$

which is an isomorphism. Also, f is irreducible implies  $\bar{\tau}(f)$  is irreducible in  $\tau(K)[x]$ .

**Lemma 4.** Let  $K(\alpha)/K$  be algebraic and  $\tau: K \to L$  be a nontrivial homo, then there exists an extension  $\sigma$  of  $\tau$  from  $K(\alpha)$  to L if and only if  $\exists \beta \in L$  s.t.  $\bar{\tau}(m_{\alpha,K})(\beta) = 0$ .

In this case  $m_{\beta,\tau(K)} = \bar{\tau}(m_{\alpha,K})$ .

*Proof.* "\(\Rightarrow\)": Let  $\beta = \sigma(\alpha)$  and  $m_{\alpha,K} = x^n + a_{n-1}x^{n-1} + \ldots + a_0$ . Then  $\bar{\tau}(m_{\alpha,K})(\beta) = \beta^n + \tau(a_{n-1})\beta^{n-1} + \cdots + \tau(a_0) = \tau(\alpha^n + a_{n-1}\alpha^{n-1} + \cdots + a_0) = 0$ 

" $\Leftarrow$ ": Observe that  $m_{\beta,\tau(K)} = \bar{\tau}(m_{\alpha,K})$  since  $\bar{\tau}(m_{\alpha,K})(\beta) = 0$  and  $\bar{\tau}(m_{\alpha,K})$  is monic and irreducible by prop 5.1.10.  $\sigma$  is then given by the following diagram.

$$K[x] \xrightarrow{\sim} \tau(K)[x]$$

$$\downarrow \qquad \qquad \downarrow$$

$$K(\alpha) \iff K[x] / \langle m_{\alpha,K} \rangle \xrightarrow{\sim} \tau(K)[x] / \langle m_{\beta,\tau(K)} \rangle \iff \tau(K)(\beta) \subseteq L$$

**Coro 5.1.2.** Let  $K(\alpha)/K$  be an algebraic extension and  $\tau: K \hookrightarrow L$ . If  $\bar{\tau}(m_{\alpha,K})$  has r distinct roots in L, then there are exactly r extensions of  $\tau$ .

**Theorem 43.** Let  $\tau: K \to K'$  be an isomorphism of fields. If L is a splitting field for f over K and L' is a splitting field for  $\bar{\tau}(f)$  over K', then  $L \cong L'$ 

*Proof.* By induction on  $n = \deg f$ . When n = 1, L = K, L' = K', so  $L \cong L'$ .

Now if n > 1, assume  $f(\alpha) = 0$  for  $\alpha \in L$ . Then  $\bar{\tau}(m_{\alpha,K}) \mid \bar{\tau}(f)$  and by the fact that L' is a splitting field for  $\bar{\tau}(f)$ ,  $\exists \beta \in L'$  s.t.  $\bar{\tau}(m_{\alpha,K})(\beta) = 0$ . By lemma 4,  $\exists \tau_{\circ} : K(\alpha) \xrightarrow{\sim} K'(\beta)$  with  $\tau_{\circ}|_{K} = \tau$ .

Now, write  $f = (x - \alpha)f_{\circ}$ , then  $\bar{\tau}(f) = \bar{\tau}_{\circ}(f) = (x - \tau_{\circ}(\alpha))\bar{\tau}_{\circ}(f_{\circ}) = (x - \beta)\bar{\tau}_{\circ}(f_{\circ})$ . Then L and L' is a splitting field for  $f_{\circ}$  over  $K(\alpha)$  and  $\bar{\tau}_{\circ}(f_{\circ})$  over  $K(\beta)$  respectively. By induction hypothesis,  $L \cong L'$ .

**Coro 5.1.3.** Let  $\tau: K \to K'$  be an isomorphism of fields, and L is a splitting field of f over K, L' is a splitting field of  $\bar{\tau}(f)$  over K'. Then  $\tau$  could be extend to  $\sigma: L \to L'$  such that  $\sigma|_{K} = \tau$ .

**Eg 5.1.3.**  $L = \mathbb{Q}(\sqrt{2}, \sqrt{3}).$ 

#### 5.2 Week 2

**Def 80.** A polynomial  $f(x) \in K[x]$  is said to be *separable* if its irreducible factors have no multiple roots in a splitting field L.

**Def 81.** If  $f(x) = a_n x^n + ... + a_1 x + a_0$ , then define  $f'(x) \triangleq n a_n x^{n-1} + ... + 2a_2 x + a_1$ .

**Theorem 44.** Let  $f(x) \in K[x]$  be monic, irreducible of positive degree, then all the roots of f(x) in a splitting field are simple if and only if gcd(f(x), f'(x)) = 1.

*Proof.* "\(\Rightarrow\)": We can write  $f(x) = (x - \alpha_1)(x - \alpha_2) \cdots (x - \alpha_n)$  where  $\alpha_i$  are distinct root of f. Then  $f'(x) = \sum_{i=1}^n f(x)/(x - \alpha_i)$  and we have  $(x - \alpha_i) \nmid f(x)$  for all i.

"\(\infty\)": Assume  $f(x) = (x - \alpha)^k g(x)$  with  $k \ge 2$ . Then  $f'(x) = k(x - \alpha)^{k-1} g(x) + (x - \alpha)^k g'(x)$  which implies  $(x - \alpha) \mid f(x)$ . So  $(x - \alpha) \mid \gcd(f(x), f'(x))$  and thus  $\gcd(f(x), f'(x)) \ne 1$ .

## Remark 26. The following are equivalent:

- 1.  $\alpha$  is a multiple root of f(x).
- 2.  $\alpha$  is a common root of f(x) and f'(x).
- 3.  $m_{\alpha,K} \mid f(x)$  and  $m_{\alpha,K} \mid f'(x)$ .

**Theorem 45.** There is a finite field K with  $|K| = q \iff q = p^n$  for some prime p and  $n \in \mathbb{N}$ . In this situation, K is unique up to isomorphism, denote by  $\mathbb{F}_{p^n}$ .

*Proof.* " $\Rightarrow$ ": Let  $p = \operatorname{char} K$  and  $[K : \mathbb{Z}/p\mathbb{Z}] = n$ , then  $|K| = p^n$ .

" $\Leftarrow$ ": Let K be a splitting field for  $f(x) = x^{p^n} - x$  over  $\mathbb{F}_p$ . We claim that the set of all roots of f(x) forms a field. Since if  $\alpha, \beta$  are two roots of f, obviously  $\alpha\beta, \alpha\beta^{-1}$  are also roots, and by  $(\alpha \pm \beta)^{p^n} = \alpha^{p^n} \pm \beta^{p^n} = \alpha \pm \beta$  because char K = p.  $\alpha \pm \beta$  are also roots, hence the roots form a field. By definition, K is the smallest field containing  $\mathbb{F}_p$  and roots of f(x), so K is exactly the set of roots of f(x).

Also, f'(x) = -1 has no root, so f(x) has no multiple roots which implies  $|K| = p^n$ .

Moreover, if K' is another finite field with  $|K'| = p^n$ , then for all  $\alpha \in K'$ ,  $\alpha^{p^n} = \alpha$ , so  $\alpha$  is a root of f(x), which implies that K' is a splitting field for f(x) over  $\mathbb{F}_p$ . By theorem 43,  $K \cong K'$ .  $\square$ 

**Theorem 46.** Let  $n \in \mathbb{N}$  and  $\mathbb{F}_q$  be a finite field. Then there exists a unique extension  $\mathbb{F}_{q^n}/\mathbb{F}_q$  s.t.  $[\mathbb{F}_{q^n} : \mathbb{F}_q] = n$ , and Aut  $(\mathbb{F}_{q^n}/\mathbb{F}_q) = \langle \sigma_q \rangle$  with  $\sigma_q = \alpha :: \mathbb{F}_{q^n} \mapsto \alpha^q :: \mathbb{F}_{q^n}$ .  $\sigma_q$  is called the Frobenius homomorphism.

*Proof.* By theorem 45,  $q = p^r$  for some prime p and  $r \in \mathbb{N}$ , so  $q^n = p^{nr}$  which is a power of a prime. Again by theorem 45,  $\mathbb{F}_{q^n}$  is the splitting field for  $x^{p^{nr}} - x$  over  $\mathbb{F}_p$ . Since  $x^q - x \mid x^{q^n} - x$ ,  $\mathbb{F}_q \subseteq \mathbb{F}_{q^n}$  and thus  $[\mathbb{F}_{q^n} : \mathbb{F}_q] = n$ .

Then we proof that  $\sigma_q$  is indeed in Aut  $(\mathbb{F}_{q^n}/\mathbb{F}_q)$ . We check that

$$\sigma_q(\alpha + \beta) = (\alpha + \beta)^q = \alpha^q + \beta^q = \sigma_q(\alpha) + \sigma_q(\beta)$$
$$\sigma_q(\alpha\beta) = (\alpha\beta)^q = \alpha^q \beta^q = \sigma_q(\alpha)\sigma_q(\beta)$$

Now  $\sigma_q$  is nontrivial since  $\sigma_q$  send 1 to 1, so  $\sigma_q$  is 1-1 and hence an isomorphism since  $\mathbb{F}_q$  is finite. Also, for all  $\alpha \in \mathbb{F}_q$ ,  $\sigma_q(\alpha) = \alpha^q = \alpha$ , hence  $\sigma_q$  fixes  $\mathbb{F}_q$ . Finally we prove that the order of  $\sigma_q$  is n. Assume not, so  $\operatorname{ord}(\sigma_q) = m < n$ . Then  $\sigma_q^m = \operatorname{id} \implies x^{q^m} - x = 0$  for each  $x \in \mathbb{F}_{q^n}$ . But  $x^{q^m} - x = 0$  has at most  $q^m < q^n$  roots, which leads to a contradiction.

**Remark 27.** By theorem 10, the multiplication group of  $\mathbb{F}_q$  is cyclic, so  $\mathbb{F}_q^{\times} = \langle \alpha \rangle \subseteq \mathbb{F}_q(\alpha) \setminus \{0\} \subseteq \mathbb{F}_{q^n} \setminus \{0\}$ , hence  $\mathbb{F}_{q^n} = \mathbb{F}_q(\alpha)$ .

**Lemma 5.** Every irreducible polynomial f(x) in  $\mathbb{F}_{p^n}[x]$  is separable.

*Proof.* Without lost of generality, assume f(x) is monic.

Since  $\sigma_p$  is an isomorphism,  $\mathbb{F}_{p^n} = \mathbb{F}_{p^n}^p = \{\alpha^p \mid \alpha \in \mathbb{F}_{p^n}\}$ . Now assume f(x) has a multiple root  $\alpha$ , then  $m_{\alpha,\mathbb{F}_p} = f(x)$  since f is irreducible. By theorem 44 we also have  $f(x) = m_{\alpha,\mathbb{F}_p} \mid f'(x)$ , but  $\deg f'(x) < \deg f(x)$  so we must have  $f'(x) \equiv 0$ .

Write  $f(x) = a_n x^n + \ldots + a_1 x + a_0$ , then  $f'(x) \equiv 0$  implies  $k a_k = 0_{\mathbb{F}_p}$  for each k, which means that if  $a_k \neq 0 \implies p \mid k$ . So

$$f(x) = a_{mp}x^{mp} + a_{(m-1)p}x^{(m-1)p} + \dots + a_px^p + a_0 = (a_{mp}x^m + \dots + a_px + a_0)^p$$

**Theorem 47.**  $x^{p^n} - x$  equals the product of all monic irreducible polynomials in  $\mathbb{F}_p[x]$  of degree d where d runs through all divisors of n.

*Proof.* By lemma, each irreducible polynomial is separable, and if  $f(x), g(x) \in \text{RHS}$ , and  $f(\alpha) = g(\alpha) = 0$ , then  $f = m_{\alpha, \mathbb{F}_p} = g$ . Thus RHS is separable. LHS is separable since f' = 1, so we could prove the equality by checking that they have same roots.

LHS | RHS:  $\forall \alpha \in \mathbb{F}_{p^n}$ ,  $[\mathbb{F}_p(\alpha) : \mathbb{F}_p] \mid [\mathbb{F}_{p^n} : \mathbb{F}_p] = n$ , thus  $\deg m_{\alpha,\mathbb{F}_p} \mid n$  and hence  $m_{\alpha,\mathbb{F}_p}$  appears in RHS

RHS | LHS: Assume 
$$\deg m_{\alpha,\mathbb{F}_p} = d \mid n$$
, then  $[\mathbb{F}_p(\alpha) : \mathbb{F}_p] = d$ , so  $\alpha^{p^d} = \alpha$ , and hence  $\alpha = \alpha^{p^d} = \alpha^{p^{2d}} = \cdots = \alpha^{p^n}$ .

**Def 82.** Möbius  $\mu$ -function

## 5.3 Algebra closure

#### Def 83.

- L is called an **algebraic closure** of K if L/K is algebraic and each polynomial  $f(x) \in K[x]$  splits over L.
- L is said to be algebraically closed if for each  $f(x) \in L[x]$ , f(x) has a root in L.

**Prop 5.3.1.** Given L/K, if L is algebraically closed, then  $L_a \triangleq \{ \alpha \in L \mid \alpha \text{ is algebraic over } K \}$  is an algebraic closure of K.

*Proof.* By prop 5.1.4,  $L_a$  is a field, and by definition, L/K is algebraic.

Now we proof that for any  $K \subseteq L$  and  $f(x) \in K[x]$ , f(x) splits over K. Using induction,  $\deg f = 1$  is trivial. If  $\deg f > 1$ , then since  $f(x) \in K[x] \subseteq L[x]$ , f has a root, say  $\alpha$ . so we could write  $f(x) = (x - \alpha)g(x)$ . Then  $g(x) \in K(\alpha)[x] \subseteq L[x]$ . by induction, g(x) splits and hence f(x) splits. So for any  $f(x) \in K[x]$ , f splits. Write  $f(x) = (x - \alpha_1) \dots (x - \alpha_n)$ , then each  $\alpha_i$  is algebraic over K,  $\alpha_i \in L_a$  and hence there product f(x) splits in  $L_a[x]$ .

Coro 5.3.1. If K is algebraically closed, then K is an algebraic closure of K itself.

**Prop 5.3.2.** If L is an algebraic closure of K, then L is algebraically closed.

*Proof.* For  $f(x) \in L[x]$ , let  $\alpha$  be a root of f(x). Since  $L(\alpha)/L$  and L/K is algebraic, by prop 5.1.6,  $L(\alpha)/K$  is algebraic. So  $\alpha$  must be in L, hence f(x) has a root in L.

## **Prop 5.3.3.** The following are equivalent.

- 1. K has no nontrivial algebraic extension.
- 2. For all irreducible polynomial in K[x] has degree 1.
- 3. Every polynomial of positive degree in K[x] has at least one root in K.
- 4. Every polynomial of positive degree in K[x] splits over K.

In below we would use the Zorn's lemma heavily.

**Lemma 6** (Zorn's lemma). Suppose a partially order set P has the property that every chain (i.e., a total order subset) has an upper bound in P, then the set P contains at least one maximal element.

**Lemma 7.** In a commutative ring R with 1, any proper ideal  $I \subsetneq R$  is contained in a maximal ideal.

*Proof.* Consider  $S = \{J \subseteq R \mid I \subseteq J\} \neq \emptyset$  since  $I \in S$ . Define a partial order on S by  $J_1 \leq J_2 \iff J_1 \subseteq J_2$ .

Given a chain  $\{J_i \mid i \in \Lambda\}$ , let  $J = \bigcup_{i \in \Lambda} J_i$ . J is an ideal, since if  $x, y \in J$ , then  $x \in J_1, y \in J_2$ . Let  $\tilde{J} = \max(J_1, J_2)$ , then  $x, y \in \tilde{J}$  which implies  $x + y \in \tilde{J}$ , and it is easy to check that for any  $x \in R, y \in J$ ,  $xy \in J$ .

Also, J is proper since  $1 \notin J$ , or else  $1 \in J_i$  and thus  $J_i = R$  which leads to an contradiction.

By Zorn's lemma, there exists a maximal element in S, and thus it is a maximal ideal which contains I.

**Theorem 48.** If K is a field, then there exists an algebraic closure L of K.

*Proof.* Let  $S = \{x_f \mid f(x) \in K[x] \text{ with } \deg f \geq 1\}$  be the set of variables indexed by non-constant polynomial in K[x]. Consider the polynomial ring K[S] and  $I = \langle f(x_f) : f \in K[x] \text{ with } \deg f \geq 1 \rangle$ , which is an ideal in K[S].

We claim that  $I \neq K[S]$ . If not then  $1 \in I \implies 1 = \sum_{i=1}^n g_i f_i(x_{f_i})$ . Write  $x_i \triangleq x_{f_i}$  for  $i=1,2,\cdots,n$ . Also, by definition  $g_i$  only involves a finite number of variable in S, so we could set  $g_i \in K[x_1,x_2,\cdots,x_m]$  with  $m \geq n$ . That is,  $1 = \sum_{i=1}^n g_i(x_1,x_2,\cdots,x_m)f_i(x_i)$ . Let  $\Sigma$  be a splitting field for  $f(x) = f_1(x)f_2(x)\cdots f_n(x)$  and define  $\alpha_i \in \Sigma$  which satisfies  $f_i(\alpha_i) = 0$  and  $a_i = 0$  for  $n+1 \leq i \leq m$ . Then  $1 = \sum_{i=1}^n g(\alpha_1,\alpha_2,\cdots,\alpha_m)f_i(\alpha_i) = 0$  which leads to an contradiction.

By lemma 7, there exists a maximal ideal M s.t.  $I \subseteq M$ .

Consider  $K \hookrightarrow F_1 \triangleq K[S]/M$ , and then for all  $f \in K[x]$ ,  $f(\bar{x}_f) = \bar{0}$  in  $F_1$ . By induction,  $\exists F_1 \subseteq F_2 \subseteq F_3 \subseteq \cdots$  which satisfies  $f(x) \in F_n[x]$  has a root in  $F_{n+1}$  Let  $F = \bigcup_{i=1}^{\infty} F_i$  which is algebraically closed since if  $f(x) \in F[x]$  then  $f(x) \in F_m[x]$  for some m and thus f(x) has a root in  $F_{m+1} \subseteq F$ .

Finally  $L \triangleq \{\alpha \in F \mid \alpha \text{ is algebraic over } K\}$  is an algebraic closure of K.

**Lemma 8.** If  $L_1/K$  is algebraic and  $\tau: K \to L_2$  is a non-zero homomorphism with  $L_2$  being algebraically closed, then  $\tau$  could be extend to  $\sigma: L_1 \to L_2$ .

*Proof.* Consider  $S = \{(M, \theta) \mid K \subset M \subset L_1, \ \theta : M \to L_2 \text{ with } \theta \big|_K = \tau \}$ , which is not an empty set since  $(K, \tau) \in S$ .

Define a partial order on S by  $(M_1, \theta_1) \leq (M_2, \theta_2) \iff M_1 \subseteq M_2 \wedge \theta_2 \big|_{M_1} = \theta_1$ . Given any chain  $\{(M_i, \theta_i) : i \in \Lambda\}$ , let  $N = \bigcup_{i=1}^{\infty} M_i$  and  $\theta = \alpha :: N \mapsto \theta_i(\alpha)$  if  $\alpha \in M_i$ . It could be check easily that this map is well defined, and  $(N, \theta)$  is a least upper bound in S for this chain. By Zorn's lemma, there exists a max element  $(M, \sigma)$  in S.

Now, if  $M \neq L_1$ , then pick  $\alpha \in L_1 \setminus M$ . Since  $L_1/K$  is algebraic, the minimal polynomial  $m_{\alpha,K}$  exists. Since  $L_2$  algebraically closed,  $\bar{\sigma}(m_{\alpha,K})$  has a root in  $L_2$ , and thus by lemma 4,  $\sigma$  could be extend to  $\sigma': M(\alpha) \to L_2$  which contradict the maximality of  $(M, \sigma)$ . Thus  $M = L_1$ .

**Theorem 49.** Any two algebraic closures  $L_1, L_2$  of K are isomorphic.

*Proof.* Consider the inclusion map  $\mathrm{id}_K :: K \hookrightarrow L_1$ . By Lemma 8,  $\mathrm{id}_K$  could be extend to  $\sigma :: L_2 \to L_1$  such that  $\sigma|_K = \mathrm{id}_K$ . Since  $\sigma \neq 0$ ,  $\sigma(L_2) \cong L_2$ . Also,  $L_2$  is algebraically closed implies  $\sigma(L_2)$  is algebraically closed. So for any  $\alpha \in L_1$ ,  $\alpha$  is algebraic over K and thus over  $\sigma(L_2)$ , which implies  $\alpha \in \sigma(L_2)$ , so  $\sigma$  is onto, hence  $\sigma$  is an isomorphism between  $L_1$  and  $L_2$ .

**Eg 5.3.1.** Let p be a prime.

- Any finite field L with char L = p,  $L \cong \mathbb{F}_{n^n}$  for some  $n \in \mathbb{N}$ .
- Gal  $(\mathbb{F}_{p^n}/\mathbb{F}_p) = \langle \sigma_p \rangle$  with  $p = \alpha :: \mathbb{F}_{p^n} \mapsto \alpha^p :: \mathbb{F}_{p^n}$ .
- A subfield L of  $\mathbb{F}_{p^n}$  is isomorphic to  $\mathbb{F}_{p^m}$  with  $m \mid n$  since  $[\mathbb{F}_{p^n} : \mathbb{F}_{p^m}] = d \leadsto p^{md} = p^n$ .
- $\bigcup_{n=1}^{\infty} \mathbb{F}_{p^n}$  is a field, and it is the algebraic closure of  $\mathbb{F}_p$ .

#### 5.4 Separable extension

Def 84.

•  $\alpha$  is separable over K if  $m_{\alpha,K}$  is separable over K.

• L/K is called a separable extension if  $\forall \alpha \in L$ ,  $\alpha$  is separable over K.

Eg 5.4.1. Let char K = p and  $K^p \subsetneq K$ . Pick  $b \in K \setminus K^p$  and consider L to be the splitting field of  $x^p - b$  over K, say  $\alpha \in L$  with  $\alpha^p = b$ . Notice that  $x^p - b = x^p - a^p = (x - a)^p$ , and  $x^p - b$  is irreducible in K, or else if  $x^p - b = g(x)h(x)$  in K[x], then write  $g(x) = (x - \alpha)^k$ ,  $h(x) = (x - \alpha)^{n-k}$ , but then expand g(x) and we would get  $\alpha^k \in K$ , since  $\alpha^p \in K$  and gcd(k, p) = 1 implies  $\alpha \in K$  which leads to an contradiction.

By above we know that  $x^p - b$  is inseparable.

**Def 85.** K is said to be *perfect* if either char K = 0 or "char K = p and  $K = K^p$ ".

**Eg 5.4.2.** If char K = p and  $K/\mathbb{F}_p$  is algebraic, then K is perfect.

*Proof.* Consider  $\sigma_p: K \to K$  which is a monomorphism which fixes  $\mathbb{F}_p$ . Since  $K/\mathbb{F}_p$  is algebraic, by the exercise problem,  $\sigma_p$  is an automorphism, so  $K = K^p$ .

**Fact 5.4.1.** K is perfect if an only if for any irreducible polynomial  $f(x) \in K[x]$ , f is separable. Also, we can find that any irreducible polynomial  $f(x) \in K[x]$  is not separable over K if and only if char K = p > 0 and  $f(x) = g(x^p)$  for some  $g(x) \in K[x]$ , where g(x) is irreducible and not all coefficient of g is in  $K^p$ .

Finally, if  $\operatorname{char} K = 0$ , then K is separable.

**Prop 5.4.1.** Give  $K(\alpha)/K$  with degree  $m_{\alpha,K} = d$  and  $\tau :: K \to L \neq 0$ . If  $\alpha$  is separable over K and  $\bar{\tau}(m_{\alpha,K})$  splits over L, then there are exactly d monomorphisms  $\sigma :: K(\alpha) \to L$  with  $\sigma|_{K} = \tau$ . Otherwise, if  $\alpha$  is not separable or  $\bar{\tau}(m_{\alpha,K})$  doesn't split over L, then there are r < d such monomorphisms.

*Proof.* Observe that  $m_{\alpha,K}$  is separable over K if an only if  $\bar{\tau}(m_{\alpha,K})$  is separable over  $\tau(K)$ . Extend K to  $\Sigma$ ,  $\tau(K)$  to  $\Sigma'$ , where  $\Sigma$ ,  $\Sigma'$  are the splitting field of  $m_{\alpha,K}$  and  $\bar{\tau}(m_{\alpha,K})$ , respectively. Since  $K \cong \tau(K)$ , by theorem 43,  $\Sigma \cong \Sigma'$ . Let  $\tau'$  be the isomorphism which is an extension of  $\tau$ .

If  $m_{\alpha,K} = (x - \alpha_1)(x - \alpha_2) \cdots (x - \alpha_d)$ , then  $\bar{\tau}(m_{\alpha,K}) = (x - \tau'(\alpha_1))(x - \tau'(\alpha_2)) \cdots (x - \tau'(\alpha_n))$ . where  $\tau' :: \Sigma \xrightarrow{\sim} \Sigma'$  and  $\alpha_i \neq \alpha_j \iff \tau'(\alpha_i) \neq \tau'(\alpha_j)$ . Thus if  $\alpha$  is separable,  $\bar{\tau}(m_{\alpha,K})$  has d distinct roots in L. By corollary 5.1.2, there are exactly d monomorphisms  $\sigma$  with  $\sigma|_{K} = \tau$ .

Otherwise, there are r roots in L where r < d, and thus there are r < d such monomorphisms.  $\square$ 

**Prop 5.4.2.** Let [K':K] = d and  $\tau :: K \to L \neq 0$ . Then K'/K is separable and  $\forall \alpha \in K'$ ,  $\bar{\tau}(m_{\alpha,K})$  splits over L, if and only if there are exactly d monomorphisms  $\sigma :: K' \to L$  with  $\sigma|_k = \tau$ . Otherwise  $\exists r < d$  of such monomorphisms.

*Proof.* By induction on d, if d = 1 we could simply let  $\sigma = \tau$ .

Now for d > 1, let  $\alpha \in K' \setminus K$ . By prop 1,  $\exists$  exactly  $[K(\alpha) : K]$  monomorphisms  $\tau_1 : K(\alpha) \to L$ . Now, for any  $\beta \in K'/K(\alpha)$ ,  $m_{\beta,K(\alpha)} \mid m_{\beta,K}$  and thus  $m_{\beta,K(\alpha)}$  is separable and  $\bar{\tau}(m_{\beta,K(\alpha)})$  splits in L since  $\bar{\tau}(m_{\beta,K})$  splits. These implies that  $K'/K(\alpha)$  is separable and  $\forall \beta \in K(\alpha), m_{\beta,K(\alpha)}$  splits in L. Thus,  $K(\alpha)$  satisfies the hypothesis, and by induction, there are exactly  $[K' : K(\alpha)]$ 

monomorphisms  $\sigma :: K' \to L$  such that  $\sigma|_{K(\alpha)} = \tau_1$ , thus there are  $[K' : K(\alpha)][K(\alpha) : k] = [K' : K]$  such monomorphisms.

Otherwise, we could choose  $\alpha \in K'$  such that  $\bar{\tau}(m_{\alpha,K})$  has fewer then  $[K(\alpha):K]$  roots in L, then there are  $r' < [K(\alpha):K]$  monomorphism  $\tau_1 :: K(\alpha) \to L$ . By induction, each  $\tau_1$  has r'' extensions  $\sigma :: K' \to L$  and  $r'' \le [K':K(\alpha)]$  Hence the number of monomorphism equals r'r'' < [K':K].  $\square$ 

**Lemma 9.** If  $K(\alpha_1, \alpha_2, \dots, \alpha_n)/K$  is algebraic and L is a splitting field of  $f(x) = \prod_{i=1}^n m_{\alpha_i, K}$  over K, then for all  $\beta \in K(\alpha_1, \alpha_2, \dots, \alpha_n)$ ,  $m_{\beta, K}$  also splits over L.

*Proof.* Let L = K(R) with R being the set of all roots of f(x). Pick any root  $\gamma$  of  $m_{\beta,K}$ . Observe the following diagram:



Where (1) holds because these field are both isomorphic to  $K[x]/\langle m_{\beta,K}\rangle$ .

(2) holds because  $\tau$  obviously fixes K, and hence K(R) is a splitting field of f and  $K(R, \gamma)$  is a splitting field of  $\bar{\tau}(f)$ . By theorem 43, K(R) and  $K(R, \gamma)$  is then isomorphic.

Thus we have  $[K(R):K] = [K(R):K(\beta)][K(\beta):K] = [K(R,\gamma):K(\gamma)][K(\gamma):K] = [K(R,\gamma):K]$ , and  $[K(R):K] = [K(R,\gamma):K] = [K(\gamma,R):K(R)][K(R):K]$  which implies  $[K(\gamma,R):K(R)] = 1$ , hence  $\gamma \in R$ .

**Theorem 50.** Given  $K(\alpha_1, \alpha_2, \dots, \alpha_n)/K$ , if  $\alpha_i$  is separable over  $K_{i-1} \triangleq K(\alpha_1, \dots, \alpha_{i-1})$ , then  $K(\alpha_1, \alpha_2, \dots, \alpha_n)/K$  is separable.

*Proof.* Let L be a splitting field of  $f(x) = \prod m_{\alpha_i,K}$ .

We claim that there are  $[K_j : K]$  monomorphisms  $\tau_j :: K_j \to L$  with  $\tau_j|_K = \mathrm{id}_K$ . Use induction on j, if j = 0, then there are only 1 such monomorphism, namely itself  $\mathrm{id}_K$ .

For j > 0, observe that  $m_{\alpha_j,K_{i-1}} \mid m_{\alpha_j,K}$ , and since  $\bar{\tau}_{j-1}(m_{\alpha_j,K}) = m_{\alpha_j,K}$  splits over L,  $m_{\alpha_j,K_{i-1}}$  also splits over L. By hypothesis,  $\alpha_j$  is separable over  $K_{j-1}$ , so by prop 5.4.1, there are  $[K_j:K_{j-1}]$  such monomorphisms  $\tau_j::K_j \to L$  with  $\tau_j\big|_{K=1} = \tau_{j-1}$ . By induction, there are  $[K_{j-1}:K]$  monomorphisms  $\tau_{j-1}::K_{j-1} \to L$  with  $\tau_j\big|_{K=1} = \mathrm{id}_K$ . Compose these monomorphisms, we know that there exists exactly  $[K_j:K_{j-1}][K_{j-1}:K] = [K_j:K]$  monomorphisms  $\tau_j::K_j \to L$  such that  $\tau_j\big|_{K=1} = \mathrm{id}_K$ .

So there are exactly  $[K_n : K]$  monomorphisms  $\tau :: K(\alpha_1, \ldots, \alpha_n) \to L$  with  $\tau|_K = \mathrm{id}_K$ . By prop 5.4.2,  $K(\alpha_1, \ldots, \alpha_n)$  is separable.

**Theorem 51.** L/K is separable if and only if L/M, M/K are separable.

*Proof.* " $\Rightarrow$ ": If L/K is separable, then M/K is obviously separable. For any  $\beta \in L$ ,  $m_{\beta,M} \mid m_{\beta,K}$  so  $m_{\beta,M}$  is separable which implies L/M is separable.

"\(\infty\)": For any  $\alpha \in L$ , write  $m_{\alpha,M} = x^n + a_{n-1}x^{n-1} + \dots + a_1x + a_0$ , then  $m_{\alpha,M}$  is separable implies that  $\alpha$  is separable over  $K(a_0, \dots, a_{n-1})$ . By theorem 50,  $K(a_0, a_1, \dots, a_{n-1}, \alpha)/K$  is separable, hence each  $\alpha$  is separable over K, thus L/K is separable.

#### 5.5 Normal extension

**Def 86.** L/K is called a **normal extension** if  $\forall \alpha \in L$ ,  $m_{\alpha,K}$  splits over L.

**Theorem 52.** L is a splitting field of some polynomial f(x) over K if and only if L/K is finite and normal.

*Proof.* " $\Rightarrow$ ": Let  $\alpha_1, \alpha_2, \ldots, \alpha_n$  be the roots of f, so  $L = K(\alpha_1, \alpha_2, \ldots, \alpha_n)$ , and L is also a splitting field of  $\prod m_{\alpha_i,K}$  since  $m_{\alpha_i,K} \mid f$ . By lemma 9, for any  $\beta$  in L,  $m_{\beta,K}$  splits, thus L/K normal and obviously also finite.

" $\Leftarrow$ ": Since L/K is a finite extension, we could write  $L = K(\alpha_1, \alpha_2, \ldots, \alpha_n)$ . Let  $f = \prod m_{\alpha_i, K}$ , then since L/K normal, each  $m_{\alpha_i, K}$  splits. It is also easy to see that L is the smallest field where f splits, thus L is a splitting field of f.

**Remark 28.** If L/K is normal, then for any M with  $K \subset M \subset L$ , we have L/M is normal, this is because  $\forall \alpha, m_{\alpha,M} \mid m_{\alpha,K}$ , and thus  $m_{\alpha,M}$  splits since  $m_{\alpha,K}$  splits.

But M/K need not to be normal. For example, Let  $K = \mathbb{Q}$ , L be the splitting field of  $x^3 - 2$ , by theorem 52 L/K is normal. Then  $L = \mathbb{Q}(\sqrt[3]{2}, \omega)$  where  $\omega \triangleq \mathrm{e}^{2\pi\mathrm{i}/3}$ . Let  $M = \mathbb{Q}(\sqrt[3]{2})$  then  $m_{\sqrt[3]{2},K}$  doesn't split in M, so M/K is not normal.

**Prop 5.5.1.** Let L/K be a finite, normal extension and  $L \supset M \supset K$ , then the following are equivalent.

- (a) M/K is normal.
- (b)  $\forall \sigma \in \operatorname{Aut}(L/K), \ \sigma(M) \subset M$ .
- (c)  $\forall \sigma \in \operatorname{Aut}(L/K), \ \sigma(M) = M.$

*Proof.* (a)  $\Rightarrow$  (b):  $\forall \alpha \in M$ ,  $m_{\alpha,K}(\sigma(\alpha)) = \sigma(m_{\alpha,K}(\alpha)) = 0$ . So  $\sigma(\alpha)$  is a root of  $m_{\alpha,K}$ . Since M/K normal,  $m_{\alpha,K}$  splits in M and thus every root of  $m_{\alpha,K}$  is in M, hence  $\forall m, \sigma(m) \in M \implies \sigma(M) \subset M$ .

- (b)  $\Rightarrow$  (c): Since L/K algebraic and  $\sigma$  1-1, by a homework problem,  $\sigma$  onto.
- (c)  $\Rightarrow$  (a): For any  $\alpha \in M$ , let  $\beta \in L$  be a root of  $m_{\alpha,K}$ . By theorem 52, we could assume L is a splitting field of f over K. Consider the following diagram,



Where isomorphism  $\tau$  with  $\tau(\alpha) = \beta$  exists since  $\alpha, \beta$  share the same minimal polynomial, and  $\sigma$  with  $\sigma|_K = \tau$  exists by theorem 43. Since  $\sigma \in \operatorname{Aut}(L/K)$ ,  $\beta = \sigma(\alpha) \in M$ , thus M/K normal.  $\square$ 

**Def 87.** Let L/K is called a *Galois* extension if L/K is finite, normal and separable. That is, L is a splitting field of some separable polynomial over K.

**Theorem 53.** If L/K is Galois, then  $|\operatorname{Aut}(L/K)| = [L:K]$ . Otherwise,  $|\operatorname{Aut}(L/K)| < [L:K]$ .

*Proof.* Since L/K is normal, for any  $\alpha$ ,  $m_{\alpha,K}$  splits over L. Since L/K is separable,  $m_{\alpha,K}$  has no multiple roots. So there are exactly [L:K] extensions  $\sigma::L\to L$  of  $\mathrm{id}_K$ .

**Def 88.** Given a field L, define the fixed field of G by  $L^G \triangleq \{\alpha \in L \mid \sigma(\alpha) = \alpha, \forall \sigma \in G\}$ .

**Theorem 54.** If G is a subgroup of  $\operatorname{Aut}(L)$  with  $|G| < \infty$ , then  $|G| = [L : L^G]$ ,  $G = \operatorname{Aut}(L/L^G)$  and  $L/L^G$  is Galois.

*Proof.* First we prove that  $[L:L^G] \leq |G|$ . Assume not, then  $|G| < [L:L^G]$ . Let  $G = \{\sigma_1, \sigma_2, \ldots, \sigma_n\}$  and  $\alpha_1, \alpha_2, \ldots, \alpha_{n+1} \in L$ . Which  $\alpha_i$  is linear independent over  $L^G$ .

Consider the equations

$$\begin{cases}
\sigma_1(\alpha_1)x_1 + \dots + \sigma_1(\alpha_{n+1})x_{n+1} &= 0 \\
\sigma_2(\alpha_1)x_1 + \dots + \sigma_2(\alpha_{n+1})x_{n+1} &= 0 \\
\vdots &\vdots &\vdots \\
\sigma_n(\alpha_1)x_1 + \dots + \sigma_n(\alpha_{n+1})x_{n+1} &= 0
\end{cases}$$

Since the number of variables is more than the number of equations, there is a non-trivial solution. Choose one solution  $(a_1, \ldots, a_{n+1})$  having the least amount of nonzero element. By reordering, we could assume the solution is  $(a_1, a_2, \ldots, a_m, 0, 0, \ldots, 0)$  and it is no harm to assume  $\sigma_1 = 1_G$ . If m = 1, then and  $\sigma_1(\alpha_1)a_1 = \alpha_1a_1 = 0 \implies a_1 = 0$ , which is a contradiction.

So assume that m > 1, we have

$$\begin{cases}
\sigma_1(\alpha_1)a_1 + \dots + \sigma_1(\alpha_m)a_m = 0 \\
\sigma_2(\alpha_1)a_1 + \dots + \sigma_2(\alpha_m)a_m = 0 \\
\vdots & \vdots & \vdots \\
\sigma_n(\alpha_1)a_1 + \dots + \sigma_n(\alpha_m)a_m = 0
\end{cases}$$

By multipling  $a_m^{-1}$ , we could assume  $a_m = 1$ . The equation about  $\sigma_1$  gives  $\alpha_1 a_1 + \cdots + \alpha_m a_m = 0$ , since  $\alpha_i$  is linear independent, one of the  $a_i$ s, say  $a_k$  is not in  $L^G$ , and thus there exists t so that  $\sigma_t(a_k) \neq a_k$ . Apply  $\sigma_t$  to each equation, we have

$$\sigma_t \sigma_i(\alpha_1) \sigma_t(a_1) + \dots + \sigma_t \sigma_i(\alpha_m) \sigma_t(a_m) = 0, \quad \forall 1 \le i \le n$$

But since  $\{\sigma_t\sigma_1,\ldots,\sigma_t\sigma_n\}=\{\sigma_1,\ldots,\sigma_n\}$ ,  $(\sigma_t(a_1),\sigma_t(a_2),\ldots,\sigma_t(a_m),0,\ldots,0)$  is a solution and thus  $(a_1-\sigma_t(a_1),\ldots,a_m-\sigma_t(a_m),0,\ldots)$  is also a solution to the equations. Since  $\sigma_t(a_k)\neq a_k$ , the solution is not trivial, and because  $a_m=1$ ,  $a_m-\sigma_t(a_m)=0$ . Hence this solution has m-1 nonzero element, which contradict the minimality of the original solution. Thus  $[L:L^G]\leq \operatorname{Aut}(L/L^G)$ .

Finally,  $|\operatorname{Aut}(L/L^g)| \leq [L:L^G]$  by theorem 50, thus  $|G| \leq |\operatorname{Aut}(L/L^G)| \leq [L:L^G] \leq |G|$ , hence they are all equal.

**Def 89.** Let  $f(x) \in K[x]$  and L be a splitting field of f(x) over K. We call Gal(L/K) the **Galois group** of f(x).

**Prop 5.5.2.** Let  $f(x) \in \mathbb{Q}[x]$  be irreducible polynomial of degree p where p is a prime. If f(x) has exactly p-2 roots and 2 complex roots, then the Galois group of f(x) is  $S_p$ .

*Proof.* Let L be a splitting field of f over  $\mathbb{Q}$  and  $R = \{\alpha_1, \alpha_2, \dots, \alpha_p\}$  be the set of all roots of f(x). Since f(x) is irreducible,  $f(x)/a_p = m_{\alpha_i,\mathbb{Q}}$ ,  $\forall i$ . By lemma 4, for any  $\sigma \in \operatorname{Gal}(L/\mathbb{Q})$ ,  $\sigma$  sends  $\alpha_i$  to another root  $\alpha_j$ . Also,  $\{\alpha_i\}$  generates L so  $G \triangleq \operatorname{Gal}(L/\mathbb{Q}) \leq S_p$ .

Now, we define an equivalence relation on R such that  $\alpha_i \sim \alpha_j \iff (\alpha_i \ \alpha_j) \in G$ , that is,  $\exists \sigma \in G$  such that  $\sigma(\alpha_i) = \alpha_j, \sigma(\alpha_j) = \alpha_i$  and  $\sigma(\alpha_t) = \alpha_t, \forall t \neq i, j$ .

We claim that each equivalence class has the same size. Let  $[\alpha_i], [\alpha_j]$  be two equivalence classes. Since  $\alpha_i, \alpha_j$  share the same minimal polynomial, by lemma 4,  $\exists \sigma, \sigma(\alpha_i) = \alpha_j$ , and  $\sigma$  sends  $[\alpha_i]$ 

to  $[\alpha_j]$ , since if  $\alpha_k \in [\alpha_i]$ ,  $(\alpha_i \ \alpha_k) \in G$  and thus  $\sigma(\alpha_i \ \alpha_k)\sigma^{-1} = (\alpha_j \ \sigma(\alpha_k)) \in G$ . Since  $\sigma$  1-1,  $|[\alpha_i]| \leq |[\alpha_j]|$ , and by symmetry we have  $|[\alpha_i]| = |[\alpha_j]|$ .

But then if  $[\alpha_i] = n$ ,  $p = |R| = \sum |[\alpha_j]| = kn$ , so either there are p equivalence classes each with size 1, which is impossible since the two complex root are equivalent by conjugation, or there are only one equivalence classes, which means that any 2 cycle are in G, and thus  $G = S_p$ .

## 5.6 Fundamental theorem of Galois theory

**Theorem 55** (Main theorem). Let L/K be a Galois extension, where L be a splitting field of a separable polynomial f, and let G = Gal(L/K). Then:

(1) There is a 1-1 correspond from the set of intermediate field to the set of subgroup given by

$$\begin{array}{ccc} \{M: K \subseteq M \subseteq L\} & \longleftrightarrow & \{H: H \leq G\} \\ M & \longmapsto & \operatorname{Gal}(L/M) \\ L^H & \longleftrightarrow & H \end{array}$$

*Proof.* We check these two mapping are the inverse of each other.

By theorem 54,  $Gal(L/L^H) = H$ .

Now we have  $M \subseteq L^{\operatorname{Gal}(L/M)}$ . Since L/M is galois,  $[L:M] = |\operatorname{Gal}(L/M)|$ . By theorem 54 again,  $|\operatorname{Gal}(L/M)| = [L:L^{\operatorname{Gal}(L/M)}]$ , thus  $[L:M] = [L:L^{\operatorname{Gal}(L/M)}] \implies M = L^{\operatorname{Gal}(L/M)}$ .

(2) If  $M_1 = L^{H_1}, M_2 = L^{H_2}$ , then  $M_1 \subseteq M_2 \iff H_2 \leq H_1$ .

Proof. Obvious.

(3) If  $M = L^H$ , then M/K is normal if and only if  $H \triangleleft G$ .

*Proof.* If  $\sigma \in G$ , then

$$\begin{split} \tau \in \operatorname{Gal}(L/\sigma(M)) &\iff \tau(\sigma(x)) = \sigma(x), \, \forall x \in M \\ &\iff \sigma^{-1}\tau\sigma(x) = x, \, \forall x \in M \\ &\iff \sigma^{-1}\tau\sigma \in \operatorname{Gal}(L/M) \\ &\iff \tau \in \sigma \operatorname{Gal}(L/M)\sigma^{-1} \end{split}$$

So by prop 5.5.1, M/K is normal if and only if for all  $\sigma \in G$ ,  $\sigma(M) = M$  and thus if and only if  $\operatorname{Gal}(L/M) = \operatorname{Gal}(L/\sigma(M))$ . But  $\operatorname{Gal}(L/\sigma(M)) = \sigma \operatorname{Gal}(L/M)\sigma^{-1} = \sigma H \sigma^{-1}$  by the discussion above, hence it is equivalent to  $H = \sigma H \sigma^{-1}$  which is same as  $H \triangleleft G$ .

(4) If  $H \triangleleft G$ , then  $G/H \cong Gal(M/K)$ .

*Proof.* Since  $H \triangleleft G$ , by (3) we know that M/K is Galois. Define  $\varphi = \sigma :: \operatorname{Gal}(L/K) \mapsto \sigma|_{M} :: \operatorname{Gal}(M/K)$ . The mapping is well defined since by prop 5.5.1,  $\sigma(M) = M$ . Also, this map is onto since by corollary 43, each  $\tau \in \operatorname{Gal}(M/K)$  could be extend to  $\sigma \in \operatorname{Gal}(L/K)$  because  $\bar{\tau}(f) = f$ . Finally notice that  $\ker \varphi = H$ , thus by the first isomorphism theorem,  $G/H \cong \operatorname{Gal}(M/K)$ .

(5) If  $M_1 = L^{H_1}$ ,  $M_2 = L^{H_2}$ , then  $M_1 \cup M_2 = L^{\langle H_1, H_2 \rangle}$  and  $M_1 M_2 = L^{H_1 \cup H_2}$ .

**Theorem 56.** Let L/K be Galois, and N/K be any extension, then LN/N is galois and  $Gal(LN/N) \cong Gal(L/L \cap N)$  by the isomorphism  $\varphi = \sigma \mapsto \sigma|_{L}$ .

*Proof.* Let L be a splitting field of the separable polynomial f(x) over K, say  $L = K(\alpha_1, \ldots, \alpha_n)$ . Then  $LN = N(\alpha_1, \ldots, \alpha_n)$ , which can be regarded as a splitting field of f(x) over N. Thus by theorem 52, LN/N is Galois.

Now we check that  $\varphi$  is well defined, notice that  $f(\sigma(\alpha_i)) = \sigma(f(\alpha_i)) = 0$  since  $\sigma$  fixes K, and thus f sends  $\alpha_i$  to some  $\alpha_j$ . Also,  $\{\alpha_i\}$  generate L over K, thus  $\sigma|_L(L) = L$ .

If  $\sigma|_{L} = \mathrm{id}_{L}$ , then  $\sigma(\alpha_{i}) = \alpha_{i}$ ,  $\forall i$ . Since  $\{\alpha_{i}\}$  generate LN over N,  $\sigma = \mathrm{id}_{LN}$ . Thus  $\varphi$  1-1.

Finally, let  $H=\operatorname{Im}\varphi,$  we claim that  $L^H=L\cap N,$  since

$$\begin{split} \alpha \in L^H &\iff \alpha \in L \text{ and } \forall \sigma \in \operatorname{Gal}(LN/N), \ \sigma\big|_L(\alpha) = \alpha \\ &\iff \alpha \in L \text{ and } \forall \sigma \in \operatorname{Gal}(LN/N), \ \sigma(\alpha) = \alpha \\ &\iff \alpha \in L \text{ and } \alpha \in (LN)^{\operatorname{Gal}(LN/N)} \\ &\iff \alpha \in L \text{ and } \alpha \in N \iff \alpha \in L \cap N \end{split}$$

**Remark 29.** If L/K is Galois and N/K is finite, then  $[NL:K] = [N:K][L:K]/[N \cap L:K]$ .

Proof.

$$[NL:K]/[N:K] = [NL:L] = \operatorname{Gal}(NL/L) = \operatorname{Gal}(L/N \cap L) = [L:N \cup L] = [L:K]/[N \cap L:K]$$
 and the proof is completed.  $\Box$ 

#### 5.7 Abelian extension

**Def 90.** L/K is called an abelian extension if L/K is Galois and Gal(L/K) is abelian.

**Eg 5.7.1.** For an extension  $\mathbb{F}_{q^n}/\mathbb{F}_q$  of a finite field,  $\mathbb{F}_{q^n}$  is a splitting field of  $x^{q^n} - x$  over  $\mathbb{F}_p$ , so  $\mathbb{F}_{q^n}/\mathbb{F}_q$  is Galois by theorem 52. By theorem 46, we know that  $\operatorname{Gal}(F_{q^n}/F_q) = \langle \sigma_q \rangle$  is a cyclic group.

#### Def 91.

- The cyclotomic field  $\mathbb{Q}(\zeta_n)$  is the splitting field of  $x^n 1$  over  $\mathbb{Q}$ .
- $\zeta$  is called an nth root of unity if  $\zeta^n = 1$ .  $\mathcal{U} = \langle \zeta \rangle$  is the multiplicative group of nth roots of unity.
- $\zeta_n$  is called a primitive nth root of unity if  $\zeta^n = 1$  but  $\zeta^m \neq 1, \forall 0 < m < n$ .
- The nth cyclotomic polynomial is defined as

$$\Phi_n \stackrel{\triangle}{=} \prod_{\gcd(k,n)=1} (x - \zeta_n^k) \implies \deg \Phi_n = \varphi(n)$$

# Prop 5.7.1.

•  $x^n - 1 = \prod_{d|n} \Phi_d$ .

*Proof.* First, Both sides have no multiple root. Then since  $\alpha^n = 1 \iff \operatorname{ord}_{\times}(\alpha) \mid n$ , we know that two sides has equal roots.

•  $\Phi_n \in \mathbb{Z}[x]$ .

*Proof.* By induction on n. n = 1 is trivial. Assume that the statement is true for all k < n, then since

$$x^{n} - 1 = \Phi_{n} \prod_{d|n,d < n} \Phi_{d} \triangleq \Phi_{n} \Phi_{< n}$$

But notice that  $\Phi_{< n}$  is monic, so by the long division algorithm, it is easy to see that  $\Phi_n = (x^n - 1)/\Phi_{< n}$  has all coefficient in  $\mathbb{Z}$ .

•  $\Phi_n$  is irreducible.

*Proof.* Suppose  $\Phi_n = f(x)g(x)$  with f irreducible, and both f, g are monic. By Gauss lemma, we could assume  $f(x), g(x) \in \mathbb{Z}[x]$ . Let  $\zeta_n$  be a primimtive nth root of unity which satisfied  $f(\zeta_n) = 0$  and p be a prime with  $p \nmid n$ .

Assume that  $g(\zeta_n^p) = 0$ ,  $m_{\zeta_n,\mathbb{Q}} = f \implies f \mid g(x^p)$ , say  $g(x^p) = f(x)h(x)$ . By the long division algorithm, we know that  $h(x) \in \mathbb{Z}[x]$ , since  $f(x) \in \mathbb{Z}[x]$  and monic.

In  $\mathbb{Z}/p\mathbb{Z}[x]$ , we have  $\bar{g}(x)^p = \bar{g}(x^p) = \bar{f}(x)\bar{h}(x)$ , which implies  $\bar{g}, \bar{f}$  has common root, thus  $\bar{\Phi}_n = \bar{f}\bar{g}$  and hence  $x^n - \bar{1}$  has a multiple root. But  $(x^n - \bar{1})' = nx^{n-1} \neq 0$ , and 0 is not a root of  $x^n - \bar{1}$ , which leads to an contradiction.

So we conclude that  $f(\zeta_n^p) = 0$  for any  $p \mid n$ , which could be extend and show that  $f(\zeta_n^k) = 0$  for any  $\gcd(k,n) = 1$ , thus  $f = \Phi_n$ .

- $\mathbb{Q}(\zeta_n)/\mathbb{Q}$  is Galois with  $[\mathbb{Q}(\zeta_n):\mathbb{Q}] = \deg \Phi_n = \varphi(n)$ .
- $\operatorname{Gal}(\mathbb{Q}(\zeta_n)/\mathbb{Q}) \cong \mathbb{F}_n^{\times}$ .

Proof. Let  $\sigma_k = (\zeta_n \mapsto \zeta_n^k) \in \operatorname{Gal}(\mathbb{Q}(\zeta_n)/\mathbb{Q})$ . The isomorphism is given by  $\sigma_k \mapsto \bar{k}$ . Clearly, it is a homomorphism since  $\sigma_k \sigma_h = (\zeta_n \mapsto \zeta_n^{kh}) = \sigma_{kh}$ . Also  $\sigma_k = 1 \iff \bar{k} = 1$ . Finally,  $|\operatorname{Gal}(\mathbb{Q}(\zeta_n)/\mathbb{Q})| = |\mathbb{F}_n^{\times}| = \varphi(n)$ , so the map is onto.

• Suppose  $n = p_1^{n_1} p_2^{n_2} \cdots p_k^{n_k}$  with  $p_1, \ldots, p_k$  be distinct primes. Define  $L_i \triangleq \mathbb{Q}(\zeta_{p_i^{n_i}})$ . Obviously,  $L_i \subseteq \mathbb{Q}(\zeta_n)$  hence  $L_1 L_2 \cdots L_k \subseteq \mathbb{Q}(\zeta_n)$ , but  $\zeta_n = \zeta_{p_1^{n_1}} \zeta_{p_2^{n_2}} \cdots \zeta_{p_k^{n_k}}$ , so  $L_1 L_2 \cdots L_k \supseteq \mathbb{Q}(\zeta_n)$ . Thus we have  $L_1 L_2 \cdots L_k = \mathbb{Q}(\zeta_n)$ .

# **Eg 5.7.2.** Let n = p be a prime.

- $\operatorname{Gal}(\mathbb{Q}(\zeta_p)/\mathbb{Q}) = \mathbb{F}_p^{\times} = \mathbb{Z}/(p-1)\mathbb{Z}.$
- For  $H \leq \operatorname{Gal}(\mathbb{Q}(\zeta_p)/\mathbb{Q})$ , we shall find  $\mathbb{Q}(\zeta_p)^H$ . Let  $\alpha = \sum_{\tau \in H} \tau(\zeta_p)$ , then it is easy to see that  $\alpha \in \mathbb{Q}(\zeta_p)^H$ . Also, since  $[\mathbb{Q}(\zeta_p) : \mathbb{Q}] = p 1$ ,  $\zeta_p, \zeta_p^2, \ldots, \zeta_p^{p-1}$  is linear independent, so if some  $\sigma \in G$  satisfy  $\sigma(\alpha) = \alpha$ , then since both  $\sigma(\alpha), \alpha$  are a sum of linear independent elements,  $\sigma$  must send  $\zeta_p$  to an element  $\tau(\zeta_p)$  for some  $\tau \in H$ , then  $\sigma = \tau \implies \sigma \in H$ . Thus  $\mathbb{Q}(\zeta_p)^H = \mathbb{Q}(\alpha)$ .

### Eg 5.7.3.

**Lemma 10.** If  $L_1/K$ ,  $L_2/K$  are Galois, then  $L_1 \cap L_2/K$ ,  $L_1L_2/K$  are Galois and

$$\operatorname{Gal}(L_1L_2/K) \cong \{(\sigma,\tau) \mid \sigma|_{L_1 \cap L_2} = \tau|_{L_1 \cap L_2} \} \leq \operatorname{Gal}(L_1/K) \times \operatorname{Gal}(L_2/K)$$

In particular, if  $L_1 \cap L_2 = K$ , then  $Gal(L_1L_2/K) \cong Gal(L_1/K) \times Gal(L_2/K)$ .

*Proof.* We know that  $L_1 \cap L_2/K$  is finite and separable. Also, for each  $\alpha \in L_1 \cap L_2$ ,  $m_{\alpha,K}$  splits in both  $L_1, L_2$  since they are normal, thus  $m_{\alpha,K}$  splits in  $L_1 \cap L_2$ , hence  $L_1 \cap L_2/K$  is galois.

Similary,  $L_1L_2$  is finite and separable. Let  $L_1$  be the splitting field of  $f_1$ , and  $L_2$  be the splitting field of  $f_2$ , then  $L_1L_2$  is the splitting field of the square-free part of  $f_1f_2$ , hence  $L_1L_2/K$  normal.

Define  $\varphi = \sigma :: \operatorname{Gal}(L_1L_2/K) \mapsto \left(\sigma\big|_{L_1}, \sigma\big|_{L_2}\right) :: \operatorname{Gal}(L_1/K) \times \operatorname{Gal}(L_2/K)$ . Since  $L_1, L_2$  are normal, by proposition 5.5.1,  $\sigma\big|_{L_i}(L_i) = L_i$  so they are well-defined. Also, it is clear that the map is 1-1.

Now we count the number of the pair  $(\sigma|_{L_1}, \sigma|_{L_2})$ , There are  $[L_1:K]$  of  $\tau = \sigma|_{L_1}$ , and fixing one, each  $\sigma|_{L_2}$  is an extension of  $\tau|_{L_1\cap L_2}$ , so there are  $[L_1L_2:L_1]$  of such. On the other hand we have  $|\operatorname{Gal}(L_1L_2/K)| = [L_1L_2:K] = [L_1L_2:L_1][L_1:K] = [L_1:L_1\cap L_2][L_1:K]$ , thus  $\operatorname{Gal}(L_1L_2/K)$  and  $\{(\sigma|_{L_1}, \sigma|_{L_2})\}$  has the same size, and hence the map is onto.

Back to our problem,  $[L_1L_2\cdots L_k:\mathbb{Q}]=[\mathbb{Q}(\zeta_n):\mathbb{Q}]=\varphi(n)=\varphi(p_1^{n_1})\cdots\varphi(p_k^{n_k})=[L_1:\mathbb{Q}][L_2:\mathbb{Q}]\cdots[L_k:\mathbb{Q}]$ , thus

$$\operatorname{Gal}\left(\mathbb{Q}(\zeta_n)/\mathbb{Q}\right) \cong \operatorname{Gal}\left(\mathbb{Q}(\zeta_{p_1^{n_1}})/\mathbb{Q}\right) \times \operatorname{Gal}\left(\mathbb{Q}(\zeta_{p_1^{n_2}})/\mathbb{Q}\right) \times \cdots \times \operatorname{Gal}\left(\mathbb{Q}(\zeta_{p_1^{n_k}})/\mathbb{Q}\right)$$

**Theorem 57.** Let G be a finite abelian group. Then exists a subfield L of a cyclotomic field satisfied  $Gal(L/\mathbb{Q}) \cong G$ .

*Proof.* By the FTFGAG,

$$G \cong \mathbb{Z}/n_1\mathbb{Z} \times \mathbb{Z}/n_2\mathbb{Z} \times \cdots \times \mathbb{Z}/n_k\mathbb{Z}$$

By dirichlet theorem, there are infinitely many prime p such that  $n \mid p-1$ . Let  $p_i$  be a prime such that  $n_i \mid p_i-1$  and  $p_i$  are all distinct. Then G is a subgroup of  $\mathbb{Z}/(p_1-1)\mathbb{Z} \times \cdots \times \mathbb{Z}/(p_k-1)\mathbb{Z} \cong \operatorname{Gal}(\mathbb{Q}(\zeta_n)/\mathbb{Q})$  where  $n = p_1 p_2 \cdots p_k$ .

In this section, we assume that char  $K \nmid n$  and  $\eta$  is a primitive nth root of unity.

#### Def 92.

- L/K is called a kummer extension of exponent n if  $\zeta \in K$  and L is a splitting field of  $(x^n a_1)(x^n a_2) \dots (x^n a_k)$  over K.
- Let  $|G| < \infty$ , the exponent e(G) of G is the least positive integer m satisfied  $g^m = 1$  for any  $g \in G$ .

**Theorem 58.** Let L be a splitting field of  $x^n - a$  over K, then  $Gal(L/K(\zeta))$  is cyclic of degree dividing n. More over  $x^n - a$  is irreducible over  $K(\zeta) \iff [L:K(\zeta)] = n$ .

*Proof.* If  $\alpha$  is a root of  $x^n - a = 0$ , then  $\alpha, \alpha\zeta, \dots, \alpha\zeta^{n-1}$  are roots of  $x^n - a$ , so  $L = K(\alpha, \zeta) = K(\zeta)(\alpha)$ .

Consider  $\varphi = (\alpha \mapsto \alpha \zeta^k)$  ::  $Gal(L/K(\zeta)) \mapsto \bar{k}$  ::  $\mathbb{Z}/n\mathbb{Z}$ . Then it is easy to see that  $\varphi$  is a homomorphism. Also, if  $\varphi(\sigma) = 0$ ,  $\sigma = (\alpha \mapsto \alpha) = id$ . Thus  $\varphi$  1-1 and  $Gal(L/K(\zeta)) \hookrightarrow \mathbb{Z}/n\mathbb{Z}$ .  $\square$ 

**Def 93.** L/K is called a cyclic extension if L/K is Galois and Gal(L/K) is cyclic.

**Theorem 59.** If L/K is a cyclic extension of degree n and  $\zeta \in K$ , then L is a splitting field of some irreducible polynomial  $x^n - a$  over K.

*Proof.* Recall a result proved in HW problem: Distinct automorphisms of L are linearly independent over L.

Let  $Gal(L/K) = \langle \sigma \rangle$  with  $ord(\sigma) = n$ . Then

$$\operatorname{id}_L + \zeta \sigma + \zeta^2 \sigma^2 + \dots + \zeta^{n-1} \sigma^{n-1} \neq 0 \implies \exists c \in L, \text{ s.t. } \alpha = c + \zeta \sigma(c) + \zeta^2 \sigma^2(c) + \dots + \zeta^{n-1} \sigma^{n-1}(c) \neq 0$$

Observe that  $\sigma(\alpha) = \zeta^{-1}\alpha$ , so  $\alpha \notin K$ . Also  $\sigma(\alpha^n) = \sigma(\alpha)^n = \zeta^{-n}\alpha^n = \alpha^n$ , so  $\alpha^n$  is fixed by all automorphisms in  $\operatorname{Gal}(L/K)$ , thus  $\alpha_n \in K$ , and hence  $K(\alpha)$  is a splitting field of  $x^n - a$  over K.

Now  $\sigma(\alpha) = \zeta^{-1}\alpha \in K(\alpha)$ , so  $\sigma|_{K(\alpha)} \in \operatorname{Gal}(K(\alpha)/K)$ . Also  $\sigma^k(\alpha) = \eta^{-k}\alpha \implies \operatorname{ord}(\sigma) = n$ . Thus

$$n = [L:K] \ge [K(\alpha):K] = \operatorname{Gal}(K(\alpha)/K) \ge n \implies L = K(\alpha)$$

**Theorem 60.** If L/K is Galois which satisfied Gal(L/K) is abelian of exponent n and  $\zeta_n \in K$ , then L/K is a Kummer extension.

*Proof.* By induction on [L:K]. If [L:K]=1 then L=K and is trivial.

Assume [L:K] > 1, then by FTFGAG,  $G \triangleq \operatorname{Gal}(L/K) \cong \mathbb{Z}/d_1\mathbb{Z} \times \mathbb{Z}/d_2\mathbb{Z} \times \cdots \times \mathbb{Z}/d_s\mathbb{Z}$  with  $d_i \mid d_{i+1}$ . If s = 1 then the theorem degenerates to theorem 59.

So assume s > 1. Let  $H = \mathbb{Z}/d_1\mathbb{Z} \times \cdots \times \mathbb{Z}/d_{s-1}\mathbb{Z}$ ,  $N = \mathbb{Z}/d_s\mathbb{Z}$  be the corresponding subgroup in  $\operatorname{Gal}(L/K)$ . Set  $M = L^N$ , we have  $[M:K] \leq [L:K]$ . Since any subgroup of abelian group is normal, we have  $\operatorname{Gal}(M/K) \cong \operatorname{Gal}(L/K)/\operatorname{Gal}(L/M) = G/N = H$ .

Denote  $m = d_{s-1}, n = d_s$ , we have  $m \mid n$ . Then  $\zeta_n \in K \implies \zeta_m = \zeta_n^{n/m} \in K$ , thus we could pass down the induction, and assume M is a kummer extension which is a splitting field of  $g = (x^m - b_1)(x^m - b_2) \cdots (x^m - b_{k-1})$  over K with each  $b_i \in K$ . Let  $\alpha_1, \ldots, \alpha_{k-1}$  be all the roots of g, then  $\alpha_i$  is also a root of  $(x^n - b_1^{n/m})$ . Thus if we define  $a_i \triangleq b_i^{n/m}$ , then M is also the splitting field of  $(x^n - a_1)(x^n - a_2) \cdots (x^n - a_{k-1})$  over K since  $\zeta_n \in K$ .

Now, if  $N = \langle \sigma \rangle$ , then  $G \cong H \times N = \{ \sigma^k \tau : 0 \le k < n, \tau \in H \}$ . Since automorphisms are linear independent, exists  $c \in L$  satisfied

$$0 \neq \alpha = \sum_{\tau \in H} \tau(c) + \zeta \sum_{\tau \in H} \sigma \tau(c) + \dots + \zeta^{n-1} \sum_{\tau \in H} \sigma^{n-1} \tau(c)$$

Then  $\sigma(\alpha) = \zeta^{-1}\alpha$ , so  $\alpha \notin M$ . Also  $\sigma(\alpha^n) = \alpha^n$  and  $\tau(\alpha^n) = \tau(\alpha)^n = \alpha^n$ , so  $a_k\alpha^n \in K$ . Thus  $M(\alpha)$  is a splitting field of  $(x^n - a_k)$  over M.

Finally,  $n = [L:M] \ge [M(\alpha):M] = |\operatorname{Gal}(M(\alpha)/M)| \ge n$ , thus  $L = M(\alpha)$ , and hence L is a splitting field of  $(x^n - a_1)(x^n - a_2) \cdots (x^n - a_k)$ .

**Theorem 61.** If L/K is a kummer extension of exponent n, then Gal(L/K) is abelian of exponent dividing n.

Proof. Let L be the splitting field of  $(x^n - a_1)(x^n - a_2) \cdots (x^n - a_k)$  with  $\alpha_i = \sqrt[n]{a_i}$ . If  $\sigma \in \operatorname{Gal}(L/k)$ , then  $\sigma$  sends each  $\alpha_i$  to some  $\zeta^{k_{\sigma,i}}\alpha_i$ . So  $\sigma^n = \alpha_i \mapsto \zeta^{k_{\sigma,i}n}\alpha_i = \alpha_i \mapsto \alpha_i = \operatorname{id}$  and  $\sigma \circ \tau = \alpha_i \mapsto \zeta^{k_{\sigma,i}k_{\tau,i}}\alpha_i = \tau \circ \sigma$ . by the fact that  $\{\alpha_i\}$  is the generating set of L. Hence  $\operatorname{Gal}(L/K)$  is abelian and of exponent dividing n.

#### 5.7.1 Cubic equations

**Lemma 11.** Let char  $K \neq 2$  and  $f(x) \in K[x]$  with deg f = n. Let  $L = K(\alpha_1, \ldots, \alpha_n)$  be a splittig field of L over K.

Define  $\delta = \prod_{1 \leq i < j \leq n} (\alpha_i - \alpha_j)$ , then  $L^{\operatorname{Gal}(L/K) \cap A_n} = K(\delta)$  Here  $\operatorname{Gal}(L/K) \hookrightarrow S_n$ .

*Proof.* Notice that any transposition maps  $\delta$  to  $-\delta$ , so  $\forall \sigma \in \operatorname{Gal}(L/K) \cap A_n$ ,  $\sigma(\delta) = \delta$ , thus  $K(\delta) \subset L^{\operatorname{Gal}(L/K) \cap A_n}$ .

Now,  $|\operatorname{Gal}(L/K)/\operatorname{Gal}(L/K) \cap A_n|$  is either 1 or 2. If it is 1, then  $\operatorname{Gal}(L/K) \leq A_n$ , thus  $\delta \notin K$  and is trivial. So assume it is 2, then  $\delta$  is not fixed by all permutation, thus  $\delta \in K$ . We have  $2 = |\operatorname{Gal}(L/K) \cap A_n/K)| = |L^{\operatorname{Gal}(L/K) \cap A_n} : K| = 2 = |K(\delta) : K|$ , thus  $K(\delta) = L^{\operatorname{Gal}(L/K) \cap A_n}$ .  $\square$ 

**Prop 5.7.2.** Let  $f(x) = x^3 + px + q$  be irreducible in K[x] and L be a splitting field,

- If  $Gal(L/K) \cong S_3$  then  $\sqrt{D} \notin K$ .
- If  $Gal(L/K) \cong A_3$  then  $\sqrt{D} \in K$ .

**Def 94.**  $H \leq S_n$  is said to be transitive if for any i, j, exists  $\sigma \in H$  such that  $\sigma(i) = j$ .

**Fact 5.7.1.** Let f(x) be a separable polynomial with degree n, then

f(x) is irreducible  $\iff$  The Galois group of f is transitive in  $S_n$ 

## 5.8 Solution by radicals

Def 95.

- 1. Given L/K and  $\alpha \in L$ ,  $\alpha$  is called a radical over K if  $\alpha^n \in K$  for some  $n \in \mathbb{N}$ .
- 2. L/K is called an extension by radicals if there exist  $L = L_n \supset L_{n-1} \supset \cdots \supset L_1 \supset L_0 = K$  s.t.  $\forall i = 1, \ldots, n, \quad L_i = L_{i-1}(\alpha_i)$  with  $\alpha_i$  a radical over  $L_{i-1}$ .
- 3.  $f(x) \in K[x]$  is solvable by radicals if there exists L/K, an extension by radicals, s.t. f splits over L.

**Def 96.** (Recall) Let G be a finite group. G is solvable if  $\exists \{1\} = G_m \triangleleft G_{m-1} \triangleleft \cdots \triangleleft G_0 = G$  s.t.  $G_{i-1}/G_i$  is cyclic  $\forall i$ .

**Theorem 62** (Main Theorem). Under some proper assumption on char K, a separable poly.  $f(x) \in K[x]$  is solvable by radicals  $\iff$  the Galois group of f is solvable.

**Lemma 12.** Given a Galois extension L/K and  $M = L(\alpha)$  is an extension by a radical, where  $\alpha^n = a \in L$ . Assume that char  $K \nmid n$ . Then  $\exists N$  s.t. N/M is an extension by radicals and N/K is Galois and N contains  $\zeta_n$ .

*Proof.* We know that  $M(\zeta_n) = L(\zeta_n, \alpha)$  is a splitting field of  $x^n - a$  over L. If we set

$$f(x) = \prod_{\sigma \in Gal(L/K)} (x^n - \sigma(a)),$$

then the coefficients of f(x) are elementary symmetric poly. in  $\{\sigma(a) \mid \sigma \in \operatorname{Gal}(L/K)\}$ , which are fixed by  $\operatorname{Gal}(L/K)$ , so  $f(x) \in K[x]$ .

Let L be a splitting field of g(x) over K. (since L/K is Galois) Choose N as a splitting field of f(x)g(x) over K. By def., N/K is Galois. Let  $L = K(\beta_1, \ldots, \beta_s)$  where  $\beta_1, \ldots, \beta_s$  are the roots of g(x), then

$$N = K(\beta_1, \dots, \beta_s, \zeta_n, \alpha_\sigma : \sigma \in Gal(L/K)), \qquad \alpha_\sigma^n = \sigma(a) \in L$$

 $M = L(\alpha)$ , so  $N = M(\alpha_{\sigma} : \sigma \in Gal(L/K) \setminus \{Id\}) \implies N/M$  is an extension by radicals.

**Lemma 13.** Let  $L = L_m \supset L_{m-1} \supset \cdots \supset L_0 = K$  s.t.  $L_i = L_{i-1}(\alpha_i)$  with  $\alpha^{n_i} = a_i \in L_{i-1}$ . If char  $K \nmid n_1 n_2 \cdots n_m$ , then there exists N/L s.t. N/K is a Galois extension by radicals and  $\zeta_{n_i} \in N \quad \forall i = 1, \ldots, m$ .

*Proof.* By induction on m. For m = 1,  $L_1 \supset L_0 = K$  and  $L_1 = L_0(\alpha_1) = K(\alpha_1)$  where  $\alpha_1^{n_1} \in K$  for some  $n_1 \in \mathbb{N}$ . Set  $N = L(\zeta_n) = K(\zeta_n, \alpha_1)$ , done.

For m > 1, by induction hypothesis,  $\exists N'/L_{m-1}$  s.t. N'/K is Galois extension by radicals and N' contains  $\zeta_{n_i} \quad \forall i = 1, \ldots, m-1$ . By lemma 12,  $\exists N/N(\alpha_m)$  is an extension by radicals s.t. N/K is Galois and N contains  $\zeta_{n_m}$ .

**Theorem 63** (Part A). Let  $L = L_m \supset \cdots \supset L_0 = K$  s.t.  $L_i = L_{i-1}(\alpha_i)$  with  $\alpha^{n_i} = a_i \in L_{i-1}$  and char  $K \nmid n_1 \cdots n_m$ . If a separable poly.  $f(x) \in K[x]$  splits over L, then the Galois group of f over K is solvable.

*Proof.* By lemma 13, we assume that L/K is Galois and so is  $L/L_i$ , i = 1, ..., m. If we set  $n = \text{lcm}(n_1, ..., n_m)$ , then, by lemma 13, L also contains  $\zeta = \zeta_n = \zeta_{n_1}^{r_1} \cdots \zeta_{n_m}^{r_m}$ .

Consider  $L = L(\zeta) \supset L_{m-1}(\zeta) \supset \cdots \supset L_0(\zeta) = K(\zeta)$  (Note that  $K(\zeta) \supset K$  and L/K is Galois) and let  $G_i = \operatorname{Gal}(L/L_i(\zeta))$   $i = 0, \ldots, m$ .

Use  $L'_i$  denotes  $L_i(\zeta)$  for all i. We can find that

- $G_m = \{1\}, G_0 = \text{Gal}(L/K(\zeta)).$
- $G_{i-1}/G_i = \operatorname{Gal}(L/L'_{i-1})/\operatorname{Gal}(L/L'_i) \cong \operatorname{Gal}(L'_{i-1}/L'_i) = \operatorname{Gal}(L'_i(\alpha_i)/L'_i)$  is cyclic.

So  $G_0$  is solvable. Moreoevr,  $K(\zeta)$  is a splitting field of  $x^n-1$  over K and  $\operatorname{Gal}(K(\zeta)/K) \leq (\mathbb{Z}/n\mathbb{Z})^{\times}$ , which is abelian, so it is solvable. Also,  $\operatorname{Gal}(K(\zeta)/K) \cong \operatorname{Gal}(L/K)/G_0$  is solvable.  $\Longrightarrow \operatorname{Gal}(L/K)$  is solvable. Let N be a splitting field of f over  $K \leadsto L \supset N \leadsto \operatorname{Gal}(N/K) \cong \operatorname{Gal}(L/K)/\operatorname{Gal}(L/N)$ .

By prop 5.8.1, Gal(N/K) is solvable.

**Prop 5.8.1.** Let  $H \triangleleft G$ . Then G is solvable  $\iff H, G/H$  are solvable.

*Proof.* " $\Leftarrow$ ": Let  $q: G \to G/H$  be the quotient map, Q = G/H. The solvable series is given by

$$G = q^{-1}(Q) = q^{-1}(Q_0) \triangleright q^{-1}(Q_1) \triangleright \cdots \triangleright q^{-1}(Q_n) = H = H_0 \triangleright H_1 \triangleright \cdots \triangleright H_m = \{1\}$$

"⇒":

 $\underline{\text{Claim:}} \text{ Define } G^{(i)} = [G^{(i-1)}, G^{(i-1)}], \quad i \in \mathbb{N}; G^{(0)} = G. \text{ Then } G \text{ is solvable } \iff G^{(n)} = \{1\} \text{ for some } n.$ 

*Proof.* "⇐": O.K.

"\(\Rightarrow\)": Given 
$$G = G_0 \triangleright G_1 \triangleright \cdots \triangleright G_m = \{1\}$$
 with  $G_{i-1}/G_i$  abelian. We have  $G^{(1)} \leq G_1 \rightsquigarrow G^{(2)} \leq [G_1, G_1] \leq G_2 \rightsquigarrow \cdots \rightsquigarrow G^{(n)} \leq G_n = \{1\} \rightsquigarrow G^{(n)} = \{1\}.$ 

By the claim above:

- $H^{(n)} < G^{(n)} = \{1\} \implies H \text{ is solvable.}$
- $q([G,G]) = [q(G), q(G)] = [G/H, G/H] = (G/H)^{(1)} \leadsto \cdots \leadsto q(G^{(n)}) = (G/H)^{(n)} \Longrightarrow G/H \text{ is solvable.}$

**Theorem 64** (Part B). Let  $f \in K[x]$  be separable and L be a splitting field of f over K. Assume char  $K \nmid |Gal(L/K)|$ . If Gal(L/K) is solvable, then f is solvable by radicals.

*Proof.* Let  $n = |\operatorname{Gal}(L/K)|$  and  $\zeta = \zeta_n$ . Let N be a splitting field of f over  $K(\zeta)$ , i.e.  $N = LK(\zeta)$ .  $\Longrightarrow \operatorname{Gal}(N/K(\zeta)) \cong \operatorname{Gal}(L/L \cap K(\zeta)) \leq \operatorname{Gal}(L/K)$ .

So  $\operatorname{Gal}(N/K(\zeta))$  is solvable, say  $\operatorname{Gal}(N/K(\zeta)) = G_0 \triangleright G_1 \triangleright \cdots \triangleright G_m = 1, G_{i-1}/G_i$  is cyclic.

If we set  $N_j = N^{G_j}$ , then  $N = N_m \supset N_{m-1} \supset \cdots \supset N_0 = K(\zeta)$  and  $G_j = \operatorname{Gal}(N/N_j)$ ,  $G_{i-1}/G_i \cong \operatorname{Gal}(N_i/N_{i-1})$  is cyclic  $\Longrightarrow N_i = N_{i-1}(\alpha_i), \alpha_i^{n_i} \in N_{i-1}$ . (kummer extension)

Note that  $n_i = [L_i : L_{i-1}] = |G_{i-1}|/|G_i|$  dividing  $|G_0|$  and  $|G_0| \mid n$ , so  $\zeta_n$  genates  $\zeta_{n_i}$  and char  $K \nmid n_i$ .

 $\implies N/K(\zeta)$  is an extension by radicals  $\rightsquigarrow N/K$  is an extension by radicals.

**Remark 30.** In Theorem 63,  $\operatorname{Gal}(K(\zeta)/K) \leq (\mathbb{Z}/n\mathbb{Z})^{\times}$  may be proper subgroup. We can check the if  $[K(\zeta):K] \stackrel{?}{=} 4 = \varphi(5)$ .

#### 5.9 Ruffini-Abel theorem

**Theorem 65** (Main theorem). Assume char F=0. The general equation of the n-th degree is not solvable by radicals if  $n \geq 5$ . In fact, let  $f(x) = x^n - t_1 x^{n-1} + t_2 x^{n-2} - \cdots + (-1)^n t_n \in \underbrace{F(t_1,\ldots,t_n)}_{=K}[x]$  with  $t_1,\ldots,t_n$  variables and L be a splitting field of f over K. Then  $\operatorname{Gal}(L/K) \cong S_n$ .  $S_n$  is not solvable for  $n \geq 5$ .

**Lemma 14.** Let  $L = F(x_1, ..., x_n)$  and  $s_1, ..., s_n$  be the elementary symmetric polynomials in  $x_1, ..., x_n$ .

$$s_k = \sum_{1 \le j_1 < \dots < j_k \le n} \prod_{i=1}^k x_{j_i}$$

If  $K = F(s_1, ..., s_n) \subset L$ , then L/K is Galois and  $Gal(L/K) \cong S_n$ .

write  $f(x) = (x - x_1) \cdots (x - x_n) = x^n - s_1 x^{n-1} + s_2 x^{n-2} - \cdots + (-1)^n s_n \in K[x]$ . Clearly, L is a splitting field of f over  $K \rightsquigarrow L/K$  is Galois and  $Gal(L/K) \hookrightarrow S_n$ .

Now, for  $\sigma \in S_n$ ,  $\sigma$  can be regarded as an element in Gal(L/K):

$$\sigma: L \to L$$
$$x_i \mapsto x_{\sigma(i)}$$

Since  $\{\sigma(x_1), \ldots, \sigma(x_n)\} = \{x_1, \ldots, x_n\} \leadsto \sigma(s_i) = s_i \quad \forall i \leadsto \sigma|_K = \mathrm{Id}_K \leadsto \sigma \in \mathrm{Gal}(L/K).$ 

Coro 5.9.1.  $L^{S_n} = K = F(s_1, ..., s_n)$ .  $L^{S_n} = \{ f(x_1, ..., x_n) \in L \mid f(x_{\sigma(1)}, ..., x_{\sigma(n)}) = f(x_1, ..., x_n) \quad \forall \sigma \in S_n \}$  is all symmetric poly.

**Coro 5.9.2.** For any finite group G, by Cayley thm,  $G \hookrightarrow S_n$  for some n. so  $Gal(L/L^G) \cong G$ .

Now we prove the Main theorem:

*Proof.* Let  $L = K(z_1, \ldots, z_n)$ . Since  $t_1, \ldots, t_n$  are the symmetric poly. w.r.t.  $z_1, \ldots, z_n$ ,  $L = F(z_1, \ldots, z_n)$ .

Let  $F(s_1, \ldots, s_n)$  and  $F(x_1, \ldots, x_n)$  be given as in lemma 14.

since  $t_1, \ldots, t_n$  are variables,  $\exists \tau : F[t_1, \ldots, t_n] \twoheadrightarrow F[s_1, \ldots, s_n]$  with  $\tau : t_i \mapsto s_i$ . Also, Since  $x_1, \ldots, x_n$  are variables,  $\exists \sigma : F[x_1, \ldots, x_n] \twoheadrightarrow F[z_1, \ldots, z_n]$  with  $\sigma : x_i \mapsto z_i$ .

now,  $\sigma \circ \tau(t_i) = \sigma(s_i) = \sigma\left(\sum x_{j_1} \cdots x_{j_i}\right) = \left(\sum z_{j_1} \cdots z_{j_i}\right) = t_i \implies \sigma \circ \tau = \text{Id} \implies \tau \text{ is}$ 1-1 and thus an isom. So there exists an extension  $\tau' : F(t_1, \ldots, t_n) \xrightarrow{\sim} F(s_1, \ldots, s_n)$ . Note  $\overline{\tau}' : f(x) \mapsto g(x) = x^n - s_1 x^{n-1} + \cdots + (-1)^n s_n$ .

Let  $F(z_1, \ldots, z_n)$  be a splitting field of f over  $F(t_1, \ldots, t_n)$  and  $F(x_1, \ldots, x_n)$  be a splitting field of g over  $F(s_1, \ldots, s_n)$  where  $g = \bar{\tau}'(f)$ . There exists  $\sigma' : F(z_1, \ldots, z_n) \xrightarrow{\sim} F(x_1, \ldots, x_n)$  with  $\sigma'|_{F(t_1, \ldots, t_n)} = \tau'$ . So  $\operatorname{Gal}(L/K) \cong S_n$  by lemma 14.

#### Remark 31.

- Since  $S_n$  is transitive, f is irr.
- $\operatorname{char} F = 0 \leadsto f$  is separable.

#### 5.10 Transcendental extensions

**Def 97.** Let L/K be an extension and  $S \subset L$ .

- S is algebraically dependent over K if for some  $n \in \mathbb{N}$ , exists  $f(x_1, \ldots, x_n) \in K[x_1, \ldots, x_n]$  satisfied  $f(a_1, \ldots, a_n) = 0$  for some distinct  $a_1, \ldots, a_n \in S$ .
- S is algebraically independent over K if S is not algebraically dependent.
- S is called a transcendence base for L/K if S is algebraically independent and L/K(S) is algebraic.

**Theorem 66.** Any two transcendence bases for L/K have the same cardinality.

*Proof.* Pick any transcendence base  $S = \{s_1, \ldots, s_n\}$  for L/K. Let T be another transcendence base for L/K.

First we deal with the case which S is finite.

We claim that  $\exists t_1 \in T$  such that  $t_1$  is algebraically independent over  $K(s_2, \ldots, s_n)$ .

*Proof.* If not, then all elements of T is algebraic dependent over  $K(s_2, \ldots, s_n)$ . This implies  $K(s_2, \ldots, s_n)(T)/K(s_2, \ldots, s_n)$  is algebraic. And L/K(T) is algebraic implies  $L/K(T)(s_2, \ldots, s_n)$  is algebraic. Then  $L/K(s_2, \ldots, s_n)$  is algebraic, which is a contradiction  $(s_1 \text{ is not})$ .

By the claim,  $\{t_1, s_2, \ldots, s_n\}$  is algebraic depedent. Also, there exists  $f \neq 0$  in  $K[x_1, \ldots, x_{n+1}]$  s.t.  $f(t_1, s_1, \ldots, s_n) = 0$  since  $t_1$  is algebraic over  $K(s_1, \ldots, s_n)$ . Since  $\{s_1, \ldots, s_n\}$  and  $\{t_1, s_2, \ldots, s_n\}$  are both algebraic depedent,  $t_1, s_1$  must occur in  $f \implies s_1$  is algebraic over  $K(t, s_2, \ldots, s_n)$ . Then  $K(t_1, s_1, \ldots, s_n)/K(t_1, s_2, \ldots, s_n)$  is algebraic. Since  $L/K(t_1, s_1, \ldots, s_n)$  is algebraic,  $L/K(t_1, s_2, \ldots, s_n)$  is algebraic.

Repeating this process, we get find  $t_1, \ldots, t_n \in T$  s.t.  $L/K(t_1, \ldots, t_n)$  is algebraic. But T is a transcendence base, so we must have  $T = \{t_1, \ldots, t_n\}$ .

Now assume S is infinite. For another transcendence base T, we have  $|T| = \infty$ . For  $s \in S$ , s is algebraic over K(T), and in fact is over  $K(T_s)$  such that  $T_s$  is finite, since algebraic relation involves. Let  $m_{s,K(T)} \in K(T_s)[x]$  for some finite set  $T_s \subset T$ . We claim that  $\bigcup_{s \in S} T_s = T$ .

*Proof.* Let  $U = \bigcup_{s \in S} T_s$ . Clearly  $U \subseteq T$ . And by def, K(U)(S)/K(U) is algebraic. Also, L/K(U)(S) is algebraic. So L/K(U) is algebraic  $\implies T = U$  since T is a transcendence base.

By well ordering principle, we can well-order S and denote its 1st element by  $s_1$ . Let

$$\begin{cases} T'_{s_1} = T_{s_1} \\ T'_s = T_s \setminus \bigcup_{l < s} T_l \end{cases} \quad \rightsquigarrow \quad \{T'_s\}_{s \in S} \text{ are mutually disjoint }$$

For all  $T_s'$ , choose a fixed ordering of the elements in  $T_s'$ , says  $t_{s,1},\ldots,t_{s,k_s}$ . Define an injection  $\varphi:\bigcup_{s\in S}T_s'\to S\times\mathbb{N}$  with  $\varphi:t_{s,i}\mapsto(s,i)$ . So  $|T|=\left|\bigcup_{s\in S}T_s\right|=\leq |S\times\mathbb{N}|=|S||N|=|S|$  since  $|S|=\infty$ .

**Def 98.** Let S be a transcendence base of L/K, then we use tr deg<sub>K</sub> L to denote |S|.

**Remark 32.** If  $S_1, S_2$  are two transcendence base for L/K, then it is **not necessarily true** that  $K(S_1) = K(S_2)$ .

**Def 99.** L/K is called purely transcendental if exists a transcendental base S such that L = K(S).

**Theorem 67** (Lüroth's theorem). If L is purely transcendental of degree 1 over K, then any proper intermediate field E is also purely transcendental of degree 1.

**Lemma 15.** Let L = K(t) with t being transcendental over K and  $u = f(t)/g(t) \in L \setminus K$  with gcd(f(t), g(t)) = 1. Assume  $n = \max(\deg f, \deg g)$ , then L/K(u) is algebraic and [L:K(u)] = n.

Proof. Write

$$f(t) = a_n t^n + \dots + a_1 t + a_0, \quad g(t) = b_n t^n + \dots + b_1 t + b_0$$

(note that either  $a_n \neq 0$  or  $b_n \neq 0$ ) Let  $F(x) = f(x) - ug(x) = -(a_n - ub_n)x^n + \dots + (a_1 - ub_1)x + (a_0 - ub_0)$ . Since  $a_n - ub_n \neq 0$ ,  $F(x) \neq 0$  and  $\deg F(x) > 0$ . By def. of u, we have  $F(t) = 0 \implies t$  is algebraic over K(u) and  $[K(t):K(u)] \leq n$ . Now we prove that F(x) is irreducible over K(u). By Gauss lemma, it suffices to show that F(x) is irreducible in K[u][x] = K[u,x]. Assume that F(x) = p(u,x)q(u,x) with  $\deg_u p = 1$  and  $q \in K[x]$ . Since F(x) = f(x) - ug(x), we have  $q \mid f, q \mid g \implies q \mid \gcd(f,g) = 1 \implies q \in K$ . So [K(t):K(u)] = n.

Now we prove the Lüroth's theorem:

*Proof.* For  $v \in E \setminus K$ , by lemma 15, t is algebraic over  $K(v) \leadsto t$  is algebraic over E.

Let  $m(x) = m_{t,E}$ , then there exists  $\beta(t) \in K(t)$  s.t.  $\beta(t)m(x) = a_n(t)x^n + \cdots + a_1(t)x + a_0(t)$  is primitive in K[t][x] = K[t,x]. Let  $F(t,x) = \beta(t)m(x)$ .

Since t is not algebraic over K, there exists some  $u = \frac{a_i(t)}{a_n(t)} \notin K$ . Write  $u = \frac{f(t)}{g(t)}$  with  $\gcd(f,g) = 1$ . (Note that  $u \in E$ )

By lemma 15,  $[K(t):K(u)]=r\geq n$ . Now we show that  $r\leq n$ , then  $r=n\implies E=K(u)$ .

Let l = f(t)g(x) - g(t)f(x), which is skew-symmetric in t and x. Notice that  $g(t)^{-1}l \in E[x]$  and has t as a zero. So  $m(x) \mid g(t)^{-1}l$  in  $E[x] \implies \beta(t)m(x) \mid \beta(t)g(t)^{-1}l$ . Since  $\beta(t)g(t)^{-1} \in K[t]$ ,  $F(t,x) \mid l$  in K(t)[x]. Since F(t,x) is primitive in K[t][x],  $F(t,x) \mid l$  in K[t][x].

Say l=Fq for some  $q(t,x)\in K[t][x]$ . Note that  $\deg_t l\leq r, \deg_t F\geq r \leadsto \deg_t l=\deg_t F=r, \deg_t q=0$ . So  $q\in K[x]\leadsto q$  is primitive in K[t][x]. By Gauss lemma, F,q are primitive, then l is also primitive in K[t][x]. Since l is skew-symmetric in t and t, t is also primitive in t in t and t is also primitive in t and t and t and t is also primitive in t and t

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