Algebra

June 14, 2017

# 1 Introduction to Homological Algebra

# 1.1 Projective, Injective and Flat modules (week 14)

#### Def 1.

- $M \in \mathbf{Mod}_R$  is **projective** if  $\mathrm{Hom}(M,\cdot)$  preserves the *right* exactness.
- $N \in \mathbf{Mod}_R$  is **injective** if  $\mathrm{Hom}(\cdot, N)$  preserves the *right* exactness.
- $M \in \mathbf{Mod}_R$  is flat if  $M \otimes \cdot$  preserves the *left* exactness.

# Fact 1.1.1.

 $\bullet \ \ M \ \text{is projective} \iff \underbrace{\begin{array}{c} \exists \ \tilde{f} \\ \downarrow f \\ M_2 \longrightarrow M_3 \longrightarrow 0 \\ 0 \longrightarrow M_1 \longrightarrow M_2 \\ \\ \bullet \ \ N \ \text{is injective} \iff \underbrace{\begin{array}{c} M \\ M_2 \longrightarrow M_3 \longrightarrow 0 \\ 0 \longrightarrow M_1 \longrightarrow M_2 \\ \\ g \downarrow \\ N \end{array}}_{\sharp \ \tilde{g}}$ 

• free  $\Longrightarrow$  projective: If  $X = \{x_i \mid i \in \Lambda\}$  and  $f: x_i \mapsto a_i$ . Since  $\beta$  onto, exists  $b_i$  so that  $\beta(b_i) = a_i$ . we can then set  $\tilde{f}: x_i \mapsto b_i$  by the universal property of free module.

$$F(X)$$

$$\downarrow^{\beta} \qquad \downarrow^{f}$$

$$M_{2} \xrightarrow{\beta} M_{3} \longrightarrow 0$$

• free  $\Longrightarrow$  flat: Let  $F \cong R^{\oplus \Lambda}$  be a free module, and  $M_1, M_2$  be two modules such that  $0 \to M_1 \to M_2$ . Since  $R \otimes_R M \cong M$ , we have

$$0 \to M_1 \to M_2 \qquad \text{exact}$$

$$\Longrightarrow 0 \to R \otimes M_1 \to R \otimes M_2 \qquad \text{exact}$$

$$\Longrightarrow 0 \to \bigoplus_{i \in \Lambda} R \otimes M_1 \to \bigoplus_{i \in \Lambda} R \otimes M_2 \quad \text{exact}$$

$$\stackrel{(a)}{\Longrightarrow} 0 \to R^{\oplus \Lambda} \otimes M_1 \to R^{\oplus \Lambda} \otimes M_2 \qquad \text{exact}$$

$$\Longrightarrow 0 \to F \otimes M_1 \to F \otimes M_2 \qquad \text{exact}$$

Where (a) is by the fact that  $(A \oplus B) \otimes C \cong (A \otimes C) \oplus (B \otimes C)$ . Thus F flat.

• If S is a multiplication closed set in R with  $1 \in S$ , then

$$0 \to M \to N \to L \to 0 \implies 0 \to M_S \to N_S \to L_S \to 0.$$

We know that  $M_S \cong R_S \otimes_R M$ . So  $R_S$  is a flat R-module. e.g.  $\mathbb{Q}$  is a flat  $\mathbb{Z}$ -module.

For any  $M \in \mathbf{Mod}_R$ , a projective module N such that  $N \to M \to 0$  could be easily found: Simply let N = F, a free module on the generating set of M.

Now we shall ask for any module M, does there exist  $N \in \mathbf{Mod}_R$  such that N is injective and  $0 \to M \to N$ ?

**Theorem 1** (Baer's criterion). N is injective  $\iff \forall I \subset R$ , and a homomorphism f, there exists a homomorphism h such that the following diagram commutes:

$$0 \longrightarrow I \longrightarrow R$$

$$f \downarrow \qquad \qquad \downarrow \\ N$$

*Proof.* " $\Rightarrow$ ": See I as an R module, then it is immediate by the definition of injective module.

"←: Consider the following diagram:

$$0 \longrightarrow M_1 \longrightarrow M_2$$

$$\downarrow^g$$

$$N$$

Let  $S \triangleq \{(M, \rho) \mid M_1 \subseteq M \subseteq M_2 \text{ and } \rho : M \to N \text{ extends } g\} \neq \emptyset \text{ since } (M_1, g) \in S.$ 

By the routinely proof using Zorn's lemma, exists a maximal element  $(M^*, \mu) \in S$ .

We claim that  $M^* = M_2$ . If not, pick  $a \in M_2 \setminus M^*$  and let  $M' \triangleq M^* + Ra \supseteq M^*$ ,  $I \triangleq \{r \in R \mid ra \in M^*\}$ . Define  $f: I \to N$  with  $r \mapsto \mu(ra)$ . Then we have an extension  $h: R \to N$  of f.

Now, let  $\mu': M' \to N = x + ra \mapsto \mu(x) + h(r)$ . We shall prove that this map is well-defined: If  $x_1 + r_1a = x_2 + r_2a$ , then  $(r_1 - r_2)a = x_2 - x_1 \in M \implies r_1 - r_2 \in I$ . So  $h(r_1) - h(r_2) = f(r_1 - r_2) = \mu((r_1 - r_2)a) = \mu(x_2) - \mu(x_1)$ , which prove  $\mu'$  is well defined, and the existence of  $\mu'$  contradicts the fact that  $(M^*, \mu)$  is maximal.

**Def 2.** M is **divisible** if  $\forall x \in M, r \in R \setminus \{0\}$ , there exists  $y \in M$  such that x = ry, i.e.  $rM = M \quad \forall r \in R \setminus \{0\}$ .

# Prop 1.1.1.

1. Every injective module N over an integral domain is divisible.

*Proof.* For any  $x_0 \in N$  and  $r_0 \in R \setminus \{0\}$ . Let  $I = \langle r_0 \rangle \subset R$ . As long as R is an integral domain,  $I \cong R$  as an R-module, so the R-module homomorphism  $f: I \to N = rr_0 \mapsto rx_0$  is well-defined. Since N injective, this map extends to  $h: R \to N$ . Let  $y_0 \triangleq h(1)$ , then  $r_0y_0 = r_0h(1) = h(r_0) = x_0$ . Thus N injective.

2. Every divisible module N over an PID is injective.

*Proof.* For any  $I \subseteq R$  and a homomorphism  $f: I \to N$ , if I = 0 then  $h = x \mapsto 0$  is always an extension of f. So assume  $I \neq 0$ . Since R is a PID,  $I = \langle r_0 \rangle$  for some  $r_0 \neq 0 \in R$ . By the fact that N divisible, exists  $y_0 \in N$  such that  $r_0 y_0 = x_0 \triangleq f(r_0)$ .

Now we could define  $h: R \to N$  by  $1 \mapsto y_0$ . Then  $h(r_0) = r_0 h(1) = r_0 y_0 = x_0$ , thus h is an extension of f and N injective.

3. If R is a PID, then any quotient N of a injective R-module M is injective.

*Proof.* By 2., rM = M for any  $r \neq 0$ , thus rN = N for any  $r \neq 0$ , and hence N injective.  $\square$ 

**Theorem 2.** For any  $M \in \mathbf{Mod}_R$ , there exists an injective module N containing M.

Proof.

# Case 1: $R = \mathbb{Z}$ .

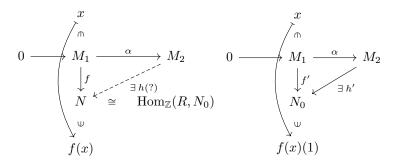
Let  $X = \{x_i\}_{i \in \Lambda}$  be a generating set for M and F is free on X. Let f be the natural map from f to M. then  $M \cong F/\ker f$ .

Define  $F' = \bigoplus_{i \in \lambda} \mathbb{Q}e_i \subset F$ , which is obviously a divisible  $\mathbb{Z}$ -module. Then  $M \subseteq F' / \ker f \triangleq M'$ , where M' is injective by proposition 1.1.1.

### Case 2: R arbitrary.

We can regard any M as a  $\mathbb{Z}$ -module, then there exists an injective module  $N_0 \supset M$ . Now, we have an R-module  $N \triangleq \operatorname{Hom}_{\mathbb{Z}}(R, N_0)$  with multiplication  $rf(x) \triangleq x \mapsto f(xr)$ .

We claim that N is injective. For any  $f:M_1 \to N$ , and a homomorphism  $\alpha:M_1 \to M_2$ , then  $\alpha$  could be take as a  $\mathbb{Z}$ -module homomorphism. Define  $f':M_1 \to N_0$  by  $x \mapsto f(x)(1)$ . Since  $N_0$  injective, exists h', a  $\mathbb{Z}$  module homomorphism from  $M_2$  to  $N_0$ .



Now, define

$$h: M_2 \longrightarrow N$$

$$y \longmapsto h(y): R \longrightarrow N_0$$

$$1 \longmapsto h'(y)$$

$$r \longmapsto h'(ry)$$

We check that h is well-defined.

- $h(y) \in \text{Hom}_{\mathbb{Z}}(R, N_0)$  $h(y)(r_1 + r_2) = h'((r_1 + r_2)y) = h'(r_1y + r_2y) = h'(r_1y) + h'(r_2y) = h(y)(r_1) + h(y)(r_1)$
- $h \in \operatorname{Hom}_R(M_2, N)$

$$h(r_1y_1 + y_2)(r) = h'(r(r_1y_1 + y_2)) = h'(rr_1y_1 + ry_2)$$

$$= h'(rr_1y_1) + h'(ry_2)$$

$$= h(y)(rr_1) + h(y_2)(r)$$

$$= (r_1h(y))(r) + h(y_2)(r)$$

• Show diagram commute  $f = h \circ \alpha$  Fix  $y \in M_1$ , then  $\forall r \in R$ :

$$(h \circ \alpha)(y)(r) = h(\alpha(y))(r) = h'(r\alpha(y))$$
$$= h'(\alpha(ry)) = f'(ry)$$
$$= f(ry)(1) = rf(y)(1)$$
$$= f(y)(r)$$

Thus  $N_0$  injective.

Now notice that,  $\operatorname{Hom}_{\mathbb{Z}}(R,\cdot)$  is a left exact functor, so  $M \hookrightarrow N_0$  implies  $\operatorname{Hom}_{\mathbb{Z}}(R,M) \hookrightarrow \operatorname{Hom}_{\mathbb{Z}}(R,N_0)$ , thus  $M \cong \operatorname{Hom}_R(R,M) \hookrightarrow \operatorname{Hom}_{\mathbb{Z}}(R,M) \hookrightarrow \operatorname{Hom}_{\mathbb{Z}}(R,N_0) = N$ .

# **Prop 1.1.2.** TFAE

- 1. M is projective.
- 2. Every exact sequence  $0 \to M_1 \to M_2 \to M \to 0$  split.

3.  $\exists M'$  s.t.  $M \oplus M' \cong F$ : free.

Proof.

 $(1)\Rightarrow (2)$ : Since M projective, the map  $\lambda$  with  $\beta\circ\lambda=\mathrm{Id}$  exists in the following diagram:

$$M_2 \xrightarrow{\exists \lambda \qquad \qquad \downarrow \text{Id}} M \longrightarrow 0$$

Then  $\lambda$  is a lifting, so  $M_2 \cong M_1 \oplus M$  and  $0 \to M_1 \to M_2 \to M \to 0$  split.

(2)  $\Rightarrow$  (3): Let F be a free module on a generating set of M, and  $\beta$  ::  $F \to M$  be the natural map, then  $0 \to \ker \beta \to F \to M \to 0$  split, so  $F \cong \ker \beta \oplus M$ .

(3)  $\Rightarrow$  (1): For any  $M_2 \to M_3 \to 0$ , since  $M' \oplus M$  free and thus projective,  $\lambda'$  exists in the following diagram:

$$0 \longrightarrow M' \longrightarrow M' \oplus M \xrightarrow{\mu} M \longrightarrow 0$$

$$\downarrow^{\exists \lambda'} \qquad \downarrow^{f}$$

$$M_{2} \xrightarrow{\beta} M_{3} \longrightarrow 0$$

Define  $\lambda = \lambda' \circ \mu$ . Then  $\beta \circ \lambda = \beta \circ \lambda' \circ \mu = f \circ \pi \circ \mu = f$ .

# **Prop 1.1.3.** TFAE

- 1. M is injective.
- 2. Each exact sequence  $0 \to M \to M_2 \to M_3 \to 0$  split.

*Proof.* (1)  $\Rightarrow$  (2): Similar to the projective case,  $\mu$  exists in the following diagram:

$$0 \longrightarrow M \xrightarrow{\alpha} M_2$$
 
$$\downarrow^{\operatorname{Id}}_{\mathbb{K}} \exists \mu$$
 
$$M$$

So  $M_2 = M \oplus M_3$ .

 $(2) \Rightarrow (1)$ : By theorem 2, there is a module  $N \subset M$  so that N is injective.

Consider  $0 \longrightarrow M \xleftarrow{i}_{\exists \mu} N \longrightarrow \operatorname{coker} i \longrightarrow 0$  split exact and  $\mu \circ i = \operatorname{Id}_M$ . Since N injective, h' exists in the following diagram:

$$0 \longrightarrow M_1 \stackrel{\alpha}{\longrightarrow} M_2$$

$$\downarrow f$$

Let  $h = \mu \circ h'$ , then  $h \circ \alpha = \mu \circ h' \circ \alpha = \mu \circ i \circ f = f$ .

**Prop 1.1.4.** projective  $\implies$  flat.

*Proof.* Observe that  $\bigoplus_{i \in \Lambda} M_i$  is flat if and only if  $M_i$  is flat for each i, since if  $0 \to N_1 \xrightarrow{\alpha} N_2$  exact, then

$$0 \longrightarrow (\bigoplus M_i) \otimes N_1 \xrightarrow{1 \otimes \alpha} (\bigoplus M_i) \otimes N_2$$

$$\parallel \qquad \qquad \parallel$$

$$0 \longrightarrow \bigoplus (M_i \otimes N_1) \xrightarrow{\oplus (1 \otimes \alpha)} \bigoplus (M_i \otimes N_2)$$

$$\downarrow \qquad \qquad \downarrow$$

$$0 \longrightarrow M_i \otimes N_1 \xrightarrow{1 \otimes \alpha} M_i \otimes N_2 \qquad \forall i \in \Lambda$$

If M is projective, then by proposition 1.1.2  $\exists M'$  such that  $M \oplus M' \cong F$  is free. Since free implies flat, by above, M is flat.

### Def 3.

• A chain complex  $C_{\bullet}$  of R-modules is a sequence and maps:

$$C_{\bullet}: \cdots \to C_{n+1} \xrightarrow{d_{n+1}} C_n \xrightarrow{d_n} C_{n-1} \to \cdots \to C_1 \xrightarrow{d_1} C_0 \to 0$$

with  $d_n \circ d_{n+1} = 0$ ,  $\forall n$ . (i.e.  $\operatorname{Im} d_{n+1} \subseteq \ker d_n$ )

Then define

- $-Z_n(C_{\bullet}) \triangleq \ker d_n$  is the *n*-cycle.
- $-B_n(C_{\bullet}) \triangleq \operatorname{Im} d_{n+1}$  is the *n*-boundary.
- $-H_n(C_{\bullet}) \triangleq Z_n(C_{\bullet})/B_n(C_{\bullet})$  is called the *n*-th homology.
- A cochain complex  $C^{\bullet}$  of R-modules is a sequence and maps:

$$C^{\bullet}: 0 \to C^0 \xrightarrow{d^1} C^1 \to \cdots \to C^{n-1} \xrightarrow{d^n} C^n \xrightarrow{d^{n+1}} C^{n+1} \to \cdots$$

with  $d^{n+1} \circ d^n = 0$ ,  $\forall n$ . (i.e. Im  $d^n \subseteq \ker d^{n+1}$ )

Then define

- $-Z^n(C^{\bullet}) \triangleq \ker d^{n+1}$  is the *n*-cocycle.
- $-B^n(C^{\bullet}) \triangleq \operatorname{Im} d^n$  is the *n*-coboundary.
- $-H^n(C^{\bullet}) \triangleq Z^n(C^{\bullet})/B^n(C^{\bullet})$  is called the *n*-th cohomology.
- $\varphi: C_{\bullet} \to \tilde{C}_{\bullet}$  is a chain map if the following diagram commutes:

$$\cdots \longrightarrow C_{n+1} \xrightarrow{d_{n+1}} C_n \xrightarrow{d_n} C_{n-1} \longrightarrow \cdots$$

$$\downarrow^{\varphi_{n+1}} \qquad \downarrow^{\varphi_n} \qquad \downarrow^{\varphi_{n-1}}$$

$$\cdots \longrightarrow \tilde{C}_{n+1} \xrightarrow{\tilde{d}_{n+1}} \tilde{C}_n \xrightarrow{\tilde{d}_n} \tilde{C}_{n-1} \longrightarrow \cdots$$

Observe that  $\varphi_n(\ker d_n) \subseteq \ker \tilde{d}_n$  and  $\varphi_n(\operatorname{Im} d_{n+1}) \subseteq \operatorname{Im} \tilde{d}_{n+1}$ . This will induce the following maps:

$$\varphi_*: H_n(C_{\bullet}) \to H_n(\tilde{C}_{\bullet})$$
  
 $x + B_n(C_{\bullet}) \mapsto \varphi_n(x) + B_n(\tilde{C}_{\bullet})$ 

•  $f: C_{\bullet} \to \tilde{C}_{\bullet}$  is null homotopic if  $\exists s_n: C_n \to \tilde{C}_{n+1}$  s.t.  $f_n = \tilde{d}_{n+1} \circ s_n + s_{n-1} \circ d_n, \quad \forall n$ .

$$\cdots \longrightarrow C_{n+1} \xrightarrow{d_{n+1}} C_n \xrightarrow{d_n} C_{n-1} \longrightarrow \cdots$$

$$\downarrow^{f_{n+1}} \underset{s_n}{\downarrow^{f_n}} \downarrow^{f_n} \downarrow^{f_{n-1}} \downarrow^{f_{n-1}}$$

$$\cdots \longrightarrow \tilde{C}_{n+1} \xrightarrow{d_{n+1}} \tilde{C}_n \xrightarrow{d_n} \tilde{C}_{n-1} \longrightarrow \cdots$$

**Prop 1.1.5.** If f is null homotopic, then  $f_* = 0$ .

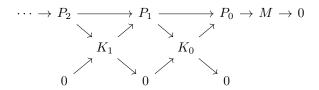
Proof. 
$$f_*(x) = \tilde{d}_{n+1}s_n(x) + s_{n-1}d_n(x) = \tilde{d}_{n+1}s_n(x) \in B_n(\tilde{C}_{\bullet}) \implies f_*(\bar{x}) = 0.$$

- Two chain map  $f, g: C_{\bullet} \to \tilde{C}_{\bullet}$  are homotopic if f-g is null homotopic.  $(f_* = g_*)$
- Let  $M \in \mathbf{Mod}_R$ . A projection resolution of M is an exact sequence:

$$\cdots \to P_2 \xrightarrow{d_2} P_1 \xrightarrow{d_1} P_0 \xrightarrow{\alpha} M \to 0$$

where  $P_i$  is projective for all i.

For any M, projection resolution always exists. Let  $P_0$  be a free module on the generators of M. We get  $P_0 \xrightarrow{\alpha} M \to 0$ . Similarly, let  $P_1$  be free on  $\ker \alpha$ , then we could extend the map to  $P_1 \to P_0 \to M \to 0$ . Continue the process we would get a diagram as below, where  $K_i$  are the kernels:



**Theorem 3** (Comparison theorem). Given two chain as following:

$$\cdots \longrightarrow P_2 \xrightarrow{d_2} P_1 \xrightarrow{d_1} P_0 \xrightarrow{\alpha} M \longrightarrow 0 \qquad \text{(projective resolution)}$$

$$\downarrow \exists f_2 \qquad \downarrow \exists f_1 \qquad \downarrow \exists f_0 \qquad \downarrow f$$

$$\cdots \longrightarrow \tilde{C}_2 \xrightarrow{d'_2} \tilde{C}_1 \xrightarrow{d'_1} \tilde{C}_0 \xrightarrow{\alpha'} N \longrightarrow 0 \qquad \text{(exact sequence)}$$

Then  $\exists f_i : P_i \to C_i$  s.t.  $\{f_i\}$  forms a chain map making the completed diagram commutes. And any two such chain maps are homotopic.

*Proof.* Using induction on n.

For n = 0, the existence of  $f_0$  is guaranteed by the definition of projective module.

$$P_0$$

$$\downarrow^{f \circ \alpha}$$

$$C_0 \longrightarrow N \longrightarrow 0$$

For n > 0, we claim that  $f_{n-1}d_n(P_n) \subseteq \operatorname{Im} d'_n$ , since  $d'_{n-1}f_{n-1}d_n(x) = f_{n-2}d_{n-1}d_n(x) = 0$  and by the fact that C is exact,  $f_{n-1}d_n(x) \in \ker d'_{n-1} = \operatorname{Im} d'_n$ . So using the diagram and again by the definition of projective module,  $f_n$  exists.

$$\begin{array}{ccc}
P_n \\
\downarrow^{f_{n-1} \circ d_n} \\
C_n & \longrightarrow \operatorname{Im} d'_n & \longrightarrow 0
\end{array}$$

Now, for another chain map  $\{g_i: P_i \to C_i\}$ , we shall construct suitable  $\{s_n\}$  to prove they are homotopic. For  $s_{-1}: M \to C_0$  we could simply pick the zero map. Again, if we could prove that  $g_n - f_n - s_{n-1}d_n \in \text{Im } d'_{n+1} = \ker d'_n$ , then by the definition of projective module, we would obtain  $s_n$  with

$$d_{n+1}s_n = g_n - f_n - s_{n-1}d_n \implies g_n - f_n = d_{n+1}s_n + s_{n-1}d_n$$

immediately. For this we calculate  $d'_n(g_n - f_n - s_{n-1}d_n) = g_{n-1}d_n - f_{n-1}d_n - d'_ns_{n-1}d_n$ . Notice that  $d'_ns_{n-1} = g_{n-1} - f_{n-1} - s_{n-2}d_{n-1}$ , and with  $d_{n-1}d_n = 0$ , we get  $d'_n(g_n - f_n - s_{n-1}d_n) = 0$ .  $\square$ 

**Def 4.** Let  $M \in \mathbf{Mod}_R$  and  $\cdots \to P_2 \xrightarrow{d_2} P_1 \xrightarrow{d_1} P_0 \xrightarrow{\alpha} M \to 0$  be a projective resolution of M. Fix  $N \in \mathbf{Mod}_R$ . Applying  $\mathrm{Hom}_R(\cdot, N)$  will get a complex:

$$0 \to \operatorname{Hom}_R(M,N) \xrightarrow{\bar{\alpha}} \operatorname{Hom}_R(P_0,N) \xrightarrow{\bar{d}_1} \operatorname{Hom}_R(P_1,N) \to \cdots$$

Define

- $\operatorname{Ext}_R^0(M,N) = \ker \bar{d}_1 = \operatorname{Im} \bar{\alpha} \cong \operatorname{Hom}_R(M,N).$
- $\operatorname{Ext}_{R}^{n}(M, N) = H^{n}(\operatorname{Hom}(P_{\bullet}, N)), \quad \forall n \geq 1.$

**Theorem 4** (Indenpedency of the choice of projective resolutions).  $\operatorname{Ext}^n(M,N)$  is independent of the choice of the projective resolution used.

*Proof.* First, consider two projective resolutions of  $M, \tilde{M}$ , and map  $f: M \to \tilde{M}$ , and two liftings  $\{f_i\}, \{g_i\}$ . Use  $\bar{\cdot}$  to denote the natural transformation from  $X \to Y$  to  $\text{Hom}(Y, N) \to \text{Hom}(X, N)$  by  $\bar{f} \triangleq g \mapsto g \circ f$ . Then we shall prove that  $\bar{f_{\bullet}}^* = \bar{g_{\bullet}}^*$ , which is to say  $\bar{f_{\bullet}}^*$  is independent of the lifting used.

By comparison theorem (3),  $\{f_i\}$ ,  $\{g_i\}$  are homotopic, and we could write down the diagram below:

$$\cdots \longrightarrow P_2 \xrightarrow{d_2} P_1 \xrightarrow{d_1} P_0 \xrightarrow{\alpha} M \longrightarrow 0$$

$$\downarrow f_2 \downarrow g_2 \downarrow f_1 \downarrow g_1 \downarrow f_0 \downarrow g_0 \downarrow f$$

$$\cdots \longrightarrow \tilde{P}_2 \xrightarrow{\tilde{d}_2} \tilde{P}_1 \xrightarrow{\tilde{d}_1} \tilde{P}_0 \xrightarrow{\tilde{\alpha}} \tilde{M} \longrightarrow 0$$

Notice that  $\bar{f}$  act linearly, that is,  $f + g = \bar{f} + \bar{g}$ , and  $\bar{f}g = \bar{g}\bar{f}$ . So we have

$$g_n - f_n = s_{n-1}d_n + \tilde{d}_{n+1}s_n \implies \bar{g}_n - \bar{f}_n = \bar{d}_n\bar{s}_{n-1} + \bar{s}_n\bar{d}_{n+1}$$

and  $\bar{f}_n, \bar{g}_n$  are homotopic. Thus by proposition 1.1.5,  $\bar{f}^*_{ullet} = \bar{g}^*_{ullet}$ .

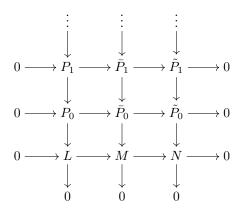
Now, let  $P^{\bullet}, P'^{\bullet}$  be two projective resolution. Consider the diagram:

$$\begin{array}{cccc}
& \cdots & \longrightarrow P_1 & \longrightarrow P_0 & \longrightarrow M & \longrightarrow 0 \\
& & \operatorname{Id} & \downarrow f_1 & \operatorname{Id} & \downarrow f_0 & & \operatorname{Id} & \\
& \cdots & & P'_1 & \longrightarrow P'_0 & \longrightarrow M & \longrightarrow 0 \\
& & \downarrow g_1 & & \downarrow g_0 & & \operatorname{Id} & \\
& \cdots & \longrightarrow P_1 & \longrightarrow P_0 & \longrightarrow M & \longrightarrow 0
\end{array}$$

Then  $g_i \circ f_i$  and Id are two liftings, and thus by previous we have  $\bar{g}_i^* \circ \bar{f}_i^* = \text{Id}^*$ . By symmetry,  $\bar{f}_i^* \circ \bar{g}_i^* = \text{Id}^*$ , which means that the homology calculated using different resolution are isomorphic.

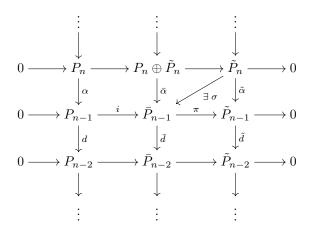
**Theorem 5** (Horseshoe Lemma). Given  $0 \to L \to M \to N \to 0$  and projective resolutions  $P^{\bullet} \to L \to 0$ ,  $\tilde{P}^{\bullet} \to N \to 0$ . Then there is a projective resolution for M such that the following

diagram commutes:



*Proof.* Let  $\bar{P}_n \triangleq P_n \oplus \tilde{P}_n$ .  $\bar{P}_n$  is projective by the fact that sum of projective module are projective. Also  $0 \to P_n \to P_n \oplus \tilde{P}_n \to \tilde{P}_n \to 0$  by injection and projection. It remains to show that the maps in the middle column exists.

By induction on n. Consider the following diagram:



 $\sigma$  exists because  $\tilde{P}_n$  is projective. Define

$$\bar{\alpha}: \qquad P_n \otimes \tilde{P}_n \longrightarrow \bar{P}_{n-1}$$

$$(z,y) \longmapsto i\alpha(z) + \sigma(y)$$

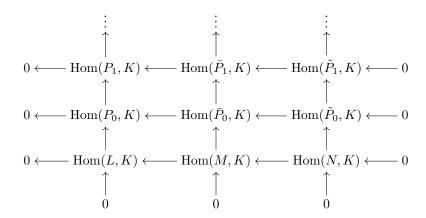
It easy to see that  $\bar{\alpha}$  let the diagram commutes.

For any  $x \in \ker d$ ,  $\tilde{\pi}(x) = 0$ , so  $\pi(x) \in \ker \tilde{d} = \operatorname{Im} \tilde{\alpha}$ , so exists y satisfy  $\pi(x) = \tilde{\alpha}(y)$ . Then  $\tilde{\alpha}(y) = \pi\sigma(y) = \pi(x) \implies x - \sigma(y) \in \ker \pi = \operatorname{Im} i$ . Let z' be the one so that  $i(z') = x - \sigma(y)$ , tracing the diagram again one would find out d(z') = 0, so exists z such that  $\alpha(z) = z'$ , and then  $\bar{\alpha}(z,y) = i\alpha(z) + \sigma(y) = x$ , thus  $\operatorname{Im} \bar{\alpha} = \ker \bar{d}$ .

**Theorem 6** (Long exact sequence for Ext). If  $0 \to L \to M \to N \to 0$  exact, then there is a long exact sequence:

$$0 \to \operatorname{Hom}(N,K) \to \operatorname{Hom}(M,K) \to \operatorname{Hom}(L,K)$$
$$\to \operatorname{Ext}^1(N,K) \to \operatorname{Ext}^1(M,K) \to \operatorname{Ext}^1(L,K) \to \operatorname{Ext}^2(N,K) \to \dots$$

*Proof.* Taking Hom(-, K) in the diagram of Horseshoe' lemma (5), we get



Notice that  $\operatorname{Hom}(M \oplus N, K) \cong \operatorname{Hom}(M, K) \otimes \operatorname{Hom}(N, K)$ , so each row is indeed exact. By exercise 14.7, the long exact sequence in the statement exists.

# 1.2 Ext and Tor (week 15)

Given  $M, N \in \mathbf{Mod}_R$ , there are two ways to define  $\mathrm{Ext}^n(M, N)$ :

Def 5 (Ext functor).

- Find any projective resolution  $P_{\bullet} \xrightarrow{\alpha} M \to 0$ , and let  $P_M : P_{\bullet} \to 0$  (called a deleted resolution). We can define  $\operatorname{Ext}^n_{\operatorname{proj}}(M,N) = H^n(\operatorname{Hom}(P_M,N))$ .
- Find any injective resolution  $0 \xrightarrow{\alpha} N \to E^{\bullet}$ , and let  $E_N : 0 \to E^{\bullet}$ . We can define  $\operatorname{Ext}_{\operatorname{inj}}^n(M,N) = H^n(\operatorname{Hom}(M,E_N))$ .

**Prop 1.2.1.**  $\operatorname{Ext}^0_{\operatorname{proj}}(M,N) \cong \operatorname{Ext}^0_{\operatorname{inj}}(M,N) \cong \operatorname{Hom}(M,N).$ 

Proof.

$$\operatorname{Hom}(P_M,N): 0 \xrightarrow{\overline{d_0}} \operatorname{Hom}(P_0,N) \xrightarrow{\overline{d_1}} \operatorname{Hom}(P_1,N) \to \cdots$$
so  $\operatorname{Ext}^0_{\operatorname{proj}}(M,N) = \ker \overline{d_1} / \operatorname{im} \overline{d_0} = \ker \overline{d_1} = \operatorname{im} \alpha = \operatorname{Hom}(M,N).$ 

Similarly,  $\operatorname{Ext}_{\operatorname{inj}}^0(M, N) = \operatorname{Hom}(M, N)$ .

### Lemma 1.

- If M is projective, then  $\operatorname{Ext}_{\operatorname{proj}}^n(M,N)=0$  for all  $n>0, N\in\operatorname{\mathbf{Mod}}_R.$
- If N is injective, then  $\operatorname{Ext}_{\operatorname{inj}}^n(M,N)=0$  for all  $n>0, M\in\operatorname{\mathbf{Mod}}_R.$

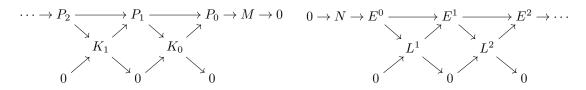
*Proof.* If M is projective, then  $0 \to M \xrightarrow{\mathbf{1}_M} M \to 0$  is a projective resolution of M. Its deleted resolution is then  $P_M: 0 \to M \to 0$ . Hence for n > 0,  $\operatorname{Ext}^n_{\operatorname{proj}}(M, N) = H^n(\operatorname{Hom}(P_M, N)) = 0$ .

The argument applies similarly to injective case.

**Theorem 7** (Equivalence of  $Ext_{proj}$  and  $Ext_{inj}$ ).

$$\operatorname{Ext}^n_{\operatorname{proj}}(M,N) \cong \operatorname{Ext}^n_{\operatorname{inj}}(M,N).$$

*Proof.* Let  $P_{\bullet} \to M \to 0$  and  $0 \to N \to E^{\bullet}$  be projective and injective resolutions, then we have  $0 \to K_0 \to P_0 \to M \to 0$  and  $0 \to N \to E^0 \to L^1 \to 0$  exact.



We can construct long exact sequences of homology of  $\operatorname{Hom}(\cdot, E_N)$ :

$$0 \to \operatorname{Hom}(M,N) \to \operatorname{Hom}(M,E^0) \to \operatorname{Hom}(M,L^1) \to \operatorname{Ext}^1_{\operatorname{inj}}(M,N) \to \operatorname{Ext}^1_{\operatorname{inj}}(M,E^0) = 0$$
 
$$0 \to \operatorname{Hom}(P_0,N) \to \operatorname{Hom}(P_0,E^0) \to \operatorname{Hom}(P_0,L^1) \to 0$$
 
$$0 \to \operatorname{Hom}(K_0,N) \to \operatorname{Hom}(K_0,E^0) \to \operatorname{Hom}(K_0,L^1) \to \operatorname{Ext}^1_{\operatorname{inj}}(K_0,N) \to \operatorname{Ext}^1_{\operatorname{inj}}(K_0,E^0) = 0$$

The second sequence is short because  $P_0$  is projective (so  $\text{Hom}(P_0,\cdot)$  preserves exactness). Similarly, for  $\text{Hom}(P_M,\cdot)$  we have:

$$0 \to \operatorname{Hom}(M,N) \to \operatorname{Hom}(P_0,N) \to \operatorname{Hom}(K_0,N) \to \operatorname{Ext}^1_{\operatorname{proj}}(M,N) \to \operatorname{Ext}^1_{\operatorname{proj}}(P_0,N) = 0$$

$$0 \to \operatorname{Hom}(M, E^0) \to \operatorname{Hom}(P_0, E^0) \to \operatorname{Hom}(K_0, E^0) \to 0$$
$$0 \to \operatorname{Hom}(M, L^1) \to \operatorname{Hom}(P_0, L^1) \to \operatorname{Hom}(K_0, L^1) \to \operatorname{Ext}^1_{\operatorname{proj}}(M, L^1) \to \operatorname{Ext}^1_{\operatorname{proj}}(P_0, L^1) = 0$$

Combining these sequences together, we got the following 2D diagram:

By Snake lemma, there is an exact sequence

$$(\ker \alpha \to) \ker \beta \to \ker \gamma \to \operatorname{coker} \alpha \to \operatorname{coker} \beta (\to \operatorname{coker} \gamma)$$

and this reads

$$\operatorname{Hom}(M, E^0) \xrightarrow{\phi} \operatorname{Hom}(M, L^1) \to \operatorname{Ext}^1_{\operatorname{proj}}(M, N) \to 0$$

Thus  $\operatorname{Ext}^1_{\operatorname{proj}}(M,N) \cong \operatorname{coker} \phi \cong \operatorname{Ext}^1_{\operatorname{inj}}(M,N)$ . (From now on, we don't need to distinguish proj/inj for  $\operatorname{Ext}^1$ !)

Since  $\sigma$  is onto, im  $\gamma = \operatorname{im}(\gamma \circ \sigma)$ . Similarly, im  $\tau = \operatorname{im}(\tau \circ \beta)$ .

By the commutativity of the diagram, im  $\gamma = \text{im } \tau$ , so

$$\operatorname{Ext}^1(K_0, N) \cong \operatorname{coker} \gamma = \operatorname{Hom}(K_0, L^1) / \operatorname{im} \gamma \cong \operatorname{coker} \tau \cong \operatorname{Ext}^1(M, L^1).$$

Write  $K_{-1} := M, L^0 := N$ , then  $\operatorname{Ext}^1(K_0, L^0) = \operatorname{Ext}^1(K_{-1}, L^1)$  (\*).

$$0 \to K_i \to P_i \to K_{i-1} \to 0$$

$$0 \to L^i \to E^i \to L^{i+1} \to 0$$

, we can obtain  $\operatorname{Ext}^1(K_i, L^i) \cong \operatorname{Ext}^1(K_{i-1}, L^{i+1})$  for  $i, j \geq 0$ .

Now, observe that

Similarly, from the exact sequences

$$0 \to L^{n-1} \to E^{n-1} \xrightarrow{d_{n-1}} E^n \xrightarrow{d_n} \cdots$$

is an injective resolution of  $L^{n-1}$ , and  $\operatorname{Ext}^1(M, L^{n-1}) \cong \ker \overline{d_n} / \operatorname{im} \overline{d_{n-1}} \cong \operatorname{Ext}^n_{\operatorname{inj}}(M, N)$ . Similarly, for projective resolution we have  $\operatorname{Ext}^1(K_{n-2}, N) \cong \operatorname{Ext}^n_{\operatorname{proj}}(M, N)$ . Finally, by  $(\star)$ ,

$$\operatorname{Ext}_{\operatorname{inj}}^n(M,N) \cong \operatorname{Ext}^1(K_{-1},L^{n-1}) \cong \operatorname{Ext}^1(K_0,L^{n-2}) \cong \cdots \cong \operatorname{Ext}^1(K_{n-2},L^0) \cong \operatorname{Ext}_{\operatorname{proj}}^n(M,N).$$

**Def 6** (Tor functor). Let  $M, N \in \mathbf{Mod}_R$ , and  $P_{\bullet} \to M \to 0$  be a projective resolution of M, similar to the Ext case, for  $n \geq 0$  we can define

$$\operatorname{Tor}_n(M,N) = H_n(P_M \otimes N).$$

**Fact 1.2.1.** By Horseshoe lemma, short exact sequence  $0 \to M_1 \to M_2 \to M_3 \to 0$  induces a long exact sequence:

$$\cdots \to \operatorname{Tor}_1(M_1,N) \to \operatorname{Tor}_1(M_2,N) \to \operatorname{Tor}_1(M_3,N) \to M_1 \otimes N \to M_2 \otimes N \to M_3 \otimes N \to 0$$

**Prop 1.2.2.** If M is flat, then  $Tor_n(M, N) = 0$  for  $n > 0, N \in \mathbf{Mod}_R$ .

*Proof.* M is flat  $\Longrightarrow M \otimes \cdot$  is an exact functor. If  $Q_{\bullet} \to N \to 0$  is a projective resolution of N, then  $\cdots \to M \otimes Q_1 \to M \otimes Q_0 \to M \otimes N \to 0$  is also exact. By Exercise 15-1, we have

$$\operatorname{Tor}_n(M,N) \cong H_n(M \otimes Q_N) = 0.$$

**Theorem 8** (Tor for flat resolutions). Let  $U_{\bullet} \to M \to 0$  be a flat resolution of M, then for  $n \ge 0$ ,

$$\operatorname{Tor}_n(M,N) \cong H_n(U_M \otimes N).$$

Proof.

$$\cdots \to U_2 \xrightarrow{\qquad} U_1 \xrightarrow{\qquad} U_0 \to M \to 0$$

$$W_1 \xrightarrow{\qquad} W_0$$

$$0$$

$$H_{\bullet}(U_M \otimes N) : \cdots \to U_2 \otimes N \xrightarrow{d_2 \otimes \mathbf{1}} U_1 \otimes N \xrightarrow{d_1 \otimes \mathbf{1}} U_0 \otimes N \to 0$$

• n = 0: Since tensor is right exact,  $U_1 \otimes N \xrightarrow{d_1 \otimes 1} U_0 \otimes N \xrightarrow{\alpha \otimes 1} M \otimes N \to 0$  is exact. Hence

$$H_0(U_M \otimes N) = (U_0 \otimes N) / \operatorname{im}(d_1 \otimes \mathbf{1}) = (U_0 \otimes N) / \ker(\alpha \otimes \mathbf{1}) \cong M \otimes N$$

Any projective resolution also has this property, so  $Tor_0(M, N) = H_0(U_M \otimes N)$ .

• n=1:  $0 \to W_0 \to U_0 \to M \to 0$  induces a long exact sequence:

$$\cdots \to \operatorname{Tor}_1(W_0,N) \to 0 \to \operatorname{Tor}_1(M,N) \to W_0 \otimes N \xrightarrow{i \otimes 1} U_0 \otimes N \to M \otimes N \to 0$$

where  $\operatorname{Tor}_1(U_0, N) = 0$  because  $U_0$  is flat. We can see that  $\operatorname{Tor}_1(M, N) \cong \ker(i \otimes 1)$ .



Since  $\alpha' \otimes 1$  is onto,  $W_0 \otimes N \cong (U_1 \otimes N) / \ker(\alpha' \otimes 1)$ . Also,  $x \in \ker(d_1 \otimes 1) \iff (d_1 \otimes 1)(x) = 0 \iff (\alpha' \otimes 1)(x) \in \ker(i \otimes 1)$ , so  $\ker(i \otimes 1) = (\alpha' \otimes 1)(\ker(d_1 \otimes 1)) \cong \ker(d_1 \otimes 1) / \ker(\alpha' \otimes 1)$ .  $(\alpha' \otimes 1)$  can be considered a quotient map, then  $\ker(d_1 \otimes 1)$  descends to  $\ker(d_1 \otimes 1) / \ker(\alpha' \otimes 1)$ .

Now, in the diagram  $W_1 \otimes N \to U_1 \otimes N \to W_0 \otimes N \to 0$  exact, so  $\ker(\alpha' \otimes 1) = \operatorname{im}(j \otimes 1)$ . But  $\beta' \otimes 1$  is onto, thus  $\operatorname{im}(j \otimes 1) = \operatorname{im}(d_2 \otimes 1)$ .

Finally,

 $\operatorname{Tor}_1(M,N) \cong \ker(i \otimes 1) \cong \ker(d_1 \otimes 1) / \ker(\alpha' \otimes 1) = \ker(d_1 \otimes 1) / \operatorname{im}(d_2 \otimes 1) = H_1(U_M \otimes N).$ 

•  $n \ge 2$ :

Let's see further in the previous long exact sequences:

$$\cdots \to \operatorname{Tor}_2(W_0, N) \to 0 \to \operatorname{Tor}_2(M, N) \xrightarrow{\sim} \operatorname{Tor}_1(W_0, N) \to 0 \to \operatorname{Tor}_1(M, N) \to \cdots$$

we can see that  $\operatorname{Tor}_n(M,N) \cong \operatorname{Tor}_{n-1}(W_0,N)$  for  $n \geq 2$ .

Now,

$$\cdots U_3 \xrightarrow{d_3} U_2 \xrightarrow{d_2} U_1 \to W_0 \to 0$$

is a flat resolution of  $W_0$ , and its homology is  $H_{n-1}(U_{W_0} \otimes N) = \ker(d_n \otimes 1)/\operatorname{im}(d_{n-1} \otimes 1) = H_n(U_M \otimes N)$ .

By induction, assume it's true for n-1, then

$$H_n(U_M \otimes N) = H_{n-1}(U_{W_0} \otimes N) \cong \operatorname{Tor}_{n-1}(W_0, N) \cong \operatorname{Tor}_n(M, N).$$

Eg 1.2.1.  $R = \mathbb{Z}, M = \mathbb{Z}/m\mathbb{Z}$  with  $m \geq 2$ . Then

$$P: 0 \to \mathbb{Z} \xrightarrow{m} \mathbb{Z} \xrightarrow{/m\mathbb{Z}} \mathbb{Z}/m\mathbb{Z} \to 0$$

is a projective resolution of  $\mathbb{Z}/m\mathbb{Z}$ . So for any  $N \in \mathbf{Mod}_{\mathbb{Z}}$ ,

$$H^n(\operatorname{Hom}_{\mathbb{Z}}(P_{\mathbb{Z}/m\mathbb{Z}},N)):0\to\operatorname{Hom}_{\mathbb{Z}}(\mathbb{Z},N)\xrightarrow{\overline{m}}\operatorname{Hom}_{\mathbb{Z}}(\mathbb{Z},N)\to 0,$$

$$\operatorname{Ext}_{\mathbb{Z}}^{0}(\mathbb{Z}/m\mathbb{Z}, N) = \operatorname{Hom}_{\mathbb{Z}}(\mathbb{Z}/m\mathbb{Z}, N) \cong {}_{m}N := \{a \in N \mid ma = 0\}$$

$$\operatorname{Ext}^1_{\mathbb{Z}}(\mathbb{Z}/m\mathbb{Z}, N) \cong N/mN$$

$$\operatorname{Ext}_{\mathbb{Z}}^{n}(\mathbb{Z}/m\mathbb{Z}, N) = 0 \text{ (for } n \geq 2)$$

**Eg 1.2.2.**  $\mathbb{Q} = \mathbb{Z}_{(0)}$  is a localization, thus a flat  $\mathbb{Z}$  module. Then

$$U: 0 \to \mathbb{Z} \xrightarrow{i} \mathbb{O} \to \mathbb{O}/\mathbb{Z} \to 0$$

is a flat resolution of  $\mathbb{Q}/\mathbb{Z}$ . For  $G \in \mathbf{Mod}_{\mathbb{Z}}$  (i.e. an abelian group),

$$H_n(G \otimes U_{\mathbb{Q}/\mathbb{Z}}) : 0 \to G \otimes \mathbb{Z} \xrightarrow{\mathbf{1} \otimes i} G \otimes \mathbb{Q} \to 0$$

$$\operatorname{Tor}_0(G, \mathbb{Q}/\mathbb{Z}) \cong G \otimes \mathbb{Q}/\mathbb{Z}$$

$$\operatorname{Tor}_1(G,\mathbb{Q}/\mathbb{Z}) \ = \ \ker(\mathbf{1}\otimes i) \cong t(G) := \{a \in G \mid ma = 0 \text{ for some } m \in \mathbb{N}\}$$

$$\operatorname{Tor}_n(G, \mathbb{Q}/\mathbb{Z}) = 0 \text{ (for } n \ge 2)$$

**Def 7.** Let M be a left R-module, then define  $M^* := \operatorname{Hom}_{\mathbb{Z}}(M, \mathbb{Q}/\mathbb{Z})$  as a right R-module by

$$fr: M \to \mathbb{Q}/\mathbb{Z}$$
  
 $x \mapsto f(rx)$ 

### Fact 1.2.2.

1.  $\mathbb{Q}/\mathbb{Z}$  is injective.

2. 
$$A = 0 \iff A^* = 0$$
.

3. 
$$B \hookrightarrow C \iff C^* \twoheadrightarrow B^*$$
.

Proof.

1. For  $m \in \mathbb{Z} \setminus \{0\}$ ,  $m(\mathbb{Q}/\mathbb{Z}) = \mathbb{Q}/\mathbb{Z}$  by  $m(\frac{a}{mb} + \mathbb{Z}) \leftarrow \frac{a}{b} + \mathbb{Z}$ , so  $\mathbb{Q}/\mathbb{Z}$  is divisible. But  $\mathbb{Z}$  is a PID, so  $\mathbb{Q}/\mathbb{Z}$  is injective.

2. 
$$(\Rightarrow)$$
  $A^* = \operatorname{Hom}_{\mathbb{Z}}(0, \mathbb{Q}/\mathbb{Z}) = 0$ .

 $(\Leftarrow)$  If  $A \neq 0$ , then  $\exists a \in A, a \neq 0$ , so  $0 \to \mathbb{Z}a \xrightarrow{i} A$  is an inclusion.

Since  $\mathbb{Z}a$  is a cyclic abelian group, there is a nonzero  $g: \mathbb{Z}a \to \mathbb{Q}/\mathbb{Z}$ . (If  $\mathbb{Z}a \cong \mathbb{Z}/m\mathbb{Z}$ , let  $g: a \mapsto \frac{1}{m}$ ; if  $\mathbb{Z}a \cong \mathbb{Z}$ , let  $g: a \mapsto \frac{1}{2}$ .)

But  $\mathbb{Q}/\mathbb{Z}$  is injective, so  $\exists f: A \to \mathbb{Q}/\mathbb{Z}$  (i.e.  $f \in A^*$ ), and  $f \circ i = g \neq 0$  so  $f \neq 0$ , thus  $A^* \neq 0$ .

$$0 \longrightarrow \mathbb{Z}a \xrightarrow{i} A$$

$$\downarrow^g \qquad \exists f$$

$$\mathbb{Q}/\mathbb{Z}$$

3. Since  $\mathbb{Q}/\mathbb{Z}$  is injective,  $\operatorname{Hom}(\cdot,\mathbb{Q}/\mathbb{Z})$  is exact. Let  $0 \to \ker f \to B \xrightarrow{f} C$  exact, applying  $\operatorname{Hom}(\cdot,\mathbb{Q}/\mathbb{Z})$  results in  $C^* \xrightarrow{f^*} B^* \to (\ker f)^* \to 0$  exact. Thus  $\operatorname{coker} f^* = (\ker f)^*$ .

By 2., 
$$B \hookrightarrow C \iff \ker f = 0 \iff (\ker f)^* = 0 \iff \operatorname{coker} f^* = 0 \iff C^* \twoheadrightarrow B^*$$
.

# **Prop 1.2.3.** Let M be an R-module, then TFAE

- 1. M is flat.
- 2.  $M^*$  is injective (as a R-module).
- 3.  $\operatorname{Tor}_1(R/I, M) = 0$  for all ideal  $I \subseteq R$ .
- 4.  $I \otimes_R M \cong IM$  for all ideal  $I \subseteq R$ .

Proof.

• 3.  $\iff$  4.

For any ideal  $I \subseteq R$ ,  $0 \to I \xrightarrow{i} R \xrightarrow{q} R/I \to 0$  is exact. This induces a long exact sequence:

$$\operatorname{Tor}_1(R,M) \to \operatorname{Tor}_1(R/I,M) \to I \otimes_R M \xrightarrow{i \otimes \mathbf{1}} R \otimes_R M \xrightarrow{q \otimes \mathbf{1}} R/I \otimes_R M \to 0$$

- $Tor_1(R, M) = 0$  since R is a flat R-module.
- $-R\otimes_R M\cong M.$
- $-R/I \otimes_R M \cong M/IM$  by  $(r+I) \otimes a \mapsto (ra+IM)$ .

So we have

$$0 \to \operatorname{Tor}_1(R/I, M) \to I \otimes_R M \xrightarrow{i'} M \xrightarrow{q'} M/IM \to 0$$

exact, with  $q': M \to M/IM$  being exactly the quotient map (one can check that  $q \otimes \mathbf{1} \cong q'$ ).

Now it's clear that  $\operatorname{Tor}_1(R/I, M) = 0 \iff I \otimes_R M \cong \ker(q') \cong IM$ .

(The reverse direction requires  $I \otimes_R M \cong IM$  being the natural isomorphism  $r \otimes b \mapsto rb$ , so  $i': IM \to M$  can then be the natural inclusion.)

• 1.  $\iff$  2. Let  $0 \to N' \xrightarrow{f} N$ , then  $\operatorname{Hom}_{R}(N, M^{*}) \xrightarrow{\overline{f}} \operatorname{Hom}_{R}(N', M^{*})$ . By the adjoint relation,

$$\operatorname{Hom}_R(N, M^*) = \operatorname{Hom}_R(N, \operatorname{Hom}_{\mathbb{Z}}(M, \mathbb{Q}/\mathbb{Z})) \cong \operatorname{Hom}_{\mathbb{Z}}(N \otimes_R M, \mathbb{Q}/\mathbb{Z}) = (N \otimes_R M)^*,$$

we have another map  $(N \otimes_R M)^* \xrightarrow{(f \otimes 1)^*} (N' \otimes_R M)^*$  isomorphic to the previous one, with its unstarred map  $N' \otimes_R M \xrightarrow{f \otimes 1} N \otimes_R M$ .

Now,  $M^*$  is injective  $\iff \overline{f}$  is surjective  $\forall N, N' \iff (f \otimes \mathbf{1})^*$  is surjective  $\forall N, N' \iff f \otimes \mathbf{1}$  is injective  $\forall N, N' \iff M$  is flat.

• 2.  $\iff$  4. Similar to the previous section, by Baer's criterion,

$$\begin{array}{ll} M^* \text{ is injective} & \Longleftrightarrow & \operatorname{Hom}_R(R,M^*) \twoheadrightarrow \operatorname{Hom}_R(I,M^*), \forall \ I \subseteq R \\ & \Longleftrightarrow & (R \otimes_R M)^* \twoheadrightarrow (I \otimes_R M)^*, \forall \ I \subseteq R \\ & \Longleftrightarrow & I \otimes_R M \hookrightarrow R \otimes_R M \cong M, \forall \ I \subseteq R \\ & \Longleftrightarrow & I \otimes_R M \cong IM, \forall \ I \subseteq R. \end{array}$$

Similarly, this requires the isomorphism of  $I \otimes_R M \cong IM$  be natural (the following f).

The map  $f: I \otimes_R M \to IM$  is always onto, but may not be 1-1. If it is,  $I \otimes_R M \cong IM$ .

**Prop 1.2.4.** For  $I, J \subseteq R$  being ideals, then  $Tor_1(R/I, R/J) \cong (I \cap J)/IJ$ .

*Proof.*  $0 \to I \xrightarrow{i} R \to R/I \to 0$  induces a long exact sequence

$$0 \to \operatorname{Tor}_1(R/I, R/J) \to I \otimes_R R/J \xrightarrow{i \otimes 1} R \otimes_R R/J \to R/I \otimes_R R/J \to 0,$$

where  $Tor_1(R, R/J) = 0$  since R is flat.

Also  $I \otimes_R R/J \cong I/IJ$ ,  $R \otimes_R R/J \cong R/J$ , so we have  $I/IJ \xrightarrow{i'} R/J$  with  $\operatorname{Tor}_1(R/I, R/J) \cong \ker(i \otimes 1) \cong \ker i'$ .

But  $i': I/IJ \to R/J \atop x+IJ \mapsto x+J$ , so  $\overline{x} \in \ker i' \iff x \in I \text{ and } x \in J \iff x \in I \cap J$ , hence  $\ker i' \cong (I \cap J)/IJ$ .

# 1.3 Koszul complex (week 16)

In this section, we assume that R is commutative with 1.

**Def 8.** Let  $L \in \mathbf{Mod}_R$ , with  $f: L \to R$  an R-linear map, define

$$d_f: \quad \Lambda^n L \quad \to \quad \Lambda^{n-1} L$$
$$x_1 \wedge \dots \wedge x_n \mapsto \sum_{i=1}^n (-1)^{i+1} f(x_i) x_1 \wedge \dots \wedge \hat{x}_i \wedge \dots \wedge x_n$$

where  $\Lambda^n L$  is the *n*-th exterior power of L, and  $\hat{x}_i$  means omitting  $x_i$ .

Then we can define a chain complex called **Koszul complex**:

$$K_{\bullet}(f): \cdots \to \Lambda^n L \xrightarrow{\mathrm{d}_f} \Lambda^{n-1} L \to \cdots \to \Lambda^2 L \xrightarrow{\mathrm{d}_f} L \xrightarrow{f} R$$

Also,  $d_f$  can be considered as a graded R-homomorphism of degree -1:

$$\begin{aligned} \mathrm{d}_f : \; \Lambda L \; &\to \; \quad \Lambda L \\ x \wedge y &\mapsto \mathrm{d}_f(x) \wedge y + (-1)^{\deg x} \cdot x \wedge \mathrm{d}_f(y) \end{aligned}$$

where  $\Lambda L$  is the exterior algebra of L, and x, y are any homogeneous elements of  $\Lambda L$ .

**Def 9.** Let  $(C_{\bullet}, d), (C'_{\bullet}, d')$  be chain complexes of R-modules, define their tensor product to be a chain complex  $C_{\bullet} \otimes C'_{\bullet}$  with

$$(C_{\bullet} \otimes C'_{\bullet})_n = \bigoplus_{i=0}^n (C_i \otimes_R C'_{n-i})$$

with the boundary maps being

$$d \otimes d' : (C_{\bullet} \otimes C'_{\bullet})_{n} \to (C_{\bullet} \otimes C'_{\bullet})_{n-1}$$
$$\sum_{i=0}^{n} x_{i} \otimes y_{n-i} \mapsto \sum_{i=0}^{n} (d(x_{i}) \otimes y_{n-i} + (-1)^{i} \cdot x_{i} \otimes d'(y_{n-i}))$$

One can verify that

$$\begin{split} (d\otimes d')\circ (d\otimes d')(x\otimes y) &= (d\otimes d')(d(x)\otimes y + (-1)^{\deg x}\cdot x\otimes d'(y))\\ &= d\circ d(x)\otimes y + (-1)^{\deg x-1}\cdot d(x)\otimes d'(y)\\ &+ (-1)^{\deg x}\cdot d(x)\otimes d'(y) + x\otimes d'\circ d'(y)\\ &= 0 \end{split}$$

**Prop 1.3.1.** Let  $L_1, L_2 \in \mathbf{Mod}_R, f_1 \in \mathrm{Hom}_R(L_1, R), f_2 \in \mathrm{Hom}_R(L_2, R)$ . Define

$$f = f_1 + f_2 : L_1 \oplus L_2 \to R$$
  
 $(x, y) \mapsto f_1(x) + f_2(y)$ 

then

$$K_{\bullet}(f_1) \otimes K_{\bullet}(f_2) \cong K_{\bullet}(f)$$

$$\bigoplus_{i=0}^{n} (\Lambda^i L_1 \otimes_R \Lambda^{n-i} L_2) \cong \Lambda^n(L_1 \oplus L_2)$$

with  $d_{f_1} \otimes d_{f_2} = d_f$ .

Proof. Exercise 16-1(2).

**Def 10.** Let  $L = \bigoplus_{i=1}^n Re_i$  be a free R-module, and  $\mathbf{x} = (x_1, \dots, x_n)$  with  $x_i \in R$ , define

$$K_{\bullet}(\mathbf{x}) := K_{\bullet}(f), \text{ with } \begin{array}{c} f : L \to R \\ e_i \mapsto x_i. \end{array}$$

Coro 1.3.1.  $K_{\bullet}(\mathbf{x}) \cong K_{\bullet}(x_1) \otimes \cdots \otimes K_{\bullet}(x_n)$  with  $K_{\bullet}(x_i) : 0 \to R \xrightarrow{x_i} R$ .

**Prop 1.3.2.** Let  $x \in R$  and  $(C_{\bullet}, \partial)$  be a chain complex of R-modules, then there exist  $\rho, \pi$  s.t.

$$0 \to C_{\bullet} \xrightarrow{\rho} C_{\bullet} \otimes K_{\bullet}(x) \xrightarrow{\pi} C_{\bullet}(-1) \to 0$$

is exact, where  $(C_{\bullet}(-1))_n = C_{n-1}$ .

*Proof.* Since  $K_{\bullet}(x): 0 \to R \xrightarrow{x} R$ , so

$$(C_{\bullet} \otimes K_{\bullet}(x))_n = (C_i \otimes_R R) \oplus (C_{i-1} \otimes_R R),$$

and the boundary map is

$$d: (C_i \otimes_R R) \oplus (C_{i-1} \otimes_R R) \to (C_{i-1} \otimes_R R) \oplus (C_{i-2} \otimes_R R) (z_1 \otimes r_1, z_2 \otimes r_2) \mapsto (\partial z_1 \otimes r_1 + (-1)^{i-1} z_2 \otimes xr_2, \partial z_2 \otimes r_2).$$

Under the isomorphism  $C_i \otimes_r R \cong C_i$ , the boundary map become

$$d: C_{i} \oplus C_{i-1} \to C_{i-1} \oplus C_{i-2}$$

$$\begin{pmatrix} r_{1}z_{1} \\ r_{2}z_{2} \end{pmatrix} \mapsto \begin{pmatrix} \partial & (-1)^{i-1}x \\ 0 & \partial \end{pmatrix} \begin{pmatrix} r_{1}z_{1} \\ r_{2}z_{2} \end{pmatrix}$$

Let

$$\begin{array}{ccc} \rho_i:C_i\to C_i\oplus C_{i-1} & \text{and} & \pi_i:C_i\oplus C_{i-1}\to C_{i-1}\\ z_1\mapsto & (z_1,0) & (z_1,z_2) \mapsto & z_2 \end{array}$$

then

$$0 \longrightarrow C_{i} \xrightarrow{\rho_{i}} C_{i} \oplus C_{i-1} \xrightarrow{\pi_{i}} C_{i-1} \longrightarrow 0$$

$$\downarrow \partial \qquad \qquad \downarrow d \qquad \qquad \downarrow \partial$$

$$0 \longrightarrow C_{i-1} \xrightarrow{\rho_{i-1}} C_{i-1} \oplus C_{i-2} \xrightarrow{\pi_{i-1}} C_{i-2} \longrightarrow 0$$

commutes and exact:

- $d \circ \rho(z_1) = d(z_1, 0) = (\partial z_1, 0)$
- $\rho \circ \partial(z_1) = \rho(\partial z_1) = (\partial z_1, 0)$
- $\partial \circ \pi(z_1, z_2) = \partial(z_2) = \partial z_2$
- $\pi \circ d(z_1, z_2) = \pi(\partial z_1 + (-1)^{i-1}xz_2, \partial z_2) = \partial z_2$

Coro 1.3.2. This induces a long exact sequence

$$\cdots \to H_i(C_{\bullet}) \xrightarrow{\rho_*} H_i(C_{\bullet} \otimes K_{\bullet}(x)) \xrightarrow{\pi_*} H_i(C_{\bullet}(-1)) \xrightarrow{\pm x} H_{i-1}(C_{\bullet}) \to \cdots$$

*Proof.* We only need to show the connection homomorphism is indeed  $\pm x$ .

Given  $z \in C_{i-1}$  with  $\partial z = 0$ ,

$$z \xrightarrow{\pi^{-1}} (0, z) \xrightarrow{d} \left( (-1)^{i-1} xz, 0 \right) \xrightarrow{\rho^{-1}} (-1)^{i-1} xz.$$

**Def 11.** We call x to be  $C_{\bullet}$ -regular, if x is not a zero divisor of  $C_i$  and  $C_i/xC_i \neq 0$ , for all  $i \geq 0$ .

**Prop 1.3.3.** If x is  $C_{\bullet}$ -regular, then  $H_i(C_{\bullet} \otimes K_{\bullet}(x)) \cong H_i(C_{\bullet}/xC_{\bullet})$  for all  $i \geq 0$ .

Proof. Let

$$\phi_i: C_i \oplus C_{i-1} \to C_i/xC_i$$
$$(z_1, z_2) \mapsto \overline{z_1}$$

then

$$C_{i} \oplus C_{i-1} \xrightarrow{\phi_{i}} C_{i}/xC_{i}$$

$$\downarrow^{d_{i}} \qquad \downarrow^{\overline{\partial}_{i}}$$

$$C_{i-1} \oplus C_{i-2} \xrightarrow{\phi_{i-1}} C_{i-1}/xC_{i-1}$$

commutes.

- $\overline{\partial} \circ \phi_i(z_1, z_2) = \overline{\partial}(z_1) = \overline{\partial}z_1$ .
- $\phi_{i-1} \circ d(z_1, z_2) = \phi_{i-1}(\partial z_1 + (-1)^{i-1}xz_2, \partial z_2) = \overline{\partial z_1}$ , since  $xz_2 \in xC_{i-1}$ .

Now we need to show the induced maps

$$\phi_{*i} : \ker \underline{\mathbf{d}_i / \operatorname{im} \mathbf{d}_{i+1}} \to \ker \overline{\partial}_i / \operatorname{im} \overline{\partial}_{i+1}$$
$$(z_1, z_2) \mapsto \overline{\overline{z_1}} = \overline{z_1} + \operatorname{im} \overline{\partial}_{i+1}$$

are isomorphisms.

• Onto:

For  $\overline{z} \in \ker \overline{\partial}_i$  with  $\partial z = xz' \in xC_{i-1}$ ,  $z' \in C_{i-1}$ . Then  $\phi_i(z, (-1)^i z') = \overline{z}$ , and  $d(z, (-1)^i z') = (\partial z - xz', (-1)^i \partial z') = (0, 0)$ , so  $(z, (-1)^i z') \in \ker d_i$ . (Since  $x\partial z' = \partial(xz') = \partial^2 z = 0$ , and x is not a zero divisor of  $C_i$ , so  $\partial z' = 0$ .)

Now, 
$$\phi_{*i}\left(\overline{(z,(-1)^iz')}\right) = \overline{\overline{z}}$$
, so  $\phi_{*i}$  is onto.

• 1-1:

Let  $(z, z') \in \ker d_i$  with  $\phi_i(z, z') = \overline{z} \in \operatorname{im} \overline{\partial}_{i+1}$ , i.e.  $\overline{z} = \partial \overline{z''}$  with  $z'' \in C_{i+1}$ . This means  $z - \partial z'' = xz'''$  with  $z''' \in C_i$ , so  $\partial (z - \partial z'') = \partial z = x \partial z'''$ .

On the other hand,  $d(z,z')=(\partial z+(-1)^{i-1}xz',\partial z')=(0,0),$  so  $\partial z=(-1)^ixz',\partial z'=0.$ 

So  $d(z'', (-1)^i z''') = (\partial z'' + (-1)^{2i} x z'''), (-1)^i \partial z''') = (z, z')$ , i.e.  $(z, z') \in \text{im } d_{i+1}$ .  $(\partial z = x \partial z''' = (-1)^i x z'$ , since x is not a zero divisor, so  $\partial z''' = (-1)^i z'$ .)

Hence, 
$$\phi_{*i}\left(\overline{(z_1,z_2)}\right) = \overline{0}$$
 implies  $\overline{(z_1,z_2)} = \overline{0}$ , so  $\phi_{*i}$  is 1-1.

**Def 12.** Let  $M \in \mathbf{Mod}_R$ . A sequence  $\{a_1, \dots, a_m\}, m \geq 0$  is said to be M-regular if

- $M/\langle a_1, \cdots, a_m \rangle M \neq 0$ .
- $a_{i+1}$  is not a zero divisor of  $M/\langle a_1, \cdots, a_i \rangle M$  for  $0 \le i \le m-1$ .

**Theorem 9.** If  $\mathbf{x} = (x_1, \dots, x_n)$  is an R-regular sequence, then  $K_{\bullet}(\mathbf{x}) \to R/\langle x_1, \dots, x_n \rangle \to 0$  is a free resolution of  $R/\langle x_1, \dots, x_n \rangle$ .

*Proof.* Since its modules are  $K_i(\mathbf{x}) = \Lambda^i R^n \cong R^{\binom{n}{i}}$ , i.e. free *R*-modules, so we only need to show the exactness.

By induction on n,

• n = 1:  $K_{\bullet}(x_1) : 0 \to R \xrightarrow{x_1} R \to R/\langle x_1 \rangle \to 0$  exact.

• n > 1: Assume that  $\mathbf{x}' = (x_1, \dots, x_{n-1})$  and  $K_{\bullet}(\mathbf{x}') \to R/\langle x_1, \dots, x_{n-1} \rangle \to 0$  exact, i.e.  $H_i(K_{\bullet}(\mathbf{x}')) = 0$  for i > 0.

Since we have  $K_{\bullet}(\mathbf{x}) \cong K_{\bullet}(\mathbf{x}') \otimes K_{\bullet}(x_n)$  and a long exact sequence

$$\cdots \to H_i(K_{\bullet}(\mathbf{x}')) \to H_i(K_{\bullet}(\mathbf{x})) \to H_i(K_{\bullet}(\mathbf{x}')(-1)) \xrightarrow{\pm x_n} H_{i-1}(K_{\bullet}(\mathbf{x})) \to \cdots$$

where  $H_i(K_{\bullet}(\mathbf{x}')(-1)) = H_{i-1}(K_{\bullet}(\mathbf{x}')).$ 

For i > 1, the sequence becomes

$$\cdots \to 0 \to H_i(K_{\bullet}(\mathbf{x})) \to 0 \xrightarrow{\pm x_n} \cdots$$

so  $H_i(K_{\bullet}(\mathbf{x})) = 0$ .

For i = 1, we have  $H_0(K_{\bullet}(\mathbf{x})) \cong R/\langle x_1, \cdots, x_{n-1} \rangle$ , so

$$0 \to H_1(K_{\bullet}(\mathbf{x})) \to R/\langle x_1, \cdots, x_{n-1} \rangle \xrightarrow{\pm x_n} R/\langle x_1, \cdots, x_{n-1} \rangle$$

But  $x_n$  is not a zero divisor of  $R/\langle x_1, \dots, x_{n-1} \rangle$ , so the map  $\pm x_n$  is 1-1, then  $H_1(K_{\bullet}(\mathbf{x})) \cong \ker(\pm x_n) = 0$ .

**Eg 1.3.1.** Let  $\mathbf{x} = (x_1, x_2)$ , then

$$K_{\bullet}(\mathbf{x}): 0 \to R \xrightarrow{\alpha} R^2 \xrightarrow{\beta} R \xrightarrow{q} R/\langle x_1, x_2 \rangle \to 0$$

with  $\alpha: r \mapsto (-x_2r, x_1r)$  and  $\beta: (r_1, r_2) \mapsto x_1r_1 + x_2r_2$ .

**Coro 1.3.3.** Let  $I = \langle x_1, \dots, x_n \rangle \subset R$  be an ideal with  $\{x_1, \dots, x_n\}$  be R-regular, then R/I has projective dimension pd(R/I) = n, i.e. the shortest projective resolution of R/I has length n.

*Proof.*  $K_{\bullet}(\mathbf{x})$  is already a projective resolution of length N, so we only need to show that there's no shorter ones.

The left side of  $K_{\bullet}(\mathbf{x})$  reads

$$0 \to \Lambda^n R^n \xrightarrow{d_n} \Lambda^{n-1} R^n \to \cdots$$

But

$$\Lambda^n R^n = R(e_1 \wedge \dots \wedge e_n) \cong R, \quad \Lambda^{n-1} R^n = \bigoplus_{i=1}^n R(e_1 \wedge \dots \wedge \hat{e_i} \wedge \dots \wedge e^n) \cong R^n$$

so

$$d_n: R \to R^n$$
  
$$r \mapsto (x_1 r, -x_2 r, \cdots, (-1)^{n-1} x_n r)$$

Taking tensor with R/I, we get

$$0 \to R \otimes_R R/I \xrightarrow{d_n \otimes 1} R^n \otimes_R R/I \to \cdots$$

but  $R \otimes_R R/I \cong R/I, R^n \otimes_R R/I \cong (R/I)^n$ , so

$$d_n \otimes \mathbf{1}: R/I \to \underbrace{(R/I)^n}_{\overline{r}} \mapsto (\overline{x_1 r}, \overline{-x_2 r}, \cdots, \overline{(-1)^{n-1} x_n r})$$

Now,

$$\operatorname{Tor}_n(R/I,R/I) = H_n(K_{\bullet}(\mathbf{x}) \otimes R/I) = \ker(d_n \otimes \mathbf{1}) = \operatorname{Ann}_{R/I} I = \{\overline{r} \in R/I \mid rI = I\} = R/I \neq 0.$$

 $(R/I \neq 0 \text{ is because } \{x_1, \dots, x_n\} \text{ is } R\text{-regular.})$  Thus, any projective resolution can't have length shorter than n since that will imply  $\operatorname{Tor}_n(R/I, R/I) = 0$ .

**Remark 1.** Let  $I = \langle x_1, \dots, x_n \rangle$  generated by R-regular sequence  $\{x_1, \dots, x_n\}$ , then

- $\operatorname{Tor}_n(R/I, M) \cong \operatorname{Ann}_M I$ .
- $\operatorname{Ext}^n(R/I, M) \cong M/IM$ .

# 1.4 Derived category

# Def 13.

•  $\mathcal{C}$  is a pre-additive category if  $\operatorname{Hom}_{\mathcal{C}}(X,Y)$  is an abelian group  $\forall X,Y\in\mathcal{C}$  s.t.

$$X \xrightarrow{u} Y \xrightarrow{f} Z \xrightarrow{v} T$$

with (f+g)u = fu + gu and v(f+g) = vf + vg.

- addivitve category: a pre-additive category  $\mathcal C$  s.t.
  - There exists a zero object 0 s.t.  $\forall X$ ,  $\operatorname{Hom}_{\mathcal{C}}(0,X) = \{0\} = \operatorname{Hom}_{\mathcal{C}}(X,0)$ .
  - Finite sum and finite products exist.

# Def 14.

- $f \in \text{Hom}(B,C)$  is called a monomorphism if  $\forall X \xrightarrow{g} B \xrightarrow{f} C$  with  $f \circ g = 0 \implies g = 0$ .
- $f \in \text{Hom}(B,C)$  is called a epimorphism if  $\forall B \xrightarrow{f} C \xrightarrow{h} D$  with  $h \circ f = 0 \implies h = 0$ .
- a kernel of  $f \in \text{Hom}(B,C)$  is a morphism  $i:A \to B$  s.t.  $f \circ i = 0$  and  $\forall g:X \to B$  with  $f \circ g = 0$ , we have

$$A \xrightarrow{i} B \xrightarrow{f} C$$

$$\exists ! \qquad X$$

• a cokernel of  $f \in \text{Hom}(B,C)$  is a morphism  $p:C \to D$  s.t.  $p \circ f = 0$  and  $\forall h:C \to Y$  with  $h \circ f = 0$ , we have

$$B \xrightarrow{f} C \xrightarrow{p} D$$

$$\downarrow h$$

$$\downarrow Y$$

$$\downarrow H$$

# Remark 2.

- If i is a kernel of f, then i is a monomorphism.
- If p is a cokernel of f, then p is a epimorphism.

**Remark 3.** An epimorphism may not be a cokernel. Consider  $\mathbb{Z} \xrightarrow{\times 3} \mathbb{Z}$  which is an epimorphism in the category of f.g. free  $\mathbb{Z}$ -modules. If  $\mathbb{Z} \xrightarrow{\times 3} \mathbb{Z}$  is the cokernel of  $G \xrightarrow{f} \mathbb{Z}$ , then

$$G \xrightarrow{f} \mathbb{Z} \xrightarrow{\times 3} \mathbb{Z}$$

$$\downarrow^{\times 2} \downarrow^{\check{f}}$$

$$\mathbb{Z}$$

$$\mathbb{Z}$$

This implies  $\tilde{f}: 1 \mapsto \frac{2}{3}$ , which is impossible.

**Def 15.**  $\mathcal{A}$  is an abelian category if it is an additive category s.t.

- kernels and cokernels always exist in A.
- every monomorphism is a kernel and every epimorphism is a cokernel.

### **Fact 1.4.1.** If A is an abelian category, then:

• every morphism is expressible as the composite of an epimorphism and a monomorphism. Given  $f: B \to C$ , we have

$$B \xrightarrow{f} C$$

$$\text{Im } f$$

where  $\operatorname{Im} f$  is unique up to isomorphism.

*Proof.* Consider the following diagram:

$$\ker f \xrightarrow{i} B \xrightarrow{f} C \xrightarrow{p} \operatorname{coker} f$$

$$\downarrow p' \qquad \downarrow i'$$

$$\operatorname{coker} i \xrightarrow{\exists !\sigma} \ker p$$

where  $\mu, \nu$  exist because i', p' are kernel and cokernel. Now,  $i'\mu i = fi = 0$ , and since i' is a monomorphism,  $\mu i = 0$ . Moreover, since p' is the cokernel of i, there exists a unique  $\sigma$  letting the diagram commute.

By exercise,  $\sigma$  is both a monomorphism and epimorphism. In an abelian category, this implies that  $\sigma$  is actually an isomorphism (i.e.,  $\sigma^{-1}$  exists).

•  $0 \to A \xrightarrow{f} B \xrightarrow{g} C \to 0$  is exact if f is monomorphism, g is epimorphism and  $\operatorname{Im} f = \ker g$ .

**Theorem 10** (Freyd-Mitchell theorem). A small abelian category is equivalent to a full subcategory of a category of R-modules.

#### Def 16.

- $I \in \text{Obj } A$  is injective if the functor Hom(-, I) is exact.
- An abelian category is said to be **enough injectives** if for any  $A \in \text{Obj } A$ , there exists an injective object I such that  $A \hookrightarrow I$ .

### **Def 17.** Given a functor $F: A \to B$ satisfy:

- 1. F is additive, which is to say F is a group homomorphism  $\operatorname{Hom}(A, A') \to \operatorname{Hom}(FA, FA')$ .
- 2. F is left exact. If  $0 \to A' \to A \to A'' \to 0$ , then  $0 \to FA' \to FA \to FA''$ .

Then the derived functor  $R^iF: \mathcal{A} \to \mathcal{B}$  is defined as

$$R^{i}F(A) = \begin{cases} F(A), & \text{if } i = 0\\ H^{i}(F(I^{\bullet})), & \text{else} \end{cases}$$

Our goal is to construct the derived category  $D^+(A)$  and  $D^+(B)$  letting RF be a exact functor.

# **Def 18.** Let A be an abelian category.

• Kom(A) is the category of complexes over A.

• K(A) is the homotopy category of A, defined by Obj(K(A)) = Obj(Kom(A)) and

$$\operatorname{Hom}_{K(\mathcal{A})}(A^{\bullet}, B^{\bullet}) = \operatorname{Hom}_{\operatorname{Kom}(\mathcal{A})}(A^{\bullet}, B^{\bullet}) / \sim,$$

where  $\sim$  indicates homotopy equivalences.

# Remark 4.

- $\operatorname{Hom}_{K(\mathcal{A})}(I_A^{\bullet}, I_B^{\bullet}) \cong \operatorname{Hom}_{\mathcal{A}}(A, B)$  by comparison theorem (3).
- It could be shown that K(A) is additive but may not be abelian.

**Def 19.**  $f \in \text{Hom}_{K(\mathcal{A})}(A^{\bullet}, B^{\bullet})$  is called a quasi-isomorphism if  $H^n(f)$  is an isomorphism between  $H^n(A^{\bullet})$  and  $H^n(B^{\bullet})$  for each n.

**Eg 1.4.1.** • A quasi-isomorphism is often not invertible. For example:

$$0 \longrightarrow \mathbb{Z} \xrightarrow{\times 2} \mathbb{Z} \longrightarrow 0 \longrightarrow \cdots$$

$$\downarrow \qquad \qquad \downarrow \qquad \qquad \downarrow$$

$$0 \longrightarrow 0 \longrightarrow \mathbb{Z}/2\mathbb{Z} \longrightarrow 0 \longrightarrow \cdots$$

• Given  $0 \to A \to I^{\bullet}$ ,

$$0 \longrightarrow A \longrightarrow 0 \longrightarrow 0 \longrightarrow \cdots$$

$$\downarrow \qquad \qquad \downarrow \qquad \qquad \downarrow$$

$$0 \longrightarrow I^0 \longrightarrow I^1 \longrightarrow I^2 \longrightarrow \cdots$$

are two quasi-isomorphic complexes.

**Def 20.** Let  $\mathcal{B}$  be a category. A class of morphism  $S \subset \operatorname{Mor}(\mathcal{B})$  is said to be **localizing** if

- 1. S is closed under composition with  $\mathrm{Id}_X \in S$  for each object X in  $\mathcal{B}$ .
- 2. Extension condition holds: For each  $f \in \text{Mor } \mathcal{B}$ ,  $s \in S$ , exists  $g \in \text{Mor } \mathcal{B}$ ,  $t \in S$  such that ft = sg. The dual version should hold as well.
- 3. For any  $f, g \in \text{Hom}(X, Y)$ ,

$$\exists s \in S \text{ s.t. } sf = sg \iff \exists t \in S \text{ s.t. } ft = gt.$$

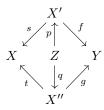
**Theorem 11.** If S is localizing, then exists a category  $\mathcal{B}[S^{-1}]$  with a functor  $Q: \mathcal{B} \to \mathcal{B}[S^{-1}]$  such that

- 1. Q(s) is an isomorphism for each  $s \in S$ .
- 2. Given another functor  $F: B \to B'$  satisfy condition 1, there exists a unique functor  $G: \mathcal{B}[S^{-1}] \to B'$  such that  $F = G \circ Q$ .

*Proof.* Define a roof to be a pair (s, t) with

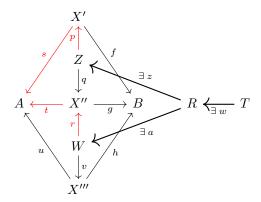
$$X \xrightarrow{S\ni s} X'$$
 $X \qquad Y$ 

Also, define  $(s, f) \sim (t, g)$  if there exists Z such that



with  $sp = tq \in S$  and fp = gq.

First we check that " $\sim$ " is indeed an equivalence relation.  $(s, f) \sim (s, f)$  and  $(s, f) \sim (t, g) \implies (t, g) \sim (s, f)$  are trivial. If  $(s, f) \sim (t, g)$  and  $(t, g) \sim (u, h)$ , then we have the following diagram:



Using definition 2. on  $tr \in S$  and sp, there are morphism z,a with  $z \in S$  and spz = tra. Moreover, tqz = spz = tra, if we let b = qz, c = ra, then by 3., morphism  $w \in S$  exists with bw = cw. Define x = pzw, y = vaw, we have sx = spzw = tqzw = tbw = tcw = traw = uvaw = uy and  $sx \in S$  since sx = spzw and sp, z, w are all in S. Similarly, fx = hy, thus  $(s, f) \sim (u, h)$ . Hence we've just proved that  $\sim$  is an equivalence relation.

Now we could construct the localized category as following: The objects are  $\mathrm{Obj}(\mathcal{B}[S^{-1}]) = \mathcal{B}$  and  $\mathrm{Mor}(\mathcal{B}[S^{-1}]) = \{$  equivalence classes under  $\sim \}$ .  $[(t,g)] \circ [(s,f)] = [(su,gh)]$  could be defined as in the following diagram:



Finally, define functor Q by Q(X) = X,  $\forall X \in \text{Obj}(\mathcal{B})$  and  $Q(f) = [(\text{Id}_X, f)]$ . For the universal property, if F is another functor making every morphism in S be invertible, then the functor G exists uniquely by  $G([(s, f)]) = F(f)F(s)^{-1}$ .

**Def 21.** The mapping cone of a chain map f between two chain  $X^{\bullet} \xrightarrow{f} Y^{\bullet}$  is defined as a chain with cone $(f)^n = X^{n+1} \oplus Y^n$ , and the chain map is defined as

$$d_{\operatorname{cone}(f)}: \qquad \operatorname{cone}(f)^n = X^{n+1} \oplus Y^n \longrightarrow \operatorname{cone}(f)^{n+1} X^{n+2} \oplus Y^{n+1}$$

$$(x_{n+1}, y_n) \xrightarrow{\left(-d_X \quad 0\atop f \quad d_Y\right)} \left(-d_X(x^{n+1}), f(x^{n+1}) + d_Y(y_n)\right)$$

It is easy to see that  $d_{\text{cone}(f)}^2 = 0$ .

**Prop 1.4.1.** Suppose that  $f: X^{\bullet} \to Y^{\bullet}$  is a chain map, then there is a short exact sequence

$$0 \longrightarrow Y \longrightarrow \operatorname{cone}(f) \longrightarrow X[+1] \longrightarrow 0$$
$$d \longmapsto (0,d)$$
$$(c,d) \longmapsto -c$$

*Proof.* It is easy to see that the rows are exact. Tracing the diagram shows that the diagram commutes.  $\Box$ 

**Coro 1.4.1.** There exists a long exact sequence of homology:

$$\cdots \to H^m(Y^{\bullet}) \to H^m(\operatorname{cone}(f)) \to H^{m+1}(X^{\bullet}) \xrightarrow{\delta} H^{m+1}(Y^{\bullet}) \to H^{m+1}(\operatorname{cone}(f)) \to \cdots$$

Where the connecting homomorphism  $\delta = f^*$ .

*Proof.* Tracing the diagram below as in the snake lemma,

$$X^m \oplus Y^{m-1} \longrightarrow X^m \\ \downarrow \qquad \qquad \downarrow \\ Y^m \longrightarrow X^{m+1} \oplus Y^m \longrightarrow X^{m+1}$$

Suppose  $\bar{x} \in H^m(X^{\bullet})$ , then  $d_X(x) = 0$ , so d(-x,0) = (dx, -f(x)) with dx = 0, which implies  $-f(x) :: Y^m \mapsto d(-x,0) :: X^{m+1} \oplus Y^m$ , so  $\delta = -f^*$  (Chu Wen Ti)...

Coro 1.4.2. cone(f) exact  $\iff$  f quasi-isomorphic.

*Proof.* Directly by the exact sequence

$$H^{m-1}(\operatorname{cone}(f)) \to H^m(X^\bullet) \to H^m(Y^\bullet) \to H^m(\operatorname{cone}(f))$$

**Theorem 12.** Let  $\mathcal{A}$  be an abelian category and  $K(\mathcal{A})$  be the homotopy category. Then the class of quasi-isomorphisms are localizing.

*Proof.* We check that:

1. It is closed under composition: If f, g are quasi-isomorphic, then  $(fg)^* = f^*g^*$  is a isomorphism since both  $f^*, g^*$  are, thus fg is quasi-isomorphic.

2. The diagram could be completed:

$$\exists W^{\bullet} \xrightarrow{----} Z^{\bullet}$$

$$\downarrow \qquad \qquad \downarrow g: \text{ q-iso}$$

$$X^{\bullet} \xrightarrow{f} Y^{\bullet}$$