Algebra

June 9, 2017

1 Introduction to Homological Algebra

1.1 Projective, Injective and Flat modules (week 14)

Def 1.

- $M \in \mathbf{Mod}_R$ is **projective** if $\mathrm{Hom}(M,\cdot)$ preserves the *right* exactness.
- $N \in \mathbf{Mod}_R$ is **injective** if $\mathrm{Hom}(\cdot, N)$ preserves the *right* exactness.
- $M \in \mathbf{Mod}_R$ is flat if $M \otimes \cdot$ preserves the *left* exactness.

Fact 1.1.1.

 $\bullet \ \ M \ \text{is projective} \iff \underbrace{\begin{array}{c} \exists \ \tilde{f} \\ \downarrow f \\ M_2 \longrightarrow M_3 \longrightarrow 0 \\ 0 \longrightarrow M_1 \longrightarrow M_2 \\ \\ \bullet \ \ N \ \text{is injective} \iff \underbrace{\begin{array}{c} M \\ M_2 \longrightarrow M_3 \longrightarrow 0 \\ 0 \longrightarrow M_1 \longrightarrow M_2 \\ \\ g \downarrow \\ N \end{array}}_{\sharp \ \tilde{g}}$

• free \Longrightarrow projective: If $X = \{x_i \mid i \in \Lambda\}$ and $f: x_i \mapsto a_i$. Since β onto, exists b_i so that $\beta(b_i) = a_i$. we can then set $\tilde{f}: x_i \mapsto b_i$ by the universal property of free module.

$$F(X)$$

$$\downarrow^{\beta} \qquad \downarrow^{f}$$

$$M_{2} \xrightarrow{\beta} M_{3} \longrightarrow 0$$

• free \Longrightarrow flat: Let $F \cong R^{\oplus \Lambda}$ be a free module, and M_1, M_2 be two modules such that $0 \to M_1 \to M_2$. Since $R \otimes_R M \cong M$, we have

$$0 \to M_1 \to M_2 \qquad \text{exact}$$

$$\Longrightarrow 0 \to R \otimes M_1 \to R \otimes M_2 \qquad \text{exact}$$

$$\Longrightarrow 0 \to \bigoplus_{i \in \Lambda} R \otimes M_1 \to \bigoplus_{i \in \Lambda} R \otimes M_2 \quad \text{exact}$$

$$\stackrel{(a)}{\Longrightarrow} 0 \to R^{\oplus \Lambda} \otimes M_1 \to R^{\oplus \Lambda} \otimes M_2 \qquad \text{exact}$$

$$\Longrightarrow 0 \to F \otimes M_1 \to F \otimes M_2 \qquad \text{exact}$$

Where (a) is by the fact that $(A \oplus B) \otimes C \cong (A \otimes C) \oplus (B \otimes C)$. Thus F flat.

• If S is a multiplication closed set in R with $1 \in S$, then

$$0 \to M \to N \to L \to 0 \implies 0 \to M_S \to N_S \to L_S \to 0.$$

We know that $M_S \cong R_S \otimes_R M$. So R_S is a flat R-module. e.g. \mathbb{Q} is a flat \mathbb{Z} -module.

For any $M \in \mathbf{Mod}_R$, a projective module N such that $N \to M \to 0$ could be easily found: Simply let N = F, a free module on the generating set of M.

Now we shall ask for any module M, does there exist $N \in \mathbf{Mod}_R$ such that N is injective and $0 \to M \to N$?

Theorem 1 (Baer's criterion). N is injective $\iff \forall I \subset R$, and a homomorphism f, there exists a homomorphism h such that the following diagram commutes:

$$0 \longrightarrow I \longrightarrow R$$

$$f \downarrow \qquad \qquad \downarrow \\ N$$

Proof. " \Rightarrow ": See I as an R module, then it is immediate by the definition of injective module.

"←: Consider the following diagram:

$$0 \longrightarrow M_1 \longrightarrow M_2$$

$$\downarrow^g$$

$$N$$

Let $S \triangleq \{(M, \rho) \mid M_1 \subseteq M \subseteq M_2 \text{ and } \rho : M \to N \text{ extends } g\} \neq \emptyset \text{ since } (M_1, g) \in S.$

By the routinely proof using Zorn's lemma, exists a maximal element $(M^*, \mu) \in S$.

We claim that $M^* = M_2$. If not, pick $a \in M_2 \setminus M^*$ and let $M' \triangleq M^* + Ra \supseteq M^*$, $I \triangleq \{r \in R \mid ra \in M^*\}$. Define $f: I \to N$ with $r \mapsto \mu(ra)$. Then we have an extension $h: R \to N$ of f.

Now, let $\mu': M' \to N = x + ra \mapsto \mu(x) + h(r)$. We shall prove that this map is well-defined: If $x_1 + r_1a = x_2 + r_2a$, then $(r_1 - r_2)a = x_2 - x_1 \in M \implies r_1 - r_2 \in I$. So $h(r_1) - h(r_2) = f(r_1 - r_2) = \mu((r_1 - r_2)a) = \mu(x_2) - \mu(x_1)$, which prove μ' is well defined, and the existence of μ' contradicts the fact that (M^*, μ) is maximal.

Def 2. M is **divisible** if $\forall x \in M, r \in R \setminus \{0\}$, there exists $y \in M$ such that x = ry, i.e. $rM = M \quad \forall r \in R \setminus \{0\}$.

Prop 1.1.1.

1. Every injective module N over an integral domain is divisible.

Proof. For any $x_0 \in N$ and $r_0 \in R \setminus \{0\}$. Let $I = \langle r_0 \rangle \subset R$. As long as R is an integral domain, $I \cong R$ as an R-module, so the R-module homomorphism $f: I \to N = rr_0 \mapsto rx_0$ is well-defined. Since N injective, this map extends to $h: R \to N$. Let $y_0 \triangleq h(1)$, then $r_0y_0 = r_0h(1) = h(r_0) = x_0$. Thus N injective.

2. Every divisible module N over an PID is injective.

Proof. For any $I \subseteq R$ and a homomorphism $f: I \to N$, if I = 0 then $h = x \mapsto 0$ is always an extension of f. So assume $I \neq 0$. Since R is a PID, $I = \langle r_0 \rangle$ for some $r_0 \neq 0 \in R$. By the fact that N divisible, exists $y_0 \in N$ such that $r_0 y_0 = x_0 \triangleq f(r_0)$.

Now we could define $h: R \to N$ by $1 \mapsto y_0$. Then $h(r_0) = r_0 h(1) = r_0 y_0 = x_0$, thus h is an extension of f and N injective.

3. If R is a PID, then any quotient N of a injective R-module M is injective.

Proof. By 2., rM = M for any $r \neq 0$, thus rN = N for any $r \neq 0$, and hence N injective. \square

Theorem 2. For any $M \in \mathbf{Mod}_R$, there exists an injective module N containing M.

Proof.

Case 1: $R = \mathbb{Z}$.

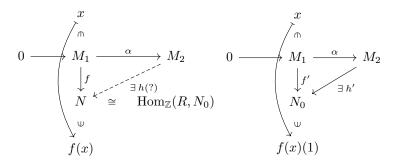
Let $X = \{x_i\}_{i \in \Lambda}$ be a generating set for M and F is free on X. Let f be the natural map from f to M. then $M \cong F/\ker f$.

Define $F' = \bigoplus_{i \in \lambda} \mathbb{Q}e_i \subset F$, which is obviously a divisible \mathbb{Z} -module. Then $M \subseteq F' / \ker f \triangleq M'$, where M' is injective by proposition 1.1.1.

Case 2: R arbitrary.

We can regard any M as a \mathbb{Z} -module, then there exists an injective module $N_0 \supset M$. Now, we have an R-module $N \triangleq \operatorname{Hom}_{\mathbb{Z}}(R, N_0)$ with multiplication $rf(x) \triangleq x \mapsto f(xr)$.

We claim that N is injective. For any $f:M_1 \to N$, and a homomorphism $\alpha:M_1 \to M_2$, then α could be take as a \mathbb{Z} -module homomorphism. Define $f':M_1 \to N_0$ by $x \mapsto f(x)(1)$. Since N_0 injective, exists h', a \mathbb{Z} module homomorphism from M_2 to N_0 .



Now, define

$$h: M_2 \longrightarrow N$$

$$y \longmapsto h(y): R \longrightarrow N_0$$

$$1 \longmapsto h'(y)$$

$$r \longmapsto h'(ry)$$

We check that h is well-defined.

- $h(y) \in \text{Hom}_{\mathbb{Z}}(R, N_0)$ $h(y)(r_1 + r_2) = h'((r_1 + r_2)y) = h'(r_1y + r_2y) = h'(r_1y) + h'(r_2y) = h(y)(r_1) + h(y)(r_1)$
- $h \in \operatorname{Hom}_R(M_2, N)$

$$h(r_1y_1 + y_2)(r) = h'(r(r_1y_1 + y_2)) = h'(rr_1y_1 + ry_2)$$

$$= h'(rr_1y_1) + h'(ry_2)$$

$$= h(y)(rr_1) + h(y_2)(r)$$

$$= (r_1h(y))(r) + h(y_2)(r)$$

• Show diagram commute $f = h \circ \alpha$ Fix $y \in M_1$, then $\forall r \in R$:

$$(h \circ \alpha)(y)(r) = h(\alpha(y))(r) = h'(r\alpha(y))$$
$$= h'(\alpha(ry)) = f'(ry)$$
$$= f(ry)(1) = rf(y)(1)$$
$$= f(y)(r)$$

Thus N_0 injective.

Now notice that, $\operatorname{Hom}_{\mathbb{Z}}(R,\cdot)$ is a left exact functor, so $M \hookrightarrow N_0$ implies $\operatorname{Hom}_{\mathbb{Z}}(R,M) \hookrightarrow \operatorname{Hom}_{\mathbb{Z}}(R,N_0)$, thus $M \cong \operatorname{Hom}_R(R,M) \hookrightarrow \operatorname{Hom}_{\mathbb{Z}}(R,M) \hookrightarrow \operatorname{Hom}_{\mathbb{Z}}(R,N_0) = N$.

Prop 1.1.2. TFAE

- 1. M is projective.
- 2. Every exact sequence $0 \to M_1 \to M_2 \to M \to 0$ split.

3. $\exists M'$ s.t. $M \oplus M' \cong F$: free.

Proof.

 $(1)\Rightarrow (2)$: Since M projective, the map λ with $\beta\circ\lambda=\mathrm{Id}$ exists in the following diagram:

$$M_2 \xrightarrow{\exists \lambda \qquad \qquad \downarrow \text{Id}} M \longrightarrow 0$$

Then λ is a lifting, so $M_2 \cong M_1 \oplus M$ and $0 \to M_1 \to M_2 \to M \to 0$ split.

(2) \Rightarrow (3): Let F be a free module on a generating set of M, and β :: $F \to M$ be the natural map, then $0 \to \ker \beta \to F \to M \to 0$ split, so $F \cong \ker \beta \oplus M$.

(3) \Rightarrow (1): For any $M_2 \to M_3 \to 0$, since $M' \oplus M$ free and thus projective, λ' exists in the following diagram:

$$0 \longrightarrow M' \longrightarrow M' \oplus M \xrightarrow{\mu} M \longrightarrow 0$$

$$\downarrow^{\exists \lambda'} \qquad \downarrow^{f}$$

$$M_{2} \xrightarrow{\beta} M_{3} \longrightarrow 0$$

Define $\lambda = \lambda' \circ \mu$. Then $\beta \circ \lambda = \beta \circ \lambda' \circ \mu = f \circ \pi \circ \mu = f$.

Prop 1.1.3. TFAE

- 1. M is injective.
- 2. Each exact sequence $0 \to M \to M_2 \to M_3 \to 0$ split.

Proof. (1) \Rightarrow (2): Similar to the projective case, μ exists in the following diagram:

$$0 \longrightarrow M \xrightarrow{\alpha} M_2$$

$$\downarrow^{\operatorname{Id}}_{\mathbb{K}} \exists \mu$$

$$M$$

So $M_2 = M \oplus M_3$.

 $(2) \Rightarrow (1)$: By theorem 2, there is a module $N \subset M$ so that N is injective.

Consider $0 \longrightarrow M \xleftarrow{i}_{\exists \mu} N \longrightarrow \operatorname{coker} i \longrightarrow 0$ split exact and $\mu \circ i = \operatorname{Id}_M$. Since N injective, h' exists in the following diagram:

$$0 \longrightarrow M_1 \stackrel{\alpha}{\longrightarrow} M_2$$

$$\downarrow f$$

Let $h = \mu \circ h'$, then $h \circ \alpha = \mu \circ h' \circ \alpha = \mu \circ i \circ f = f$.

Prop 1.1.4. projective \implies flat.

Proof. Observe that $\bigoplus_{i \in \Lambda} M_i$ is flat if and only if M_i is flat for each i, since if $0 \to N_1 \xrightarrow{\alpha} N_2$ exact, then

$$0 \longrightarrow (\bigoplus M_i) \otimes N_1 \xrightarrow{1 \otimes \alpha} (\bigoplus M_i) \otimes N_2$$

$$\parallel \qquad \qquad \parallel$$

$$0 \longrightarrow \bigoplus (M_i \otimes N_1) \xrightarrow{\oplus (1 \otimes \alpha)} \bigoplus (M_i \otimes N_2)$$

$$\downarrow \qquad \qquad \downarrow$$

$$0 \longrightarrow M_i \otimes N_1 \xrightarrow{1 \otimes \alpha} M_i \otimes N_2 \qquad \forall i \in \Lambda$$

If M is projective, then by proposition 1.1.2 $\exists M'$ such that $M \oplus M' \cong F$ is free. Since free implies flat, by above, M is flat.

Def 3.

• A chain complex C_{\bullet} of R-modules is a sequence and maps:

$$C_{\bullet}: \cdots \to C_{n+1} \xrightarrow{d_{n+1}} C_n \xrightarrow{d_n} C_{n-1} \to \cdots \to C_1 \xrightarrow{d_1} C_0 \to 0$$

with $d_n \circ d_{n+1} = 0$, $\forall n$. (i.e. $\operatorname{Im} d_{n+1} \subseteq \ker d_n$)

Then define

- $-Z_n(C_{\bullet}) \triangleq \ker d_n$ is the *n*-cycle.
- $-B_n(C_{\bullet}) \triangleq \operatorname{Im} d_{n+1}$ is the *n*-boundary.
- $-H_n(C_{\bullet}) \triangleq Z_n(C_{\bullet})/B_n(C_{\bullet})$ is called the *n*-th homology.
- A cochain complex C^{\bullet} of R-modules is a sequence and maps:

$$C^{\bullet}: 0 \to C^0 \xrightarrow{d^1} C^1 \to \cdots \to C^{n-1} \xrightarrow{d^n} C^n \xrightarrow{d^{n+1}} C^{n+1} \to \cdots$$

with $d^{n+1} \circ d^n = 0$, $\forall n$. (i.e. Im $d^n \subseteq \ker d^{n+1}$)

Then define

- $-Z^n(C^{\bullet}) \triangleq \ker d^{n+1}$ is the *n*-cocycle.
- $-B^n(C^{\bullet}) \triangleq \operatorname{Im} d^n$ is the *n*-coboundary.
- $-H^n(C^{\bullet}) \triangleq Z^n(C^{\bullet})/B^n(C^{\bullet})$ is called the *n*-th cohomology.
- $\varphi: C_{\bullet} \to \tilde{C}_{\bullet}$ is a chain map if the following diagram commutes:

$$\cdots \longrightarrow C_{n+1} \xrightarrow{d_{n+1}} C_n \xrightarrow{d_n} C_{n-1} \longrightarrow \cdots$$

$$\downarrow^{\varphi_{n+1}} \qquad \downarrow^{\varphi_n} \qquad \downarrow^{\varphi_{n-1}}$$

$$\cdots \longrightarrow \tilde{C}_{n+1} \xrightarrow{\tilde{d}_{n+1}} \tilde{C}_n \xrightarrow{\tilde{d}_n} \tilde{C}_{n-1} \longrightarrow \cdots$$

Observe that $\varphi_n(\ker d_n) \subseteq \ker \tilde{d}_n$ and $\varphi_n(\operatorname{Im} d_{n+1}) \subseteq \operatorname{Im} \tilde{d}_{n+1}$. This will induce the following maps:

$$\varphi_*: H_n(C_{\bullet}) \to H_n(\tilde{C}_{\bullet})$$

 $x + B_n(C_{\bullet}) \mapsto \varphi_n(x) + B_n(\tilde{C}_{\bullet})$

• $f: C_{\bullet} \to \tilde{C}_{\bullet}$ is null homotopic if $\exists s_n: C_n \to \tilde{C}_{n+1}$ s.t. $f_n = \tilde{d}_{n+1} \circ s_n + s_{n-1} \circ d_n, \quad \forall n$.

$$\cdots \longrightarrow C_{n+1} \xrightarrow{d_{n+1}} C_n \xrightarrow{d_n} C_{n-1} \longrightarrow \cdots$$

$$\downarrow^{f_{n+1}} \underset{s_n}{\downarrow^{f_n}} \downarrow^{f_n} \downarrow^{f_{n-1}} \downarrow^{f_{n-1}}$$

$$\cdots \longrightarrow \tilde{C}_{n+1} \xrightarrow{d_{n+1}} \tilde{C}_n \xrightarrow{d_n} \tilde{C}_{n-1} \longrightarrow \cdots$$

Prop 1.1.5. If f is null homotopic, then $f_* = 0$.

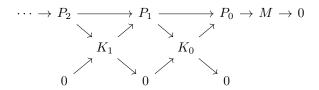
Proof.
$$f_*(x) = \tilde{d}_{n+1}s_n(x) + s_{n-1}d_n(x) = \tilde{d}_{n+1}s_n(x) \in B_n(\tilde{C}_{\bullet}) \implies f_*(\bar{x}) = 0.$$

- Two chain map $f, g: C_{\bullet} \to \tilde{C}_{\bullet}$ are homotopic if f-g is null homotopic. $(f_* = g_*)$
- Let $M \in \mathbf{Mod}_R$. A projection resolution of M is an exact sequence:

$$\cdots \to P_2 \xrightarrow{d_2} P_1 \xrightarrow{d_1} P_0 \xrightarrow{\alpha} M \to 0$$

where P_i is projective for all i.

For any M, projection resolution always exists. Let P_0 be a free module on the generators of M. We get $P_0 \xrightarrow{\alpha} M \to 0$. Similarly, let P_1 be free on $\ker \alpha$, then we could extend the map to $P_1 \to P_0 \to M \to 0$. Continue the process we would get a diagram as below, where K_i are the kernels:



Theorem 3 (Comparison theorem). Given two chain as following:

$$\cdots \longrightarrow P_2 \xrightarrow{d_2} P_1 \xrightarrow{d_1} P_0 \xrightarrow{\alpha} M \longrightarrow 0 \qquad \text{(projective resolution)}$$

$$\downarrow \exists f_2 \qquad \downarrow \exists f_1 \qquad \downarrow \exists f_0 \qquad \downarrow f$$

$$\cdots \longrightarrow \tilde{C}_2 \xrightarrow{d'_2} \tilde{C}_1 \xrightarrow{d'_1} \tilde{C}_0 \xrightarrow{\alpha'} N \longrightarrow 0 \qquad \text{(exact sequence)}$$

Then $\exists f_i : P_i \to C_i$ s.t. $\{f_i\}$ forms a chain map making the completed diagram commutes. And any two such chain maps are homotopic.

Proof. Using induction on n.

For n = 0, the existence of f_0 is guaranteed by the definition of projective module.

$$P_0$$

$$\downarrow^{f \circ \alpha}$$

$$C_0 \longrightarrow N \longrightarrow 0$$

For n > 0, we claim that $f_{n-1}d_n(P_n) \subseteq \operatorname{Im} d'_n$, since $d'_{n-1}f_{n-1}d_n(x) = f_{n-2}d_{n-1}d_n(x) = 0$ and by the fact that C is exact, $f_{n-1}d_n(x) \in \ker d'_{n-1} = \operatorname{Im} d'_n$. So using the diagram and again by the definition of projective module, f_n exists.

$$\begin{array}{ccc}
P_n \\
\downarrow^{f_{n-1} \circ d_n} \\
C_n & \longrightarrow \operatorname{Im} d'_n & \longrightarrow 0
\end{array}$$

Now, for another chain map $\{g_i: P_i \to C_i\}$, we shall construct suitable $\{s_n\}$ to prove they are homotopic. For $s_{-1}: M \to C_0$ we could simply pick the zero map. Again, if we could prove that $g_n - f_n - s_{n-1}d_n \in \text{Im } d'_{n+1} = \ker d'_n$, then by the definition of projective module, we would obtain s_n with

$$d_{n+1}s_n = g_n - f_n - s_{n-1}d_n \implies g_n - f_n = d_{n+1}s_n + s_{n-1}d_n$$

immediately. For this we calculate $d'_n(g_n - f_n - s_{n-1}d_n) = g_{n-1}d_n - f_{n-1}d_n - d'_ns_{n-1}d_n$. Notice that $d'_ns_{n-1} = g_{n-1} - f_{n-1} - s_{n-2}d_{n-1}$, and with $d_{n-1}d_n = 0$, we get $d'_n(g_n - f_n - s_{n-1}d_n) = 0$. \square

Def 4. Let $M \in \mathbf{Mod}_R$ and $\cdots \to P_2 \xrightarrow{d_2} P_1 \xrightarrow{d_1} P_0 \xrightarrow{\alpha} M \to 0$ be a projective resolution of M. Fix $N \in \mathbf{Mod}_R$. Applying $\mathrm{Hom}_R(\cdot, N)$ will get a complex:

$$0 \to \operatorname{Hom}_R(M,N) \xrightarrow{\bar{\alpha}} \operatorname{Hom}_R(P_0,N) \xrightarrow{\bar{d}_1} \operatorname{Hom}_R(P_1,N) \to \cdots$$

Define

- $\operatorname{Ext}_R^0(M,N) = \ker \bar{d}_1 = \operatorname{Im} \bar{\alpha} \cong \operatorname{Hom}_R(M,N).$
- $\operatorname{Ext}_{R}^{n}(M, N) = H^{n}(\operatorname{Hom}(P_{\bullet}, N)), \quad \forall n \geq 1.$

Theorem 4 (Indenpedency of the choice of projective resolutions). $\operatorname{Ext}^n(M,N)$ is independent of the choice of the projective resolution used.

Proof. First, consider two projective resolutions of M, \tilde{M} , and map $f: M \to \tilde{M}$, and two liftings $\{f_i\}, \{g_i\}$. Use $\bar{\cdot}$ to denote the natural transformation from $X \to Y$ to $\text{Hom}(Y, N) \to \text{Hom}(X, N)$ by $\bar{f} \triangleq g \mapsto g \circ f$. Then we shall prove that $\bar{f_{\bullet}}^* = \bar{g_{\bullet}}^*$, which is to say $\bar{f_{\bullet}}^*$ is independent of the lifting used.

By comparison theorem (3), $\{f_i\}$, $\{g_i\}$ are homotopic, and we could write down the diagram below:

$$\cdots \longrightarrow P_2 \xrightarrow{d_2} P_1 \xrightarrow{d_1} P_0 \xrightarrow{\alpha} M \longrightarrow 0$$

$$\downarrow f_2 \downarrow g_2 \downarrow f_1 \downarrow g_1 \downarrow f_0 \downarrow g_0 \downarrow f$$

$$\cdots \longrightarrow \tilde{P}_2 \xrightarrow{\tilde{d}_2} \tilde{P}_1 \xrightarrow{\tilde{d}_1} \tilde{P}_0 \xrightarrow{\tilde{\alpha}} \tilde{M} \longrightarrow 0$$

Notice that \bar{f} act linearly, that is, $f + g = \bar{f} + \bar{g}$, and $\bar{f}g = \bar{g}\bar{f}$. So we have

$$g_n - f_n = s_{n-1}d_n + \tilde{d}_{n+1}s_n \implies \bar{g}_n - \bar{f}_n = \bar{d}_n\bar{s}_{n-1} + \bar{s}_n\bar{d}_{n+1}$$

and \bar{f}_n, \bar{g}_n are homotopic. Thus by proposition 1.1.5, $\bar{f}^*_{ullet} = \bar{g}^*_{ullet}$.

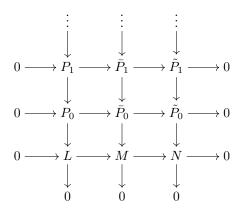
Now, let $P^{\bullet}, P'^{\bullet}$ be two projective resolution. Consider the diagram:

$$\begin{array}{cccc}
& \cdots & \longrightarrow P_1 & \longrightarrow P_0 & \longrightarrow M & \longrightarrow 0 \\
& & \operatorname{Id} & \downarrow f_1 & \operatorname{Id} & \downarrow f_0 & & \operatorname{Id} & \\
& \cdots & & P'_1 & \longrightarrow P'_0 & \longrightarrow M & \longrightarrow 0 \\
& & \downarrow g_1 & & \downarrow g_0 & & \operatorname{Id} & \\
& \cdots & \longrightarrow P_1 & \longrightarrow P_0 & \longrightarrow M & \longrightarrow 0
\end{array}$$

Then $g_i \circ f_i$ and Id are two liftings, and thus by previous we have $\bar{g}_i^* \circ \bar{f}_i^* = \text{Id}^*$. By symmetry, $\bar{f}_i^* \circ \bar{g}_i^* = \text{Id}^*$, which means that the homology calculated using different resolution are isomorphic.

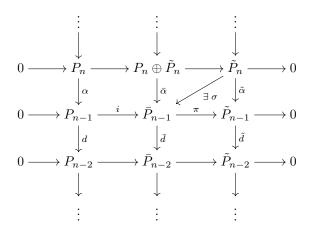
Theorem 5 (Horseshoe Lemma). Given $0 \to L \to M \to N \to 0$ and projective resolutions $P^{\bullet} \to L \to 0$, $\tilde{P}^{\bullet} \to N \to 0$. Then there is a projective resolution for M such that the following

diagram commutes:



Proof. Let $\bar{P}_n \triangleq P_n \oplus \tilde{P}_n$. \bar{P}_n is projective by the fact that sum of projective module are projective. Also $0 \to P_n \to P_n \oplus \tilde{P}_n \to \tilde{P}_n \to 0$ by injection and projection. It remains to show that the maps in the middle column exists.

By induction on n. Consider the following diagram:



 σ exists because \tilde{P}_n is projective. Define

$$\bar{\alpha}: \qquad P_n \otimes \tilde{P}_n \longrightarrow \bar{P}_{n-1}$$

$$(z,y) \longmapsto i\alpha(z) + \sigma(y)$$

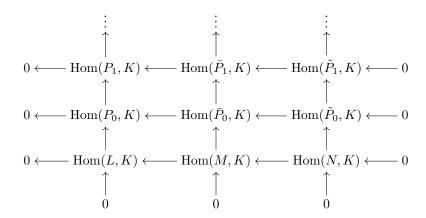
It easy to see that $\bar{\alpha}$ let the diagram commutes.

For any $x \in \ker d$, $\tilde{\pi}(x) = 0$, so $\pi(x) \in \ker \tilde{d} = \operatorname{Im} \tilde{\alpha}$, so exists y satisfy $\pi(x) = \tilde{\alpha}(y)$. Then $\tilde{\alpha}(y) = \pi\sigma(y) = \pi(x) \implies x - \sigma(y) \in \ker \pi = \operatorname{Im} i$. Let z' be the one so that $i(z') = x - \sigma(y)$, tracing the diagram again one would find out d(z') = 0, so exists z such that $\alpha(z) = z'$, and then $\bar{\alpha}(z,y) = i\alpha(z) + \sigma(y) = x$, thus $\operatorname{Im} \bar{\alpha} = \ker \bar{d}$.

Theorem 6 (Long exact sequence for Ext). If $0 \to L \to M \to N \to 0$ exact, then there is a long exact sequence:

$$0 \to \operatorname{Hom}(N,K) \to \operatorname{Hom}(M,K) \to \operatorname{Hom}(L,K)$$
$$\to \operatorname{Ext}^1(N,K) \to \operatorname{Ext}^1(M,K) \to \operatorname{Ext}^1(L,K) \to \operatorname{Ext}^2(N,K) \to \dots$$

Proof. Taking Hom(-, K) in the diagram of Horseshoe' lemma (5), we get



Notice that $\operatorname{Hom}(M \oplus N, K) \cong \operatorname{Hom}(M, K) \otimes \operatorname{Hom}(N, K)$, so each row is indeed exact. By exercise 14.7, the long exact sequence in the statement exists.

1.2 Ext and Tor (week 15)

Given $M, N \in \mathbf{Mod}_R$, there are two ways to define $\mathrm{Ext}^n(M, N)$:

Def 5 (Ext functor).

- Find any projective resolution $P_{\bullet} \xrightarrow{\alpha} M \to 0$, and let $P_M : P_{\bullet} \to 0$ (called a deleted resolution). We can define $\operatorname{Ext}^n_{\operatorname{proj}}(M,N) = H^n(\operatorname{Hom}(P_M,N))$.
- Find any injective resolution $0 \xrightarrow{\alpha} N \to E^{\bullet}$, and let $E_N : 0 \to E^{\bullet}$. We can define $\operatorname{Ext}_{\operatorname{inj}}^n(M,N) = H^n(\operatorname{Hom}(M,E_N))$.

Prop 1.2.1. $\operatorname{Ext}^0_{\operatorname{proj}}(M,N) \cong \operatorname{Ext}^0_{\operatorname{inj}}(M,N) \cong \operatorname{Hom}(M,N).$

Proof.

$$\operatorname{Hom}(P_M,N): 0 \xrightarrow{\overline{d_0}} \operatorname{Hom}(P_0,N) \xrightarrow{\overline{d_1}} \operatorname{Hom}(P_1,N) \to \cdots$$
so $\operatorname{Ext}^0_{\operatorname{proj}}(M,N) = \ker \overline{d_1} / \operatorname{im} \overline{d_0} = \ker \overline{d_1} = \operatorname{im} \alpha = \operatorname{Hom}(M,N).$

Similarly, $\operatorname{Ext}_{\operatorname{inj}}^0(M, N) = \operatorname{Hom}(M, N)$.

Lemma 1.

- If M is projective, then $\operatorname{Ext}_{\operatorname{proj}}^n(M,N)=0$ for all $n>0, N\in\operatorname{\mathbf{Mod}}_R.$
- If N is injective, then $\operatorname{Ext}_{\operatorname{inj}}^n(M,N)=0$ for all $n>0, M\in\operatorname{\mathbf{Mod}}_R.$

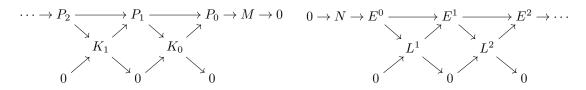
Proof. If M is projective, then $0 \to M \xrightarrow{\mathbf{1}_M} M \to 0$ is a projective resolution of M. Its deleted resolution is then $P_M: 0 \to M \to 0$. Hence for n > 0, $\operatorname{Ext}^n_{\operatorname{proj}}(M, N) = H^n(\operatorname{Hom}(P_M, N)) = 0$.

The argument applies similarly to injective case.

Theorem 7 (Equivalence of Ext_{proj} and Ext_{inj}).

$$\operatorname{Ext}^n_{\operatorname{proj}}(M,N) \cong \operatorname{Ext}^n_{\operatorname{inj}}(M,N).$$

Proof. Let $P_{\bullet} \to M \to 0$ and $0 \to N \to E^{\bullet}$ be projective and injective resolutions, then we have $0 \to K_0 \to P_0 \to M \to 0$ and $0 \to N \to E^0 \to L^1 \to 0$ exact.



We can construct long exact sequences of homology of $\operatorname{Hom}(\cdot, E_N)$:

$$0 \to \operatorname{Hom}(M,N) \to \operatorname{Hom}(M,E^0) \to \operatorname{Hom}(M,L^1) \to \operatorname{Ext}^1_{\operatorname{inj}}(M,N) \to \operatorname{Ext}^1_{\operatorname{inj}}(M,E^0) = 0$$

$$0 \to \operatorname{Hom}(P_0,N) \to \operatorname{Hom}(P_0,E^0) \to \operatorname{Hom}(P_0,L^1) \to 0$$

$$0 \to \operatorname{Hom}(K_0,N) \to \operatorname{Hom}(K_0,E^0) \to \operatorname{Hom}(K_0,L^1) \to \operatorname{Ext}^1_{\operatorname{inj}}(K_0,N) \to \operatorname{Ext}^1_{\operatorname{inj}}(K_0,E^0) = 0$$

The second sequence is short because P_0 is projective (so $\text{Hom}(P_0,\cdot)$ preserves exactness). Similarly, for $\text{Hom}(P_M,\cdot)$ we have:

$$0 \to \operatorname{Hom}(M,N) \to \operatorname{Hom}(P_0,N) \to \operatorname{Hom}(K_0,N) \to \operatorname{Ext}^1_{\operatorname{proj}}(M,N) \to \operatorname{Ext}^1_{\operatorname{proj}}(P_0,N) = 0$$

$$0 \to \operatorname{Hom}(M, E^0) \to \operatorname{Hom}(P_0, E^0) \to \operatorname{Hom}(K_0, E^0) \to 0$$
$$0 \to \operatorname{Hom}(M, L^1) \to \operatorname{Hom}(P_0, L^1) \to \operatorname{Hom}(K_0, L^1) \to \operatorname{Ext}^1_{\operatorname{proj}}(M, L^1) \to \operatorname{Ext}^1_{\operatorname{proj}}(P_0, L^1) = 0$$

Combining these sequences together, we got the following 2D diagram:

By Snake lemma, there is an exact sequence

$$(\ker \alpha \to) \ker \beta \to \ker \gamma \to \operatorname{coker} \alpha \to \operatorname{coker} \beta (\to \operatorname{coker} \gamma)$$

and this reads

$$\operatorname{Hom}(M, E^0) \xrightarrow{\phi} \operatorname{Hom}(M, L^1) \to \operatorname{Ext}^1_{\operatorname{proj}}(M, N) \to 0$$

Thus $\operatorname{Ext}^1_{\operatorname{proj}}(M,N) \cong \operatorname{coker} \phi \cong \operatorname{Ext}^1_{\operatorname{inj}}(M,N)$. (From now on, we don't need to distinguish proj/inj for Ext^1 !)

Since σ is onto, im $\gamma = \operatorname{im}(\gamma \circ \sigma)$. Similarly, im $\tau = \operatorname{im}(\tau \circ \beta)$.

By the commutativity of the diagram, im $\gamma = \text{im } \tau$, so

$$\operatorname{Ext}^1(K_0, N) \cong \operatorname{coker} \gamma = \operatorname{Hom}(K_0, L^1) / \operatorname{im} \gamma \cong \operatorname{coker} \tau \cong \operatorname{Ext}^1(M, L^1).$$

Write $K_{-1} := M, L^0 := N$, then $\operatorname{Ext}^1(K_0, L^0) = \operatorname{Ext}^1(K_{-1}, L^1)$ (*).

$$0 \to K_i \to P_i \to K_{i-1} \to 0$$

$$0 \to L^i \to E^i \to L^{i+1} \to 0$$

, we can obtain $\operatorname{Ext}^1(K_i, L^i) \cong \operatorname{Ext}^1(K_{i-1}, L^{i+1})$ for $i, j \geq 0$.

Now, observe that

Similarly, from the exact sequences

$$0 \to L^{n-1} \to E^{n-1} \xrightarrow{d_{n-1}} E^n \xrightarrow{d_n} \cdots$$

is an injective resolution of L^{n-1} , and $\operatorname{Ext}^1(M, L^{n-1}) \cong \ker \overline{d_n} / \operatorname{im} \overline{d_{n-1}} \cong \operatorname{Ext}^n_{\operatorname{inj}}(M, N)$. Similarly, for projective resolution we have $\operatorname{Ext}^1(K_{n-2}, N) \cong \operatorname{Ext}^n_{\operatorname{proj}}(M, N)$. Finally, by (\star) ,

$$\operatorname{Ext}_{\operatorname{inj}}^n(M,N) \cong \operatorname{Ext}^1(K_{-1},L^{n-1}) \cong \operatorname{Ext}^1(K_0,L^{n-2}) \cong \cdots \cong \operatorname{Ext}^1(K_{n-2},L^0) \cong \operatorname{Ext}_{\operatorname{proj}}^n(M,N).$$

Def 6 (Tor functor). Let $M, N \in \mathbf{Mod}_R$, and $P_{\bullet} \to M \to 0$ be a projective resolution of M, similar to the Ext case, for $n \geq 0$ we can define

$$\operatorname{Tor}_n(M,N) = H_n(P_M \otimes N).$$

Fact 1.2.1. By Horseshoe lemma, short exact sequence $0 \to M_1 \to M_2 \to M_3 \to 0$ induces a long exact sequence:

$$\cdots \to \operatorname{Tor}_1(M_1,N) \to \operatorname{Tor}_1(M_2,N) \to \operatorname{Tor}_1(M_3,N) \to M_1 \otimes N \to M_2 \otimes N \to M_3 \otimes N \to 0$$

Prop 1.2.2. If M is flat, then $Tor_n(M, N) = 0$ for $n > 0, N \in \mathbf{Mod}_R$.

Proof. M is flat $\Longrightarrow M \otimes \cdot$ is an exact functor. If $Q_{\bullet} \to N \to 0$ is a projective resolution of N, then $\cdots \to M \otimes Q_1 \to M \otimes Q_0 \to M \otimes N \to 0$ is also exact. By Exercise 15-1, we have

$$\operatorname{Tor}_n(M,N) \cong H_n(M \otimes Q_N) = 0.$$

Theorem 8 (Tor for flat resolutions). Let $U_{\bullet} \to M \to 0$ be a flat resolution of M, then for $n \ge 0$,

$$\operatorname{Tor}_n(M,N) \cong H_n(U_M \otimes N).$$

Proof.

$$\cdots \to U_2 \xrightarrow{\qquad} U_1 \xrightarrow{\qquad} U_0 \to M \to 0$$

$$W_1 \xrightarrow{\qquad} W_0$$

$$0$$

$$H_{\bullet}(U_M \otimes N) : \cdots \to U_2 \otimes N \xrightarrow{d_2 \otimes \mathbf{1}} U_1 \otimes N \xrightarrow{d_1 \otimes \mathbf{1}} U_0 \otimes N \to 0$$

• n = 0: Since tensor is right exact, $U_1 \otimes N \xrightarrow{d_1 \otimes 1} U_0 \otimes N \xrightarrow{\alpha \otimes 1} M \otimes N \to 0$ is exact. Hence

$$H_0(U_M \otimes N) = (U_0 \otimes N) / \operatorname{im}(d_1 \otimes \mathbf{1}) = (U_0 \otimes N) / \ker(\alpha \otimes \mathbf{1}) \cong M \otimes N$$

Any projective resolution also has this property, so $Tor_0(M, N) = H_0(U_M \otimes N)$.

• n=1: $0 \to W_0 \to U_0 \to M \to 0$ induces a long exact sequence:

$$\cdots \to \operatorname{Tor}_1(W_0,N) \to 0 \to \operatorname{Tor}_1(M,N) \to W_0 \otimes N \xrightarrow{i \otimes 1} U_0 \otimes N \to M \otimes N \to 0$$

where $\operatorname{Tor}_1(U_0, N) = 0$ because U_0 is flat. We can see that $\operatorname{Tor}_1(M, N) \cong \ker(i \otimes 1)$.



Since $\alpha' \otimes 1$ is onto, $W_0 \otimes N \cong (U_1 \otimes N) / \ker(\alpha' \otimes 1)$. Also, $x \in \ker(d_1 \otimes 1) \iff (d_1 \otimes 1)(x) = 0 \iff (\alpha' \otimes 1)(x) \in \ker(i \otimes 1)$, so $\ker(i \otimes 1) = (\alpha' \otimes 1)(\ker(d_1 \otimes 1)) \cong \ker(d_1 \otimes 1) / \ker(\alpha' \otimes 1)$. $(\alpha' \otimes 1)$ can be considered a quotient map, then $\ker(d_1 \otimes 1)$ descends to $\ker(d_1 \otimes 1) / \ker(\alpha' \otimes 1)$.

Now, in the diagram $W_1 \otimes N \to U_1 \otimes N \to W_0 \otimes N \to 0$ exact, so $\ker(\alpha' \otimes 1) = \operatorname{im}(j \otimes 1)$. But $\beta' \otimes 1$ is onto, thus $\operatorname{im}(j \otimes 1) = \operatorname{im}(d_2 \otimes 1)$.

Finally,

 $\operatorname{Tor}_1(M,N) \cong \ker(i \otimes 1) \cong \ker(d_1 \otimes 1) / \ker(\alpha' \otimes 1) = \ker(d_1 \otimes 1) / \operatorname{im}(d_2 \otimes 1) = H_1(U_M \otimes N).$

• $n \ge 2$:

Let's see further in the previous long exact sequences:

$$\cdots \to \operatorname{Tor}_2(W_0, N) \to 0 \to \operatorname{Tor}_2(M, N) \xrightarrow{\sim} \operatorname{Tor}_1(W_0, N) \to 0 \to \operatorname{Tor}_1(M, N) \to \cdots$$

we can see that $\operatorname{Tor}_n(M,N) \cong \operatorname{Tor}_{n-1}(W_0,N)$ for $n \geq 2$.

Now,

$$\cdots U_3 \xrightarrow{d_3} U_2 \xrightarrow{d_2} U_1 \to W_0 \to 0$$

is a flat resolution of W_0 , and its homology is $H_{n-1}(U_{W_0} \otimes N) = \ker(d_n \otimes 1)/\operatorname{im}(d_{n-1} \otimes 1) = H_n(U_M \otimes N)$.

By induction, assume it's true for n-1, then

$$H_n(U_M \otimes N) = H_{n-1}(U_{W_0} \otimes N) \cong \operatorname{Tor}_{n-1}(W_0, N) \cong \operatorname{Tor}_n(M, N).$$

Eg 1.2.1. $R = \mathbb{Z}, M = \mathbb{Z}/m\mathbb{Z}$ with $m \geq 2$. Then

$$P: 0 \to \mathbb{Z} \xrightarrow{m} \mathbb{Z} \xrightarrow{/m\mathbb{Z}} \mathbb{Z}/m\mathbb{Z} \to 0$$

is a projective resolution of $\mathbb{Z}/m\mathbb{Z}$. So for any $N \in \mathbf{Mod}_{\mathbb{Z}}$,

$$H^n(\operatorname{Hom}_{\mathbb{Z}}(P_{\mathbb{Z}/m\mathbb{Z}},N)):0\to\operatorname{Hom}_{\mathbb{Z}}(\mathbb{Z},N)\xrightarrow{\overline{m}}\operatorname{Hom}_{\mathbb{Z}}(\mathbb{Z},N)\to 0,$$

$$\operatorname{Ext}_{\mathbb{Z}}^{0}(\mathbb{Z}/m\mathbb{Z}, N) = \operatorname{Hom}_{\mathbb{Z}}(\mathbb{Z}/m\mathbb{Z}, N) \cong {}_{m}N := \{a \in N \mid ma = 0\}$$

$$\operatorname{Ext}^1_{\mathbb{Z}}(\mathbb{Z}/m\mathbb{Z}, N) \cong N/mN$$

$$\operatorname{Ext}_{\mathbb{Z}}^{n}(\mathbb{Z}/m\mathbb{Z}, N) = 0 \text{ (for } n \geq 2)$$

Eg 1.2.2. $\mathbb{Q} = \mathbb{Z}_{(0)}$ is a localization, thus a flat \mathbb{Z} module. Then

$$U: 0 \to \mathbb{Z} \xrightarrow{i} \mathbb{O} \to \mathbb{O}/\mathbb{Z} \to 0$$

is a flat resolution of \mathbb{Q}/\mathbb{Z} . For $G \in \mathbf{Mod}_{\mathbb{Z}}$ (i.e. an abelian group),

$$H_n(G \otimes U_{\mathbb{Q}/\mathbb{Z}}) : 0 \to G \otimes \mathbb{Z} \xrightarrow{\mathbf{1} \otimes i} G \otimes \mathbb{Q} \to 0$$

$$\operatorname{Tor}_0(G, \mathbb{Q}/\mathbb{Z}) \cong G \otimes \mathbb{Q}/\mathbb{Z}$$

$$\operatorname{Tor}_1(G,\mathbb{Q}/\mathbb{Z}) \ = \ \ker(\mathbf{1}\otimes i) \cong t(G) := \{a \in G \mid ma = 0 \text{ for some } m \in \mathbb{N}\}$$

$$\operatorname{Tor}_n(G, \mathbb{Q}/\mathbb{Z}) = 0 \text{ (for } n \ge 2)$$

Def 7. Let M be a left R-module, then define $M^* := \operatorname{Hom}_{\mathbb{Z}}(M, \mathbb{Q}/\mathbb{Z})$ as a right R-module by

$$fr: M \to \mathbb{Q}/\mathbb{Z}$$

 $x \mapsto f(rx)$

Fact 1.2.2.

1. \mathbb{Q}/\mathbb{Z} is injective.

2.
$$A = 0 \iff A^* = 0$$
.

3.
$$B \hookrightarrow C \iff C^* \twoheadrightarrow B^*$$
.

Proof.

1. For $m \in \mathbb{Z} \setminus \{0\}$, $m(\mathbb{Q}/\mathbb{Z}) = \mathbb{Q}/\mathbb{Z}$ by $m(\frac{a}{mb} + \mathbb{Z}) \leftarrow \frac{a}{b} + \mathbb{Z}$, so \mathbb{Q}/\mathbb{Z} is divisible. But \mathbb{Z} is a PID, so \mathbb{Q}/\mathbb{Z} is injective.

2.
$$(\Rightarrow)$$
 $A^* = \operatorname{Hom}_{\mathbb{Z}}(0, \mathbb{Q}/\mathbb{Z}) = 0$.

 (\Leftarrow) If $A \neq 0$, then $\exists a \in A, a \neq 0$, so $0 \to \mathbb{Z}a \xrightarrow{i} A$ is an inclusion.

Since $\mathbb{Z}a$ is a cyclic abelian group, there is a nonzero $g: \mathbb{Z}a \to \mathbb{Q}/\mathbb{Z}$. (If $\mathbb{Z}a \cong \mathbb{Z}/m\mathbb{Z}$, let $g: a \mapsto \frac{1}{m}$; if $\mathbb{Z}a \cong \mathbb{Z}$, let $g: a \mapsto \frac{1}{2}$.)

But \mathbb{Q}/\mathbb{Z} is injective, so $\exists f: A \to \mathbb{Q}/\mathbb{Z}$ (i.e. $f \in A^*$), and $f \circ i = g \neq 0$ so $f \neq 0$, thus $A^* \neq 0$.

$$0 \longrightarrow \mathbb{Z}a \xrightarrow{i} A$$

$$\downarrow^g \qquad \exists f$$

$$\mathbb{Q}/\mathbb{Z}$$

3. Since \mathbb{Q}/\mathbb{Z} is injective, $\operatorname{Hom}(\cdot,\mathbb{Q}/\mathbb{Z})$ is exact. Let $0 \to \ker f \to B \xrightarrow{f} C$ exact, applying $\operatorname{Hom}(\cdot,\mathbb{Q}/\mathbb{Z})$ results in $C^* \xrightarrow{f^*} B^* \to (\ker f)^* \to 0$ exact. Thus $\operatorname{coker} f^* = (\ker f)^*$.

By 2.,
$$B \hookrightarrow C \iff \ker f = 0 \iff (\ker f)^* = 0 \iff \operatorname{coker} f^* = 0 \iff C^* \twoheadrightarrow B^*$$
.

Prop 1.2.3. Let M be an R-module, then TFAE

- 1. M is flat.
- 2. M^* is injective (as a R-module).
- 3. $\operatorname{Tor}_1(R/I, M) = 0$ for all ideal $I \subseteq R$.
- 4. $I \otimes_R M \cong IM$ for all ideal $I \subseteq R$.

Proof.

• 3. \iff 4.

For any ideal $I \subseteq R$, $0 \to I \xrightarrow{i} R \xrightarrow{q} R/I \to 0$ is exact. This induces a long exact sequence:

$$\operatorname{Tor}_1(R,M) \to \operatorname{Tor}_1(R/I,M) \to I \otimes_R M \xrightarrow{i \otimes \mathbf{1}} R \otimes_R M \xrightarrow{q \otimes \mathbf{1}} R/I \otimes_R M \to 0$$

- $Tor_1(R, M) = 0$ since R is a flat R-module.
- $-R\otimes_R M\cong M.$
- $-R/I \otimes_R M \cong M/IM$ by $(r+I) \otimes a \mapsto (ra+IM)$.

So we have

$$0 \to \operatorname{Tor}_1(R/I, M) \to I \otimes_R M \xrightarrow{i'} M \xrightarrow{q'} M/IM \to 0$$

exact, with $q': M \to M/IM$ being exactly the quotient map (one can check that $q \otimes \mathbf{1} \cong q'$).

Now it's clear that $\operatorname{Tor}_1(R/I, M) = 0 \iff I \otimes_R M \cong \ker(q') \cong IM$.

(The reverse direction requires $I \otimes_R M \cong IM$ being the natural isomorphism $r \otimes b \mapsto rb$, so $i': IM \to M$ can then be the natural inclusion.)

• 1. \iff 2. Let $0 \to N' \xrightarrow{f} N$, then $\operatorname{Hom}_{R}(N, M^{*}) \xrightarrow{\overline{f}} \operatorname{Hom}_{R}(N', M^{*})$. By the adjoint relation,

$$\operatorname{Hom}_R(N, M^*) = \operatorname{Hom}_R(N, \operatorname{Hom}_{\mathbb{Z}}(M, \mathbb{Q}/\mathbb{Z})) \cong \operatorname{Hom}_{\mathbb{Z}}(N \otimes_R M, \mathbb{Q}/\mathbb{Z}) = (N \otimes_R M)^*,$$

we have another map $(N \otimes_R M)^* \xrightarrow{(f \otimes 1)^*} (N' \otimes_R M)^*$ isomorphic to the previous one, with its unstarred map $N' \otimes_R M \xrightarrow{f \otimes 1} N \otimes_R M$.

Now, M^* is injective $\iff \overline{f}$ is surjective $\forall N, N' \iff (f \otimes \mathbf{1})^*$ is surjective $\forall N, N' \iff f \otimes \mathbf{1}$ is injective $\forall N, N' \iff M$ is flat.

• 2. \iff 4. Similar to the previous section, by Baer's criterion,

$$\begin{array}{ll} M^* \text{ is injective} & \Longleftrightarrow & \operatorname{Hom}_R(R,M^*) \twoheadrightarrow \operatorname{Hom}_R(I,M^*), \forall \ I \subseteq R \\ & \Longleftrightarrow & (R \otimes_R M)^* \twoheadrightarrow (I \otimes_R M)^*, \forall \ I \subseteq R \\ & \Longleftrightarrow & I \otimes_R M \hookrightarrow R \otimes_R M \cong M, \forall \ I \subseteq R \\ & \Longleftrightarrow & I \otimes_R M \cong IM, \forall \ I \subseteq R. \end{array}$$

Similarly, this requires the isomorphism of $I \otimes_R M \cong IM$ be natural (the following f).

The map $f: I \otimes_R M \to IM$ is always onto, but may not be 1-1. If it is, $I \otimes_R M \cong IM$.

Prop 1.2.4. For $I, J \subseteq R$ being ideals, then $Tor_1(R/I, R/J) \cong (I \cap J)/IJ$.

Proof. $0 \to I \xrightarrow{i} R \to R/I \to 0$ induces a long exact sequence

$$0 \to \operatorname{Tor}_1(R/I, R/J) \to I \otimes_R R/J \xrightarrow{i \otimes 1} R \otimes_R R/J \to R/I \otimes_R R/J \to 0,$$

where $Tor_1(R, R/J) = 0$ since R is flat.

Also $I \otimes_R R/J \cong I/IJ$, $R \otimes_R R/J \cong R/J$, so we have $I/IJ \xrightarrow{i'} R/J$ with $\operatorname{Tor}_1(R/I, R/J) \cong \ker(i \otimes 1) \cong \ker i'$.

But $i': I/IJ \to R/J \atop x+IJ \mapsto x+J$, so $\overline{x} \in \ker i' \iff x \in I \text{ and } x \in J \iff x \in I \cap J$, hence $\ker i' \cong (I \cap J)/IJ$.

1.3 Koszul complex (week 16)

In this section, we assume that R is commutative with 1.

Def 8. Let $L \in \mathbf{Mod}_R$, with $f: L \to R$ an R-linear map, define

$$d_f: \quad \Lambda^n L \quad \to \quad \Lambda^{n-1} L$$
$$x_1 \wedge \dots \wedge x_n \mapsto \sum_{i=1}^n (-1)^{i+1} f(x_i) x_1 \wedge \dots \wedge \hat{x}_i \wedge \dots \wedge x_n$$

where $\Lambda^n L$ is the *n*-th exterior power of L, and \hat{x}_i means omitting x_i .

Then we can define a chain complex called **Koszul complex**:

$$K_{\bullet}(f): \cdots \to \Lambda^n L \xrightarrow{\mathrm{d}_f} \Lambda^{n-1} L \to \cdots \to \Lambda^2 L \xrightarrow{\mathrm{d}_f} L \xrightarrow{f} R$$

Also, d_f can be considered as a graded R-homomorphism of degree -1:

$$\begin{aligned} \mathrm{d}_f : \; \Lambda L \; &\to \; \quad \Lambda L \\ x \wedge y &\mapsto \mathrm{d}_f(x) \wedge y + (-1)^{\deg x} \cdot x \wedge \mathrm{d}_f(y) \end{aligned}$$

where ΛL is the exterior algebra of L, and x, y are any homogeneous elements of ΛL .

Def 9. Let $(C_{\bullet}, d), (C'_{\bullet}, d')$ be chain complexes of R-modules, define their tensor product to be a chain complex $C_{\bullet} \otimes C'_{\bullet}$ with

$$(C_{\bullet} \otimes C'_{\bullet})_n = \bigoplus_{i=0}^n (C_i \otimes_R C'_{n-i})$$

with the boundary maps being

$$d \otimes d' : (C_{\bullet} \otimes C'_{\bullet})_{n} \to (C_{\bullet} \otimes C'_{\bullet})_{n-1}$$
$$\sum_{i=0}^{n} x_{i} \otimes y_{n-i} \mapsto \sum_{i=0}^{n} (d(x_{i}) \otimes y_{n-i} + (-1)^{i} \cdot x_{i} \otimes d'(y_{n-i}))$$

One can verify that

$$\begin{split} (d\otimes d')\circ (d\otimes d')(x\otimes y) &= (d\otimes d')(d(x)\otimes y + (-1)^{\deg x}\cdot x\otimes d'(y))\\ &= d\circ d(x)\otimes y + (-1)^{\deg x-1}\cdot d(x)\otimes d'(y)\\ &+ (-1)^{\deg x}\cdot d(x)\otimes d'(y) + x\otimes d'\circ d'(y)\\ &= 0 \end{split}$$

Prop 1.3.1. Let $L_1, L_2 \in \mathbf{Mod}_R, f_1 \in \mathrm{Hom}_R(L_1, R), f_2 \in \mathrm{Hom}_R(L_2, R)$. Define

$$f = f_1 + f_2 : L_1 \oplus L_2 \to R$$

 $(x, y) \mapsto f_1(x) + f_2(y)$

then

$$K_{\bullet}(f_1) \otimes K_{\bullet}(f_2) \cong K_{\bullet}(f)$$

$$\bigoplus_{i=0}^{n} (\Lambda^i L_1 \otimes_R \Lambda^{n-i} L_2) \cong \Lambda^n(L_1 \oplus L_2)$$

with $d_{f_1} \otimes d_{f_2} = d_f$.

Proof. Exercise 16-1(2).

Def 10. Let $L = \bigoplus_{i=1}^n Re_i$ be a free R-module, and $\mathbf{x} = (x_1, \dots, x_n)$ with $x_i \in R$, define

$$K_{\bullet}(\mathbf{x}) := K_{\bullet}(f), \text{ with } \begin{array}{c} f : L \to R \\ e_i \mapsto x_i. \end{array}$$

Coro 1.3.1. $K_{\bullet}(\mathbf{x}) \cong K_{\bullet}(x_1) \otimes \cdots \otimes K_{\bullet}(x_n)$ with $K_{\bullet}(x_i) : 0 \to R \xrightarrow{x_i} R$.

Prop 1.3.2. Let $x \in R$ and (C_{\bullet}, ∂) be a chain complex of R-modules, then there exist ρ, π s.t.

$$0 \to C_{\bullet} \xrightarrow{\rho} C_{\bullet} \otimes K_{\bullet}(x) \xrightarrow{\pi} C_{\bullet}(-1) \to 0$$

is exact, where $(C_{\bullet}(-1))_n = C_{n-1}$.

Proof. Since $K_{\bullet}(x): 0 \to R \xrightarrow{x} R$, so

$$(C_{\bullet} \otimes K_{\bullet}(x))_n = (C_i \otimes_R R) \oplus (C_{i-1} \otimes_R R),$$

and the boundary map is

$$d: (C_i \otimes_R R) \oplus (C_{i-1} \otimes_R R) \to (C_{i-1} \otimes_R R) \oplus (C_{i-2} \otimes_R R) (z_1 \otimes r_1, z_2 \otimes r_2) \mapsto (\partial z_1 \otimes r_1 + (-1)^{i-1} z_2 \otimes xr_2, \partial z_2 \otimes r_2).$$

Under the isomorphism $C_i \otimes_r R \cong C_i$, the boundary map become

$$d: C_{i} \oplus C_{i-1} \to C_{i-1} \oplus C_{i-2}$$

$$\begin{pmatrix} r_{1}z_{1} \\ r_{2}z_{2} \end{pmatrix} \mapsto \begin{pmatrix} \partial & (-1)^{i-1}x \\ 0 & \partial \end{pmatrix} \begin{pmatrix} r_{1}z_{1} \\ r_{2}z_{2} \end{pmatrix}$$

Let

$$\begin{array}{ccc} \rho_i:C_i\to C_i\oplus C_{i-1} & \text{and} & \pi_i:C_i\oplus C_{i-1}\to C_{i-1}\\ z_1\mapsto & (z_1,0) & (z_1,z_2) \mapsto & z_2 \end{array}$$

then

$$0 \longrightarrow C_{i} \xrightarrow{\rho_{i}} C_{i} \oplus C_{i-1} \xrightarrow{\pi_{i}} C_{i-1} \longrightarrow 0$$

$$\downarrow \partial \qquad \qquad \downarrow d \qquad \qquad \downarrow \partial$$

$$0 \longrightarrow C_{i-1} \xrightarrow{\rho_{i-1}} C_{i-1} \oplus C_{i-2} \xrightarrow{\pi_{i-1}} C_{i-2} \longrightarrow 0$$

commutes and exact:

- $d \circ \rho(z_1) = d(z_1, 0) = (\partial z_1, 0)$
- $\rho \circ \partial(z_1) = \rho(\partial z_1) = (\partial z_1, 0)$
- $\partial \circ \pi(z_1, z_2) = \partial(z_2) = \partial z_2$
- $\pi \circ d(z_1, z_2) = \pi(\partial z_1 + (-1)^{i-1}xz_2, \partial z_2) = \partial z_2$

Coro 1.3.2. This induces a long exact sequence

$$\cdots \to H_i(C_{\bullet}) \xrightarrow{\rho_*} H_i(C_{\bullet} \otimes K_{\bullet}(x)) \xrightarrow{\pi_*} H_i(C_{\bullet}(-1)) \xrightarrow{\pm x} H_{i-1}(C_{\bullet}) \to \cdots$$

Proof. We only need to show the connection homomorphism is indeed $\pm x$.

Given $z \in C_{i-1}$ with $\partial z = 0$,

$$z \xrightarrow{\pi^{-1}} (0, z) \xrightarrow{d} \left((-1)^{i-1} xz, 0 \right) \xrightarrow{\rho^{-1}} (-1)^{i-1} xz.$$

Def 11. We call x to be C_{\bullet} -regular, if x is not a zero divisor of C_i and $C_i/xC_i \neq 0$, for all $i \geq 0$.

Prop 1.3.3. If x is C_{\bullet} -regular, then $H_i(C_{\bullet} \otimes K_{\bullet}(x)) \cong H_i(C_{\bullet}/xC_{\bullet})$ for all $i \geq 0$.

Proof. Let

$$\phi_i: C_i \oplus C_{i-1} \to C_i/xC_i$$
$$(z_1, z_2) \mapsto \overline{z_1}$$

then

$$C_{i} \oplus C_{i-1} \xrightarrow{\phi_{i}} C_{i}/xC_{i}$$

$$\downarrow^{d_{i}} \qquad \downarrow^{\overline{\partial}_{i}}$$

$$C_{i-1} \oplus C_{i-2} \xrightarrow{\phi_{i-1}} C_{i-1}/xC_{i-1}$$

commutes.

- $\overline{\partial} \circ \phi_i(z_1, z_2) = \overline{\partial}(z_1) = \overline{\partial}z_1$.
- $\phi_{i-1} \circ d(z_1, z_2) = \phi_{i-1}(\partial z_1 + (-1)^{i-1}xz_2, \partial z_2) = \overline{\partial z_1}$, since $xz_2 \in xC_{i-1}$.

Now we need to show the induced maps

$$\phi_{*i} : \ker \underline{\mathbf{d}_i / \operatorname{im} \mathbf{d}_{i+1}} \to \ker \overline{\partial}_i / \operatorname{im} \overline{\partial}_{i+1}$$
$$(z_1, z_2) \mapsto \overline{\overline{z_1}} = \overline{z_1} + \operatorname{im} \overline{\partial}_{i+1}$$

are isomorphisms.

• Onto:

For $\overline{z} \in \ker \overline{\partial}_i$ with $\partial z = xz' \in xC_{i-1}$, $z' \in C_{i-1}$. Then $\phi_i(z, (-1)^i z') = \overline{z}$, and $d(z, (-1)^i z') = (\partial z - xz', (-1)^i \partial z') = (0, 0)$, so $(z, (-1)^i z') \in \ker d_i$. (Since $x\partial z' = \partial(xz') = \partial^2 z = 0$, and x is not a zero divisor of C_i , so $\partial z' = 0$.)

Now,
$$\phi_{*i}\left(\overline{(z,(-1)^iz')}\right) = \overline{\overline{z}}$$
, so ϕ_{*i} is onto.

• 1-1:

Let $(z, z') \in \ker d_i$ with $\phi_i(z, z') = \overline{z} \in \operatorname{im} \overline{\partial}_{i+1}$, i.e. $\overline{z} = \partial \overline{z''}$ with $z'' \in C_{i+1}$. This means $z - \partial z'' = xz'''$ with $z''' \in C_i$, so $\partial (z - \partial z'') = \partial z = x \partial z'''$.

On the other hand, $d(z,z')=(\partial z+(-1)^{i-1}xz',\partial z')=(0,0),$ so $\partial z=(-1)^ixz',\partial z'=0.$

So $d(z'', (-1)^i z''') = (\partial z'' + (-1)^{2i} x z'''), (-1)^i \partial z''') = (z, z')$, i.e. $(z, z') \in \text{im } d_{i+1}$. $(\partial z = x \partial z''' = (-1)^i x z'$, since x is not a zero divisor, so $\partial z''' = (-1)^i z'$.)

Hence,
$$\phi_{*i}\left(\overline{(z_1,z_2)}\right) = \overline{0}$$
 implies $\overline{(z_1,z_2)} = \overline{0}$, so ϕ_{*i} is 1-1.

Def 12. Let $M \in \mathbf{Mod}_R$. A sequence $\{a_1, \dots, a_m\}, m \geq 0$ is said to be M-regular if

- $M/\langle a_1, \cdots, a_m \rangle M \neq 0$.
- a_{i+1} is not a zero divisor of $M/\langle a_1, \cdots, a_i \rangle M$ for $0 \le i \le m-1$.

Theorem 9. If $\mathbf{x} = (x_1, \dots, x_n)$ is an R-regular sequence, then $K_{\bullet}(\mathbf{x}) \to R/\langle x_1, \dots, x_n \rangle \to 0$ is a free resolution of $R/\langle x_1, \dots, x_n \rangle$.

Proof. Since its modules are $K_i(\mathbf{x}) = \Lambda^i R^n \cong R^{\binom{n}{i}}$, i.e. free *R*-modules, so we only need to show the exactness.

By induction on n,

• n = 1: $K_{\bullet}(x_1) : 0 \to R \xrightarrow{x_1} R \to R/\langle x_1 \rangle \to 0$ exact.

• n > 1: Assume that $\mathbf{x}' = (x_1, \dots, x_{n-1})$ and $K_{\bullet}(\mathbf{x}') \to R/\langle x_1, \dots, x_{n-1} \rangle \to 0$ exact, i.e. $H_i(K_{\bullet}(\mathbf{x}')) = 0$ for i > 0.

Since we have $K_{\bullet}(\mathbf{x}) \cong K_{\bullet}(\mathbf{x}') \otimes K_{\bullet}(x_n)$ and a long exact sequence

$$\cdots \to H_i(K_{\bullet}(\mathbf{x}')) \to H_i(K_{\bullet}(\mathbf{x})) \to H_i(K_{\bullet}(\mathbf{x}')(-1)) \xrightarrow{\pm x_n} H_{i-1}(K_{\bullet}(\mathbf{x})) \to \cdots$$

where $H_i(K_{\bullet}(\mathbf{x}')(-1)) = H_{i-1}(K_{\bullet}(\mathbf{x}')).$

For i > 1, the sequence becomes

$$\cdots \to 0 \to H_i(K_{\bullet}(\mathbf{x})) \to 0 \xrightarrow{\pm x_n} \cdots$$

so $H_i(K_{\bullet}(\mathbf{x})) = 0$.

For i = 1, we have $H_0(K_{\bullet}(\mathbf{x})) \cong R/\langle x_1, \cdots, x_{n-1} \rangle$, so

$$0 \to H_1(K_{\bullet}(\mathbf{x})) \to R/\langle x_1, \cdots, x_{n-1} \rangle \xrightarrow{\pm x_n} R/\langle x_1, \cdots, x_{n-1} \rangle$$

But x_n is not a zero divisor of $R/\langle x_1, \dots, x_{n-1} \rangle$, so the map $\pm x_n$ is 1-1, then $H_1(K_{\bullet}(\mathbf{x})) \cong \ker(\pm x_n) = 0$.

Eg 1.3.1. Let $\mathbf{x} = (x_1, x_2)$, then

$$K_{\bullet}(\mathbf{x}): 0 \to R \xrightarrow{\alpha} R^2 \xrightarrow{\beta} R \xrightarrow{q} R/\langle x_1, x_2 \rangle \to 0$$

with $\alpha: r \mapsto (-x_2r, x_1r)$ and $\beta: (r_1, r_2) \mapsto x_1r_1 + x_2r_2$.

Coro 1.3.3. Let $I = \langle x_1, \dots, x_n \rangle \subset R$ be an ideal with $\{x_1, \dots, x_n\}$ be R-regular, then R/I has projective dimension pd(R/I) = n, i.e. the shortest projective resolution of R/I has length n.

Proof. $K_{\bullet}(\mathbf{x})$ is already a projective resolution of length N, so we only need to show that there's no shorter ones.

The left side of $K_{\bullet}(\mathbf{x})$ reads

$$0 \to \Lambda^n R^n \xrightarrow{d_n} \Lambda^{n-1} R^n \to \cdots$$

But

$$\Lambda^n R^n = R(e_1 \wedge \dots \wedge e_n) \cong R, \quad \Lambda^{n-1} R^n = \bigoplus_{i=1}^n R(e_1 \wedge \dots \wedge \hat{e_i} \wedge \dots \wedge e^n) \cong R^n$$

so

$$d_n: R \to R^n$$

$$r \mapsto (x_1 r, -x_2 r, \cdots, (-1)^{n-1} x_n r)$$

Taking tensor with R/I, we get

$$0 \to R \otimes_R R/I \xrightarrow{d_n \otimes \mathbf{1}} R^n \otimes_R R/I \to \cdots$$

but $R \otimes_R R/I \cong R/I, R^n \otimes_R R/I \cong (R/I)^n$, so

$$d_n \otimes \mathbf{1}: R/I \to \underbrace{(R/I)^n}_{\overline{r}} \mapsto (\overline{x_1 r}, \overline{-x_2 r}, \cdots, \overline{(-1)^{n-1} x_n r})$$

Now,

$$\operatorname{Tor}_n(R/I,R/I) = H_n(K_{\bullet}(\mathbf{x}) \otimes R/I) = \ker(d_n \otimes \mathbf{1}) = \operatorname{Ann}_{R/I} I = \{\overline{r} \in R/I \mid rI = I\} = R/I \neq 0.$$

 $(R/I \neq 0 \text{ is because } \{x_1, \dots, x_n\} \text{ is } R\text{-regular.})$ Thus, any projective resolution can't have length shorter than n since that will imply $\operatorname{Tor}_n(R/I, R/I) = 0$.

Remark 1. Let $I = \langle x_1, \dots, x_n \rangle$ generated by R-regular sequence $\{x_1, \dots, x_n\}$, then

- $\operatorname{Tor}_n(R/I, M) \cong \operatorname{Ann}_M I$.
- $\operatorname{Ext}^n(R/I, M) \cong M/IM$.

1.4 Derived category

Def 13.

• \mathcal{C} is a pre-additive category if $\operatorname{Hom}_{\mathcal{C}}(X,Y)$ is an abelian group $\forall X,Y\in\mathcal{C}$ s.t.

$$X \xrightarrow{u} Y \xrightarrow{f} Z \xrightarrow{v} T$$

with (f+g)u = fu + gu and v(f+g) = vf + vg.

- addivitve category: a pre-additive category $\mathcal C$ s.t.
 - There exists a zero object 0 s.t. $\forall X$, $\operatorname{Hom}_{\mathcal{C}}(0,X) = \{0\} = \operatorname{Hom}_{\mathcal{C}}(X,0)$.
 - Finite sum and finite products exist.
 - $-\ f\in \operatorname{Hom}(B,C) \text{ is called a monomorphism if } \forall\ X\xrightarrow{g} B\xrightarrow{f} C \text{ with } f\circ g=0 \implies g=0.$
 - $-f \in \text{Hom}(B,C)$ is called a epimorphism if $\forall B \xrightarrow{f} C \xrightarrow{h} D$ with $h \circ f = 0 \implies h = 0$.
 - a kernel of $f \in \text{Hom}(B,C)$ is a morphism $i:A \to B$ s.t. $f \circ i = 0$ and $\forall g:X \to B$ with $f \circ g = 0$, we have

$$A \xrightarrow{i} B \xrightarrow{f} C$$

$$\exists ! \qquad X$$

– a cokernel of $f \in \text{Hom}(B,C)$ is a morphism $p:C \to D$ s.t. $p \circ f = 0$ and $\forall h:C \to Y$ with $h \circ f = 0$, we have

$$B \xrightarrow{f} C \xrightarrow{p} D$$

$$\downarrow h$$

$$\downarrow V$$

$$\exists !$$

Remark 2.

- If i is a kernel of f, then i is a monomorphism.
- If p is a kernel of f, then p is a epimorphism.

Remark 3. An epimonrphism may not be a cokernel. Consider $\mathbb{Z} \xrightarrow{\times 3} \mathbb{Z}$ which is an epimorphism in the category of f.g. free \mathbb{Z} -modules. If $\mathbb{Z} \xrightarrow{\times 3} \mathbb{Z}$ is the cokernel of $G \xrightarrow{f} \mathbb{Z}$, then

$$G \xrightarrow{f} \mathbb{Z} \xrightarrow{\times 3} \mathbb{Z}$$

$$\downarrow \times 2 \\ \downarrow \times 2 \\ \mathbb{Z}$$

$$\tilde{f}$$

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This implies $\tilde{f}: 1 \mapsto \frac{2}{3}$, which is impossible.

Def 14. A is an abelian category if it is an additive category s.t.

- kernels and cokernels always exist in A.
- every monomorphism is a kernel and every epimorphism is a cokernel.

• every morphism is expressible as the composite of an epimorphism and a monomorphism. Given $f: B \to C$, we have



where $\mathrm{Im}\,f$ is uniqe up to isomorphism.

• $0 \to A \xrightarrow{f} B \xrightarrow{g} C \to 0$ is exact if f is monomorphism, g is epimorphism and $\operatorname{Im} f = \ker g$.

Theorem 10 (Freyd-Mitchell theorem). A small abelian category is equivalent to a full subcategory of a category of R-modules.