# Further Consequences of the Colorful Helly Hypothesis: Beyond Point Transversals

Leonardo I. Martínez Sandoval (Sorbonne Université)

Joint work with Edgardo Roldán Pensado (UNAM) and Natan Rubin (BGU) Séminaire Francilien de Géométrie Algorithmique et Combinatoire Institut Henri Poincaré

October 11, 2018

## Helly's Theorem

Let  $\mathcal{F}$  be a finite family of at least d+1 convex sets in  $\mathbb{R}^d$ .

Theorem (Helly's Theorem '23)

If each subfamily in  $\binom{\mathcal{F}}{d+1}$  has non-empty intersection, then  $\mathcal{F}$  has non-empty intersection.

## Helly's Theorem

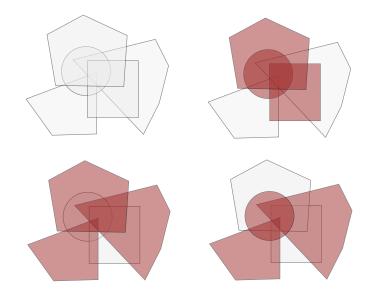
Let  $\mathcal{F}$  be a finite family of at least d+1 convex sets in  $\mathbb{R}^d$ .

## Theorem (Helly's Theorem '23)

If each subfamily in  $\binom{\mathcal{F}}{d+1}$  has non-empty intersection, then  $\mathcal{F}$  has non-empty intersection.

Note. Non-empty intersection ←⇒ single piercing point.

# Helly's Theorem



# Variations: Two of (many) possible directions

## Problem (Weaker intersection hypothesis)

What can we say if we know that fewer of the subfamilies in  $\binom{\mathcal{F}}{d+1}$  have non-empty intersection?

# Variations: Two of (many) possible directions

#### Problem (Weaker intersection hypothesis)

What can we say if we know that fewer of the subfamilies in  $\binom{\mathcal{F}}{d+1}$  have non-empty intersection?

#### Problem (Higher dimensional transversals)

What happens if we replace piercing points with higher k-dimensional transversal flats for  $1 \le k \le d-1$ ?

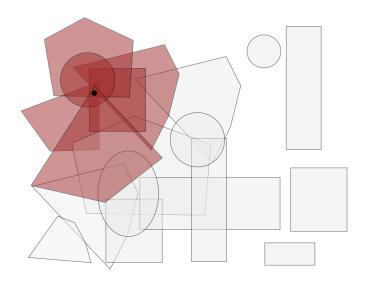
## Fractional Helly's Theorem

Theorem (Fractional Helly's Theorem, Katchalski and Liu '79)

For each  $\alpha \in (0,1)$  and  $d \ge 1$  there is a  $\beta = \beta(\alpha,d) > 0$  with the following property:

If at least  $\alpha \binom{|\mathcal{F}|}{d+1}$  of the subfamilies in  $\binom{\mathcal{F}}{d+1}$  have non-empty intersection, then there is a point that pierces at least  $\beta |\mathcal{F}|$  sets of the family  $\mathcal{F}$ .

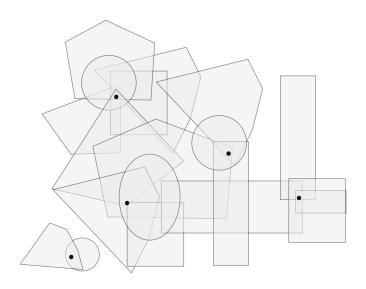
# Fractional Helly's Theorem



# The (p, q)-theorem

Theorem (The (p,q)-theorem, Alon and Kleitman '92) For each  $p \geq q \geq d+1$  there is a P=P(p,q,d) with the following property: If any subfamily  $\mathcal{F}' \in \binom{\mathcal{F}}{p}$  contains an intersecting family  $\mathcal{F}'' \in \binom{\mathcal{F}'}{q}$ , then  $\mathcal{F}$  can be pierced by P points.

# The (p, q)-theorem



## Colorful Helly's Theorem

#### Definition

Let k be an integer. Let  $\mathcal{F}$  be a family of convex sets split into k non-empty color classes  $\mathcal{F}=\mathcal{F}_1\cup\cdots\cup\mathcal{F}_k$ . We say that this (split) family has the colorful intersection hypothesis if every rainbow selection  $K_i\in\mathcal{F}_i$  for  $1\leq i\leq k$ , satisfies  $\bigcap_{i=1}^k K_i\neq\emptyset$ .

## Colorful Helly's Theorem

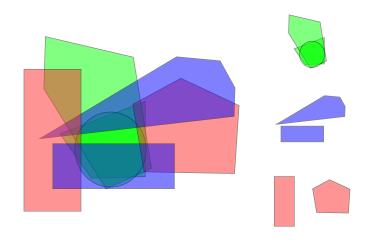
#### Definition

Let k be an integer. Let  $\mathcal{F}$  be a family of convex sets split into k non-empty color classes  $\mathcal{F}=\mathcal{F}_1\cup\cdots\cup\mathcal{F}_k$ . We say that this (split) family has the colorful intersection hypothesis if every rainbow selection  $K_i\in\mathcal{F}_i$  for  $1\leq i\leq k$ , satisfies  $\bigcap_{i=1}^k K_i\neq\emptyset$ .

## Theorem (Colorful Helly, Lovász, '82)

A family  $\mathcal F$  of convex sets in  $\mathbb R^d$  split into d+1 color classes that satisfy the colorful intersection hypothesis has a class with non-empty intersection.

# Colorful Helly's Theorem



What happens with the rest of the colors?

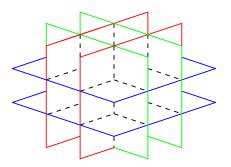
What happens with the rest of the colors? Can we pierce one with few points?

What happens with the rest of the colors? Can we pierce one with few points?  $\color{red}\text{No}$ 

What happens with the rest of the colors? Can we pierce one with few points? No Do we have a fractional piercing point?

What happens with the rest of the colors? Can we pierce one with few points? No Do we have a fractional piercing point? No

What happens with the rest of the colors? Can we pierce one with few points? No Do we have a fractional piercing point? No



#### Problem

Let  $1 \le k \le d$  be an integer and  $\mathcal{F}$  a family of convex sets in  $\mathbb{R}^d$ . Suppose that each subfamily in  $\binom{\mathcal{F}}{d+1}$  has a single k-flat transversal. Can we find a transversal for  $\mathcal{F}$  with one (or few) k-flats? Can we find a k-flat transversal to a positive fraction of the sets?

#### Problem

Let  $1 \le k \le d$  be an integer and  $\mathcal{F}$  a family of convex sets in  $\mathbb{R}^d$ . Suppose that each subfamily in  $\binom{\mathcal{F}}{d+1}$  has a single k-flat transversal. Can we find a transversal for  $\mathcal{F}$  with one (or few) k-flats? Can we find a k-flat transversal to a positive fraction of the sets?

#### Problem (On the plane, and k = 1)

Suppose that each 3 sets of  $\mathcal{F}$  have a transversal line. Is it true that  $\mathcal{F}$  has a transversal line?

#### Problem

Let  $1 \le k \le d$  be an integer and  $\mathcal{F}$  a family of convex sets in  $\mathbb{R}^d$ . Suppose that each subfamily in  $\binom{\mathcal{F}}{d+1}$  has a single k-flat transversal. Can we find a transversal for  $\mathcal{F}$  with one (or few) k-flats? Can we find a k-flat transversal to a positive fraction of the sets?

## Problem (On the plane, and k = 1)

Suppose that each 3 sets of  $\mathcal F$  have a transversal line. Is it true that  $\mathcal F$  has a transversal line? No

#### Problem

Let  $1 \leq k \leq d$  be an integer and  $\mathcal{F}$  a family of convex sets in  $\mathbb{R}^d$ . Suppose that each subfamily in  $\binom{\mathcal{F}}{d+1}$  has a single k-flat transversal. Can we find a transversal for  $\mathcal{F}$  with one (or few) k-flats? Can we find a k-flat transversal to a positive fraction of the sets?

#### Problem (On the plane, and k = 1)

Suppose that each 3 sets of  $\mathcal{F}$  have a transversal line. Is it true that  $\mathcal{F}$  has a transversal line? No Can it be pierced with few lines? Is there a line that pierces a positive fraction?

#### Problem

Let  $1 \le k \le d$  be an integer and  $\mathcal{F}$  a family of convex sets in  $\mathbb{R}^d$ . Suppose that each subfamily in  $\binom{\mathcal{F}}{d+1}$  has a single k-flat transversal. Can we find a transversal for  $\mathcal{F}$  with one (or few) k-flats? Can we find a k-flat transversal to a positive fraction of the sets?

#### Problem (On the plane, and k = 1)

Suppose that each 3 sets of  $\mathcal{F}$  have a transversal line. Is it true that  $\mathcal{F}$  has a transversal line? No Can it be pierced with few lines? Is there a line that pierces a positive fraction? Yes, yes

## Piercing by few hyperplanes

#### Theorem (Eckhoff '93, Holmsen '13)

On the plane, if each 3 sets can be pierced with a line then:

- ▶ There is a transversal set of 4 lines that pierce  $\mathcal{F}$ .
- ▶ There is a line through at least  $\frac{1}{3}|\mathcal{F}|$  of the sets of  $\mathcal{F}$

## Piercing by few hyperplanes

#### Theorem (Eckhoff '93, Holmsen '13)

On the plane, if each 3 sets can be pierced with a line then:

- ▶ There is a transversal set of 4 lines that pierce  $\mathcal{F}$ .
- ▶ There is a line through at least  $\frac{1}{3}|\mathcal{F}|$  of the sets of  $\mathcal{F}$

#### Theorem (Alon and Kalai '95)

On  $\mathbb{R}^d$ , if each d+1 sets can be pierced with one hyperplane then:

- $\triangleright$   $\mathcal{F}$  admits a transversal of h := h(d) hyperplanes.
- ▶ There is a hyperplane through at least  $\delta |\mathcal{F}|$  of the sets of  $\mathcal{F}$ .

# Transversal lines in high dimensions

What happens for  $1 \le k \le d - 2$ ?

## Transversal lines in high dimensions

What happens for  $1 \le k \le d - 2$ ?

#### Theorem (Alon et al. '02)

For every integers  $d \ge 3$ , m and sufficiently large  $n_0 > m+4$  there is a family of at least  $n_0$  convex sets so that any m of the sets can be pierced with a line but no m+4 of them can.

# Transversal lines in high dimensions

What happens for  $1 \le k \le d - 2$ ?

#### Theorem (Alon et al. '02)

For every integers  $d \ge 3$ , m and sufficiently large  $n_0 > m+4$  there is a family of at least  $n_0$  convex sets so that any m of the sets can be pierced with a line but no m+4 of them can.

In particular, no (p, q)-theorem and not even a fractional theorem.

## Our main result

We go back to the Colorful Helly's Theorem context.

#### Our main result

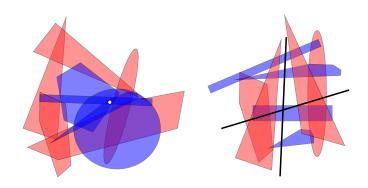
We go back to the Colorful Helly's Theorem context.

#### Theorem (MSRPR, '18+)

For each dimension d there exist f(d) and g(d) for which: If  $\mathcal{F}$  is split into d+1 color classes with the colorful intersection hypothesis and  $\mathcal{F}_{d+1}$  is the intersecting class given by CHT, then either

- lacktriangle an additional  $\mathcal{F}_i$  for  $i\in[d]$  can be pierced by f(d) points or
- ▶ the entire family  $\mathcal{F}$  admits a transversal by g(d) lines.

# The 2-colored picture



## The Transversal Step-Down Lemma

#### Theorem (MSRPR, '18+)

For each dimension d, every postive integer m and every  $k \in [d+1]$  there exist numbers F(m,k,d) and G(m,k,d) for which:

If  $\mathcal{F} = \mathcal{A} \cup \mathcal{B}$  and the family of bicolorful intersections

$$\mathcal{I}(\mathcal{A},\mathcal{B}) := \{A \cap B : A \in \mathcal{A}, B \in \mathcal{B}\}$$

can be crossed by m k-flats then either:

- ightharpoonup A can be pierced by F(m, k, d) points, or
- $\triangleright$   $\mathcal{B}$  can be crossed by G(m, k, d) (k-1)-flats

#### Reminder of the Alon and Kleitman framework

#### Sketch

- ightharpoonup Set-up a useful hypergraph  ${\cal H}$
- ▶ Bound  $\nu^*(\mathcal{H})$ : Use (weighted) Fractional Helly
- ▶ Linear duality: Conclude  $\tau^*(H) = \nu^*(H)$  is small
- ▶ Break the integrality gap: Use small weak  $\epsilon$ -nets to bound  $\tau(H)$  in terms of  $\tau^*(H)$  and d.

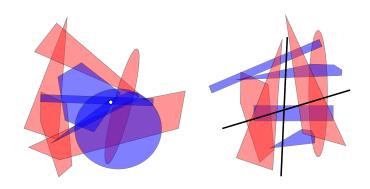
#### Bi-colored Lemma

#### Theorem (MSRPR, '18+)

If  $\mathcal{F}=\mathcal{A}\cup\mathcal{B}$  has the colorful intersection hypothesis then either

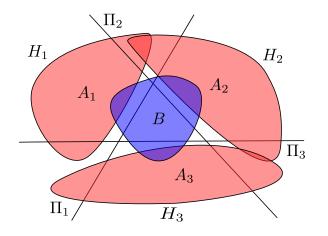
- $ightharpoonup \mathcal{A}$  can be pierced by a single point or
- ▶ B can be crossed by d hyperplanes

# The 2-colored picture



### Bi-colored Lemma Proof

### Proof.



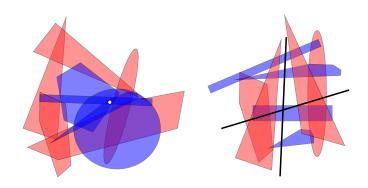
### Fractional Bi-colored Lemma

#### Theorem

For each dimension d, and  $0 < \alpha \le 1$  there exist numbers  $\gamma := \gamma(\alpha, d)$  and  $\lambda := \lambda(\alpha, d)$  for which: If  $\mathcal{F} = \mathcal{A} \cup \mathcal{B}$  satisfies that at least  $\alpha |A| |B|$  of the pairs  $A \in \mathcal{A}$  and  $B \in \mathcal{B}$  are intersecting then either:

- lacktriangledown it is possible to pierce  $\gamma|\mathcal{A}|$  sets of  $\mathcal{A}$  by a single point or
- $\blacktriangleright$  it is possible to cross  $\lambda |\mathcal{B}|$  sets of  $\mathcal{B}$  by a single hyperplane.

# The 2-colored picture



### Our main result

Once again, we want to prove the following:

#### **Theorem**

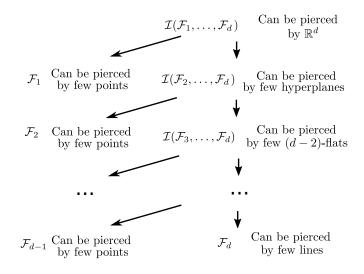
For each dimension d there exist f(d) and g(d) for which: If  $\mathcal F$  is split into d+1 color classes with the colorful intersection hypothesis and  $\mathcal F_{d+1}$  is the intersecting class given by CHT, then either

- lacktriangle an additional  $\mathcal{F}_i$  for  $i \in [d]$  can be pierced by f(d) points or
- $\blacktriangleright$  the entire family  $\mathcal F$  admits a transversal by g(d) lines.

## Proof of the Step-Down Lemma

- ▶ We setup two simultaneous hypergraphs  $\mathcal{H}_0 := \mathcal{H}_0(\mathcal{A})$  and  $\mathcal{H}_{k-1} := \mathcal{H}_{k-1}(\mathcal{B})$ . We suppose that  $\tau(\mathcal{H}_0)$  is unbounded.
- ▶ We use the Alon-Kleitmain scheme to conclude that there is a bad weight function for  $\mathcal{H}_0$ .
- ▶ We give a weight function for  $\mathcal{H}_{k-1}$ . By pidgeon-hole principle in the heaviest m-flat  $\Pi$  we have a positive fraction of bicolored intersections.
- ▶ We apply the fractional bicolored version (in  $\Pi \approx \mathbb{R}^k$ ). We get a positive fraction piercing point for  $\mathcal{H}_{k-1}$ . Thus, we have bounded  $\nu^*(\mathcal{H}_{k-1})$ .
- We apply linear duality.
- ▶ We finish by using m small hyperplane weak  $\epsilon$ -nets.

### Proof of Main Theorem



## Characterization up to transversal dimension

#### **Theorem**

For all  $1 \le i \le d$  there exist numbers f(i,d) and g(i,d) for which: Let  $\mathcal{F}$  be a finite (d+1)-colored family of convex sets that satisfies the colorful intersection hypothesis. Then there exist  $k \in [d]$  and a re-labeling of the color classes  $\mathcal{F}_1, \ldots, \mathcal{F}_{d+1}$  of  $\mathcal{F}$  so that

- 1.  $\bigcup_{1 \le i \le k} \mathcal{F}_i$  can be pierced by f(k, d) points, and
- 2.  $\bigcup_{k < i < d+1} \mathcal{F}_i$  can be crossed by g(k, d) k-flats.

## Conjecture

### Conjecture

For all  $1 \le k \le d$  there exist numbers h(k,d) with the following property. For any d-colored family  $\mathcal F$  of convex sets with the colorful intersection hypothesis there exist numbers  $k_1,\ldots,k_d$  so that

- 1.  $\sum_i k_i \leq d$ , and
- 2. each color class  $\mathcal{F}_i$ , can be crossed by  $h(k_i, d)$   $k_i$ -flats.

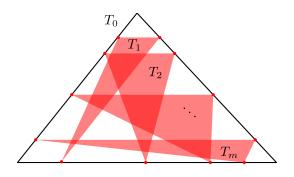
## Qualitative lower bounds

## Theorem (MSRPR, '18+)

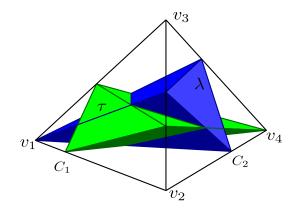
For every  $d \geq 2$  and integer  $f \geq 1$  there exists a d-colored family  $\mathcal{F}$  in  $\mathbb{R}^d$  with the colorful intersection hypothesis and the following additional properties:

- ▶ For every  $1 \le i \le d$ , one needs at least f points to pierce the color class  $\mathcal{F}_i$ .
- ▶ At least  $\lceil \frac{d+1}{2} \rceil$  lines are necessary to cross  $\bigcup \mathcal{F}_i$ .

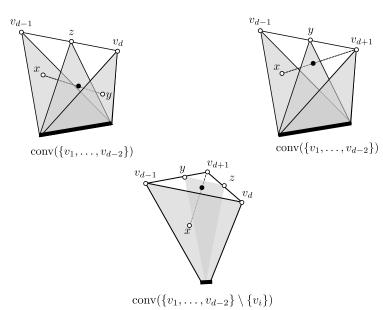
# Example on the plane



# Example in high dimensions

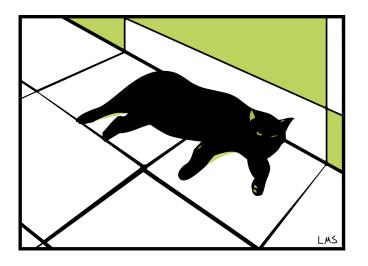


## Proof that the example works



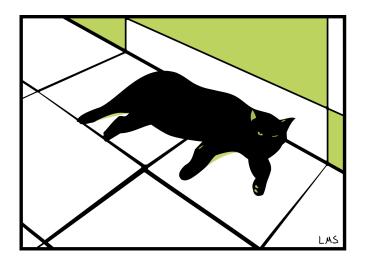
# Thank you!

Time to wake up!



## Thank you!

Time to wake up!



Thank you for your attention!