

# General Topology Math Notes

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# 1 Intro to Topology

## 1.1 Why Topology?

Topology can appear where we least expect it...

- Algebraic Number Theory - Next to Euclidean topology, can define other topologies on  $\mathbb{Q}$  (related to how often primes divide a number). Extends to Adeles, Langlands programme, etc
- Arithmetic Progressions in the Integers - An arithmetic progression of length  $k$  is a set  $\{a, a + d, \dots, a + (k - 1)d\}$  Finding subsets of  $\mathbb{N}$  that contain arbitrarily long APs:

–  $2\mathbb{N}$  or  $\mathbb{N}$

- Primes (Green-Tao Theorem, 2007). Green-Tao theorem relies on **Szemerédi's Theorem**: Any dense enough subset of  $\mathbb{N}$  contains arbitrarily long APs

Furstenberg's idea: Get from  $A \subseteq \mathbb{N}$  to  $(a_i \in \{0, 1\}^{\mathbb{N}})$  with  $a_i \begin{cases} 1 & i \in A \\ 0 & \text{else} \end{cases}$

Use topological dynamics to study this: A topological dynamical system is a triple of  $X$  cpt,  $T : X \rightarrow X$  continuous, and a probability measure  $\mu$  preserved by  $T$  (what)

## 1.2 Topological Spaces and Examples

### Definition 1.2.1: Topological Space

A **topological space** is a pair  $(X, \mathcal{T})$ , where  $X$  is a nonempty set, and  $\mathcal{T}$  is a collection of subsets of  $X$  which satisfies:

1.  $\emptyset \in \mathcal{T}$  and  $X \in \mathcal{T}$
2. if  $U_\lambda \in \mathcal{T}$  for each  $\lambda \in A$  (where  $A$  is some indexing set), then  $\bigcup_{\lambda \in A} U_\lambda \in \mathcal{T}$
3. If  $U_1, U_2 \in \mathcal{T}$ , then  $U_1 \cap U_2 \in \mathcal{T}$

### 1.2.2 Examples of Topological Spaces

1.  $\mathbb{R}^n$  with the Euclidean Topology - induced by the Euclidean Metric
2. For any set  $X$ ,  $\mathcal{T} = \mathcal{P}(X)$  (discrete topology)
3. For any set  $X$ ,  $\mathcal{T} = \{\emptyset, X\}$  (indiscrete topology)
4.  $X = \{0, 1, 2\}$  with  $\mathcal{T} = \{\emptyset, X, \{0\}, \{0, 1\}, \{0, 2\}\}$
5.  $X = \mathbb{R}$  and  $U$  open (aka, in  $\mathcal{T}$ ) if  $\mathbb{R} \setminus U$  is finite or  $U = \emptyset$

Proof for 5:

1.  $\emptyset \in \mathcal{T}$ ,  $\emptyset$  is finite  $\implies X \in \mathcal{T}$
2. Intersections of finite sets are finite
3. Unions of finite sets are finite

### Definition 1.2.3: Neighbourhood of a point

A **neighbourhood** of a point  $x \in X$  is a subset  $N \subseteq X$  s.t.  $x \in U \subseteq N$  for some open subset  $U \subseteq X$

### Definition 1.2.4: Metric Space

A **metric space**  $(X, d)$  is a nonempty set  $X$  together with a function

$$d : X \times X \rightarrow \mathbb{R}$$

with the following properties:

1.  $d(x, y) \geq 0$  for all  $x, y \in X$  and  $d(x, y) = 0$  iff  $x = y$
2.  $d(x, y) = d(y, x)$  for all  $x, y \in X$
3.  $d(x, z) \leq d(x, y) + d(y, z)$  for all  $x, y, z \in X$

The function  $d$  is called the metric. Point 3 is called the *triangle inequality*

For any  $x \in X$  and any positive real number  $r$  the **open ball** in  $X$  with centre  $x$  and radius  $r$  is defined by

$$B(x, r) = \{y \in X \mid d(x, y) < r\}$$

We declare a subset  $U$  of  $X$  to be *open in the metric topology given by  $d$*  iff for each  $a \in U$  there is an  $r > 0$  such that  $B(a, r) \subseteq U$

If  $(X, \mathcal{T})$  is a topological space, and if  $X$  admits a metric whose metric topology is precisely  $\mathcal{T}$  we say that  $(X, \mathcal{T})$  is **metrisable**. Thus the euclidean spaces with their usual topologies are metrisable

### 1.2.5 Examples of Metric Spaces

1. Any set  $X$  with  $d(x, y) = \begin{cases} 0, & x = y \\ 1, & \text{else} \end{cases}$
2.  $\mathbb{R}^n$  with  $d(x, y) = |x - y| = \sqrt{\sum_{i=1}^n (x_i - y_i)^2}$
3.  $C([0, 1])$  with  $d(f, g) = \max_{t \in [0, 1]} |f(t) - g(t)|$
4.  $C([0, 1])$  with  $d(f, g) = \sqrt{\int_0^1 |f(t) - g(t)|^2 dt}$

### 1.2.6 Topologies on Metric spaces

We want to define a topology on  $(X, d)$ . For this, we want open balls to be open in the topology

### Definition 1.2.7: Base

For a set  $X$ , a basis  $\mathcal{B}$  is a collection of subsets such that

1.  $\bigcup_{B \in \mathcal{B}} B = X$
2.  $B_1 \cap B_2 \in \mathcal{B}$  for all  $B_1, B_2 \in \mathcal{B}$

The **topology generated by**  $\mathcal{B}$  is

$$\mathcal{T} := \left\{ \bigcup_{i \in I} B_i, I \text{ index set, } B_i \in \mathcal{B} \right\}$$

**Note:** This is a topology because

$$(\cup_{i \in I} B_i) \cap (\cup_{j \in J} B_j) = \bigcup_{i \in I, j \in J} \underbrace{B_i \cap B_j}_{\in \mathcal{B}} \in \mathcal{T}$$

### Definition 1.2.8: Metric Topology

$$\text{Let } \mathcal{B} = \left\{ \bigcap_{i=1}^n B_{r_i}(x_i), n \in \mathbb{N}, r_i > 0, x_i \in X, \forall i \right\}$$

The **metric topology** is the topology generated by this basis

**Observation** A set  $U$  is open in the metric topology  $\iff \forall x \in U, \exists r > 0$  s.t.  $B_r(x) \subseteq U$

- $\Leftarrow$  : For each  $x \in U$ , let  $r_x$  s.t.  $B_{r_x}(x) \subseteq U$ . Then  $U = \bigcup_{x \in U} B_{r_x}(x)$  is open
- $\Rightarrow$  : Let  $x \in U$  be given. Know that  $x \in B_{r_1}(x_1) \cup \dots \cup B_{r_n}(x_n)$  for some  $n, r_1, x_1$ . For each  $i$ , there is  $\delta_i > 0$  s.t.  $B_{\delta_i}(x) \subseteq B_{r_i}(x_i)$ .

huh?

### Theorem 1.2.9: random ms prop

If  $X$  carries metrics  $d, \tilde{d}$  such that  $ad(x, y) \leq \tilde{d}(x, y) \leq Ad(x, y)$  for some  $a, A > 0$ , then the induced topologies agree

### Definition 1.2.10: Subspace Topology

Let  $(X, \mathcal{T})$  be a topological space, and let  $A \subseteq X$  be any subset. Then the **subspace topology** on  $A$  consists of all sets of the form  $U \cap A$  where  $U \in \mathcal{T}$

**Example:**  $(-1, 1) \subseteq \mathbb{R}$  with euclidean topology. The subspace topology is

$$\{(-1, 1) \cap U, U \subseteq \mathbb{R} \text{ open}\}$$

$(-1, 1)$  is closed in the subspace topology

### Theorem 1.2.11: Topology Lemmas

**1.3** If  $(X, \mathcal{T})$  is a topological space and  $U_1, \dots, U_n$  are open sets, then the intersection  $\bigcap_{i=1}^n U_i$  is also open

**1.6** In order to show that a set  $U \subseteq X$  is open, it is enough to show that for every  $x \in U$  there is an open set  $V$  with  $x \in V \subseteq U$

**1.6** A subset  $U$  of  $\mathbb{R}^n$  is *open for the usual topology* iff for each  $a \in U$  there exists an  $r > 0$  s.t.

$$|x - a| < r \implies x \in U$$

The collection of open sets thus defined is called the **usual topology on**  $\mathbb{R}^n$ . Note

that open balls are open sets under this definition

**Definition 1.2.12: Topology Small Definitions**

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### 1.3 Closed sets, Closure, Interior, and Boundary

#### Definition 1.3.1: Closed Subsets

Let  $(X, \mathcal{T})$  be a topological space. A subset  $A \subseteq X$  is **closed** iff its complement  $X \setminus A = A^C := \{x \in X \mid x \notin A\}$  is open in  $X$

**Note:** A set being “closed” has no connection with “not being open”

#### 1.3.2 Examples of open and closed sets

- A set that is neither open nor closed:  $[0, 1) \subseteq \mathbb{R}$  under Euclidean topology
- A set that is both closed and open:  $\emptyset$  or  $X$

#### Theorem 1.3.3

Let  $(X, \mathcal{T})$  be a topological space. Then

1.  $\emptyset$  and  $X$  are closed.
2. The union of finitely many closed sets is a closed set
3. The intersection of any collection of closed sets is a closed set

$\bigcup_{i \in I} A_i$  is not necessarily closed.

$$A_n = \left[ -2 + \frac{1}{n}, 2 - \frac{1}{n} \right] \text{ in } \mathbb{R}, \bigcup_{i=1}^n A_i = (-2, 2)$$

#### 1.3.4 Topological proof that there are infinitely many primes (Furstenberg)

*Proof.* Look at  $\mathbb{Z}$  with

$$\mathcal{B} := \{S(a, b), a \neq 0, b \in \mathbb{Z}\} \quad \text{and} \quad S(a, b) = \{an + b, n \in \mathbb{Z}\}$$

Let the open sets be the one generated by this basis. We can show

1.  $S(a, b)$  is both open and closed.
2. All open sets are infinite.

---

1.  $S(a, b) = \mathbb{Z} \setminus \bigcup_{i=1}^{a-1} S(a, b - i)$

2. Clear

Thus:

$$\underbrace{\mathbb{Z} \setminus \{-1, 1\}}_{\text{not closed}} = \bigcup_{p \text{ primes}} \overbrace{S(p, 0)}^{\text{closed}}$$

If there were only finitely many primes, right hand side would be closed

□

## 1.4 Closure and stuff

### Definition 1.4.1: Closure, Interior, Boundary

Let  $(X, \mathcal{T})$  be a topological space.

1. The **closure** of a subset  $A \subseteq X$  is the smallest closed set such that  $A \subseteq \overline{A}$ .

$$\overline{A} := \bigcap_{\substack{C \subseteq X^{\text{closed}} \\ A \subseteq C}} C$$

2. The (open) **interior** of a subset  $A \subseteq X$  is the biggest open set  $U$  contained in  $A$

$$\text{int } A = A^\circ := \bigcup_{\substack{U \subseteq X^{\text{open}} \\ U \subseteq A}} U$$

3. The **boundary** or **frontier** of a subset  $A \subseteq X$  is

$$\partial A := \overline{A} \setminus A^\circ$$

4. A subset  $A$  of  $X$  is **dense** in  $X$  iff  $\overline{A} = X$

E.g.:  $\mathbb{Q} \subseteq \mathbb{R}$  with the Euclidean topology

### Theorem 1.4.2: Closure and Interior of Complement

Let  $(X, \mathcal{T})$  be a topological space and  $A \subseteq X$ . Then

1. The closure of the complement is the complement of the interior:

$$\overline{X \setminus A} = X \setminus (A^\circ)$$

2. the interior of the complement is the complement of the closure:

$$(X \setminus A)^\circ = X \setminus \overline{A}$$

### Definition 1.4.3: Limits in Topological spaces

A sequence  $(x_n)$  converges to  $x \in X$  if  $\forall U$  open with  $x \in U$ ,  $\exists N$  s.t.  $x_n \in U$  for all  $n \geq N$

### Definition 1.4.4: Limit Set

$\overline{A}$  can be thought as the set of limits. Formally define the **Limit Set** as

$$A' = \{x \in X : \forall U \subseteq X, x \in U, \text{ open } \exists a \in A, \text{ s.t. } a \in U\}$$

But, limits in general are much worse behaved in topological spaces, e.g. no unique limit point  
**Example:** a topological space  $X$  and a sequence  $(x_n)$  which does not have a unique limit (i.e.  $\exists x \neq y$  s.t.  $x_n \rightarrow x$  and  $x_n \rightarrow y$  in the sense defined): Nontrivial  $X$  with the indiscrete topology  $\{\emptyset, X\}$



## 1.5 Hausdorff Spaces

**Problem:** Non-unique limits are nasty :(

### Definition 1.5.1: Hausdorff Space

A topological space  $(X, \mathcal{T})$  is **Hausdorff** if for each  $x, y \in X$  with  $x \neq y$  there exist *disjoint* open sets  $U$  and  $V$  s.t.  $x \in U$  and  $y \in V$   
This space has *unique limits*!

If  $(X, d)$  is a metric space then it is automatically Hausdorff, so any metrisable space is Hausdorff. The trivial topology on a set with more than one element is not Hausdorff. Not every Hausdorff space is metrisable

Non-Hausdorff spaces are a lot more annoying to work with - for example you can have multiple limits in non-Hausdorff spaces

### Theorem 1.5.2: Open sets on $\mathbb{R}$ with Euclidean Topology

- A set  $U$  is open iff there are open intervals  $I_j$  s.t.

$$U = \bigcup_{j=1}^{\infty} I_j$$

- A set  $A$  is closed iff there are  $F_j$  (union of two closed intervals) s.t.

$$A = \bigcap_{j=1}^{\infty} F_j$$

### Definition 1.5.3: Convergence of Hausdorff Spaces

A sequence  $(x_n)$  of members of a topological space  $X$  converges to  $x \in X$  if for every open set  $U$  containing  $x$ , there exists an  $N$  such that  $n \geq N \implies x_n \in U$

### Theorem 1.5.4: Hausdorff Convergence Uniqueness

Suppose  $(X, \mathcal{T})$  is Hausdorff. Then a sequence  $(x_n)$  can converge to at most one limit.

Being Hausdorff is what's called a *topological property*, which means whether it is true in a particular case depends only on the open sets of the space in question.

In contrast, the property of *completeness* of a metric space is not a topological property as there exist sets upon which one can put two distinct metrics, one complete and one not, yet for which the metric topologies coincide

### Definition 1.5.5: Cauchy Sequences

Let  $(X, d)$  be a metric space

1. A **Cauchy Sequence** is a sequence  $(x_n)$  with each  $x_n \in X$  with the property that for each  $\epsilon > 0$ , there exists an  $N$  s.t.  $m, n \geq N \implies d(x_m, x_n) < \epsilon$
2.  $(X, d)$  is **complete** if every Cauchy Sequence converges

**Caveat:** In general, this does not have to converge to an  $x \in X$

**Example:**  $\mathbb{Q}$  with the Euclidean metric.

### Definition 1.5.6: Complete Space

A metric space is called **complete** if all Cauchy sequences converge to a point in the space

### Definition 1.5.7: Closure in Metric Spaces

Let  $(X, d)$  be a complete metric space and  $A \subseteq X$ . A point  $x$  is in the **closure** of  $A \iff \exists x_i \rightarrow x$  with  $x \in A$

## 2 Continuity

### 2.1 Continuity

#### Definition 2.1.1: Continuity

Let  $(X, \mathcal{T}), (Y, \tilde{\mathcal{T}})$  be topological spaces and  $f : X \rightarrow Y$ .  $f$  is **continuous** if for all  $U \in \tilde{\mathcal{T}}$ ,  $f^{-1}(U) \in \mathcal{T}$

Equivalently:

- $U \subseteq Y$  open  $\implies f^{-1}(U)$  open
- $A \subseteq Y$  closed  $\implies f^{-1}(A)$  closed

#### 2.1.2 Why take $f^{-1}$

**Properties:** For  $U, V$  sets in  $Y$ ,

- $f^{-1}(U \cap V) = f^{-1}(U) \cap f^{-1}(V)$
- $f^{-1}(U^C) = f^{-1}(U)^C$
- $f^{-1}(U \cup V) = f^{-1}(U) \cup f^{-1}(V)$

**Example:**  $\mathbb{R}$  with Euclidean Topology

*Proof.* "Proof" that  $[-1, 1]$  is open:

Take  $[-1, 1]$  with the subspace topology  $\mathcal{T} := \{[-1, 1] \cap U, U \subseteq \mathbb{R} \text{ open}\}$

Embedding  $i : [-1, 1] \rightarrow \mathbb{R}, x \mapsto x$  is continuous

$[-1, 1]$  open in subspace topology

$i \text{ cont} \implies i([-1, 1])$  is open this is actually wrong!  $U$  open  $\not\Rightarrow f(U)$  open

But  $i([-1, 1]) = [-1, 1] \subseteq \mathbb{R}$

□

### Definition 2.1.3: Formal Definition of Continuity

Let  $(X, d), (Y, d)$  be metric spaces with the metric topology.  $f : X \rightarrow Y$  is continuous as above iff  $\forall x \in X, \forall \epsilon > 0, \exists \delta > 0$  s.t.

$$d(x, y) < \delta \implies d(f(x), f(y)) < \epsilon$$

*Proof.*  $\implies$  **Direction**

Recall:  $U$  open in metric topology if  $\forall x \in U, \exists r > 0$  s.t.  $B_r(x) \subseteq U$ , where  $B_r(x) = \{y \in X : d(x, y) < r\}$

$\implies$  Let  $x \in X$  be given,  $\epsilon > 0$ . Let  $y = f(x) \in Y, U = B_\epsilon(y) = \{y' \in Y : \tilde{d}(y, y') < \epsilon\}$ .

$f$  cont  $\implies f^{-1}(U)$  is open.  $x \in f^{-1}(U) \implies \exists \delta > 0$  s.t.  $B_\delta(x) \subseteq f^{-1}(U)$

$\implies \forall x' \in X$  s.t.  $d(x, x') < \delta, x' \in B_\delta(x) \subseteq f^{-1}(U)$ .

$\implies f(x') \in B_\delta(f(x)) \implies \tilde{d}(f(x), f(x')) < \epsilon$

$\Leftarrow$  **Direction**

Let  $U$  be open in  $Y$ . WTS:  $f^{-1}(U)$  is open.

So it is enough to show for all  $x \in f^{-1}(U), \exists \delta > 0$  s.t.  $B_\delta(x) \subseteq f^{-1}(U)$ .

Let  $x$  be given,  $y := f(x) \in U$ .  $U$  open  $\implies \exists \epsilon > 0$  s.t.  $B_\epsilon(y) \subseteq U$ .

By assumption  $\exists \delta > 0$  s.t.

$$d(x', x) < \delta \implies \tilde{d}(f(x'), f(x)) < \epsilon$$

But,  $\{y' : d(y', f(x)) < \epsilon\} \subseteq U$  by choice of  $\epsilon$ .

$\implies B_\delta(x) \subseteq f^{-1}(U)$

□

## 2.2 Homeomorphisms

### Definition 2.2.1: Homeomorphism

Let  $(X, \mathcal{T}), (Y, \tilde{\mathcal{T}})$  be topological spaces. A function  $f : X \rightarrow Y$  is a **homeomorphism** (or **bi-continuous**) if  $f$  is bijective,  $f$  is continuous, and  $f^{-1}Y \rightarrow X$  is continuous

A “Great goal of Topology”: Understand topological spaces up to homeomorphisms.

Say that a property of a topological space is a **topological invariant** if it is preserved by homeomorphism. Example: Being Hausdorff

### Example 2.2.2: Examples of Homeomorphisms

- $(X, \mathcal{T})$  topological space,  $\text{id} : X \rightarrow X, x \mapsto x$
- $X = \mathbb{R}^n, A : \mathbb{R}^n \rightarrow \mathbb{R}^n$  Linear + Invertible
- Example which is **not** a homeomorphism:

$$f : \underbrace{\mathbb{R}}_{\text{metric topology}} \rightarrow \underbrace{\mathbb{R}}_{\text{indiscrete topology } \{\emptyset, \mathbb{R}\}}, x \mapsto x$$

Problem:  $f^{-1}$  is not continuous

### Definition 2.2.3: Another continuity definition

Let  $(X, d), (Y, \tilde{d})$  be metric spaces with the metric topology.  $f : X \rightarrow Y$  is continuous iff

$$\forall x \in X, \forall \epsilon > 0, \exists \delta > 0 \text{ s.t. } \forall y \in X, d(x, y) < \delta \implies \tilde{d}(f(x), f(y)) < \epsilon$$

**Observe:**  $\forall y \in X$  is equivalent to

$$B_\delta(x) \subseteq f^{-1}(\tilde{B}_\epsilon(f(x)))$$

Why? Let  $A, B$  be things which can be true for  $y \in X$ . i.e.

$$A \implies B \text{ is equivalent to } \{y : A \text{ true}\} \subseteq \{y : B \text{ true}\}$$

$$\text{Then: } B_\delta(x) = \{y, \underbrace{d(x, y) < \delta}_A\}, f^{-1}(\tilde{B}_\epsilon(f(x))) = \{y \in X : \underbrace{\tilde{d}(f(x), f(y)) < \epsilon}_B\}$$

$$\text{WTS: } U \text{ open} \iff \forall x \in U, \exists r > 0 \text{ s.t. } B_\delta(x) \subseteq U$$

$$\implies \text{"Let } x, \epsilon \text{ be given, WTS that } \exists \delta \text{ s.t. } B_\delta(x) \subseteq f^{-1}(\tilde{B}_\epsilon f(x))$$

**Example:**  $f$  cont + bijective but not a homeomorphism:

indiscrete topology: only  $\emptyset$  and  $X$  are open

$$f : \underbrace{X}_{\text{discrete topology - every set is open}} \rightarrow \underbrace{X}_{\text{identity}}$$

discrete topology - every set is open

### Lemma 2.2.4: Homeomorphism-condition

For a set  $X$  with topologies  $\mathcal{T}, \tilde{\mathcal{T}}$ . The identity map  $(X, \mathcal{T}) \rightarrow (X, \tilde{\mathcal{T}}), x \mapsto x$  is

- continuous  $\iff \tilde{\mathcal{T}} \subseteq \mathcal{T}$
- a homeo  $\iff \tilde{\mathcal{T}} = \mathcal{T}$

### Theorem 2.2.5: Mapping prop

- Let  $f : X \rightarrow Y, g : Y \rightarrow Z$  continuous. The map  $f \circ g$  is continuous

$$\text{As } (f \circ g)^{-1}(U) = f^{-1}(g^{-1}(U))$$

- If  $f : X \rightarrow Y$  is constant, then  $f$  is continuous
- In particular,  $f, g$  homeo  $\implies f \circ g$  is a homeo

### 3 The Clark Barwick Era

#### Theorem 3.0.1: Clark Barwick Quotes List

“Shadows are harshest when there is only one lamp” - 04/10/24

#### 3.1 More top

##### 3.1.1 Something weird

$$\begin{aligned} [0, 2\pi) &\rightarrow S^1 = \{z \in \mathbb{C} : \|z\| = 1\} \\ [0, 2\pi) &\rightarrow [0, 1) \text{ is open, and is also creepy} \end{aligned}$$

Not a homeomorphism

**Claim:** A continuous bijection in which the **image** of every open set is open is a homeomorphism

#### Definition 3.1.2: Subspace Topology

For  $X$  a topological space, and  $T \subseteq X$ ,  $\mathcal{U} \subseteq T$  is open iff  $\exists V \subseteq X$  open and  $\mathcal{U} = V \cap T$

#### Definition 3.1.3: Impromptu Set Theory - Products

$\mathcal{F}$  is a family of sets. We can talk about a product

$$\prod_{x \in \mathcal{F}} X = \{(a_x)_{x \in \mathcal{F}} : a_x \in X\}$$

Example:

$$\begin{aligned} \mathbb{R}^\infty &= \prod_{i=1}^{\infty} \mathbb{R} = \{(x_1, x_2, \dots) : x_i \in \mathbb{R}\} \\ \prod_{x \in \mathcal{F}} &= \{\phi : \mathcal{F} \Rightarrow \bigcup_{x \in \mathcal{F}} X : \phi(X) \in X\} \end{aligned}$$

Note: the  $\mathcal{F}$  notation is pretty creepy - Clark

##### 3.1.4 Topologising the above thing

Metrisable

$$\prod_{i \in I} X_i \rightarrow X_j$$



Figure 1: testt