

# Galois Theory Notes

Made by Leon :) *Note: Any reference numbers are to the lecture notes*

## 1 Galois Groups

### Definition 1.1.1: Conjugate Numbers

Two complex numbers  $z$  and  $z'$  are **conjugate over**  $\mathbb{R}$  if for all polynomials  $p$  with coefficients in  $\mathbb{R}$ ,

$$p(z) = 0 \iff p(z') = 0$$

### Lemma 1.1.2: Characterising Conjugates

$z, z' \in \mathbb{C}$  are conjugate over  $\mathbb{R}$  iff either  $z = z'$  or  $\bar{z} = z'$

### Definition 1.1.9: Conjugacy in $\mathbb{Q}$

$z, z' \in \mathbb{C}$  are **conjugate over**  $\mathbb{Q}$  if  $\forall p(t) \in \mathbb{Q}[t]$

$$p(z) = 0 \iff p(z') = 0$$

### Definition 1.1.9: Conjugacy for sets

$(z_1, \dots, z_n), z_i, z'_i \in \mathbb{C}$  is conjugate over  $\mathbb{Q}$  to  $(z'_1, \dots, z'_n)$  if  $\forall p(t_1, \dots, t_n) \in \mathbb{Q}[t_1, \dots, t_n]$

Additionally, if  $(z_1, \dots, z_n)$  conjugate to  $(z'_1, \dots, z'_n)$ , then  $z_i$  is conjugate to  $z'_i$  for all  $i$

### Definition 1.2.1: Galois Group

Let  $f$  be a polynomial with coefficients in  $\mathbb{Q}$ . Write  $\alpha_1, \dots, \alpha_k$  for its distinct roots in  $\mathbb{C}$ . The **Galois group** of  $f$  is

$$\text{Gal}(f) = \{\sigma \in S_n \mid (\alpha_1, \dots, \alpha_n) \text{ conjugate to } (\alpha_{\sigma(1)}, \dots, \alpha_{\sigma(n)})\}$$

**Note:** distinct roots mean that we ignore any repetition of roots.

### Definition 1.3.0: Solvability (Simple Definition)

A complex number is **radical** if it can be obtained from the rationals using only the usual arithmetic operations and  $k$ th roots. A polynomial over  $\mathbb{Q}$  is **solvable (or soluble) by radicals** if all of its complex roots are radical.

### Theorem 1.3.5: Galois

Let  $f$  be a polynomial over  $\mathbb{Q}$ . Then

$$f \text{ is solvable by radicals} \iff \text{Gal}(f) \text{ is a solvable group.}$$

## 2 Groups, Rings, and Fields

### Definition 2.1.1: Group Action

Let  $G$  be a group and  $X$  a set. An **action** of  $G$  on  $X$  is a function  $G \times X \rightarrow X$ , written as  $(g, x) \mapsto gx$  such that

$$(gh)x = g(hx)$$

for all  $g, h \in G$  and  $x \in X$  and

$$1x = x$$

for all  $x \in X$ , where 1 is the identity of  $G$

### Definition 2.1.7: Faithful Actions

An action of a group  $G$  on a set  $X$  is **faithful** if for  $g, h \in G$ ,

$$gx = hx \text{ for all } x \in X \implies g = h$$

Faithfulness means that if two elements of the group *do* the same, they *are* the same.

### Lemma 2.1.8: Faithful Properties

For an action of a group  $G$  on a set  $X$ , the following are equivalent:

1. The action is faithful
2. For  $g \in G$ , if  $gx = x$  for all  $x \in X$  then  $g = 1$
3. The homomorphism  $\Sigma : G \rightarrow \text{Sym}(X)$  is injective
4.  $\ker \Sigma$  is trivial.

### Lemma 2.1.11: Isomorphisms of Faithful Groups

Let  $G$  be a group acting faithfully on a set  $X$ . then  $G$  is isomorphic to the subgroup

$$\text{im } \Sigma = \{\bar{g} \mid g \in G\}$$

of  $\text{Sym}(X)$ , where  $\Sigma : G \rightarrow \text{Sym}(X)$  and  $\bar{g}$  are defined as above.

### Definition 2.1.1: Fixed Set

Let  $G$  be a group acting on a set  $X$ . Let  $S \subseteq X$ . The **fixed set** of  $S$  is

$$\text{Fix}(S) = \{x \in X \mid sx = x \text{ for all } s \in S\}$$

### Lemma 2.1.15: Normal Fixed Sets

Let  $G$  be a group acting on a set  $X$ , let  $S \subseteq X$ , and let  $g \in G$ .

Then  $\text{Fix}(gSg^{-1}) = g\text{Fix}(S)$ .

Here,  $gSg^{-1} = \{gsg^{-1} \mid s \in S\}$  and  $g\text{Fix}(S) = \{gx \mid x \in \text{Fix}(S)\}$

### Definition 2.2.1: Ring Homomorphism

Given rings  $R$  and  $S$ , a **homomorphism** from  $R$  to  $S$  is a function  $\varphi : R \rightarrow S$  satisfying the following equations for all  $r, r' \in R$ :

- $\varphi(r + r') = \varphi(r) + \varphi(r')$
- $\varphi(0) = 0, \varphi(1) = 1$
- $\varphi(rr') = \varphi(r)\varphi(r')$
- $\varphi(-r) = -\varphi(r)$

A **subring** of a ring  $R$  is a subset  $S \subseteq R$  that contains 0 and 1 and is closed under addition, multiplication, and negatives. Whenever  $S$  is a subring of  $R$ , the inclusion  $\iota : S \rightarrow R$  (defined by  $\iota(s) = s$ ) is a homomorphism.

### Lemma 2.2.3: Intersection of Subrings

Let  $R$  be a ring and let  $S$  be any set (perhaps infinite) of subrings of  $R$ . Then their intersection  $\bigcap_{S \in \mathcal{S}} S$  is also a subring of  $R$ .

### Recall 2.0.1: Ideals and Quotient Rings

Let  $R$  be a ring.  $I \subseteq R$  is an **ideal**,  $I \trianglelefteq R$ , if the following hold:

1.  $I \neq \emptyset$
2.  $I$  is closed under subtraction
3. for all  $i \in I$  and  $r \in R$  we have  $ri, ir \in I$

Every ring homomorphism  $\varphi : R \rightarrow S$  has an image  $\text{im } \varphi$ , which is a subring of  $S$ , and a kernel  $\ker \varphi$ , which is an ideal of  $R$ .

Given an ideal  $I \trianglelefteq R$ , we obtain the quotient ring  $R/I$  and the canonical homomorphism  $\pi_I : R \rightarrow R/I$  which is surjective and has kernel  $I$ .

**Universal Prop:** Given any ring  $S$  and any homomorphism  $\varphi : R \rightarrow S$  satisfying  $\ker \varphi \supseteq I$ , there is exactly one homomorphism  $\bar{\varphi} : R/I \rightarrow S$  such that this diagram commutes.

$$\begin{array}{ccc} R & & \\ \pi_I \downarrow & \searrow \varphi & \\ R/I & \xrightarrow{\bar{\varphi}} & S \end{array}$$

### Recall 2.0.2: Integral Domain

An **integral domain** is a ring  $R$  such that  $0_R \neq 1_R$  and for  $r, r' \in R$ ,

$$rr' = 0 \implies r = 0 \text{ or } r' = 0$$

### Recall 2.0.3: Generated Ideal

Let  $Y$  be a subset of a ring  $R$ . The **ideal**  $\langle Y \rangle$  **generated by**  $Y$  is defined as the intersection of all the ideals of  $R$  containing  $Y$ .

- Ideals of the form  $\langle r \rangle$  are called **principal ideals**. A **principle ideal domain** is an integral domain where every ideal is principal.
- Let  $r$  and  $s$  be elements of a ring  $R$ . We say that  $r$  **divides**  $s$ , and write  $r \mid s$  if there exists  $a \in R$  such that  $s = ar$ . This condition is equivalent to  $s \in \langle r \rangle$ , and to  $\langle s \rangle \supseteq \langle r \rangle$ .
- An element  $u \in R$  is a **unit** if it has a multiplicative inverse, or equivalently, if  $\langle u \rangle = R$ . The units form a group  $R^\times$  under multiplication.
- Elements  $r$  and  $s$  of a ring are **coprime** if for  $a \in R$ ,  
 $a \mid r$  and  $a \mid s \implies a$  is a unit

### Lemma 2.2.11: Characterisation of Generated Ideals

Let  $R$  be a ring and let  $Y = \{r_1, \dots, r_n\}$  be a finite subset. Then  
 $\langle Y \rangle = \{a_1 r_1 + \dots + a_n r_n : a_1, \dots, a_n \in R\}$

### Proposition 2.2.16: Coprime and PIDs

Let  $R$  be a principal ideal domain and  $r, s \in R$ . Then  
 $r$  and  $s$  are coprime  $\iff ar + bs = 1$  for some  $a, b \in R$

### Recall 2.3.0: Field

A **field** is a ring  $K$  in which  $0 \neq 1$  and every nonzero element is a unit. Equivalently, it is a ring such that  $K^\times = K \setminus \{0\}$ . Every field is an integral domain.

A field  $K$  has exactly two ideals:  $\{0\}$  and  $K$ .

A **subfield** of a field  $K$  is a subring that is a field

### Example 2.3.2: Rational Expressions

Let  $K$  be a field. A **rational expression** over  $K$  is a ratio of two polynomials

$$\frac{f(t)}{g(t)}$$

where  $f(t), g(t) \in K[t]$  with  $g \neq 0$ . Two such expressions,  $f_1/g_1$  and  $f_2/g_2$  are regarded as equal if  $f_1 g_2 = f_2 g_1$  in  $K[t]$ . i.e. equivalence class. The set of rational expressions over  $K$  is denoted by  $K(t)$

### Lemma 2.3.3: Homomorphisms between fields

Every (ring) homomorphism between fields is injective.

### Lemma 2.3.6: Images of Subfields

Let  $\varphi : K \rightarrow L$  be a homomorphism between fields.

- For any subfield  $K'$  of  $K$ , the image  $\varphi K'$  is a subfield of  $L$
- For any subfield  $L'$  of  $L$ , the preimage  $\varphi^{-1} L'$  is a subfield of  $K$

### Definition 2.3.7: Equaliser

Let  $X$  and  $Y$  be sets, and let  $S \subseteq \{\text{functions } X \rightarrow Y\}$ . The **equalizer** of  $S$  is

$$\text{Eq}(S) = \{x \in X \mid f(x) = g(x) \text{ for all } f, g \in S\}$$

i.e., it is the part of  $X$  where all the functions in  $S$  are equal.

### Lemma 2.3.8: Equalisers are Subfields

Let  $K$  and  $L$  be fields, and let  $S \subseteq \{\text{homomorphisms } K \rightarrow L\}$ . Then  $\text{Eq}(S)$  is a subfield of  $K$ .

### Recall 2.3.9: Characteristic

Let  $R$  be any ring. There is a unique homomorphism  $\chi : \mathbb{Z} \rightarrow R$ . Its kernel is an ideal of the principal ideal domain  $\mathbb{Z}$ . Hence  $\ker \chi = \langle n \rangle$  for a unique integer  $n \geq 0$ . This  $n$  is called the **characteristic** of  $R$ , and written as  $\text{char } R$ . So for  $m \in \mathbb{Z}$ , we have that  $m \cdot 1_R = 0$  iff  $m$  is a multiple of  $\text{char } R$ . Or equivalently,

$$\text{char } R = \begin{cases} \text{the least } n > 0 \text{ s.t. } n \cdot 1_R = 0_R, & \text{if such an } n \text{ exists} \\ 0 & \text{otherwise} \end{cases}$$

### Lemma 2.3.11: Characteristic of Integral Domains

The characteristic of an integral domain is 0 or a prime number.

### Lemma 2.3.12: Characteristics of Homomorphisms

Let  $\varphi : K \rightarrow L$  be a homomorphism of fields. Then  
 $\text{char } K = \text{char } L$ .

### Recall 2.3.C: Prime Subfield

The **prime subfield** of  $K$  is the intersection of all the subfields of  $K$ . Any intersection of subfields is a subfield, and is the smallest subfield of  $K$ , in the sense that any other subfield of  $K$  contains it. Concretely, the prime subfield of  $K$  is

$$\left\{ \frac{m \cdot 1_K}{n \cdot 1_K} \mid m, n \in \mathbb{Z} \text{ with } n \cdot 1_K \neq 0 \right\}$$

### Lemma 2.3.16: Prime Subfields

Let  $K$  be a field.

- If  $\text{char } K = 0$  then the prime subfield of  $K$  is (iso to)  $\mathbb{Q}$ .
- If  $\text{char } K = p > 0$  then the prime subfield of  $K$  is (iso to)  $\mathbb{F}_p$

### Lemma 2.3.17: Characteristic of Finite Fields

Every finite field has positive characteristic.

### Lemma 2.3.19: Prime Division

Let  $p$  be a prime and  $0 < i < p$ . Then  $p \mid \binom{p}{i}$

### Proposition 2.3.20: Characteristics and Primes

Let  $p$  be a prime number and  $R$  a ring of characteristic  $p$ .

- The function

$$\theta : R \rightarrow R \quad r \mapsto r^p$$

is a homomorphism.

- If  $R$  is a field then  $\theta$  is injective.
- If  $R$  is a finite field then  $\theta$  is an automorphism of  $R$

The homomorphism  $\theta : r \mapsto r^p$  is called the **Frobenius map**, or, in the case of finite fields, the **Frobenius Automorphism**.

### Corollary 2.3.22: Roots by Characteristic

Let  $p$  be a prime number.

- In a field of characteristic  $p$ , every element has *at most one*  $p$ th root.
- In a finite field of characteristic  $p$ , every element has *exactly one*  $p$ th root.

### Recall 2.3.D: Reducible Elements

An element  $r$  of a ring  $R$  is **irreducible** if  $r$  is not 0 or a unit, and if for  $a, b \in R$ .

$$r = ab \implies a \text{ or } b \text{ is a unit}$$

For example, the irreducibles in  $\mathbb{Z}$  are  $\pm 2, \pm 3, \pm 5, \dots$ . An element of a ring is **reducible** if it is not 0, a unit, or irreducible.

**Warning:** The 0 and units of a ring are neither reducible nor irreducible, in much the same way that the integers 0 and 1 are neither prime nor composite.

### Proposition 2.3.26

Let  $R$  be a principal ideal domain and  $0 \neq r \in R$ . Then

$$r \text{ is irreducible} \iff R/\langle r \rangle \text{ is a field}$$

This lets us construct fields from irreducible elements of a PID.

## 3 Polynomials

### Definition 3.1.1: Polynomial Ring

Let  $R$  be a ring. A **polynomial over  $R$**  is an infinite sequence  $(a_0, a_1, a_2, \dots)$  of elements of  $R$  s.t.  $\{i \mid a_i \neq 0\}$  is finite. The set of polynomials over  $R$  forms a ring as follows:

$$(a_0, a_1, \dots) + (b_0, b_1, \dots) = (a_0 + b_0, a_1 + b_1, \dots),$$

$$(a_0, a_1, \dots) \cdot (b_0, b_1, \dots) = (c_0, c_1, \dots),$$

$$\text{where } c_k = \sum_{i,j:i+j=k} a_i b_j$$

The zero is  $(0, 0, \dots)$  and the mult. identity is  $(1, 0, 0, \dots)$ .

The set of polynomials over  $R$  is written as  $R[t]$ . Since  $R[t]$  is itself a ring  $S$ , we can consider the ring  $S[u] = (R[t, u])[v]$ , etc. Polynomials are typically written as  $f$  or  $f(t)$ , interchangeable. A polynomial  $f = (a_0, a_1, \dots)$  over  $R$  gives rise to a function

$$R \rightarrow R$$

$$r \mapsto a_0 + a_1 r + a_2 r^2 + \dots$$

### Remark 3.1.5: Rational Functions vs Expressions

$K(t)$  is the field of *rational expressions* over a field  $K$ . These are **not** functions, e.g.  $1/(t-1)$  is a totally respectable element of  $K(t)$ , and you don't need to worry about  $t=1$ .

### Proposition 3.1.6: Universal Property of the Polyring

Let  $R$  and  $B$  be rings. For every homomorphism  $\varphi: R \rightarrow B$  and every  $b \in B$ , there is exactly one homomorphism  $\theta: R[t] \rightarrow B$  such that

$$\theta(a) = \varphi(a) \text{ for all } a \in R$$

$$\theta(t) = b$$

### Definition 3.1.7: Induced Homomorphism

Let  $\varphi: R \rightarrow S$  be a ring homomorphism. The **induced homomorphism**

$$\varphi_*: R[t] \rightarrow S[t]$$

is the unique homomorphism  $R[t] \rightarrow S[t]$  s.t.  $\varphi_* = \varphi(a)$  for all  $a \in R$  and  $\varphi_*(t) = t$

### Definition 3.1.9: Degree

The **degree**,  $\deg(f)$ , of a nonzero polynomial  $f(t) = \sum a_i t^i$  is the largest  $n \geq 0$  s.t.  $a_n \neq 0$ . By convention,  $\deg(0) = -\infty$ , where  $-\infty$  is a formal symbol which we give the properties

$$-\infty < n, \quad (-\infty) + n = -\infty, \quad (-\infty) + (-\infty) = -\infty$$

for all integers  $n$

### Lemma 3.1.11: Degree and Integral Domains

Let  $R$  be an integral domain. Then:

1.  $\deg(fg) = \deg(f) + \deg(g)$  for all  $f, g \in R[t]$
2.  $R[t]$  is an integral domain.

The one and only polynomial of degree  $-\infty$  is the zero polynomial. The polynomials of degree 0 are the nonzero constants. The polynomials of degree  $> 0$  are therefore the nonconstant polynomials.

### Lemma 3.1.14

Let  $K$  be a field. Then

1. The units in  $K[t]$  are the nonzero constants
2.  $f \in K[t]$  is irreducible iff  $f$  is nonconstant and cannot be expressed as a product of two nonconstant polynomials.

### Proposition 3.2.1: Uniqueness of Poly Division

Let  $K$  be a field and  $f, g \in K[t]$  with  $g \neq 0$ . Then there is exactly one pair of polynomials  $q, r \in K[t]$  such that  $f = qg + r$  and  $\deg(r) < \deg(g)$

### Proposition 3.2.2: Polynomial PIDs

Let  $K$  be a field. Then  $K[t]$  is a principal ideal domain.

### Corollary 3.2.5: Irreducibility and Fields

Let  $K$  be a field and let  $0 \neq f \in K[t]$ . Then

$$f \text{ is irreducible} \iff K[t]/\langle f \rangle \text{ is a field.}$$

### Lemma 3.2.6: Divisibility by Irreducibles

Let  $K$  be a field and let  $f(t) \in K[t]$  be a nonconstant polynomial. Then  $f(t)$  is divisible by some irreducible in  $K[t]$

### Lemma 3.2.7: Divisibility of Products

Let  $K$  be a field and  $f, g, h \in K[t]$ . Suppose that  $f$  is irreducible and  $f \mid gh$ . Then  $f \mid g$  or  $f \mid h$

### Theorem 3.2.8: Unique Determination of Polys

Let  $K$  be a field and  $0 \neq f \in K[t]$ . Then

$$f = a f_1 f_2 \cdots f_n$$

for some  $n \geq 0$ ,  $a \in K$ , and monic irreducibles  $f_1, \dots, f_n \in K[t]$ . Moreover,  $n$  and  $a$  are uniquely determined by  $f$ , and  $f_1, \dots, f_n$  are uniquely determined up to reordering.

**Monic** means that the leading coefficient is 1

### Lemma 3.2.9: Root Finding

One way to find an irreducible factor of a polynomial  $f(t) \in K[t]$  is to find a **root**. Let  $K$  be a field,  $f(t) \in K[t]$ , and  $a \in K$ . Then

$$f(a) = 0 \iff (t - a) \mid f(t).$$

A field is **algebraically closed** if every nonconstant polynomial has at least one root.

### Lemma 3.2.10: Algebraically Closed Field

Let  $K$  be an algebraically closed field and  $0 \neq f \in K[t]$ . then

$$f(t) = c(t - a_1)^{m_1} \cdots (t - a_k)^{m_k},$$

where  $c$  is the leading coefficient of  $f$ , and  $a_1, \dots, a_k$  are the distinct roots of  $f$  in  $K$ , and  $m_1, \dots, m_k \geq 1$

### Lemma 3.3.1: Degrees and Irreducibility

Let  $K$  be a field and  $f \in K[t]$ .

1. If  $f$  is constant then  $f$  is not irreducible.
2. If  $\deg(f) = 1$  then  $f$  is irreducible.
3. If  $\deg(f) \geq 2$  and  $f$  has a root then  $f$  is reducible.
4. If  $\deg(f) \in \{2, 3\}$  and  $f$  has no root then  $f$  is irreducible.

**Warning:** To show a polynomial is irreducible, it's generally *not* enough to show it has no root. The converse of 3 is false!

### Definition 3.3.6: Primitive Polynomial

A polynomial over  $\mathbb{Z}$  is **primitive** if its coefficients have no common divisor except for  $\pm 1$ .

### Lemma 3.3.7: Existence of Primitive Polynomials

Let  $f(t) \in \mathbb{Q}[t]$ . Then there exists a primitive polynomial  $F(t) \in \mathbb{Z}[t]$  and  $\alpha \in \mathbb{Q}$  such that  $f = \alpha F$ .

### Remark 3.3.7A: Irreducibility over

If the coefficients of a polynomial  $f(t) \in \mathbb{Q}[t]$  happen to all be integers, the word “irreducible” could mean two things: irreducibility in the ring  $\mathbb{Q}[t]$  or in the ring  $\mathbb{Z}[t]$ . We say that  $f$  is irreducible **over**  $\mathbb{Q}$  or  $\mathbb{Z}$  to distinguish between the two.

### Lemma 3.3.8: Gauss’ Lemma

1. The product of two primitive polynomials over  $\mathbb{Z}$  is primitive.
2. If a nonconstant polynomial over  $\mathbb{Z}$  is irreducible over  $\mathbb{Z}$ , it is irreducible over  $\mathbb{Q}$ .

### Proposition 3.3.9: Mod $p$ method

Let  $f(t) = a_0 + a_1 t + \cdots + a_n t^n \in \mathbb{Z}[t]$ . If there is some prime  $p$  such that  $p \nmid a_n$  and  $\bar{f} \in \mathbb{F}_p[t]$  is irreducible, then  $f$  is irreducible over  $\mathbb{Q}$ .

**Warning:** This only tells you that a polynomial is *irreducible* over  $\mathbb{Q}$  and says nothing about whether it is *reducible*.

### Proposition 3.3.12: Eisenstein’s Criterion

Let  $f(t) = a_0 + \cdots + a_n t^n \in \mathbb{Z}[t]$ , with  $n \geq 1$ . Suppose there exists a prime  $p$  such that

- $p \nmid a_n$
- $p \mid a_i$  for all  $i \in \{0, \dots, n-1\}$
- $p^2 \nmid a_0$

Then  $f$  is irreducible over  $\mathbb{Q}$ .

### Example 3.3.16: Cyclotomic Polynomial

Let  $p$  be a prime. The  $p$ th cyclotomic polynomial is

$$\Phi_p(t) = 1 + t + \cdots + t^{p-1} = \frac{t^p - 1}{t - 1}$$

$\Phi_p$  is irreducible.

### Remark 4.1.A: Inclusion Function

Given a set  $A$  and a subset  $B \subseteq A$ , there is an **inclusion** function  $\iota : B \rightarrow A$  defined by  $\iota(b) = b$  for all  $b \in B$ .

On the other hand, given any injective function between sets, say  $\varphi : X \rightarrow A$ , the image  $\text{im } \varphi$  is a subset of  $A$ , and there is a bijection  $\varphi' : X \rightarrow \text{im } \varphi$  given by  $\varphi'(x) = \varphi(x)$  ( $x \in X$ ). Hence the set  $X$  is isomorphic to (in bijection with) the subset  $\text{im } \varphi$  of  $A$ . So given any subset of  $A$ , we get an injection into  $A$ , and vice versa. These two back-and-forth processes are mutually inverse (up to iso), so subsets and injections are more or less the same thing. (wtf?)

### Definition 4.1.1: Field Extension

Let  $K$  be a field. An **extension** of  $K$  is a field  $M$  together with a homomorphism  $\iota : K \rightarrow M$ .

We can write  $M : K$  to mean that  $M$  is an extension of  $K$ , not bothering to mention  $\iota$ .

### Definition 4.1.4: Generated Subfield

Let  $K$  be a field and  $X$  a subset of  $K$ . The subfield of  $K$  **generated by**  $X$  is the intersection of all the subfields of  $K$  containing  $X$ .

Let  $F$  be the subfield of  $K$  generated by  $X$ .  $F$  contains  $X$ , and  $F$  is also the *smallest* subfield of  $K$  containing  $X$  (in the sense that any subfield of  $K$  containing  $X$  contains  $F$ ).

### Definition 4.1.8: Adjoined Subfields

Let  $M : K$  be a field extension and  $Y \subseteq M$ . We write  $K(Y)$  for the subfield of  $M$  generated by  $K \cup Y$ . We call it  $K$  with  $Y$  **adjoined**, or the subfield of  $M$  **generated by**  $Y$  **over**  $K$ .

So,  $K(Y)$  is the smallest subfield of  $M$  containing both  $K$  and  $Y$ . When  $Y$  is a finite set  $\{\alpha_1, \dots, \alpha_n\}$ , we write  $K(\{\alpha_1, \dots, \alpha_n\})$  as  $K(\alpha_1, \dots, \alpha_n)$ .

### Remark 4.2.A: Algebraic Number

A complex number  $\alpha$  is said to be “algebraic” if

$$a_0 + a_1 \alpha + \cdots + a_n \alpha^n = 0$$

for some rational numbers  $a_i$ , not all zero. This concept generalises to arbitrary field extensions:

### Definition 4.2.1: Algebraic Numbers for Extensions

Let  $M : K$  be a field extension and  $\alpha \in M$ . Then  $\alpha$  is **algebraic** over  $K$  if there exists  $f \in K[t]$  s.t.  $f(\alpha) = 0$  but  $f \neq 0$ , and **transcendental** otherwise.

Let  $M : K$  be a field extension and  $\alpha \in M$ . An **annihilating polynomial** of  $\alpha$  is a polynomial  $f \in K[t]$  such that  $f(\alpha) = 0$ . So,  $\alpha$  is algebraic iff it has some nonzero annihilating polynomial.

### Lemma 4.2.6: Annihilaters

Let  $M : K$  be a field extension and  $\alpha \in M$ . Then there is a polynomial  $m(t) \in K[t]$  such that

$$\langle m \rangle = \{\text{annihilating polynomials of } \alpha \text{ over } K\}. \quad (1)$$

If  $\alpha$  is transcendental over  $K$  then  $m = 0$ . If  $\alpha$  is algebraic over  $K$  then there is a unique monic polynomial  $m$  satisfying (1).

### Definition 4.2.7: Minimal Polynomial

Let  $M : K$  be a field extension and let  $\alpha \in M$  be algebraic over  $K$ . The **minimal polynomial** of  $\alpha$  is the unique monic polynomial satisfying (1).

**Warning:** We do not define the minimal polynomial for a transcendental element. Therefore, some elements of  $M$  may have no minimal polynomial.

### Lemma 4.2.10: Minimal Polynomial Conditions

Let  $M : K$  be a field extension, let  $\alpha \in M$  be algebraic over  $K$  and let  $m \in K[t]$  be a monic polynomial. The following are equivalent:

1.  $m$  is the minimal polynomial of  $\alpha$  over  $K$
2.  $m(\alpha) = 0$  and  $m \mid f$  for all annihilating polynomials  $f$  of  $\alpha$  over  $K$
3.  $m(\alpha) = 0$  and  $\deg(m) \leq \deg(f)$  for all nonzero annihilating polynomials.
4.  $m(\alpha) = 0$  and  $m$  is irreducible over  $K$ .

Part 3 says the minimal polynomial is a monic annihilating polynomial of least degree.

### Definition 4.3.1

Let  $K$  be a field.

1. Let  $m \in K[t]$  be monic and irreducible. Write  $\alpha \in K[t]/\langle m \rangle$  for the image of  $t$  under the canonical homomorphism  $K[t] \rightarrow K[t]/\langle m \rangle$ . Then  $\alpha$  has minimal polynomial  $m$  over  $K$ , and  $K[t]/\langle m \rangle$  is generated by  $\alpha$  over  $K$ .
2. The element  $t$  of the field  $K(t)$  of rational expressions over  $K$  is transcendental over  $K$ , and  $K(t)$  is generated by  $t$  over  $K$ .

In part 1, we are viewing  $K[t]/\langle m \rangle$  as an extension of  $K$ .

## 4 Field Extensions

### Definition 4.3.3: Homomorphism over Fields

Let  $K$  be a field, and let  $\iota : K \rightarrow M$ , and  $\iota' : K \rightarrow M'$  be extensions of  $K$ . A homomorphism  $\varphi : M \rightarrow M'$  is said to be a **homomorphism over  $K$**  if

$$\begin{array}{ccc} M & \xrightarrow{\varphi} & M' \\ & \searrow \iota & \nearrow \iota' \\ & K & \end{array}$$

commutes.

### Lemma 4.3.6: Uniqueness of Field Homomorphisms

Let  $M$  and  $M'$  be extensions of a field  $K$ , and let  $\varphi, \psi : M \rightarrow M'$  be homomorphisms over  $K$ . Let  $Y$  be a subset of  $M$  such that  $M = K(Y)$ . If  $\varphi(\alpha) = \psi(\alpha)$  for all  $\alpha \in Y$  then  $\varphi = \psi$ .

### Proposition 4.3.7: Universal Props of $K[t]/\langle m \rangle$ , $K(t)$

Let  $K$  be a field

- Let  $m \in K[t]$  be monic and irreducible, let  $L : K$  be an extension of  $K$ , and let  $\beta \in L$  with minimal polynomial  $m$ . Write  $\alpha$  for the image of  $t$  under the canonical homomorphism  $K[t] \rightarrow K[t]/\langle m \rangle$ . Then there is exactly one homomorphism  $\varphi : K[t]/\langle m \rangle \rightarrow L$  over  $K$  such that  $\varphi(\alpha) = \beta$ .
- Let  $L : K$  be an extension of  $K$ , and let  $\beta \in L$  be transcendental. Then there is exactly one homomorphism  $\varphi : K(t) \rightarrow L$  over  $K$  such that  $\varphi(t) = \beta$ .

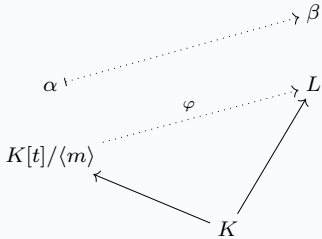


Figure 1: Diagram for 1

### Remark 4.3.A: Isomorphism Over a Field

Let  $M$  and  $M'$  be extensions of a field  $K$ . A homomorphism  $\varphi : M \rightarrow M'$  is an **isomorphism over  $K$**  if it is a homomorphism over  $K$  and an isomorphism of fields. If such a  $\varphi$  exists, we say that  $M$  and  $M'$  are **isomorphic over  $K$** .

### Corollary 4.3.11: Uniqueness of Isomorphisms

Let  $K$  be a field.

- Let  $m \in K[t]$  be monic and irreducible, let  $L : K$  be an extension of  $K$ , and let  $\beta \in L$  with minimal polynomial  $m$  and with  $L = K(\beta)$ . Write  $\alpha$  for the image of  $t$  under the canonical homomorphism  $K[t] \rightarrow K[t]/\langle m \rangle$ . Then there is exactly one isomorphism  $\varphi : K[t]/\langle m \rangle \rightarrow L$  over  $K$  such that  $\varphi(\alpha) = \beta$ .
- Let  $L : K$  be an extension of  $K$ , and let  $\beta \in L$  be transcendental with  $L = K(\beta)$ . Then there is exactly one isomorphism  $\varphi : K(t) \rightarrow L$  over  $K$  such that  $\varphi(t) = \beta$ .

### Definition 4.3.13: Simple Extension

A field extension  $M : K$  is **simple** if there exists  $\alpha \in M$  such that  $M = K(\alpha)$ .

### Theorem 4.3.16: Classification of Simple Extensions

Let  $K$  be a field

- Let  $m \in K[t]$  be a monic irreducible polynomial. Then there exists an extension  $M : K$  and an algebraic element  $\alpha \in M$  such that  $M = K(\alpha)$  and  $\alpha$  has minimal polynomial  $m$  over  $K$ .  
Moreover, if  $(M, \alpha)$  and  $(M', \alpha')$  are two such pairs, there is exactly one isomorphism  $\varphi : M \rightarrow M'$  over  $K$  such that  $\varphi(\alpha) = \alpha'$ .
- There exists an extension  $M : K$  and a transcendental element  $\alpha \in M$  such that  $M = K(\alpha)$ .  
Moreover, if  $(M, \alpha)$  and  $(M', \alpha')$  are two such pairs, there is exactly one isomorphism  $\varphi : M \rightarrow M'$  over  $K$  such that  $\varphi(\alpha) = \alpha'$ .

### Remark 4.3.C: Field Extension Explanation

Given any field  $K$  and any monic irreducible  $m(t) \in K[t]$ , we can say the words “adjoin to  $K$  a root  $\alpha$  of  $m$ ”, and this unambiguously defines an extension  $K(\alpha) : K$ . Similarly, we can unambiguously adjoin to  $K$  a transcendental element.

### Remark 5.1.A: Field Extensions as Vector Spaces

Let  $M : K$  be a field extension. Then  $M$  is a vector space over  $K$  in a natural way. Addition and subtraction in the vector space  $M$  are the same as in the field  $M$ . Scalar multiplication in the vector space is just multiplication of elements of  $M$  by elements of  $K$ , which makes sense because  $K$  is embedded as a subfield of  $M$ .

When we view  $M$  as a vector space over  $K$  rather than an extension, we forget how to multiply together elements of  $M$  that aren't in  $K$ .

### Definition 5.1.1: Degree of a Field Extension

The **degree**  $[M : K]$  of a field extension  $M : K$  is the dimension of  $M$  as a vector space over  $K$ .

If  $M$  is an *infinite-dimensional* vector space over  $K$ , we write  $[M : K] = \infty$ , where  $\infty$  is a formal symbol which we give the properties

$$n < \infty, \quad n \cdot \infty = \infty \ (n \geq 1), \quad \infty \cdot \infty = \infty$$

for integers  $n$ . An extension  $M : K$  is **finite** if  $[M : K] < \infty$ .

### Remark 5.1.4: Degree over itself

The degree  $[K : K]$  of  $K$  over itself is 1, not 0. Degrees of extensions are never 0.

### Theorem 5.1.5: Basis of Field Extensions

Let  $K(\alpha) : K$  be a simple extension.

- Suppose that  $\alpha$  is algebraic over  $K$ . Write  $m \in K[t]$  for the minimal polynomial of  $\alpha$  and  $n = \deg(m)$ . Then  $1, \alpha, \dots, \alpha^{n-1}$  is a basis of  $K(\alpha)$  over  $K$ . In particular,  $[K(\alpha) : K] = \deg(m)$ .
- Suppose that  $\alpha$  is transcendental over  $K$ . Then  $1, \alpha, \alpha^2, \dots$  are linearly independent over  $K$ . In particular,  $[K(\alpha) : K] = \infty$ .

### Corollary 5.1.10: Degree and Algebraicity

Let  $M : K$  be a field extension and  $\alpha \in M$ , the **degree** of  $\alpha$  over  $K$  is  $[K(\alpha) : K]$ . We write it as  $\deg_K(\alpha)$ . Then

$$\deg_K(\alpha) < \infty \iff \alpha \text{ is algebraic over } K.$$

If  $\alpha$  is algebraic over  $K$  then the degree of  $\alpha$  over  $K$  is the degree of the minimal polynomial of  $\alpha$  over  $K$ .

### Corollary 5.1.12: Size of Nested Extensions

Let  $M : L : K$  be a field extension and  $\beta \in M$ . Then

$$[L(\beta) : L] \leq [K(\beta) : K]$$

### Corollary 5.1.14: Polynomial Form for Extensions

Let  $M : K$  be a field extension. Let  $\alpha_1, \dots, \alpha_n \in M$ , when  $\alpha_i$  algebraic over  $K$  of degree  $d_i$ . Then every element  $\alpha \in K(\alpha_1, \dots, \alpha_n)$  can be expressed as a polynomial in  $\alpha_1, \dots, \alpha_n$  over  $K$ . More exactly,

$$\alpha = \sum_{r_1, \dots, r_n} c_{r_1, \dots, r_n} \alpha_1^{r_1} \cdots \alpha_n^{r_n}$$

for some  $c_{r_1, \dots, r_n} \in K$ , where  $r_i$  ranges over  $0, \dots, d_i - 1$ .



### Theorem 5.1.17: Tower Law

Let  $M : L : K$  be field extensions.

1. If  $(\alpha_i)_{i \in I}$  is a basis of  $L$  over  $K$  and  $(\beta_j)_{j \in J}$  is a basis of  $M$  over  $L$ , then  $(\alpha_i \beta_j)_{(i,j) \in I \times J}$  is a basis of  $M$  over  $K$ .
2.  $M : K$  is finite  $\iff M : L$  and  $L : K$  are finite.
3.  $[M : K] = [M : L][L : K]$

The sets  $I$  and  $J$  here could be infinite. A family  $(\alpha_i)_{i \in I}$  of elements of a field is **finitely supported** if the set  $\{i \in I \mid \alpha_i \neq 0\}$  is finite.

### Corollary 5.1.19: Dividing Extensions

Let  $M : L' : L : K$  be field extensions. If  $M : K$  is finite, then  $[L' : L]$  divides  $[M : K]$

### Corollary 5.1.21: Triangle Tower Inequality

Let  $M : K$  be a field extension and  $\alpha_1, \dots, \alpha_n \in M$ . Then  $[K(\alpha_1, \dots, \alpha_n) : K] \leq [K(\alpha_1) : K] \cdots [K(\alpha_n) : K]$ .

### Definition 5.2.1: Finitely Generated Extensions

A field extension  $M : K$  is **finitely generated** if  $M = K(Y)$  for some finite subset  $Y \subseteq M$ .

### Definition 5.2.2: Algebraic Extensions

A field extension  $M : K$  is **algebraic** if every element of  $M$  is algebraic over  $K$ .

### Proposition 5.2.4: Algebraic and Finiteness

The following conditions on a field extension  $M : K$  are equivalent:

1.  $M : K$  is finite
2.  $M : K$  is finitely generated and algebraic
3.  $M = K(\alpha_1, \dots, \alpha_n)$  for some finite set  $\{\alpha_1, \dots, \alpha_n\}$  of elements of  $M$  algebraic over  $K$ .

### Corollary 5.2.6: Algebraic and Finiteness (SEs)

Let  $K(\alpha) : K$  be a simple extension. The following are equivalent:

1.  $K(\alpha) : K$  is finite
2.  $K(\alpha) : K$  is algebraic
3.  $\alpha$  is algebraic over  $K$ .

### Proposition 5.2.7

$\overline{\mathbb{Q}}$  is a subfield of  $\mathbb{C}$ .

### Remark 5.3.A: Iterated Quadratic

For a subfield  $K \subseteq \mathbb{R}$ , an extension  $K : \mathbb{Q}$  is **iterated quadratic** if there is some finite sequence of subfields

$$\mathbb{Q} = K_0 \subseteq K_1 \subseteq \cdots \subseteq K_n = K$$

such that  $[K_i : K_{i-1}] = 2$  for all  $i \in \{1, \dots, n\}$

### Definition 5.3.3: Compositum

Let  $L$  and  $L'$  be subfields of a field  $M$ . The **compositum**  $LL'$  of  $L$  and  $L'$  is the subfield of  $M$  generated by  $L \cup L'$ . That is,  $LL'$  is the smallest subfield of  $M$  containing both  $L$  and  $L'$ .

### Lemma 5.3.6

Let  $M : K$  be a field extension and let  $L, L'$  be subfields of  $M$  containing  $K$ . If  $[L : K] = 2$  then  $[LL' : L'] \in \{1, 2\}$ .

### Lemma 5.3.8

Let  $K$  and  $L$  be subfields of  $\mathbb{R}$  such that the extensions  $K : \mathbb{Q}$  and  $L : \mathbb{Q}$  are iterated quadratic. Then there is some subfield  $M$  of  $\mathbb{R}$  such that the extension  $M : \mathbb{Q}$  is iterated quadratic and  $K, L \subseteq M$ .

### Proposition 5.3.9: Iteratic Quadratics from Points

Let  $(x, y) \in \mathbb{R}^2$ . If  $(x, y)$  is constructable from  $\{(0, 0), (1, 0)\}$  then there is an iterated quadratic extension of  $\mathbb{Q}$  containing  $x$  and  $y$ .

### Theorem 5.3.10: Quadratics and Constructability

Let  $(x, y) \in \mathbb{R}^2$ . If  $(x, y)$  is constructible from  $\{(0, 0), (1, 0)\}$  then  $x$  and  $y$  are algebraic over  $\mathbb{Q}$ , and their degrees over  $\mathbb{Q}$  are powers of 2.

### Definition 6.1.1: Extending Homomorphism

Let  $\iota : K \rightarrow M$  and  $\iota' : K' \rightarrow M'$  be field extensions. Let  $\psi : K \rightarrow K'$  be a homomorphism of fields. A homomorphism  $\varphi : M \rightarrow M'$  **extends**  $\psi$  if the square

$$\begin{array}{ccc}
 M & \xrightarrow{\varphi} & M' \\
 \uparrow \iota & & \uparrow \iota' \\
 K' & \xrightarrow{\psi} & K
 \end{array}$$

commutes ( $\varphi \circ \iota = \iota' \circ \psi$ ). Most of the time we view  $K$  as a subset of  $M$ , and  $K'$  as a subset of  $M'$ , with  $\iota$  and  $\iota'$  be the inclusions. In this case, for  $\varphi$  to extend  $\psi$  just means that

$$\varphi(a) = \psi(a) \text{ for all } a \in K$$

### Lemma 6.1.3: Induced Homomorphism as sum

Let  $M : K$  and  $M' : K'$  be field extensions, let  $\varphi : K \rightarrow K'$  be a homomorphism, and let  $\psi : M \rightarrow M'$  be a homomorphism extending  $\varphi$ . Let  $\alpha \in M$  and  $f(t) \in K[t]$ . Then

$$f(\alpha) = 0 \iff (\psi_* f)(\varphi(\alpha)) = 0.$$

### Proposition 6.1.6: Unique Extending Isomorphisms

Let  $\psi : K \rightarrow K'$  be an isomorphism of fields. Let  $K(\alpha) : K$  be a simple extension where  $\alpha$  has minimal polynomial  $m$  over  $K$ , and let  $K'(\alpha') : K'$  be a simple extension where  $\alpha'$  has minimal polynomial  $\psi_* m$  over  $K'$ . Then there is exactly one isomorphism  $\varphi : K(\alpha) \rightarrow K'(\alpha')$  that extends  $\psi$  and satisfies  $\varphi(\alpha) = \alpha'$ .

$$\begin{array}{ccc}
 K(\alpha) & \xrightarrow[\cong]{\varphi} & K'(\alpha') \\
 \uparrow & & \uparrow \\
 K & \xrightarrow[\psi]{\cong} & K'
 \end{array}$$

A dotted arrow is used to denote a map whose existence is part of the conclusion of a theorem.

### Definition 6.2.2: Splitting Field

Let  $f$  be a polynomial over a field  $M$ . Then  $f$  **splits** in  $M$  if

$$f(t) = \beta(t - \alpha_1) \cdots (t - \alpha_n)$$

for some  $n \neq 0$  and  $\beta, \alpha_1, \dots, \alpha_n \in M$ .

Equivalently,  $f$  splits in  $M$  if all its irreducible factors in  $M[t]$  are linear.

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