

# Galois Theory Notes

Made by Leon :) *Note: Any reference numbers are to the lecture notes*

## 1 Galois Groups

### Definition 1.1.1: Conjugate Numbers

Two complex numbers  $z$  and  $z'$  are **conjugate over  $\mathbb{Q}$**  (*exact same def. for  $\mathbb{R}$  but we usually use  $\mathbb{Q}$* ) iff either  $z = z'$  or  $\bar{z} = z'$ . Alternatively, if for all polynomials  $p$  with coefficients in  $\mathbb{Q}$ ,

$$p(z) = 0 \iff p(z') = 0$$

$(z_1, \dots, z_k)$ , and  $(z'_1, \dots, z'_k)$   $k$ -tuples in  $\mathbb{C}$  are **conjugate over  $\mathbb{Q}$**  if for all polynomials  $p(t_1, \dots, t_k)$  over  $\mathbb{Q}$  in  $k$  variables,

$$p(z_1, \dots, z_k) = 0 \iff p(z'_1, \dots, z'_k) = 0$$

Additionally, if  $(z_1, \dots, z_n)$  conjugate to  $(z'_1, \dots, z'_n)$ , then  $z_i$  is conjugate to  $z'_i$  for all  $i$

## 2 Groups, Rings, and Fields

### Definition 2.1.1: Group Action

Let  $G$  be a group and  $X$  a set. An **action** of  $G$  on  $X$  is a function  $G \times X \rightarrow X$ , written as  $(g, x) \mapsto gx$  such that

$$(gh)x = g(hx) \text{ and } 1x = x$$

for all  $g, h \in G$  and  $x \in X$ , where  $1$  is the identity of  $G$

### Definition 2.1.7: Faithful Actions

An action of a group  $G$  on a set  $X$  is **faithful** if for  $g, h \in G$ ,

$$gx = hx \text{ for all } x \in X \implies g = h$$

*"If two elements of the group do the same, they are the same."*

#### Lemma 2.1.8: Properties of Faithful Actions

For an action of a group  $G$  on a set  $X$ , the following are equal:

1. The action is faithful
2. For  $g \in G$ , if  $gx = x$  for all  $x \in X$  then  $g = 1$
3. The homomorphism  $\Sigma : G \rightarrow \text{Sym}(X)$  is injective
4.  $\ker \Sigma$  is trivial.

— **Lemma 2.1.11: Isomorphisms of Faithful Groups** —

Let  $G$  be a group acting faithfully on a set  $X$ . then  $G$  is isomorphic to the subgroup of  $\text{Sym}(X)$ , where  $\Sigma : G \rightarrow \text{Sym}(X)$

$$\text{im } \Sigma = \{\bar{g} \mid g \in G\}, \text{ where } \bar{g} : X \rightarrow X \text{ and } \bar{g}(x) = gx$$

### Definition 2.1.1: Fixed Set

For a group  $G$  acting on a set  $X$ , let  $S \subseteq X$ . The **fixed set** of  $S$  is

$$\text{Fix}(S) = \{x \in X \mid sx = x \text{ for all } s \in S\}$$

#### Lemma 2.1.15: Normal Fixed Sets

Let  $G$  be a group acting on a set  $X$ , let  $S \subseteq X$ , and let  $g \in G$ . Then  $\text{Fix}(gSg^{-1}) = g\text{Fix}(S)$ .

Here,  $gSg^{-1} = \{gsg^{-1} \mid s \in S\}$  and  $g\text{Fix}(S) = \{gx \mid x \in \text{Fix}(S)\}$

### Definition 2.2.1: Ring Homomorphism

Given rings  $R$  and  $S$ , a **homomorphism** from  $R$  to  $S$  is a function  $\varphi : R \rightarrow S$  satisfying the following equations for all  $r, r' \in R$ :

- $\varphi(r + r') = \varphi(r) + \varphi(r')$
- $\varphi(0) = 0, \varphi(1) = 1$
- $\varphi(rr') = \varphi(r)\varphi(r')$
- $\varphi(-r) = -\varphi(r)$

A **subring** of a ring  $R$  is a subset  $S \subseteq R$  that contains  $0$  and  $1$  and is closed under addition, multiplication, and negatives. When  $S$  is a subring of  $R$ , the inclusion  $\iota : S \rightarrow R$  is a homomorphism.

#### Lemma 2.2.3: Intersection of Subrings

Let  $R$  be a ring and let  $S$  be any set (perhaps infinite) of subrings of  $R$ . Then their intersection  $\bigcap_{S \in \mathcal{S}} S$  is also a subring of  $R$ .

### Recall 2.0.1: Ideals and Quotient Rings

Let  $R$  be a ring.  $I \subseteq R$  is an **ideal**,  $I \trianglelefteq R$ , if the following hold:

1.  $I \neq \emptyset$
2.  $I$  is closed under subtraction
3. for all  $i \in I$  and  $r \in R$  we have  $ri, ir \in I$

Every ring homomorphism  $\varphi : R \rightarrow S$  has an image  $\text{im } \varphi$ , which is a subring of  $S$ , and a kernel  $\ker \varphi$ , which is an ideal of  $R$ .

Given an ideal  $I \trianglelefteq R$ , define the quotient ring  $R/I$  and canonical homomorphism  $\pi_I : R \rightarrow R/I$  which is surjective and has kernel  $I$ .

#### Universal Property of Factor Rings:

Given a ring  $S$  and any homomorphism

$\varphi : R \rightarrow S$  satisfying  $\ker \varphi \supseteq I$ , there is exactly one homomorphism  $\bar{\varphi} : R/I \rightarrow S$  s.t.

this diagram commutes.

$$\begin{array}{ccc} R & & \\ \pi_I \downarrow & \searrow \varphi & \\ R/I & \xrightarrow{\bar{\varphi}} & S \end{array}$$

### Recall 2.0.2: Integral Domains and Generators

An **integral domain** is a ring  $R$  s.t.  $0_R \neq 1_R$ , and for  $r, r' \in R$ ,

$$rr' = 0 \implies r = 0 \text{ or } r' = 0.$$

#### Generated Ideals

Let  $Y$  be a subset of a ring  $R$ . The **ideal  $\langle Y \rangle$  generated by  $Y$**  is defined as the intersection of all the ideals of  $R$  containing  $Y$ .

- **Principal ideals** are ideals of the form  $\langle r \rangle$ . A **principle ideal domain** is an integral domain where every ideal is principal.
- Let  $r$  and  $s$  be elements of a ring  $R$ .  $r$  **divides**  $s$ , or  $r \mid s$ , if  $\exists a \in R$  s.t.  $s = ar$ . This is equivalent to  $s \in \langle r \rangle$ , and  $\langle s \rangle \supseteq \langle r \rangle$ .
- An element  $u \in R$  is a **unit** if it has a multiplicative inverse, i.e. if  $\langle u \rangle = R$ . The units form a group  $R^\times$  under multiplication.
- Elements  $r$  and  $s$  of a ring are **coprime** if for  $a \in R$ ,

$$a \mid r \text{ and } a \mid s \implies a \text{ is a unit}$$

**2.2.11** For a ring  $R$  and a finite subset  $Y = \{r_1, \dots, r_n\}$ . Then

$$\langle Y \rangle = \{a_1 r_1 + \dots + a_n r_n : a_1, \dots, a_n \in R\}$$

**2.2.16** Let  $R$  be a principal ideal domain and  $r, s \in R$ . Then

$$r \text{ and } s \text{ are coprime} \iff ar + bs = 1 \text{ for some } a, b \in R$$

### Recall 2.3.A: Fields, Fieldeals, and Subfields

A **field** is a ring  $K$  in which  $0 \neq 1$  and every nonzero element is a unit. Equivalently, it is a ring such that  $K^\times = K \setminus \{0\}$ . Every field is an integral domain. A field  $K$  has exactly two ideals:  $\{0\}$  and  $K$ . A **subfield** of a field  $K$  is a subring that is a field

### Example 2.3.2: Rational Expressions

Let  $K$  be a field. A **rational expression** over  $K$  is a ratio of two polynomials

$$f(t)/g(t)$$

where  $f(t), g(t) \in K[t]$  with  $g \neq 0^a$ . Two such expressions,  $f_1/g_1$  and  $f_2/g_2$  are regarded as equal if  $f_1 g_2 = f_2 g_1$  in  $K[t]$ . i.e. equivalence class. The set of rational expressions over  $K$  is called  $K(t)$

<sup>a</sup>Note that these are **not** functions, e.g.  $1/(t-1)$  is a valid element of  $K(t)$ , and you don't need to worry about  $t = 1$ .

### Definition 2.3.7: Equaliser

For sets  $X$  and  $Y$ , and  $S \subseteq \{\text{functions } X \rightarrow Y\}$ , the **equalizer** of  $S$  is "the part of  $X$  where all the functions in  $S$  are equal", i.e.

$$\text{Eq}(S) = \{x \in X \mid f(x) = g(x) \text{ for all } f, g \in S\}$$

### Lemma 2.3.B: Ring Homomorphism Properties

**2.3.3** Every (ring) homomorphism between fields is injective.

**2.3.6** Let  $\varphi : K \rightarrow L$  be a homomorphism between fields.

1. For a subfield  $K'$  of  $K$ , the image  $\varphi K'$  is a subfield of  $L$
2. For a subfield  $L'$  of  $L$ , the preimage  $\varphi^{-1} L'$  is a subfield of  $K$

**2.3.8** Let  $K$  and  $L$  be fields, and let

$$S \subseteq \{\text{homomorphisms } K \rightarrow L\}$$

Then  $\text{Eq}(S)$  is a subfield of  $K$ .

### Recall 2.3.9: Characteristic

For a ring  $R$ , there is a unique homomorphism  $\chi : \mathbb{Z} \rightarrow R$  whose kernel is an ideal of the PID  $\mathbb{Z}$ . Hence  $\ker \chi = \langle n \rangle$  for a unique integer  $n \geq 0$ .  $n$  is the **characteristic** of  $R$  (char  $R$ ). So for  $m \in \mathbb{Z}$ , we have that  $m \cdot 1_R = 0$  iff  $m$  is a multiple of char  $R$ . Or:

$$\text{char } R = \begin{cases} \text{the least } n > 0 \text{ s.t. } n \cdot 1_R = 0_R, & \text{if such an } n \text{ exists} \\ 0 & \text{otherwise} \end{cases}$$

**2.3.11** The characteristic of an integral domain is  $0$  or prime.

**2.3.12** Let  $\varphi : K \rightarrow L$  be a homomorphism of fields. Then  $\text{char } K = \text{char } L$ .

### Recall 2.3.C: Prime Subfield

The **prime subfield** of  $K$  is the intersection of all the subfields of  $K$ . Concretely, the prime subfield of  $K$  is

$$\left\{ \frac{m \cdot 1_K}{n \cdot 1_K} \mid m, n \in \mathbb{Z} \text{ with } n \cdot 1_K \neq 0 \right\}$$

#### Lemma 2.3.16

Let  $K$  be a field.

- If  $\text{char } K = 0$  then the prime subfield of  $K$  is (iso to)  $\mathbb{Q}$ .
- If  $\text{char } K = p > 0$  then the prime subfield of  $K$  is (iso to)  $\mathbb{F}_p$

**Lemma 2.3.17:** Every finite field has positive characteristic.

### Proposition 2.3.19: The Frobenius Map

**Lemma 2.3.19:** Let  $p$  be a prime and  $0 < i < p$ . Then  $p \mid \binom{p}{i}$

Let  $p$  be a prime number and  $R$  a ring of characteristic  $p$ . Let the **Frobenius Map** be the homomorphism  $\theta : R \rightarrow R \quad r \mapsto r^p$ .

1. The Frobenius map is a homomorphism.
2. If  $R$  is a field then  $\theta$  is injective.
3. If  $R$  is a finite field then  $\theta$  is an automorphism of  $R$ . In this case we call  $\theta$  the **Frobenius Automorphism**

#### Corollary 2.3.22: Roots by Characteristic

Let  $p$  be a prime number, and  $K$  be a field with characteristic  $p$ .

1. Every element in  $K$  has *at most* one  $p$ th root.
2. If  $K$  is a finite field, every element has *exactly* one  $p$ th root.

### Recall 2.3.D: Reducible Elements

An element  $r$  of a ring  $R$  is **irreducible** if  $r$  is not 0 or a unit, and if for  $a, b \in R$ .

$$r = ab \implies a \text{ or } b \text{ is an unit}$$

For example, the irreducibles in  $\mathbb{Z}$  are  $\pm 2, \pm 3, \pm 5, \dots$ . An element of a ring is **reducible** if it is not 0, a unit, or irreducible.

**Warning:** The 0 and units of a ring are neither reducible nor irreducible, in much the same way that the integers 0 and 1 are neither prime nor composite.

### Proposition 2.3.26

Let  $R$  be a principal ideal domain and  $0 \neq r \in R$ . Then

$$r \text{ is irreducible} \iff R/\langle r \rangle \text{ is a field}$$

This lets us construct fields from irreducible elements of a PID.

## 3 Polynomials

### Definition 3.1.1: Polynomial Ring

Let  $R$  be a ring. A **polynomial over  $R$**  is an infinite sequence  $(a_0, a_1, a_2, \dots)$  of elements of  $R$  s.t.  $\{i \mid a_i \neq 0\}$  is finite.

The set of polynomials over  $R$ , written  $R[t]$ , forms a ring:

$$\begin{aligned}(a_0, a_1, \dots) + (b_0, b_1, \dots) &= (a_0 + b_0, a_1 + b_1, \dots), \\ (a_0, a_1, \dots) \cdot (b_0, b_1, \dots) &= (c_0, c_1, \dots),\end{aligned}$$

$$\text{where } c_k = \sum_{i,j:i+j=k} a_i b_j$$

Polynomials are typically written as  $f$  or  $f(t)$  interchangeably. A polynomial  $f = (a_0, a_1, \dots)$  over  $R$  gives rise to a function

$$R \rightarrow R, \quad r \mapsto a_0 + a_1 r + a_2 r^2 + \dots$$

### Proposition 3.1.6: Universal Property of the Polyring

Let  $R, B$  be rings. For every homomorphism  $\varphi : R \rightarrow B$  and every  $b \in B$ , there is exactly one homomorphism  $\theta : R[t] \rightarrow B$  such that

$$\theta(a) = \varphi(a) \text{ for all } a \in R \quad (3.4)$$

$$\theta(t) = b \quad (3.5)$$

### Definition 3.1.7: Induced Homomorphism

Let  $\varphi : R \rightarrow S$  be a ring homomorphism. We define

$$\varphi_* : R[t] \rightarrow S[t]$$

as the **induced homomorphism**, which is the unique homomorphism  $R[t] \rightarrow S[t]$  s.t.  $\varphi_* = \varphi(a)$  for all  $a \in R$  and  $\varphi_*(t) = t$ .

### Definition 3.1.9: Degree of a Polynomial

The **degree**,  $\deg(f)$ , of a nonzero polynomial  $f(t) = \sum a_i t^i$  is the largest  $n \geq 0$  s.t.  $a_n \neq 0$ . By convention,  $\deg(0) = -\infty$ , where  $-\infty$  is a formal symbol which we give the properties for all  $n \in \mathbb{Z}$ :

$$-\infty < n, \quad (-\infty) + n = -\infty, \quad (-\infty) + (-\infty) = -\infty$$

#### Lemma 3.1.11

Let  $R$  be an integral domain. Then:

1.  $\deg(fg) = \deg(f) + \deg(g)$  for all  $f, g \in R[t]$
2.  $R[t]$  is an integral domain.

$\deg(-\infty)$  implies the (unique) zero polynomial,  $\deg(0)$  implies the nonzero constants,  $\deg(> 0)$  implies the nonconstant polynomials.

#### Lemma 3.1.14

Let  $K$  be a field. Then

1. The units in  $K[t]$  are the nonzero constants
2.  $f \in K[t]$  is irreducible iff  $f$  is nonconstant and cannot be expressed as a product of two nonconstant polynomials.

#### Lemma 3.2.1 - Uniqueness of Poly Division

For a field  $K$  and  $f, g \in K[t]$  with  $g \neq 0$ , there is exactly one pair of polynomials  $q, r \in K[t]$  s.t.  $f = qg + r$  and  $\deg(r) < \deg(g)$

### Lemma 3.2.A: Facts about Fields

**3.2.2)** Let  $K$  be a field. Then  $K[t]$  is a principal ideal domain.

**3.2.5)** Let  $K$  be a field and let  $0 \neq f \in K[t]$ . Then

$$f \text{ is irreducible} \iff K[t]/\langle f \rangle \text{ is a field.}$$

**3.2.6)** Let  $K$  be a field and let  $f(t) \in K[t]$  be a nonconstant polynomial. Then  $f(t)$  is divisible by some irreducible in  $K[t]$

**3.2.7)** Let  $K$  be a field and  $f, g, h \in K[t]$ . Suppose that  $f$  is irreducible and  $f \mid gh$ . Then  $f \mid g$  or  $f \mid h$ .

### Theorem 3.2.8: Unique Determination of Polys

Let  $K$  be a field and  $0 \neq f \in K[t]$ . Then

$$f = a f_1 f_2 \cdots f_n$$

for some  $n \geq 0$ ,  $a \in K$ , and monic irreducibles  $f_1, \dots, f_n \in K[t]$ . Moreover,  $n$  and  $a$  are uniquely determined by  $f$ , and  $f_1, \dots, f_n$  are uniquely determined up to reordering.

### Lemma 3.2.9: Root Finding

One way to find an irreducible factor of a polynomial  $f(t) \in K[t]$  is to find a **root**. Let  $K$  be a field,  $f(t) \in K[t]$ , and  $a \in K$ . Then

$$f(a) = 0 \iff (t - a) \mid f(t).$$

### Lemma 3.2.10: Algebraically Closed Field

A field is **algebraically closed** if every nonconstant polynomial has at least one root.

Let  $K$  be an algebraically closed field and  $0 \neq f \in K[t]$ . then

$$f(t) = c(t - a_1)^{m_1} \cdots (t - a_k)^{m_k},$$

where  $c$  is the leading coefficient of  $f$ , and  $a_1, \dots, a_k$  are the distinct roots of  $f$  in  $K$ , and  $m_1, \dots, m_k \geq 1$

### Lemma 3.3.1: Degrees and Irreducibility

Let  $K$  be a field and  $f \in K[t]$ .

1. If  $f$  is constant then  $f$  is not irreducible.
2. If  $\deg(f) = 1$  then  $f$  is irreducible.
3. If  $\deg(f) \geq 2$  and  $f$  has a root then  $f$  is reducible.
4. If  $\deg(f) \in \{2, 3\}$  and  $f$  has no root then  $f$  is irreducible.

**Warning:** To show a polynomial is irreducible, it's generally *not* enough to show it has no root. The converse of 3 is false!

### Definition 3.3.6: Primitive Polynomial

A polynomial over  $\mathbb{Z}$  is **primitive** if its coefficients have no common divisor except for  $\pm 1$ .

#### Lemma 3.3.7: Existence of Primitives

Let  $f(t) \in \mathbb{Q}[t]$ . Then there exists a primitive polynomial  $F(t) \in \mathbb{Z}[t]$  and  $\alpha \in \mathbb{Q}$  such that  $f = \alpha F$ .

### Remark 3.3.A: Irreducibility over

If the coefficients of a polynomial  $f(t) \in \mathbb{Q}[t]$  happen to all be integers, the word “irreducible” could mean two things: irreducibility in the ring  $\mathbb{Q}[t]$  or in the ring  $\mathbb{Z}[t]$ . We say that  $f$  is **irreducible over  $\mathbb{Q}$  or  $\mathbb{Z}$**  to distinguish between the two.

### Lemma 3.3.B: Irreducibility Tests

#### Lemma 3.3.8: Gauss' Lemma

1. The product of two primitive polynomials over  $\mathbb{Z}$  is primitive.
2. If a nonconstant polynomial over  $\mathbb{Z}$  is irreducible over  $\mathbb{Z}$ , it is irreducible over  $\mathbb{Q}$

#### Lemma 3.3.9: Mod- $p$ Method

Let  $f(t) = a_0 + a_1 t + \dots + a_n t^n \in \mathbb{Z}[t]$ . If there is some prime  $p$  s.t.  $p \nmid a_n$  and  $\bar{f} \in \mathbb{F}_p[t]$  is irreducible, then  $f$  is irreducible over  $\mathbb{Q}$ .

**Warning:** This only tells you that a polynomial is *irreducible* over  $\mathbb{Q}$  and says nothing about whether it is *reducible*.

#### Lemma 3.3.12: Eisenstein's Criterion

Let  $f(t) = a_0 + \dots + a_n t^n \in \mathbb{Z}[t]$ , with  $n \geq 1$ . Suppose there exists a prime  $p$  such that

- $p \nmid a_n$
- $p \mid a_i$  for all  $i \in \{0, \dots, n-1\}$
- $p^2 \nmid a_0$

Then  $f$  is irreducible over  $\mathbb{Q}$ .

## 4 Field Extensions

### Remark 4.1.A: Inclusion Function

It is sometimes easier to think of a subset as an injection. Given a set  $A$  and a subset  $B \subseteq A$ , define an **inclusion** function

$$\iota : B \rightarrow A \text{ defined by } \iota(b) = b \text{ for all } b \in B.$$

### Definition 4.1.1: Field Extension

Let  $K$  be a field. An **extension** of  $K$  is a field  $M$  together with a homomorphism  $\iota : K \rightarrow M$ , where  $K$  is the small field and  $M$  is the large field. We write  $M : K$  to mean that  $M$  is an extension of  $K$ , not bothering to mention  $\iota$ .

### Example 4.1.2: Examples of Field Extensions

$$\begin{aligned} \iota_1 : \mathbb{Q} &\rightarrow \mathbb{R}, & \iota_2 : \mathbb{R} &\rightarrow \mathbb{C}, & \iota_3 : \mathbb{Q} &\rightarrow \mathbb{C} \\ \iota_4 : \mathbb{Q} &\rightarrow K, \text{ where } K = \{a + b\sqrt{2} \mid a, b \in \mathbb{Q}\} \text{ (we call this } \mathbb{Q}(\sqrt{2}) \end{aligned}$$

### Definition 4.1.4: Generated Subfields

For a field  $K$ , and  $X$  a subset of  $K$ , the subfield of  $K$  **generated by**  $X$  is the intersection of all subfields of  $K$  containing  $X$ .

Let  $F$  be the subfield of  $K$  generated by  $X$ .  $F$  contains  $X$ , and  $F$  is also the *smallest* subfield of  $K$  containing  $X$  (i.e. any subfield of  $K$  containing  $X$  contains  $F$ )

### Definition 4.1.8: Adjoined Subfields

For a field extension  $M : K$ , and  $Y \subseteq M$ , we write  $K(Y)$  for the subfield of  $M$  generated by  $K \cup Y$ . We call it the subfield of  $M$  **generated by**  $Y$  **over**  $K$ , or  $K$  with  $Y$  **adjoined**.

$K(Y)$  is the smallest subfield of  $M$  containing both  $K$ ,  $Y$ . If  $Y$  is a finite set  $\{\alpha_1, \dots, \alpha_n\}$ , write  $K(\{\alpha_1, \dots, \alpha_n\})$  as  $K(\alpha_1, \dots, \alpha_n)$

### Definition 4.2.1: Algebraic Numbers

A complex number  $\alpha \in \mathbb{C}$  is said to be “algebraic” if

$$a_0 + a_1\alpha + \dots + a_n\alpha^n = 0$$

for some rational numbers  $a_i$ , not all zero

### Algebraic Numbers for Arbitrary Fields

For a field extension  $M : K$ , and  $\alpha \in M$ ,  $\alpha$  is **algebraic** over  $K$  if  $\exists f \in K[t]$  s.t.  $f(\alpha) = 0$  but  $f \neq 0$ , **transcendental** otherwise.

### Lemma 4.2.6: Annihilators

Let  $M : K$  be a field extension and  $\alpha \in M$ . An **annihilating polynomial** of  $\alpha$  is a polynomial  $f \in K[t]$  such that  $f(\alpha) = 0$ . So,  $\alpha$  is algebraic iff it has some nonzero annihilating polynomial.

For a field extension  $M : K$  and  $\alpha \in M$ , there is a polynomial  $m(t) \in K[t]$  such that

$$\langle m \rangle = \{\text{annihilating polynomials of } \alpha \text{ over } K\}. \quad (4.2)$$

If  $\alpha$  is transcendental over  $K$  then  $m = 0$ . If  $\alpha$  is algebraic over  $K$  then there is a unique monic polynomial  $m$  satisfying (4.2).

### Definition 4.2.7: Minimal Polynomial

Let  $M : K$  be a field extension and let  $\alpha \in M$  be *algebraic* over  $K$ . The **minimal polynomial** of  $\alpha$  is the unique monic<sup>a</sup> polynomial satisfying (4.2).

**Warning:** This isn’t defined over transcendentals, therefore some elements of  $M$  might not have a minimal polynomial.

<sup>a</sup>Monic means that the highest order element has coefficient 1.

### Lemma 4.2.10: Minimal Polynomial Conditions

Let  $M : K$  be a field extension, let  $\alpha \in M$  be algebraic over  $K$  and let  $m \in K[t]$  be a monic polynomial. The following are equivalent

1.  $m$  is the minimal polynomial of  $\alpha$  over  $K$
2.  $m(\alpha) = 0$  and  $m \mid f$  for all annihilating polynomials  $f$  of  $\alpha$  over  $K$
3.  $m(\alpha) = 0$  and  $\deg(m) \leq \deg(f)$  for all nonzero annihilating polynomials.
4.  $m(\alpha) = 0$  and  $m$  is irreducible over  $K$ .

Part 3 says the minimal polynomial is a monic annihilating polynomial of least degree.

### Definition 4.3.1

Let  $K$  be a field.

1. Let  $m \in K[t]$  be monic and irreducible. Write  $\alpha \in K[t]/\langle m \rangle$  for the image of  $t$  under the canonical homomorphism  $K[t] \rightarrow K[t]/\langle m \rangle$ . Then  $\alpha$  has minimal polynomial  $m$  over  $K$ , and  $K[t]/\langle m \rangle$  is generated by  $\alpha$  over  $K$ .
2. The element  $t$  of the field  $K(t)$  of rational expressions over  $K$  is transcendental over  $K$ , and  $K(t)$  is generated by  $t$  over  $K$

In part 1, we are viewing  $K[t]/\langle m \rangle$  as an extension of  $K$ .

### Definition 4.3.3: Homomorphism over Fields

Let  $K$  be a field, and let  $\iota : K \rightarrow M$ , and  $\iota' : K \rightarrow M'$  be extensions of  $K$ . A homomorphism  $\varphi : M \rightarrow M'$  is said to be a **homomorphism over**  $K$  if

$$\begin{array}{ccc} M & \xrightarrow{\varphi} & M \\ \iota \swarrow & & \searrow \iota' \\ & K & \end{array}$$

commutes.

### Lemma 4.3.6: Uniqueness of Field Homomorphisms

Let  $M$  and  $M'$  be extensions of a field  $K$ , and let  $\varphi, \psi : M \rightarrow M'$  be homomorphisms over  $K$ . Let  $Y$  be a subset of  $M$  such that  $M = K(Y)$ . If  $\varphi(\alpha) = \psi(\alpha)$  for all  $\alpha \in Y$  then  $\varphi = \psi$ .

### Proposition 4.3.7: Universal Props of $K[t]/\langle m \rangle$ , $K(t)$

Let  $K$  be a field

1. Let  $m \in K[t]$  be monic and irreducible, let  $L : K$  be an extension of  $K$ , and let  $\beta \in L$  with minimal polynomial  $m$ . Write  $\alpha$  for the image of  $t$  under the canonical homomorphism  $K[t] \rightarrow K[t]/\langle m \rangle$ . Then there is exactly one homomorphism  $\varphi : K[t]/\langle m \rangle \rightarrow L$  over  $K$  such that  $\varphi(\alpha) = \beta$
2. Let  $L : K$  be an extension of  $K$ , and let  $\beta \in L$  be transcendental. Then there is exactly one homomorphism  $\varphi : K(t) \rightarrow L$  over  $K$  such that  $\varphi(t) = \beta$ .

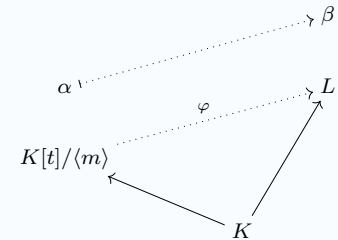


Figure 1: Diagram for 1

### Remark 4.3.A: Isomorphism Over a Field

Let  $M$  and  $M'$  be extensions of a field  $K$ . A homomorphism  $\varphi : M \rightarrow M'$  is an **isomorphism over**  $K$  if it is a homomorphism over  $K$  and an isomorphism of fields. If such a  $\varphi$  exists, we say that  $M$  and  $M'$  are **isomorphic over**  $K$ .

### Corollary 4.3.11: Uniqueness of Isomorphisms

Let  $K$  be a field.

1. Let  $m \in K[t]$  be monic and irreducible, let  $L : K$  be an extension of  $K$ , and let  $\beta \in L$  with minimal polynomial  $m$  and with  $L = K(\beta)$ . Write  $\alpha$  for the image of  $t$  under the canonical homomorphism  $K[t] \rightarrow K[t]/\langle m \rangle$ . then there is exactly one isomorphism  $\varphi : K[t]/\langle m \rangle \rightarrow L$  over  $K$  such that  $\varphi(\alpha) = \beta$ .
2. Let  $L : K$  be an extension of  $K$ , and let  $\beta \in L$  be transcendental with  $L = K(\beta)$ . Then there is exactly one isomorphism  $\varphi : K(t) \rightarrow L$  over  $K$  such that  $\varphi(t) = \beta$ .

### Definition 4.3.13: Simple Extension

A field extension  $M : K$  is **simple** if there exists  $\alpha \in M$  such that  $M = K(\alpha)$ .

### Theorem 4.3.16: Classification of Simple Extensions

Let  $K$  be a field

1. Let  $m \in K[t]$  be a monic irreducible polynomial. Then there exists an extension  $M : K$  and an algebraic element  $\alpha \in M$  such that  $M = K(\alpha)$  and  $\alpha$  has minimal polynomial  $m$  over  $K$ .

Moreover, if  $(M, \alpha)$  and  $(M', \alpha')$  are two such pairs, there is exactly one isomorphism  $\varphi : M \rightarrow M'$  over  $K$  such that  $\varphi(\alpha) = \alpha'$

2. There exists an extension  $M : K$  and a transcendental element  $\alpha \in M$  such that  $M = K(\alpha)$ .

Moreover, if  $(M, \alpha)$  and  $(M', \alpha')$  are two such pairs, there is exactly one isomorphism  $\varphi : M \rightarrow M'$  over  $K$  such that  $\varphi(\alpha) = \alpha'$ .

### Remark 4.3.C: Field Extension Explanation

Given any field  $K$  and any monic irreducible  $m(t) \in K[t]$ , we can say the words “adjoin to  $K$  a root  $\alpha$  of  $m$ ”, and this unambiguously defines an extension  $K(\alpha) : K$ . Similarly, we can unambiguously adjoin to  $K$  a transcendental element.

### Remark 5.1.A: Field Extensions as Vector Spaces

Let  $M : K$  be a field extension. Then  $M$  is a vector space over  $K$  in a natural way. Addition and subtraction in the vector space  $M$  are the same as in the field  $M$ . Scalar multiplication in the vector space is just multiplication of elements of  $M$  by elements of  $K$ , which makes sense because  $K$  is embedded as a subfield of  $M$ .

When we view  $M$  as a vector space over  $K$  rather than an extension, we forget how to multiply together elements of  $M$  that aren't in  $K$ .

### Definition 5.1.1: Degree of a Field Extension

The **degree**  $[M : K]$  of a field extension  $M : K$  is the dimension of  $M$  as a vector space over  $K$ .

If  $M$  is an *infinite-dimensional* vector space over  $K$ , we write  $[M : K] = \infty$ , where  $\infty$  is a formal symbol which we give the properties

$$n < \infty, \quad n \cdot \infty = \infty \ (n \geq 1), \quad \infty \cdot \infty = \infty$$

for integers  $n$ . An extension  $M : K$  is **finite** if  $[M : K] < \infty$ .

### Remark 5.1.4: Degree over itself

The degree  $[K : K]$  of  $K$  over itself is 1, not 0. Degrees of extensions are never 0.

### Theorem 5.1.5: Basis of Field Extensions

Let  $K(\alpha) : K$  be a simple extension.

1. Suppose that  $\alpha$  is algebraic over  $K$ . Write  $m \in K[t]$  for the minimal polynomial of  $\alpha$  and  $n = \deg(m)$ . Then

$$1, \alpha, \dots, \alpha^{n-1}$$

is a basis of  $K(\alpha)$  over  $K$ . In particular,  $[K(\alpha) : K] = \deg(m)$

2. Suppose that  $\alpha$  is transcendental over  $K$ . Then  $1, \alpha, \alpha^2, \dots$  are linearly independent over  $K$ . In particular,  $[K(\alpha) : K] = \infty$

### Corollary 5.1.10: Degree and Algebraicity

Let  $M : K$  be a field extension and  $\alpha \in M$ , the **degree** of  $\alpha$  over  $K$  is  $[K(\alpha) : K]$ . We write it as  $\deg_K(\alpha)$ . Then

$$\deg_K(\alpha) < \infty \iff \alpha \text{ is algebraic over } K.$$

If  $\alpha$  is algebraic over  $K$  then the degree of  $\alpha$  over  $K$  is the degree of the minimal polynomial of  $\alpha$  over  $K$ .

### Corollary 5.1.12: Size of Nested Extensions

Let  $M : L : K$  be a field extension and  $\beta \in M$ . Then

$$[L(\beta) : L] \leq [K(\beta) : K]$$

### Corollary 5.1.14: Polynomial Form for Extensions

Let  $M : K$  be a field extension. Let  $\alpha_1, \dots, \alpha_n \in M$ , when  $\alpha_i$  algebraic over  $K$  of degree  $d_i$ . Then every element  $\alpha \in K(\alpha_1, \dots, \alpha_n)$  can be expressed as a polynomial in  $\alpha_1, \dots, \alpha_n$  over  $K$ . More exactly,

$$\alpha = \sum_{r_1, \dots, r_n} c_{r_1, \dots, r_n} a_1^{r_1} \cdots a_n^{r_n}$$

for some  $c_{r_1, \dots, r_n} \in K$ , where  $r_i$  ranges over  $0, \dots, d_i - 1$ .



**Theorem 5.1.17: Tower Law**

Let  $M : L : K$  be field extensions.

1. If  $(\alpha_i)_{i \in I}$  is a basis of  $L$  over  $K$  and  $(\beta_j)_{j \in J}$  is a basis of  $M$  over  $L$ , then  $(\alpha_i \beta_j)_{(i,j) \in I \times J}$  is a basis of  $M$  over  $K$ .
2.  $M : K$  is finite  $\iff M : L$  and  $L : K$  are finite.
3.  $[M : K] = [M : L][L : K]$

The sets  $I$  and  $J$  here could be infinite. A family  $(\alpha_i)_{i \in I}$  of elements of a field is **finitely supported** if the set  $\{i \in I \mid \alpha_i \neq 0\}$  is finite.

**Corollary 5.1.19: Dividing Extensions**

Let  $M : L' : L : K$  be field extensions. If  $M : K$  is finite, then  $[L' : L]$  divides  $[M : K]$

**Corollary 5.1.21: Triangle Tower Inequality**

Let  $M : K$  be a field extension and  $\alpha_1, \dots, \alpha_n \in M$ . Then  $[K(\alpha_1, \dots, \alpha_n) : K] \leq [K(\alpha_1) : K] \cdots [K(\alpha_n) : K]$ .

**Definition 5.2.1: Finitely Generated Extensions**

A field extension  $M : K$  is **finitely generated** if  $M = K(Y)$  for some finite subset  $Y \subseteq M$ .

**Definition 5.2.2: Algebraic Extensions**

A field extension  $M : K$  is **algebraic** if every element of  $M$  is algebraic over  $K$ .

**Proposition 5.2.4: Algebraic and Finiteness**

The following conditions on a field extension  $M : K$  are equivalent:

1.  $M : K$  is finite
2.  $M : K$  is finitely generated and algebraic
3.  $M = K(\alpha_1, \dots, \alpha_n)$  for some finite set  $\{\alpha_1, \dots, \alpha_n\}$  of elements of  $M$  algebraic over  $K$ .

**Corollary 5.2.6: Algebraic and Finiteness (SEs)**

Let  $K(\alpha) : K$  be a simple extension. The following are equivalent:

1.  $K(\alpha) : K$  is finite
2.  $K(\alpha) : K$  is algebraic
3.  $\alpha$  is algebraic over  $K$ .

**Proposition 5.2.7**

$\overline{\mathbb{Q}}$  is a subfield of  $\mathbb{C}$ .

**Remark 5.3.A: Iterated Quadratic**

For a subfield  $K \subseteq \mathbb{R}$ , an extension  $K : \mathbb{Q}$  is **iterated quadratic** if there is some finite sequence of subfields

$$\mathbb{Q} = K_0 \subseteq K_1 \subseteq \cdots \subseteq K_n = K$$

such that  $[K_i : K_{i-1}] = 2$  for all  $i \in \{1, \dots, n\}$

**Definition 5.3.3: Compositum**

Let  $L$  and  $L'$  be subfields of a field  $M$ . The **compositum**  $LL'$  of  $L$  and  $L'$  is the subfield of  $M$  generated by  $L \cup L'$

That is,  $LL'$  is the smallest subfield of  $M$  containing both  $L$  and  $L'$ .

**Lemma 5.3.6**

Let  $M : K$  be a field extension and let  $L, L'$  be subfields of  $M$  containing  $K$ . If  $[L : K] = 2$  then  $[LL' : L'] \in \{1, 2\}$ .

**Lemma 5.3.8**

Let  $K$  and  $L$  be subfields of  $\mathbb{R}$  such that the extensions  $K : \mathbb{Q}$  and  $L : \mathbb{Q}$  are iterated quadratic. Then there is some subfield  $M$  of  $\mathbb{R}$  such that the extension  $M : \mathbb{Q}$  is iterated quadratic and  $K, L \subseteq M$ .

**Proposition 5.3.9: Iteratic Quadratics from Points**

Let  $(x, y) \in \mathbb{R}^2$ . If  $(x, y)$  is constructable from  $\{(0, 0), (1, 0)\}$  then there is an iterated quadratic extension of  $\mathbb{Q}$  containing  $x$  and  $y$ .

**Theorem 5.3.10: Quadratics and Constructability**

Let  $(x, y) \in \mathbb{R}^2$ . If  $(x, y)$  is constructible from  $\{(0, 0), (1, 0)\}$  then  $x$  and  $y$  are algebraic over  $\mathbb{Q}$ , and their degrees over  $\mathbb{Q}$  are powers of 2.

**Definition 6.1.1: Extending Homomorphism**

Let  $\iota : K \rightarrow M$  and  $\iota' : K' \rightarrow M'$  be field extensions. Let  $\psi : K \rightarrow K'$  be a homomorphism of fields. A homomorphism  $\varphi : M \rightarrow M'$  **extends**  $\psi$  if the square

$$\begin{array}{ccc} M & \xrightarrow{\varphi} & M' \\ \iota \uparrow & & \uparrow \iota' \\ K' & \xrightarrow{\psi} & K \end{array}$$

commutes ( $\varphi \circ \iota = \iota' \circ \psi$ ). Most of the time we view  $K$  as a subset of  $M$ , and  $K'$  as a subset of  $M'$ , with  $\iota$  and  $\iota'$  be the inclusions. In this case, for  $\varphi$  to extend  $\psi$  just means that

$$\varphi(a) = \psi(a) \text{ for all } a \in K$$

**Lemma 6.1.3: Induced Homomorphism as sum**

Let  $M : K$  and  $M' : K'$  be field extensions, let  $\varphi : K \rightarrow K'$  be a homomorphism, and let  $\psi : M \rightarrow M'$  be a homomorphism extending  $\varphi$ . Let  $\alpha \in M$  and  $f(t) \in K[t]$ . Then

$$f(\alpha) = 0 \iff (\psi_* f)(\varphi(\alpha)) = 0.$$

**Proposition 6.1.6: Unique Extending Isomorphisms**

Let  $\psi : K \rightarrow K'$  be an isomorphism of fields. Let  $K(\alpha) : K$  be a simple extension where  $\alpha$  has minimal polynomial  $m$  over  $K$ , and let  $K'(\alpha') : K'$  be a simple extension where  $\alpha'$  has minimal polynomial  $\psi_* m$  over  $K'$ . Then there is exactly one isomorphism  $\varphi : K(\alpha) \rightarrow K'(\alpha')$  that extends  $\psi$  and satisfies  $\varphi(\alpha) = \alpha'$ .

$$\begin{array}{ccc} K(\alpha) & \xrightarrow{\varphi} & K'(\alpha') \\ \uparrow & \cong & \uparrow \\ K & \xrightarrow{\psi} & K' \end{array}$$

A dotted arrow is used to denote a map whose existence is part of the conclusion of a theorem.

**Definition 6.2.2: Splitting Polynomial**

Let  $f$  be a polynomial over a field  $M$ . Then  $f$  **splits** in  $M$  if

$$f(t) = \beta(t - \alpha_1) \cdots (t - \alpha_n)$$

for some  $n \neq 0$  and  $\beta, \alpha_1, \dots, \alpha_n \in M$ .

Equivalently,  $f$  splits in  $M$  if all its irreducible factors in  $M[t]$  are linear.

### Definition 6.2.6: Splitting Field

Let  $f$  be a nonzero polynomial over a field  $K$ . A **splitting field** of  $f$  over  $K$  is an extension  $M$  of  $K$  such that:

1.  $f$  splits in  $M$
2.  $M = K(\alpha_1, \dots, \alpha_n)$ , where  $\alpha_1, \dots, \alpha_n$  are the roots of  $f$  in  $M$ .

2 can be replaced by “If  $L$  is a subfield of  $M$  containing  $K$ , and  $f$  splits in  $L$ , then  $L = M$ ”

### Lemma 6.2.10: Size Limits of Splitting Fields

Let  $f \neq 0$  be a polynomial over a field  $K$ . Then there exists a splitting field  $M$  of  $f$  over  $K$  such that  $[M : K] \leq \deg(f)!$

### Proposition 6.2.11: Splitting Fields and Isomorphisms

Let  $\psi : K \rightarrow K'$  be an isomorphism of fields, let  $0 \neq f \in K[t]$ , let  $M$  be a splitting field of  $f$  over  $K$ , and let  $M'$  be a splitting field of  $\psi_* f$  over  $K'$ . Then

1. There exists an isomorphism  $\varphi : M \rightarrow M'$  extending  $\psi$ .
2. There are at most  $[M : K]$  such extensions  $\varphi$ .

We often use this result when  $K' = K$  and  $\psi = \text{id}_K$ .

### Theorem 6.2.13: Isos and Autos of a Splitting Field

Let  $f$  be a nonzero polynomial over a field  $K$ . Then

1. There exists a splitting field of  $f$  over  $K$
2. Any two splitting fields of  $f$  are isomorphic over  $K$
3. When  $M$  is a splitting field of  $f$  over  $K$ ,  
number of automorphisms of  $M$  over  $K \leq [M : K] \leq \deg(f)$

### Lemma 6.2.14

1. Let  $M : S : K$  be field extensions,  $0 \neq f \in K[t]$ , and  $Y \subseteq M$ . Suppose that  $S$  is the splitting field of  $f$  over  $K$ . Then  $S(Y)$  is the splitting field of  $f$  over  $K(Y)$
2. Let  $f \neq 0$  be a polynomial over a field  $K$ , and let  $L$  be a subfield of  $\text{SF}_K(f)$  containing  $K$  (so that  $\text{SF}_K(f) : L : K$ ). Then  $\text{SF}_K(f)$  is the splitting field of  $f$  over  $L$ .

### Definition 6.3.1: Galois Group of an Extension

The **Galois Group**  $\text{Gal}(M : K)$  of a field extension  $M : K$  is the group of automorphisms of  $M$  over  $K$ , with composition as the group operation.

In other words, an element of  $\text{Gal}(M : K)$  is an isomorphism  $\theta : M \rightarrow M$  such that  $\theta(a) = a$  for all  $a \in K$ .

### Definition 6.3.5: Galois Group of a Polynomial

Let  $f$  be a nonzero polynomial over a field  $K$ . The **Galois Group**  $\text{Gal}_K(f)$  of  $f$  over  $K$  is  $\text{Gal}(\text{SF}_K(f) : K)$

So the definitions fit together like this:

$$\text{polynomial} \mapsto \text{field extension} \mapsto \text{group}$$

### Remark 6.3.A: Degree Size of Galois Group

Via Theorem 6.2.13,

$$|\text{Gal}_K(f)| \leq [\text{SF}_K(f) : 0K] \leq \deg(f)!$$

In particular,  $\text{Gal}_K(f)$  is always a finite group.

### Lemma 6.3.7: Restriction of Actions on GGs

Let  $f$  be a nonzero polynomial over a field  $K$ . Then the action of  $\text{Gal}_K(f)$  on  $\text{SF}_K(f)$  restricts to an action on the set of roots of  $f$  in  $\text{SF}_K(f)$ .

**Terminology:** Given a group  $G$  acting on a set  $X$  and a subset  $A \subseteq X$ , the action **restricts** to  $A$  if  $ga \in A$  for all  $g \in G$  and  $a \in A$ .

### Lemma 6.3.8: Faithful Action of Galois Groups

Let  $f$  be a nonzero polynomial over a field  $K$ . Then the action of  $\text{Gal}_K(f)$  on the roots of  $f$  is **faithful**.

### Remark 6.3.B: What Galois Group Means

An element of the Galois group of  $f$  is completely determined by how it permutes the roots of  $f$ . So you can view elements of the Galois group as *being* permutations of the roots.

However, not every permutation of the roots belongs to the Galois group. Suppose  $f \in K[t]$  has distinct roots  $\alpha_1, \dots, \alpha_k$  in its splitting field. For each  $\theta \in \text{Gal}_K(f)$  there is a permutation  $\sigma_\theta \in S_k$  defined by

$$\theta(\alpha_i) = \alpha_{\sigma_\theta(i)} \quad \text{for } i \in \{1, \dots, k\}$$

Then  $\text{Gal}_K(f)$  is isomorphic to the subgroup  $\{\sigma_\theta \mid \theta \in \text{Gal}_K(f)\}$  of  $S_k$ . The isomorphism is given by  $\theta \mapsto \sigma_\theta$ .

### Definition 6.3.9: Conjugacy

Let  $M : K$  be a field extension, let  $k \geq 0$ , and let  $(\alpha_1, \dots, \alpha_k)$  and  $(\alpha'_1, \dots, \alpha'_k)$  be  $k$ -tuples of elements of  $M$ . Then  $(\alpha_1, \dots, \alpha_k)$  and  $(\alpha'_1, \dots, \alpha'_k)$  are **conjugate** over  $K$  if for all  $p \in K[t_1, \dots, t_k]$ ,

$$p(\alpha_1, \dots, \alpha_k) = 0 \iff p(\alpha'_1, \dots, \alpha'_k) = 0$$

If  $k = 1$  we omit the brackets and say  $\alpha$  and  $\alpha'$  are conjugate.

### Proposition 6.3.10: Permutation Definition of GG

Let  $f$  be a nonzero polynomial over a field  $K$  with distinct roots  $\alpha_1, \dots, \alpha_k$  in  $\text{SF}_K(f)$ . Then

$\{\sigma \in S_k \mid (\alpha_1, \dots, \alpha_k) \text{ and } (\alpha_{\sigma(1)}, \dots, \alpha_{\sigma(k)}) \text{ are conj. over } K\}$  is a subgroup of  $S_k$  isomorphic to  $\text{Gal}_K(f)$

### Corollary 6.3.12: Galois Groups and Extensions

Let  $L : K$  be a field extension and  $0 \neq f \in K[t]$ . Then  $\text{Gal}_L(f)$  is isomorphic to a subgroup of  $\text{Gal}_K(f)$ .

### Corollary 6.3.14: Division of Roots and GGs

Let  $f$  be a nonzero polynomial over a field  $K$ , with  $k$  distinct roots in  $\text{SF}_K(f)$ . Then  $|\text{Gal}_K(f)|$  divides  $k!$ .

### Definition 7.1.1: Normal Extensions

An algebraic field extension  $M : K$  is **normal** if for all  $\alpha \in M$ , the minimal polynomial of  $\alpha$  splits in  $M$ .

We also say  $M$  is **normal over**  $K$  to mean that  $M : K$  is normal

### Lemma 7.1.2: Irreducibility and Normality

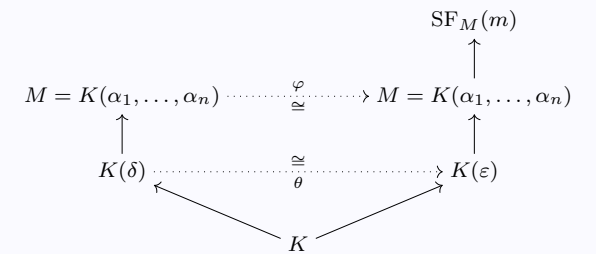
Let  $M : K$  be an algebraic extension. Then  $M : K$  is normal iff every irreducible polynomial over  $K$  either has no roots in  $M$  or splits in  $M$ .

Put another way, normality means that any irreducible polynomial over  $K$  with *at least one* root in  $M$  has *all* its roots in  $M$ .

**Theorem 7.1.5: Splitting and Normality**

Let  $M : K$  be a field extension. Then

$$M = \text{SF}_K(f) \text{ for some nonzero } f \in K[t] \iff M : K \text{ is finite and normal}$$





### Corollary 7.1.6: Normality and Further Extensions

Let  $M : L : K$  be field extensions. If  $M : K$  is finite and normal then so is  $M : L$ .

**Warning:** This does *not* follow that  $L : K$  is normal.

### Proposition 7.1.9: Conjugacy and Orbits

Let  $M : K$  be a finite normal extension and  $\alpha, \alpha' \in M$ . Then

$$\alpha \text{ and } \alpha' \text{ are conjugate over } K \iff \alpha' = \varphi(\alpha) \text{ for some } \varphi \in \text{Gal}(M : K)$$

### Corollary 7.1.11: Transitivity of Actions

Let  $f$  be an irreducible polynomial over a field  $K$ . Then the action of  $\text{Gal}_K(f)$  on the roots of  $f$  in  $\text{SF}_K(f)$  is transitive, i.e. for all  $x, x' \in X$  there exists  $g \in G$  such that  $gx = x'$

### Theorem 7.1.16: something

Let  $M : L : K$  be field extensions with  $M : K$  finite and normal.

1.  $L : K$  is a normal extension  $\iff \varphi L = L$  for all  $\varphi \in \text{Gal}(M : K)$
2. If  $L : K$  is a normal extension then  $\text{Gal}(M : L)$  is a normal subgroup of  $\text{Gal}(M : K)$  and

$$\frac{\text{Gal}(M : K)}{\text{Gal}(M : L)} \cong \text{Gal}(L : K)$$

### Remark 7.1.A: Repeated Root

For a polynomial  $f(t) \in K[t]$  and a root  $\alpha$  of  $f$  in some extension  $M$  of  $K$ , we say that  $\alpha$  is a **repeated** root if  $(t - \alpha)^2 \mid f(t)$  in  $M[t]$ .

### Definition 7.2.2: Separable Polynomial

An irreducible polynomial over a field is **separable** if it has no repeated roots in its splitting field.

Equivalently, an irreducible polynomial  $f \in K[t]$  is separable if it splits into *distinct* linear factors in  $\text{SF}_K(f)$ :

$$f(t) = a(t - \alpha_1) \cdots (t - \alpha_n)$$

for some  $a \in K$  and *distinct*  $\alpha_1, \dots, \alpha_n \in \text{SF}_K(f)$ . Put another way, an irreducible  $f$  is separable iff it has  $\deg(f)$  distinct roots in its splitting field.

**Warning:** this only works for *irreducible polynomials*.

### Definition 7.2.6: Formal Derivative

Let  $K$  be a field and let  $f(t) = \sum_{i=0}^n i_i t^i \in K[t]$ . The **formal derivative** of  $f$  is

$$(Df)(t) = \sum_{i=1}^n i a_i t^{i-1} \in K[t]$$

### Lemma 7.2.7: Basic Derivative Rules

Let  $K$  be a field. Then

$$D(f + g) = Df + Dg, \quad D(fg) = f \cdot Dg + Df \cdot g, \quad Da = 0$$

for all  $f, g \in K[t]$  and  $\alpha \in K$

### Lemma 7.2.9: Repeated Roots

Let  $f$  be a nonzero polynomial over a field  $K$ . The following are equivalent:

1.  $f$  has a repeated root in  $\text{SF}_K(f)$
2.  $f$  and  $Df$  have a common root in  $\text{SF}_K(f)$
3.  $f$  and  $Df$  have a nonconstant common factor in  $K[t]$

### Proposition 7.2.10: Inseparability of Zero

Let  $f$  be an irreducible polynomial over a field. Then  $f$  is inseparable iff  $Df = 0$

### Corollary 7.2.11: Separability of Irreducibles

Let  $K$  be a field.

1. If  $\text{char } K = 0$  then every irreducible polynomial over  $K$  is separable.
2. If  $\text{char } K = p > 0$  then an irreducible polynomial  $f \in K[t]$  is inseparable iff

$$f(t) = b_0 + b_1 t^p + \cdots + b_r t^{r^p}$$

for some  $b_0, \dots, b_r \in K$

In other words, the only irreducible polynomials that are inseparable are the polynomials in  $t^p$  in characteristic  $p$ .

### Definition 7.2.13: Separable Elements

Let  $M : K$  be an algebraic extension. An element of  $M$  is **separable** over  $K$  if its minimal polynomial over  $K$  is separable. The extension  $M : K$  is **separable** if every element of  $M$  is separable over  $K$ .

### Lemma 7.2.16: Separable Further Extensions

Let  $M : L : K$  be field extensions, with  $M : K$  algebraic. If  $M : K$  is separable then so are  $M : L$  and  $L : K$ .

### Proposition 7.2.17: Splitting Field Isomorphisms

Let  $\varphi : K \rightarrow K'$  be an isomorphism of fields, let  $0 \neq f \in K[t]$ , let  $M$  be a splitting field of  $f$  over  $K$ , and let  $M'$  be a splitting field of  $\varphi_* f$  over  $K'$ . Suppose that the extension  $M' : K'$  is separable. Then there are exactly  $[M : K]$  isomorphisms  $\varphi : M \rightarrow M'$  extending  $\psi$ .

### Theorem 7.2.18: Size of Galois Extensions

$|\text{Gal}(M : K)| = [M : K]$  for every finite normal separable extension  $M : K$

### Remark 7.3.A: Fixed Field

Write  $\text{Aut}(M)$  for the group of automorphisms of a field  $M$ . Then  $\text{Aut}(M)$  acts naturally on  $M$ . Given a subset  $S$  of  $\text{Aut}(M)$ , we can consider the set  $\text{Fix}(S)$  of elements of  $M$  fixed by  $S$ .

### Lemma 7.3.1: Fixed Field is a Subfield

$\text{Fix}(S)$  is a subfield of  $M$ , for any  $S \subseteq \text{Aut}(M)$ .

### Theorem 7.3.3: Size of Fixed Field

Let  $M$  be a field and  $H$  a finite subgroup of  $\text{Aut}(M)$ . Then  $[M : \text{Fix}(H)] \leq |H|$ . This is actually an equality.

### Proposition 7.3.7: Fixed Field Normal Extension

Let  $M : K$  be a finite normal extension and  $H$  a normal subgroup of  $\text{Gal}(M : K)$ . Then  $\text{Fix}(H)$  is a normal extension of  $K$ .

## 5 The Fundamental Theorem of Galois Theory!

### Remark 8.1.A: Intermediate Field

Let  $M : K$  be a field extension, with  $K$  viewed as a subfield of  $M$ . An **intermediate field** of  $M : K$  is a subfield of  $M$  containing  $K$ . Write

$$\mathcal{F} = \{\text{intermediate fields of } M : K\}$$

For  $L \in \mathcal{F}$ , we draw diagrams like this:

$$\begin{array}{c} M \\ | \\ L \\ | \\ K \end{array}$$

with the bigger fields higher up. We also write

$$\mathcal{G} = \{\text{subgroups of } \text{Gal}(M : K)\}$$

For  $H \in \mathcal{G}$ , we draw diagrams like this:

$$\begin{array}{c} I \\ | \\ H \\ | \\ \text{Gal}(M : K) \end{array}$$

For  $L \in \mathcal{F}$ , the group  $\text{Gal}(M : K)$  consists of all automorphisms  $\varphi$  of  $M$  that fix each element of  $L$ . Since  $K \subseteq L$ , any such  $\varphi$  certainly fixes each element of  $K$ . Hence  $\text{Gal}(M : L)$  is a subgroup of  $\text{Gal}(M : K)$ . this process defines a function

$$\begin{aligned} \text{Gal}(M : -) : \mathcal{F} &\mapsto \mathcal{G} \\ L &\mapsto \text{Gal}(M : L) \end{aligned}$$

In the expression  $\text{Gal}(M : -)$ , the symbol  $-$  should be seen as a blank space into which arguments can be inserted.

In the other direction, for  $H \in \mathcal{G}$ , the subfield  $\text{Fix}(H)$  of  $M$  contains  $K$ . Indeed  $H \subseteq \text{Gal}(M : K)$ , and by definition, every element of  $\text{Gal}(M : K)$  fixes every element of  $K$ , so  $\text{Fix}(H) \supseteq K$ . Hence  $\text{Fix}(H)$  is an intermediate field of  $M : K$ . This process defines a function

$$\begin{aligned} \text{Fix} : \mathcal{G} &\mapsto \mathcal{F} \\ H &\mapsto \text{Fix}(H) \end{aligned}$$

We have now defined functions

$$\mathcal{F} \xrightleftharpoons[\text{Fix}]{\text{Gal}(M : -)} \mathcal{G}$$

### Lemma 8.1.2: Ordering of Intermediates

$$\begin{array}{ccc} M & & 1 \\ | & & | \\ L_2 & & \text{Gal}(M : L_2) \\ | & & | \\ L_1 & & \text{Gal}(M : L_1) \\ | & & | \\ K & & \text{Gal}(M : K) \end{array}$$

Let  $M : K$  be a field extension, and define  $\mathcal{F}$  and  $\mathcal{G}$  as above.

- For  $L_1, L_2 \in \mathcal{F}$ ,  
 $L_1 \subseteq L_2 \implies \text{Gal}(M : L_1) \supseteq \text{Gal}(M : L_2)$   
 For  $H_1, H_2 \in \mathcal{G}$ ,  
 $H_1 \subseteq H_2 \implies \text{Fix}(H_1) \supseteq \text{Fix}(H_2)$
- For  $L \in \mathcal{F}$  and  $H \in \mathcal{G}$ ,  
 $L \subseteq \text{Fix}(H) \iff H \supseteq \text{Gal}(M : L)$
- For all  $L \in \mathcal{F}$ ,  
 $L \subseteq \text{Fix}(\text{Gal}(M : L))$   
 For all  $H \in \mathcal{G}$ ,  
 $H \subseteq \text{Gal}(M : \text{Fix}(H))$

### Remark 8.1.B: Galois Correspondence

The functions

$$\mathcal{F} \xrightleftharpoons[\text{Fix}]{\text{Gal}(M : -)} \mathcal{G}$$

are called the **Galois correspondence** for  $M : K$ . This terminology is mostly used in the case where the functions are **mutually inverse**, meaning that

$$L = \text{Fix}(\text{Gal}(M : L)), \quad H = \text{Gal}(M : \text{Fix}(H))$$

for all  $L \in \mathcal{F}$  and  $H \in \mathcal{G}$ . In both cases, the LHS is a subset of the RHS. But they are not always equal.

If  $\text{Gal}(M : -)$  and  $\text{Fix}$  are mutually inverse then they set up a one-to-one correspondence between  $\mathcal{F}$  and  $\mathcal{G}$ .

### Thm 8.2.1: Fundamental Theorem of Galois Theory

Let  $M : K$  be a finite normal separable extension. Write

$$\begin{aligned} \mathcal{F} &= \{\text{intermediate fields of } M : K\} \\ \mathcal{G} &= \{\text{subgroups of } \text{Gal}(M : K)\} \end{aligned}$$

- The functions  $\mathcal{F} \xrightleftharpoons[\text{Fix}]{\text{Gal}(M : -)} \mathcal{G}$  are mutually inverse.
- $|\text{Gal}(M : L)| = [M : L]$  for all  $L \in \mathcal{F}$  and  $[M : \text{Fix}(H)] = |H|$  for all  $H \in \mathcal{G}$
- Let  $L \in \mathcal{F}$ . Then

$$\begin{aligned} L \text{ is a normal extension of } K &\iff \\ \text{Gal}(M : L) \text{ is a normal subgroup of } \text{Gal}(M : K). \end{aligned}$$

and in that case,

$$\frac{\text{Gal}(M : K)}{\text{Gal}(M : L)} \cong \text{Gal}(L : K)$$

### Remark 8.2.3: Useful Results

- Lemmas 6.3.7 and 6.3.8 say that  $\text{Gal}_K(f)$  acts faithfully on the set of roots of  $f$  in  $\text{SF}_K(f)$ . i.e. an element of the Galois group can be understood as a permutation of the roots
- Corollary 6.3.14 states that  $|\text{Gal}_K(f)|$  divides  $k!$ , where  $k$  is the number of distinct roots of  $f$  in its splitting field.
- Let  $\alpha$  and  $\beta$  be roots of  $f$  in  $\text{SF}_K(f)$ . Then there is an element of the Galois group mapping  $\alpha$  to  $\beta$  iff  $\alpha$  and  $\beta$  are conjugate over  $K$  (have the same minimal polynomial). This follows from Prop 7.1.9.
- In particular, when  $f$  is irreducible, the action of the Galois group on the roots is transitive (Corollary 7.1.11).

### Corollary 8.2.7: Automorphisms with FTGT

Let  $M : K$  be a finite normal separable extension. Then for every  $\alpha \in M \setminus K$ , there is some automorphism  $\varphi$  of  $M$  over  $K$  such that  $\varphi(\alpha) \neq \alpha$

### Definition 9.1.2: Radical Number

Let  $\mathbb{Q}^{\text{rad}}$  be the smallest subfield of  $\mathbb{C}$  such that for  $\alpha \in \mathbb{C}$ ,

$$\alpha^n \in \mathbb{Q}^{\text{rad}} \text{ for some } n \geq 1 \implies \alpha \in \mathbb{Q}^{\text{rad}}.$$

A complex number is **radical** if it belongs to  $\mathbb{Q}^{\text{rad}}$

### Definition 9.1.5: Solvability by Radicals

A nonzero polynomial over  $\mathbb{Q}$  is **solvable by radicals** if all of its complex roots are radical.

### Lemma 9.1.6: Rational Galois Group is Abelian

For all  $n \geq 1$ , the group  $\text{Gal}_{\mathbb{Q}}(t^n - 1)$  is abelian.

### Lemma 9.1.8: Splitting Galois Group is Abelian

Let  $K$  be a field and  $n \geq 1$ . Suppose that  $t^n - 1$  splits in  $K$ . Then  $\text{Gal}_K(t^n - a)$  is abelian for all  $a \in K$ .

### Remark 9.1.A: Path of a Solvable Polynomial

Roughly, the diagram of solvable polynomials is  
solvable polynomial  $\mapsto$  solvable extension  $\mapsto$  solvable group  
In other words, we define “solvable extension” in such a way that

1. If  $f \in \mathbb{Q}[t]$  is a polynomial solvable by radicals then  $\text{SF}_{\mathbb{Q}}(f) : \mathbb{Q}$  is a solvable extension
2. If  $M : K$  is a solvable extension then  $\text{Gal}(M : K)$  is a solvable group. Hence if  $f$  is solvable by radicals then  $\text{Gal}_{\mathbb{Q}}(f)$  is solvable.

### Definition 9.2.1: Solvable Extension

Let  $M : K$  be a finite normal separable extension. Then  $M : K$  is **solvable** (or  $M$  is **solvable over**  $K$ ) if there exist  $r \geq 0$  and intermediate fields

$$K = L_0 \subseteq L_1 \subseteq \cdots \subseteq L_r = M$$

such that  $L_i : L_{i-1}$  is normal and  $\text{Gal}(L_i : L_{i-1})$  is abelian for each  $i \in \{1, \dots, r\}$ .

### Lemma 9.2.4: Solvable Galois and Extensions

Let  $M : K$  be a finite normal separable extension. Then  
 $M : K$  is solvable  $\iff \text{Gal}(M : K)$  is solvable

### Lemma 9.2.6: Finite Normal Results

Let  $M : K$  be a field extension and let  $L$  and  $L'$  be intermediate fields.

1. If  $L : K$  and  $L' : K$  are finite and normal, then so is  $LL' : K$ .
2. If  $L : K$  is finite and normal, then so is  $LL' : L'$ .
3. If  $K : K$  is finite and normal with abelian Galois group, then so is  $LL' : L'$

### Lemma 9.2.7: Iterated Subfields

Let  $L$  and  $M$  be subfields of  $\mathbb{C}$  such that the extensions  $L : \mathbb{Q}$  and  $M : \mathbb{Q}$  are finite, normal, and solvable. Then there is some subfield  $N$  of  $\mathbb{C}$  such that  $N : \mathbb{Q}$  is finite, normal, and solvable and  $L, M \subseteq N$ .

### Lemma 9.2.8: $\mathbb{Q}^{\text{sol}}$ is a subfield of $\mathbb{C}$

Let  $\mathbb{Q}^{\text{sol}}$  be defined as

$$\mathbb{Q}^{\text{sol}} = \{\alpha \in \mathbb{C} \mid \alpha \in L \text{ for some subfield } L \subseteq \mathbb{C} \text{ that is finite, normal, and solvable over } \mathbb{Q}\}.$$

Then  $\mathbb{Q}^{\text{sol}}$  is a subfield of  $\mathbb{C}$ .

### Lemma 9.2.9: Powers in $\mathbb{Q}^{\text{sol}}$

Let  $\alpha \in \mathbb{C}$  and  $n \geq 1$ . If  $\alpha^n \in \mathbb{Q}^{\text{sol}}$  then  $\alpha \in \mathbb{Q}^{\text{sol}}$ .

### Proposition 9.2.12: $\mathbb{Q}^{\text{rad}}$ and $\mathbb{Q}^{\text{sol}}$

$\mathbb{Q}^{\text{rad}} \subseteq \mathbb{Q}^{\text{sol}}$ . That is, every radical number is contained in some subfield of  $\mathbb{C}$  that is a finite, normal, solvable extension of  $\mathbb{Q}$ .

### Theorem 9.2.13: Solvability of Galois Group

Let  $0 \neq f \in \mathbb{Q}[t]$ . If the polynomial  $f$  is solvable by radicals then the group  $\text{Gal}_{\mathbb{Q}}(f)$  is solvable.

### Lemma 9.3.1: Irreducible Polynomials and Degrees

Let  $f$  be an irreducible polynomial over a field  $K$ , with  $\text{SF}_K(f) : K$  separable. Then  $\deg(f)$  divides  $|\text{Gal}_K(f)|$ .

### Lemma 9.3.2: Generating the Symmetric Group

For  $n \geq 2$ , the symmetric group  $S_n$  is generated by (12) and  $(12 \dots n)$ .

### Lemma 9.3.3: Isomorphism to Symmetric Group

Let  $p$  be a prime number, and let  $f \in \mathbb{Q}[t]$  be an irreducible polynomial of degree  $p$  with exactly  $p - 2$  real roots. Then  $\text{Gal}_{\mathbb{Q}}(f) \cong S_p$ .

### Theorem 9.3.5: Unsolvability of the Quintics

Not every polynomial over  $\mathbb{Q}$  of degree 5 is solvable by radicals.

### Lemma 10.1.1: Characteristic of a Finite Field

Let  $M$  be a finite field. Then  $\text{char } M$  is a prime number  $p$ , and  $|M| = p^n$  where  $n = [M : \mathbb{F}_p] \geq 1$ .

In particular, the order of a finite field is a prime power.

### Lemma 10.1.5: Splitting Prime Polynomials

Let  $p$  be a prime number and  $n \geq 1$ . Then the splitting field of  $t^{p^n} - t$  over  $\mathbb{F}_p$  has order  $p^n$ .

### Lemma 10.1.6: Prime Powers are Equal

Let  $M$  be a finite field of order  $q$ . Then  $\alpha^q = \alpha$  for all  $\alpha \in M$ .

### Lemma 10.1.8: Every Finite Field Splits

Every finite field of order  $q$  is a splitting field of  $t^q - t$  over  $\mathbb{F}_p$

### Theorem 10.1.9: Classification of Finite Fields

1. Every finite field has order  $p^n$  for some prime  $p$  and integer  $n \geq 1$ .
2. For each prime  $p$  and integer  $n \geq 1$ , there is exactly one field of order  $p^n$ , up to isomorphism. It has characteristic  $p$  and is a splitting field for  $t^{p^n} - t$  over  $\mathbb{F}_p$ .

### Proposition 10.2.1: Cyclic Finite Subgroups

For an arbitrary field  $K$ , every finite subgroup of  $K^\times$  is cyclic. In particular, if  $K$  is finite, then  $K^\times$  is cyclic.

### Corollary 10.2.5: Finite Field Extensions are Simple

Every extension of one finite field over another is simple.

### Corollary 10.2.8: Existence of Irreducibles

For every prime number  $p$  and integer  $n \geq 1$ , there exists an irreducible polynomial over  $\mathbb{F}_p$  of degree  $n$ .

### Lemma 10.3.2: Properties of Finite Field Extensions

Let  $M : K$  be a field extension.

1. If  $K$  is finite then  $M : K$  is separable.
2. If  $M$  is also finite then  $M : K$  is finite and normal.

**Proposition 10.3.3: Frobenius Automorphism**

Let  $p$  be a prime and  $n \geq 1$ . Then  $\text{Gal}(\mathbb{F}_{p^n} : \mathbb{F}_p)$  is cyclic of order  $n$ , generated by the Frobenius Automorphism of  $\mathbb{F}_{p^n}$

**Proposition 10.3.6: Uniqueness of Finite Subfield**

Let  $p$  be a prime and  $n \geq 1$ . Then  $\mathbb{F}_{p^n}$  has exactly one subfield of order  $p^m$  for each divisor  $m$  of  $n$ , and no others. It is

$$\{\alpha \in \mathbb{F}_{p^n} : \alpha^{p^m} = \alpha\}$$

**Proposition 10.3.8: Cyclic Galois Groups**

Let  $M : K$  be a field extension with  $M$  finite. Then  $\text{Gal}(M : K)$  is cyclic of order  $[M : K]$ .

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