# HW2

## Q1:Data processing

#### **Tokenizer**

The tokenizer I used is bert-base-chinese.

It is an implementation for WordPiece.

Let me describe this algorithm briefly:

- 1. We should split all sentences into many minimal tokens
- 2. Learning the rules for merge token
  - a. use the formula below to choose token pair

```
score=(freq_of_pair)/(freq_of_first_element×freq_of_second_element)
```

- b. we can merge 2 tokens to get new token
- c. loop to get more merge rule until reach the desired vocabulary size
- 3. Use the rules to tokenize
  - a. input sentence
  - b. split to smallest tokens
  - c. use best rule to merge tokens (Attempt to match tokens merged by the longest tokens)
- 4. There should be some special markup meaning, like split, start, end, ...

### **Answer Span**

- a. The dataset will give the target string and start position, so we can easily get the end position of the target string, after that, we should check all the tokens and get the result that belongs to the string. Finally, we get the tokenized location
- b. We violently try all possible consecutive tokens, use the offset between the previously saved token and the actual content to get the corresponding substring, and finally select the substring with the highest score

## Q2: Modeling with BERTs and their variants

#### **Describe**

a. my model configure

their are two NN model in this model

first one is MC

```
"_name_or_path": "ckiplab/albert-tiny-chinese",
"architectures": [
 "AlbertForMultipleChoice"
"attention_probs_dropout_prob": 0.0,
"bos_token_id": 101,
"classifier_dropout_prob": 0.1,
"down_scale_factor": 1,
"embedding_size": 128,
"eos_token_id": 102,
"gap_size": 0,
"hidden_act": "gelu",
"hidden_dropout_prob": 0.0,
"hidden_size": 312,
"initializer_range": 0.02,
"inner_group_num": 1,
"intermediate_size": 1248,
"layer_norm_eps": 1e-12,
"max_position_embeddings": 512,
"model_type": "albert",
"net_structure_type": 0,
"num_attention_heads": 12,
"num_hidden_groups": 1,
"num_hidden_layers": 4,
"num_memory_blocks": 0,
"pad_token_id": 0,
"position_embedding_type": "absolute",
"tokenizer_class": "BertTokenizerFast",
"torch_dtype": "float32",
"transformers_version": "4.23.1",
"type_vocab_size": 2,
"vocab_size": 21128
```

second one is QA

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```
"_name_or_path": "hfl/chinese-roberta-wwm-ext",
  "architectures": [
   "BertForQuestionAnswering"
  "attention_probs_dropout_prob": 0.1,
  "bos_token_id": 0,
  "classifier_dropout": null,
  "directionality": "bidi",
  "eos_token_id": 2,
  "hidden_act": "gelu",
  "hidden_dropout_prob": 0.1,
  "hidden_size": 768,
  "initializer_range": 0.02,
  "intermediate_size": 3072,
  "layer_norm_eps": 1e-12,
  "max_position_embeddings": 512,
  "model_type": "bert",
  "num_attention_heads": 12,
  "num_hidden_layers": 12,
  "output_past": true,
  "pad_token_id": 0,
  "pooler_fc_size": 768,
  "pooler_num_attention_heads": 12,
  "pooler_num_fc_layers": 3,
  "pooler_size_per_head": 128,
  "pooler_type": "first_token_transform",
  "position_embedding_type": "absolute",
  "torch_dtype": "float32",
  "transformers_version": "4.23.1",
  "type_vocab_size": 2,
  "use_cache": true,
  "vocab_size": 21128
}
```

b. performance of your model: 0.77667

c. the loss function you used:

as source code

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```
loss = None
if labels is not None:
    if self.config.problem_type is None:
        if self.num_labels == 1:
            self.config.problem_type = "regression"
        elif self.num_labels > 1 and (labels.dtype == torch.long or labels.dtype == torch.int):
            self.config.problem_type = "single_label_classification"
        else:
            self.config.problem_type = "multi_label_classification"
    if self.config.problem_type == "regression":
        loss_fct = MSELoss()
        if self.num_labels == 1:
            loss = loss_fct(logits.squeeze(), labels.squeeze())
        else:
            loss = loss_fct(logits, labels)
    elif self.config.problem_type == "single_label_classification":
        loss_fct = CrossEntropyLoss()
        loss = loss_fct(logits.view(-1, self.num_labels), labels.view(-1))
    elif self.config.problem_type == "multi_label_classification":
        loss_fct = BCEWithLogitsLoss()
        loss = loss_fct(logits, labels)
```

CrossEntropyLoss is the loss function

d. my optimizer: AdamW

learning rate: 5e-5

batch size: 16

### Try another type of pretrained model and describe

a. my model configure

their are two NN model in this model

because "ckiplab/albert-tiny-chinese" have small memory space and faster speed,

so I just change QA NN to "ckiplab/albert-tiny-chinese"

below is config

```
{
  "_name_or_path": "ckiplab/albert-tiny-chinese",
  "architectures": [
    "AlbertForQuestionAnswering"
],
```

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```
"attention_probs_dropout_prob": 0.0,
  "bos_token_id": 101,
  "classifier_dropout_prob": 0.1,
  "down_scale_factor": 1,
  "embedding_size": 128,
  "eos_token_id": 102,
  "gap_size": 0,
  "hidden_act": "gelu",
  "hidden_dropout_prob": 0.0,
  "hidden_size": 312,
  "initializer_range": 0.02,
  "inner_group_num": 1,
  "intermediate_size": 1248,
  "layer_norm_eps": 1e-12,
  "max_position_embeddings": 512,
  "model_type": "albert",
  "net_structure_type": 0,
  "num_attention_heads": 12,
  "num_hidden_groups": 1,
  "num_hidden_layers": 4,
  "num_memory_blocks": 0,
  "pad_token_id": 0,
  "position_embedding_type": "absolute",
  "tokenizer_class": "BertTokenizerFast",
  "torch_dtype": "float32",
  "transformers_version": "4.23.1",
  "type_vocab_size": 2,
  "vocab_size": 21128
}
```

b. performance of your model: **0.53** 

I guess it's because the QA task is more complex, so the small model can't do it

c. the difference between pretrained model (architecture, pretraining loss, etc.)
 I will explain the difference between each model by talking about their pros and cons

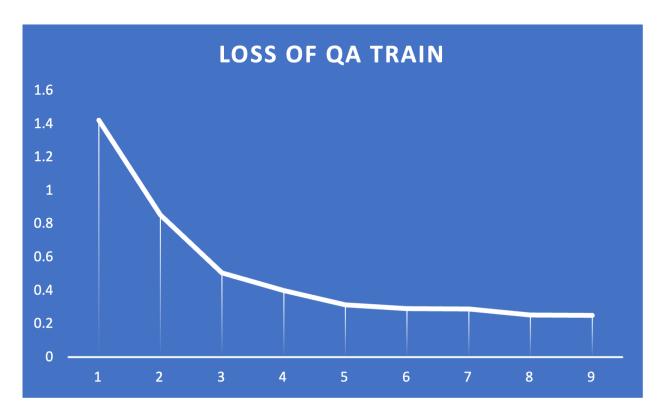
"ckiplab/albert-tiny-chinese": small memory space, fast training/prediction, but not very good for complex tasks

"hfl/chinese-roberta-wwm-ext": large memory space, slow training/prediction, but good for complex tasks

d. I also tried using "hfl/chinese-roberta-wwm-ext" in the model per NN, but I found it was bigger and slower than my model, but didn't get a higher score than my model

# Q3: Curves

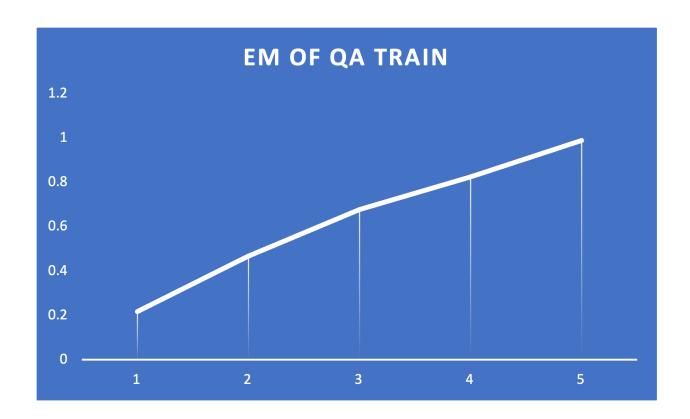
## loss function of QA



x-axis mean 500 batch (batch size = 16)

I draw it by excel, data come from <a href="trainer\_state.json">trainer\_state.json</a> in out\_dir for train script

## **EM of QA**



x-axis 1000 batch (batch size = 16)

I draw it by excel, data come from eval\_results.json in out\_dir for train script every 1000
batch (should set evaluate every 1000 batch)

## **Q4: Pretrained vs Not Pretrained**

I trained an unpretrained MC model

the config of it is below

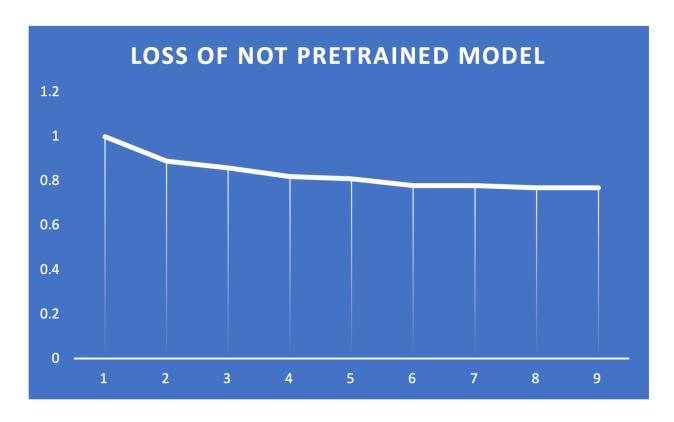
```
"_name_or_path": "ckiplab/albert-tiny-chinese",
"architectures": [
    "AlbertForMultipleChoice"
],
"attention_probs_dropout_prob": 0.0,
"bos_token_id": 101,
"classifier_dropout_prob": 0.1,
"down_scale_factor": 1,
"embedding_size": 128,
"eos_token_id": 102,
"gap_size": 0,
"hidden_act": "gelu",
"hidden_dropout_prob": 0.0,
```

```
"hidden_size": 312,
  "initializer_range": 0.02,
  "inner_group_num": 1,
  "intermediate_size": 1248,
  "layer_norm_eps": 1e-12,
  "max_position_embeddings": 512,
  "model_type": "albert",
  "net_structure_type": 0,
  "num_attention_heads": 12,
  "num_hidden_groups": 1,
  "num_hidden_layers": 4,
  "num_memory_blocks": 0,
  "pad_token_id": 0,
  "position_embedding_type": "absolute",
  "tokenizer_class": "BertTokenizerFast",
  "torch_dtype": "float32",
  "transformers_version": "4.23.1",
  "type_vocab_size": 2,
  "vocab_size": 21128
}
```

I just cancel the pre-weight in origin model MC

the performance of this model is really bad

I draw the curve for it below



x-axis mean 500 batch (batch = 8)

We found that there is insufficient data to train such a model, and the loss is stable at 0.77 (trained model can be 0.2).

Therefore, the final accuracy is also small.

Here is the eval\_metrics

```
**** eval metrics *****
epoch
                                  3.0
                         =
eval_accuracy
                               0.6243
eval loss
                               0.7553
eval runtime
                         = 1:31:15.86
eval samples
                                21714
eval samples per second =
                                3.965
eval_steps_per_second
                                0.496
```

Also, I have tried some other models to solve this problem, but because this model has the fewest parameters, it has the best performance

#### Q5: Bonus: HW1 with BERTs

you can check /bonus for more detail

- a. your model
  - a. intent: bert tiny
  - b. slot: bert-base-uncased
- b. performance of your model
  - a. intent: 0.771

```
***** Running training *****
 Num examples = 15000
 Num Epochs = 8
  Instantaneous batch size per device = 16
 Total train batch size (w. parallel, distribute
 Gradient Accumulation steps = 1
  Total optimization steps = 7504
/usr/local/lib/python3.7/dist-packages/ipykernel
  if name == ' main ':
                                       [7504/7504 20:
Step Training Loss Validation Loss Accuracy
  50
               No log
                               5.022862
                                         0.006000
  100
                               5.021906
                                         0.007333
               No log
  150
               No log
                               5.020112
                                         0.008000
 200
               No log
                               5.017566
                                          0.011333
 250
               No log
                               5.014102
                                          0.011667
 300
                                          0.013667
               No log
                               5.009676
 350
               No log
                               5.003967
                                          0.013000
 400
                               4.996514
                                          0.018667
               No log
 450
                               4.986050
                                          0.018000
               No log
 500
             5.014500
                               4.976303
                                         0.020000
 550
             5.014500
                               4.959329
                                         0.027000
 600
             5.014500
                               4.938393
                                          0.031667
 650
             5.014500
                               4.926051
                                         0.024333
```

b. slot: 0.976

```
**** eval metrics ****
 epoch
                                   3.0
 eval accuracy
                                 0.976
 eval f1
                                0.8485
                          =
 eval loss
                                0.0836
 eval_precision
                                0.8455
 eval_recall
                         =
                                0.8515
 eval_runtime
                         = 0:00:08.91
 eval_samples
                         =
                                  1000
 eval_samples_per_second =
                              112.147
 eval_steps_per_second
                                14.018
```

- c. the loss function you used
  - a. intent

by source code

CrossEntropyLoss is the loss function

b. slot

as source code

```
loss = None
if labels is not None:
   if self.config.problem_type is None:
        if self.num_labels == 1:
            self.config.problem_type = "regression"
       elif self.num_labels > 1 and (labels.dtype == torch.long or labels.dtype == torch.int):
            self.config.problem_type = "single_label_classification"
            self.config.problem_type = "multi_label_classification"
   if self.config.problem_type == "regression":
       loss_fct = MSELoss()
       if self.num_labels == 1:
           loss = loss_fct(logits.squeeze(), labels.squeeze())
       else:
            loss = loss_fct(logits, labels)
   elif self.config.problem_type == "single_label_classification":
        loss_fct = CrossEntropyLoss()
       loss = loss_fct(logits.view(-1, self.num_labels), labels.view(-1))
   elif self.config.problem_type == "multi_label_classification":
        loss_fct = BCEWithLogitsLoss()
       loss = loss_fct(logits, labels)
```

CrossEntropyLoss is the loss function

- d. The optimization algorithm (e.g. Adam), learning rate and batch size.
  - a. intent

optimization algorithm: AdamW

learning rate: 3e-5

• batch size: 16

b. slot

optimization algorithm: AdamW

learning rate: 3e-5

batch size: 16