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# 1 Intro

Computer vision is the endeavour to algorithmically discern patterns in images, which reflect structures and processes in the physical world. For an understanding of the world, that is captured in an image, an algorithm needs to model the underlying causal elements, that contribute to generating the image. For example, when shown an image ..

Finding an abstract image representation, can be framed as a problem of understanding, such that an image representation contains the states of the factors that led to the image including objects and the physical states of objects. A factorized representation should then represent each causal element and its state individually, in a disentangled manner: A change in the real causal element should correspond to an equivalent change in the abstract representational factor, while leaving the other factors, that represent other causes, unchanged. On the one hand there are pragmatic reasons to aim at extracting disentangled factors from images: to successfully transfer a representation between different tasks, typically only a few factors are relevant ?. Efficient transfer and multi-task learning should account for this. On the other hand, learning to capture external mechanisms in appropriate internal representations, can be seen as a goal in its own. It enables machines to reason about the world [Pearl \[2018\]](#). In addition, once disentangled, a factor can be manipulated individually to make a targeted change. Thought experiments like *"imagine, how ridiculous you would look, if you wore that hot pants"* are manageable tasks for human imagination, but are out of the league for currently used generative image models [Goodfellow et al. \[2014\]](#), [Kingma and Welling \[2013\]](#), that typically rely on uninterpretable vector spaces with entangled dimensions. In the sense of generative modelling, disentangling factors could as well lead the way from a science of images to a science of imagination [Mahadevan \[2018\]](#).

But how to learn a disentangled representation from scratch, *i.e.* from pure data? As we will find out, disentangling causal factors from raw image data, without any side information is impossible theoretically and can only work based on statistical assumptions. Lets consider an example to illustrate this point: Statistical residues -> will depends on statistical nature of data (e.g. Gaussian with two dimensions  $P(s, a)$ ) current machine learning: association, probability distribution modeling.

How do humans disentangle? 1. association: "conditioning" 2. Apart from pure association -> access to video information e.g. video information: how do objects behave across time?

3. Learning by interacting: knowing change by changing. second rung on causal ladder (Pearl): intervention. (, acting) What happens if I do?  $P(s, do(a))$  Others: counterfactual (imagining), association. In humans e.g. egomotion cues: how does image on retina change if I move.

How disentangle? change factor -> image change equivariantly, leave others invariant

-> equivariance, invariance

change can be mimicked artificially Intelligent pattern recognition algorithms, fuelled by sensory data as learning material alone, may ultimately drive the way to a full-blown artificial intelligence, reasoning about the world on its own. - That is the reasoning behind data-driven and assumptionless machine learning approaches that have conquered several research communities. A theoretical objection to driving-only-with-data comes from the causal literature: For an understanding of the world, an algorithm needs to model causal processes, that cause an image to be generated.

## 1.1 Contributions I

**Hypothesis:** learning shape requires abstracting away appearance -> hence disentangling  
**Hypothesis ii):** learning disentanglement from pure data is fundamentally constrained. need to take causal literature into account -> disentangling causal factors will need assumptions on causal model and/or interventional/interactional data (instead of raw data).

- validate and evaluate method developed by Lorenz *et al.* 2018 for disentangling
- overview over state-of-the-art disentangling, analysis of future directions
- explain method in context to these
- evaluate unsupervised shape learning:
  - human faces, bodies (CelebA, Human3.6M)
  - animal faces, bodies (cats, dogs, birds)
  - composite objects (dancing pair)
- make own video dataset
  - for disentangling human pose and appearance (heidelbergpose)
  - for articulated animal video (dogs)
  - for composite object (pair dancing salsa)
- ablation study (reconstruction, equivariance loss, transformations)
- qualitative comparison to non-disentangling composite shape learning (Zhang) may be a petty detail or a simple hack/trick (reconstruction on other image) but makes all the difference in terms of causal information
- evaluating disentanglement
  - reID
  - pose estimation

result: soa in shape learning, (first) unsupervised disentangling of articulated shape and appearance

# **Part I**

## **Appendix**

# A Lists

## A.1 List of Figures

- 4.1 Encoder  $E$  encodes shape and appearance for two images  $\pi(X)$  and  $\phi(X)$ , after recombination  $R$  of  $(f_{\pi(X)}, p_{\phi(X)})$  into latent image  $Z$ , the decoder  $D$  reconstructs the image  $X$ . . . . . 13

## A.2 List of Tables

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Erklärung:

Ich versichere, dass ich diese Arbeit selbstständig verfasst habe und keine anderen als die angegebenen Quellen und Hilfsmittel benutzt habe.

Heidelberg, den (Datum) .....