

Connecting reflection and β -models in second-order arithmetic

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REFLECTION PRINCIPLES

Let

- ▶ Pr_T be a standard provability predicate for a theory T ;
- ▶ Tr be a truth predicate for Π_n^1 -sentences.

$\Pi_n^1\text{-Ref}(T)$ is the sentence

$$\forall \varphi \in \Pi_n^1. \text{Pr}_T(\varphi) \rightarrow \text{Tr}(\varphi).$$

STRONG DEPENDENT CHOICES

Definition

Strong Σ_i^1 -DC₀ is the schema containing

$$\forall Z \forall n \forall Y (\varphi(n, (Z)_{<n}, Y) \rightarrow \varphi(n, (Z)_{<n}, (Z)_n))$$

for all Σ_i^1 formula φ .

β -MODELS

- Any set $\mathcal{M} \subseteq \mathbb{N}$ can be seen as a model whose sets are

$$(\mathcal{M})_n = \{i \in \mathbb{N} \mid \langle n, i \rangle \in \mathcal{M}\}.$$

- $\mathcal{M} \subseteq \mathbb{N}$ is a coded β -model iff, for all Π_1^1 -sentence φ with parameters in \mathcal{M} ,

$$\varphi \iff \mathcal{M} \models \varphi.$$

Theorem (ACA_0)

Strong $\Sigma_1^1\text{-DC}_0$ is equivalent to

for all $X \subseteq \mathbb{N}$ there is a coded β -model \mathcal{M} containing X .

β_k -MODELS

- $\mathcal{M} \subseteq \mathbb{N}$ is a coded β_k -model iff, for all Π_k^1 -sentence φ with parameters in \mathcal{M} ,

$$\varphi \iff \mathcal{M} \models \varphi.$$

Theorem (ACA_0)

Strong $\Sigma_k^1\text{-DC}_0$ is equivalent to

for all $X \subseteq \mathbb{N}$ there is a coded β_k -model \mathcal{M} containing X .

SEQUENCES OF β_k -MODELS

$\psi_{i,e}(n)$ states that, for all $X \subseteq \mathbb{N}$, there are Y_0, \dots, Y_n such that:

$$Y_0 \subseteq_{\beta_i} Y_1 \subseteq_{\beta_i} \dots \subseteq_{\beta_i} Y_n \subseteq_{\beta_e} \mathcal{N}$$

$$X \in Y_0 \in Y_1 \in \dots \in Y_n$$

Note that $\psi_{i,e}(n)$ is a Π_{e+2}^1 -formula.

Theorem (ACA₀)

If $e \leq i$, then $\forall n. \psi_{i,e}(n)$ is equivalent to $\Pi_{e+2}^1\text{-Ref}(\text{Strong } \Sigma_i^1\text{-DC}_0)$.

SOME DETERMINACY RESULTS

For all standard $n \geq 2$,

- ▶ ACA_0 is equivalent to $(\Sigma_1^0)_n\text{-Det}^*$;
- ▶ $\Pi_1^1\text{-CA}_0$ is equivalent to $(\Sigma_1^0)_n\text{-Det}$;
- ▶ $\Pi_2^1\text{-CA}_0$ proves $(\Sigma_2^0)_n\text{-Det}$; and
- ▶ Z_2 proves $(\Sigma_3^0)_n\text{-Det}$.

CONSEQUENCES

Theorem

Over ACA_0 ,

- ▶ $\Pi_2^1\text{-Ref}(ACA_0) \leftrightarrow \forall n. (\Sigma_1^0)_n\text{-Det}^*$;
- ▶ $\Pi_3^1\text{-Ref}(\Pi_1^1\text{-}CA_0) \leftrightarrow \forall n. (\Sigma_1^0)_n\text{-Det}$;
- ▶ $\Pi_3^1\text{-Ref}(\Pi_2^1\text{-}CA_0) \leftrightarrow \forall n. (\Sigma_2^0)_n\text{-Det}$; and
- ▶ $\Pi_3^1\text{-Ref}(Z_2) \leftrightarrow \forall n. (\Sigma_3^0)_n\text{-Det}$.

OPEN PROBLEMS

Problem

Characterize $\Pi_n^1\text{-Ref}(T)$ for other theories T .¹

Problem

Study axioms stating the existence of transfinite sequences of models.

¹See also P., “Recent Results on Reflection Principles in Second-Order Arithmetic”.

THANK YOU!

For more details see

- ▶ P., Yokoyama, “Determinacy and reflection principles in second-order arithmetic”, arXiv:2209.04082.