

# Data and Analysis code for GP EFSM Inference (Scorecard)

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## I. ARTIFACT DESCRIPTION

This artifact captures the workflow that we adopted for our experimental evaluation in our ICSME paper on inferring state transition functions during EFSM inference [1]. To summarise, the paper uses Genetic Programming to infer data transformations, to enable the inference of fully ‘computational’ extended finite state machine models.

This submission provides a script to download, compile, and execute our tool (specifically, the version that we have used for our experiments in this paper). It shows how we generated, transformed, analysed, and visualised our raw data. It includes everything needed to generate raw results and provides the relevant R-code in the form of a re-usable Jupyter Notebook [2] (accompanied by a descriptive narrative).

## II. INSIGHT

Jupyter Notebook provides an approach to describing data analyses in a way that is reminiscent of Knuth’s Literate Programming technique. It enables us to weave-in a natural-language description of what we are doing, and why. This increases trust in the provenance of the results as portrayed in the paper.

For several figures in our paper, we ended up presenting figures (histograms and line-plots) that provide relatively specific examples of how our tool performs. For example, to summarise the accuracy of our tool with respect to one of our case studies (the CruiseControl example), space constraints restrict us to using a box-plot for each of the variables, even though box-plots do not necessarily capture distributions in the detail that a reader might like. In our artefact however, unconstrained by the space restrictions of the paper, the user can explore the data as they see fit for a more detailed overview of the score-distributions for each variable.

Whereas our paper only contains selected line-plot examples to illustrate the performance of our tool, the artefact gives the reader free rein to plot the accuracy results for any of the hundreds of traces analysed, across both case studies.

## III. USEFUL

The artefact is useful because readers can readily understand and re-run our analyses to convince themselves of the provenance of the analysis claims, to obtain more detailed visualisations that could not fit in the paper, and to explore data that

perhaps weren’t captured in the statistics and visualisations conveyed in the paper.

There is also a further important application of the artefact – it enables researchers who have developed their own techniques to run our analyses on their data. The analysis code can be used out of the box to provide equivalent evaluations of data provided from other tools.

As a part of our submission (not explicitly described in the Jupyter Notebook), we also provide the raw trace files that we used to infer our models. This means that this artefact furnishes other researchers who wish to empirically compare their approach to our approach with all of the necessary materials. The traces can be used as a basis for k-folds cross validation, and the resulting simulation logs can be provided (in the same form as we do) to our R scripts.

Without the artefact, a more in-depth analysis of our results would be impractical; the reader would need to come up with their own analysis, which is a time-consuming task. Without the script to download and compile our tool, there is a risk that the reader wishing to replicate our experiments could download the wrong version of the tool (potentially a subsequent version in which our algorithms have been changed), leading to invalid comparisons.

## IV. USABLE

Beyond the data in the repository, the notebook and accompanying automation make the reproducible workflow we are sharing more accessible to other researchers. They make take a clone of this repository and replace the data & analysis with their own to provide a quickly shareable dialogue with collaborators.

The pipeline we describe is entirely repeatable and orchestrated automatically. We provide a means to launch the interactive Jupyter Notebook with a single dependency (Docker) that enables researchers to quickly inspect and extract more information from our data set.

We include a tutorial that explains how to get the notebook running either through Docker or natively.

## REFERENCES

- [1] N. Walkinshaw and M. Hall, Inferring Computational State Machine Models from Program Executions, Proceedings of the 32nd International Conference on Software Maintenance and Evolution (ICSME’16), 2016
- [2] <http://jupyter.org/>

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The artifact consists of:

- Case studies used in our experiments
- A script to download and build the MINT tool
- A script to run the tool in the configurations we used
- A Jupyter Notebook that shows the analysis and reproduces the figures used in our paper
- A makefile that automates the process of launching the notebook for analysis
- Samples of the data generated by MINT

The artifact is contained in a Git repository available online<sup>1</sup>. To obtain a copy, clone it using `git clone`.

Section III describes how the artefact can be used to automatically download, build, and run our model inference tool. Section IV describes how to re-run the data analyses for our tool, how to explore new aspects of our data, and how to re-run our experiments with new data.

## II. REQUIREMENTS

The script for building and running MINT has been tested on OSX machines. In principle it should work on other platforms. It also requires a JDK (it has been built on 1.8, but it should work on 1.7) and Maven installation as well as Mercurial. Running the makefile requires Make to be installed.

## III. RUNNING MINT

The process of generating our results is encompassed in the `experiment` directory. Here we provide a Makefile and accompanying ‘README’ file that describes how to use it. The Makefile automates the process of building MINT, including fetching its dependencies. It also includes pre-scripted goals (makefile targets) that will generate results for the case studies

included in the paper. When run, the makefile will produce a JAR file for MINT (`EFSMTool.jar`) in the `experiment` directory.

To run the experiments, change to the `experiment` directory and run `make`. This will run MINT (after it has been built) 30 times for each case study generating results in the `results` directory. We ran our experiments on the Alice HPC service, specifying each configuration (case study and random seed) to parallelise the process.

## IV. RUNNING THE JUPYTER NOTEBOOK

The analysis is contained in a Jupyter Notebook[2] and uses R[3]. In order to use it, we provide two alternatives: running it natively (requiring installation of R, Jupyter, and the R kernel); or running it within a Docker container. In either use case, the Notebook is accessed via a web browser. We include instructions to run the notebook below (via the included Makefile targets).

The Jupyter notebook shows how the data generated by MINT is transformed and analysed. It includes a description of the process we followed to generate each of the figures in the paper. The notebook is interactive and can be used to explore and visualise the data in more depth than is possible within the paper.

1) *Running natively*: Jupyter can be installed by following the instructions on the Jupyter homepage. The R Kernel[4] for Jupyter must then be installed from within R. The notebook can then be launched using `make launch-jupyter-native` and will automatically launch a web browser for the notebook.

2) *Running within a Docker container*: The alternative route to running the notebook is to launch it in a Docker container. To do this, Docker needs to be installed from <http://docker.com>. Then the `make launch-jupyter-docker` command can be run to launch the server. Open <http://localhost:8888> to access the notebook. If using `docker-machine` use `docker-machine ip default` to get the IP in place of `localhost`.

## REFERENCES

- [1] N. Walkinshaw and M. Hall, Inferring Computational State Machine Models from Program Executions, Proceedings of the 32nd International Conference on Software Maintenance and Evolution (ICSME’16), 2016
- [2] <http://jupyter.org/>
- [3] <https://www.r-project.org/>
- [4] <http://irkernel.github.io>

<sup>1</sup>[https://bitbucket.org/mathew\\_hall/icsme2016-data](https://bitbucket.org/mathew_hall/icsme2016-data)