

CS 3100, Models of Computation, Spring 20, Lec 5

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bit.ly/3100s20Syllabus



Where we are headed: the Pumping Lemma

$\text{Regular}(L) \Rightarrow \text{PumpingCondition}(L)$

PL effects be seen even in your cellphone!

E.g. the word-completion software of your phone is (essentially) a DFA

See my illustration online (class syllabus – [video demo](#))

The fact that we can see such a nice theorem play out in real life is exciting !!

We want to express the PL as follows

$\text{Regular}(L) \Rightarrow \text{PumpingCondition}(L)$

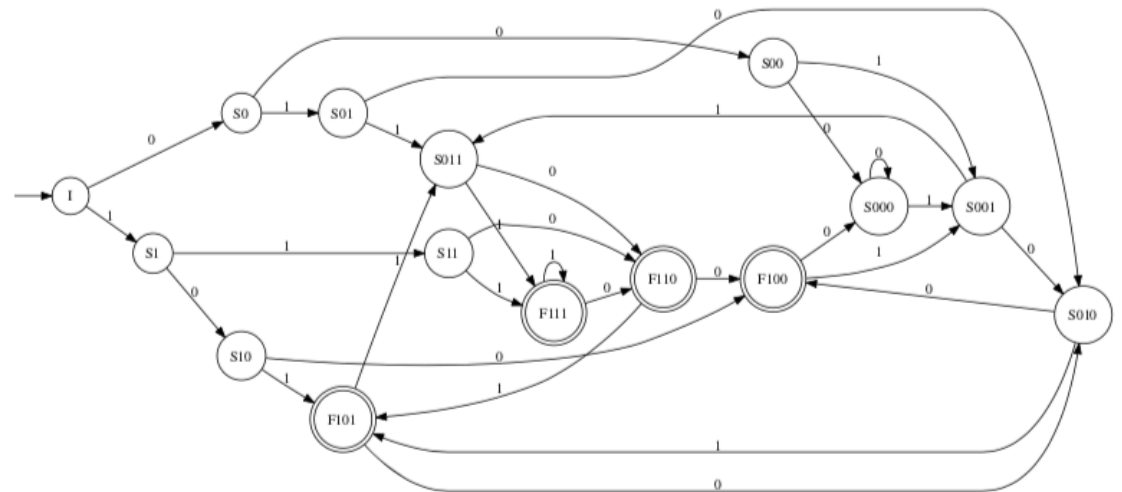
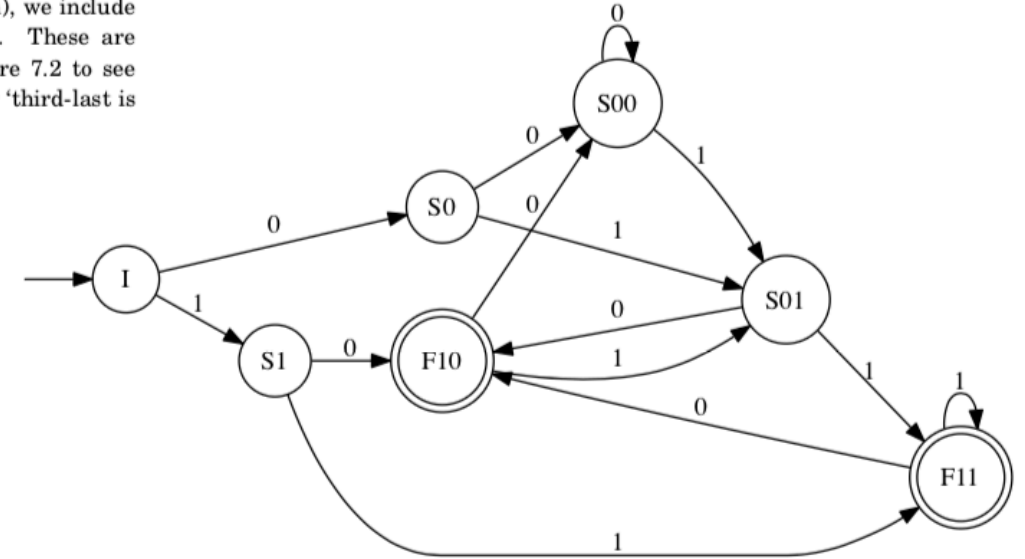
Then we can take the contrapositive

$\neg \text{Regular}(L) \leq \neg \text{PumpingCondition}(L)$

Fact: An N-state DFA has a FIRST loop in N steps

Figure 5.5: DFA drawing for 'second-last is 1' (above), and for comparison (to show the exponential growth), we include 'third-last is 1' also (below). These are *not* minimal DFA! See Figure 7.2 to see what the minimized DFA for 'third-last is 1' looks like.

- Means if “**w is in L** and **w is of length at least N**”
 - w is a journey from the initial state to A final state
- Then **one can find a loop form along w** in **N steps**
- Then one call $w = xyz$
- where y is the loop
- And these alternative paths
 - that are also journeys from the initial state to the same final state



The previous slide said this, essentially

Regular(L) =>

Exists N :

For any string $w = xyz$ in L of length $\geq N$:

y is non-zero

AND xy of length $\leq N$:

AND for all $i \geq 0$: $x y^i z$ in L

For any string w in L, we can pump w in any direction, and you'll still find it in language L

Any direction means pump up or down... i.e. for any i ... i.e.

$i = 0$ means pump down and $i \geq 2$ means pump up

and still stay in the language

Pumping Lemma

$\neg \text{PumpingCondition}(L) \Rightarrow \neg \text{Regular}(L)$

If we show that PumpingCondition is false of L, then L is not Regular

If we show that $\neg \text{PumpingCondition}$ is true of L, then $\neg (L \text{ is regular})$

If we show that $\neg \text{PumpingCondition}(L)$ is TRUE then $\neg \text{Regular}(L)$

What is $\neg \text{PumpingCondition}(L)$?

Let's make it true !!

Making !PumpingCondition True: Make this true

! Exists N :

For any string $w = xyz$ in L of length $\geq N$:

y is non-zero

AND xy of length $\leq N$:

AND for all $i \geq 0$: $x y^i z$ in L

Making !PumpingCondition True: Make this true

Forall N :

! For any string $w = xyz$ in L of combined length $\geq N$:

y is non-zero

AND xy of length $\leq N$:

AND for all $i \geq 0$: $x y^i z$ in L

Making !PumpingCondition True: Make this true

Forall N :

Exists a string $w = xyz$ in L of combined length $\geq N$:

! y is non-zero

AND xy of length $\leq N$:

AND for all $i \geq 0$: $x y^i z$ in L

Making !PumpingCondition True: Make this true

Forall N :

Exists a string $w = xyz$ in L of combined length $\geq N$:

! y is non-zero

AND xy of length $\leq N$:

AND for all $i \geq 0$: $x y^i z$ in L

Exists one string w in L that when you pump in a certain way, you can't find it in language L ... that is where we are going ; let's do it slowly

Making !PumpingCondition True: Make this true

Forall N :

Exists a string $w = xyz$ in L of combined length $\geq N$:

! y is non-zero

AND xy of length $\leq N$:

AND for all $i \geq 0$: $x y^i z$ in L

Making !PumpingCondition True: **Make this true**

Forall N :

Exists a string $w = xyz$ in L of combined length $\geq N$:

y is non-zero

AND xy of length $\leq N$



exists $i \geq 0$: $x y^i z$ is **not in L**

this is often called “pump out”

Proving !Regular(L)

- Pick a string w of length $\geq N$
 - We must pick w with great care
 - It must be a parametric string
 - For our proof to work, it must harbor a loop within its first N steps
- Find an arbitrary split of w into xyz
- y is non-zero
- xy is confined to N
- and $xy^i z$ not in L

The beauty of mathematical logic:

forms of arguments carry through - the
contents notwithstanding

How you must present your proofs

1. For the language L .. E.g. $\{0^j 1^j : j \geq 0\}$, present parametric string w
 - ☐ E.g. let there be an N -state DFA
 - ☐ My parametric string w is $0^N 1^N$
2. Describe x , y , and z generically
 - ☐ you have no control over x , y , z
 - ☐ **Except that**
 - ☐ y is non-empty
 - ☐ Say
 - ☐ “in my parametric string, y is all 0’s
 - ☐ “ x could be empty “
 - ☐ “ z could be some left-over 0’s and all the remaining 1’s “
3. State which direction of pump you are choosing
 - ☐ “ I chose $i = 0$ because “ ... explain your reason
 - ☐ “ I chose $i \geq 2$ because “ ... explain your reason
4. Argue that the pumped string is not in L
5. Hence ! PumpingCondition(L)
6. Hence ! Regular(L) -- QED

Prove these languages not regular

- $\{ 0^i 1^i : i \geq 0 \}$
- $\{ (^i)^i : i \geq 0 \}$
- $\{ w w : w \in \Sigma^* \}$
- $\{ w : w \text{ is a Palindrome over } \Sigma \}$
- $\{ 0^i 1^j : i \neq j \}$

Goals: Learn DFA design and DFA operations

- Regular languages are closed under
 - Union
 - Intersection
 - Complementation
- E.g. Design a DFA that accepts
 - Strings over $\{0,1\}$
 - The strings when viewed as “Big Endian” (MSB-first) must be multiples of 3
 - AND
 - Must contain a 100
- Method:
 - Design a DFA for “multiples of 3”
 - Design a DFA for “Contain 100”
 - Intersect them
 - Minimize them
- We will now study intersection and minimization

Interactive design of DFA for “ $w \% 3 == 0$ ”

DFA for “ $\text{value}(w) \% 3 == 0$ ”

DFA for “contains 100”

DFA intersection algorithm

- Given $D1 = (Q1, \text{Sigma}, d1, q01, F1)$
- and $D2 = (Q2, \text{Sigma}, d2, q02, F2)$
- The idea is to design a new DFA
- $D = (Q, \text{Sigma}, d, q0, F)$ such that
 - $D1$ and $D2$ start at their respective start states $q01$ and $q02$
 - When a symbol a in Sigma comes in, both $D1$ and $D2$ must advance
 - Any string w accepted by $D1$ and $D2$ must be accepted by D

DFA intersection algorithm

- Given $(Q_1, \Sigma, \delta_1, q_{01}, F_1)$ and $(Q_2, \Sigma, \delta_2, q_{02}, F_2)$
- $Q =$
- $q_0 =$
- $F =$

DFA intersection algorithm

- Given $(Q_1, \Sigma, d_1, q_{01}, F_1)$ and $(Q_2, \Sigma, d_2, q_{02}, F_2)$
- $Q = Q_1 \times Q_2$
- $Q_0 = (q_{01}, q_{02})$
- $F = F_1 \times F_2$

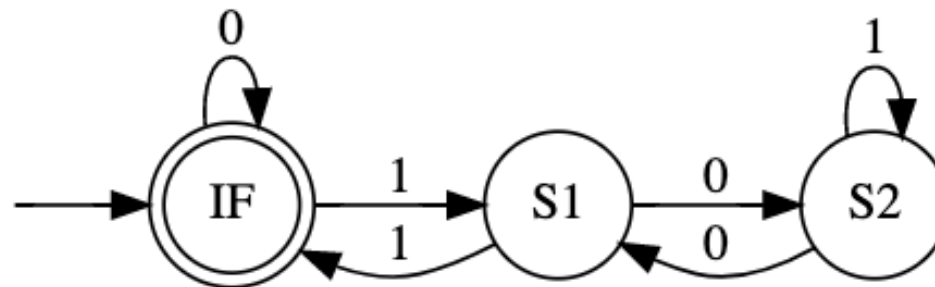
Design a DFA for "multiples of 3"

```
In [2]: 1 DFA3 = md2mc( '''DFA
2
3 IF : 0 -> IF !! Initial and final, as "0" is divisible by 3
4 IF : 1 -> S1
5
6 S1 : 0 -> S2 !! A state with remainder 1, upon 0 shifts, becoming S2
7 S1 : 1 -> IF !! A state with remainder 1, upon 1, re-obtains value 3
8           !! which is divisible by 3, hence we go to IF
9 S2 : 0 -> S1 !! A state with remainder 2, when fed 0 becomes 4
10          !! which modulo 3 is 1
11 S2 : 1 -> S2 !! A state with value 2 will become S4, but adding 1
12          !! gives S5, and mod of 3 gives S2
13
14 ''' )
```

Generating LALR tables

```
In [3]: 1 dotObj_dfa(DFA3)
```

Out[3]:

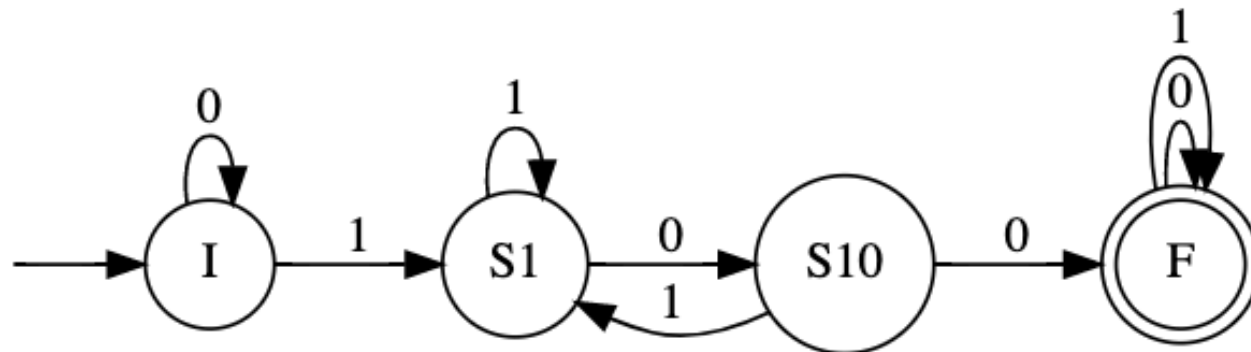


DFA for "contains 100"

```
In [4]: 1 DFA100 = md2mc('' DFA
        2
        3 I : 0 -> I
        4 I : 1 -> S1
        5
        6 S1 : 0 -> S10
        7 S1 : 1 -> S1
        8
        9 S10 : 0 -> F
       10 S10 : 1 -> S1
       11
       12
       13 F : 0|1 -> F
       14
       15 ''')
```

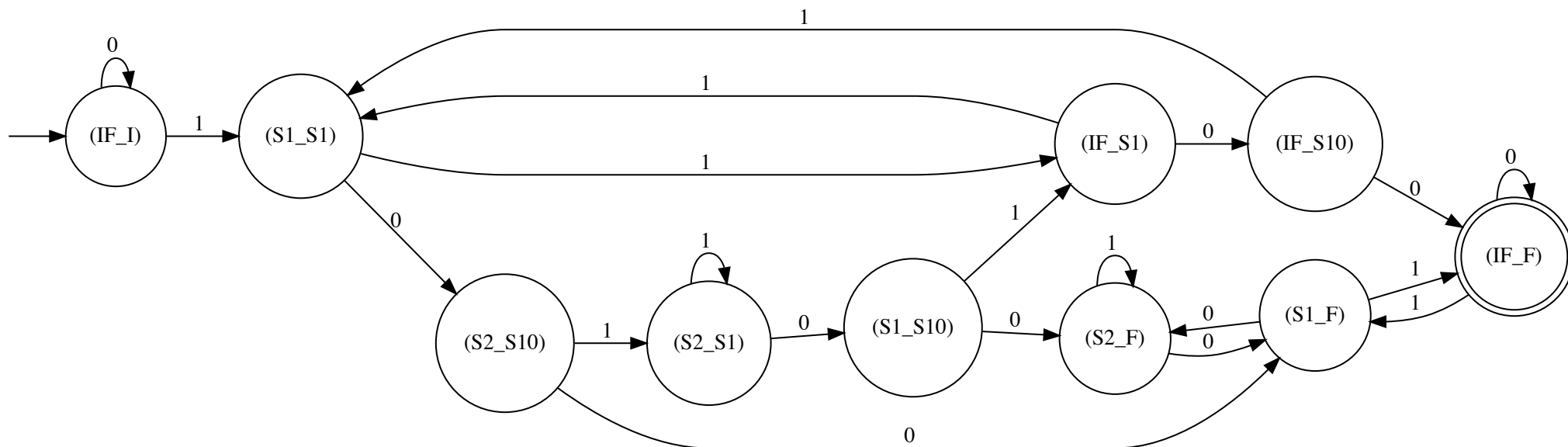
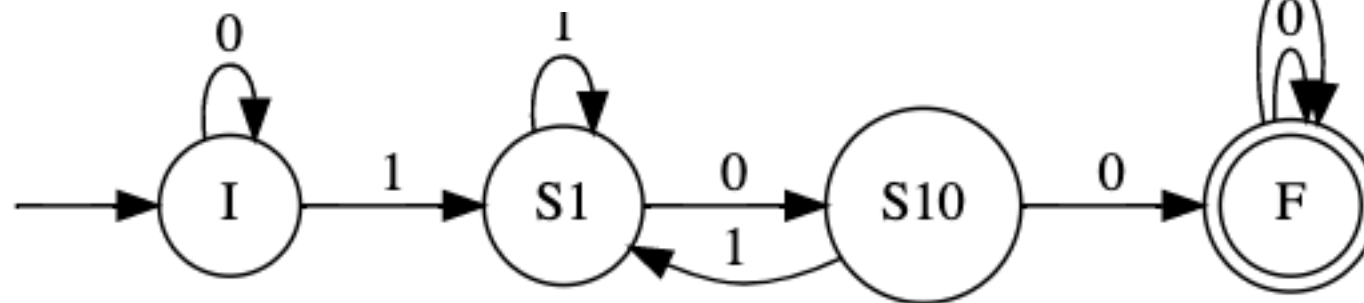
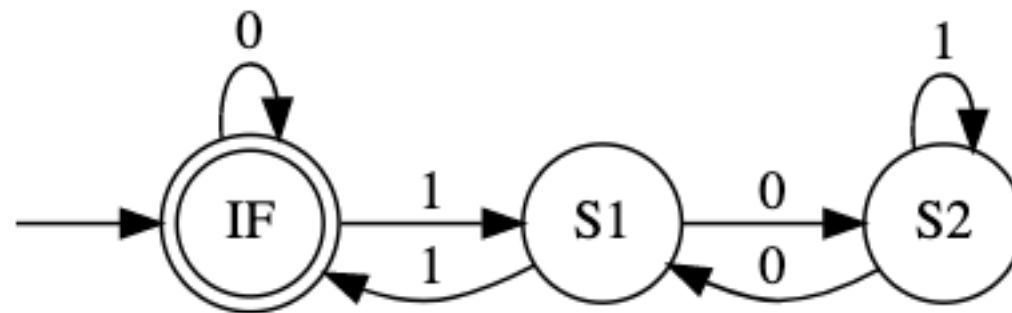
```
In [5]: 1 dotObj_dfa(DFA100)
```

Out[5]:



Jove
sessions

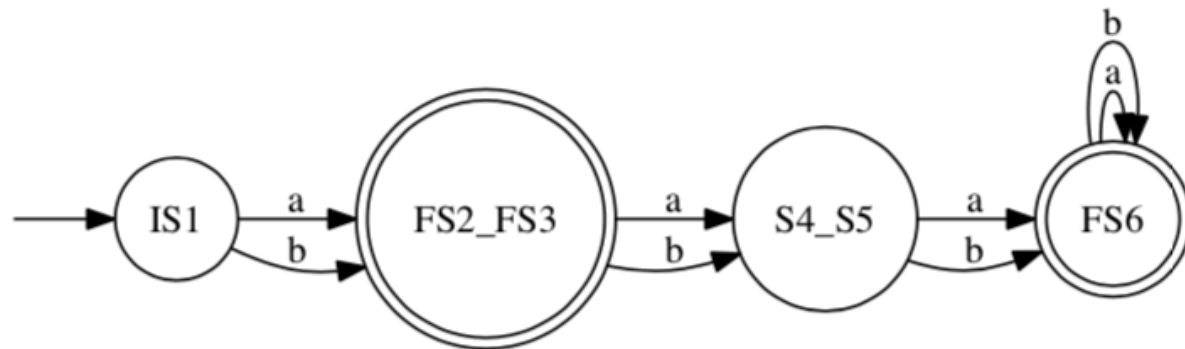
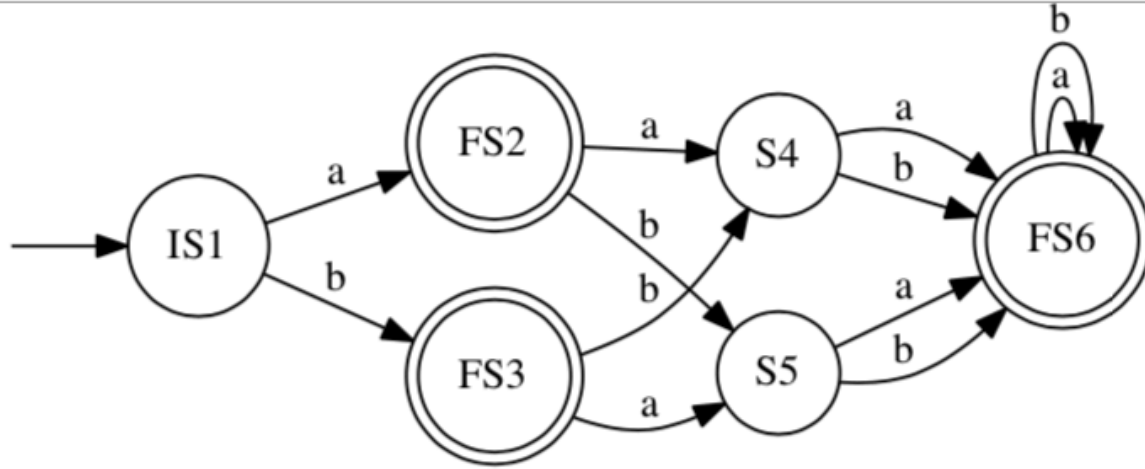
Intersection
of the DFA
at the top
results in
the DFA at
the bottom

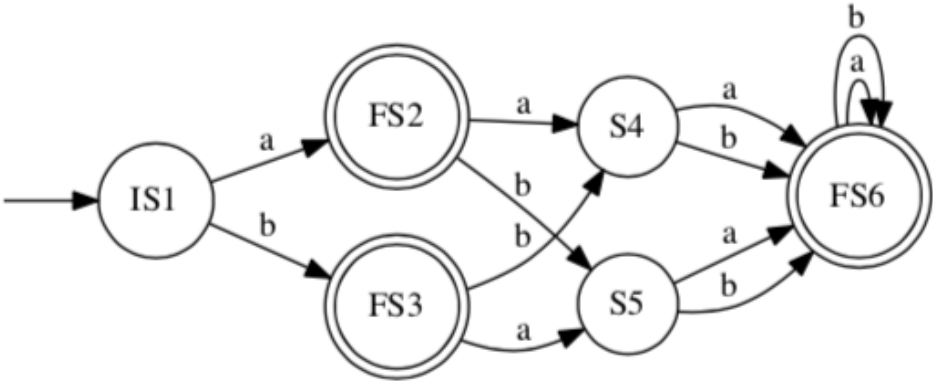


DFA minimization

- Build a dynamic-programming table
 - Represent all combinations of two states
- Initially, distinguish combinations in which one state is accepting and the other is not
- For every pair of states not distinguished so far
 - If we march the states through a symbol such that
 - The next states have been distinguished
 - Then distinguish the starting states
- Do this systematically across all table entries

DFA minimization





Frame-0

(Initial)

FS2 -1

FS3 -1 -1

S4 -1 -1 -1

S5 -1 -1 -1 -1

FS6 -1 -1 -1 -1 -1

IS1 FS2 FS3 S4 S5

Frame-1

(0-distinguishable)

FS2 0

FS3 0 -1

S4 -1 0 0

S5 -1 0 0 -1

FS6 0 -1 -1 0 0

IS1 FS2 FS3 S4 S5

Frame-2

(1-distinguishable)

FS2 0

FS3 0 -1

S4 -1 0 0

S5 -1 0 0 -1

FS6 0 1 1 0 0

IS1 FS2 FS3 S4 S5

Frame-3 = Frame-4

(2-distinguishable)

FS2 0

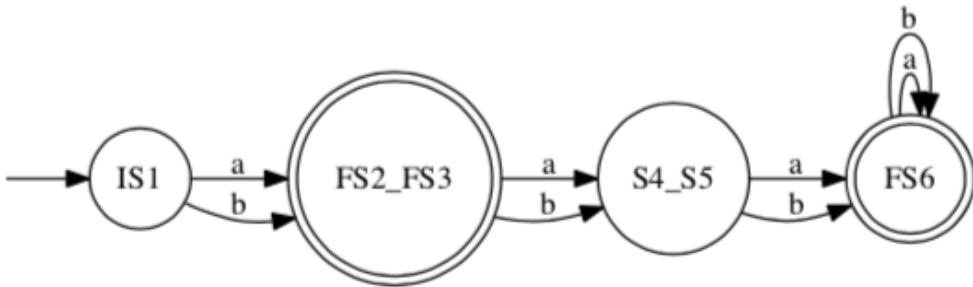
FS3 0 -1

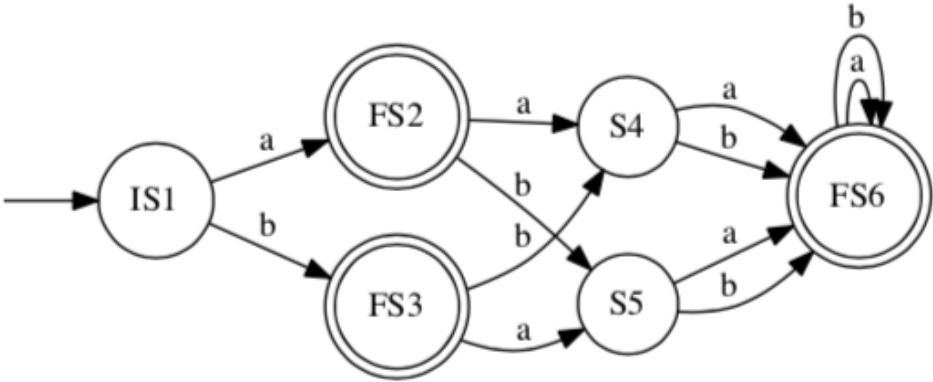
S4 2 0 0

S5 2 0 0 -1

FS6 0 1 1 0 0

IS1 FS2 FS3 S4 S5





Frame-0

(Initial)

FS2 -1

FS3 -1 -1

S4 -1 -1 -1

S5 -1 -1 -1 -1

FS6 -1 -1 -1 -1 -1

IS1 FS2 FS3 S4 S5

Frame-1

(0-distinguishable)

FS2 0

FS3 0 -1

S4 -1 0 0

S5 -1 0 0 -1

FS6 0 -1 -1 0 0

IS1 FS2 FS3 S4 S5

Frame-2

(1-distinguishable)

FS2 0

FS3 0 -1

S4 -1 0 0

S5 -1 0 0 -1

FS6 0 1 1 0 0

IS1 FS2 FS3 S4 S5

Frame-3 = Frame-4

(2-distinguishable)

FS2 0

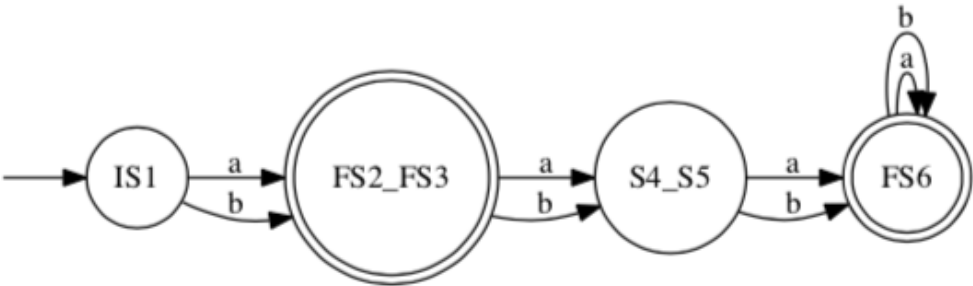
FS3 0 -1

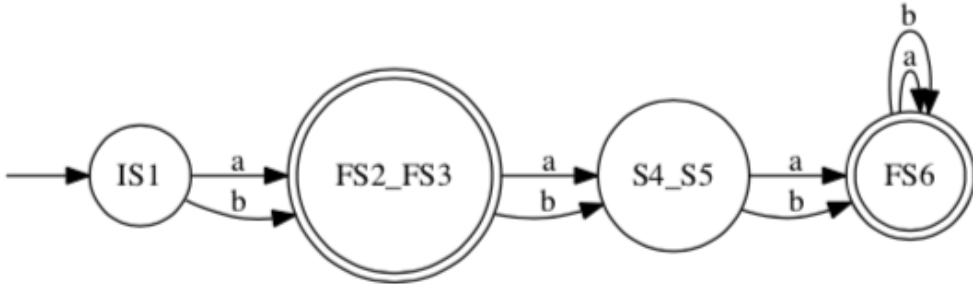
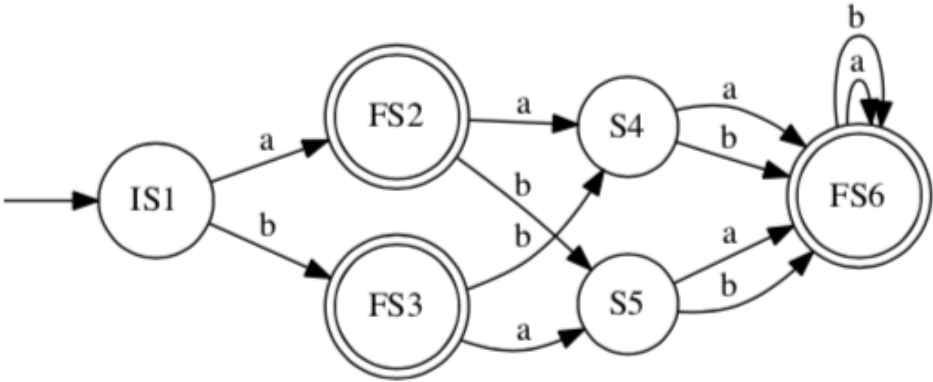
S4 2 0 0

S5 2 0 0 -1

FS6 0 1 1 0 0

IS1 FS2 FS3 S4 S5





Frame-0
(Initial)

FS2	-1				
FS3	-1	-1			
S4	-1	-1	-1		
S5	-1	-1	-1	-1	
FS6	-1	-1	-1	-1	-1
IS1 FS2 FS3 S4 S5					

Frame-1
(0-distinguishable)

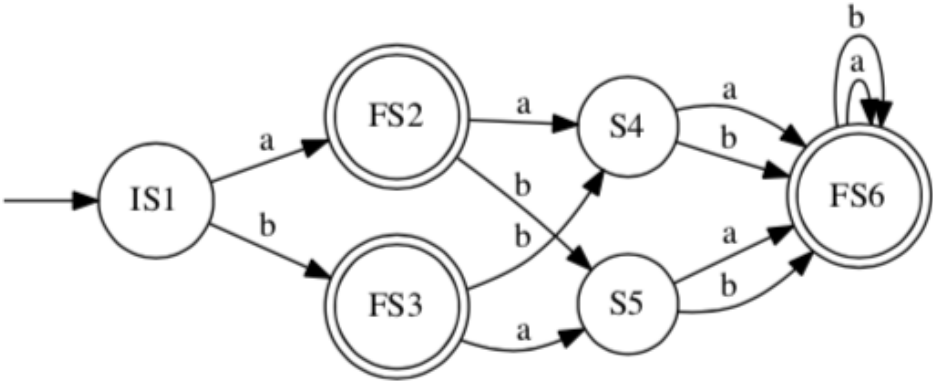
FS2	0				
FS3	0	-1			
S4	-1	0	0		
S5	-1	0	0	-1	
FS6	0	-1	-1	0	0
IS1 FS2 FS3 S4 S5					

Frame-2
(1-distinguishable)

FS2	0				
FS3	0	-1			
S4	-1	0	0		
S5	-1	0	0	-1	
FS6	0	1	1	0	0
IS1 FS2 FS3 S4 S5					

Frame-3 = Frame-4
(2-distinguishable)

FS2	0				
FS3	0	-1			
S4	2	0	0		
S5	2	0	0	-1	
FS6	0	1	1	0	0
IS1 FS2 FS3 S4 S5					



Frame-0

(Initial)

FS2 -1

FS3 -1 -1

S4 -1 -1 -1

S5 -1 -1 -1 -1

FS6 -1 -1 -1 -1 -1

IS1 FS2 FS3 S4 S5

Frame-1

(0-distinguishable)

FS2 0

FS3 0 -1

S4 -1 0 0

S5 -1 0 0 -1

FS6 0 -1 -1 0 0

IS1 FS2 FS3 S4 S5

Frame-2

(1-distinguishable)

FS2 0

FS3 0 -1

S4 -1 0 0

S5 -1 0 0 -1

FS6 0 1 1 0 0

IS1 FS2 FS3 S4 S5

Frame-3 = Frame-4

(2-distinguishable)

FS2 0

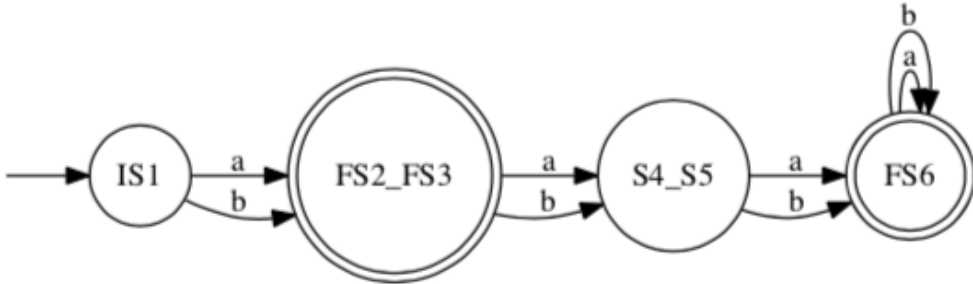
FS3 0 -1

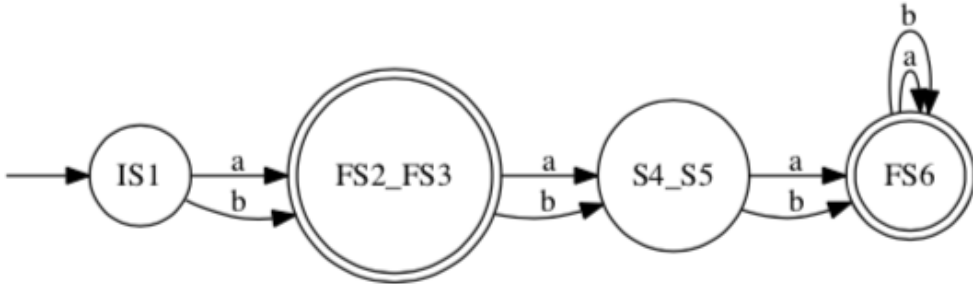
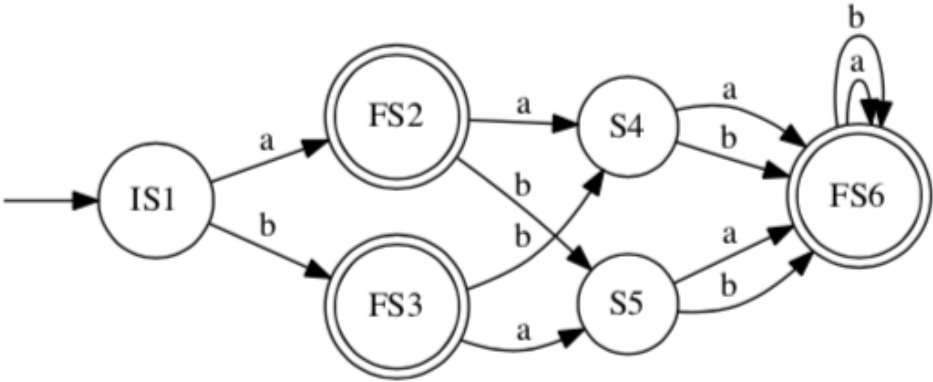
S4 2 0 0

S5 2 0 0 -1

FS6 0 1 1 0 0

IS1 FS2 FS3 S4 S5





Frame-0
(Initial)

FS2	-1				
FS3	-1	-1			
S4	-1	-1	-1		
S5	-1	-1	-1	-1	
FS6	-1	-1	-1	-1	-1
IS1 FS2 FS3 S4 S5					

Frame-1
(0-distinguishable)

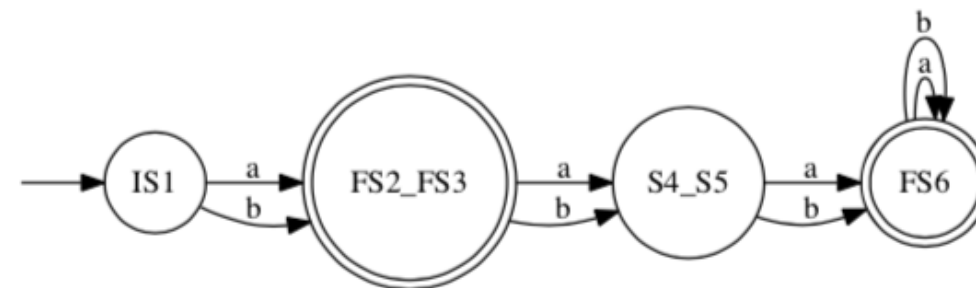
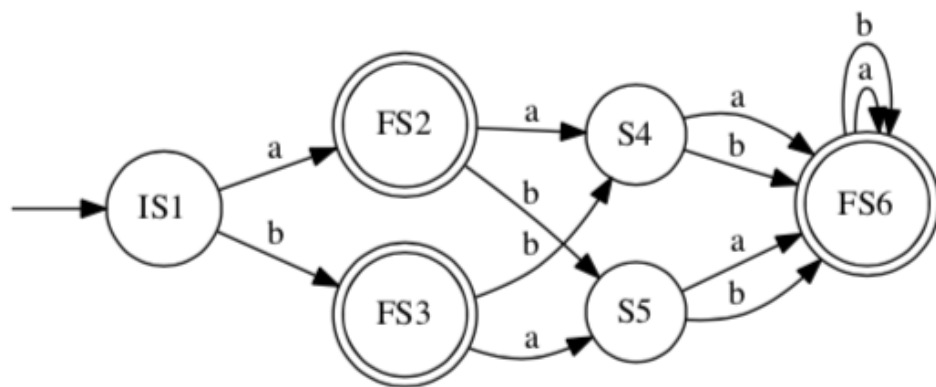
FS2	0				
FS3	0	-1			
S4	-1	0	0		
S5	-1	0	0	-1	
FS6	0	-1	-1	0	0
IS1 FS2 FS3 S4 S5					

Frame-2
(1-distinguishable)

FS2	0				
FS3	0	-1			
S4	-1	0	0		
S5	-1	0	0	-1	
FS6	0	1	1	0	0
IS1 FS2 FS3 S4 S5					

Frame-3 = Frame-4
(2-distinguishable)

FS2	0				
FS3	0	-1			
S4	2	0	0		
S5	2	0	0	-1	
FS6	0	1	1	0	0
IS1 FS2 FS3 S4 S5					



Frame-0
(Initial)

FS2	-1				
FS3	-1	-1			
S4	-1	-1	-1		
S5	-1	-1	-1	-1	
FS6	-1	-1	-1	-1	-1
	IS1	FS2	FS3	S4	S5

Frame-1
(0-distinguishable)

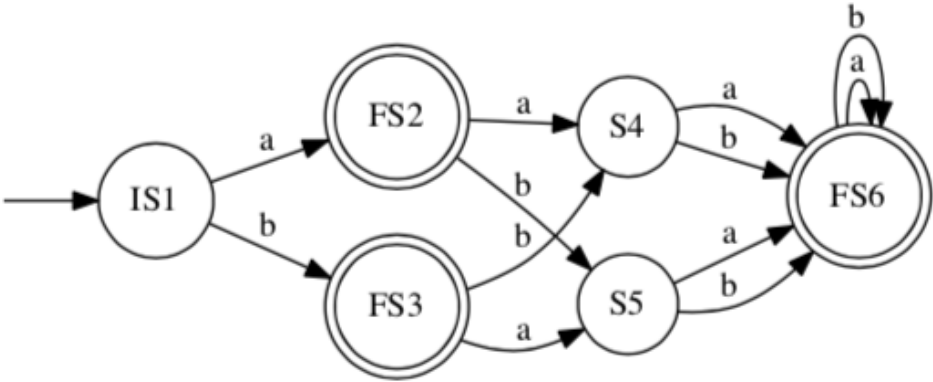
FS2	0				
FS3	0	-1			
S4	-1	0	0		
S5	-1	0	0	-1	
FS6	0	-1	-1	0	0
	IS1	FS2	FS3	S4	S5

Frame-2
(1-distinguishable)

FS2	0				
FS3	0	-1			
S4	-1	0	0		
S5	-1	0	0	-1	
FS6	0	1	1	0	0
	IS1	FS2	FS3	S4	S5

Frame-3 = Frame-4
(2-distinguishable)

FS2	0				
FS3	0	-1			
S4	2	0	0		
S5	2	0	0	-1	
FS6	0	1	1	0	0
	IS1	FS2	FS3	S4	S5



Frame-0

(Initial)

FS2 -1

FS3 -1 -1

S4 -1 -1 -1

S5 -1 -1 -1 -1

FS6 -1 -1 -1 -1 -1

IS1 FS2 FS3 S4 S5

Frame-1

(0-distinguishable)

FS2 0

FS3 0 -1

S4 -1 0 0

S5 -1 0 0 -1

FS6 0 -1 -1 0 0

IS1 FS2 FS3 S4 S5

Frame-2

(1-distinguishable)

FS2 0

FS3 0 -1

S4 -1 0 0

S5 -1 0 0 -1

FS6 0 1 1 0 0

IS1 FS2 FS3 S4 S5

Frame-3 = Frame-4

(2-distinguishable)

FS2 0

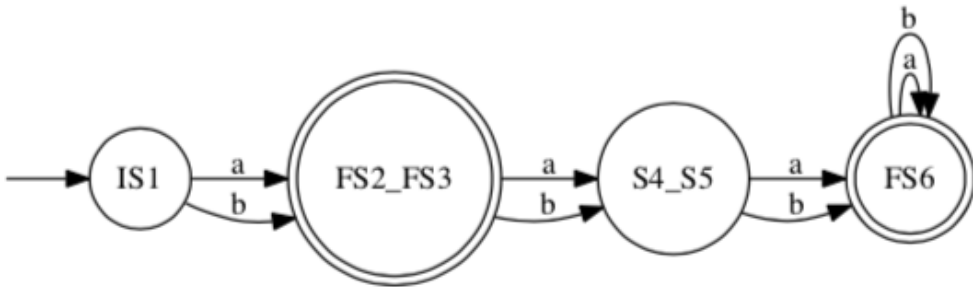
FS3 0 -1

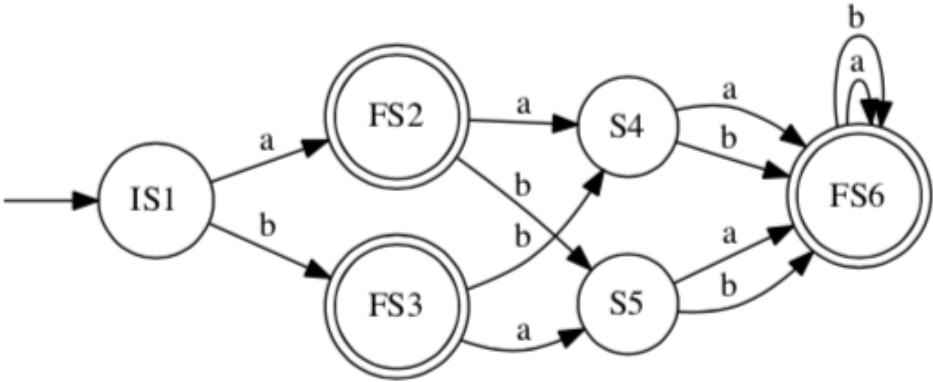
S4 2 0 0

S5 2 0 0 -1

FS6 0 1 1 0 0

IS1 FS2 FS3 S4 S5





Frame-0

(Initial)

FS2 -1

FS3 -1 -1

S4 -1 -1 -1

S5 -1 -1 -1 -1

FS6 -1 -1 -1 -1 -1

IS1 FS2 FS3 S4 S5

Frame-1

(0-distinguishable)

FS2 0

FS3 0 -1

S4 -1 0 0

S5 -1 0 0 -1

FS6 0 -1 -1 0 0

IS1 FS2 FS3 S4 S5

Frame-2

(1-distinguishable)

FS2 0

FS3 0 -1

S4 -1 0 0

S5 -1 0 0 -1

FS6 0 1 1 0 0

IS1 FS2 FS3 S4 S5

Frame-3 = Frame-4

(2-distinguishable)

FS2 0

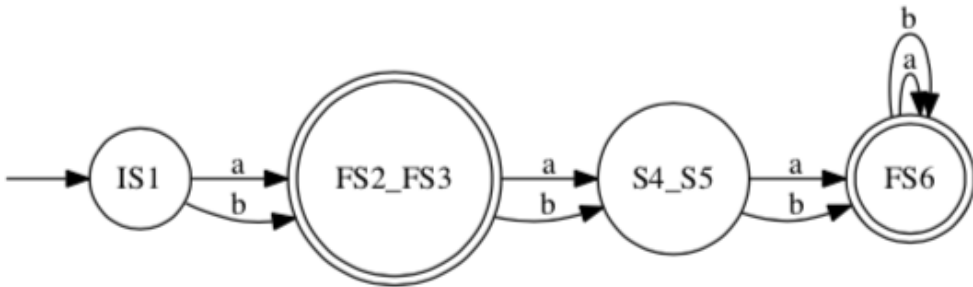
FS3 0 -1

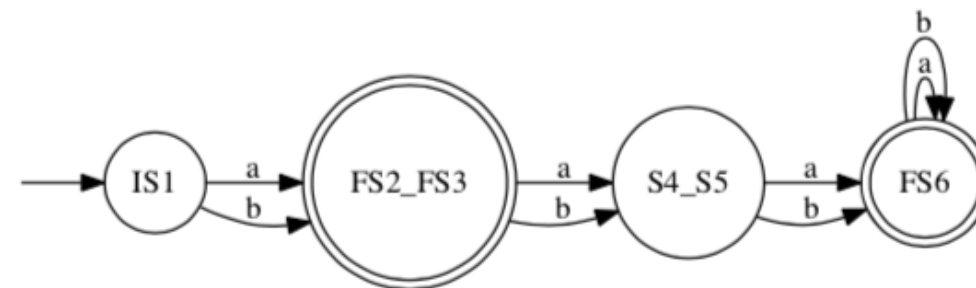
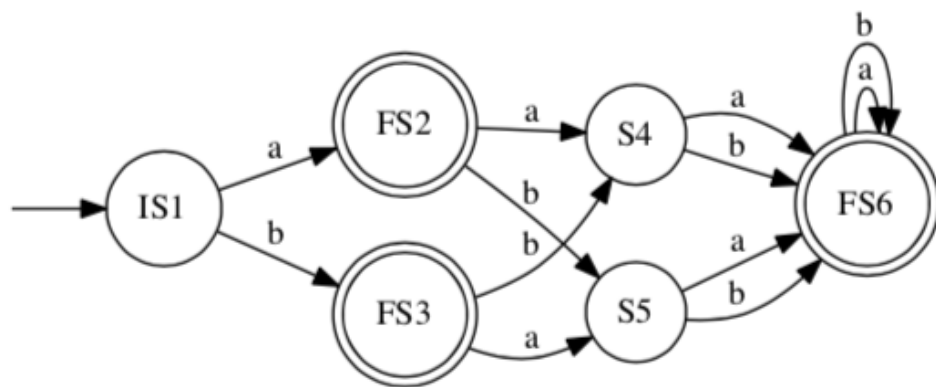
S4 2 0 0

S5 2 0 0 -1

FS6 0 1 1 0 0

IS1 FS2 FS3 S4 S5





Frame-0
(Initial)

FS2	-1				
FS3	-1	-1			
S4	-1	-1	-1		
S5	-1	-1	-1	-1	
FS6	-1	-1	-1	-1	-1
	IS1	FS2	FS3	S4	S5

Frame-1
(0-distinguishable)

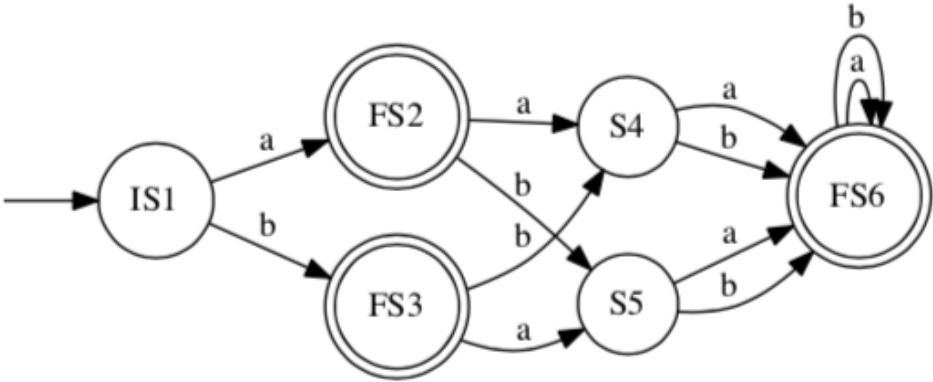
FS2	0				
FS3	0	-1			
S4	-1	0	0		
S5	-1	0	0	-1	
FS6	0	-1	-1	0	0
	IS1	FS2	FS3	S4	S5

Frame-2
(1-distinguishable)

FS2	0				
FS3	0	-1			
S4	-1	0	0		
S5	-1	0	0	-1	
FS6	0	1	1	0	0
	IS1	FS2	FS3	S4	S5

Frame-3 = Frame-4
(2-distinguishable)

FS2	0				
FS3	0	-1			
S4	2	0	0		
S5	2	0	0	-1	
FS6	0	1	1	0	0
	IS1	FS2	FS3	S4	S5



Frame-0

(Initial)

FS2 -1

FS3 -1 -1

S4 -1 -1 -1

S5 -1 -1 -1 -1

FS6 -1 -1 -1 -1 -1

IS1 FS2 FS3 S4 S5

Frame-1

(0-distinguishable)

FS2 0

FS3 0 -1

S4 -1 0 0

S5 -1 0 0 -1

FS6 0 -1 -1 0 0

IS1 FS2 FS3 S4 S5

Frame-2

(1-distinguishable)

FS2 0

FS3 0 -1

S4 -1 0 0

S5 -1 0 0 -1

FS6 0 1 1 0 0

IS1 FS2 FS3 S4 S5

Frame-3 = Frame-4

(2-distinguishable)

FS2 0

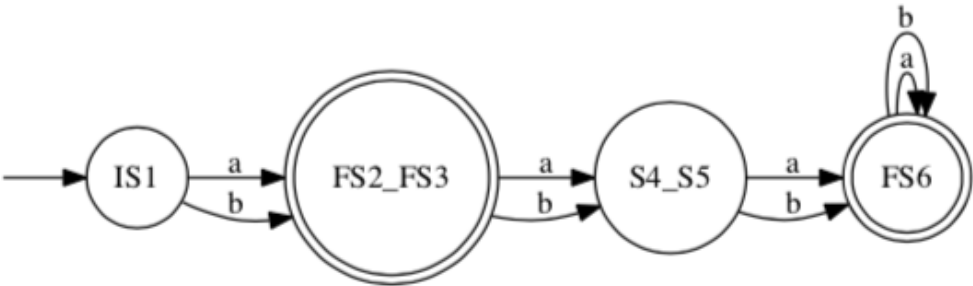
FS3 0 -1

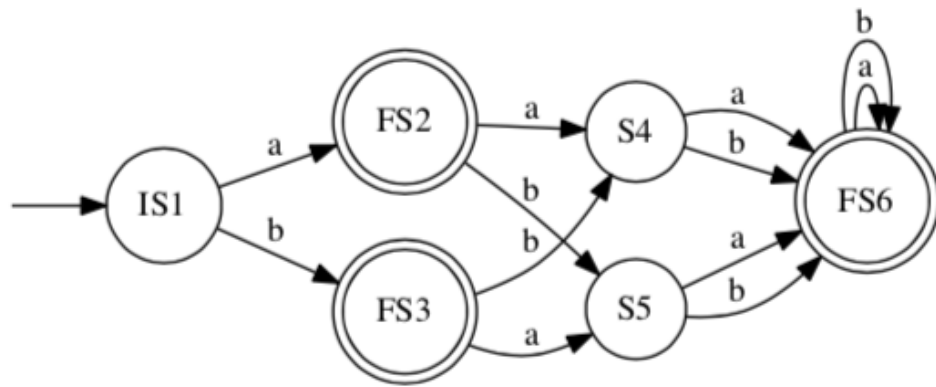
S4 2 0 0

S5 2 0 0 -1

FS6 0 1 1 0 0

IS1 FS2 FS3 S4 S5





Frame-0
(Initial)

FS2	-1				
FS3	-1	-1			
S4	-1	-1	-1		
S5	-1	-1	-1	-1	
FS6	-1	-1	-1	-1	-1
IS1 FS2 FS3 S4 S5					

Frame-1
(0-distinguishable)

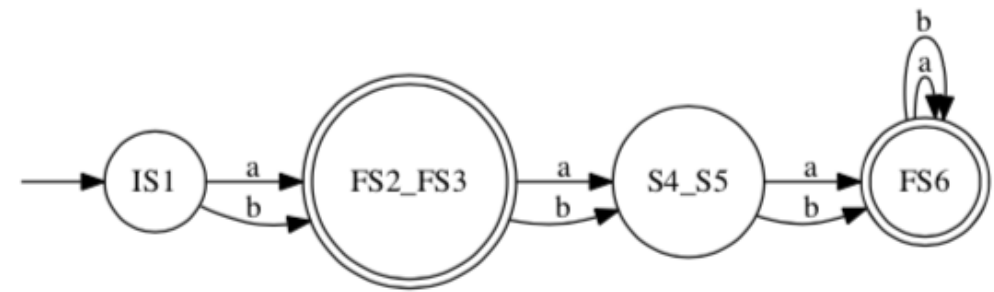
FS2	0				
FS3	0	-1			
S4	-1	0	0		
S5	-1	0	0	-1	
FS6	0	-1	-1	0	0
IS1 FS2 FS3 S4 S5					

Frame-2
(1-distinguishable)

FS2	0				
FS3	0	-1			
S4	-1	0	0		
S5	-1	0	0	-1	
FS6	0	1	1	0	0
IS1 FS2 FS3 S4 S5					

Frame-3 = Frame-4
(2-distinguishable)

FS2	0				
FS3	0	-1			
S4	2	0	0		
S5	2	0	0	-1	
FS6	0	1	1	0	0
IS1 FS2 FS3 S4 S5					



Another DFA design through Boolean ops

- Doesn't begin with 010
- AND
- Doesn't end with 101
- Can use Demorgan's laws
 - Design for Begins with 010
 - Design for End with 101
 - OR them
 - Complement them
- Compare with a direct design of the given problem!
 - This will be worked out in class interactively, by hand and by Jove