

# CS 3100, Models of Computation, Spring 20, Lec 4

Ganesh Gopalakrishnan  
School of Computing  
University of Utah  
**Salt Lake City**, UT 84112

[bit.ly/3100s20Syllabus](https://bit.ly/3100s20Syllabus)



# Questions from Lec-3 Answered

- Define DFA mathematically via its language
  - The set of strings that take it from its initial state to ONE of its final states
- Does it matter if a DFA emits a decision so long as it hasn't fallen outside the language!
  - Or does it need to be “restarted”?
  - Answer: These are a matter of interpretation of our intended use
  - In general, a device that emits a decision so long as it hasn't fallen outside of the language is all we need!
- E.g. “Odd 1's” : possible to rescue it by sending it more 1's
- E.g. “Every block of 3 has two 1's” : once it violates this, there is no way to rescue - so crash into a black-hole

# More Questions from Lec-3 Answered

- On vacuous statements
- Consider this:
  - Every person 6 feet tall or more must wear a red shirt
  - What does this mean for a 5-footer?
- Same story with “every block of length 3 must have two 1’s”

# Observations from Lec-3

- The state naming trick gets many DFAs designed
  - E.g. “The third from the last (or “third-last”) bit is a 1”
- But it is not enough for many other languages
  - E.g.
    - A DFA for the set of strings that begin with 01 and end with 10 and don't contain a 0010 anywhere 😊
    - It has a DFA but.... Think of a state naming trick → can't do 😞
      - No worries - we can arrive at this machine via Boolean Operations
- And some languages don't even have DFA !!

# Lecture 3, covering Chapter 4.6-4.9

We will now study the Pumping Lemma

# A regular language is one that has a DFA

- IF one can describe a language exactly via a DFA
  - THEN the language is regular
    - $\text{Regular}(L) \rightarrow \text{ExistsDFA}(L)$
- Contrapositive:
  - IF no DFA exists for a language
  - THEN the language is not regular
    - $\neg \text{ExistsDFA}(L) \rightarrow \neg \text{Regular}(L)$

# We will introduce the “Pumping Lemma” (PL)

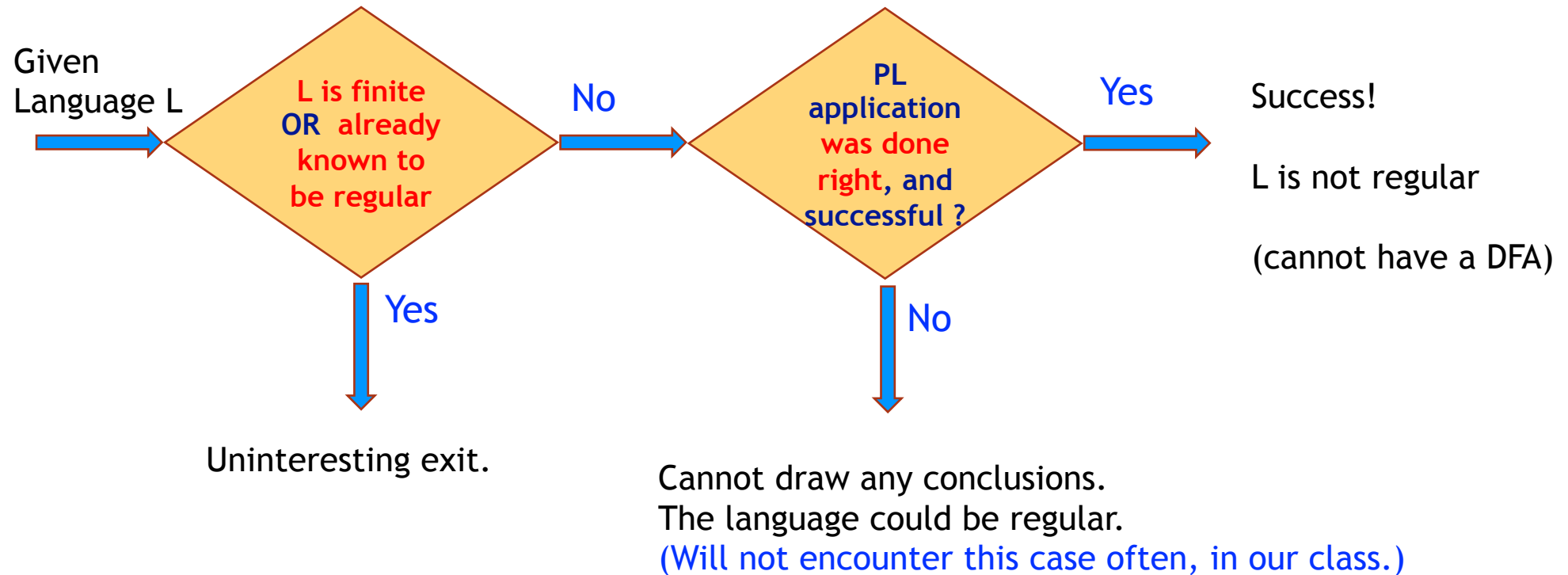
- The crux of the PL is this direction of argument

! ExistsDFA(L)     $\rightarrow$     ! Regular(L)

- We will accept a candidate language L
- We will show that no DFA can exist for L
- We will then conclude that L is not regular
- We will never use the PL to show that a language is regular



# Flow-chart of use of our PL



# How can we correctly apply the PL?

- We must rule out every possible DFA for L

# How can we rule out every possible DFA?

- Find an important property any DFA must obey
- Rule out that this property does not hold

# What is one important property of any DFA?

- If that DFA **accepts a string**  $w$  that is at least as long as the number of states ( $N$ ) of the DFA, then
  - (Of course)  $w$  has to go from the start state to a state in  $F$
  - $w$  has to loop somewhere
  - This loop has to be among the first  $N$  states of the DFA
  - Nothing else is known about such a path (it purely depends on the DFA)

# What is one important property of any DFA?

- If that DFA **accepts a string**  $w$  that is at least as long as the number of states ( $N$ ) of the DFA,

# What is one important property of any DFA?

- If that DFA **accepts a string**  $w$  that is at least as long as the number of states ( $N$ ) of the DFA, then
  - $w = x y z$ 
    - $w$  consists of an initial part  $x$  that reaches the first loop and then  $yz$  that follows  $x$ , and reaches a final state  $f$  in  $F$

# What is one important property of any DFA?

- If that DFA **accepts a string**  $w$  that is at least as long as the number of states ( $N$ ) of the DFA, then
  - $w = x y z$ 
    - $w$  consists of an initial part  $x$  that reaches the first loop and then  $yz$  that follows  $x$ , and reaches a final state  $f$  in  $F$
  - $x$  could be empty
    - The loop could begin right at the first state

# What is one important property of any DFA?

- If that DFA **accepts a string**  $w$  that is at least as long as the number of states ( $N$ ) of the DFA, then
  - $w = x y z$ 
    - $w$  consists of an initial part  $x$  that reaches the first loop and then  $yz$  that follows  $x$ , and reaches a final state  $f$  in  $F$
  - $x$  could be empty
    - The loop could begin right at the first state
  - $y$  is non-empty
    - There is a loop



# What is one important property of any DFA?

- If that DFA **accepts a string**  $w$  that is at least as long as the number of states ( $N$ ) of the DFA, then
  - $w = x y z$ 
    - $w$  consists of an initial part  $x$  that reaches the first loop and then  $yz$  that follows  $x$ , and reaches a final state  $f$  in  $F$
  - $x$  could be empty
    - The loop could begin right at the first state
  - $y$  is non-empty
    - There is a loop
  - $y$  could be taken any number of times and we can still reach a state  $f$  in  $F$ 
    - The loop is along the path; one can skip it; one can take it more than once

# What is one important property of any DFA?

- If that DFA **accepts a string**  $w$  that is at least as long as the number of states ( $N$ ) of the DFA, then
  - $w = x y z$ 
    - $w$  consists of an initial part  $x$  that reaches the first loop and then  $yz$  that follows  $x$ , and reaches a final state  $f$  in  $F$
  - $x$  could be empty
    - The loop could begin right at the first state
  - $y$  is non-empty
    - There is a loop
  - $y$  could be taken any number of times and we can still reach a state  $f$  in  $F$ 
    - The loop is along the path; one can skip it; one can take it more than once
  - All such strings must be in  $L$ 
    - $xz$  must reach  $F$  (hence in  $L$ )
    - $x y z$  must reach  $F$  (hence in  $L$ )
    - $x y y z$  must reach  $F$  (hence in  $L$ )
    - $x y^i z$  must reach  $F$  for  $i \geq 0$

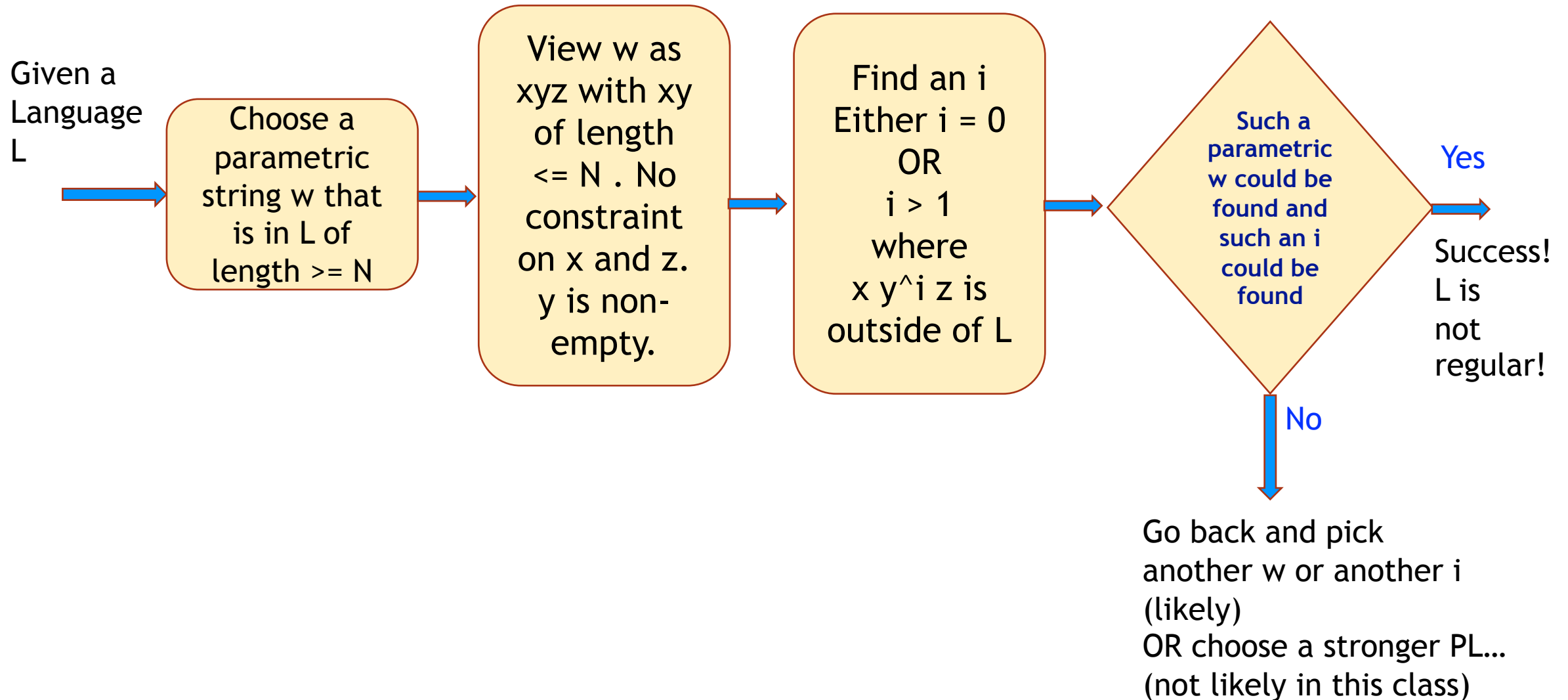
# When can a DFA not exist for $L$ ?

- If we assume there is an  $N$ -state DFA (unknown internal details)
- And it accepts a string  $w$  of length  $\geq N$ 
  - That is,  $w = xyz$  goes from the initial to a final state
- And
  - For any  $xyz$  split of  $w$ , with  $xy$  being of length at-most  $N$
  - we have  $x y^i z$  not being in the language for some  $i$
- Then there can't be a DFA for  $L$

# So, here is how you rule out a DFA

- Pick  $w$  that is of length  $N$  (or much more...) in  $L$ 
  - Not a specific  $w$ ,
  - But a parametric string such as  $0^N 1^N$  whose length is a function of  $N$
- Show that if  $w = xyz$
- And  $\text{length}(xy) \leq N$
- And there is an  $i$  such that  $x y^i z$  is not in  $L$
- Then there is no DFA for  $L$

# Flow-chart for applying the PL



Example: show  $L = \{0^i 1^i : i \geq 0\}$  not reg.

Given a  
Language  
L

Choose w  
being  $0^N 1^N$

View w as  
xyz with xy  
of length  
 $\leq N$ . This  
means x and  
y consist of  
just 0's

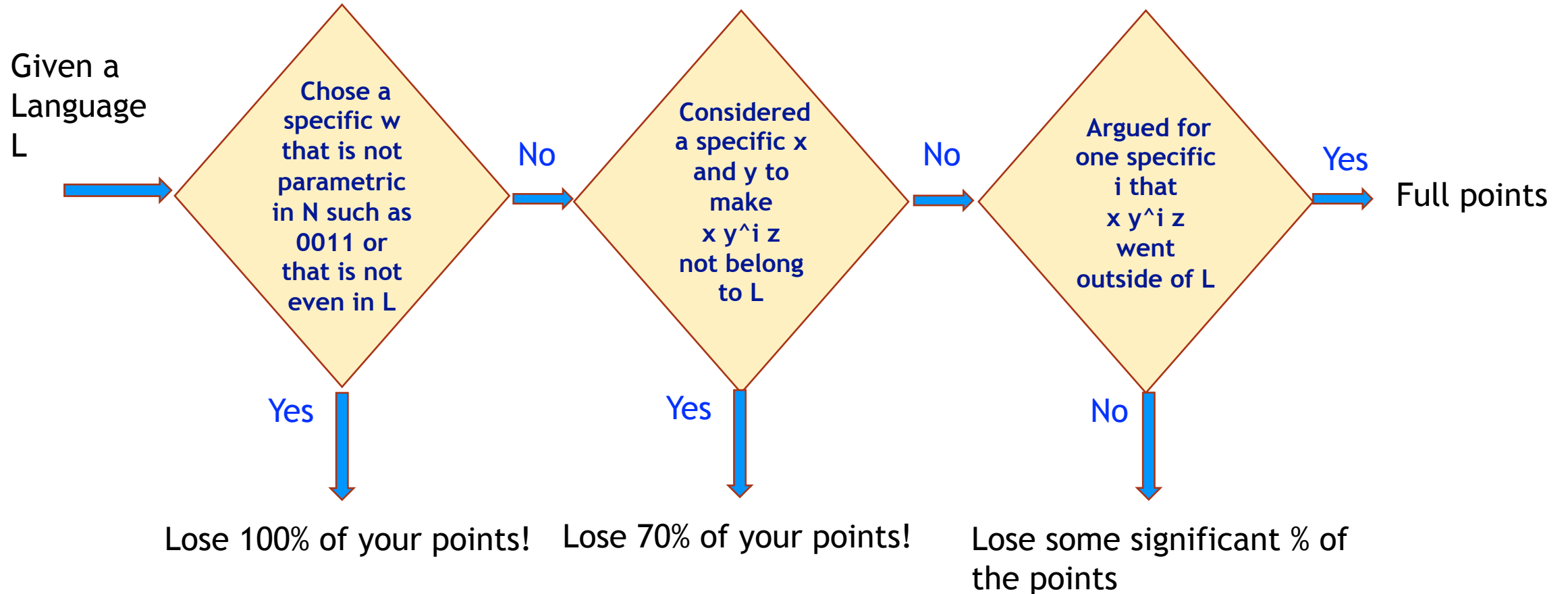
For either  
 $i=0$  or  $i \geq 2$   
we will have  
xz  
and  $x y^i z$   
being not in  
L

Such a  
parametric  
w could be  
found

Yes

Success!  
L is  
not  
regular!

# How to avoid losing points in a PL proof



# Show these languages not to be regular

- Equal # of 0's and 1's
- $L_{\{ww\}}$
- Language of palindromes
- Balanced parentheses language