

# CS 3100, Models of Computation, Spring 20, Lec18

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**URL: [bit.ly/3100s20Syllabus](https://bit.ly/3100s20Syllabus)**



# Connections between regular and CFL

- All regular languages are CFL
  - Why?
    - Can you argue via : “given a DFA, I can obtain a PDA” ?
    - Can you argue via : ”given a DFA, I can obtain a CFL?
- The first is easy. (Obtain answer in class.)

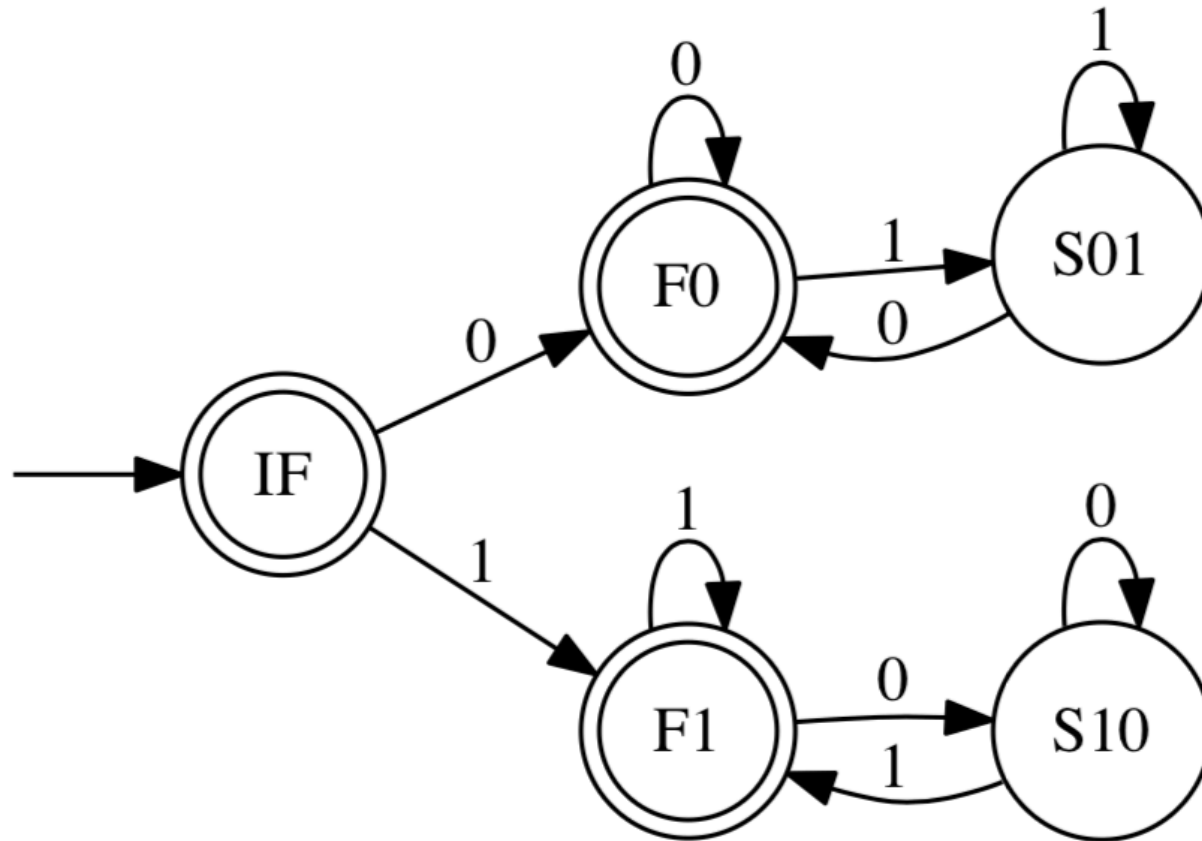
# Connections between regular and CFL

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  - Why?
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    - Can you argue via : ”given a DFA, I can obtain a CFL?
- For the second, let’s state a mechanical construction algorithm

# DFA to CFL

- Given a DFA with initial state  $I$
- And final states  $F_1 F_2 \dots$
- Obtain a set of productions
  - Let state “ $S$ ” of the CFG correspond to (or model) the initial state  $I$
  - For every move  $A : a \rightarrow B$  in the DFA, introduce a rule like that in the CFG
  - For every final state  $F_1 F_2 \dots$ , introduce a rule  $F_1 \rightarrow \epsilon \quad F_2 \rightarrow \epsilon$
- Try an example now

# Write a CFG for this DFA



Every DFA has an “easy” CFG one can obtain “just by staring at the DFA”

Hence all regular languages are also context-free !!!

# Solution (obtaining a purely right-linear CFG)

"S" of the CFG models state "IF" of the DFA

$S \rightarrow ''$  (since IF is a final state)

$S \rightarrow 0 F0$  (there are these transitions in the DFA)

$S \rightarrow 1 F1$  (there are these transitions in the DFA)

$F0 \rightarrow ''$  (it is a final state)

$F1 \rightarrow ''$  (it is a final state)

...finish this construction...

Use the info from prev. slide to handle this CFG

What is  $S$ 's language?

Can you write it as a regular expression?

$$S \rightarrow a S b \mid b Y \mid Y a$$
$$Y \rightarrow b Y \mid a Y \mid ''$$

Draw a parse-tree for ababb using this grammar

$$S \rightarrow a S b \mid b Y \mid Y a$$
$$Y \rightarrow b Y \mid a Y \mid ''$$



# Calculator with Parse-tree Drawing

- This will be part of Asg4
- This Jove file draws parse-trees for you!
- Look for
  - [First\\_Jove\\_Tutorial/ACMDSP/Calculator\\_with\\_Parse\\_Tree\\_Drawing.ipynb](#)
- Look for [First\\_Jove\\_Tutorial/ACMDSP/Drive\\_Chatty\\_Parser.ipynb](#)
  - Will be in Asg4
  - Shows how Jove's internals work !!

# Now we will study linearity

- There are purely left-linear and purely right-linear.
  - They are equivalent.
- Read about this conversion from the book (we will review it before the exam to the extent needed)
  - Read the portions around the “dog picture”

# Obtaining Purely L. Lin. from Purely R. Lin.



Rotating pair of dogs trick to convert a  
Purely right linear CFG  
Into a Purely left linear CFG

Example:

$S \rightarrow 0AB$  becomes

$Sr \rightarrow Br \quad Ar \quad 0$

Etc.

Check previous example.  
See how I turned the purely right-linear  
Into a purely left-linear CFG

# Studying consistency and completeness

- Consistency - only good strings
- Completeness - all good strings

# Exercise: Equal number of a's and b's

- Begin with template
- $S \rightarrow \dots a \dots b \dots$  Or  $S \rightarrow \dots b \dots a \dots$
- Replacing all the ... with S is an overkill
- Just having  $S \rightarrow a S b \mid b S a$  is insufficient (why? Ans: **Incomplete!**)
- Imagine just enough generality to cover all cases
  - We arrive at  $S \rightarrow S a S b \mid S b S a \mid "$
  - Or it can be  $S \rightarrow a S b S \mid b S a S \mid "$  (one of these)

# Consistency: It is through structural induction

$$S \rightarrow ' ' \mid aSbS \mid bSaS$$

When a string structure (in this case) is elaborated as above  
i.e.  $S$  (“strings from  $s$ ”) are derived via

- “ - assert that this string is consistent : has equal  $a$ ’s and  $b$ ’s
- By induction hypothesis, examine all CFG rules
  - $a$  followed by simpler strings  $s1$  from  $S$  followed by  $b$  followed by simpler strings  $s2$  from  $S$  [ this is for the  $aSbS$  rule ] forming string  $a s1 b s2$
  - ...or the  $bSaS$  rule similarly...
- By induction hypothesis,  $s1$  and  $s2$  are consistent
- Then the induction step says  $a s1 b s2$  is consistent

# Completeness Illustrated on the Grammar of Equal number of a's and b's

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$$S \rightarrow \epsilon \mid aSbS \mid bSaS$$

---

Completeness argues that ALL strings can be derived.

- Induction strategy:
  - Assume that all “substrings of a given string  $s$  can be derived”
  - Then show that the string  $s$  itself can be derived

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- Induction strategy:
  - Assume that all “substrings of a given string  $s$  can be derived”
  - Then show that the string  $s$  itself can be derived
- Suppose we assume  $S \rightarrow aSb \mid bSa \mid ' '$
- Can we argue it is complete?



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- Induction strategy:
  - Assume that all “substrings of a given string  $s$  can be derived”
  - Then show that the string  $s$  itself can be derived
- Suppose we assume  $S \rightarrow aSb \mid bSa \mid \epsilon$
- Can we argue it is complete?

If we consider a long string  $a \dots a$   
we immediately see that there is NO parse tree from “ $S$ ”  
hence incomplete!

# Completeness Illustrated on the Grammar of Equal number of a's and b's

$$S \rightarrow \epsilon \mid aSbS \mid bSaS$$

Completeness argues that ALL strings can be derived.

- This tells us that there are FOUR cases to consider

a.....a (consider this case now; all other cases have to be considered)

a.....b

b.....a

b.....b

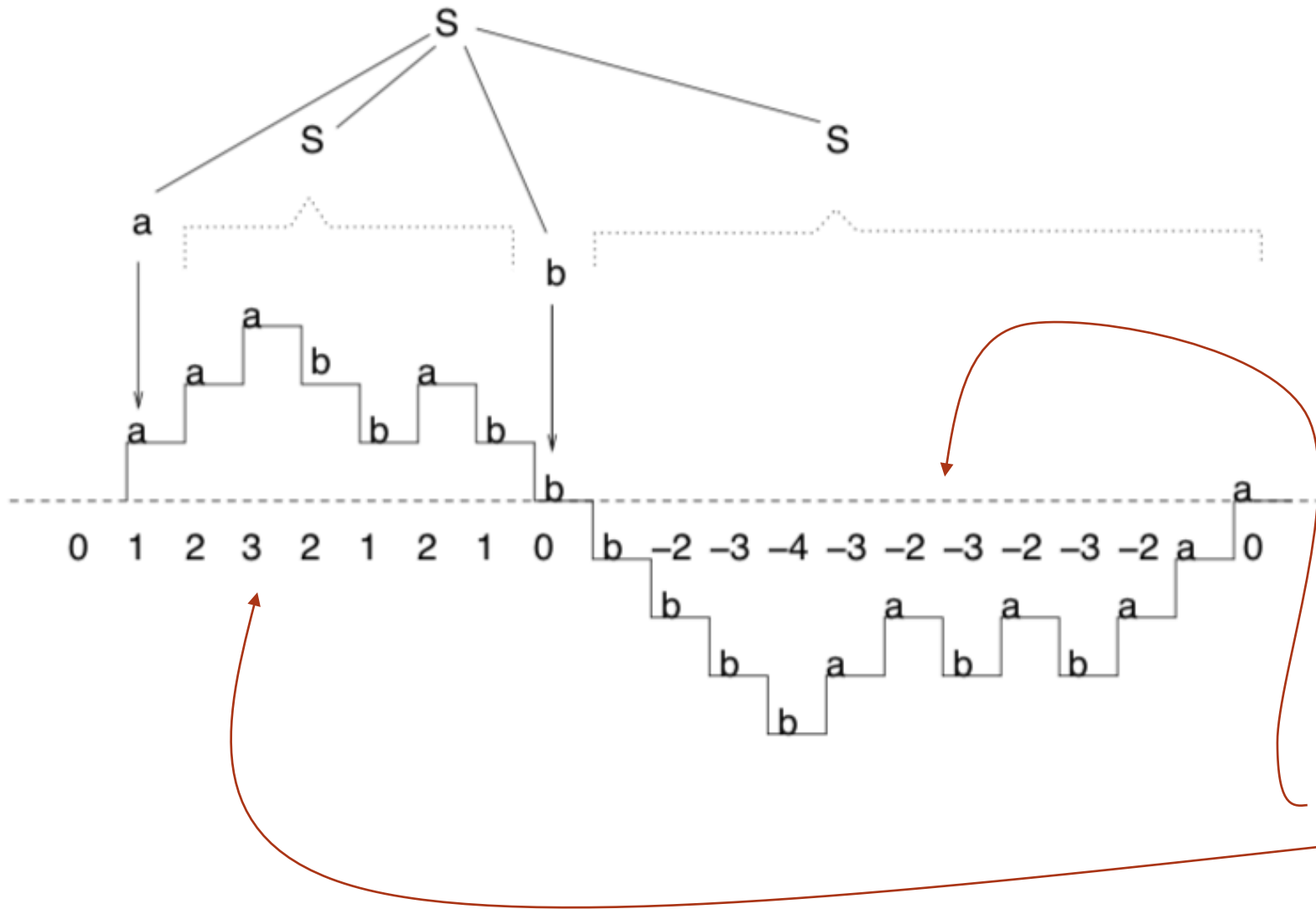
# Arguing Completeness: Hill/Valley Plots

The “hill/valley plot” is just a way to visualize CFL completeness proofs involving counting arguments.

For other completeness proofs, we will need to invent other ways of visualizing the proof (there is no general approach).

Studying one approach thoroughly is all we aim for.

# Arguing Completeness: Hill/Valley Plots



As soon as we draw a string that starts and ends with “a”

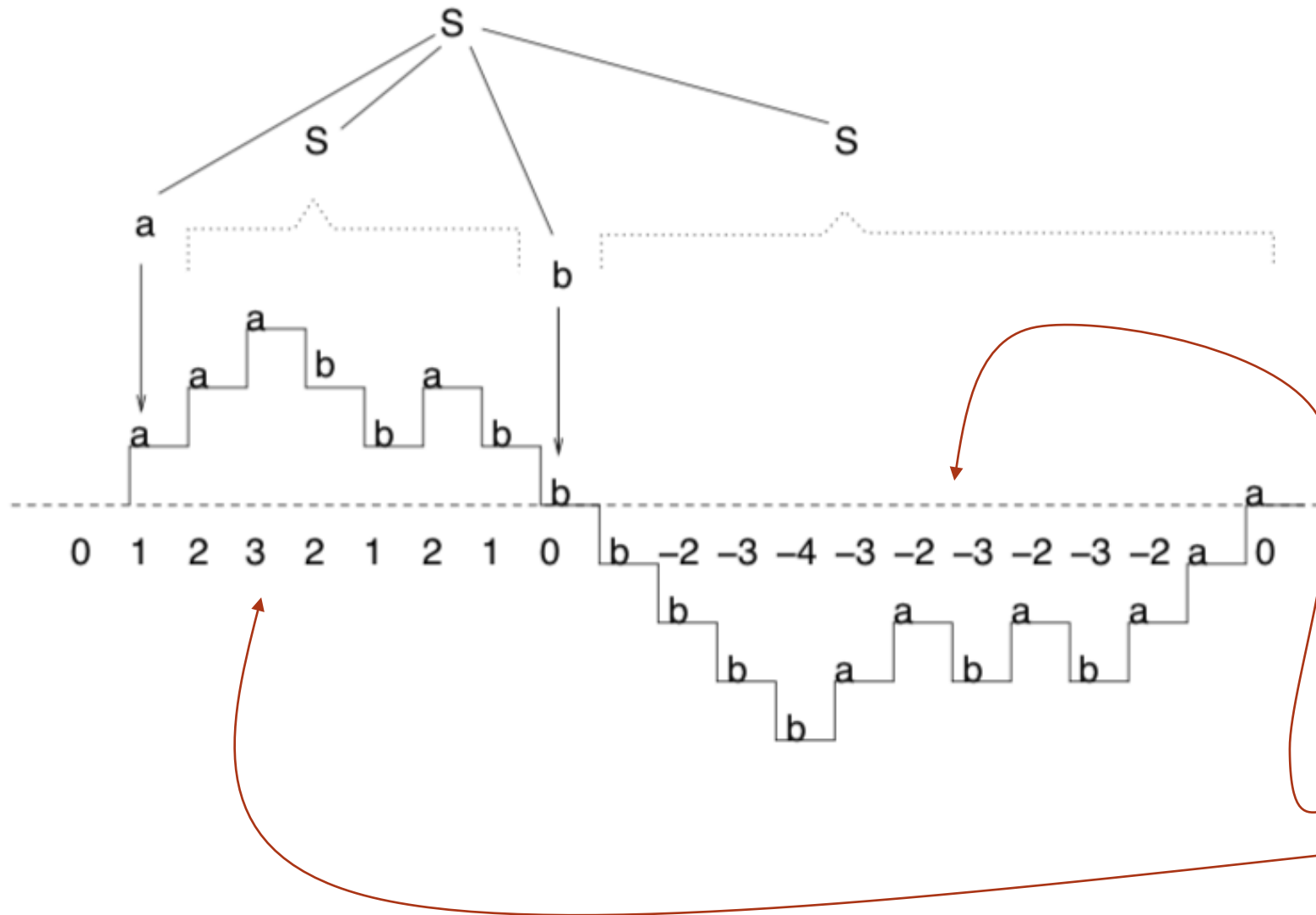
AND has equal # of 0's and 1's

We realize that it must achieve a count  $> 0$  somewhere, then a count  $= 0$ , then achieve a count  $< 0$ , and the final “a” must restore the count back to 0.

So there are smaller strings that have equal counts!

**Induct on them!**

# Arguing Completeness: Hill/Valley Plots



Induction argument:  
The small strings in this picture are derivable from S

Then we can piece together a whole parse-tree that explains that the WHOLE string can also be derived!

# Two Nontrivial Exercises

- Recall  $L_{\{ww\}} = \{ ww : w \in \Sigma^* \}$  ?
- $L_{\{ww\}}$  is not a CFL
- But the complement of  $L_{\{ww\}}$  is a CFL
  - Write its CFG (maybe part of Asg-4)
- Write a CFG for  $\{ w : w \in \{0,1\}^* \text{ and } \#1(w) > \#0(w) \}$ 
  - Part of Asg-4 most likely

# Grammar Desiderata

- Grammars must be consistent
  - With respect to the language you want to capture
- Grammars must be complete
  - With respect to the language you want to capture
- Are there other desirable properties of grammars?
  - Yes! **Grammars must be unambiguous.**
- **Reason grammars must be unambiguous:**
  - Grammars are used to generate code, implement interpreters, etc.
  - Example: Our calculator grammar (let us take a look)

# Grammars vs. Ambiguity

- A grammar  $G1$  may be ambiguous
- Another grammar  $G2$  such that  $L(G1) = L(G2)$  may be unambiguous
  - I.e. no string has two distinct parse trees
- While  $L(G1) = L(G2)$ , there is only one parse-tree for  $L(G2)$
- Parse trees determine how
  - A calculator evaluates
  - A compiler generates code
- Let us review the expression grammar (next slide)



# Ambiguity and Disambiguation

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$E \rightarrow 1 \mid 2 \mid 3 \mid \sim E \mid E + E \mid E * E \mid (E)$

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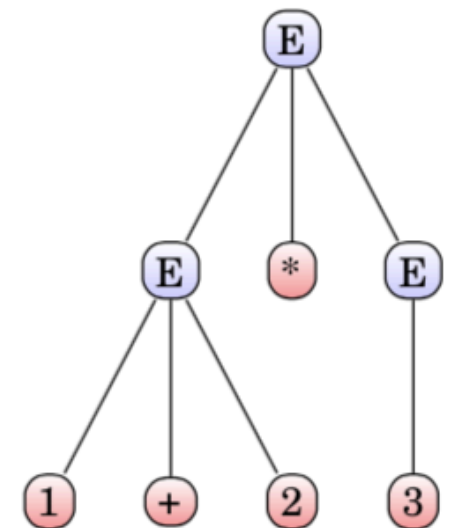
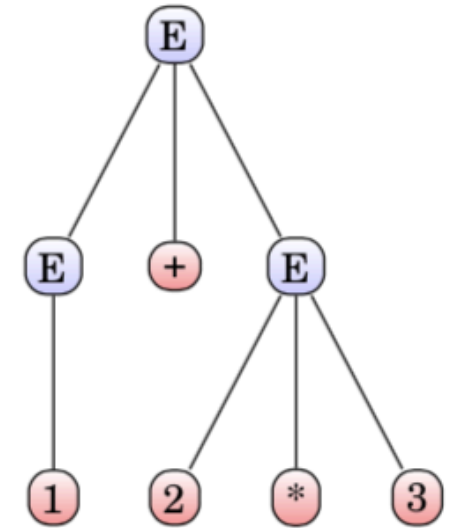
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$E \rightarrow E + T \mid T$

$T \rightarrow T * F \mid F$

$F \rightarrow 1 \mid 2 \mid 3 \mid \sim F \mid (E)$

---



# Ambiguity and disambiguation

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$$E \rightarrow 1 \mid 2 \mid 3 \mid \sim E \mid E + E \mid E * E \mid (E)$$

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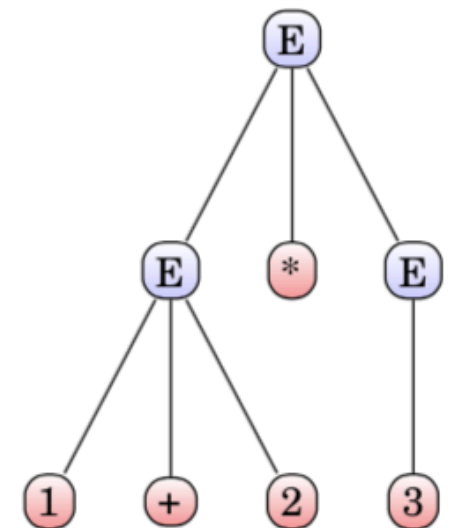
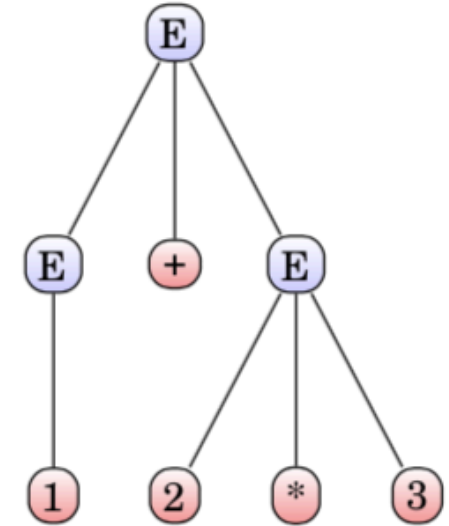
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$$E \rightarrow E + T \mid T$$
$$T \rightarrow T * F \mid F$$
$$F \rightarrow 1 \mid 2 \mid 3 \mid \sim F \mid (E)$$

---

Gist : by changing the grammar,

- The same set of strings are still derivable
- Ambiguity goes away !!
- The basic idea is to “layer the grammar”



# Calculator Problem of Assignment

- In this assignment, you get to see, for the first time, how the theory of regular languages and context-free languages helps you build a calculator
- You must understand the calculator
- You must then modify it to have a new operator called "!" or successor
- $3 + !4 = 3 + (4+1) = 8$
- $!(3+!4 - !!1) * !5 = ?$

# Notes on the Calculator Problem

- We relied on PLY to disambiguate for us
  - Defines associativity
  - Defines precedences
- But we can also modify the grammar and achieve this
  - More on that on Thursday next week
  - We will also study Jove's parsers (we have 3 of them inside Jove)

# Inherently Ambiguous CF Languages

$$L_{abORbc} = \{ a^i b^j c^k : (i = j) \text{ or } (j = k) \}$$

No matter which CFG we try --- layering or otherwise --- ambiguity NEVER goes away !!!

The proof that the above language is inherently ambiguous is long, and is skipped.

But I can give you papers that cover it (if you wish).

# Which are CFL and which aren't?

1.  $L_{P0} = \{w : w \in \Sigma^*\}$
2.  $L_{P1} = \{ww^R : w \in \Sigma^*\}$
3.  $L_{P2} = \{waw^R : a \in (\{\varepsilon\} \cup \Sigma), w \in \Sigma^*\}$
4.  $L_{eq01} = \{0^n 1^n : n \geq 0\}$
5.  $L_{ww} = \{ww : w \in \Sigma^*\}$
6.  $L_{w\#w} = \{w\#w : w \in \Sigma^*\}$ , where # is a separator.
7.  $L_{eq010} = \{0^n 1^n 0^n : n \geq 0\}$
8.  $L_{eq012} = \{0^n 1^n 2^n : n \geq 0\}$

# Getting to Pump CFGs: Part 1 of 4

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$S \rightarrow ( S ) \mid T \mid ''$

$T \rightarrow [ T ] \mid T T \mid ''$

---

# Getting to Pump CFGs: Part 2 of 4

$$S \Rightarrow (S) \Rightarrow ((T)) \Rightarrow (([T])) \Rightarrow (([ ]))$$
 $\wedge$  $\wedge$ 

Occurrence-1    Occurrence-2

Use  $T \Rightarrow [T]$     Use  $T \Rightarrow ''$



# Getting to Pump CFGs: Part 3 of 4

---

$S \Rightarrow (S) \Rightarrow ((T)) \Rightarrow (([T])) \Rightarrow (([[T]])) \Rightarrow (([[ ]]))$

^                      ^                      ^

Occurrence-1    Occurrence-2    Here,

Use  $T \Rightarrow [T]$     Use  $T \Rightarrow [T]$     use  $T \Rightarrow ''$

# Getting to Pump CFGs: Part 4 of 4

$S \Rightarrow (S) \Rightarrow ((T)) \Rightarrow (( ))$

^

Here, use  $T \Rightarrow ''$

# Summary of Example

Given that this  
derivation exists:

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$S \Rightarrow (S)$   
 $\Rightarrow ((T))$   
 $\Rightarrow (([T]))$   
 $\Rightarrow (([ \quad ]))$

We infer that this  
derivation exists:

=====

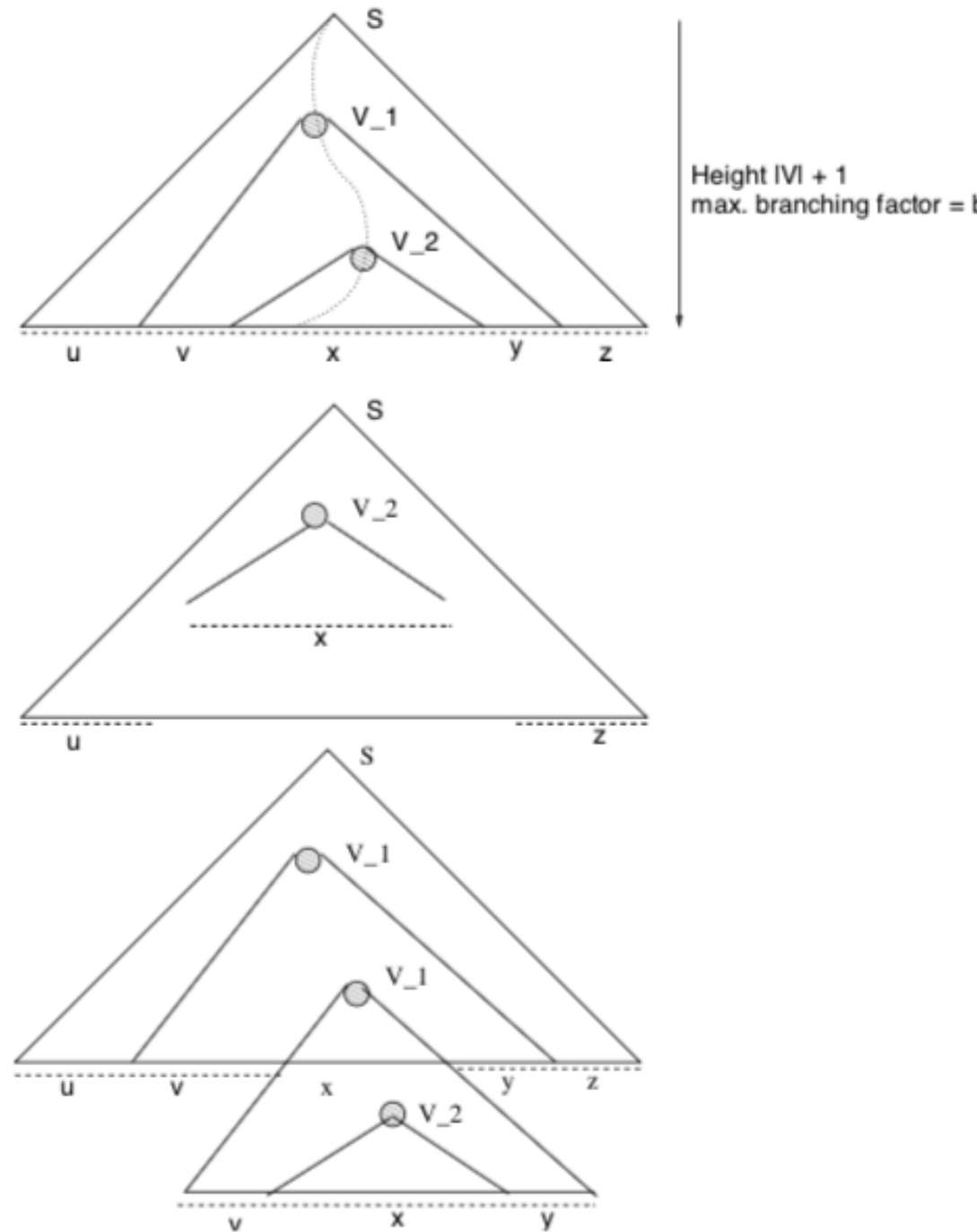
$S \Rightarrow (S)$   
 $\Rightarrow ((T))$   
 $\Rightarrow (([T]))$   
 $\Rightarrow (([[T]]))$   
 $\Rightarrow (([[[T]]]))$   
 $\Rightarrow \dots$   
 $\Rightarrow (([[[[[[[[[T]]]]]]]]))$   
 $\Rightarrow (([[[[[[[[[ \quad ]]]]]]]))$

OR, this  
derivation exists:

=====

$S \Rightarrow (S)$   
 $\Rightarrow ((T))$   
 $\Rightarrow (( \quad ))$

# CFL PL in Pictures



# The CFL PL finally! (pictures)

**Theorem 11.9:** Given any CFG  $G = (N, \Sigma, P, S)$ , there exists a number  $p$  such that given a string  $w$  in  $L(G)$  such that  $|w| \geq p$ , we can split  $w$  into  $w = uvxyz$  such that  $|vy| > 0$ ,  $|vxy| \leq p$ , and for every  $i \geq 0$ ,  $uv^i xy^i z \in L(G)$ .

# The CFL PL finally! (words)

- Suppose  $L_{ww}$  were a CFL.
- Then the CFL Pumping Lemma would apply.
- Let  $p$  be the pumping length associated with a CFG of this language.
- Consider the string  $0^p 1^p 0^p 1^p$  which is in  $L_{ww}$ .
- The segments  $v$  and  $y$  of the Pumping Lemma are contained within the first  $0^p 1^p$  block, in the middle  $1^p 0^p$  block or in the last  $0^p 1^p$  block, and in each of these cases, it could also have fallen entirely within a  $0^p$  block or a  $1^p$  block.
- In each case, by pumping up or down, we will then obtain a string that is not within  $L_{ww}$ . □

# Exercise on CFL PL

Show that  $\{ 0^{i^2} : i \geq 0 \}$  is not a CFL

# Exercise on CFL PL from Sipser

Show that this language is not a CFL

$\{ w \# x : w \text{ is a substring of } x, \text{ where } w \in \{a,b\}^* \}$   
here  $\#$  is a simple delimiter character

A substring is a contiguous sequence of strings embedded in another

A subsequence is more general - need not be contiguous