

# **CSC421: Written Homework 1**

Due on Thursday, Jan 24, 2019

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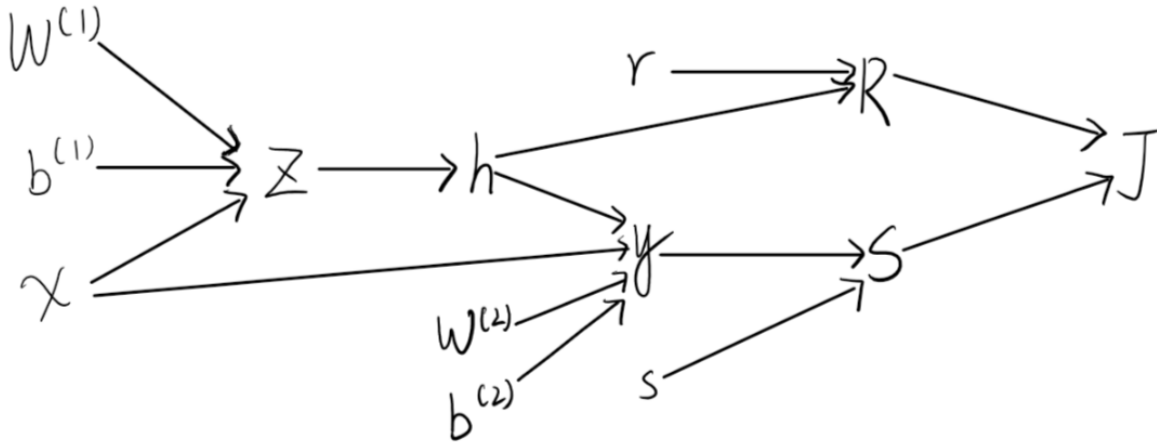
**Problem 1***Hard-Coding a Network*

$$W^{(1)} = \begin{bmatrix} 1 & -1 & 0 & 0 \\ 0 & 1 & -1 & 0 \\ 0 & 0 & 1 & -1 \end{bmatrix}, b^{(1)} = \begin{bmatrix} 0 \\ 0 \\ 0 \end{bmatrix}, W^{(2)} = \begin{bmatrix} -1 \\ -1 \\ -1 \end{bmatrix}, b^{(2)} = 0$$

## Problem 2

Backprop

a)



b)

$$\bar{R} = \frac{\partial J}{\partial R} = 1, \quad \bar{S} = \frac{\partial J}{\partial S} = 1, \quad \bar{\mathbf{y}} = \bar{S} \frac{\partial S}{\partial \mathbf{y}} = 1 * \begin{bmatrix} \frac{\partial S}{\partial y_1} \\ \vdots \\ \frac{\partial S}{\partial y_n} \end{bmatrix} = \begin{bmatrix} y_1 - s_1 \\ \vdots \\ y_n - s_n \end{bmatrix} = \mathbf{y} - \mathbf{s}$$

$$\bar{\mathbf{h}} = \frac{\partial J}{\partial \mathbf{h}} = \frac{\partial R}{\partial \mathbf{h}} \bar{R} + \frac{\partial \mathbf{y}}{\partial \mathbf{h}}^T \bar{\mathbf{y}} = \begin{bmatrix} \frac{\partial R}{\partial h_1} \\ \vdots \\ \frac{\partial R}{\partial h_k} \end{bmatrix} * 1 + \begin{bmatrix} \frac{\partial y_1}{\partial h_1} & \cdots & \frac{\partial y_1}{\partial h_k} \\ \vdots & & \vdots \\ \frac{\partial y_n}{\partial h_1} & \cdots & \frac{\partial y_n}{\partial h_k} \end{bmatrix}^T \bar{\mathbf{y}} = \begin{bmatrix} r_1 \\ \vdots \\ r_k \end{bmatrix} + \begin{bmatrix} w_{11}^{(2)} & \cdots & w_{1k}^{(2)} \\ \vdots & & \vdots \\ w_{n1}^{(2)} & \cdots & w_{nk}^{(2)} \end{bmatrix}^T \bar{\mathbf{y}} = \mathbf{r} + \mathbf{W}^{(2)T} \bar{\mathbf{y}}$$

$$\bar{\mathbf{z}} = \frac{\partial J}{\partial \mathbf{z}} = \bar{\mathbf{h}} \frac{\partial \mathbf{h}}{\partial \mathbf{z}} = \bar{\mathbf{h}} \begin{bmatrix} \frac{\partial h_1}{\partial z_1} & \cdots & \frac{\partial h_1}{\partial z_k} \\ \vdots & & \vdots \\ \frac{\partial h_k}{\partial z_1} & \cdots & \frac{\partial h_k}{\partial z_k} \end{bmatrix} = \bar{\mathbf{h}} \begin{bmatrix} \sigma'(z_1) & & 0 \\ & \ddots & \\ 0 & & \sigma'(z_k) \end{bmatrix} = \bar{\mathbf{h}} \circ \sigma'(\mathbf{z})$$

$$\bar{\mathbf{x}} = \frac{\partial J}{\partial \mathbf{x}} = \frac{\partial \mathbf{z}}{\partial \mathbf{x}}^T \bar{\mathbf{z}} + \bar{\mathbf{y}} \frac{\partial \mathbf{y}}{\partial \mathbf{x}} = \mathbf{W}^{(1)T} \bar{\mathbf{z}} + \bar{\mathbf{y}} \begin{bmatrix} \frac{\partial y_1}{\partial x_1} & \cdots & \frac{\partial y_1}{\partial x_n} \\ \vdots & & \vdots \\ \frac{\partial y_n}{\partial x_1} & \cdots & \frac{\partial y_n}{\partial x_n} \end{bmatrix} = \mathbf{W}^{(1)T} \bar{\mathbf{z}} + \bar{\mathbf{y}} \begin{bmatrix} 1 & & 0 \\ & \ddots & \\ 0 & & 1 \end{bmatrix} = \mathbf{W}^{(1)T} \bar{\mathbf{z}} + \bar{\mathbf{y}}$$

$$\bar{x}_i = \left( \sum_{j=1}^k W_{ji}^{(1)} \bar{z}_j \right) + \bar{y}_i$$

### Problem 3

#### Sparsifying Activation Functions

$\frac{\partial L}{\partial w_1}$ : YES

$$\frac{\partial L}{\partial w_1} = \frac{\partial L}{\partial y} \frac{\partial y}{\partial w_1} = \frac{\partial L}{\partial y} \times h_1 = \frac{\partial L}{\partial y} \times 0 = 0$$

$\frac{\partial L}{\partial w_2}$ : YES

$h_1 = ReLU(z_1)$  where  $z_1$  is the input of  $h_1$  and  $z_1 = -1$ .  $\partial h_1 / \partial z_1 = ReLU'(z_1) = ReLU'(-1) = 0$

$$\frac{\partial L}{\partial w_2} = \frac{\partial L}{\partial h_1} \frac{\partial h_1}{\partial z_1} \frac{\partial z_1}{\partial w_2} = \frac{\partial L}{\partial h_1} \times 0 \times h_3 = 0$$

$\frac{\partial L}{\partial w_3}$ : No

$$\frac{\partial L}{\partial w_3} = \frac{\partial L}{\partial h_3} \frac{\partial h_3}{\partial z_3} \frac{\partial z_3}{\partial w_3}$$

$h_3 = ReLU(z_3)$  where  $z_3$  is the input of  $h_3$  and  $z_3$  can be greater than zero, so  $\partial h_3 / \partial z_3 = ReLU'(z_3)$  could be 1.  $\partial z_3 / \partial w_3 = x_1$  could also be non-zero.

$$\frac{\partial L}{\partial h_3} = \frac{\partial L}{\partial y} \frac{\partial y}{\partial h_3} = \frac{\partial L}{\partial y} \left( \frac{\partial y}{\partial h_1} \frac{\partial h_1}{\partial z_1} \frac{\partial z_1}{\partial h_3} + \frac{\partial y}{\partial h_2} \frac{\partial h_2}{\partial z_2} \frac{\partial z_2}{\partial h_3} \right) = \frac{\partial L}{\partial y} (w_1 \times ReLU'(z_1) \times w_2 + w_5 \times ReLU'(z_2) \times w_4)$$

As stated in the question,  $z_1 = -1$ ,  $ReLU'(z_1) = 0$ . However,  $z_2$  could be above zero. In that case,  $ReLU'(z_2) = 1$

$$\frac{\partial L}{\partial h_3} = \frac{\partial L}{\partial y} (w_1 \times 0 \times w_2 + w_5 \times 1 \times w_4) = \frac{\partial L}{\partial y} \times w_5 \times w_4$$

Since  $\partial L / \partial h_3, \partial h_3 / \partial z_3, \partial z_3 / \partial w_3$  can all be non-zero,  $\partial L / \partial w_3$  is not guaranteed to be zero.