

# Retrieval-based Neural Source Code Summarization

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No Photo

**Name:** Jian Zhang

**Affiliation:** Beihang University

**Contributions**

**ISSTA 2019** Author of Androlic: An Extensible Flow, Context, Object, Field, and Path-Sensitive Static Analysis Framework for Android within the Tool Demonstration-track

**ICSE 2020** Author of Retrieval-based Neural Source Code Summarization within the Technical Papers-track

**ICSE 2019** Author of A Novel Neural Source Code Representation based on Abstract Syntax Tree within the Technical Track-track

**ISSTA 2018** Author of LAND: A User-Friendly and Customizable Test Generation Tool for Android Apps within the ISSTA Tool Demonstrations-track

\* **ICSE 2018** Author of Poster T39: Semantically Enhanced Tag Recommendation for Software CQAs via Deep Learning within the Posters -track  
\*

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# Xu Wang (王旭)

Assistant Professor

**Research Directions:**

Software Engineering, Software Reliability and Scalability, Distributed Systems

**Research Interests:**

Improve software development efficiency and software quality through AI techniques, program analysis and algorithm optimization.



# Hongyu Zhang

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## Research Area:

My research area is software engineering, in particular:

- software analytics, mining software repository, data-driven software engineering
- software measurement and empirical software engineering
- software quality assurance, testing, debugging
- software reuse (generative programming and software product lines)
- software maintenance



## 孙海龙 Hailong Sun

Associate Professor, School of Computer Science and Engineering, Beihang University

Intelligent Software Engineering (combining AI/big data with software engineering),  
Crowd Intelligence (crowdsourcing, human computation, human-AI collaboration),  
Distributed Systems,  
Service Oriented Computing



# Xudong Liu

Beihang University

**ICSE 2020** Author of Retrieval-based Neural Source Code Summarization within the Technical Papers-track

**ICSE 2019** Author of A Novel Neural Source Code Representation based on Abstract Syntax Tree within the Technical Track-track

**\* ICSE 2018 \*** Author of Poster T39: Semantically Enhanced Tag Recommendation for Software CQAs via Deep Learning within the Posters -track

# Related Work

- Information Retrieval
  - On the use of automated text summarization techniques for summarizing source code.
  - Clocom: Mining existing source code for automatic comment generation
- Neural Machine Translation
  - Summarizing source code using a neural attention model
  - Deep code comment generation

# Motivation

- The NMT-based methods generally prefer high-frequency words in the corpus and may have trouble with low-frequency words
- Combine the NMT-based and IR-based methods
  - the words in the expected summaries (including the low-frequency ones) are also highly probable to appear in similar code snippets

# Motivation

```
def create_app(name, site, sourcepath, apppool=None):
    pscmd = list()
    pscmd.append("New-WebApplication -Name ' {0}' -
                  Site' {1}'".format(name, site))
    pscmd.append(" -PhysicalPath ' {0}'".format(sourcepath))
    if apppool:
        pscmd.append(" -applicationPool ' {0}'".format(apppool))
    cmd_ret = _srvmgr(str().join(pscmd))
    if cmd_ret['retcode'] == 0:
        if name in list_apps(site): return True
    return False
```

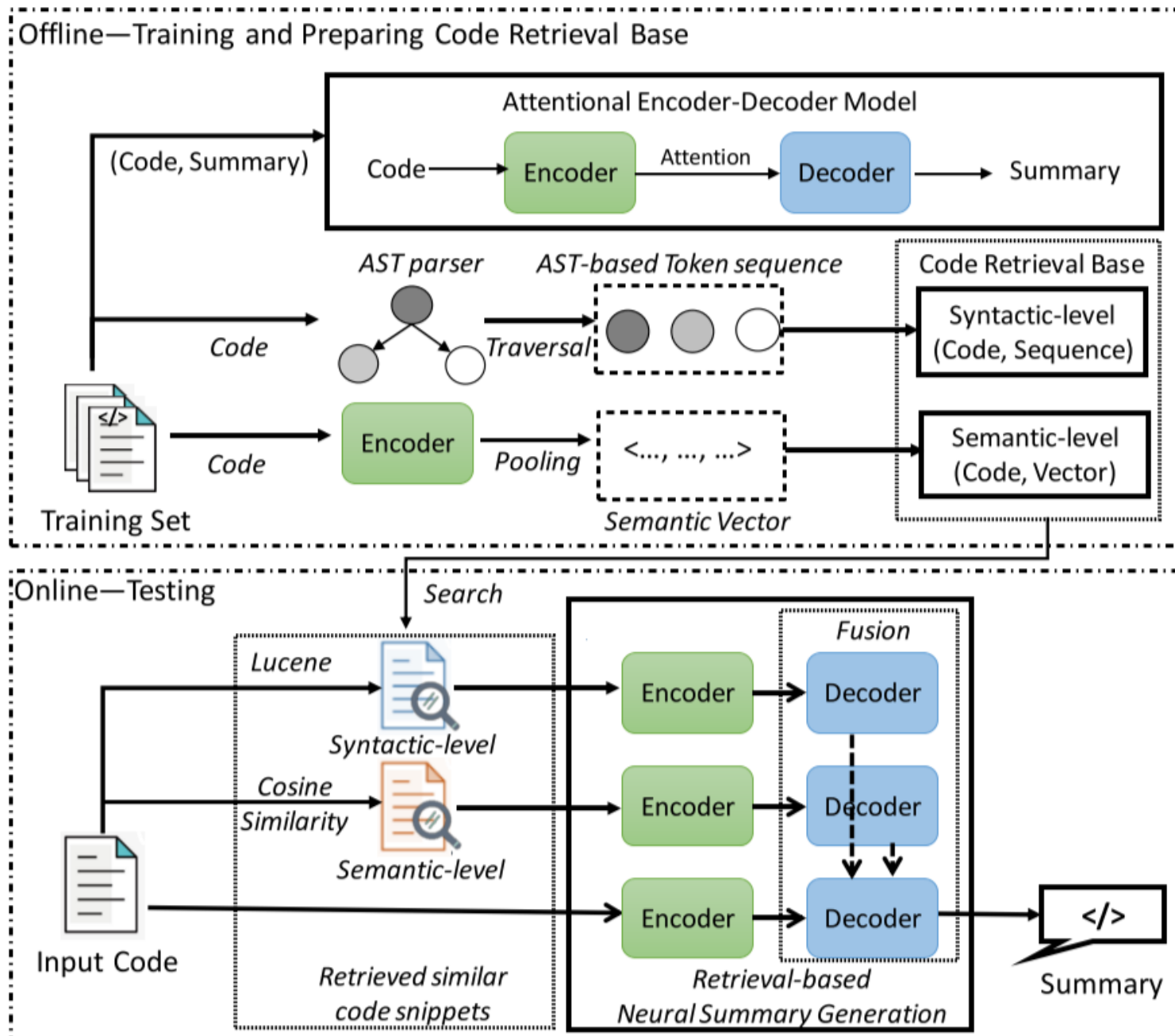
Ground truth: create an iis application .

Syntactic retrieval: remove an iis application .

Semantic retrieval: create an iis virtual directory .

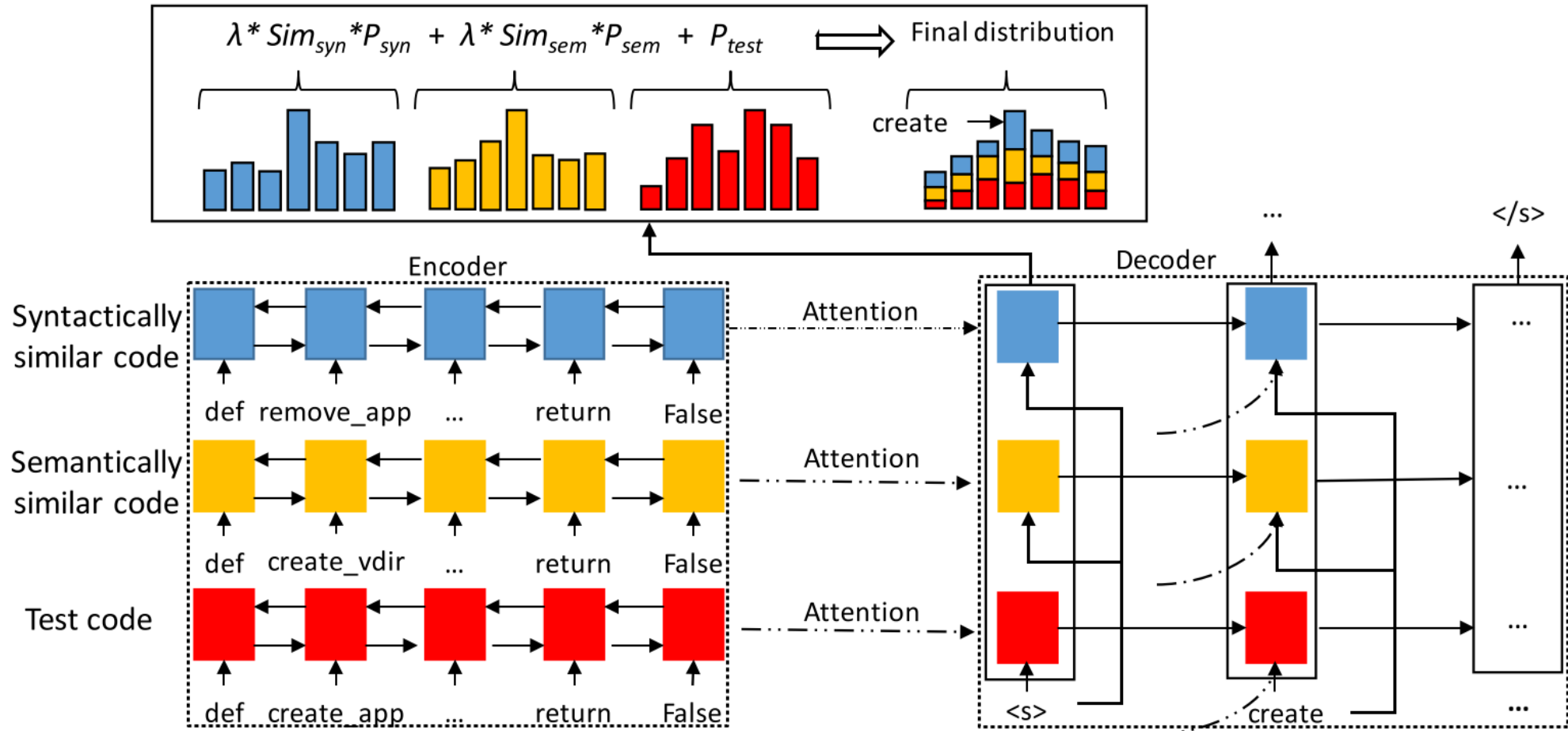
NMT: create the new app .

# Overview





# Retrieval-based Neural Summary Generation



# Evaluation

- Dataset
  - PCSD: 10872 pairs、
  - JCSD: 69708 pairs

Dataset	Source Code Length (#words)			Summary Length (#words)			Word Frequency $\leq 10$		Word Frequency $\leq 100$	
	MaxL	AvgL	UniT	MaxL	AvgL	UniT	NumW	NumS	NumW	NumS
PSCD	157,116	133.1	481,756	333	9.9	37,111	32,093(86.5%)	46,481(42.8%)	36,003(97.0%)	87,626(80.6%)
JSCD	4842	99.9	230,336	670	17.1	35,535	30,342(85.4%)	34,207(41.4%)	34,223(96.3%)	63,954(77.3%)

# Evaluation

- Baseline

	Method	Description
IR	LSI	Key word similarity
	VSM	Word frequency similarity
	NNGen	Vector similarity
NMT	CODE-NN	LSTM+attention
	TL-CODESum	API+LSTM+attention
	Hybrid-DRL	AST+LSTM+attention
IR&NMT	GRNMT	N-gram represented

# Evaluation

- RQ1: How does our proposed approach perform compared to the baselines?

Methods	PCSD							JCSD						
	BLEU-1/2/3/4(%)				METEOR(%)	ROUGE-L(%)	CIDER	BLEU-1/2/3/4(%)				METEOR(%)	ROUGE-L(%)	CIDER
LSI	36.3	23.6	20.1	17.6	17.2	40.0	1.982	31.4	22.5	19.3	17.3	14.4	34.8	1.803
VSM	38.9	26.1	22.1	19.3	19.0	42.7	2.216	33.3	24.4	21.1	19.0	15.4	36.6	1.983
NNGen	36.5	23.8	20.1	17.4	17.1	40.2	1.967	33.0	24.4	20.9	18.7	15.0	36.3	1.933
CODE-NN	30.8	15.4	10.7	8.1	13.4	35.1	1.229	23.9	12.8	8.6	6.3	9.1	28.9	0.978
TL-CodeSum	31.1	16.5	12.5	10.4	13.6	35.3	1.335	29.9	21.3	18.1	16.1	13.7	33.2	1.66
Hybrid-DRL	41.1	26.2	19.5	15.0	17.9	42.2	2.042	32.4	22.6	16.3	13.3	13.5	36.5	1.656
GRNMT	38.6	24.0	18.8	15.8	18.5	43.4	1.978	32.6	22.6	17.9	15.5	15.0	37.6	1.732
<i>Rencos</i>	<b>43.1</b>	<b>29.5</b>	<b>24.2</b>	<b>20.7</b>	<b>21.1</b>	<b>47.5</b>	<b>2.449</b>	<b>37.5</b>	<b>27.9</b>	<b>23.4</b>	<b>20.6</b>	<b>17.3</b>	<b>42.0</b>	<b>2.209</b>

# Example

---

```
public void removeColumn(final String columnName){  
    if(columnName == null) { return; }  
    List<String> cols = Arrays.asList(getInfo().headers);  
    final int colIndex = cols.indexOf(columnName);  
    removeColumn(colIndex);  
}
```

---

*Reference:* remove the column represented by its name

---

*LSI:* get index of this column name

---

*VSM:* adds the given column to this table

---

*NNGen:* get index of this column name

---

*CODE-NN:* remove a column from the table .

---

*TL-CodeSum:* remove column at specified index .

---

*Hybrid-DRL:* removes a column from the column .

---

*GRNMT:* remove a column from the table .

---

*Our approach:* remove the column represented by the index

---

# Evaluation

- RQ2: How effective are the main components of our approach?

Descriptions	PCSD								JCSD							
	BLEU-1/2/3/4(%)				METEOR(%)	ROUGE-L(%)	CIDER		BLEU-1/2/3/4(%)				METEOR(%)	ROUGE-L(%)	CIDER	
Only Syntactic Retrieval	39.8	27.4	23.3	20.2	19.5	43.5	2.296		33.9	25.2	21.7	19.5	15.9	37.4	2.020	
Only Semantic Retrieval	39.5	27.1	23.1	20.1	19.1	43.1	2.270		33.7	25.3	22.1	19.9	15.4	37.0	2.049	
NMT	37.5	22.5	17.1	14.2	17.3	42.3	1.871		31.1	20.7	16.0	13.8	13.8	36.3	1.633	
NMT+Syntactic Retrieval	41.9	28.2	22.8	19.5	20.4	46.5	2.344		36.3	26.7	22.1	19.5	16.7	40.9	2.106	
NMT+Semantic Retrieval	42.2	28.4	23.2	19.8	20.6	46.6	2.362		36.8	27.2	22.6	19.9	17.0	41.3	2.164	
NMT+Both Retrieval	<b>43.1</b>	<b>29.5</b>	<b>24.2</b>	<b>20.7</b>	<b>21.1</b>	<b>47.5</b>	<b>2.449</b>		<b>37.5</b>	<b>27.9</b>	<b>23.4</b>	<b>20.6</b>	<b>17.3</b>	<b>42.0</b>	<b>2.209</b>	

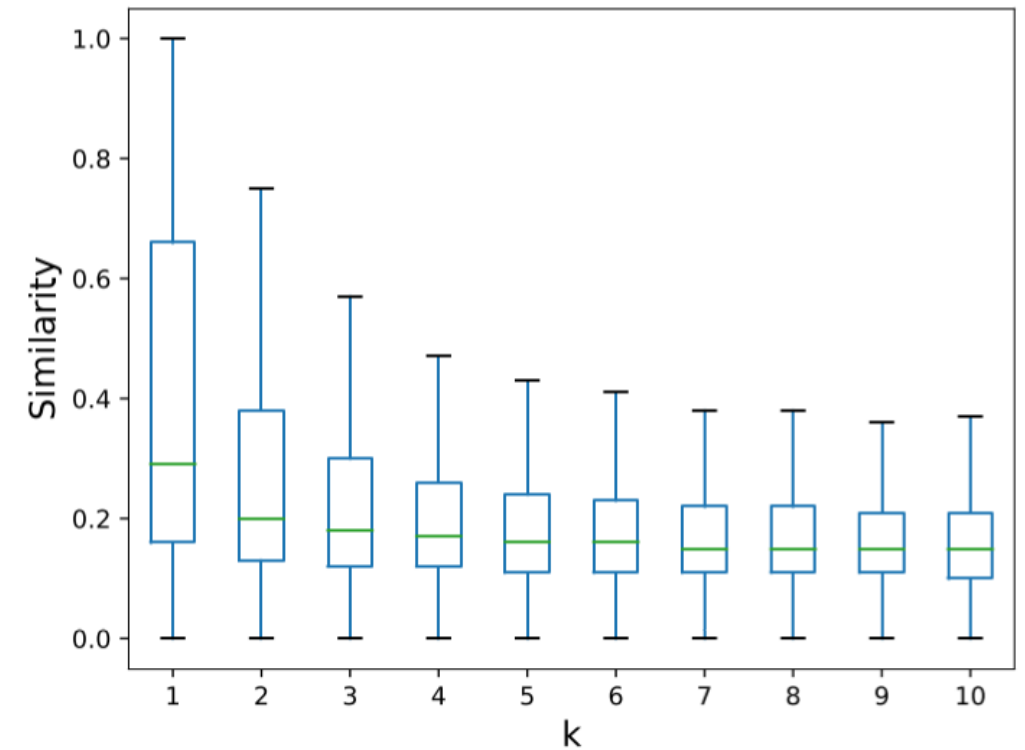
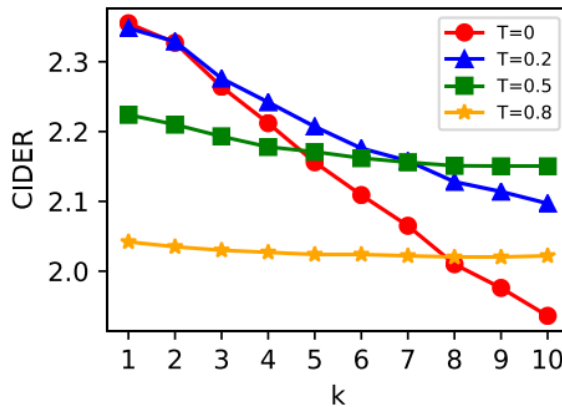
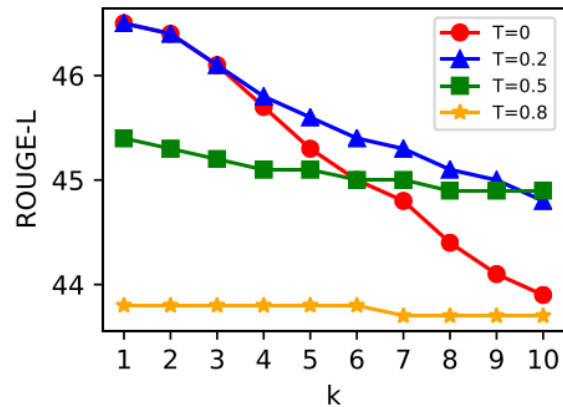
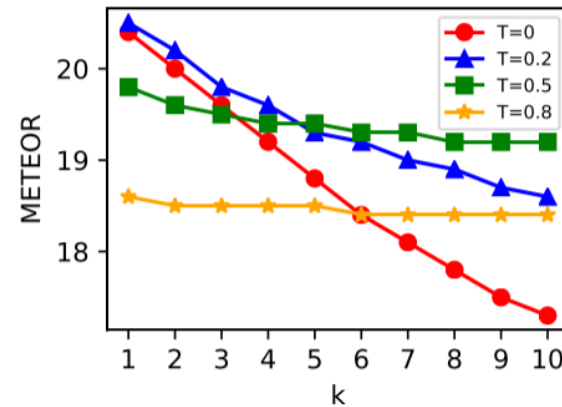
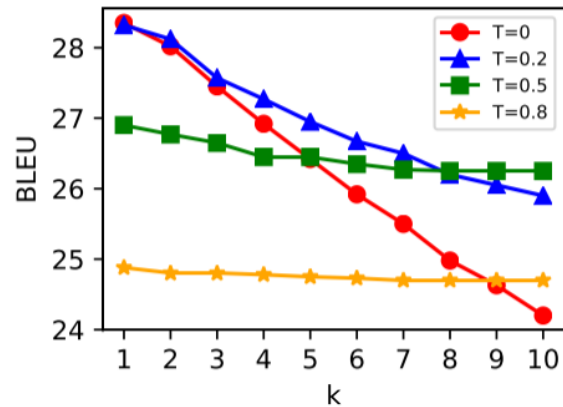
# Evaluation

- RQ3: Does our approach perform better than NMT-based methods for tackling the low-frequency word problem?

Word Frequency		1	2	5	10	20	50	100
PCSD	NMT	452	376	272	176	145	84	82
	<i>Rencos</i>	799	515	344	223	184	88	109
	Ratio	1.77	1.37	1.26	1.27	1.27	1.05	1.33
JCSD	NMT	126	75	45	27	38	28	16
	<i>Rencos</i>	243	138	73	38	49	37	18
	Ratio	1.93	1.84	1.62	1.41	1.29	1.32	1.11

# Evaluation

- RQ4: Will our approach perform better if we retrieve top  $k$  ( $k > 1$ ) similar code snippets and filter them according to a similarity threshold





# Evaluation

- Human Evaluation: Amazon Mechanical Turk (AMT)

Score	1	2	3	4	5	Avg	$\geq 4$	$\geq 3$	$\leq 2$
VSM	24	18	31	17	10	2.71	27	58	42
Hybrid-DRL	0	26	48	23	3	3.03	26	74	26
<i>Rencos</i>	2	13	39	30	16	3.45	46	85	15

# Conclusion

- We propose a novel retrieval-based neural architecture to enhance the NMT model for summarizing source code with the help of most similar code snippets. To the best of our knowledge, this is the first work that combines retrieval-based and NMT-based methods in source code summarization
- We conduct extensive experiments to evaluate our approach on two real-world datasets. We also perform a human evaluation through Amazon Mechanical Turk (AMT). All results confirm that the proposed approach is effective and outperforms the state-of-the-art methods.