



UNIVERSITÀ DEGLI STUDI DI TRENTO

Department of Information Engineering and Computer Science

Master's Degree in  
**Computer Science and Technologies**

FINAL DISSERTATION

Integration of multiple deep learning algorithms  
for real-time single person long-term tracking  
over complex scenarios

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# Ringraziamenti

*...thanks to...*

# Contents

<b>1</b>	<b>Sommario</b>	<b>2</b>
<b>2</b>	<b>Introduction</b>	<b>3</b>
2.1	Physical context . . . . .	3
2.2	The Problem . . . . .	3
2.2.1	Robot only environment . . . . .	3
2.2.2	Environment shared between robots and humans . . . . .	3
2.2.3	Purpose of the internship . . . . .	4
2.2.4	Technical problems . . . . .	4
2.3	The Solution . . . . .	5
2.3.1	Existing technologies . . . . .	5
2.3.2	Limitation of known technologies . . . . .	5
2.3.3	Combine known methods to solve the general task . . . . .	6
2.4	Structure of the thesis . . . . .	7
<b>3</b>	<b>Detection</b>	<b>8</b>
<b>4</b>	<b>Tracking</b>	<b>9</b>
<b>5</b>	<b>Recognition</b>	<b>10</b>
<b>6</b>	<b>Solution</b>	<b>11</b>
<b>7</b>	<b>Conclusion</b>	<b>12</b>
	<b>Bibliografia</b>	<b>13</b>

# 1 Sommario

Sommario è un breve riassunto del lavoro svolto dove si descrive l'obiettivo, l'oggetto della tesi, le metodologie e le tecniche usate, i dati elaborati e la spiegazione delle conclusioni alle quali siete arrivati.

Il sommario dell'elaborato consiste al massimo di 3 pagine e deve contenere le seguenti informazioni:

- contesto e motivazioni
- breve riassunto del problema affrontato
- tecniche utilizzate e/o sviluppate
- risultati raggiunti, sottolineando il contributo personale del laureando/a

## 2 Introduction

This chapter offers an overview of the project on which the thesis is based. The goal is to explain in detail how the practical problem has been approached in order to analyze the physical constraints, ideate a software method able to solve them, and how these ideas were then implemented into a working algorithm.

### 2.1 Physical context

The physical component in this project is a robot. Its definition can vary a lot respect to the context in which it is used. For this project, a robot can be described as a vehicle able to move in the space. A **LIDAR (Laser Imaging Detection and Ranging)** sensor is mounted. It is used to drive in the space avoiding hitting physical obstacles during the movement. In addition, it is installed a computational device connected to a webcam that can record streams of images of the space in front of the robot itself.

The video camera is the eyes of the robot itself, and the captured video stream is used as input of the algorithm working on the computational device. This computer can be both composed of a **CPU (Central Processing Unit)** but more often it is built with a **GPU (Graphics Processing Unit)** that can speed up parallelized computation, applied on the **DNNs (Deep Neural Networks)** used as the core of the algorithm. The software does not assume one component respect to the other, the only variation is in the performances: a GPU computation speed can be much higher than a CPU.

Instead, the output of the algorithm is a position composed of X and Y coordinates respect to a single frame captured from the webcam. This location can be then elaborated and, with the use of LIDAR sensor, the robot can estimate which is the 3D position of the element tracked from the software.

Finally, it moves to reach that position, in order to follow the tracked subject not only into the virtual space but also into the real environment.

### 2.2 The Problem

The thesis project is based on an internship with Dolomiti Robotics[1], a company working on self-driving robots.

These vehicles are ideated to work in an industrial environment. In this scenario does not work only robots but also people, making the driving task even more complex to accomplish.

#### 2.2.1 Robot only environment

A looking similar context is a completely automated environment, where humans cannot access. Instead, it is completely different because each vehicle has its own logic that can be designed to fit the requirement of all the other robots working in that area.

The typical solutions to drive a vehicle in this scenario are two:

- Based on a centralized decision unit that moves all the robots simultaneously around. This unit is responsible to avoid collisions by knowing the exact position of each single moving robot
- Based on fixed rules of movement that each robot has to respect. The rules do not allow collision and these are not violated from the vehicles

Both these methods work because an automated vehicle uses a completed deterministic decision process and do not take arbitrary choices.

#### 2.2.2 Environment shared between robots and humans

Instead, in a shared environment, there are a lot of elements that are not controlled by a deterministic rule. The changes in the scenario are random and no prediction can be done. There are both fixed

object that may have changed position due to external interaction, and also human that walk around with no defined rules.

In this scenario, in order to create an autonomous moving vehicle, it is fundamental to choose an input method that can measure all the area around. The LIDAR has been chosen. LIDAR is a technology that measures distances all around in a horizontal plane. The effect is that the robot knows in each direction which is the distance from the surrounding objects. This key idea has been used from Dolomiti Robotics, to design software able to drive robots around avoiding hit of fixed obstacles or people walking.

While a robot moves around it can construct a map of the fixed object in the environment, measured with LIDAR. Instead, the moving object, such as other robots or people, that are recognised as not fixed elements are not stored in the map as obstacles. This reconstruction allows the vehicle to move autonomously from one position to another knowing exactly which path to follow to reach the destination.

### 2.2.3 Purpose of the internship

The shared environment does not offer any real human-robot exchange. The two parts only share the same spaces. The goal of this thesis project is to create a real interaction between the two.

Create a new functionality *"that allows a robot to follow a person into the real environment"*.

How does it work:

- Track/follow is the interaction of a robot and a single person (called from now on **Leader**)
- The leader starts the *"follow"* functionality standing in front of the webcam of the robot
- The robot has few seconds to recognise the person inside the camera **FOV (Field Of View)** as the leader
- Then the leader can freely move around in the space.
- In the meanwhile the algorithm is processing the webcam stream of images recognising the position of the leader and start to track it in the virtual space, while following it in the real one.
- The tracking continues for a long period, up to minutes. Until this functionality is stopped.

### 2.2.4 Technical problems

This *"follow"* functionality may be easily solvable under certain conditions. But if the general scenario should be solved it is a much harder task.

Below are listed a small collection of the principal problems that make this functionality an extremely general one, and so hard to solve.

- The tracking should be done in real-time. It is impossible to follow a person if the processing speed is too slow.  
A high **FPS (Frames Per Second)** rate should be respected.
- The robot needs to physically follow the person meaning that the webcam is not fixed.  
Due to this, also the background is not fixed and the entire captured image, subject included, might be blurred.
- The person can move freely around walking fast, slow, or stay still
- The leader is a random person, it is not known while the algorithm was designed (no parameters can be fixed in advance)
- While the leader is walking around there might be also other people that create interference to the algorithm
- The leader can be hidden from the webcam due to moving or static elements placed between the leader and the webcam itself

- The leader can exit the field of view of the webcam disappearing until the robot rotates to view it back again
- The tracking should be performed for a long period

## 2.3 The Solution

The problem is complex due to its generality and the necessity to cover a lot of complementary conditions. For this reason more than one solution exists. In this thesis is presented a solution based on the combination of other three. Each one is designed for solving sub problem respect to this one, and none of them alone can overcome the challenge of the general task.

### 2.3.1 Existing technologies

The three technologies are:

- **Object Detection (or Localization):** given an image the object detection task aim to process the image and recognise which objects exist there. The detection not only need to produce a list of all the classes<sup>1</sup> of objects visible in the image, but also recognise in which section of the frame every single element is.  
The output of detection is a list of: class to which the element belongs, the probability associated and the **BB (Bounding Box)** defined as the smaller rectangle that contains the entire element.
- **Object Tracking:** in this case the input is not a single image but a video stream and an initial section<sup>2</sup> of it. The goal is to remember this portion of the image and recognise it in all the frames after the first one. It is important to note that the tracking procedure it is not designed to follow a person, a car or other it is designed to follow a rectangle of coloured pixels, no matter what these pixels represent.
- **Object Recognition:** this is a comparison between several pictures. These often represent a bounding box of the object that needs to be recognised. The procedure has a database of images each one with a specific class, and the input value is another picture, called **query**, that do not exist in the database but it represents a subject known. The goal is to extract from the database all the images that have a subject that looks similar to the one represented in the query.  
This application is mainly used to recognise humans, often in the video surveillance context. The database is composed of the bounding box of all the person seen, i.e. in a supermarket during the last week, and when a thief is captured and it is used as a query. So, the system should return all the images containing the thief itself.

### 2.3.2 Limitation of known technologies

The challenges presented previously in Section 2.3.1, can solve a small part of the general problem but each one has a technical problemSection 2.2.4 that cannot solve:

- Object detection is a computationally expensive task, on a powerful GPU can run in real-time but that's not the case of the robots we are working with.  
In addition, the detection works frame by frame and each one is independent of the previous one. So, if a person is recognised in a frame, and in the next one, there are also some people the algorithm knows nothing about the relation between the person in the first frame and the people in the next one. Meaning that a person cannot be tracked from one frame to the next one.
- Object tracking, according to the name, seems the task that better match the requirement of the general problem.  
Despite that, the tracking does not consider that the tracked subject, the leader, cannot be hidden from the webcam. The leader should always be visible into the recorded video, and

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<sup>1</sup>There are a set of types to which each element can be associated i.e. person, dog, car, bicycle, bottle and so on.

<sup>2</sup>A portion of the image: a rectangle.

that's not the case. In addition, the leader can also exit the field of view of the robot while walking around.

Lastly, all the tracker are designed to follow the subject for small periods<sup>3</sup>, after a while the tracked rectangle of coloured pixel change and the precision of the output is not guaranteed any more. This phenomenon is known as the **drift effect**, after a while the drift is so wide that the tracked cannot be trusted any more.

- Object recognition due to its requirement was not designed at all to run in real-time. In fact, it is enough to run this procedure only when a query occurs, and that does not happen more than ones every second.

Except that, there is a more intrinsic problem with the recognition to approach the general problem. The procedure requires a query that can be the subject at the actual frame, but then it should work on a dataset composed of old frames and these are useless to solve the actual frame.

In addition, this algorithm cannot be independent in fact, the input values are bounding box of the person, but these bounding box can be computed only with an object detection algorithm. So, this approach cannot solve the problem independently.

This explanation shows that none of the existing proposed technologies can solve the general problem in all its parts.

### 2.3.3 Combine known methods to solve the general task

To solve the problem and manage all the requirements it is necessary to create a combination of known methods.

An example of integration of methods to solve a complex task was done Jiang et al, in their paper[2] that present a fusion of **YOLO9000**[3] (the second version of **YOLO**[4]) used as object detector and **SURF**[5] used as short-term object tracker.

The paper propose an innovative approach based on two thresholds that are used to understand when the drift of the tracker is too large and it is necessary to reinitialize it. So YOLO is executed to find the tracked subject back again and after the initialization the loop can start again.

The method presented in that paper is an integration of two class of methods. Instead in this thesis is presented an integration of three. The third method is necessary because an additional technical problem(Section 2.2.4) exist. Jiang et al. work sport video clips where athletes are always followed by the camera and never disappear out of the field of view. In addition, occlusion can exist but are very short and the tracker is often able to overcome them.

Instead, in our scenario we need to manage the disappear of the leader behind a corner for a relative long time. So, the object recognition method was introduce to solve this condition.

This are the main steps of the entire algorithm:

1. The detection is executed and the leader bounding box is found. For the moment assume, only one person detected in the frame, and it is the leader.
2. The tracker is initialized with the bounding box found
3. The tracker run for the next F frames
4. A new detection is executed and D people are found
5. The person recognition is used to choose if the leader is contained in the list of people found
  - If **yes**: the procedure start again from point 2 (tracking)
  - If **not**: the procedure loop again from point 4 (detection again)

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<sup>3</sup>Each tracker works on a video of few seconds.



This flow shows how detection, tracking and recognition are combined together to build a complete algorithm, that can run in real-time due to the alternation of slow and fast methods and to manage all the problem scenarios.  
The details will follow.

## **2.4 Structure of the thesis**

The next chapters are organized as follow. This section conclude the introduction (Chapter 2). Then follow three chapters one for each main method: object detection in Chapter 3, object tracking in Chapter 4 and object recognition in Chapter 5.  
An overview of the entire algorithm and how it works together follow in Chapter 6.  
In the end, the conclusion are presented in Chapter 7.

## 3 Detection

## 4 Tracking

## 5 Recognition

## 6 Solution

## 7 Conclusion

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