

NLP Basics

Shangbin Feng

LUD Lab, Xi'an Jiaotong University

wind_binteng@stu.xjtu.edu.cn

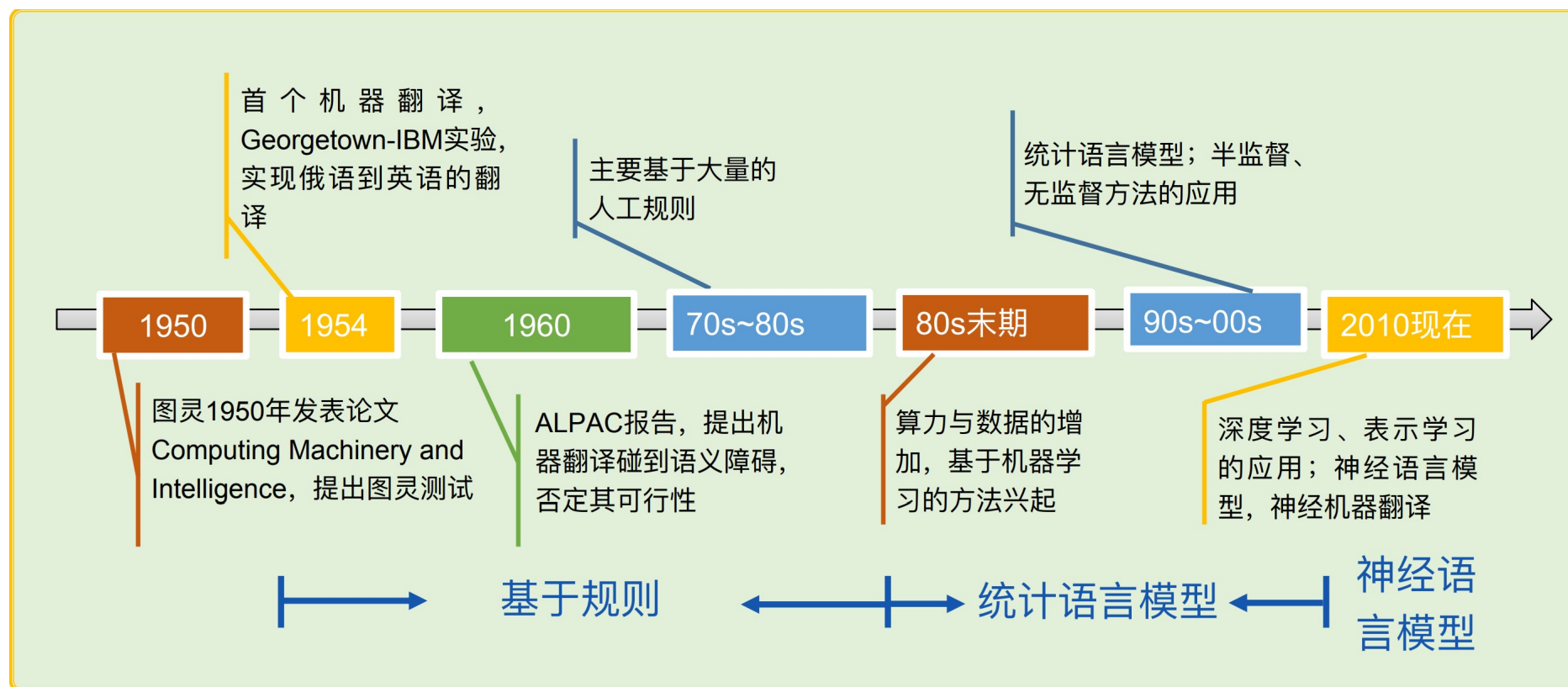
February 6, 2022

Contents

- Introduction to NLP
- Word Embedding and RNNs
- Attention and Transformers
- Self-supervised Learning
- Pre-trained Language Models

What is NLP?

- Natural Language Processing



Task 1: PoS Tagging

A dog is a very common four-legged animal that is often kept by people as a pet or to guard or hunt .

Adjective

Adverb

Conjunction

Determiner

Noun

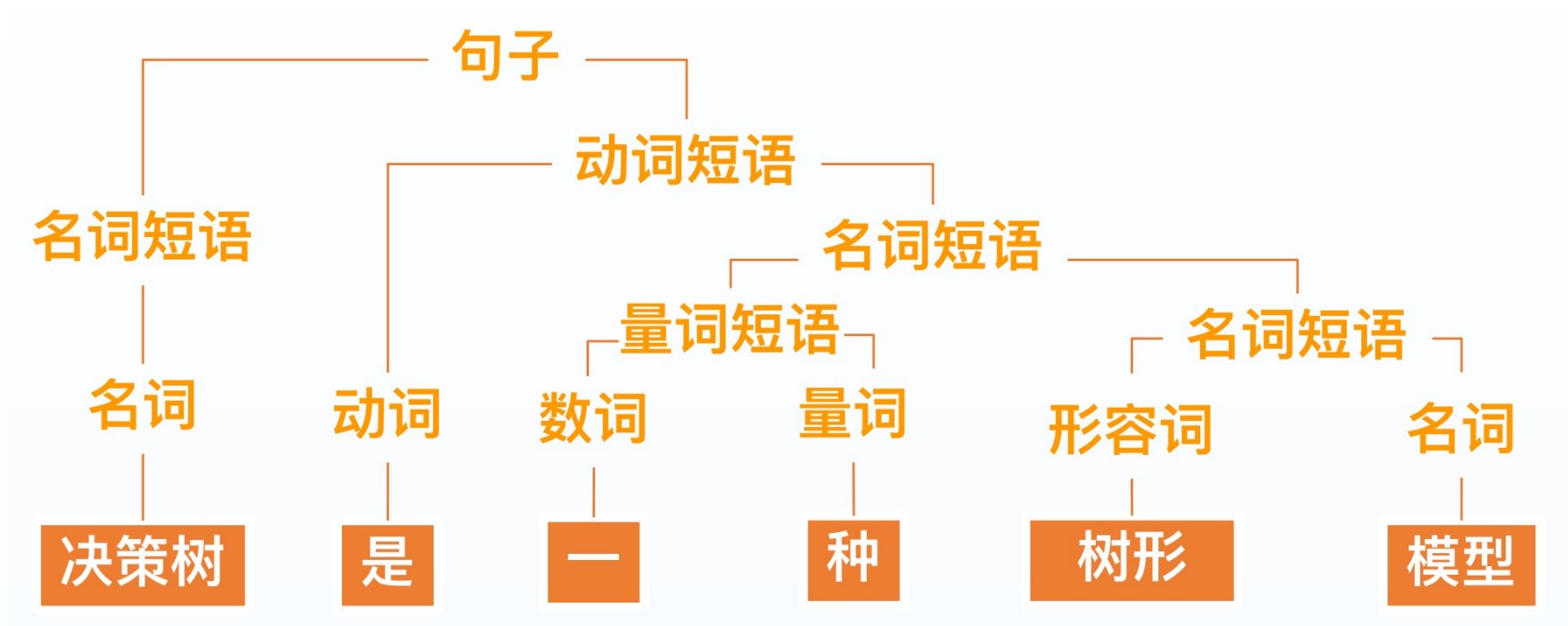
Number

Preposition

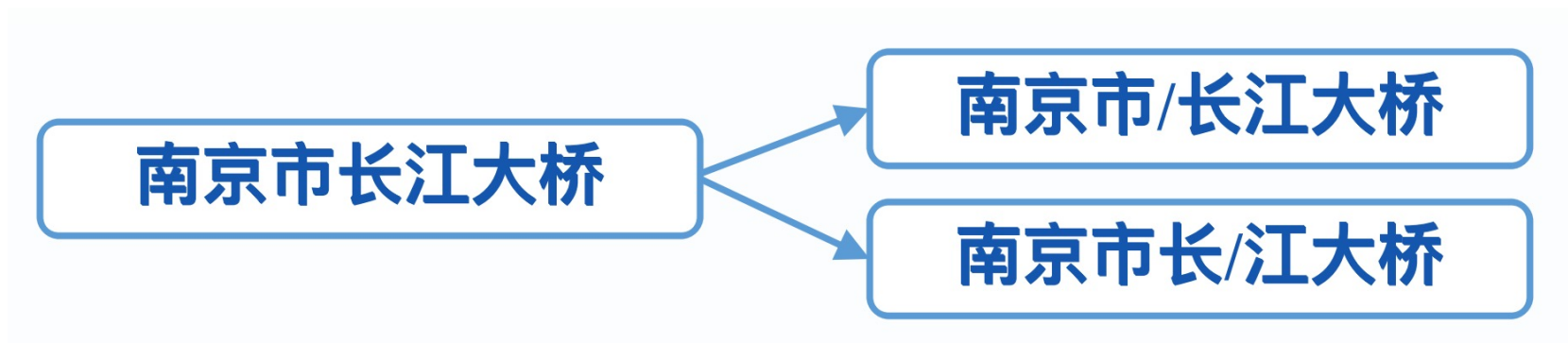
Pronoun

Verb

Task 2: Parsing



Task 3: Tokenization



Task 4: Machine Translation



Task 5: Named Entity Recognition

Obama is the president of the United States

Jim bought 300 shares of Acme Corp. in 2006

Task 6: Text Classification

- ✓ 垃圾邮件过滤
- ✓ 情感识别
- ✓ 新闻分类
- ✓ 色情文档识别

Task 7: Question Answering

Big Oak Tree State Park is a state - owned nature preserve ... in the Mississippi Alluvial Plain portion of the **Gulf Coastal Plain**.

The **Gulf Coastal Plain** extends around the Gulf of Mexico in the **Southern United States**...

The **Southern United States**, commonly referred to as the American South, Dixie, or simply the South, is a region of the **United States of America**.

Q: (**Big Oak Tree State Park**, located in, ?)

A: **United States of America**

Task 8: Sentiment Analysis

- ✓ 《复联3》是一部史无前例的电影
- ✓ 让人有些绝望的电影结局
- ✓ MIUI8系统还算流畅，功能多，人性化，但是广告不能完全关闭

Task 9: Coreference Resolution

- ✓ 甲队打败了乙队，他们更强
- ✓ 虽然甲队打败了乙队，但他们都很强

Contents

- Introduction to NLP
- Word Embedding and RNNs
- Attention and Transformers
- Self-supervised Learning
- Pre-trained Language Models

Why word embedding?

One-hot Encoding

<i>apple</i>	=	$[1\ 0\ 0]$
<i>banana</i>	=	$[0\ 1\ 0]$
<i>pineapple</i>	=	$[0\ 0\ 1]$

- Problems
 - Embedding size
 - transductive
 - No meaning in it

motel = [0 0 0 0 0 0 0 0 0 0 0 0 1 0 0 0 0]

hotel = [0 0 0 0 0 0 0 0 0 1 0 0 0 0 0 0 0]

Idea

- “You shall know a word by the company it keeps.”

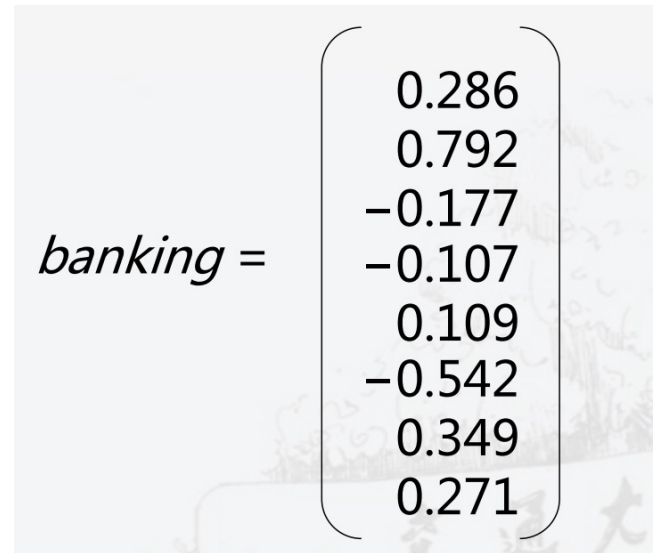
*...government debt problems turning into **banking** crises as happened in 2009...*

*...saying that Europe needs unified **banking** regulation to replace the hodgepodge...*

*...India has just given its **banking** system a shot in the arm...*

Word Embedding

- Distributed embedding
 - Dense vector
 - Word vector
 - Word representation
 - Distributed representation
-
- Limited dimension / word meaning included



banking = $\begin{bmatrix} 0.286 \\ 0.792 \\ -0.177 \\ -0.107 \\ 0.109 \\ -0.542 \\ 0.349 \\ 0.271 \end{bmatrix}$

Word2Vec

- “You shall know a word by the company it keeps”
 - CBOW
 - Skip-gram

CBOW

- Continuous bag of words

1. Generate one-hot word vectors for the input context of size m:

$$(x^{c-m}, \dots, x^{c-1}, x^{c+1}, \dots, x^{c+m} \in R^{|V|}).$$

2. Get embedded word vectors for the context.

$$v_{c-m} = x^{c-m} \cdot W, \dots, v_{c+m} = x^{c+m} \cdot W \in R^N$$

3. Average context word vectors get \hat{v} .

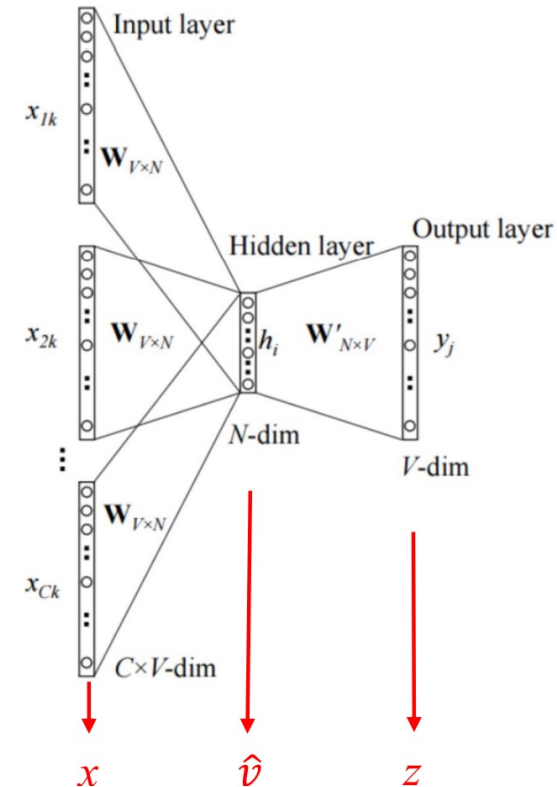
$$\hat{v} = \frac{v_{c-m} + \dots + v_{c+m}}{2m} \in R^N$$

4. Generate a score vector z.

$$z = \hat{v} \cdot W' \in R^{|V|}$$

5. Turn the score vector into probabilities \hat{y} .

$$\hat{y} = \text{softmax}(z)$$



Skip-gram

1. Generate one-hot input vector $x \in R^{|V|}$ of the center word.

2. Get embedded word vectors for the center word.

$$v_c = x \cdot W \in R^N$$

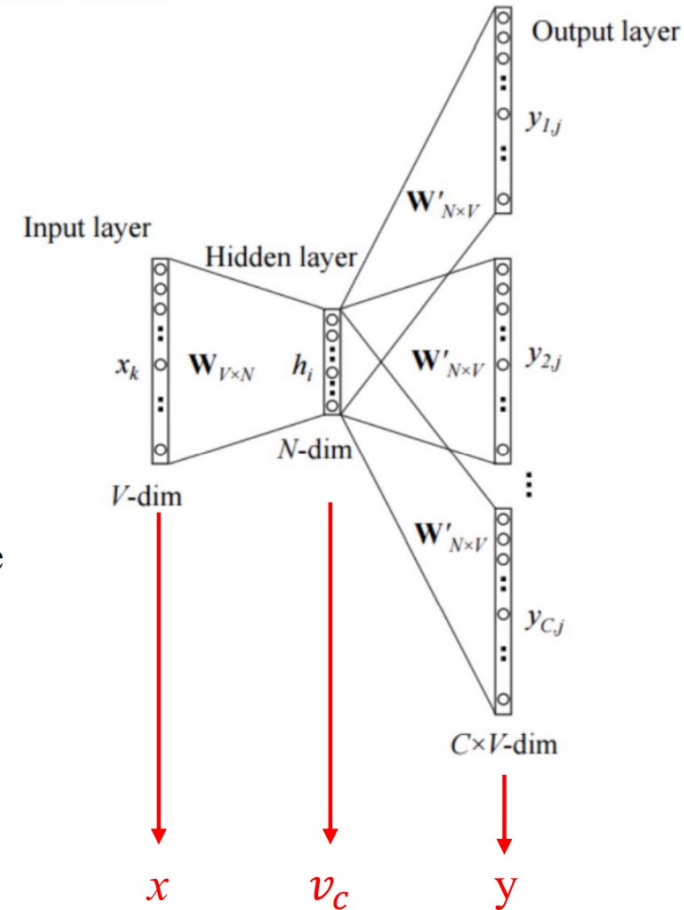
3. Generate a score vector z .

$$z = v_c \cdot W'$$

4. Turn the score vector into probabilities \hat{y} .

$$\hat{y} = \text{softmax}(z)$$

5. Note that $\hat{y}_{c-m}, \dots, \hat{y}_{c-1}, \hat{y}_{c+1}, \dots, \hat{y}_{c+m}$ are the probabilities of observing context word.



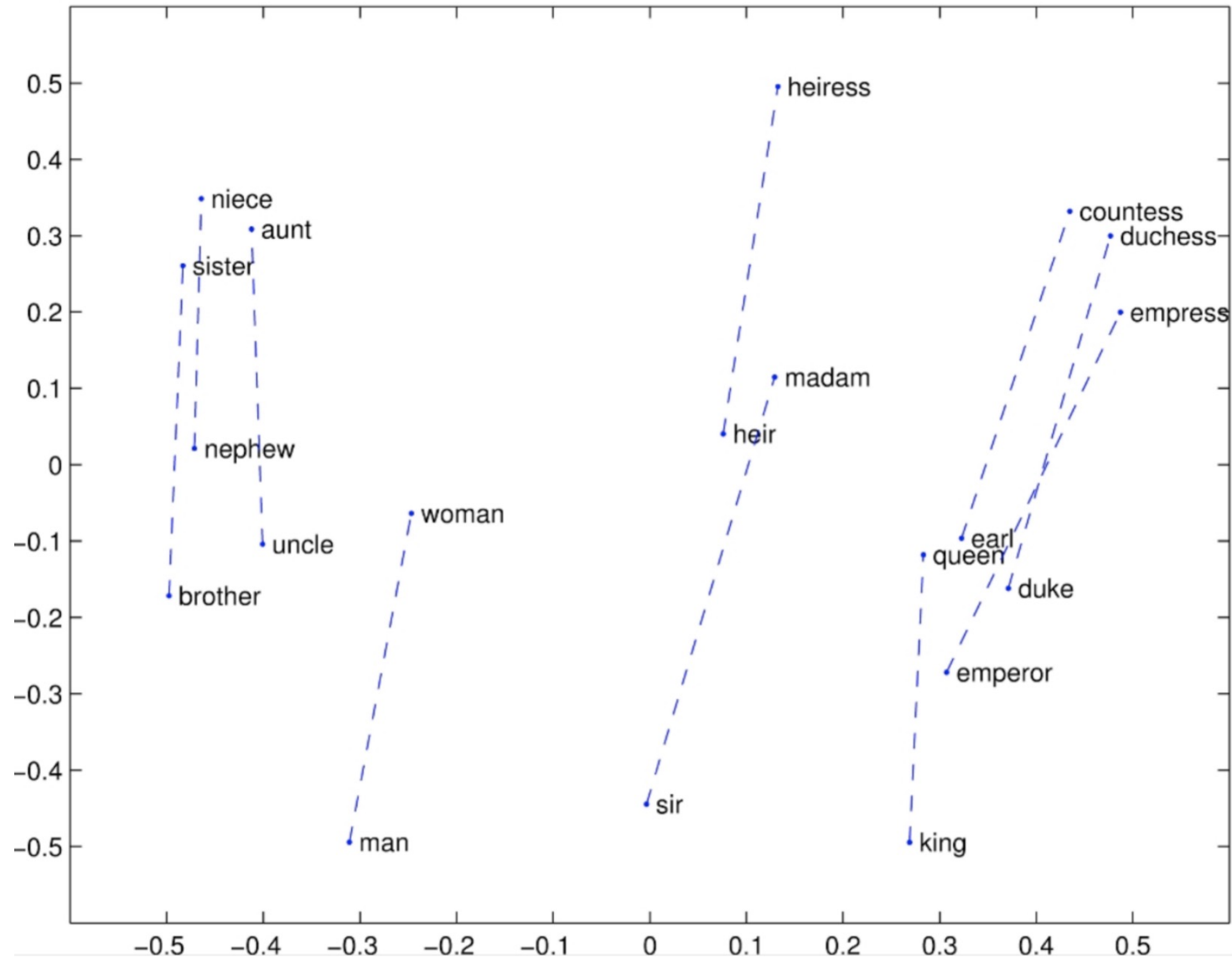
Summary of Word2Vec

- [illegible]

How do we evaluate word embeddings?

- Intrinsic evaluation
- Extrinsic evaluation

Intrinsic Evaluation



Extrinsic Evaluation

- Use word vectors on downstream tasks

Glove

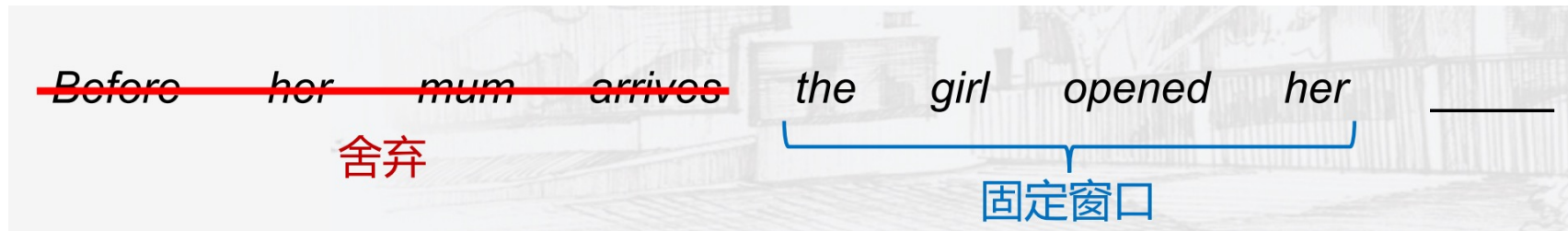
- Word embeddings by Christopher Manning @ Stanford
- Global Vectors for Word Representations
- <https://nlp.stanford.edu/projects/glove/>
- Download
 - Code
 - Trained word embeddings

Language Model (LM)

- The girl opened her _____
 - Laptop
 - Books
 - ...
- A language model tries to **predict the next word (token)** given the previous token sequence.

$$P(x^{(t+1)} | x^{(t)}, \dots, x^{(1)})$$

Context window



Linear Layer LM

- Problem

- Window size
- W parameter size
- Never enough W

输出层

$$\hat{y} = \text{softmax}(Uh + b_2) \in \mathbb{R}^{|V|}$$

隐藏层

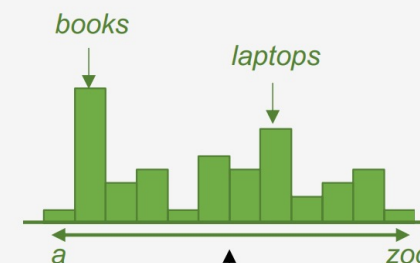
$$h = f(We + b_1)$$

连接嵌入式词向量 (word embeddings)

$$e = [e^{(1)}; e^{(2)}; e^{(3)}; e^{(4)}]$$

词向量 (one-hot、分布式表示)

$$x^{(1)}, x^{(2)}, x^{(3)}, x^{(4)}$$



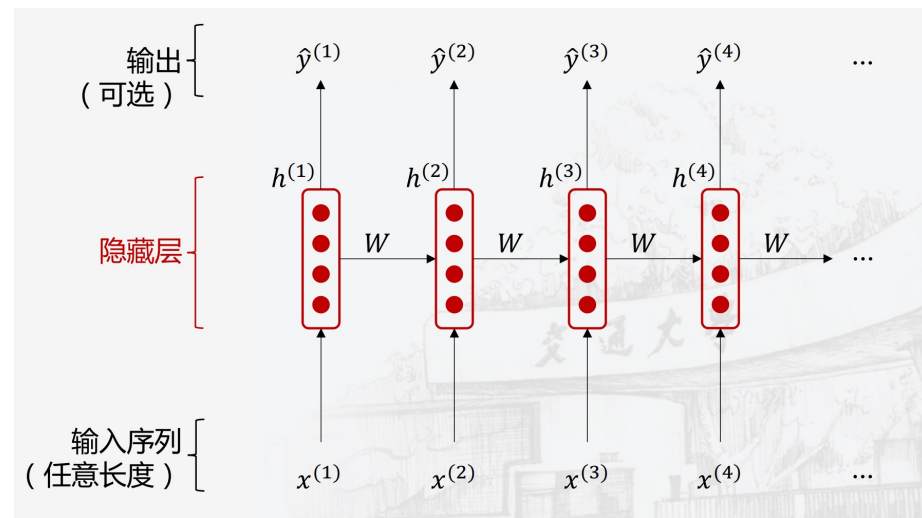
U

W

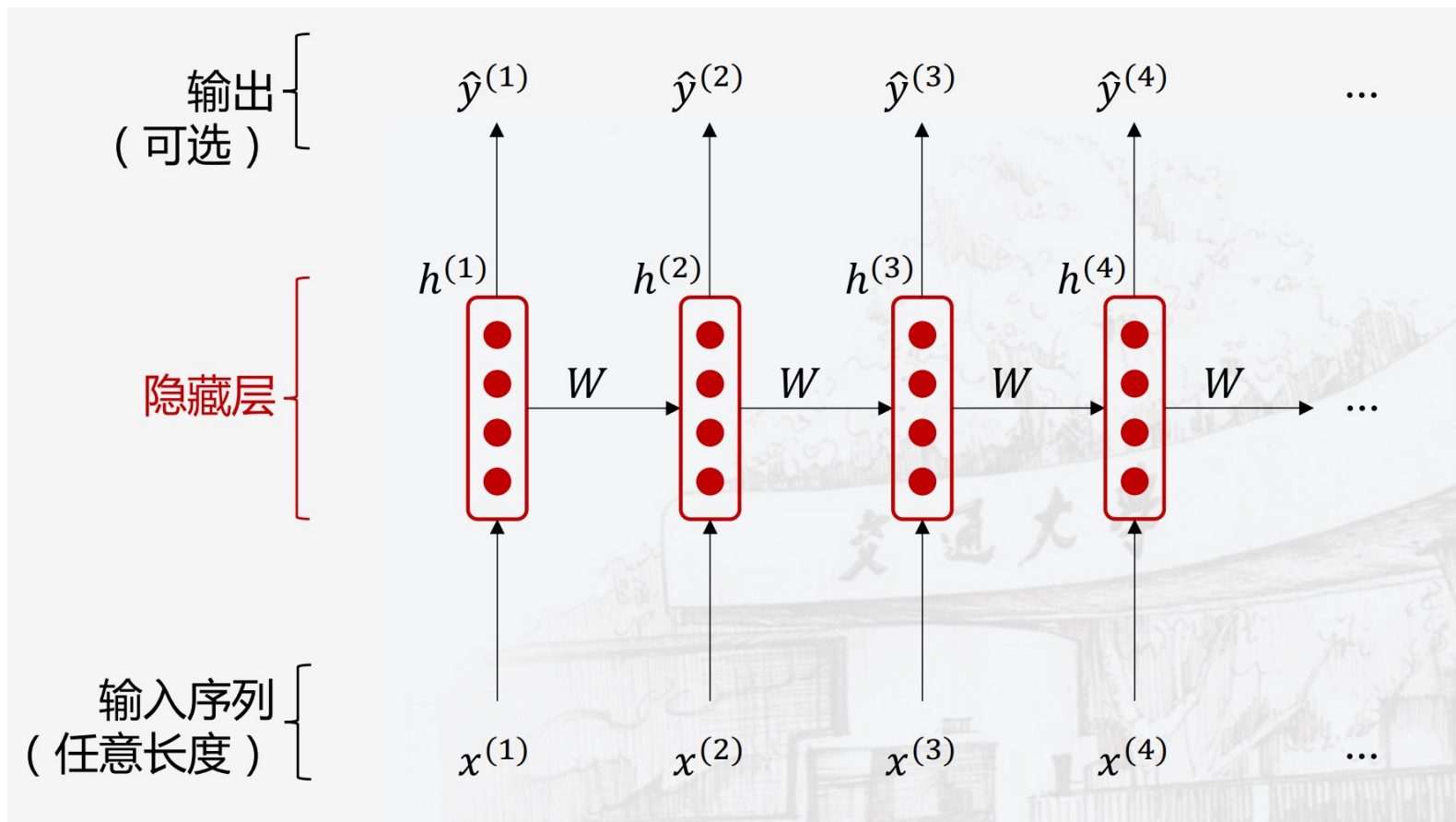
the girl opened her

$x^{(1)}$ $x^{(2)}$ $x^{(3)}$ $x^{(4)}$

Recurrent Neural Networks (RNNs)



Recurrent Neural Networks (RNNs)



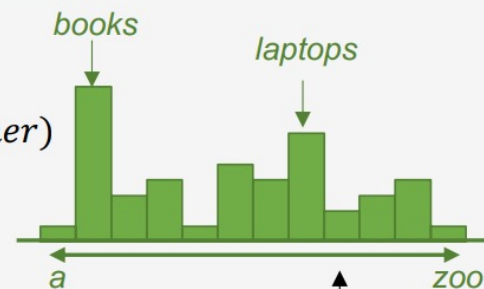
基于递归神经网络的LM

RNN LM

优点

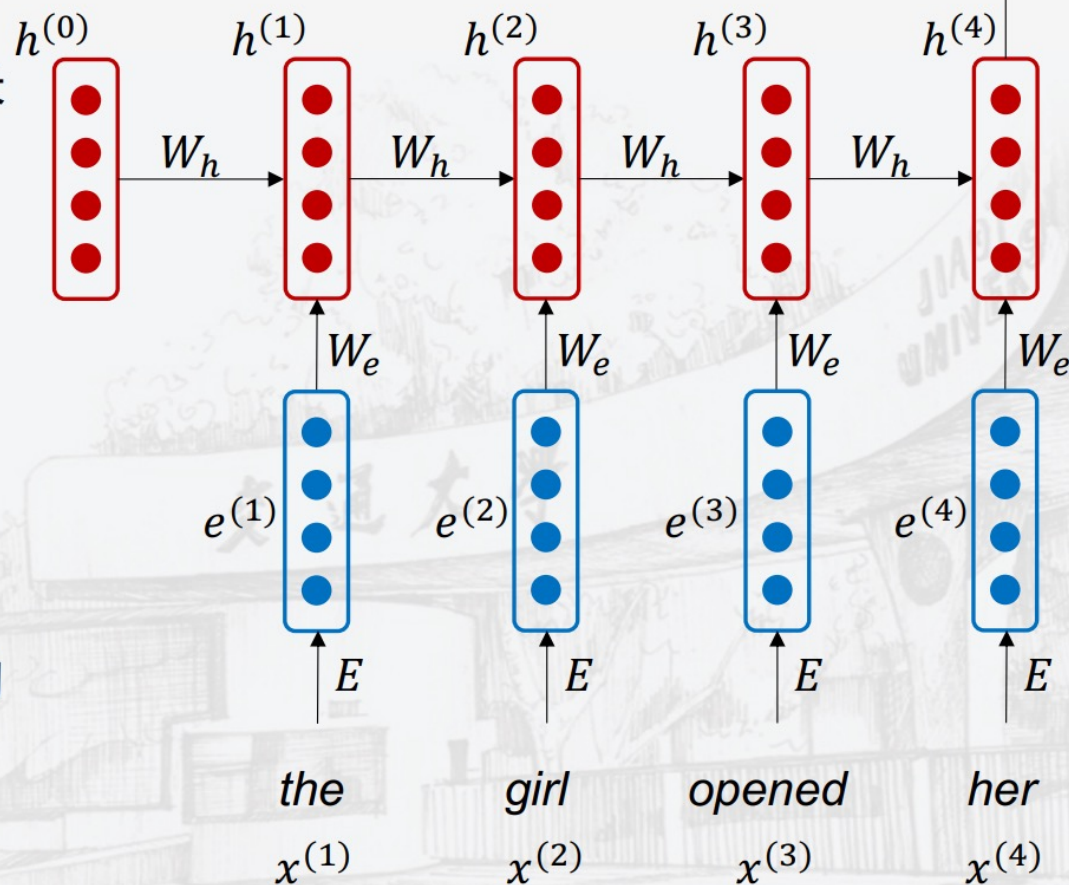
- 可处理任意长度句子；
- 第 t 步的计算（理论上）使用了前面多步的信息；
- 模型体量不随着输入变长而增加；
- 每一步使用同一个 W ，降低计算量。

$$\hat{y}^{(4)} = P(x^{(5)} | \text{the girl opened her})$$



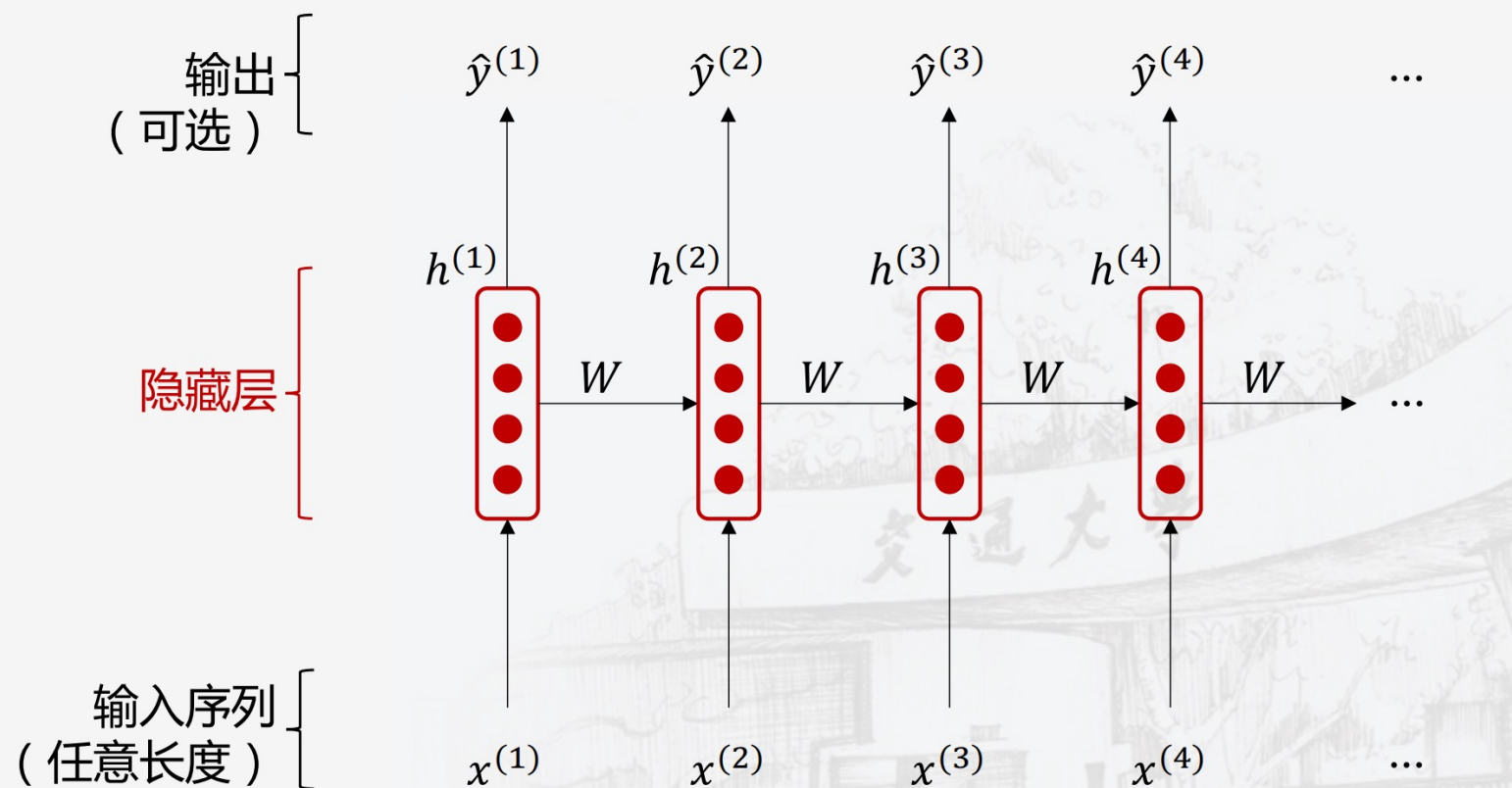
缺点

- 递归计算缓慢；
- 实际上，将前面很多步的信息完整传递是困难的。



Important Issues

- When do we initialize W ?
- When do we initialize $h(0)$?



Text Classification with RNN

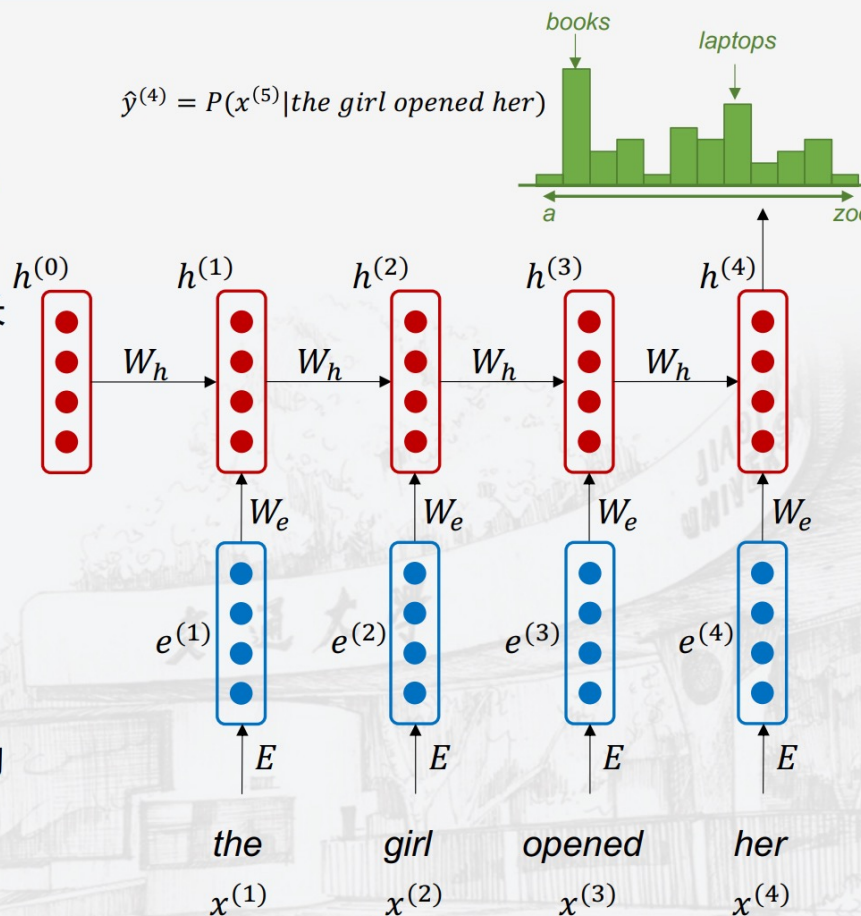
基于递归神经网络的LM

优点

- 可处理任意长度句子；
- 第 t 步的计算（理论上）使用了前面多步的信息；
- 模型体量不随着输入变长而增加；
- 每一步使用同一个 W ，降低计算量。

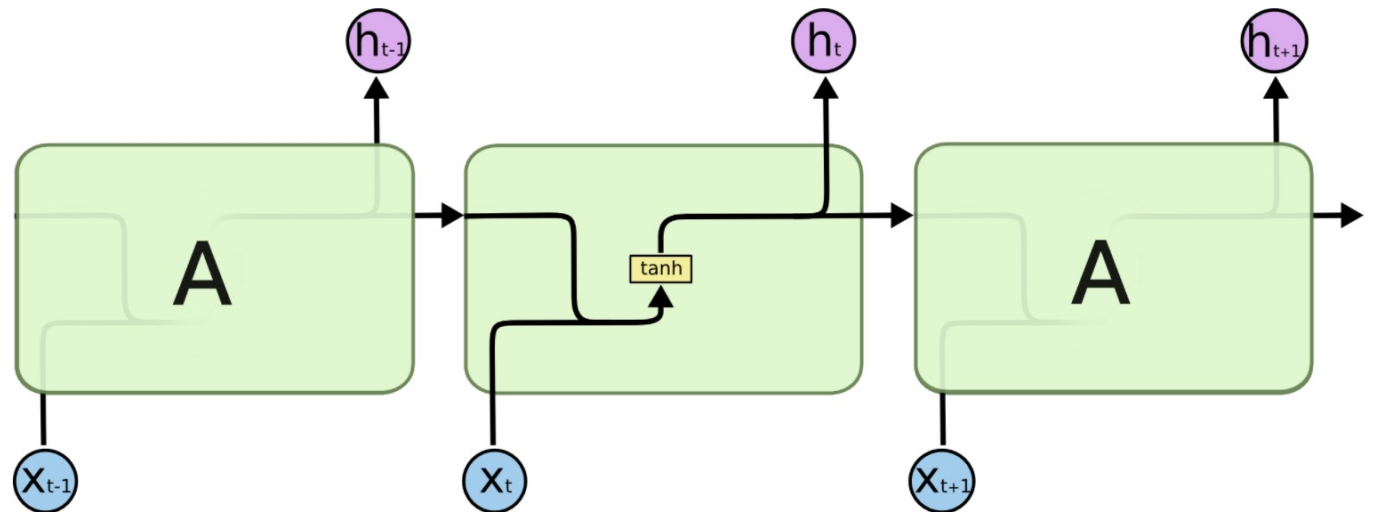
缺点

- 递归计算缓慢；
- 实际上，将前面很多步的信息完整传递是困难的。



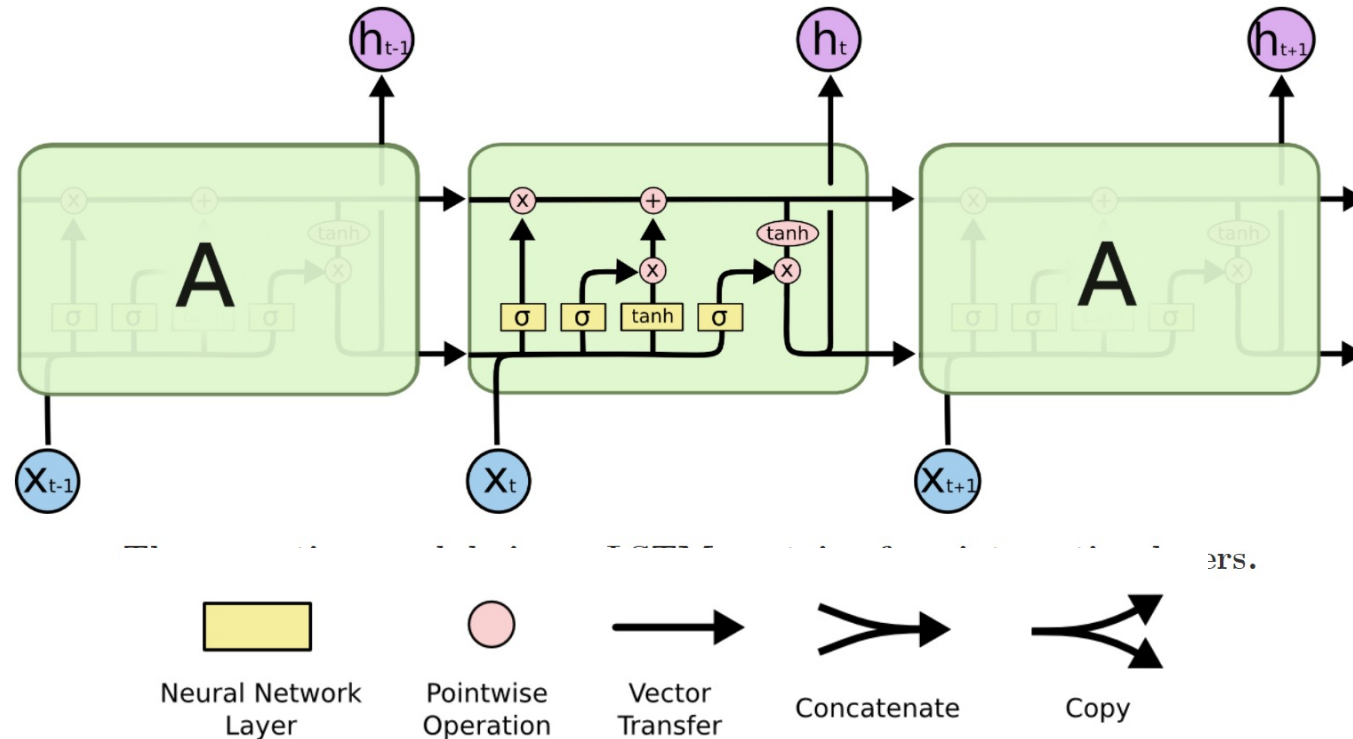
RNN Problems

- Long-term dependencies
- Vanishing gradient problem



LSTM comes to the rescue

- Long short-term memory



Summary

- NLP tasks
- “You shall know a word by the company it keeps”
- Word2Vec
 - CBOW
 - Skip-gram
- Language Model
- RNN and LSTM

Project Tip

- Given textual input and word embeddings,
 - Approach A: average word embeddings as language representation
 - Approach B: RNNs + word embeddings
- A or B?
- Issues
 - OOV (out-of-vocabulary)
 - Happy, happier, happiest, ...
 - .,?/~!@
 - ...

Thx for Attention

Shangbin Feng

LUD Lab, Xi'an Jiaotong University

wind_binteng@stu.xjtu.edu.cn

February 6, 2022