This is the Project Title

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Abstract

Formal development of Frank.

Chapter 1 Formalisation of Frank

D
X
E
$A,B ::= D \overline{R}$
$ \{C\} X$
$C ::= \overline{T o G}$
$T::=\langle\Delta angle A$
$G::=[\Sigma]A$
$Z ::= X \mid [E]$
$R ::= A \mid [\Sigma]$
$P ::= \forall \overline{Z}.A$
I
x, y, z, f
s, a, b, c
$\sigma ::= \emptyset \mid E$
$\Sigma ::= \sigma \Xi$
$\Xi ::= \iota \mid \Xi, I \ \overline{R}$
$\Theta ::= \iota \mid \Theta, I(S \to S')$
$\Delta ::= \Theta \Xi$
$S ::= s \mid S a$
$\Phi,\Psi ::= \cdot \mid \Phi,Z$
$\Gamma ::= \cdot \mid \Gamma, x : A \mid \Gamma, f : P$
$\Omega ::= s : \Sigma \mid \Omega, a : I \overline{R}$

Figure 1.1: Types

```
\begin{array}{ll} \text{(constructors)} & k \\ \text{(commands)} & c \\ \text{(uses)} & m ::= x \mid f \ \overline{R} \mid m \ \overline{n} \mid \uparrow (n : A) \\ \text{(constructions)} & n ::= \downarrow m \mid k \ \overline{n} \mid c \ \overline{R} \ \overline{n} \mid \{e\} \\ & \mid \ \text{let} \ f : P = n \ \text{in} \ n' \mid \ \text{letrec} \ \overline{f : P = e} \ \text{in} \ n \\ & \mid \ \langle \Theta \rangle \ n \\ \text{(computations)} & e ::= \overline{r} \mapsto n \\ \text{(computation patterns)} & r ::= p \mid \langle c \ \overline{p} \rightarrow z \rangle \mid \langle x \rangle \\ \text{(value patterns)} & p ::= k \ \overline{p} \mid x \end{array}
```

Figure 1.2: Terms

$$\frac{\Phi; \Gamma[\Sigma] - m \Rightarrow A}{T - VAR} \qquad T - POLY VAR \\
\frac{x : A \in \Gamma}{\Phi; \Gamma[\Sigma] - x \Rightarrow A} \qquad \frac{\Phi \vdash \overline{R} \qquad f : \forall \overline{Z}.A \in \Gamma}{\Phi; \Gamma[\Sigma] - f \ \overline{R} \Rightarrow A[\overline{R}/\overline{Z}]}$$

$$\frac{T - APP}{T - APP} \qquad \frac{\Sigma' = \Sigma}{\Phi; \Gamma[\Sigma] - m \Rightarrow \{\overline{\langle \Delta \rangle}A \to [\Sigma']B\} \qquad (\Phi; \Gamma[\Sigma'] - n_i : A_i)_i}{\Phi; \Gamma[\Sigma] - m \ \overline{n} \Rightarrow B} \qquad \frac{T - ASCRIBE}{\Phi; \Gamma[\Sigma] - n : A}$$

$$\Phi;\Gamma[\Sigma \vdash n:A]$$

$$\frac{\text{T-SWITCH}}{\Phi; \Gamma[\Sigma \vdash m \Rightarrow A \qquad A = B]} \qquad \frac{\text{T-Data}}{k \, \overline{A} \in D \, \overline{R} \qquad (\Phi; \Gamma[\Sigma \vdash n_j : A_j)_j}{\Phi; \Gamma[\Sigma \vdash \downarrow m : B]}$$

T-LETREC

$$(P_{i} = \forall \overline{Z}_{i}.\{C_{i}\})_{i} \qquad \text{T-ADAPT}$$

$$(\Phi, \overline{Z}_{i}; \Gamma, \overline{f} : P \vdash e_{i} : C)_{i} \qquad \Phi; \Gamma, \overline{f} : P \sqsubseteq n : B \qquad \Sigma \vdash \Theta \dashv \Sigma' \qquad \Phi; \Gamma \sqsubseteq n : A$$

$$\Phi; \Gamma \sqsubseteq \vdash \text{letrec } \overline{f} : P = e \text{ in } n : B \qquad \Phi; \Gamma \sqsubseteq \vdash \langle \Theta \rangle \ n : A$$

$$\Phi;\Gamma \vdash e:C$$

T-COMP

$$\frac{(\Phi \vdash r_{i,j} : T_j \neg [\Sigma] \exists \Psi_{i,j} . \Gamma'_{i,j})_{i,j}}{(\Phi, (\Psi_{i,j})_j ; \Gamma, (\Gamma'_{i,j})_j [\Sigma \vdash n_i : B)_i \qquad ((r_{i,j})_i \text{ covers } T_j)_j}{\Phi; \Gamma \vdash ((r_{i,j})_i \mapsto n_i)_i : (T_i \to)_j [\Sigma]B}$$

Figure 1.3: Term Typing Rules

(uses)
$$m ::= \cdots \mid \lceil \mathcal{E}[c \ \overline{R} \ \overline{w}] \rceil$$

(constructions) $n ::= \cdots \mid \lceil \mathcal{E}[c \ \overline{R} \ \overline{w}] \rceil$
(use values) $u ::= x \mid f \ \overline{R} \mid \uparrow(v : A)$
(non-use values) $v ::= k \ \overline{w} \mid \{e\}$
(construction values) $w ::= \downarrow u \mid v$
(normal forms) $t ::= w \mid \lceil \mathcal{E}[c \ \overline{R} \ \overline{w}] \rceil$
(evaluation frames) $\mathcal{F} ::= [\] \ \overline{n} \mid u \ (\overline{t}, [\], \overline{n}) \mid \uparrow([\] : A)$
 $| \ \downarrow[\] \mid k \ (\overline{w}, [\], \overline{n}) \mid c \ \overline{R} \ (\overline{w}, [\], \overline{n})$
 $| \ \text{let} \ f : P = [\] \ \text{in} \ n \mid \langle \Theta \rangle \ [\]$
(evaluation contexts) $\mathcal{E} ::= [\] \mid \mathcal{F}[\mathcal{E}]$

Figure 1.4: Runtime Syntax

$$\begin{array}{c} \Phi; \Gamma[\underline{\Sigma} \vdash m \Rightarrow A] & \Phi; \Gamma[\underline{\Sigma} \vdash n : A] \\ \\ & \frac{\text{T-Freeze-Use}}{\neg (\mathcal{E} \text{ handles } c)} & \Phi; \Gamma[\underline{\Sigma} \vdash \mathcal{E}[c \ \overline{R} \ \overline{w}] \Rightarrow A \\ \\ & \frac{\Phi; \Gamma[\underline{\Sigma} \vdash \lceil \mathcal{E}[c \ \overline{R} \ \overline{w}] \rceil \Rightarrow A}{} \\ \\ & \frac{\text{T-Freeze-Cons}}{\neg (\mathcal{E} \text{ handles } c)} & \Phi; \Gamma[\underline{\Sigma} \vdash \mathcal{E}[c \ \overline{R} \ \overline{w}] : A \\ \\ & \frac{\Phi; \Gamma[\underline{\Sigma} \vdash \lceil \mathcal{E}[c \ \overline{R} \ \overline{w}] \rceil : A} \end{array}$$

Figure 1.5: Frozen Commands

Figure 1.6: Operational Semantics

$$r: T \leftarrow t - [\Sigma] \theta$$

B-VALUE

$$\Sigma \vdash \Delta \dashv \Sigma'$$

$$p: A \leftarrow w \dashv \theta$$

$$p: \langle \Delta \rangle A \leftarrow w \dashv \Sigma \mid \theta$$

B-REQUEST

$$\begin{array}{c|c}
\Sigma \vdash \Delta \dashv \Sigma' & \mathcal{E} \text{ poised for } c \\
\Delta = \Theta \mid \Xi & c : \forall \overline{Z}.\overline{B \to} B' \in \Xi & (p_i : B_i \leftarrow w_i \dashv \theta_i)_i \\
\hline
\langle c \ \overline{p} \to z \rangle : \langle \Delta \rangle A \leftarrow \lceil \mathcal{E}[c \ \overline{R} \ \overline{w}] \rceil \dashv \Sigma \rceil \ \overline{\theta}[\uparrow(\{x \mapsto \mathcal{E}[x]\} : \{B' \to [\Sigma']A\})/z] \\
B-CATCHALL-VALUE \\
\underline{\Sigma \vdash \Delta \dashv \Sigma'} \\
\hline
\langle x \rangle : \langle \Delta \rangle A \leftarrow w \dashv \Sigma \rceil \ [\uparrow(\{w\} : \{[\Sigma']A\})/x]
\end{array}$$
B-CATCHALL-REQUEST

$$\begin{array}{ccc} \Sigma \vdash \Delta \dashv \Sigma' & \mathcal{E} \text{ poised for } c \\ \Delta = \Theta \mid \Xi & c : \forall \overline{Z}.\overline{B \to} B' \in \Xi \\ \hline \langle x \rangle : \langle \Delta \rangle A \leftarrow \lceil \mathcal{E}[c \ \overline{R} \ \overline{w}] \rceil \dashv_{\Sigma} \lceil \uparrow (\{\lceil \mathcal{E}[c \ \overline{R} \ \overline{w}] \rceil\} : \{\lceil \Sigma' \rceil A\}) / x \rceil \end{array}$$

$$p: A \leftarrow w \dashv \theta$$

$$\frac{\text{B-DATA}}{x : A \leftarrow w \dashv [\uparrow(w : A)/x]} \qquad \frac{k \, \overline{A} \in D \, \overline{R}}{k \, \overline{A} \in D \, \overline{R}} \qquad (p_i : A_i \leftarrow w_i \dashv \theta_i)_i}{k \, \overline{p} : D \, \overline{R} \leftarrow k \, \overline{w} \dashv \overline{\theta}}$$

Figure 1.7: Pattern Binding

Chapter 2

Arbitrary Thread Interruption

2.1 Motivation

One important part of our asynchronous effect handling system is the ability to interrupt arbitrary computations. This is essential for pre-emptive concurrency, which relies on being able to suspend computations for resumption later.

For instance, consider the two programs below;

We ideally want a multihandler that can run these two programs in parallel, such that the result will be 1 stop 1 2 stop 2 3 stop 3; that is to say, the stop and go operations from

2.2 Interruption with Yields

One way we can get this behaviour is using the Yield interface. This offers a single operation, yield: Unit. With this, we can write a multihandler suspend;

```
runner : {[Console, Yield] Unit}
runner! = print "1 "; yield!; print "2 "; yield!; print "3 ";
  yield!
```

```
TODO: Maybe make more concise?

TODO: change to not be letter l cos it looks like a 1
```

Running suspend runner! controller! nothing then prints out 1 stop 1 2 stop 2 3 stop 3 as desired. So far so good; this works as planned. However, observe that we had to change the code of the original runner program to yield every time it prints. We would rather not have this requirement; the threads should be suspendable without knowing in advance they will be suspended, and thus without needing to explicitly yield. Furthermore, see that the yield operation adds no more information; it is just used as a placeholder operation; any operation would work. As such, we keep searching for a better solution.

2.3 Relaxing Catches

The key to this lies in the catchall pattern, $\langle x \rangle$, and the pattern binding rules of Figure 1.7.

Recall that the catchall pattern $\langle x \rangle$ matches either a value — e.g. unit — or a command that is handled in the surrounding ability. For instance, the pattern $\langle k \rangle$ in the code above matches either unit or $\langle yield \rangle \rangle k \rangle$. The variable k is then bound to whatever this match is, leaving the (potentially) invoked effect unhandled. This is expressed in the B-CATCHALL-REQUEST rule in Figure 1.7.

Important to note is that only effects that are handled in that position are able to be caught. runner also makes use of the print effect, but these are not able to be caught by the catchall command. Formally, this is due to the fourth requirement of B-CATCHALL-REQUEST; that the command c that is invoked is a member of E.

As such, we propose to remove this constraint from B-CATCHALL-REQUEST. The

B-CATCHALL-REQUEST-LOOSE

$$\frac{\Sigma \vdash \Delta \dashv \Sigma'}{\langle x \rangle : \langle \Delta \rangle A \leftarrow \lceil \mathcal{E}[c \ \overline{R} \ \overline{w}] \rceil \dashv_{\Sigma} \left[\uparrow (\{ \lceil \mathcal{E}[c \ \overline{R} \ \overline{w}] \rceil \} : \{ [\Sigma']A \}) / x \right]}$$

Figure 2.1: Updated B-CATCHALL-REQUEST

resulting rule can then be seen in Figure 2.1. This lets us update the previous suspend code to the following, which yields the same results as last time;

```
runner : {[Console] Unit}
runner! = print "1 "; print "2 "; print "3 "

suspend : {Unit -> <Stop, Go> Unit -> Maybe {[Console] Unit}
    -> [Console] Unit}

suspend <k> <stop -> 1> _ = suspend unit (1 unit) (
    just {k unit})

suspend <k> <go -> 1> (just res) = suspend (res!) (1 unit)
    nothing

suspend unit _ _ = unit
suspend _ unit _ = unit
```

TODO: Verify that this particular example really works.

Why does this work?

```
TODO: explain
```

2.4 Interrupting Arbitrary Terms

The approach of Section 2.3 can only interrupt command invocations. If runner were instead a sequence of pure computations¹, we would be unable to interrupt it; it does not invoke commands.

As such, we need to further change the pattern binding rules of Figure 1.7. This is to let us interrupt arbitrary computation terms. In Figure 2.2, we see an updated version of the runtime syntax; this allows for the suspension of arbitrary *uses*, being function applications and constructions

```
TODO: verify that uses are "just" these
```

¹I.e. runner! = 1 + 1; 1 + 1; 1 + 1; ...

```
(uses) m ::= \cdots \mid \lceil \mathcal{E}[c \ \overline{R} \ \overline{w}] \rceil

(constructions) n ::= \cdots \mid \lceil \mathcal{E}[c \ \overline{R} \ \overline{w}] \rceil

(use values) u ::= x \mid f \ \overline{R} \mid \uparrow(v : A)

(non-use values) v ::= k \ \overline{w} \mid \{e\}

(construction values) w ::= \downarrow u \mid v

(normal forms) t ::= w \mid \lceil \mathcal{E}[c \ \overline{R} \ \overline{w}] \rceil \mid !m

(evaluation frames) \mathcal{F} ::= [\ ] \ \overline{n} \mid u \ (\overline{t}, [\ ], \overline{n}) \mid \uparrow([\ ] : A)

\mid \quad \downarrow[\ ] \mid k \ (\overline{w}, [\ ], \overline{n}) \mid c \ \overline{R} \ (\overline{w}, [\ ], \overline{n})

\mid \quad \text{let } f : P = [\ ] \ \text{in } n \mid \langle \Theta \rangle \ [\ ]

(evaluation contexts) \mathcal{E} ::= [\ ] \mid \mathcal{F}[\mathcal{E}]
```

Figure 2.2: Runtime Syntax, Updated with Suspension of Uses

Appendix A Remaining Formalisms

Figure A.1: Action of an Adjustment on an Ability and Auxiliary Judgements

$$X ::= A \mid C \mid T \mid G \mid Z \mid R \mid P \mid \sigma \mid \Sigma \mid \Xi \mid \Theta \mid \Delta \mid \Gamma \mid \exists \Psi.\Gamma \mid \Omega$$

$$\frac{\Phi \vdash X}{\Phi \vdash X}$$

$$\frac{WF\text{-Val}}{\Phi, X \vdash X}$$

$$\frac{WF\text{-Eff}}{\Phi, [E] \vdash E}$$

$$\frac{WF\text{-Poly}}{\Phi \vdash \forall \overline{Z}.A}$$

$$\frac{WF\text{-Data}}{\Phi \vdash D\overline{R}}$$

$$\frac{WF\text{-Thunk}}{\Phi \vdash C}$$

$$\frac{(\Phi \vdash T)_i}{\Phi \vdash D\overline{R}}$$

$$\frac{\Phi \vdash C}{\Phi \vdash \{C\}}$$

$$\frac{(\Phi \vdash T)_i}{\Phi \vdash D\overline{R}}$$

$$\frac{WF\text{-Arag}}{\Phi \vdash \Delta}$$

$$\frac{WF\text{-Arag}}{\Phi \vdash \Delta}$$

$$\frac{WF\text{-Billity}}{\Phi \vdash D\overline{R}}$$

$$\frac{WF\text{-Pure}}{\Phi \vdash D\overline{R}}$$

$$\frac{WF\text{-Pure}}{\Phi \vdash D\overline{R}}$$

$$\frac{WF\text{-Did}}{\Phi \vdash D\overline{R}}$$

$$\frac{WF\text{-Poly}}{\Phi \vdash C}$$

$$\frac{WF\text{-Poly}}{\Phi \vdash \Gamma, x : A}$$

$$\frac{WF\text{-Poly}}{\Phi \vdash \Gamma, f : P}$$

$$\frac{WF\text{-Existential}}{\Phi \vdash D\overline{R}}$$

$$\frac{WF\text{-Interface}}{\Phi \vdash D, x : I\overline{R}}$$

Figure A.2: Well-Formedness Rules

$$\begin{array}{ll} \Phi \vdash p : A \dashv \Gamma \\ \hline \\ \Phi \vdash x : A \dashv x : A \\ \hline \\ \Phi \vdash r : T \dashv \Sigma \end{bmatrix} \exists \Psi. \Gamma \\ \hline \\ P-VALUE \\ \Sigma \vdash \Delta \dashv \Sigma' \qquad \Phi \vdash p : A \dashv \Gamma \\ \hline \\ \Phi \vdash p : \langle \Delta \rangle A \dashv \Sigma \end{bmatrix} \qquad \begin{array}{l} P-DATA \\ \underline{k \, \overline{A} \in D \, \overline{R}} \qquad (\Phi \vdash p_i : A_i \dashv \Gamma)_i \\ \hline \\ \Phi \vdash k \, \overline{p} : D \, \overline{R} \dashv \overline{\Gamma} \\ \hline \\ P-CATCHALL \\ \underline{\Sigma \vdash \Delta \dashv \Sigma'} \qquad \Phi \vdash p : A \dashv \Gamma \\ \hline \\ \Phi \vdash p : \langle \Delta \rangle A \dashv \Sigma \end{bmatrix} \qquad \begin{array}{l} P-CATCHALL \\ \underline{\Sigma \vdash \Delta \dashv \Sigma'} \\ \hline \\ \Phi \vdash \langle x \rangle : \langle \Delta \rangle A \dashv \Sigma \end{bmatrix} x : \{ [\Sigma']A \} \\ \hline \\ P-COMMAND \\ \underline{\Sigma \vdash \Delta \dashv \Sigma'} \qquad \Delta = \Theta \mid \Xi \qquad c : \forall \overline{Z}.\overline{A \rightarrow B} \in \Xi \qquad (\Phi, \overline{Z} \vdash p_i : A_i \dashv \Gamma_i)_i \\ \hline \\ \Phi \vdash \langle c \, \overline{p} \rightarrow z \rangle : \langle \Delta \rangle B' \dashv \Sigma \end{bmatrix} \exists \overline{Z}.\overline{\Gamma}, z : \{ \langle \iota \mid \iota \rangle B \rightarrow [\Sigma']B' \} \end{array}$$

Figure A.3: Pattern Matching Typing Rules