# Formal Languages, Regular Expressions, Automata, Transducers

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#### Outline

- Formal Languages in the Chomsky Hierarchy
- Regular Expressions
- Finite State Automata
- Finite State Transducers
- Some Sample CL tasks using Regexps
- Concluding Remarks



#### Formal Language = Set of Strings of Symbols

- A Formal Language Can Model a Phenomenon, e.g., written English
- Examples
  - All Combinations of the letters A and B: *ABAB*, *AABB*,
     *AAAB*, etc.
  - Any number of As, followed by any number of Bs: AB,
     AABB, AB, AAAAAAABBB, etc.
  - Mathematical Equations: 1 + 2 = 5, 2 + 3 = 4 + 1, 6 = 6
  - All the sentences of a simplified version of written English,
     e.g., *My pet wombat is invisible*.
  - A sequence of musical notation (e.g., the notes in Beethoven's 9<sup>th</sup> Symphony), e.g., *A-sharp B-flat C G A-sharp*



#### What is a Formal Grammar for?

- A formal grammar
  - set of rules
  - matches <u>all and only</u> instances of a formal language
- A formal grammar defines a formal language
- In Computer Science, formal grammars are used to both generate and to recognize formal languages.
  - Parsing a string of a language involves:
    - Recognizing the string and
    - Recording the analysis showing it is part of the language
  - A compiler translates from language X to language Y, e.g.,
    - This may include parsing language X and generating language Y



#### A Formal Grammar Consists of:

- N: a Finite set of nonterminal symbols
- T: a Finite set of terminal symbols
- R: a set of rewrite rules, e.g.,  $XYZ \rightarrow abXzY$ 
  - Replace the symbol sequence XYZ with abXzY
- S: A special nonterminal that is the start symbol

### A Very Simple Formal Grammar

- Language\_AB = 1 or more a, followed by 1 or more b, e.g., ab, aab, abb, aaaaaaabb, etc.
- $N = \{A,B\}$
- $T = \{a,b\}$
- S=**Σ**
- $R=\{A\rightarrow a, A\rightarrow Aa, B\rightarrow b B\rightarrow Bb, \Sigma\rightarrow AB\}$

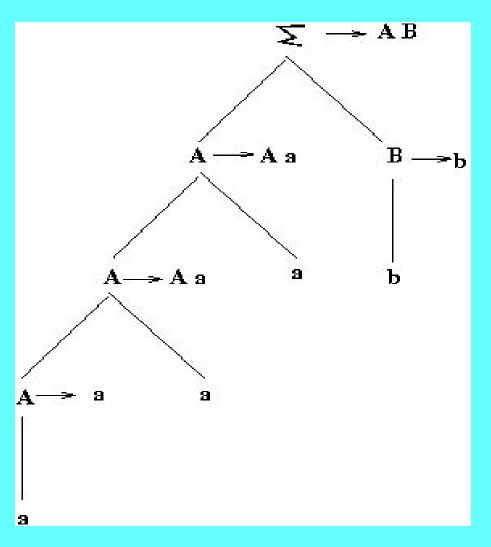
# Generating a Sample String

- Start with Σ
- Apply  $\Sigma \rightarrow AB$ , Generate A B
- Apply A→Aa, Generate A a B
- Apply  $\mathbf{A} \rightarrow \mathbf{Aa}$ , Generate A a a B
- Apply A→a, Generate a a a B
- Apply **B→b**, Generate a a b



#### Derivation of a a a b

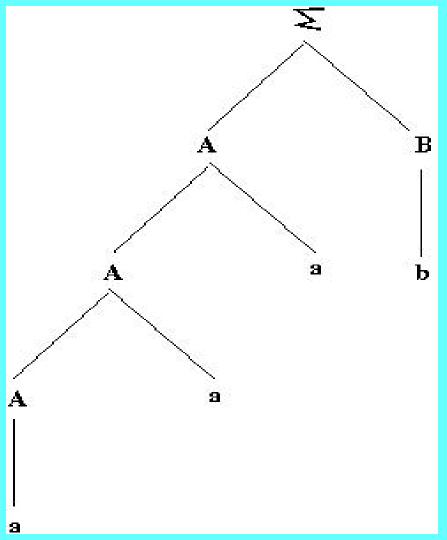




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#### Phrase Structure Tree for a a a b



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# The Chomsky Hierarchy: Type 0 and 1

- Type 0: No restrictions on rules
  - Equivalent to Turing Machine
    - General System capable of Simulating any Algorithm
- Type 1: Context-sensitive rules
  - $-\alpha A\beta \rightarrow \alpha \gamma \beta$ 
    - Greek chars = 0 or more nonterms/terms
    - A = nonterminal
    - $\gamma = 1$  or more nonterms/terms
  - For example,
    - DUCK DUCK DUCK DUCK GOOSE
    - Means convert DUCK to a GOOSE, if preceded by 2 DUCKS



# Chomsky Hierarchy Type 2

- Context-free rules
- $A \rightarrow \alpha \gamma \beta$
- Like context-sensitive, except left-hand side can only contain exactly one nonterminal
- Example Rule from linguistics:

```
- NP \rightarrow POSSP n PP
```

- NP  $\rightarrow$  Det n
- $NP \rightarrow n$
- $POSSP \rightarrow NP's$
- $PP \rightarrow p NP$
- [NP [POSSP [NP [Det The] [n group]] 's]

```
[n discussion]
```

[PP [p about][NP [n food]]]]

The group's discussion about food

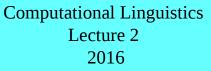


## Chomsky Hierarchy Type 3

- Regular (finite state) grammars
  - A → βa or A →  $\epsilon$  (left regular)
  - A → aβ, or A →  $\epsilon$  (right regular)
- Like Type 2, except
  - non-terminals can either precede (left) or follow (right) terminals,
     but not both
  - null string is allowed
- Example Rule from linguistics:
  - NP  $\rightarrow$  POSSP n
  - $NP \rightarrow n$
  - NP  $\rightarrow$  det n
  - POSSP → NP 's
- [NP [POSSP [NP [det *The*] [n *group*]] 's]

[n discussion]]

The group's discussion





## Chomsky Hierarchy

- $Type0 \supseteq Type1 \supseteq Type2 \supseteq Type3$
- Type 3 grammars
  - Least expressive, Most efficient processors
- Processors for Type 0 grammars
  - Most expressive, Least efficient processors
- Complexity of recognizer for languages:
  - Type 0 = exponential; Type 1 = polynomial; Type  $2 = O(n^3)$ ; Type  $3 = O(n \log n)$



# CL mainly features Type 2 & 3 Grammars

- Type 3 grammars
  - Include regular expressions and finite state automata (aka, finite state machines)
  - The focal point of the rest of this talk
  - Also see Nooj CL tools: www.nooj4nlp.net/
- Type 2 grammars
  - Commonly used for natural language parsers
  - Used to model syntactic structure in many linguistic theories (often supplemented by other mechanisms)
  - Will play a key roll in the next talk on parsing



#### Regular Expressions

- The language of *regular expressions* (regexps)
  - A standardized way of representing search strings
  - Kleene (1956)
- Computer Languages with regexp facilities:
  - Python, JAVA, Perl, Ruby, most scripting languages, ...
  - If not officially supported, a library still may exist
- Many UNIX (linux, Apple, etc.) utilities
  - grep (grep -E regexp file), emacs, vi, ex, ...
- Other
  - Mysql, Microsoft Office, Open Office, ...



### My T-Shirt

- My T-Shirt says: /(BB|[^B]{2})/
  - The "/", "(" and ")" can be ignored for now
  - B represents the string "B"
  - "|" represents the operator 'inclusive or'
  - "△" represents the negative operator
  - [] represents a single character
  - {N}, where N is a number represents N repetitions of the preceding item
- What famous quote could this represent?
- What details are different from the quote?



#### Regexp = formula specifying set of strings

- Regexp =  $\emptyset$ 
  - The empty set
- Regexp =  $\varepsilon$ 
  - The empty string
- Regexp = a sequence of one or more characters from the set of characters
  - -X
  - -Y
  - This sentence contains characters like & $T^*$ \*%P
- Disjunctions, concatenation, and repetition of regexps yield new regexps



#### Concatenation, Disjunction, Repetition

- Concatenation
  - If X is a regexp and Y is a regexp, then XY is a regexp
  - Examples
    - If ABC and DEF are regexps, then ABCDEF is a regexp
    - If  $AB^*$  and  $BC^*$  are regexps, then  $AB^*BC^*$  is a regexp
      - Note: Kleene \* is explained below
- Disjunction
  - If X is a regexp and Y is a regexp, then X | Y is a regexp
  - Example: ABC | DEF will match either ABC or DEF
- Repetition
  - If X is a regexp than a repetition of X will also be a regexp
    - The Kleene Star: **A\*** means 0 or more instances of **A**
    - Regexp{number}: *A*{2} means exactly 2 instances of *A*



#### Regexp Notation Slide 2

- Disjunction of characters
  - [ABC] means the same thing as  $A \mid B \mid C$
  - [a-zA-Z0-9] ranges of characters equivalent to listing characters, e.g., a|b| c|...|A|B|...|0|1|...|9|
  - $^{\land}$  inside of bracket means complement of disjunction, e.g.,  $[^{\land}a-z]$  means a character that is neither a nor b nor c ... nor z
- Parentheses
  - Disambiguate scope of operators
    - A(BC)|(DEF) means ABC or ADEF
    - Otherwise defaults apply, e.g., ABC|D means ABC or ABD
- ? signifies optionality
  - *ABC*? is equivalent to *(ABC)*|*(AB)*
- + indiates 1 or more
  - -A(BC)\* is equivalent to A|(A(BC)+)



#### Regexp Notation Slide 3

- Special Symbols:
  - A.\*B matches A and B and any characters between (period = any character)
  - ^ABC matches ABC at beginning of line (^ represents beginning of line)
  - [\.?!]\$ matches sentence final punctuation (\$\sqrt{s}\$ represents end of line)
- Python's Regexp Module
  - Searching
    - Groups and Group Numbers
  - Compiling
  - Substitution
- Similar Modules for: Java, Perl, etc.

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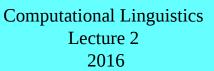
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### Regexp in NLTK's Chatbot

- Running eliza
  - import nltk
  - from nltk.chat.eliza import \*
  - eliza\_chat()
- NLTK's chatbots:
  - /usr/local/lib/python2.6/site-packages/nltk/chat or
  - /usr/lib/pymodules/python2.7/nltk/chat
  - See util.py and eliza.py
- How it works
  - It creates a Chat object (defined in util.py) that includes a substitute method
  - The settings for this chat object are in eliza.py
  - For each pair in pairs, the 1<sup>st</sup> item is matched against the input string, to produce an answer listed as the 2<sup>nd</sup> item. The use of %1 indicates repeated parts of the strings.
  - In util.py note that the matching pattern for the 1<sup>st</sup> item is created with *re.compile*, a method that turns a regular expression into a match-able pattern, although in the current examples (.\*), a very simple (and general) regexp.





# Regexps in Python (2 and 3)

- import re imports regexp package
- Example re functions
  - re.search(regexp,input\_string)creates a search object
  - re.sub (regexp,repl,string)
- search\_object methods
  - start() and end() -- respectively output start and end position in the string
  - group(0) outputs whole match
  - group(N) outputs the nth group (item in parentheses)
- Patterns can be compiled
  - Pattern1 = re.compile(r'[Aa]Bc')
  - Efficient, can take re functions as methods
  - Methods takes additional parameters (e.g., starting position)
    - Pattern1.search('ABcaBc',2)
      - starts search at position 2



### Regexp with Unix tools

- grep -E '\$[0-9\.,]+' all-OANC |less
- In the program less
  - $\land \$[0-9.,]$ 
    - Highlights numeric instances
    - Note some of the problems with this regexp for characterizing money strings

# RegExp to Search for Common Types of Numeric Strings

- An XML (or html) tag
  - \_ <[/>]+>
- Money
  - \$[0**-**9\.,]+
  - Would this match the string '\$,,,,,'?
    - Maybe that doesn't matter?
  - How might we handle cases like "\$4 million"?
  - What might be a better regexp for money?
- Others
  - Dates, Roman Numerals, Social Security, Telephone Numbers,
     Zip Codes, Library Call Numbers, etc.
- Time of Day Let's Do this one as a joint exercise



### Time of Day

- Let's agree on the components of a time of day as printed
  - \*\*\*\* fill in here \*\*\*\*
- For 5 minutes, Everyone should attempt to write such an expression independently. You can test your regexp with Python or grep.
- Let's look at some of the proposed answers, test them and possibly combine aspects.

#### NLTK's Regexp Language for Chunking

- sentence = "The big grey dog with three heads was on my lap"
- tokens = nltk.word\_tokenize(sentence)
- pos\_tagged\_items = nltk.pos\_tag(tokens)
- chunk\_grammar = nltk.RegexpParser(r"""

```
NG: {(<DT|JJ|NN|PRP\$>)*(<NN|NNS>)}
VG: {<MD|VB|VBD|VBN|VBZ|VBP|VBG>*<VB|VBD|VBN|VBZ|VBP|VBG><RP>?}
"""
```

- chunk\_grammar.parse(pos\_tagged\_items)
- Structure:
  - 1 rule per line
  - Nonterminal: Regexp
  - Regexp = terminals, nonterminals & operators (\*+?{}...)



# Chunking Rules with NonTerminal on Right Hand Side

• chunks2 = r''''

```
DTP: {<PDT><DT|CD>}
NG: {(<DT|JJ|NN|DTP|PRP\$>)*(<NN|NNS>)}
VG:{<MD|VB|VBD|VBN|VBZ|VBP|VBG>*<VB|VBD|VBN|VBZ|VBP|VBG><RP>?}
PP:{<IN|TO><NG>}
VP: {<VG> <NG|PP>}
```

### The Penn Treebank II POS tagset

- Verbs: VB, VBP, VBZ, VBD, VBG, VBN
  - base, present-non-3rd, present-3rd, past, -ing, -en
- Nouns: NNP, NNPS, NN, NNS
  - proper/common, singular/plural (singular includes mass + generic)
- Adjectives: JJ, JJR, JJS (base, comparative, superlative)
- Adverbs: RB, RBR, RBS, RP (base, comparative, superlative, particle)
- Pronouns: PRP, PP\$ (personal, possessive)
- Interogatives: WP, WP\$, WDT, WRB (compare to: PRP, PP\$, DT, RB)
- Other Closed Class: CC, CD, DT, PDT, IN, MD
- Punctuation: #\$.,:() """"
- Weird Cases: FW(deja vu), SYM (@), LS (1, 2, a, b), TO (to), POS('s, '), UH (no, OK, well), EX (it/there)
- Newer tags: HYPH, PU

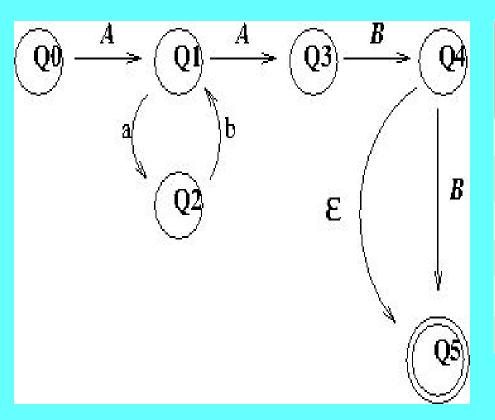


#### Finite State Automata

- Devices for recognizing finite state grammars (including regular expressions)
- Two types
  - Deterministic Finite State Automata (DFSA)
    - Rules are unambiguous
  - NonDeterministic FSA (NDFSA)
    - Rules are ambiguous
      - Sometimes more than one sequence of rules must be attempted to determine if a string matches the grammar
        - » Backtracking
        - » Parallel Processing
        - » Look Ahead
  - Any NDFSA can be mapped into an equivalent (but larger) DFSA



### DFSA for Regexp: *A(ab)\*ABB*?



State	Input					
	А	В	a	b	3	
Q0	Q1					
Q1	Q3		Q2			
Q2				Q1		
Q3		Q4 Q5				
Q2 Q3 Q4 Q5		Q5			Q5	
Q5						

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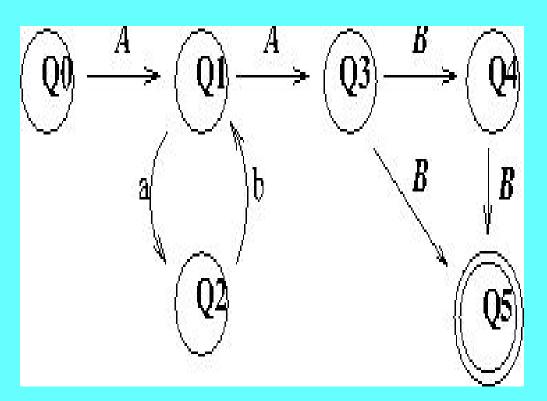
#### DFSA algorithm

 D-Recognize(tape, machine) pointer ← beginning of tape current state ← initial state Q0 **repeat** until the end of the input is reached look up (current state,input symbol) in transition table **if** found: set current state as per table look up advance pointer to next position on tape **else:** reject string and exit function **if** current state is a final state: accept the string **else**: reject the string





# NDFSA for Regexp: *A(ab)\*ABB*?



State	Input					
	Α	В	a	b		
Q0	Q1					
Q1	Q3		Q2			
Q2				Q1		
Q3		Q4 Q5				
Q4		Q5				

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### NDFSA algorithm



ND-Recognize(tape, machine)
 agenda ← {(initial state, start of tape)}
 current state ← next(agenda)
 repeat until accept(current state) or agenda is empty
 agenda ← Union(agenda,look\_up\_in\_table(current state,next\_symbol))
 current state ← next(agenda)
 if accept(current state): return(True)
 else: false

- Accept if at the end of the tape and current state is a final state
- Next defined differently for different types of search
  - Choose most recently added state first (depth first)
  - Chose least recently added state first (breadth first)
  - Etc.



# A Right Regular Grammar Equivalent to: *A(ab)\*ABB?*

(Red = Terminal, Black = Nonterminal)



- Q→ARS
- R→e
- R→abR
- S→ABB
- S→AB



### Readings

- Jurafsky and Martin, Chapters 2 and 3
- NLTK Chapters 2 and 3



#### Homework # 2: Slide 1

- Create 2 Programs using regular expressions to identify the following in a corpus
  - Program 1 should identify dollar amounts
    - Cover as many cases as possible (including those with words like million or billion)
  - Program 2 should identify telephone numbers
    - Attempt to handle as many cases as possible: with and without area codes, different punctuation, etc.
- Design and test the programs using the OANC corpus from the class website and any other corpora that you choose.
  - http://cs.nyu.edu/courses/fall15/CSCI-UA.0480-006/all-OANC.txt
- Programming language: the program can be in any standard programming language
  - Even shell scripts that support regexp
    - sed -E 's/(19|20)[0-9][0-9]/[&]/g' all-OANC.txt > output\_file
      - This would put brackets around years
      - It would overgenerate, e.g., number greater than 4 digits
      - It would undergenerate, e.g., years before 1900
- More Details On Next Slide



#### Homework # 2: Slide 2

- Output format: insert brackets around money expressions
  - The Picasso print costs [\$5 billion dollars and 50 cents] on Ebay.
- The program should be self-contained and include instructions for running it, e.g., it could be run as follows:
  - Program INPUT\_FILE OUTPUT\_FILE
- Submit program via NYUClasses as a zip, tar, tar.gz or tgz file in the following format: YourName-HW2.extension, e.g., AdamMeyers-HW2.tgz
- Programs will be graded by how well they do on the OANC corpus according to 2 metrics:
  - Precision: Number of Correct Answers / Number of Answers
    - If there are many answers to grade, we may use sampling to estimate precision
  - Coverage: Number of Correct Answers



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#### **Optional HW**

- Read through the Bots that are part of NLTK and use their libraries to make your own
- The current bots mostly use the regexp (.\*).
   Add bots that use more elaborate regexps