

# Recursion as a Problem-Solving Technique

## Chapter 5

# Defining Languages

- A language a set of strings of symbols from a finite alphabet.
- Consider the C++ language

$C++Programs = \{\text{string } s : s \text{ is a syntactically correct C++ program}\}$

- The set of algebraic expressions forms a language

$AlgebraicExpressions = \{\text{string } s : s \text{ is an algebraic expression}\}$

- A grammar states the rules of a language.



# The Basics of Grammars

- A grammar uses several special symbols
  - $x \mid y$  means  $x$  or  $y$  .
  - $xy$  (and sometimes  $x \cdot y$  ) means  $x$  followed by  $y$  .
  - $\langle \text{word} \rangle$  means any instance of  $\text{word}$  , where  $\text{word}$  is a symbol that must be defined elsewhere in the grammar.

# The Basics of Grammars

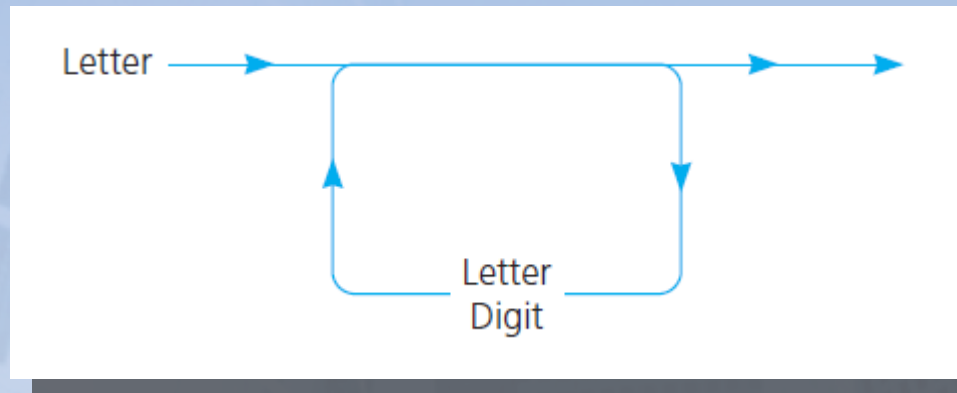


FIGURE 5-1 A syntax diagram for C++ identifiers



# The Basics of Grammars

```
// Returns true if s is a legal C++ identifier; otherwise returns false.
isId(s: string): boolean
{
    if (s is of length 1)                                // Base case
        if (s is a letter)
            return true
        else
            return false
    else if (the last character of s is a letter or a digit)
        return isId(s minus its last character) // Point X
    else
        return false
}
```

Pseudocode for a recursive valued function that determines whether a string is in the language C++Identifier s

# The Basics of Grammars

The initial call is made and the function begins execution.

`s = "A2B"`

At point X, a recursive call is made and the new invocation of `isId` begins execution:

`s = "A2B"`  $\xrightarrow{X}$  `s = "A2"`

At point X, a recursive call is made and the new invocation of `isId` begins execution:

`s = "A2B"`  $\xrightarrow{X}$  `s = "A2"`  $\xrightarrow{X}$  `s = "A"`

This is the base case, so this invocation of `isId` completes:

`s = "A2B"`  $\xrightarrow{X}$  `s = "A2"`  $\xrightarrow{X}$  `s = "A"`

FIGURE 5-2 Trace of `isId("A2B")`

# The Basics of Grammars

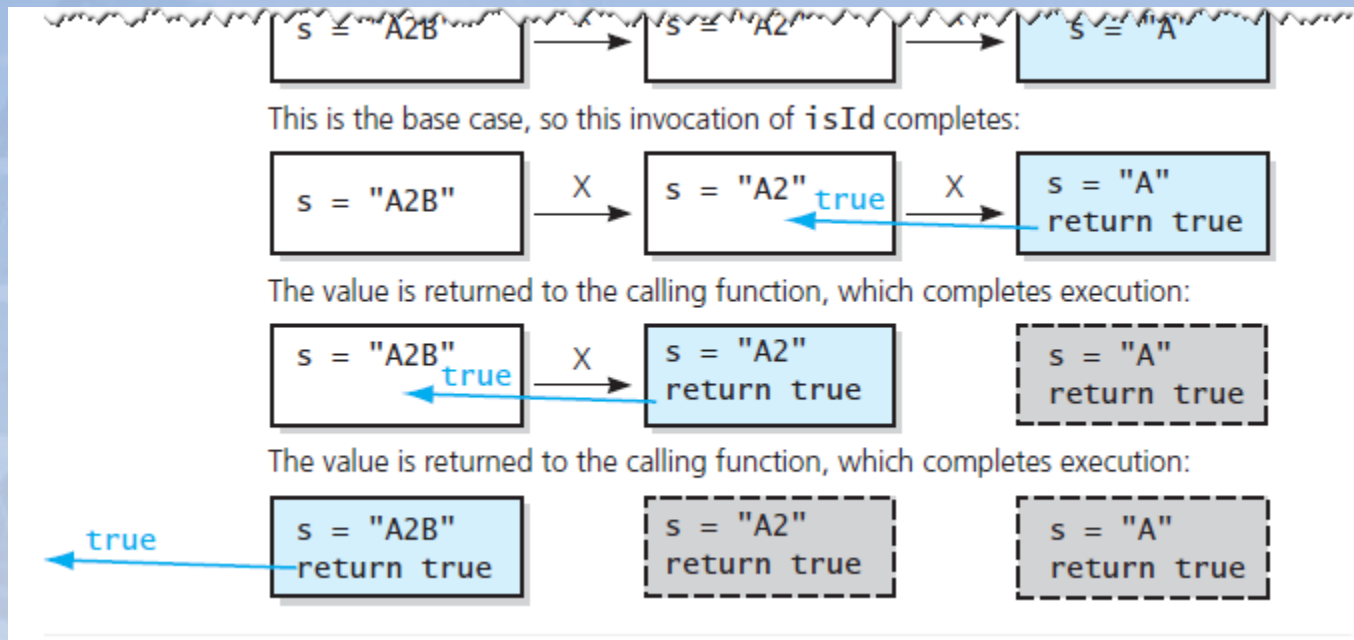


FIGURE 5-2 Trace of `isId("A2B")`



# Two Simple Languages

- Palindromes

*Palindromes* = {string  $s$  :  $s$  reads the same left to right as right to left}

- Recursive definition of palindrome
  - The first and last characters of  $s$  are the same
  - $s$  minus its first and last characters is a palindrome

- Grammar for palindromes
  - $\langle pal \rangle = \text{empty string} \mid \langle ch \rangle \mid a \langle pal \rangle a \mid b \langle pal \rangle b \mid \dots \mid Z \langle pal \rangle Z$
  - $\langle ch \rangle = a \mid b \mid \dots \mid z \mid A \mid B \mid \dots \mid Z$



# Two Simple Languages

- Strings of the form  $A^nB^n$

$$A^nB^n = \{\text{string } s : s \text{ is of the form } A^nB^n \text{ for some } n \geq 0\}$$

- Grammar for the language  $A^nB^n$  is

$$\langle \text{legal\_word} \rangle = \text{empty string} \mid A \langle \text{legal\_word} \rangle B$$

# Algebraic Expressions

- Compiler must recognize and evaluate algebraic expressions

```
y = x + z * (w / k + z * (7 * 6));
```

- Determine if legal expression
- If legal, evaluate expression



# Kinds of Algebraic Expressions

- Infix expressions
  - Every binary operator appears between its operands
- This convention necessitates ...
  - Associativity rules
  - Precedence rules
  - Use of parentheses

$$a + b * c$$

$$(a + b) * c$$

# Kinds of Algebraic Expressions

- Prefix expression
  - Operator appears before its operands

$a + b$  equivalent to  $+ a b$

- Postfix expressions
  - Operator appears after its operands

$a + b$  equivalent to  $a b +$



# Prefix Expressions

- Grammar that defines language of all prefix expressions

$$\begin{aligned}\langle \text{prefix} \rangle &= \langle \text{identifier} \rangle \mid \langle \text{operator} \rangle \langle \text{prefix} \rangle \langle \text{prefix} \rangle \\ \langle \text{operator} \rangle &= + \mid - \mid * \mid / \\ \langle \text{identifier} \rangle &= a \mid b \mid . \mid \dots \mid z\end{aligned}$$

- Recursive algorithm that recognizes whether string is a prefix expression
  - Check if first character is an operator
  - Remainder of string consists of two consecutive prefix expressions

# Prefix Expressions

```
// Finds the end of a prefix expression, if one exists.  
// Precondition: The substring of strExp from the index first through the end of  
// the string contains no blank characters.  
// Postcondition: Returns the index of the last character in the prefix expression that  
// begins at index first of strExp, or -1 if no such prefix expression exists.  
endPre(strExp: string, first: integer): integer  
{  
    last = strExp.length() - 1  
    if (first < 0 or first > last)  
        return -1  
  
    ch = character at position first of strExp  
    if (ch is an identifier)  
        return first // Index of last character in simple prefix expression  
    else if (ch is an operator)  
        {
```

endPre determines the end of a prefix expression



# Prefix Expressions

```
return first // index of last character in simple prefix expression
else if (ch is an operator)
{
    // Find the end of the first prefix expression
    endPos = endPre(strExp, first + 1) // Point X
    // If the end of the first prefix expression was found, find the end of the second
    // prefix expression
    if (endPos > -1)
        return endPre(strExp, endPos + 1) // Point Y
    else
        return -1
}
else
    return -1
}
```

endPre determines the end of a prefix expression

# Prefix Expressions

The initial call `endPre (" + * ab - cd", 0)` is made, and `endPre` begins execution:

first	= 0
last	= 6

First character of `strExp` is `+`, so at point X, a recursive call is made and the new invocation of `endPre` begins execution:

first	= 0
last	= 6
X: <code>endPre (" + * ab - cd", 1)</code>	

X

first	= 1
last	= 6

Next character of `strExp` is `*`, so at point X, a recursive call is made and the new invocation of `endPre` begins execution:

first	= 0
last	= 6
endPos	= ?
X: <code>endPre (" + * ab - cd", 1)</code>	

X

first	= 1
last	= 6
endPos	= ?
X: <code>endPre (" + * ab - cd", 2)</code>	

X

first	= 2
last	= 6

Next character of `strExp` is `a`, which is a base case. The current invocation of `endPre` completes execution and returns its value

first	= 0
-------	-----

first	= 1
-------	-----

first	= 2
-------	-----

FIGURE 5-3 Trace of `endPre (" + * ab - cd", 0)`



# Prefix Expressions

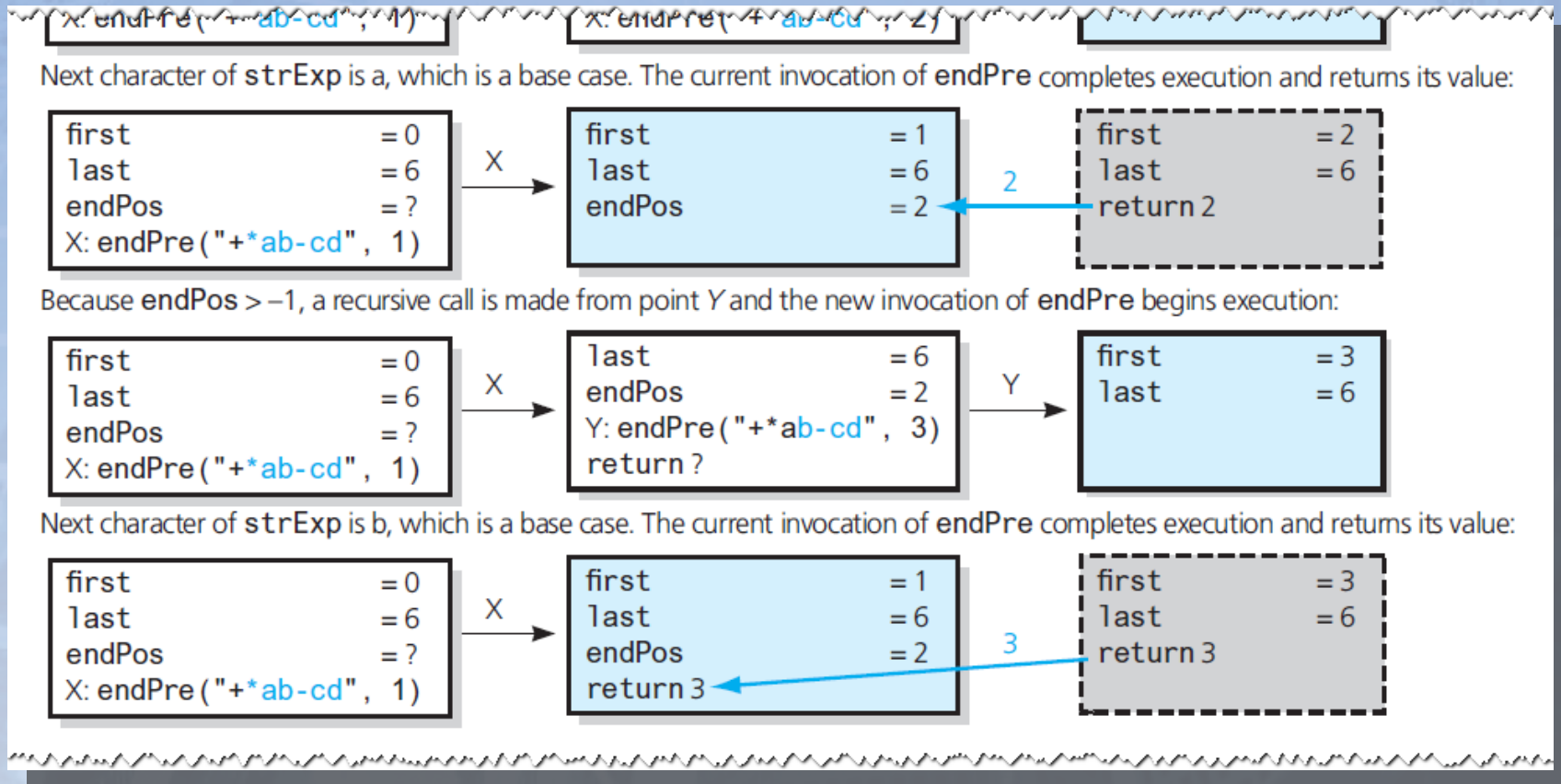
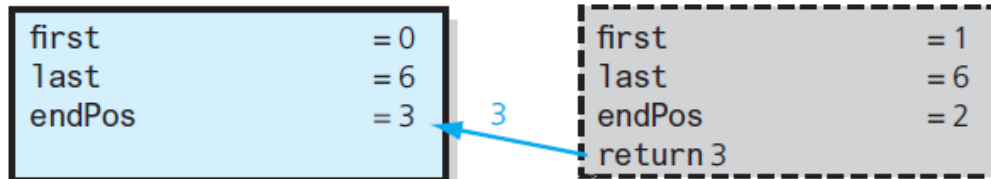


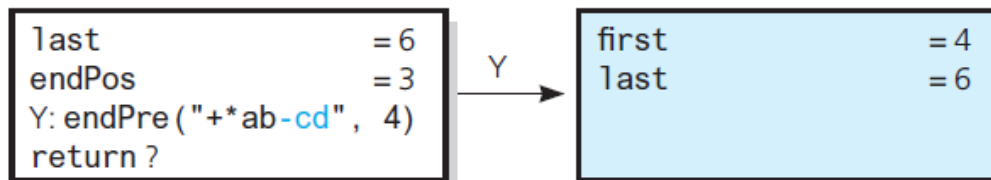
FIGURE 5-3 Trace of `endPre( "+*ab-cd", 0)`

# Prefix Expressions

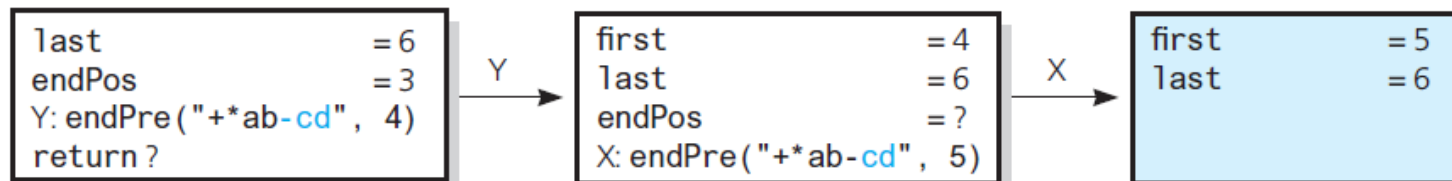
The current invocation of `endPre` completes execution and returns its value:



Because `endPos > -1`, a recursive call is made from point Y and the new invocation of `endPre` begins execution:



Next character of `strExp` is -, so at point X, a recursive call is made and the new invocation of `endPre` begins execution:



Next character of `strExp` is c, which is a base case. The current invocation of `endPre` completes execution and returns its value:

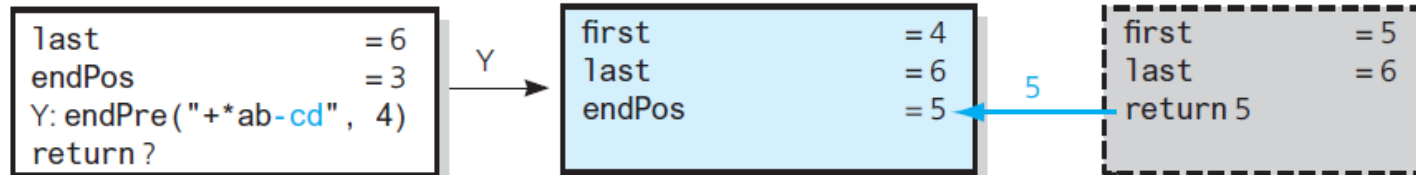


FIGURE 5-3 Trace of `endPre( "+*ab-cd", 0)`

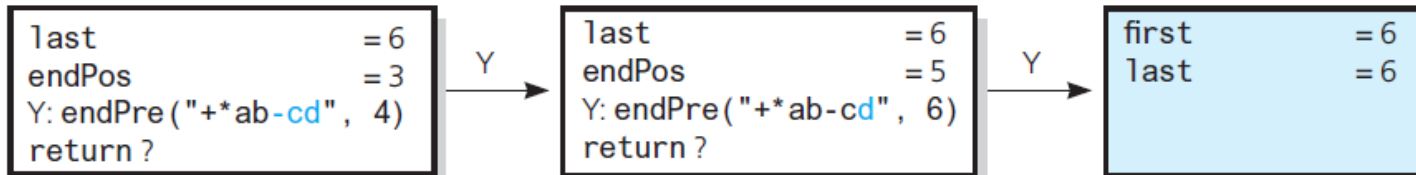


# Prefix Expressions

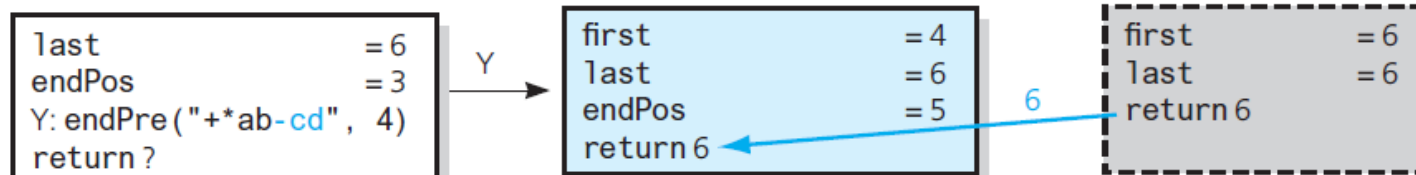
Next character of `strExp` is `c`, which is a base case. The current invocation of `endPre` completes execution and returns its value:



Because `endPos > -1`, a recursive call is made from point Y and the new invocation of `endPre` begins execution:



Next character of `strExp` is `d`, which is a base case. The current invocation of `endPre` completes execution and returns its value:



The current invocation of `endPre` completes execution and returns its value:



FIGURE 5-3 Trace of `endPre("+*ab-cd", 0)`

# Prefix Expressions

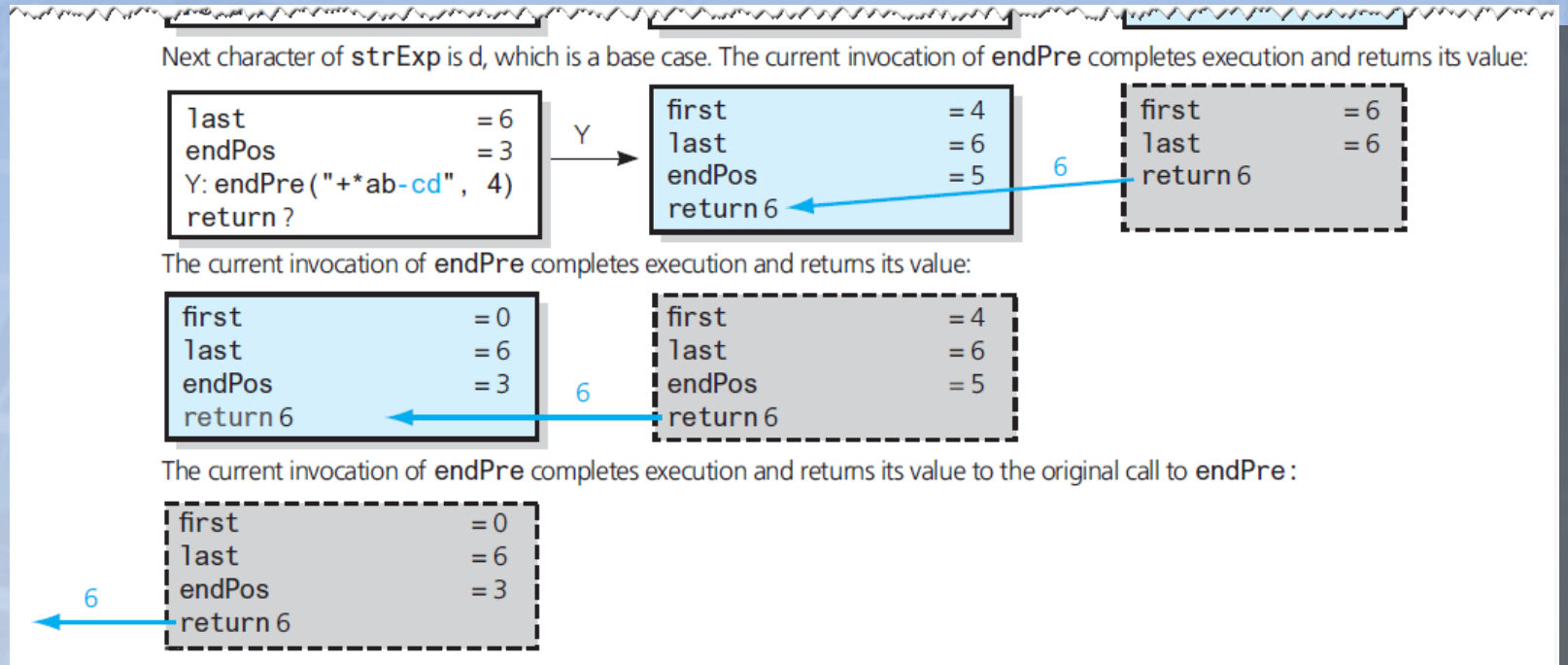


FIGURE 5-3 Trace of `endPre( "+*ab-cd", 0)`



# Prefix Expressions

```
// Sees whether an expression is a prefix expression.  
// Precondition: strExp contains a string with no blank characters.  
// Postcondition: Returns true if the expression is in prefix form; otherwise returns false.  
isPrefix(strExp: string): boolean  
{  
    lastChar = endPre(strExp, 0)  
    return (lastChar >= 0) and (lastChar == strExp.length() - 1)  
}
```

A recognition algorithm for prefix expressions

# Prefix Expressions

```
// Returns the value of a given prefix expression.  
// Precondition: strExp is a string containing a valid prefix expression with no blanks.  
evaluatePrefix(strExp: string): float  
{  
    strLength = the length of strExp  
    if (strLength == 1)  
        return value of the identifier // Base case—single identifier  
    else  
    {  
        op = strExp[0] // strExp begins with an operator  
        // Find the end of the first prefix expression—will be the first operand  
        endFirst = endPre(strExp, 1)  
        // Recursively evaluate this first prefix expression
```

An algorithm to evaluate a prefix expression



# Prefix Expressions

```
endFirst = endPre(strExp, 1)

// Recursively evaluate this first prefix expression
operand1 = evaluatePrefix(strExp[1..endFirst]);

// Recursively evaluate the second prefix expression—will be the second operand
endSecond = strLength - endFirst + 1
operand2 = evaluatePrefix(strExp[endFirst + 1..endSecond])

// Evaluate the prefix expression
return operand1 op operand2
}
```

An algorithm to evaluate a prefix expression

# Postfix Expressions

Grammar that defines the language of all postfix expressions

$$\begin{aligned}\langle postfix \rangle &= \langle identifier \rangle | \langle postfix \rangle \langle postfix \rangle \langle operator \rangle \\ \langle operator \rangle &= + | - | * | / \\ \langle identifier \rangle &= a | b | \dots | z\end{aligned}$$

An algorithm that converts a prefix expression to postfix form

```
if (exp is a single letter)
    return exp
else
    return postfix(prefix1) • postfix(prefix2) • <operator>
```



# Postfix Expressions

```
// Converts a prefix expression to postfix form.
// Precondition: The string preExp is a valid prefix expression with no blanks.
// Postcondition: Returns the equivalent postfix expression.
convertPreToPost(preExp: string): string
{
    preLength = the length of preExp
    ch = first character in preExp
    postExp = an empty string

    if (ch is a lowercase letter)
        // Base case—single identifier
        postExp = postExp • ch           // Append to end of postExp
    else // ch is an operator
    {
        // pre has the form <operator> <prefix1> <prefix2>
        endFirst = endPre(preExp, 1)    // Find the end of prefix1

        // Recursively convert prefix1 into postfix form
        postExp = postExp • convert(preExp[1..endFirst])

        // Recursively convert prefix2 into postfix form
        postExp = postExp • convert(preExp[endFirst + 1..preLength - 1])

        postExp = postExp • ch          // Append the operator to the end of postExp
    }
    return postExp
}
```

Recursive algorithm that converts a prefix expression to postfix form

# Fully Parenthesized Expressions

- Grammar for language of fully parenthesized algebraic expressions

$$\begin{aligned}\langle infix \rangle &= \langle identifier \rangle | (\langle infix \rangle \langle operator \rangle \langle infix \rangle) \\ \langle operator \rangle &= + | - | * | / \\ \langle identifier \rangle &= a | b | \dots | z\end{aligned}$$

- Most programming languages support definition of algebraic expressions
  - Includes both precedence rules for operators and rules of association



# Backtracking

- Strategy for guessing at a solution and ...
  - Backing up when an impasse is reached
  - Retracing steps in reverse order
  - Trying a new sequence of steps
- Combine recursion and backtracking to solve problems

# Searching for an Airline Route

- Must find a path from some point of origin to some destination point
- Program to process customer requests to fly
  - From some origin city
  - To some destination city
- Use three input text files
  - names of cities served
  - Pairs of city names, flight origins and destinations
  - Pairs of names, request origins, destinations



# Searching for an Airline Route

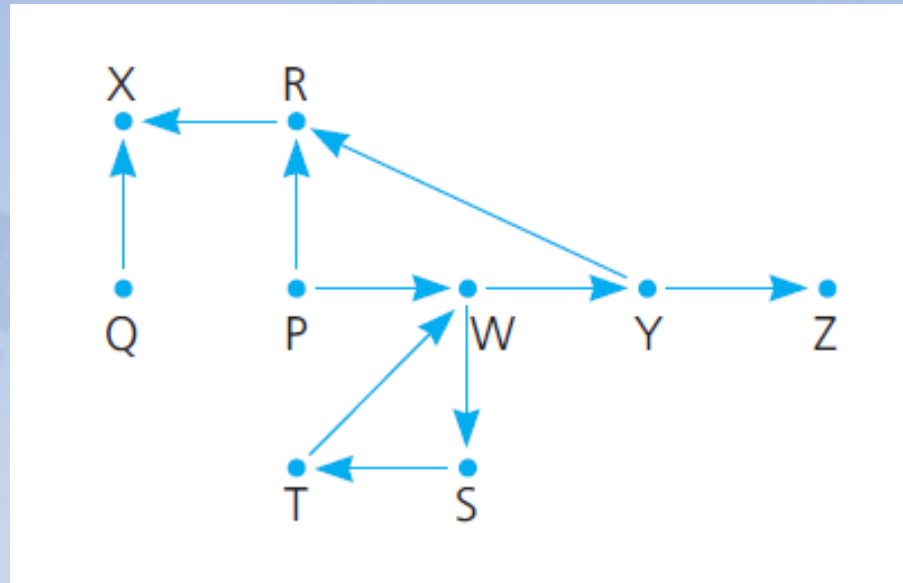


FIGURE 5-4 Flight map for HPAir

# Searching for an Airline Route

```
To fly from the origin to the destination  
{  
  Select a city C adjacent to the origin  
  Fly from the origin to city C  
  if (C is the destination city)  
    Terminate—the destination is reached  
  else  
    Fly from city C to the destination  
}
```

A recursive search strategy



# Searching for an Airline Route

- Possible outcomes of exhaustive search strategy
  1. Reach destination city, decide possible to fly from origin to destination
  2. Reach a city,  $C$  from which no departing flights
  3. You go around in circles
- Use backtracking to recover from a wrong choice (2 or 3)

# Searching for an Airline Route

```
// Discovers whether a sequence of flights from originCity to destinationCity exists.
searchR(originCity: City, destinationCity: City): boolean
{
    Mark originCity as visited
    if (originCity is destinationCity)
        Terminate—the destination is reached
    else
        for (each unvisited city C adjacent to originCity)
            searchR(C, destinationCity)
}
```

Refinement of the recursive search algorithm



# Searching for an Airline Route

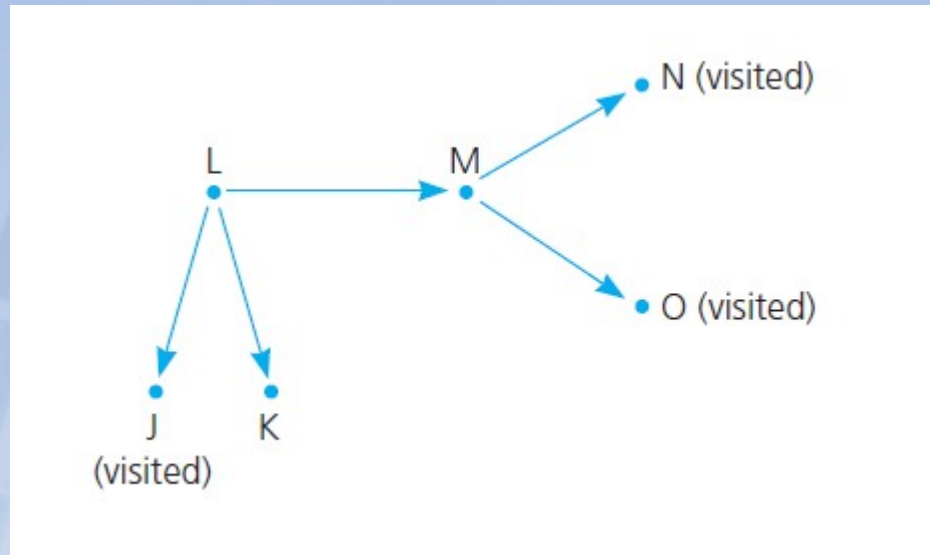


FIGURE 5-5 A piece of a flight map

# Searching for an Airline Route

```
// Reads flight information into the flight map.  
+readFlightMap(cityFileName: string, flightFileName: string): void  
  
// Displays flight information.  
+displayFlightMap(): void  
  
// Displays the names of all cities that HPAir serves.  
+displayAllCities(): void  
  
// Displays all cities that are adjacent to a given city.  
+displayAdjacentCities(aCity: City): void  
  
// Marks a city as visited.  
+markVisited(aCity: City): void  
  
// Clears marks on all cities.
```

ADT flight map operations



# Searching for an Airline Route

```
+markVisited(aCity: City): void  
// Clears marks on all cities.  
+unvisitAll(): void  
  
// Sees whether a city was visited.  
+isVisited(aCity: City): boolean  
  
// Inserts a city adjacent to another city in a flight map.  
+insertAdjacent(aCity: City, adjCity: City): void  
  
// Returns the next unvisited city, if any, that is adjacent to a given city.  
// Returns a sentinel value if no unvisited adjacent city was found.  
+getNextCity(fromCity: City): City  
  
// Tests whether a sequence of flights exists between two cities.  
+isPath(originCity: City, destinationCity: City): boolean
```

## ADT flight map operations

# Searching for an Airline Route

```
/** Tests whether a sequence of flights exists between two cities.
    @pre  originCity and destinationCity both exist in the flight map.
    @post Cities visited during the search are marked as visited
           in the flight map.
    @param originCity  The origin city.
    @param destinationCity  The destination city.
    @return  True if a sequence of flights exists from originCity
             to destinationCity; otherwise returns false. */
bool Map::isPath(City originCity, City destinationCity)
{
    // Mark the current city as visited
    markVisited(originCity);

    bool foundDestination = (originCity == destinationCity);
    if (!foundDestination)
    {
        // Try a flight to each unvisited city
    }
```

## C++ implementation of searchR



# Searching for an Airline Route

```
// Try a flight to each unvisited city
City nextCity = getNextCity(originCity);
while (!foundDestination && (nextCity != NO_CITY))
{
    foundDestination = isPath(nextCity, destinationCity);
    if (!foundDestination)
        nextCity = getNextCity(originCity);
} // end while
return foundDestination;
} // end isPath
```

C++ implementation of searchR

# Searching for an Airline Route

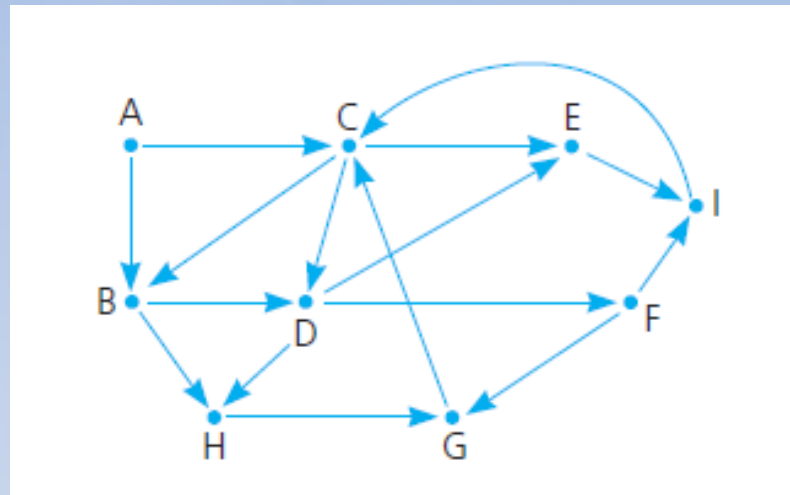


FIGURE 5-6 Flight map for Checkpoint Question 6



# The Eight Queens Problem

- Chessboard contains 64 squares
  - Form eight rows and eight column
- Problem asks you to place eight queens on the chessboard ...
  - So that no queen can attack any other queen.
- Note there are 4,426,165,368 ways to arrange eight queens on a chessboard
  - Exhausting to check all possible ways

# The Eight Queens Problem

- However, each row and column can contain exactly one queen.
  - Attacks along rows or columns are eliminated, leaving only  $8! = 40,320$  arrangements
- Solution now more feasible



# The Eight Queens Problem

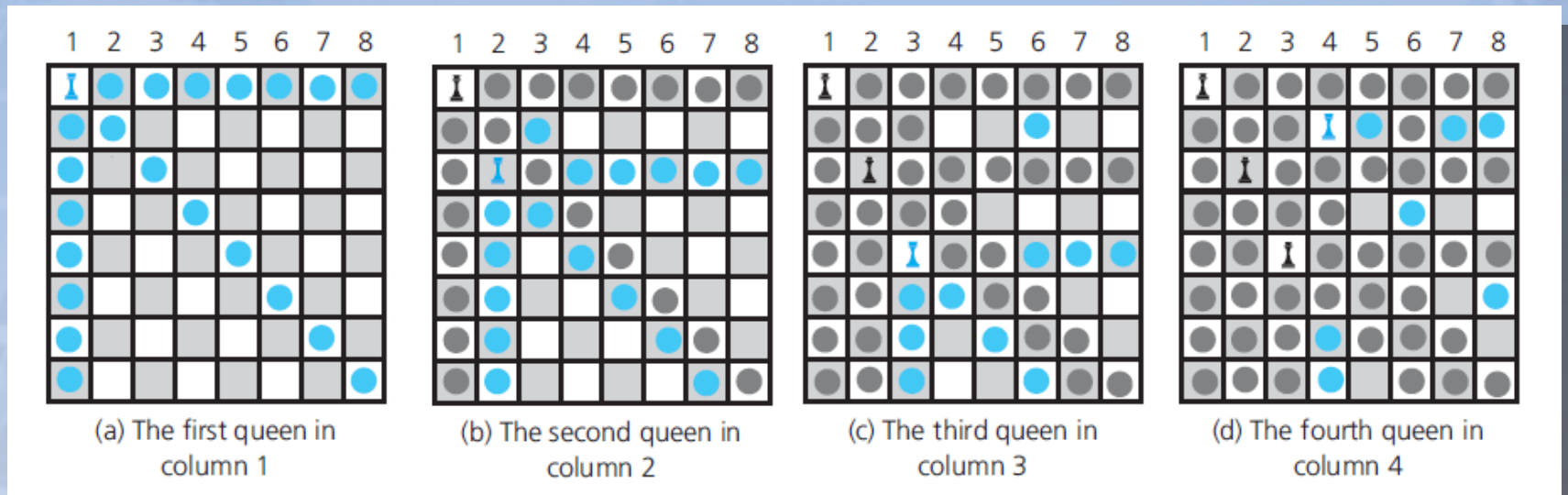


FIGURE 5-7 Placing one queen at a time in each column ...

# The Eight Queens Problem

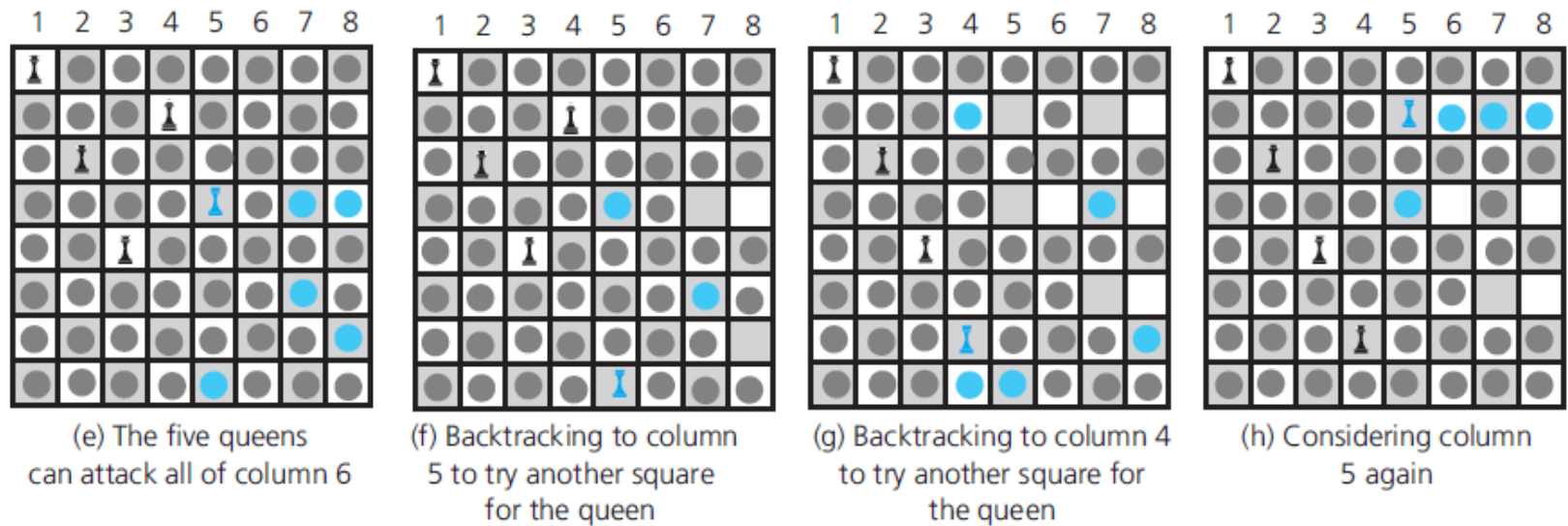


FIGURE 5-7 Placing one queen at a time in each column ...



# The Eight Queens Problem

```
// Places queens in eight columns.  
placeQueens(queen: Queen, row: integer, column: integer): boolean  
{  
    if (column > BOARD_SIZE)  
        The problem is solved  
    else  
    {  
        while (unconsidered squares exist in the given column and  
                the problem is unsolved)  
        {  
            Find the next square in the given column that is  
            not under attack by a queen in an earlier column  
            if (such a square exists)  
            {  
                Place a queen in the square  
            }  
        }  
    }  
}
```

Pseudocode describes the algorithm for placing queens

# The Eight Queens Problem

```
Place a queen in the square  
  
// Try next column  
if (!placeQueens(a new queen, firstRow, column + 1))  
{  
    // No queen is possible in the next column  
    Delete the new queen  
    Move the last queen that was placed on the board  
    to the next row in that column  
}  
else  
{  
    // Delete the new queen  
    return true  
}  
}  
}  
}  
}
```

Pseudocode describes the algorithm for placing queens



# The Eight Queens Problem

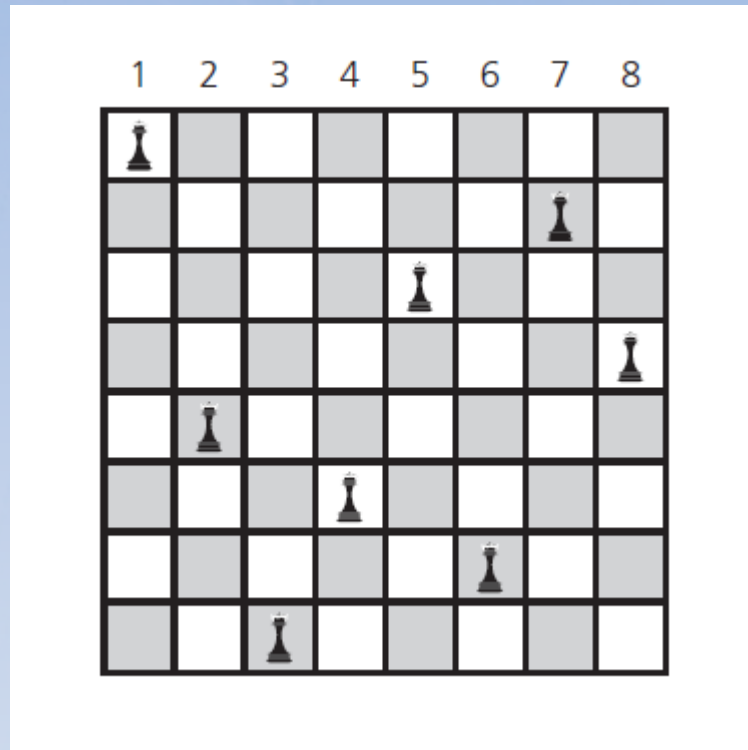


FIGURE 5-8 A solution to the Eight Queens problem

# Relationship Between Recursion and Mathematical Induction

- Recursion solves a problem by
  - Specifying a solution to one or more base cases
  - Then demonstrating how to derive solution to problem of arbitrary size
  - From solutions to smaller problems of same type.
- Can use induction to prove
  - Recursive algorithm either is correct
  - Or performs certain amount of work



# Correctness of Recursive Factorial Function

```
fact(n: integer): integer
{
    if (n is 0)|
        return 1
    else
        return n * fact(n - 1)
}
```

Pseudocode describes recursive function that computes factorial

# Correctness of Recursive Factorial Function

- Inductive hypothesis

$$\text{factorial}(k) = k! = k \times (k-1) \times (k-2) \times \dots \times 2 \times 1$$

- Inductive conclusion

$$\text{factorial}(k+1) = (k+1) \times k \times (k-1) \times (k-2) \times \dots \times 2 \times 1$$



# The Cost of Towers of Hanoi

- Pseudocode to solution to the Towers of Hanoi problem

```
solveTowers(count, source, destination, spare)
{
    if (count is 1)
        Move a disk directly from source to destination
    else
    {
        solveTowers(count - 1, source, spare, destination)
        solveTowers(1, source, destination, spare)
        solveTowers(count - 1, spare, destination, source)
    }
}
```

- Consider: given  $N$  disks ...
  - How many moves does `solveTowers` make?

# The Cost of Towers of Hanoi

- Claim

$$\text{moves}(N) = 2^N - 1 \quad \text{for all } N \geq 1$$

- Basis

- Show that the property is true for  $N = 1$

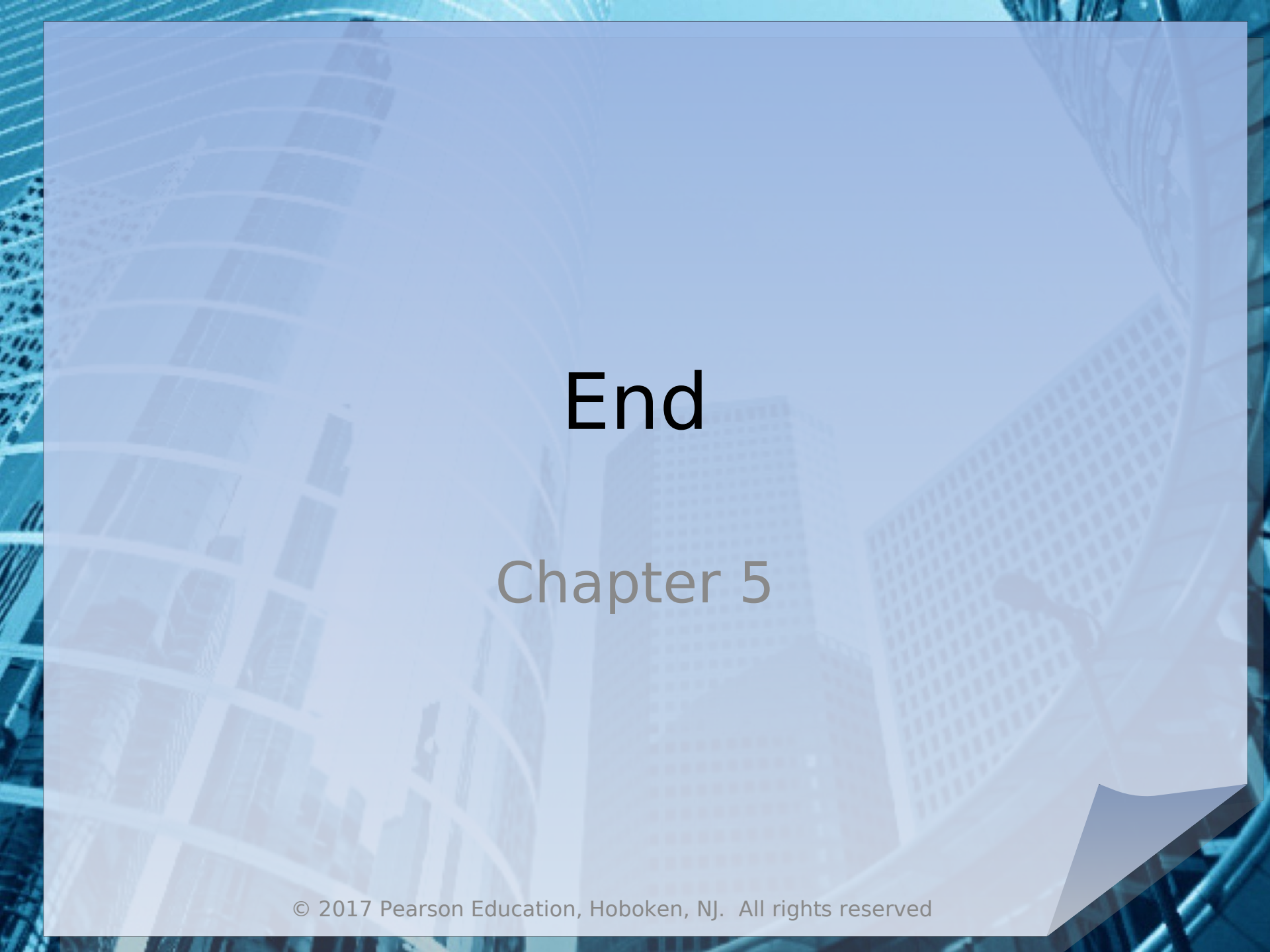
- Inductive hypothesis

- Assume that property is true for  $N = k$

- Inductive conclusion

- Show that property is true for  $N = k + 1$





# End

## Chapter 5