

Math 20250
Abstract Linear Algebra

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Course materials: Linear Algebra by Hoffman and Kunze (2nd Edition), Linear Algebra Done Wrong by Treil

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Lecture 5

Span, Linear Independence, Basis

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Recall. Linear Combination: Let $V = \mathbb{K}$ -vector space with $v_1, v_2, \dots, v_r \in V$ then

$$\mathbb{K}\langle v_1, v_2, \dots, v_r \rangle := \{w \in V \mid w = a_1 v_1 + \dots + a_r v_r; a_i \in \mathbb{K}\} \subseteq V \text{ (is a subspace of } V)$$

Definition 5.1 (Span).

$\{v_1, v_2, \dots, v_r\}$ span V if

$$\mathbb{K}\langle v_1, v_2, \dots, v_r \rangle = V$$

i.e. equality is achieved: every vector in V can be written as linear combinations of $\{v_1, v_2, \dots, v_r\}$

Connecting to the previous lecture, let $\psi : \mathbb{K}^r \rightarrow V$ then $\psi \in \text{Hom}_{\mathbb{K}}(\mathbb{K}^r, V) \xrightarrow{\sim} V^{\oplus r}$, i.e. ψ corresponds to (v_1, v_2, \dots, v_r) in V .

In particular, $(v_1, v_2, \dots, v_r) \in V^{\oplus r}$ determines the map:

$$\begin{aligned} \psi : (1, 0, \dots, 0) \in \mathbb{K}^r &\rightarrow v_1 \\ (0, 1, \dots, 0) \in \mathbb{K}^r &\rightarrow v_2 \\ &\vdots \\ (0, 0, \dots, 1) \in \mathbb{K}^r &\rightarrow v_r \\ (\alpha_1, \alpha_2, \dots, \alpha_r) \in \mathbb{K}^r &\rightarrow \alpha_1 v_1 + \alpha_2 v_2 + \dots + \alpha_r v_r \end{aligned}$$

Lemma 5.1.

- Let $\psi : \mathbb{K}^r \rightarrow V$ be a linear transformation determined by $v_1, v_2, \dots, v_r \in V$, i.e. $\psi(\alpha_1, \alpha_2, \dots, \alpha_r) := \sum_{i=1}^r \alpha_i v_i$, then

$$\text{im}(\psi) = \mathbb{K}\langle v_1, v_2, \dots, v_r \rangle$$

is a subspace of V

- $\{v_1, v_2, \dots, v_r\}$ span $V \Leftrightarrow \psi$ is surjective

i.e. a surjection $\mathbb{K}^r \rightarrow V$ corresponds to r vectors $v_1, v_2, \dots, v_r \in V$ that span V

Remark. V is finite dimensional when \exists surjection $\mathbb{K}^d \rightarrow V$

$\Leftrightarrow \exists d$ vectors v_1, v_2, \dots, v_r that span V .

Recall: $\dim V = \min\{r \in \mathbb{Z}_{\geq 0} \text{ such that } \exists \text{ surjective } \mathbb{K}^r \rightarrow V\}$.

Next, what does it mean for ψ to be injective?

Definition 5.2 (Linear Independence).

$v_1, v_2, \dots, v_r \in V$ are **linearly independent** if

$$a_1 v_1 + a_2 v_2 + \dots + a_r v_r = 0; a_i \in \mathbb{K} \Rightarrow a_1 = a_2 = \dots = a_r = 0$$

i.e. there doesn't exist non-trivial relations between the vectors.

Example. In \mathbb{R}^2 , $(0, 1)$ and $(0, 2)$ are not linearly independent because

$$(-2)(0, 1) + (0, 2) = (0, 0)$$

But $(0, 1)$ and $(1, 0)$ are linearly independent.

Consequently, they are **linearly dependent** otherwise, i.e.

$$\exists a_i \text{ not all } 0 \text{ such that } \sum a_i v_i = 0$$

Lemma 5.2. Given $\psi : \mathbb{K}^r \rightarrow V$ corresponds to v_1, v_2, \dots, v_r then v_1, v_2, \dots, v_r are linearly independent if and only if ψ is injective

In order to prove the lemma above, we shall make use of a more convenient test for whether a map $\varphi : \mathbb{K}^r \rightarrow V$ is injective.

Lemma 5.3. Let $\varphi : V \rightarrow W$ be a linear transformation then φ is injective if and only if

$$\ker(\varphi) = \{0\} \subseteq V$$

Proof (Lemma 5.3).

\Rightarrow We assume that φ is injective, want to show that $\ker(\varphi) = \{0\}$.
We know that $\varphi(0) = 0 \Rightarrow 0 \in \ker(\varphi)$ but since φ is injective, $\nexists v \neq 0 \in V$ such that $\varphi(v) = 0$.
It follows that $\ker(\varphi) = 0$

\Leftarrow We want to show that $x, y \in V$ such that $\varphi(x) = \varphi(y) \Rightarrow x = y$
Since $\varphi(x - y) = \varphi(x + (-y)) = \varphi(x) - \varphi(y) = 0$, combined with $\ker(\varphi) = 0$

$$\Rightarrow x - y = 0 \Rightarrow x = y$$

□

Proof (Lemma 5.2).

Applying Lemma 5.3, we want to show: $\ker(\varphi) = 0$ iff v_1, v_2, \dots, v_r are linearly independent.

\Rightarrow Suppose $\ker(\varphi) = \{0\}$ then want to show

$$a_1 v_1 + a_2 v_2 + \dots + a_r v_r = 0 \Rightarrow a_i = 0 \forall i$$

But $LHS = \varphi((a_1, a_2, \dots, a_r)) \Rightarrow (a_1, a_2, \dots, a_r) \in \ker(\varphi) \Rightarrow (a_1, a_2, \dots, a_r) = 0$.
Therefore $a_i = 0 \forall i$.

\Leftarrow Suppose that v_1, v_2, \dots, v_r are linearly independent.
Then for $v \in \ker(\varphi) \Rightarrow \varphi(v) = 0$, with $v = (a_1, a_2, \dots, a_r)$

$$\begin{aligned} \Rightarrow 0 &= \varphi(v) \\ &= \varphi((a_1, a_2, \dots, a_r)) \\ &= a_1 v_1 + a_2 v_2 + \dots + a_r v_r \end{aligned}$$

But since v_1, v_2, \dots, v_r are linearly independent

$$\Rightarrow a_i = 0 \forall i \Rightarrow v = 0 \Rightarrow \ker(\varphi) = 0$$

□

Corollary 5.1. If V has dimension d over \mathbb{K} then there exists isomorphism $\varphi : \mathbb{K}^d \xrightarrow{\sim} V$
i.e. φ is a bijective linear transformation

Proof (Corollary). Since $d = \dim V$, by definition there exists surjective linear transformation $\pi : \mathbb{K}^d \rightarrow V$

We then claim that π is also injective.

Proving by contradiction, we suppose that π is not injective.

let v_1, v_2, \dots, v_d be the d vectors that correspond to π , i.e.

$$\pi((a_1, a_2, \dots, a_d)) = a_1 v_1 + \dots + a_d v_d$$

By Lemma 5.2, π being not injective implies that v_1, v_2, \dots, v_d are linearly dependent.
i.e. there exists $b_1, b_2, \dots, b_d \in \mathbb{K}$ not identically 0 such that

$$b_1 v_1 + b_2 v_2 + \dots + b_d v_d = 0$$

WLOG, assume $b_1 \neq 0$.

$$\begin{aligned} \Rightarrow b_1 v_1 &= -(b_2 v_2 + \dots + b_d v_d) \\ \Rightarrow v_1 &= -b^{-1}(b_2 v_2 + \dots + b_d v_d) \quad (\exists b^{-1} : b_1 \neq 0) \\ &= c_2 v_2 + c_3 v_3 + \dots + c_d v_d \end{aligned}$$

We already know that since π is surjective, thus v_1, v_2, \dots, v_d span V . However, the above equality implies that v_2, \dots, v_d already span V !

It follows that there must exist a surjective linear transformation $\pi' : \mathbb{K}^{d-1} \rightarrow V$

$\Rightarrow \Leftarrow$, since $d = \min\{r \mid \exists \text{ surjective } \pi^r : \mathbb{K}^r \rightarrow V\}$

Therefore π is injective. It is already surjective, and therefore bijective, making it an isomorphism. \square

Recall. $\psi : \mathbb{K}^d \rightarrow V$ as determined by v_1, v_2, \dots, v_d is

1. **injective** when v_1, v_2, \dots, v_d are linearly independent
2. **surjective** when v_1, v_2, \dots, v_d span V

This naturally leads to our next definition.

Definition 5.3 (Basis).

$\{v_1, v_2, \dots, v_r\}$ is called a **basis** of V if they span V and are linearly independent,
i.e. $\psi_{(v_1, v_2, \dots, v_r)} : \mathbb{K}^r \rightarrow V$ is an isomorphism.

Corollary 5.2. $\dim_{\mathbb{K}} V = d \Leftrightarrow \exists \text{ basis } \{v_1, v_2, \dots, v_d\} \text{ for } V$

Corollary 5.3. If $\{v_1, v_2, \dots, v_d\}$ and $\{w_1, w_2, \dots, w_{d'}\}$ are basis for V then $d = d'$.