# Math 20250 Abstract Linear Algebra

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Section: 44

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Course materials: Linear Algebra by Hoffman and Kunze (2nd Edition), Linear Algebra Done

Wrong by Treil

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Abelian Group, Field, Equivalence

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#### Goal

Vector spaces and maps between vector spaces (linear transformations)

# 1.1 Abelian Group

# **Definition 1.1** (Abelian Group)

A pair (A, \*) is an **Abelian group** if A is a set and \* is a map:  $A \times A \mapsto A$  (closure is implied) with the following properties:

1. (Additive Associativity)

$$(x * y) * z = x * (y * z), \forall x, y, z \in A$$

2. (Additive Commutativity)

$$x * y = y * x, \ \forall \ x, y \in A$$

3. (Additive Identity)

$$\exists \ 0 \in A : 0 * x = x * 0 = x, \ \forall \ x \in A$$

4. (Additive Inverse)

$$\forall x \in A, \exists (-x) \in A : x * (-x) = (-x) * x = 0$$

#### Remark

(\* is just a symbol, soon to be +). Typically write as (A, +) or simply A

**Example** 1.  $(\mathbb{Z}, +)$  is an Abelian group

- 2.  $(\mathbb{Q}, +)$  is an Abelian group
- 3.  $(\mathbb{Z}, \times)$  is **NOT** an Abelian group (because identity = 1, and 0 does not have a multiplicative inverse)
- 4.  $(\mathbb{Q}, \times)$  is also not an Abelian group (0 does not have a multiplicative inverse)
- 5.  $(\mathbb{Q}\setminus\{0\},\times)$  is an Abelian group (identity is 1)
- 6.  $(\mathbb{N}, \times)$  is NOT a group

#### Remark

A crucial difference between  $\mathbb{Z}$  and  $\mathbb{Q}\setminus\{0\}$  is that  $\mathbb{Q}\setminus\{0\}$  has both + and  $\times$  while  $\mathbb{Z}$  only has +. This gives us inspiration for the definition of a field!

# **Definition 1.2** (Field)

A field is a triple  $(F, +, \cdot)$  s.t.

- 1. (F, +) is an Abelian group with identity 0
- 2. (Multiplicative Associativity)

$$(x \cdot y) \cdot z = x \cdot (y \cdot z), \ \forall \ x, y, z \in F$$

3. (Multiplicative Commutativity)

$$x \cdot y = y \cdot x, \ \forall \ x, y \in F$$

4. (Distributivity) (+ and · talking in the following way)

$$x \cdot (y+z) = (x \cdot y) + (x \cdot z), \ \forall \ x, y, z \in F$$

5. (Multiplicative Identity)

$$\exists 1 \in F : 1 \cdot x = x, \ \forall \ x \in F$$

6. (Multiplicative Inverse)

$$\forall x \in F \setminus \{0\}, \exists y \in F : x \cdot y = 1$$

#### Remark

In a field  $(F, +, \cdot)$ , assume that  $1 \neq 0$ 

**Example** 1.  $(\mathbb{Z}, +, \cdot)$  is not a field (because property 6 failed)

- 2.  $(\mathbb{Q}, +, \cdot)$  is a field
- 3.  $(\mathbb{R}, +, \cdot)$  and  $(\mathbb{C}, +, \cdot)$  are fields.

### 1.2 Finite Fields

#### Recall

 $p \in \mathbb{Z}$  is a prime if  $\forall m \in \mathbb{N} : m \mid p \Rightarrow m = 1 \text{ or } m = p$ 

# **Definition 1.3** ( $\mathbb{F}_p$ for p prime)

$$\mathbb{F}_p = \{[0], [1], \dots, [p-1]\}$$

Then define the operations for  $[a], [b] \in \mathbb{F}_p$ 

$$[a]+[b]=[a+b\mod p];[a]\cdot [b]=[a\cdot b\mod p]$$

Then  $\mathbb{F}_p$  is a field, but this is not trivial.

**Lemma 1.1** 1.  $(\mathbb{F}_p, +)$  is an Abelian group

2. 
$$(\mathbb{F}_p, +, \cdot)$$
 is a field

### **Example**

$$\mathbb{F}_5 = \{[0], [1], [2], [3], [4]\}$$

$$[1] + [2] = [3], [2] + [4] = [1], [4] + [4] = [3], [2] + [3] = [0]$$

Then it is trivial that [0] is additive identity, and every element has additive inverse. [1] is multiplicative identity, and every element except [0] has multiplicative inverse. Therefore  $\mathbb{F}_5$  is indeed a field.

# 1.3 Vector Spaces in brief

#### Intuition

The motivation for vector spaces and maps between them (linear transformations) is essentially to solve linear equations. Let  $(\mathbb{K}, +, \cdot)$  be a field. We are then interested in systems of linear equations  $/ \mathbb{K}$ ; if there are solutions, and if there are how many.

We then inspect a system of linear equations of n unknowns, m relations:

$$a_{11}x_1 + a_{12}x_2 + \dots + a_{1n}x_n = b_1$$

$$a_{21}x_1 + a_{22}x_2 + \dots + a_{2n}x_n = b_2$$

$$\dots = \dots$$

$$a_{m1}x_1 + a_{m2}x_2 + \dots + a_{mn}x_n = b_m$$

where  $a_{ij}, b_k \in \mathbb{K}$ .

# Example

$$2x_1 - x_2 + x_3 = 0 (1)$$

$$x_1 + 3x_2 + 4x_3 = 0 (2)$$

over some field  $\mathbb{K}$ .

#### **Explanation**

Then,  $3 \times (1) + (2)$  (carrying out the operations in  $\mathbb{K}$ ) yields

$$7x_1 + 7x_3 = 0$$

$$7 \cdot (x_1 + x_3) = 0$$
(3)

Then, we have 2 cases.

Case 1:  $7 \neq 0$  in  $\mathbb{K}$ , then  $\exists 7^{-1} \in \mathbb{K} : 7^{-1} \cdot 7 = 1$ .

Then (3) 
$$\Rightarrow 7^{-1} \cdot (7 \cdot (x_1 + x_3)) = 0$$

$$((7^{-1}) \cdot 7) \cdot (x_1 + x_3) = 0$$
$$1 \cdot (x_1 + x_3) = 0$$
$$\Rightarrow x_1 + x_3 = 0$$
$$\Rightarrow x_1 = -x_3$$

Let  $x_3 = a \Rightarrow x_1 = -a \Rightarrow x_2 = 2x_1 + x_3 = -a$ .  $\Rightarrow \{(-a, -a, a) \mid a \in \mathbb{K}\}$  are solutions.

Case 2: 7 = 0 in  $\mathbb{K}$  (e.g. in  $\mathbb{F}_7$ ) then (3) is automatically true. Let  $x_1 = a, x_3 = b \Rightarrow x_2 = 2x_1 + x_3 = 2a + b$  $\Rightarrow \{(a, 2a + b, b) \mid a, b \in \mathbb{K}\}$  are solutions.

#### Remark

When doing  $3 \times (1) + (2)$ , how do we know if we're gaining or losing information? e.g in  $\mathbb{F}_7$  we can just multiply by 7 and get nothing new! Therefore some kind of "equivalence" concept must be introduced!

#### **Definition 1.4** (Linear combination)

Suppose  $S = \{\sum a_{ij}x_j = b_i\}_{1 \leq i \leq m, 1 \leq j \leq n}$  is a system of linear equations over  $\mathbb{K}$ .  $S' = \{\sum a'_{ij}x_j = b_i\}_{1 \leq i \leq m, 1 \leq j \leq n}$  is another system of linear equations (not too important how many equations there are in S'). Then, S' is a **linear combination** of S if every linear equations  $\sum a'_{ij}x_j = b_i$  in S' can be obtained as linear combinations of equations in S, i.e.  $\sum a'_{ij}x_j = b'_i$  is obtained through

$$\sum c_i \left(\sum a_{ij} x_j\right) = \sum c_i b_i, 1 \le i \le m, \text{ for some } c_i \in \mathbb{K}$$

# **Definition 1.5** (Equivalance)

2 systems S, S' are equivalent if S' is a linear combination of S and vice versa. Denote  $S \sim S'$ 

#### **Example**

In previous example,  $S = \{(1), (2)\}, S' = \{(1), (3)\}, S'' = \{(2), (3)\}, S''' = \{(3)\}.$ Then,  $S \nsim S'', S \sim S'$  always,  $S \sim S''$  only if 3 is invertible

#### **Explanation**

From S', (1) = (1),  $(2) = (3) - 3 \cdot (1)$ . Therefore S is a linear combination of S'.  $\Rightarrow S \sim S'$ . From S'', (2) = (2),  $3 \cdot (1) = (3) - (2)$ . If  $3^{-1} \in \mathbb{K}$  (i.e.  $3 \neq 0$ ) then  $(1) = 3^{-1}((3) - (2))$  is thus recoverable from S'', then  $S \sim S''$ . Otherwise, no.

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# Lecture 2

Matrices

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#### **Proposition 2.1**

If 2 systems of linear equations are equivalent,  $S \sim S'$  then they have the same set of solutions

#### Remark

Why is this important? This becomes important if we have a complicated system and want to transform into a simpler system to solve.

#### **Proof** (Proposition 2.1)

If  $(x_1 = \alpha_1, x_2 = \alpha_2, \dots, x_n = \alpha_n)$  is a solution of S then we claim that it's also a solution of S' and vice versa. This is trivial because  $S \sim S'$ .

#### **Definition 2.1** (Matrix)

Let  $\mathbb{K}$  be a field. Then an  $\mathbf{m} \times \mathbf{n}$  matrix with coefficients in  $\mathbb{K}$ , is an ordered tuple of elements in  $\mathbb{K}$ , typically written as

$$\begin{bmatrix} a_{11} & a_{12} & \cdots & a_{1n} \\ a_{21} & a_{22} & \cdots & a_{2n} \\ \vdots & \vdots & \ddots & \vdots \\ a_{m1} & a_{m2} & \cdots & a_{mn} \end{bmatrix} \in \mathbb{M}_{m \times n}(\mathbb{K})$$

#### **Definition 2.2** (Matrix Multiplication)

If  $T_1 \in \mathbb{M}_{m \times n}(\mathbb{K}), T_2 \in \mathbb{M}_{n \times l}(\mathbb{K})$  then  $T_1 \cdot T_2 \in \mathbb{M}_{m \times l}(\mathbb{K})$  (where  $m, n, l \in \mathbb{N}$ ). Specifically,

$$\begin{bmatrix} a_{11} & a_{12} & \cdots & a_{1n} \\ a_{21} & a_{22} & \cdots & a_{2n} \\ \vdots & \vdots & \ddots & \vdots \\ a_{m1} & a_{m2} & \cdots & a_{mn} \end{bmatrix} \cdot \begin{bmatrix} b_{11} & b_{12} & \cdots & b_{1l} \\ b_{21} & b_{22} & \cdots & b_{2l} \\ \vdots & \vdots & \ddots & \vdots \\ b_{n1} & b_{n2} & \cdots & b_{nl} \end{bmatrix} = \begin{bmatrix} c_{11} & c_{12} & \cdots & c_{1l} \\ c_{21} & c_{22} & \cdots & c_{2l} \\ \vdots & \vdots & \ddots & \ddots & \vdots \\ c_{m1} & c_{m2} & \cdots & c_{ml} \end{bmatrix}$$

where

 $c_{ij}=$  the "inner product" of i-th row of  $T_1$  and j-th row of  $T_2$ 

$$= \sum_{t=1}^{n} a_{it} b_{tj}$$

$$\forall (i, j), 1 \le i \le m, 1 \le j \le l$$

In particular, if  $T_1, T_2 \in \mathbb{M}_n := \mathbb{M}_{n \times n}(\mathbb{K})$  then  $T_1 \cdot T_2$  and  $T_2 \cdot T_1$  are both valid. In general, they're often not equal.

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#### **Observe**

We can write system of linear equations as

$$T \cdot \begin{bmatrix} x_1 \\ x_2 \\ \vdots \\ x_n \end{bmatrix} = \begin{bmatrix} b_1 \\ b_2 \\ \vdots \\ b_m \end{bmatrix}$$

where

$$T \in \mathbb{M}_{m \times n}(\mathbb{K}), \begin{bmatrix} x_1 \\ x_2 \\ \vdots \\ x_n \end{bmatrix} \in \mathbb{M}_{n \times 1} \text{(indeterminants)}, \begin{bmatrix} b_1 \\ b_2 \\ \vdots \\ b_m \end{bmatrix} \in \mathbb{M}_{m \times 1}(\mathbb{K})$$

Then, finding solutions to S is equivalent to finding  $(\alpha_1, \alpha_2, \dots, \alpha_n) \in \mathbb{K}$  s.t.

$$T \cdot \left[ \begin{array}{c} \alpha_1 \\ \alpha_2 \\ \vdots \\ \alpha_n \end{array} \right] = \left[ \begin{array}{c} b_1 \\ b_2 \\ \vdots \\ b_m \end{array} \right]$$

#### Exercise 2.1

If  $T_1, T_2, T_3 \in \mathbb{M}_n(\mathbb{K})$  then  $(T_1 \cdot T_2) \cdot T_3 = T_1 \cdot (T_2 \cdot T_3)$ . This is by no means obvious.

# **Definition 2.3** (Identity Matrix)

$$I_n = id_n = egin{bmatrix} 1 & 0 & 0 & \cdots & 0 & 0 \ 0 & 1 & 0 & \cdots & 0 & 0 \ 0 & 0 & 1 & \ddots & 0 & 0 \ dots & dots & \ddots & \ddots & dots & dots \ 0 & dots & \cdots & \ddots & 1 & 0 \ 0 & 0 & 0 & \cdots & 0 & 1 \end{bmatrix} \in \mathbb{M}_n(\mathbb{K})$$

#### Observe

$$I_n \cdot T = T \cdot I_n, \ \forall \ T \in \mathbb{M}_n(\mathbb{K})$$

Thus,  $(\mathbb{M}_n(\mathbb{K}), \cdot)$  is "trying" to be a group, but it's not.

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## **Definition 2.4** (Invertible Matrix)

A matrix  $T \in \mathbb{M}_n(\mathbb{K})$  is **invertible** if  $\exists T' \in \mathbb{M}_n(\mathbb{K})$  s.t.

$$T \cdot T' = I_n$$

#### Exercise 2.2

If 
$$T \cdot T' = I_n \Rightarrow T' \cdot T = I_n$$

# **Definition 2.5** (General Linear Group $GL_n(\mathbb{K})$ )

$$GL_n(\mathbb{K}) = \{ T \in \mathbb{M}_n(\mathbb{K}) \mid T \text{ is invertible} \}$$

#### Remark

Then  $GL_n(\mathbb{K})$  is a group.

# **Definition 2.6** (Elementary Row operations)

Let S be the system of equations:

$$\sum a_{1j}x_j = b_1 \tag{1}$$

$$\sum a_{1j}x_j = b_1 \tag{1}$$

$$\sum a_{2j}x_j = b_2 \tag{2}$$

$$\vdots = \vdots 
\sum a_{mj}x_j = b_m$$
(m)

then there are 3 elementary row operations:

- 1. Switching 2 of the equations
- 2. Replace (i) with  $c \cdot$  (i) where  $c \neq 0$
- 3. Replace (i) by (i) + d(j) where  $i \neq j$

# **Proposition 2.2**

If S' can be obtained from S via a finite sequence of elementary row operations then  $S \sim S'$ .

# Corollary 2.1

S can also be obtained from S' via a finite sequence of elementary row operations.

If S' can be obtained from S via a finite sequence of elementary row operations then they have

Vector Spaces

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# 3.1 Elementary Row Operations and Systems of Linear Equations

**Question:** What are we doing to the matrices A, B(Ax = B) (A of size  $m \times n$ , B of size  $n \times 1$ ) when elementary row operations are carried out?

**Answer:** The row operations operate on the **rows** of A (switching rows, multiplying by scalar, adding other rows)

#### **Example**

$$A_0 = \begin{bmatrix} 2 & 1 & 1 \\ 1 & 2 & 3 \\ 1 & 1 & 1 \end{bmatrix} \xrightarrow{(1')=(1)+-2(3)} A_1 = \begin{bmatrix} 0 & -1 & -1 \\ 1 & 2 & 3 \\ 1 & 1 & 1 \end{bmatrix} \sim \cdots \sim A_7 = \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

$$\begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} x_1 \\ x_2 \\ x_3 \end{bmatrix} = \begin{bmatrix} b \dots \\ b \dots \\ b \dots \end{bmatrix}$$

We eventually arrived  $LHS=\left[\begin{array}{c} x_1\\ x_2\\ x_3 \end{array}\right]$  itself, due to the properties of  $I_3$ . By "simplifying" rows

this way, we can therefore solve systems of linear equations.

# **Definition 3.1** (Row-reduced Matrix)

The **row-reduced** form of a matrix has 1 as the leading non-zero coefficient for each of its rows (0-padded on the left). Furthermore, each column which contains the leading non-zero entry of some row has all its other entries as 0. By convention, the leading coefficient of a row of higher row index also has a higher column index.

#### **Proof** (Proposition 2.2)

We only provide a sketch of the proof. We re-enumerate the types of operations:

- 1.  $(i) \leftrightarrow (j)$
- $2. (i) \rightarrow c(i), c \neq 0$
- 3.  $(i) \to (i) + d(j), j \neq i$

**Explanations:** 

- 1. Trivial
- 2. Clearly S' is obtainable from S, and trivially all other equations except for (i) of S are obtainable from S'. However,  $(i) = c^{-1}(c(i)) = c^{-1}(i')$ . Therefore  $S \sim S'$ .

3. Similarly, S' is clearly obtainable from S, while (i) = (i') - d(j) = (i') - d(j'). Therefore  $S \sim S'$ .

# 3.2 Vector Spaces

#### **Definition 3.2** (Vector Space)

Let  $\mathbb{K}$  be a field. A **vector space over**  $\mathbb{K}$  (" $\mathbb{K}$ -vector space")("k-vs") is an Abelian group V with a map:  $\mathbb{K} \times V \to V$  ( $\mathbb{K}$ -action on V). An element in V is called a **vector**. They have to satisfy  $\forall a, b \in \mathbb{K}$ ;  $\forall v, v_1, v_2 \in V$ :

- $1. \ 0 \cdot v = 0$  $1 \cdot v = v$
- 2.  $(a+b) \cdot v = (a \cdot v) + (b \cdot v)$  $(a \cdot b) \cdot v = a \cdot (b \cdot v)$
- 3.  $a \cdot (v_1 + v_2) = (a \cdot v_1) + (a \cdot v_2)$

Essentially,  $\mathbb{K}$ , V with operations:

- 1.  $+: \mathbb{K} \times \mathbb{K} \to \mathbb{K}, \cdot: \mathbb{K} \times \mathbb{K} \to \mathbb{K}$  (Field)
- 2.  $+: V \times V \rightarrow V$  (Abelian group)
- 3.  $\cdot : \mathbb{K} \times V \to V$  (Action)

#### **Example**

Field  $\mathbb{K} = \mathbb{R}$ ,  $V = \mathbb{R}^n \doteq \{(x_1, x_2, \dots, x_n) \mid x_i \in \mathbb{R}\}$ . Indeed,  $\mathbb{R}^n$  is an Abelian group.

# **Definition 3.3** (Linear Combination)

Let V be a k-vs. If  $v_1, v_2, \ldots, v_r \in V$ ;  $r \in \mathbb{N}$  then a **linear combination** of  $\{v_1, v_2, \ldots, v_r\}$  is a vector of the form

$$c_1 \cdot v_1 + c_2 \cdot v_2 + \cdots + c_r \cdot v_r$$
 where  $c_i \in \mathbb{K}$ 

### **Definition 3.4** (Linear Span)

Then the **linear span** of  $v_1, v_2, \ldots, v_r$  in V is the set of all such linear combinations.

Linear Transformation, Homomorphism, Kernel, Image

04 Apr 2023

# 4.1 Vector Subspace

## **Definition 4.1** (Vector Subspace)

Let V be a  $\mathbb{K}$ -vector space. A subspace (or sub-vector space) of V is a subset  $W \subseteq V$  s.t. W is itself a  $\mathbb{K}$ -vector space under addition and scaling induced from V. A priori, we know that

$$+: W \times W \to V, \cdot: W \times W \to V$$

but this subspace requirement implies that

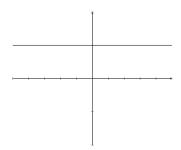
$$\forall x, y \in W, x + y \in W$$

$$\forall \alpha \in \mathbb{K}, x \in W, \alpha \cdot x \in W$$

In other words, the subspace is closed under addition and scaling.

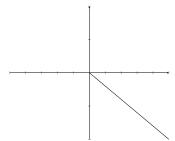
# Example

Take  $\mathbb{K} = \mathbb{R}, V = \mathbb{R}^2$ , with ordinary addition and scaling. Consider the subset represented by line y = 1.

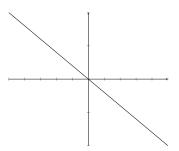


This is not a subspace because there exists no 0 element. This kinda implies that any subspace of  $\mathbb{R}^2$  must pass through the origin (0,0).

Consider another instance, this time the following ray:



This is also not a subspace, since there's no additive inverse. Therefore a subspace shall look something like this:



# 4.2 Mapping

# Motivation

A map from sets to sets can be anything. e.g.  $x: \mathbb{Z} \mapsto x^2: \mathbb{Z}$  doesn't preserve the "group" structure  $(x+y)^2 \neq x^2 + y^2$  most of the time.

### **Definition 4.2** (Group Homomorphism)

Let A, B be Abelian groups. Map  $\psi : A \to B$  is called a **group homomorphism** if:

$$\psi(x+y) = \psi(x) + \psi(y)$$

Then  $x: \mathbb{Z} \mapsto x^2: \mathbb{Z}$  is not a group homomorphism, but  $x: \mathbb{Z} \mapsto nx: \mathbb{Z}$  for fixed n is a group homomorphism.

Here, a natural question arises: If given 2 vector spaces, what maps are allowed between them? What structures do we have to preserve?

#### **Definition 4.3** (Linear Transformation)

Let V, W be  $\mathbb{K}$ -vector spaces. Then a **vector space homomorphism** is also called a **linear transformation**, a map  $\psi : V \to W$  s.t.

1. 
$$\psi(v_1 + v_2) = \psi(v_1) + \psi(v_2) \ \forall \ v_1, v_2 \in V$$

2. 
$$\psi(\alpha \cdot v) = \alpha \cdot \psi(v) \ \forall \ \alpha \in \mathbb{K}, v \in V$$

Denote  $\mathbf{Hom}_{\mathbb{K}}(\mathbf{V}, \mathbf{W})$  as the set of all linear transformations  $V \to W$ .

#### **Example**

$$\mathbb{K} = \mathbb{R}, V = W = \mathbb{R}$$

 $\operatorname{Hom}_{\mathbb{R}}(V, W) = \{ \psi : \mathbb{R} \to \mathbb{R} \mid (1), (2) \text{ are satisfied } \}$ 

We claim that  $\psi(1)$  uniquely determines the map  $\psi$ , because

$$\psi(\alpha) = \alpha \cdot \psi(1)$$

Essentially, there exists a bijection between  $\operatorname{Hom}_{\mathbb{R}}(V, W)$  and  $\mathbb{R}$ :

$$\operatorname{Hom}_{\mathbb{R}}(V, W) \to \mathbb{R}$$
  
 $\psi \to \psi(1)$   
 $(\psi_{\beta} : x \mapsto x \cdot \beta) \leftarrow \beta$ 

#### **Example**

 $\mathbb{K} = \mathbb{R}, V = \mathbb{R}, W = \text{any } \mathbb{K}\text{-vector space}$ 

We, similarly, claim that there is a bijection between  $\operatorname{Hom}_{\mathbb{R}}(V, W)$  and W. With the same reasoning,  $\psi$  is determined by  $\psi(1)$ , though this time  $\psi(1) \in W$ .

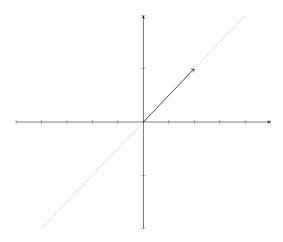
$$\operatorname{Hom}_{\mathbb{R}}(V, W) \to W$$

$$\psi \to \psi(1) \in W$$

$$(\psi_{\beta} : x \mapsto x \cdot w) \leftarrow w$$

# Example

As a sub-example of the example above, consider  $W = \mathbb{R}^2$ :



Then if  $\psi(1) = (4,5)$  as above (and  $\psi(0) = (0,0)$  implicit), then  $\psi$  would map the rest of  $V = \mathbb{R}$  onto the dotted line above.

An interesting point to note is that if  $\psi(1) = (0,0)$ , then the entire real line would get sent (and compressed) to (0,0).  $\psi_{(0,0)}$  therefore contracts  $\mathbb{R}$  into one point (the origin (0,0)) while others output a subspace of  $\mathbb{R}^2$ .

#### **Example**

 $\mathbb{K}=\mathbb{R}, V=\mathbb{R}^2, W=\text{ any }\mathbb{R}\text{-vector space}$ 

We claim that there exists a bijection between  $\operatorname{Hom}_{\mathbb{R}}(\mathbb{R}^2, W)$  and  $W \oplus W$ ; as each  $\psi$  is determined by  $\psi((1,0))$  and  $\psi((0,1))$ .

The notation  $\oplus$  is defined as: If V, W are  $\mathbb{K}$ -vector spaces then

$$V \oplus W = \{(v, w) \mid v \in V, w \in W\}$$

e.g.  $\mathbb{R}^2 = \mathbb{R} \oplus \mathbb{R}$ 

Then  $V \oplus W$  would also be a  $\mathbb{K}$ -vector space with operations  $+, \cdot$  defined intuitively:

$$(v_1, w_1) + (v_2, w_2) = (v_1 + v_2, w_1 + w_2)$$
  
 $\alpha \cdot (v, w) = (\alpha \cdot v, \alpha \cdot w)$ 

Back to the example,  $\forall v = (x, y) \in V, v = x(1, 0) + y(0, 1)$ , therefore

$$\psi(v) = \psi((x, y)) = x \cdot \psi((1, 0)) + y \cdot \psi((0, 1))$$

 $\psi$  is therefore uniquely defined by  $\psi((1,0))$  and  $\psi((0,1))$ .

### **Example**

 $\mathbb{K} = \mathbb{R}, V = \mathbb{R}^m, W = \text{any } \mathbb{R}\text{-vector space}$ 

Think about  $W = \mathbb{R}^n$  with similar reasoning.

**Hint:** We want to show there exists a bijection between  $\operatorname{Hom}_{\mathbb{R}}(\mathbb{R}^m, \mathbb{R}^n)$  and  $\mathbb{R}^{m \cdot n}$ , but this is often rewritten as  $\mathbb{M}_{m \times n}(\mathbb{R})$ 

# 4.3 Isomorphism, Kernel, Image

Every linear transformation is just a map, and we can therefore question if it is injective, surjective or bijective. In all cases, these concepts simply deal with the sets (vector spaces) as simply sets.

# **Definition 4.4** (Isomorphism)

A K-linear transformation  $\psi: V \to W$  is an **isomorphism** if it is bijective.

# **Definition 4.5** (Kernel, Image)

Let  $\psi: V \to W$  be a linear transformation over  $\mathbb{K}$ . Then:

- 1. **Kernel**:  $\ker(\psi) := \{v \in V \mid \psi(v) = 0\} \subseteq V$
- 2. Image:  $\operatorname{im}(\psi) := \{ w \in W \mid \exists v \in V \text{ s.t. } \psi(v) = w \}$

# **Lemma 4.1** 1. $\ker(\psi)$ is a $\mathbb{K}$ -vector subspace of V

2.  $\operatorname{im}(\psi)$  is a K-vector subspace of W

#### Proof (Lemma)

We want to show that if  $x, y \in \ker(\psi)$  then  $x + y \in \ker(\psi)$ .

$$\psi(x+y) = \psi(x) + \psi(y)$$
 (since  $\psi$  is a linear transformation )  
= 0 + 0  
= 0

Therefore  $x + y \in \ker(\psi)$ 

Furthermore,  $\forall \alpha \in \mathbb{K}, x \in \ker(\psi)$  then

$$\psi(\alpha, x) = \alpha \cdot \psi(x) = \alpha \cdot 0 = 0 \Rightarrow \alpha \cdot x \in \ker(\psi)$$

Therefore  $\ker(\psi)$  is a subspace.

Similarly,  $im(\psi)$  is a subspace.

**Definition 4.6** (Finite Dimensional, Dimension) 1. Let V be a  $\mathbb{K}$ -vector space. V is called **finite dimensional** if there exists a surjective linear transformation  $\mathbb{K}^r \to V$  where  $r \in \mathbb{Z}_{>0}$ . As a consequence,  $\mathbb{K}^r$  is also finite dimensional, with an identity mapping.

2. If V is finite dimensional then **dimension** of V is defined as

$$\dim V := \min\{k \in \mathbb{Z}_{>0} \mid \exists \text{ linear transformation } \mathbb{K}^r \to V\}$$

Span, Linear Independence, Basis

06 Apr 2023

### Recall

Linear Combination: Let  $V = \mathbb{K}$ -vector space with  $v_1, v_2, \dots, v_r \in V$  then

$$\mathbb{K}\langle v_1, v_2, \dots, v_r \rangle := \{ w \in W \mid = w = a_1v_1 + \dots + a_rv_r; a_i \in \mathbb{K} \} \subseteq V \text{ (is a subspace of } V \text{)}$$

# **Definition 5.1** (Span)

 $\{v_1, v_2, \dots, v_r\}$  span V if

$$\mathbb{K}\langle v_1, v_2, \dots, v_r \rangle = V$$

i.e. equality is achieved: every vector in V can be written as linear combinations of  $\{v_1, v_2, \dots, v_r\}$ 

Connecting to the previous lecture, let  $\psi: \mathbb{K}^r \to V$  then  $\psi \in \operatorname{Hom}_{\mathbb{K}}(\mathbb{K}^r, V) \xrightarrow{\sim} V^{\oplus r}$ , i.e.  $\psi$ corresponds to  $(v_1, v_2, \ldots, v_r)$  in V.

In particular,  $(v_1, v_2, \dots, v_r) \in V^{\oplus r}$  determines the map:

$$\psi: (1,0,\ldots,0) \in \mathbb{K}^r \to v_1$$

$$(0,1,\ldots,0) \in \mathbb{K}^r \to v_2$$

$$\vdots$$

$$(0,0,\ldots,1) \in \mathbb{K}^r \to v_r$$

$$(\alpha_1,\alpha_2,\ldots,\alpha_r) \in \mathbb{K}^r \to \alpha_1 v_1 + \alpha_2 v_2 + \cdots + \alpha_r v_r$$

**Lemma 5.1** 1. Let  $\psi : \mathbb{K}^r \to V$  be a linear transformation determined by  $v_1, v_2, \dots, v_r \in V$ , i.e.  $\psi(\alpha_1, \alpha_2, \dots, \alpha_r) := \sum_{i=1}^r \alpha_i v_i$ , then

$$\operatorname{im}(\psi) = \mathbb{K}\langle v_1, v_2, \dots, v_r \rangle$$

is a subspace of V2.  $\{v_1, v_2, \dots, v_r\}$  span  $V \Leftrightarrow \psi$  is surjective i.e. a surjection  $\mathbb{K}^r \to V$  corresponds to r vectors  $v_1, v_2, \dots, v_r \in V$  that span V

#### Remark

V is finite dimensional when  $\exists$  surjection  $\mathbb{K}^d \to V$ 

 $\Leftrightarrow \exists d \text{ vectors } v_1, v_2, \dots, v_r \text{ that span } V.$ 

Recall: dim  $V = \min\{r \in \mathbb{Z}_{>0} \text{ s.t. } \exists \text{ surjective } \mathbb{K}^r \to V\}.$ 

Next, what does it mean for  $\psi$  to be injective?

### **Definition 5.2** (Linear Independence)

 $v_1, v_2, \ldots, v_r \in V$  are linearly independent if

$$a_1v_1 + a_2v_2 + \dots + a_rv_r = 0; a_i \in \mathbb{K} \Rightarrow a_1 = a_2 = \dots = a_r = 0$$

i.e. there doesn't exist non-trivial relations between the vectors.

#### **Example**

In  $\mathbb{R}^2$ , (0, 1) and (0, 2) are not linearly independent because

$$(-2)(0,1) + (0,2) = (0,0)$$

But (0, 1) and (1,0) are linearly independent.

Consequentially, they are linearly dependent otherwise, i.e.

$$\exists a_i \text{ not all } 0 \text{ s.t. } \sum a_i v_i = 0$$

#### Lemma 5.2

Given  $\psi : \mathbb{K}^r \to V$  corresponds to  $v_1, v_2, \dots, v_r$  then  $v_1, v_2, \dots, v_r$  are linearly independent if and only if  $\psi$  is injective

In order to prove the lemma above, we shall make use of a more convenient test for whether a map  $\varphi : \mathbb{K}^r \to V$  is injective.

#### Lemma 5.3

Let  $\varphi:V\to W$  be a linear transformation then  $\varphi$  is injective if and only if

$$\ker(\varphi) = \{0\} \subseteq V$$

### Proof (Lemma 5.3)

 $\Rightarrow$  We assume that  $\varphi$  is injective, want to show that  $\ker(\varphi) = \{0\}$ .

We know that  $\varphi(0) = 0 \Rightarrow 0 \in \ker(\varphi)$  but since  $\varphi$  is injective,  $\nexists v \neq 0 \in V$  s.t.  $\varphi(v) = 0$ . It follows that  $\ker(\varphi) = 0$ 

 $\leftarrow$  We want to show that  $x, y \in V$  s.t.  $\varphi(x) = \varphi(y) \Rightarrow x = y$ 

Since  $\varphi(x-y) = \varphi(x+(-y)) = \varphi(x) - \varphi(y) = 0$ , combined with  $\ker(\varphi) = 0$ 

$$\Rightarrow x - y = 0 \Rightarrow x = y$$

#### **Proof** (Lemma 5.2)

Applying Lemma 5.3, we want to show:  $\ker(\varphi) = 0$  iff  $v_1, v_2, \dots, v_r$  are linearly independent.

 $\implies$  Suppose  $\ker(\varphi) = \{0\}$  then want to show

$$a_1v_1 + a_2v_2 + \cdots + a_rv_r = 0 \Rightarrow a_i = 0 \ \forall i$$

But  $LHS = \varphi((a_1, a_2, \dots, a_r)) \Rightarrow (a_1, a_2, \dots, a_r) \in \ker(\varphi) \Rightarrow (a_1, a_2, \dots, a_r) = 0.$ 

Therefore  $a_i = 0 \ \forall i$ .

 $\subseteq$  Suppose that  $v_1, v_2, \ldots, v_r$  are linearly independent.

Then for  $v \in \ker(\varphi) \Rightarrow \varphi(v) = 0$ , with  $v = (a_1, a_2, \dots, a_r)$ 

$$\Rightarrow 0 = \varphi(v)$$

$$= \varphi((a_1, a_2, \dots, a_r))$$

$$= a_1 v_1 + a_2 v_2 + \dots + a_r v_r$$

But since  $v_1, v_2, \ldots, v_r$  are linearly independent

$$\Rightarrow a_i = 0 \ \forall \ i \Rightarrow v = 0 \Rightarrow \ker(\varphi) = 0$$

#### Corollary 5.1

If V has dimension d over  $\mathbb{K}$  then there exists isomorphic  $\varphi: \mathbb{K}^d \xrightarrow{\sim} V$  i.e.  $\varphi$  is a bijective linear transformation

# **Proof** (Corollary)

Since  $d = \dim V$ , by definition there exists surjective linear transformation  $\pi : \mathbb{K}^d \to V$ . We then claim that  $\pi$  is also injective.

Proving by contradiction, we suppose that  $\pi$  is not injective.

let  $v_1, v_2, \ldots, v_d$  be the d vectors that correspond to  $\pi$ , i.e.

$$\pi((a_1, a_2, \dots, a_d)) = a_1 v_1 + \dots + a_d v_d$$

By Lemma 5.2,  $\pi$  being not injective implies that  $v_1, v_2, \dots, v_d$  are linearly dependent. i.e. there exists  $b_1, b_2, \dots, b_d \in \mathbb{K}$  not identically 0 s.t.

$$b_1v_1 + b_2v_2 + \cdots + b_dv_d = 0$$

WLOG, assume  $b_1 \neq 0$ .

$$\Rightarrow b_1 v_1 = -(b_2 v_2 \dots b_d v_d)$$

$$\Rightarrow v_1 = -b^{-1} (b_2 v_2 \dots b_d v_d) (\exists b^{-1} :: b_1 \neq 0)$$

$$= c_2 v_2 + c_3 v_3 + \dots + c_d v_d$$

We already know that since  $\pi$  is surjective, thus  $v_1, v_2, \ldots, v_d$  span V. However, the above equality implies that  $v_2, \ldots, v_d$  already span V!

It follows that there must exist a surjective linear transformation  $\pi' : \mathbb{K}^{d-1} \to V$   $\Rightarrow \Leftarrow$ , since  $d = \min\{r \mid \exists \text{ surjective } \pi^r : \mathbb{K}^r \to V\}$ 

Therefore  $\pi$  is injective. It is already surjective, and therefore bijective, making it an isomorphism.

# Recall

 $\psi: \mathbb{K}^d \to V$  as determined by  $v_1, v_2, \dots, v_d$  is

- 1. **injective** when  $v_1, v_2, \dots, v_d$  are linearly independent
- 2. surjective when  $v_1, v_2, \ldots, v_d$  span V

This naturally leads to our next definition.

# **Definition 5.3** (Basis)

 $\{v_1, v_2, \dots, v_r\}$  is called a **basis** of V if they span V and are linearly independent, i.e.  $\psi_{(v_1, v_2, \dots, v_r)} : \mathbb{K}^r \to V$  is an isomorphism.

Corollary 5.2 
$$\dim_{\mathbb{K}} V = d \Leftrightarrow \exists \text{ basis } \{v_1, v_2, \dots, v_d\} \text{ for } V$$

Corollary 5.3 If  $\{v_1, v_2, \dots, v_d\}$  and  $\{w_1, w_2, \dots, v_{d'}\}$  are basis for V then d = d'.