

# MATH 26200: Point-Set Topology

## Problem Set 3

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**Textbook:** Munkres, *Topology*

**Problem 3.1** (20.1 done)

(a) In  $\mathbb{R}^n$ , define

$$d'(x, y) = |x_1 - y_1| + \cdots + |x_n - y_n|$$

Show that  $d'$  is a metric that induces the usual topology on  $\mathbb{R}^n$ . Sketch the basis elements under  $d'$  when  $n = 2$ .

(b) More generally, given  $p \geq 1$ , define

$$d'(x, y) = \left[ \sum_{i=1}^n |x_i - y_i|^p \right]^{1/p}$$

for  $x, y \in \mathbb{R}^n$ . Assume that  $d'$  is a metric. Show that it induces the usual topology on  $\mathbb{R}^n$ .

**Solution**

(a) Recall that the usual topology on  $\mathbb{R}^n$  is the topology induced by the metric

$$d(x, y) = \left[ \sum_{i=1}^n (x_i - y_i)^2 \right]^{1/2}$$

We prove that  $d'$  and  $d$  are comparable, i.e., that

$$\begin{aligned} d'(x, y)^2 &= \left( \sum_{i=1}^n |x_i - y_i| \right)^2 \\ &\geq \sum_{i=1}^n (x_i - y_i)^2 = d(x, y)^2 \\ \Rightarrow d'(x, y) &\geq d(x, y) \end{aligned}$$

and

$$\begin{aligned}
d'(x, y)^2 &= \left( \sum_{i=1}^n |x_i - y_i| \right)^2 \\
&\leq \left( \sum_{i=1}^n d(x, y) \right)^2 \\
&\leq n^2 d(x, y)^2 \\
\Rightarrow d'(x, y) &\leq n d(x, y)
\end{aligned}$$

Therefore we can exhibit basis elements for the  $d'$  metric topology and the usual topology:

$$B_{d, \varepsilon}(x) \subset B_{d', \varepsilon}(x) \subset B_{d, \frac{\varepsilon}{n}}(x)$$

thus  $d'$  induces the same topology.

Basis elements under  $d'$  when  $n = 2$  are squares rotated by  $\pi/4$ .

(b) In the general case, we employ the same method. Call the  $d'$  in part (a)  $d_1$ . Then

$$\begin{aligned}
d_1(x, y)^p &= \left( \sum_{i=1}^n |x_i - y_i| \right)^p \\
&\geq d(x, y)^p \\
\Rightarrow d_1(x, y) &\geq d(x, y)
\end{aligned}$$

and

$$\begin{aligned}
d_1(x, y)^p &= \left( \sum_{i=1}^n |x_i - y_i| \right)^p \\
&\leq \left( \sum_{i=1}^n d(x, y) \right)^p \\
\Rightarrow d_1(x, y) &\leq n d(x, y)
\end{aligned}$$

then by the same argument,  $d'$  induces the same metric topology as  $d_1$ , which is the usual topology on  $\mathbb{R}^n$ .  $\square$

**Problem 3.2** (20.4 done)

Consider the product, uniform and box topologies on  $\mathbb{R}^\omega$ .

(a) In which topologies are the following functions from  $\mathbb{R}$  to  $\mathbb{R}^\omega$  continuous?

$$\begin{aligned}
f(t) &= (t, 2t, 3t, \dots) \\
g(t) &= (t, t, t, \dots) \\
h(t) &= (t, \frac{1}{2}t, \frac{1}{3}t, \dots)
\end{aligned}$$

(b) In which topologies do the following sequences converge?

$$\begin{array}{ll}
w_1 = (1, 1, 1, 1, \dots) & x_1 = (1, 1, 1, 1, \dots) \\
w_2 = (0, 2, 2, 2, \dots) & x_2 = (0, \frac{1}{2}, \frac{1}{2}, \frac{1}{2}, \dots) \\
w_3 = (0, 0, 3, 3, \dots) & x_3 = (0, 0, \frac{1}{3}, \frac{1}{3}, \dots) \\
\vdots & \\
y_1 = (1, 0, 0, 0, \dots) & z_1 = (1, 1, 0, 0, \dots) \\
y_2 = (\frac{1}{2}, \frac{1}{2}, 0, 0, \dots) & z_2 = (\frac{1}{2}, \frac{1}{2}, 0, 0, \dots) \\
y_3 = (\frac{1}{3}, \frac{1}{3}, \frac{1}{3}, 0, \dots) & z_3 = (\frac{1}{3}, \frac{1}{3}, 0, 0, \dots)
\end{array}$$

### Solution

(a) 1. Product topology. We have, by Theorem 20.5, that the metric

$$D(\mathbf{x}, \mathbf{y}) = \sup \left\{ \frac{\bar{d}(x_i, y_i)}{i} \right\}$$

where  $\bar{d}(x_i, y_i) = \min\{|x_i - y_i|, 1\}$  induces the product topology on  $\mathbb{R}^\omega$ .

- $f$  is continuous: For all  $\varepsilon$ , we can choose  $\delta = \varepsilon$ , then  $|u - v| < \delta$  implies:

$$D(f(u), f(v)) \leq \sup \left\{ \frac{|iu - iv|}{i} \right\} = \sup\{|u - v|\} < \delta = \varepsilon$$

- $g$  is continuous. For all  $\varepsilon$ , we can choose  $\delta = \varepsilon$ , then  $|u - v| < \delta$  implies:

$$D(g(u), g(v)) \leq \sup \left\{ \frac{|u - v|}{i} \right\} = |u - v| < \delta = \varepsilon$$

- $h$  is continuous. For all  $\varepsilon$ , we can choose  $\delta = \varepsilon$ , then  $|u - v| < \delta$  implies:

$$D(g(u), g(v)) \leq \sup \left\{ \frac{|u - v|}{i^2} \right\} = |u - v| < \delta = \varepsilon$$

2. Uniform topology. The uniform topology is the one induced by the metric

$$\bar{\rho}(\mathbf{x}, \mathbf{y}) = \sup\{\bar{d}(x_i, y_i)\}$$

- $f$  is not continuous at 1.  $f(1) = (1, 2, \dots)$ . Let  $\varepsilon = 0.5$ . Then we want to show that for all  $\delta > 0$ , there exists some  $u \in (1 - \delta, 1 + \delta)$  such that  $\bar{\rho}(f(u), f(1)) > \varepsilon = 0.5$ .

Indeed, for any  $\delta$ , pick  $u = 1 + \frac{\delta}{2}$ . Then

$$f(u) = (1 + \frac{\delta}{2}, 2 + \delta, \dots)$$

and there exists  $N$  such that  $N\delta/2 > 1$ . Then

$$\bar{\rho}(f(u), f(1)) = \sup\{\bar{d}(f(u)_i, f(1)_i)\} \geq \bar{d}(f(u)_N, f(1)_N) = \bar{d}(N+N\delta/2, N) = 1 > 0.5$$

as required.

- $g$  is continuous. For all  $\varepsilon$ , we can choose  $\delta = \varepsilon$ , then  $|u - v| < \delta$  implies

$$\bar{\rho}(g(u), g(v)) = \sup\{\bar{d}(g(u)_i, g(v)_i)\} = \sup\{\bar{d}(u, v)\} \leq |u - v| < \delta = \varepsilon$$

- $h$  is continuous. For all  $\varepsilon$ , we can choose  $\delta = \varepsilon$ , then  $|u - v| < \delta$  implies

$$\bar{\rho}(h(u), h(v)) = \sup\{\bar{d}(h(u)_i, h(v)_i)\} = \bar{d}(u, v) \leq |u - v| < \delta = \varepsilon$$

### 3. Box topology.

- The uniform topology is coarser than the box topology.  $f$  is not continuous in the uniform topology, so it is also not continuous in the box topology.
- $g$  is not continuous in the box topology. Because

$$g^{Pre} \left( (-1, 1) \times \left(-\frac{1}{2}, \frac{1}{2}\right) \times \left(-\frac{1}{3}, \frac{1}{3}\right) \times \dots \right) = \{0\}$$

is not open in  $\mathbb{R}$ .

- $h$  is not continuous in the box topology. Because

$$h^{Pre} \left( (-1, 1) \times \left(-\frac{1}{2^2}, \frac{1}{2^2}\right) \times \left(-\frac{1}{3^2}, \frac{1}{3^2}\right) \times \dots \right) = \{0\}$$

is not open in  $\mathbb{R}$ .

#### (b) 1. Product topology.

- $w_n \xrightarrow{n \rightarrow \infty} (0, 0, \dots)$ , since

$$D(w_n, (0, 0, \dots)) = \sup \left\{ \frac{\bar{d}(n, 0)}{i} : i \geq n \right\} = \frac{1}{n} \xrightarrow{n \rightarrow \infty} 0$$

- $x_n \xrightarrow{n \rightarrow \infty} (0, 0, \dots)$ , since

$$D(x_n, (0, 0, \dots)) = \sup \left\{ \frac{\bar{d}(\frac{1}{n}, 0)}{i} : i \geq n \right\} = \frac{1}{n^2} \xrightarrow{n \rightarrow \infty} 0$$

- $y_n \xrightarrow{n \rightarrow \infty} (0, 0, \dots)$ , since

$$D(y_n, (0, 0, \dots)) = \sup \left\{ \frac{\bar{d}(\frac{1}{n}, 0)}{i} : i \leq n \right\} = \frac{1}{n} \xrightarrow{n \rightarrow \infty} 0$$

- $z_n \xrightarrow{n \rightarrow \infty} (0, 0, \dots)$ , since

$$D(z_n, (0, 0, \dots)) = \sup \left\{ \frac{\bar{d}(\frac{1}{n}, 0)}{i} : i \leq 2 \right\} = \frac{1}{n} \xrightarrow{n \rightarrow \infty} 0$$

## 2. Uniform topology.

- $w_n$  doesn't converge. Suppose that the sequence does:  $w_n \xrightarrow{n \rightarrow \infty} a = (a_1, a_2, \dots)$ . Then for all  $N$ , we trivially have that

$$|a_{N+2} - N| \geq 1 \text{ or } |a_{N+2} - (N+2)| \geq 1$$

which implies

$$\bar{\rho}(a, w_N) \geq 1 \text{ or } \bar{\rho}(a, w_{N+2}) \geq 1$$

so  $\bar{\rho}(a, w_n)$  does not go to 0 as  $n \rightarrow \infty$ .

- $x_n \xrightarrow{n \rightarrow \infty} (0, 0, \dots)$ , since

$$\bar{\rho}(x_n, (0, 0, \dots)) = \sup \left\{ \bar{d}(\frac{1}{n}, 0) : i \geq n \right\} = \frac{1}{n} \xrightarrow{n \rightarrow \infty} 0$$

- $y_n \xrightarrow{n \rightarrow \infty} (0, 0, \dots)$ , since

$$\bar{\rho}(y_n, (0, 0, \dots)) = \sup \left\{ \bar{d}(\frac{1}{n}, 0) : i \leq n \right\} = \frac{1}{n} \xrightarrow{n \rightarrow \infty} 0$$

- $y_n \xrightarrow{n \rightarrow \infty} (0, 0, \dots)$ , since

$$\bar{\rho}(z_n, (0, 0, \dots)) = \sup \left\{ \bar{d}(\frac{1}{n}, 0) : i \leq 2 \right\} = \frac{1}{n} \xrightarrow{n \rightarrow \infty} 0$$

## 3. Box topology.

- $w_n$  doesn't converge in the uniform topology, and the uniform topology is coarser than the box topology, so it doesn't converge in the box topology either.
- $x_n$  doesn't converge. Suppose it does to  $a$ . Then  $a_1 = 0$ , because otherwise, WLOG,  $a_1 > 0$ , the neighborhood  $(a_1/2, 3a_1/2) \times \mathbb{R} \times \dots$  only has 1 term,  $x_1$ . Similarly,  $a_2 = a_3 = \dots = 0$ .

But there exists neighborhood of  $a$ :

$$\left(-\frac{1}{2}, \frac{1}{2}\right) \times \left(-\frac{1}{4}, \frac{1}{4}\right) \times \dots \times \left(-\frac{1}{2n}, \frac{1}{2n}\right) \times \dots$$

that does not contain any  $x_n$ .

- $y_n$  doesn't converge. Using the same reasoning as above, if  $y_n$  does converge, then it must converge to  $a = (0, 0, \dots)$ . However, the same neighborhood as above also does not contain any  $y_n$ .
- $z_n \xrightarrow{n \rightarrow \infty} (0, 0, \dots)$ . Convergence in coordinates after the 3rd is clear, while  $1/n \xrightarrow{n \rightarrow \infty} 0$  takes care of the first 2.

□

### Problem 3.3 (21.3 done)

Let  $X_n$  be a metric space with metric  $d_n$  for  $n \in \mathbb{Z}_+$ .

(a) Show that

$$\rho(x, y) = \max\{d_1(x_1, y_1), \dots, d_n(x_n, y_n)\}$$

is a metric for the product space  $X_1 \times \dots \times X_n$ .

(b) Let  $\bar{d}_i = \min\{d_i, 1\}$ . Show that

$$D(x, y) = \sup \left\{ \frac{\bar{d}_i(x_i, y_i)}{i} \right\}$$

is a metric for the product space  $\prod X_i$

### Solution

(a) We check the conditions for it to be a metric:

(1)  $\rho(x, y) = \max\{d_1(x_1, y_1), \dots, d_n(x_n, y_n)\} \geq d_1(x_1, y_1) \geq 0$ .

And  $0 = \rho(x, y) = \max\{d_1(x_1, y_1), \dots, d_n(x_n, y_n)\} \Rightarrow 0 = d_1(x_1, y_1) = d_2(x_2, y_2) = \dots = d_n(x_n, y_n) \Rightarrow x = y$ .

(2)  $d_1, d_2, \dots$  are symmetric so  $\rho$  is symmetric.

(3)  $\rho(x, y) + \rho(y, z) \geq d_i(x_i, y_i) + d_i(y_i, z_i) \geq d_i(x_i, z_i) \quad \forall i \Rightarrow \rho(x, y) + \rho(y, z) \geq \max\{d_i(x_i, y_i)\} = \rho(x, z)$

(b) To show that  $D$  induces the same topology as the product topology on  $\prod X_i$ , we show that it is both finer and coarser.

1. WTS the  $D$ -metric topology is finer than the product topology.

Take a typical basis element  $B$  of the product topology: the Cartesian product of  $X_i$  at all index  $i$  except for  $U_{i_1}, U_{i_2}, \dots, U_{i_N}$  at indices  $i_1, i_2, \dots, i_N$ ; this basis element contains the point  $(x_1, x_2, \dots)$ . It is therefore necessary for  $x_{i_j} \in U_{i_j} \quad \forall j \in [N]$ .

Then we can take ball  $B_{d_{i_j}}(x_{i_j}, \varepsilon_{i_j}), \varepsilon_{i_j} < 1 \quad \forall j \in [N]$ .

Select  $\varepsilon = \min \left\{ \frac{\varepsilon_{i_j}}{i_j} \in [N] \right\}$  then

$$y \in B_D(x, \varepsilon) \Rightarrow \forall j \in [N], \frac{\bar{d}_{i_j}(x_{i_j}, y_{i_j})}{i_j} < \varepsilon < \frac{\varepsilon_{i_j}}{i_j} \Rightarrow \forall j \in [N], \bar{d}_{i_j}(x_{i_j}, y_{i_j}) < \varepsilon_{i_j} < 1$$

which implies

$$d_{i_j}(x_{i_j}, y_{i_j}) < \varepsilon_{i_j} \quad \forall j \in [N] \Rightarrow y \in B$$

Therefore we can find  $B_D(x, \varepsilon) \subset B$ .

**2.** WTS the product topology is finer than the  $D$ -metric topology.

Take any point  $x$ , and typical basis element  $B_D(x, 2\varepsilon)$  (we can always do in reverse order). Then there exists  $N$  such that  $(N+1)\varepsilon > 1$ .

Then we construct a basis element of the product topology that contains  $x$ , namely,

$$U = B_{d_1}(x_1, \varepsilon) \times B_{d_2}(x_2, 2\varepsilon) \times \dots \times B_{d_N}(x_N, N\varepsilon) \times X_{N+1} \times X_{N+2} \times \dots$$

and WTS  $U \subset B_D(x, \varepsilon)$ .

For any  $y \in U$ , we have that  $\forall i \in [N], d_i(x_i, y_i) < i\varepsilon \Rightarrow \frac{\bar{d}_i(x_i, y_i)}{i} < i\varepsilon/i = \varepsilon$ .

Meanwhile,  $\forall i \geq N, \frac{\bar{d}_i(x_i, y_i)}{i} < \frac{1}{i} \leq \frac{1}{N+1} < \varepsilon$

It follows that

$$D(x, y) = \sup \left\{ \frac{\bar{d}_i(x_i, y_i)}{i} \right\} \leq \varepsilon < 2\varepsilon$$

and therefore  $U \subset B_D(x, 2\varepsilon)$ .

**3.** From **1.**, **2.**, it follows that  $D$  is a metric for the product space  $\prod X_i$ . □

### Problem 3.4 (26.8 done)

Let  $f : X \rightarrow Y$ ,  $Y$  is compact Hausdorff. Then  $f$  is continuous if and only if the graph of  $f$ ,

$$G_f = \{x \times f(x) \mid x \in X\}$$

is closed in  $X \times Y$ .

Hint: If  $G_f$  is closed and  $V$  is a neighborhood of  $f(x_0)$ , then the intersection of  $G_f$  and  $X \times (Y - V)$  is closed. Apply Exercise 7.

### Solution

$\Rightarrow$  Suppose that  $f$  is continuous. WTS  $X \times Y - G_f$  is open.

Take  $x \times y \in (X \times Y - G_f)$ , i.e.,  $y \in Y$  such that  $y \neq f(x)$ . Since  $Y$  is Hausdorff, there exists disjoint open  $V_{fx} \ni f(x), U_y \ni y$ . Since  $f$  is continuous,  $f^{Pre}(V_{fx}) = V_x$  is open in  $X$ .

Then,  $V_x \times U_y$  contains  $x \times y$  and is a basis element of the topology on  $X \times Y$ . And  $f(V_x) \cap U_y = V_{fx} \cap U_y = \emptyset$  so  $V_x \times U_y \cap G_f = \emptyset$ , i.e.,  $V_x \times U_y \subset (X \times Y - G_f)$ . It follows that  $G_f$  is closed.

$\Leftarrow$  We use the following lemma

### Lemma 3.1 (Exercise 7)

If  $Y$  is compact, then the projection  $\pi_1 : X \times Y \rightarrow X$  is a closed map.

### Proof

Take  $K \subset X \times Y$  closed. WTS  $\pi_1(K)$  is closed in  $X$ , i.e.,  $X - \pi_1(K)$  is open in  $X$ .

Take any  $x \in (X - \pi_1(K))$ , i.e., there doesn't exist  $y$  such that  $x \times y \in K$ . This means that  $\{x\} \times Y \cap K = \emptyset$ . Since  $K$  is closed in  $(X \times Y)$ , it follows that  $(X \times Y - K)$  is an open set containing the slice  $\{x\} \times Y$ . By the tube lemma, there exists open  $W \ni x$  such that  $W \times Y \subset (X \times Y - K)$ , which means  $W \cap \pi_1(K) = \emptyset \Rightarrow W \subset (X - \pi_1(K))$ . It follows that  $(X - \pi_1(K))$  is open as required.  $\square$

Now the main proof. Suppose that  $G_f$  is closed in  $X \times Y$ . To show that  $f$  is continuous, we want to show that  $f^{Pre}(V)$  is open in  $X$  for all  $V$  open. Take  $f(x_0) \in V$  open. It follows that  $X \times V$  is open in  $X \times Y$ , and therefore  $X \times (Y - V)$  is closed. Then  $G_f \cap X \times (Y - V)$  is also closed. Using Lemma (since  $Y$  is compact), then  $\pi_1(G_f \cap X \times (Y - V))$  is also closed. Then  $U := X - \pi_1(G_f \cap X \times (Y - V))$  is open.

But then  $U = \pi_1(G_f) - \pi_1(G_f \cap X \times (Y - V)) = \pi_1(G_f \cap X \times V) = f^{Pre}(V)$ . It is open.  $\square$

### Problem 3.5 (26.10 done)

(a) Prove the following partial converse to the uniform limit theorem:

Let  $f_n : X \rightarrow \mathbb{R}$  be a sequence of continuous functions, with  $f_n(x) \xrightarrow{n \rightarrow \infty} f(x)$  for each  $x \in X$ . If  $f$  is continuous, and if the sequence  $f_n$  is monotone increasing, and if  $X$  is compact, then the convergence is uniform.

( $f_n$  is monotone increasing if  $f_n(x) \leq f_{n+1}(x)$  for all  $n$  and  $x$ .)

(b) Give examples to show that this theorem fails if you delete the requirement that  $X$  be compact, or if you delete the requirement that the sequence be monotone.

Hint: Exercises of Chapter 21.

### Solution

(a) To show that  $f_n \xrightarrow{n \rightarrow \infty} f$  uniformly, WTS for all  $\varepsilon > 0$ , there exists  $N$  such that

$$|f(x) - f_n(x)| < \varepsilon$$

Fix  $\varepsilon > 0$ . Then let  $U_n := \{x \in X : f(x) - f_n(x) < \varepsilon\} = (f - f_n)^{Pre}(-\infty, \varepsilon)$ . Since  $f, f_n$  are continuous, so is  $(f - f_n)$  and  $U_n$  is therefore open.

Also, for all  $x \in X$ , since  $f_n(x) \xrightarrow{n \rightarrow \infty} f(x)$ , there exists  $N$

$$|f(x) - f_N(x)| < \varepsilon \Rightarrow x \in U_N$$

It follows that  $X = \bigcup_{n \in \mathbb{N}} U_n$ . So  $\{U_n\}_{n \in \mathbb{N}}$  is an open cover of  $X$ .  $X$  is compact so there exists a finite subcover  $\{U_{n_1}, \dots, U_{n_m}\}$  for some  $m$  finite.

Take  $N = \max\{n_1, \dots, n_m\}$ . Since  $f_n$  is monotone increasing,  $f(x) = \sup\{f_n(x)\}_{n \in \mathbb{N}}$  for every  $x$ . This means  $|f(x) - f_n(x)| = f(x) - f_n(x)$ , and for any  $x, n_k, n \geq N$ , since  $N \geq n_k$ , we have that  $f(x) - f_n(x) \leq f(x) - f_N(x) \leq f(x) - f_{n_k}(x)$ .



Then for any  $x$ , since  $x \in U_{n_k}$  for some  $k \in [m]$ , we have that for all  $n \geq N$ ,

$$f(x) - f_n(x) \leq f(x) - f_N(x) \leq f(x) - f_{n_k}(x) < \varepsilon$$

(b) If  $X$  is not compact: Define on  $X = (0, 1)$  not compact,

$$f_n(x) = x^n$$

then for all  $x \in (0, 1)$ ,  $f_n(x) \xrightarrow{n \rightarrow \infty} 0$  so  $f \equiv 0$  on  $(0, 1)$ .

However, for any  $n$ ,  $f_n((1/2)^{1/n}) = 1/2 \not< \varepsilon$ , so convergence is not uniform.

If  $f_n$  is not monotone: Define on  $X = [0, 1]$  compact,

$$f_n(x) = \begin{cases} 2nx & \text{on } [0, \frac{1}{2n}] \\ 2 - 2nx & \text{on } [\frac{1}{2n}, 1/n] \\ 0 & \text{otherwise} \end{cases}$$

i.e.  $f_n$  is a linear “spike”, connecting  $(0, 0)$ ,  $(\frac{1}{2n}, 1)$  and  $(\frac{1}{n}, 0)$ , then flat 0 on the rest.

Evidently,  $f_2(\frac{1}{4}) = 1 > f_1(\frac{1}{4}) = \frac{1}{2}$  so  $f_n$  is not monotone increasing.

But  $f_n(x) \xrightarrow{n \rightarrow \infty} 0$  for all  $x \in [0, 1]$ , so  $f \equiv 0$  on  $[0, 1]$ .

But convergence is not uniform, since for all  $n$ ,  $f_n(\frac{1}{2n}) - f(\frac{1}{2n}) = 1 - 0 = 1 \not< \varepsilon$ , so convergence is not uniform.  $\square$

### Problem 3.6 (27.2 done)

Let  $X$  be a metric space with metric  $d$ ; let  $A \subset X$  be nonempty.

- (a) Show that  $d(x, A) = 0$  if and only if  $x \in \overline{A}$ .
- (b) Show that if  $A$  is compact,  $d(x, A) = d(x, a)$  for some  $a \in A$ .
- (c) Define the  $\varepsilon$ -neighborhood of  $A$  in  $X$  to be the set

$$U(A, \varepsilon) = \{x \mid d(x, A) < \varepsilon\}$$

Show that  $U(A, \varepsilon)$  equals the union of the open balls  $B_d(a, \varepsilon)$  for  $a \in A$ .

- (d) Assume that  $A$  is compact; let  $U$  be an open set containing  $A$ . Show that some  $\varepsilon$ -neighborhood of  $A$  is contained in  $U$ .
- (e) Show the result in (d) need not hold if  $A$  is closed but not compact.

### Solution

(a)  $\Rightarrow$  Assume  $d(x, A) = 0$ . Take any basis  $B_d(x, \varepsilon) \ni x$ . Since  $0 = d(x, A) = \inf\{d(x, a) : a \in A\}$ , there exists  $a \in A$  such that  $d(x, a) < \varepsilon \Rightarrow a \in B_d(x, \varepsilon)$ . Since any basis element containing  $x$  intersects  $A$ , any open neighborhood of  $x$  also intersects  $A$ . So  $x \in \overline{A}$ .

$\Leftarrow$  Assume  $x \in \overline{A}$ . Take basis elements  $B_d(x, \frac{1}{n})$  for all  $n \in \mathbb{N}$ . Then there exists  $a_n \in A \cap B_d(x, \frac{1}{n})$ , i.e.,  $d(x, a_n) < \frac{1}{n}$ . It then follows that  $0 \leq d(x, A) = \inf\{d(x, a) : a \in A\}$

$$A\} \leq \inf\{d(x, a_n) : n \in \mathbb{N}\} = 0 \Rightarrow d(x, A) = 0. \quad \square$$

(b) For any  $x$ , we can define  $d_x : A \rightarrow \mathbb{R}, d_x(a) = d(x, a) = d(a, x)$ . Then  $d_x$  is a continuous function.  $A$  is compact, so  $d_x$  achieves its minimum at some  $a' \in A$ . Therefore

$$d(x, A) = \inf\{d(x, a) : a \in A\} = d(x, a')$$

(c) WTS  $U(A, \varepsilon) = \bigcup_{a \in A} B_d(a, \varepsilon)$ .

Take  $x \in U(A, \varepsilon)$ . That means  $d(x, A) < \varepsilon$ . Since  $d(x, A) = \inf\{d(x, a) : a \in A\}$ , this means that there exists some  $a' \in A$  such that  $d(x, a') < \frac{d(x, A) + \varepsilon}{2} < \varepsilon \Rightarrow x \in B_d(a', \varepsilon)$ . It follows that  $U(A, \varepsilon) \subset \bigcup_{a \in A} B_d(a, \varepsilon)$ .

Take  $x \in \bigcup_{a \in A} B_d(a, \varepsilon)$ , which means  $d(x, a') < \varepsilon$  for some  $a' \in A$ . Then this means  $d(x, A) = \inf\{d(x, a) : a \in A\} \leq d(x, a') < \varepsilon \Rightarrow x \in U(A, \varepsilon)$ . It then follows that  $U(A, \varepsilon) \supset \bigcup_{a \in A} B_d(a, \varepsilon)$ .

Therefore  $U(A, \varepsilon) = \bigcup_{a \in A} B_d(a, \varepsilon)$ .

(d) For every  $a \in A \subset U$ , since  $U$  is open, we can draw  $a \in B(a, \varepsilon_a) \subset U$ . Then  $\{B(a, \varepsilon_a)\}$  is an open cover of  $A$  compact. Using the Lebesgue covering lemma, then there exists  $\delta$  such that for any  $a$ ,  $B(a, \delta)$  is contained in some element of the covering, and therefore contained in  $U$  in particular. It follows that  $\bigcup_{a \in A} B(a, \delta) \subset U$ . From (c),  $\bigcup_{a \in A} B(a, \delta) = U(A, \delta)$ .

(e) Counter example:  $X = [-1, 0) \cup (0, 1], A = U = (0, 1]$ .  $A$  and  $U$  are clopen in the subspace topology. But any  $\varepsilon$ -neighborhood of  $A$ , WLOG  $\varepsilon < 1$ , would contain the point  $-\varepsilon/2$ , for

$$d(-\varepsilon/2, A) = \inf\{d(-\varepsilon/2, a) : a \in A\} = \varepsilon/2 < \varepsilon$$

Clearly  $-\varepsilon/2 \notin U$ .  $\square$

### Problem 3.7 (27.5 done)

Let  $X$  be a compact Hausdorff space, let  $\{A_n\}$  be a countable collection of closed sets of  $X$ . Show that if each set  $A_n$  has empty interior in  $X$ , then the union  $\bigcup A_n$  has empty interior in  $X$ .

Hint: Imitate the proof of Theorem 27.7. This is a special case of the Baire category theorem.

### Solution

(With Otto Reed)

Suppose, for sake of contradiction, that  $U_0 = \text{int}(\bigcup A_n) \neq \emptyset$  is open.

We claim that for any non-empty, open  $U$  and any  $A_n$ , there exists non-empty  $V$  such that  $\overline{V} \subset U$  and  $\overline{V} \cap A_n = \emptyset$ . Take such  $U$  and  $A_n$ . Since  $\text{int}(A_n) = \emptyset$ ,  $U$  is not a subset of  $A_n$  (if  $U \subset A_n$  then  $U \subset \text{int}(A_n) \Rightarrow \text{int}(A_n) \neq \emptyset$ ), i.e., there exists some  $a \in U$  such that  $a \in U - A_n$ . Then, take  $K = A_n \cup (X - U)$ ;  $K$  is closed since  $A_n$  is closed and  $U$  is open.  $K$  is closed in compact  $X$ , so  $K$  is compact.  $a \in U - A_n \Rightarrow a \notin K$ . It follows from Lemma 26.4 ( $K$  is compact,  $x \notin K$ ,  $X$  is Hausdorff) that there exists disjoint open

sets  $V \ni a, W \supset K$ . Then, since  $V \cap W = \emptyset$ ,

$$V \subset (X - W)$$

It follows that  $\overline{V} \subset \overline{X - W}$ . But  $X - W$  is closed, so  $\overline{X - W} = X - W$ . It follows that  $\overline{V} \subset X - W$ .

In turn,

$$X - W \subset X - K = X - A_n \cup (X - U) = (X - A_n) \cap U = U - A_n \subset U$$

so

$$\overline{V} \subset U$$

and recall that  $a \in V$ , so  $V$  is non-empty. We have thus proven our claim.

Apply the claim onto  $U_0$  and  $A_1$ , it follows that there exists non-empty  $U_1$  such that  $\overline{U_1} \subset U_0$  and  $\overline{U_1} \cap A_1 = \emptyset$ . Iteratively, apply the claim onto  $U_k$  and  $A_{k+1}$ , it follows that there exists non-empty  $U_{k+1}$  such that  $\overline{U_{k+1}} \subset U_k$  and  $\overline{U_{k+1}} \cap A_{k+1} = \emptyset$ .

Each  $\overline{U_k}$  is closed in compact  $X$ , so is compact. We therefore get a sequence of nested, non-empty compact  $\overline{U_n}$ :

$$\overline{U_1} \supset \overline{U_2} \supset \dots$$

It follows that there exists  $p \in \bigcap_{k \in \mathbb{N}} \overline{U_k}$ .

However,  $\overline{U_k} \cap A_k = \emptyset$  for all  $k \geq 1$ . On top of that,  $\overline{U_{k+1}} \subset U_k \subset \overline{U_k}$  so  $\overline{U_j} \cap A_k = \emptyset$  for all  $j \geq k \geq 1$  too. It follows that

$$\left( \bigcap_{k \in \mathbb{N}} \overline{U_k} \right) \cap \left( \bigcup_{k \in \mathbb{N}} A_k \right) = \emptyset$$

which implies  $p \notin \bigcup_{k \in \mathbb{N}} A_k$ .

But  $p \in \overline{V_1} \subset U = \text{int} \bigcup A_k \subset \bigcup A_k, \Rightarrow \Leftarrow$ .

By contradiction, it follows that  $\text{int} \bigcup A_n = \emptyset$ . □