# **Red-Black Trees**

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- Height: at most 2 lg(n+1) where n is the number of nodes;
- Insertion and deletion have time O(lg n);
- The path from the root to the farthest leaf is no more than twice as long as the path from the root to the nearest leaf.

### **Comparison of Balanced Trees**

#### Balanced Trees

$$h \geq 1 + \lfloor \log_2 n \rfloor$$

$$h \leq \frac{1}{\log_2 a} \cdot \log_2(n+1) + \log_a \sqrt{5}$$

$$h = 1 + \lfloor \log_2 n \rfloor \quad \text{where } a = (\frac{1+\sqrt{5}}{2})$$

RN

$$1 + \lfloor \log_2 n \rfloor \le h \le 2 \log_2 (n+1)$$

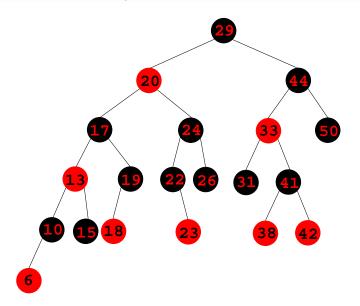
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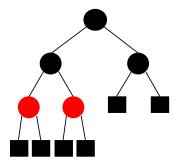
- A Red-Black tree is a binary search tree (BST) satisfying:
  - Every external node is black;
  - For each node, all paths from a node to the leaves have the same number of black nodes;
  - 3. If a node is red, then both child nodes are black.

# **Example of Red-Black Tree**



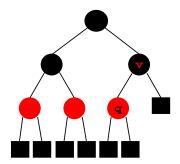
Node inserted *q* is red. Possibilities:

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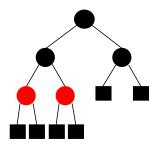


Node inserted *q* is red. Possibilities:

Case 2: v is red. Therefore, w (parent of v) is black.

**Case 2.1:** *t* is red;

We modify the color of v, t, w.

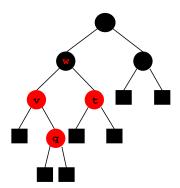


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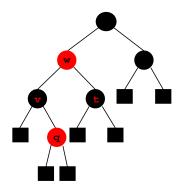


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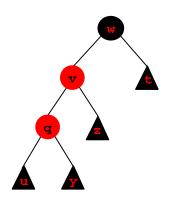
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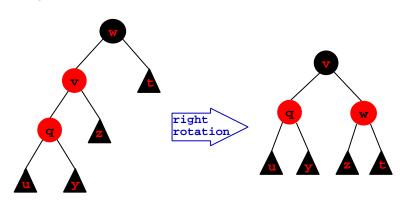
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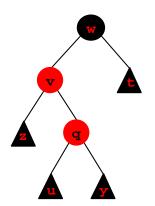
Case 2.2.1: q is the left child of v, and v is the left child of w. Change the color of v and w.



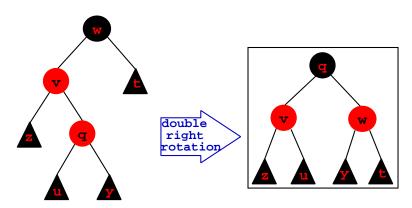
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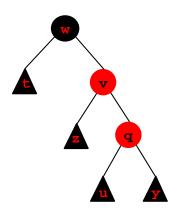
Case 2.2.2: q is the right child of v, and v is the left child of w. Change color of q and w.



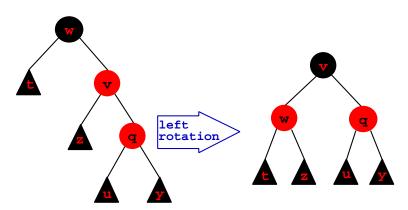
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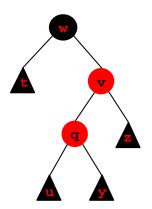
Case 2.2.3: q is the right child of v, and v is the right child of w. Change color of v and w.



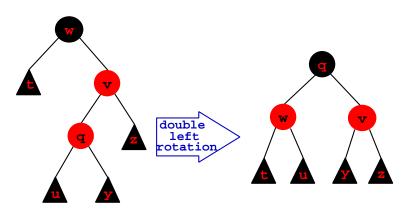
Case 2.2.3: q is the right child of v, and v is the right child of w. Change color of v and w.



Case 2.2.4: q is the left child of v, and v is the right child of w. Change color of q and w.



Case 2.2.4: q is the left child of v, and v is the right child of w. Change color of q and w.



# **Red-Black Trees: insertion algorithm**

```
InsertionRB(x, ptv, ptw, ptr, a):
        if ptv = external then
 2
 3
             new(ptv)
             ptv \uparrow .left \leftarrow ptv \uparrow .right \leftarrow external
 5
             ptv \uparrow .kev \leftarrow x; ptv \uparrow .color \leftarrow R
 6
            if ptroot = external then
                 ptv \uparrow .color \leftarrow B; ptroot \leftarrow ptv
 8
             else if x < ptw \uparrow .key then
                 ptw \uparrow .left \leftarrow ptv
             else ptw \uparrow .right \leftarrow ptv
10
        else if x \neq ptv \uparrow .key then
11
             if x < ptv \uparrow .key then ptq \leftarrow ptv \uparrow .left
12
             else ptq \leftarrow ptv \uparrow .right
13
14
                 InsertionRB(x, ptq, ptv, ptw, a)
                 if a = 1 then route(ptq, ptv, ptw, ptr, a)
15
                 else if a=0 then a=1
16
17
                 else "Invalid Insertion"
```

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**Comparison**: AVL trees are more strictly balanced than Red-Black trees, making insertion and deletion slower but retrieval (search) faster;

- 1. Prove or give a counterexample:
  - 1.1 Every complete tree is AVL.
  - 1.2 Every AVL tree is complete.
  - **1.3** Every AVL tree is red-black.
  - **1.4** Every red-black tree is AVL.
  - **1.5** Every complete tree is red-black.
  - **1.6** Every red-black tree is complete.

2. Show that, in a Red-Black tree, the longest path from a node *x* to a leaf has length at most twice the length of the shortest path from *x* to a leaf.

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- 4. Write the procedure of deletion for Red-Black trees.
- Prove or give a counterexample: given a Red-Black tree with a red root, if we change its color for black, the resulting tree is still a Red-Black tree.

### **Bibliography**

CORMEN, T. H.; LEISERSON, C. E.; RIVEST, R. L. and STEIN, C. *Introduction to Algorithms*, 3rd edition, MIT Press, 2009. SZWARCFITER, J. L. and MARKENZON, L. Estruturas de Dados e seus Algoritmos, LTC, 1994. (in Portuguese)