## Semantic Analysis of Image-Based Learner Sentences

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August 6, 2021

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- ▶ use NS model to evaluate non-native speaker (NNS) responses

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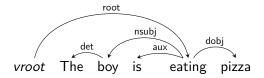
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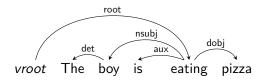
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- RQ6. What is the annotation scheme for this task and can the system perform within the range of human performance?

**Step 1: Dependency parse:** 



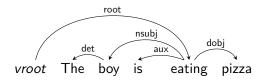
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root(eating, vroot)
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#### Step 2: Lemmatize:

 $\rightarrow$  root(**eat**, *vroot*)

 $\rightarrow$  det(the, boy)

 $\rightarrow$  nsubj(boy, **eat**)

 $\rightarrow$  aux(be, eat)

→ dobj(pizza, eat)

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NS ∪ NNSs	tf	tf-idf	tf	tf-idf	tf	tf-idf
aux(be,eat)	2	.04	1	.02	1	.02
det(the,boy)	1	.04	-	0	-	0
dobj(food,eat)	-	0	1	.06	-	0
dobj(pizza,eat)	2	.16	-	0	1	.08
nsubj(boy,eat)	1	.08	-	0	-	0
nsubj(he,eat)	1	.04	1	.02	1	.02
root(eat,vroot)	2	.02	1	.01	1	.01

Response scores: cosine(NS model tf-idf, NNS tf-idf)

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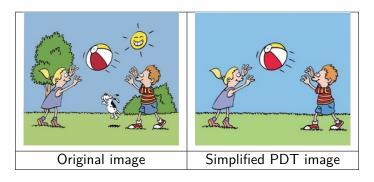
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PDT with very simple images only:



Intended to focus participants' attention on the main action

#### Two PDT prompt versions:

Targeted	Untargeted
What is <b>the baby</b> doing?	What is happening?

Intended for exploring the specificity needed for my approach

#### 3 verb types:

10 <b>intransitive</b> items	10 <b>transitive</b> items	10 ditransitive items
What is the girl doing?	What is the boy doing?	What is the girl doing?

Intended for exploring whether my approach can generalize to a range of sentence types

The pilot study *rake* problem; 100% of NS used the verb *rake*:

	NNS Responses
	The gardener is <i>cleaning</i> the street.
	a man <i>removing</i> the tree leafs.
	The man is <i>sweeping</i> the floor.
	A man is gathering lots of leafs.

- ▶ NNS responses without *rake* are penalized;
- ▶ I address this by asking NSs for two non-identical responses.

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  - 29 familiar, unpaid colleagues;
    - ▶ 1,283 responses;

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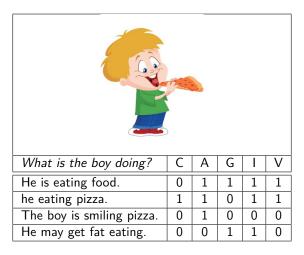
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Core event, Answerhood, Grammaticality, Interpretability, Verifiability



Inter-rater reliability (Cohen's kappa): 0.744 (I) - 0.936 (A)

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Solution: Determine feature weights and apply to annotations to obtain benchmark holistic scores and then rankings.

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Preferences are reliable:

Agreement for two annotators on a sample of 300 pairs:

	Observed Agree	Cohen's Kappa		
0.621	0.883 (265/300)	0.692		

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What is happening?	С	Α	G	I	V	WAS	WAR
The boy is eating	0.365	0.093	0.055	0.224	0.263	1.000	1
pizza							
Child is eating pizza	0.365	0.093	0.000	0.224	0.263	0.945	2
Tommy is eating	0.365	0.093	0.055	0.224	0.000	0.737	3
pizza							
The boy's eating his	0.000	0.093	0.055	0.000	0.000	0.513	4
favorite food							
Pizza is this boy's	0.000	0.000	0.055	0.000	0.000	0.055	5
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- State-of-the-art sentence embedding for semantic textual similarity.
- ► Replaces dependency parser + lemmatizer + tf-idf cosine pipeline.
- Provides distance between NNS response and NS model; rankable.

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- Term Representation:
  - ▶ 1dh: label-dependent-head; i.e., labeled dependencies;
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A **system configuration** combines one setting from each.

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### NS models:

- ▶ 14-response models (max available for familiar data);
- 50-response models (max available for crowdsourced data);

Standardized type-to-token ratio (STTR) for response samples. Tokens here are dependencies.

	n1	L4	n50	n70	
	Fam Crd		Crd	NNS	
Intrans	.558	.525	.535	.391	
Trans	.569	.580	.581	.517	
Ditrans	.598	.640	.637	.606	
Target	.545	.535	.545	.481	
Untarg	.610	.633	.621	.528	
Primary	N/A	.517	.523	.505	
Mixed	.576	.652	.645	N/A	
xdx	.364	.424	.421	.364	
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In some settings (e.g., Intrans), Crowd complexity is closer to NNS than is Familiar; other settings vice versa (e.g., Ditrans).

# Annotation features experiments: CORE EVENT MAP

	Crowd NS model $= 14$					Crowd NS model $= 50$				
	ldh	xdh	xdx	WAR	SBERT	ldh	xdh	xdx	WAR	SBERT
Intr	0.85	0.85	0.85	0.86	0.83	0.85	0.85	0.85	0.86	0.83
Tran	0.73	0.73	0.72	0.74	0.70	0.73	0.73	0.72	0.74	0.70
Ditr	0.66	0.66	0.66	0.66	0.63	0.65	0.65	0.66	0.66	0.62
Targ	0.73	0.73	0.73	0.73	0.70	0.73	0.73	0.72	0.73	0.70
Untg	0.76	0.76	0.76	0.77	0.74	0.76	0.75	0.76	0.77	0.73
Prim	0.75	0.75	0.74	0.75	0.72	0.75	0.74	0.74	0.75	0.71
Mix	0.75	0.74	0.75	0.75	0.72	0.74	0.74	0.74	0.75	0.72
Total	0.75	0.75	0.74	0.75	0.72	0.75	0.74	0.74	0.75	0.72

- ▶ In all cases, 1dh + 14NS is best (slightly);
- xdx becomes more competitive for larger model (50NS);
  - ditrans, untarg: most complex—i.e., highest STTRs;
  - ▶ In general: 1dh STTR > xdh STTR > xdx STTR

### Annotation features experiments: Core event MAP

	Familiar NS model $=14$					Crowd NS model $=14$				
	ldh	xdh	xdx	WAR	SBERT	ldh	xdh	xdx	WAR	SBERT
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Tran	0.74	0.73	0.72	0.74	0.70	0.73	0.73	0.72	0.74	0.70
Ditr	0.65	0.64	0.66	0.66	0.62	0.66	0.65	0.67	0.66	0.64
Targ	0.73	0.73	0.73	0.73	0.70	0.73	0.73	0.73	0.73	0.70
Untg	0.76	0.76	0.76	0.77	0.73	0.76	0.76	0.76	0.77	0.74
Total	0.75	0.74	0.75	0.75	0.72	0.75	0.74	0.75	0.75	0.72

- \*mixed only (due to sparse familiar data);
- Totals: crowdsourced outperforms familiar (slightly);
- crowdsourced works best with ldh;
- familiar works best with xdx;

For all 5 features, my system outperforms SBERT.

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Answerhood, in all cases:

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Predicting ANSWERHOOD or GRAMMATICALITY is relatively simple; requires only small model and bag-of-words representation.

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For both Interpretability & Verifiability:

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- intransitives & ditransitives work best with xdx;
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  - Why? Transitive responses are relatively homogenous; Annotators relatively strict;

Holistic experiments use one set of 360 Spearman correlations: targeting (2)  $\times$  primacy (2)  $\times$  term rep (3)  $\times$  items (30) = 360.

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		intrans		trans		ditrans	
		Sys	SBERT	Sys	SBERT	Sys	SBERT
	count	120	40	120	40	120	40
14NS	mean	0.439	0.497	0.314	0.563	0.267	0.400
	median	0.416	0.479	0.304	0.555	0.276	0.444
50NS	mean	0.423	0.516	0.345	0.566	0.278	0.446
	median	0.426	0.517	0.331	0.561	0.286	0.471

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- System: for ldh & xdh: 50NS > 14NS;
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- System: for ldh & xdh: 50NS > 14NS;
  - Model size effect is greater for ldh
- System: for xdx: NS14 > NS50 (very slight)

# Summary

NTS: one slide

#### Outlook

NTS: one slide

#### References

# Dependency parsing

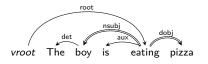


Figure: The dependency parse

RQ1. Are the responses of L2 English learners sufficiently similar to those of NSs to allow automatic evaluation based on a collection of NS responses? In other words, do learners demonstrate significant overlap with native-like usage in a picture description task (PDT) setting?

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- RQ2. In the constrained communicative environment of a PDT, what are appropriate response and model representations for the purpose of providing meaning-oriented feedback or evaluation? In other words, which linguistic components are crucial and which are superfluous?
- RQ3. What kinds of existing NLP tools and language resources can be integrated to form a content analysis system for open response language learning tasks?

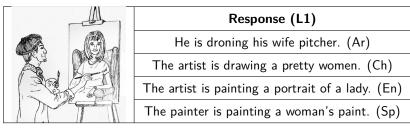
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- RQ5. Can the accuracy of the system be improved by the inclusion of semantic information from tools like semantic role labelers, WordNet, or word and sentence embeddings?
- RQ6. What is the annotation scheme for this task and can the system perform within the range of human performance? Relatedly, what does it mean for a response to be *appropriate* and how can this be captured with annotation?



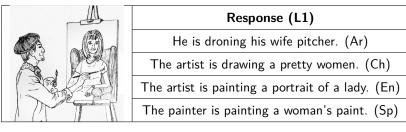


Figure: Example item from the pilot study showing responses from native speakers of Arabic (Ar), Chinese (Ch), English (En) and Spanish (Sp).

▶ 10 (transitive) PDT items × 53 participants = 530 responses;



#### Response (L1)

He is droning his wife pitcher. (Ar)

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The artist is painting a portrait of a lady. (En)

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- tf-idf: Score dependencies according to importance;
- Vectorize & Score
  - Get sorted union set of NS and NNS dependencies;
  - ▶ NNS vector: Replace deps with their **NNS** tf-idf scores;
  - ▶ NS vector: Replace deps with their **NS** tf-idf scores;
  - ► Response score = *cosine distance* for NNS & NS vectors;
- ▶ Rank by scores & calculate Mean Average Precision (MAP);

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  - ► MAP *acceptable* responses: ≈51%
- Process is more robust & generalizable;
- Dataset (especially NS models) and annotation are weak;

# System configuration

### System configuration

All parameters or variables and their settings:

Transitivity	Targeting	Familiarity	Primacy	Term Rep.
intransitive	targeted	familar	primary	ldh
transitive	untargeted	crowdsourced	mixed	xdh
ditransitive				xdx

A **system configuration** combines one setting from each column.

If particular settings correlate highly with item characteristics (intransitive / transitive / ditransitive; response complexity), I can optimize the system for new items.

# Sampling data: Response length

	n=	=14	n=50	n=70
	Fam	Crowd	Crowd	NNS
Intrans	5.5	4.9	4.9	4.9
Trans	6.9	6.3	6.2	6.7
Ditrans	7.8	7.2	7.2	8.3
Target	6.5	5.4	5.4	6.3
Untarg	6.9	6.8	6.8	6.9
primary	N/A	5.7	5.8	6.6
mixed	6.7	6.5	6.4	N/A
Total	6.7	6.1	6.1	6.6

Table: Comparing average response length (in words) for the samples used throughout this chapter as NS models and NNS test sets, in total and by parameter setting.

First iteration: accuracy (A) & native-likeness (NL)

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▶ 2: +A, +NL > 1: +A, -NL > 0: -A, -NL

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- ▶ 2: +A, +NL > 1: +A, -NL > 0: -A, -NL
- ▶ Not operationalizable: e.g., response is accurate w.r.t. prompt but adds unverifiable details; is this still *accurate*?
- ► Not *reliable*, not *valid*;

First iteration: accuracy (A) & native-likeness (NL)

- ▶ **2**: +A, +NL > 1: +A, -NL > 0: -A, -NL
- ▶ Not operationalizable: e.g., response is accurate w.r.t. prompt but adds unverifiable details; is this still *accurate*?
- ► Not *reliable*, not *valid*;

This was scrapped and I settled on the 5 binary features.

Inter-rater reliability for two annotators and 10% of the dataset:

Inter-rater reliability for two annotators and 10% of the dataset: yes annotations for Annotator 1 (note skewedness), expected chance agreement (Chance), actual observed agreement (Observed) and Cohen's kappa (Kappa)

Set	A1Yes	Chance	Observed	Карра
Core Event	0.733	0.601	0.923	0.808
Answerhood	0.834	0.721	0.982	0.936
Grammaticality	0.861	0.768	0.960	0.827
Interpretability	0.818	0.682	0.919	0.744
Verifiability	0.845	0.719	0.968	0.884
Intransitive	0.863	0.758	0.978	0.910
Transitive	0.780	0.653	0.949	0.853
Ditransitive	0.812	0.678	0.924	0.764

What is the boy doing?	Pref?	Core	Ansr	Gram	Intrp	Verif
He is eating food.	yes	0	1	1	1	1
He may get fat eating.	no	0	0	1	1	0

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He is eating food.	yes	0	1	1	1	1
He may get fat eating.	no	0	0	1	1	0
He is hungry.	no	0	0	1	0	1
the boy is eating pizza	yes	1	1	1	1	1

What is the boy doing?	Pref?	Core	Ansr	Gram	Intrp	Verif
He is eating food.	yes	0	1	1	1	1
He may get fat eating.	no	0	0	1	1	0
He is hungry.	no	0	0	1	0	1
the boy is eating pizza	yes	1	1	1	1	1
The child is about to eat pizza.	yes	1	0	1	1	1
he eating.	no	0	1	0	1	1

What is the boy doing?	Pref?	Core	Ansr	Gram	Intrp	Verif
He is eating food.	yes	0	1	1	1	1
He may get fat eating.	no	0	0	1	1	0
He is hungry.	no	0	0	1	0	1
the boy is eating pizza	yes	1	1	1	1	1
The child is about to eat pizza.	yes	1	0	1	1	1
he eating.	no	0	1	0	1	1
Totals preferred responses		2	2	3	3	3
Totals dispreferred responses		0	1	2	2	2
Net preferred (pref - dispref)		2	1	1	1	1
Feature weight		.333	.167	.167	.167	.167

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Feature weight		.333	.167	.167	.167	.167
*Real feature weight		.365	.093	.055	.224	.263