Array Signal Processing

Daniel Levi

February 2024

ULA Beampattern for N=10

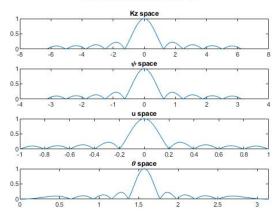


Figure 1: ULA beampattern for even number of sensors

Exercise 1: Beampattern of ULA

a)

Plot the magnitude of the beampattern in the k_z, Ψ, u , and Θ space.

given the connection $\psi = -k_z \cdot d = 2\pi/\lambda \cdot d \cdot \cos(\Theta) = 2\pi/\lambda \cdot u_z \cdot d$ we can plot the beampattern as a function of k_z, Ψ, u and Θ .

Where:

$$k_z \in [-2\pi, 2\pi], \Psi \in [-\pi, \pi], u \in [-1, 1], \Theta \in [0, \pi]$$

in Figs. 1, 2 We observe the beam pattern. As seen in the classes, in this particular case, the beam pattern can be written as:

$$B(w_0, \Psi) = \frac{1}{N} \frac{\sin(\frac{N\Psi}{2})}{\sin(\frac{\Psi}{2})}$$

The number of zeros in this function depends on N, which explains the variation among different N values. Additionally, the main Dirichlet width also depends on N. If we plot both of them in the same figure 3 the difference will be more significant. The zeros depend on Ψ are in $\Psi = 2\pi/N$.

b)

Perform a polar plot of the power pattern in the Θ -space for N = 10 and N = 11. Which significant difference can be observed?

The significant difference is in the zeros position. As can we see in figs. 4, 5 the number of zeros depends on N; as long as N is bigger, we will observe more and closer zeros.

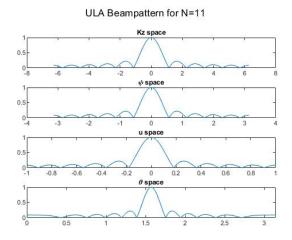


Figure 2: ULA beampattern for an odd number of sensors

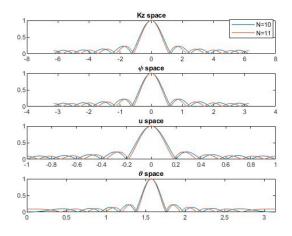


Figure 3: ULA beampattern

Powerpattern for N =10

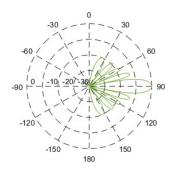


Figure 4: ULA powerpattern for even number of sensors

Powerpattern for N =11

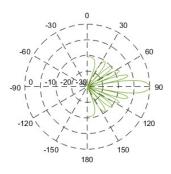


Figure 5: ULA powerpattern for an odd number of sensors

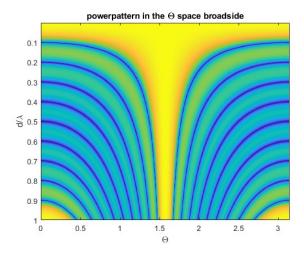


Figure 6: Broadside

c)

Plot the power pattern in the Θ -space for $0.001 \leq d/\lambda \leq 1$. Compare the plots for broad side and endfire orientation w.r.t. mainlobe width and grating lobes. In fig. 6 we can see the power pattern for the broadside. We can see that for small d/λ the main lob is wider and for large values for d/λ (close to 1) we can see the aliasing phenomena.

In fig. 7 we can see the power pattern for the endfire. We can see that for small d/λ the main lob is wider and for $d/\lambda \geq 1/2$ there is a spatial aliasing in that case.

 \mathbf{d}

Perform the previous plots in the Θ -domain for steering angles $\Theta_T \in \{0^\circ, 30^\circ, 60^\circ, 90^\circ\}$. What is the effect of the array steering on the main beam?

We can see from fig. 8 that for small angles the main beam is wider like endfire and when we increase the angle the beam becomes narrow(for a meaning chose d/λ). In the class we learn that the limit for aliasing in the general case is:

$$\frac{d}{\lambda} \ge \frac{1}{1 + |cos(\theta_T)|}$$

. As long as θ_T close the 0 the aliasing phenomena will appear in smaller values.

 $\mathbf{e})$

Due to sensor failure, $w_n = 0$ for $n \in \{3, 5, 6\}$. Plot the powerpattern for the array in the Ψ -domain with and without sensor failure in a single figure.

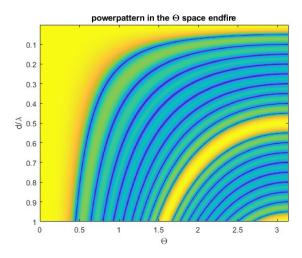


Figure 7: Endfire

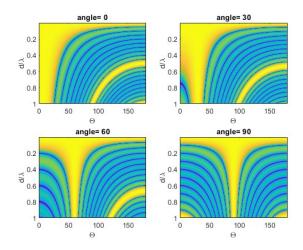


Figure 8: steering angles

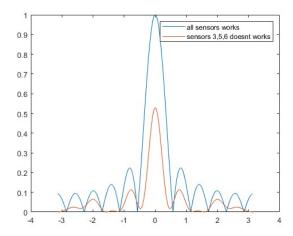


Figure 9: sensor failure

In fig. 9 we can see that the failure distorts the powerpattern. We learned in class that:

$$B_{\psi}(\psi) = e^{-j\frac{N-1}{2}\psi} \sum_{n=0}^{N-1} w_n^* e^{jn\psi} = \frac{1}{11} \left(e^{-j5\psi} + e^{-j4\psi} + e^{-j3\psi} + e^{-j\psi} + e^{j2\psi} + e^{j3\psi} + e^{j4\psi} + e^{j5\psi} \right)$$

That eq. is for N = 11 and the sensor failure.

For the main lobe:

$$B_{\psi}^2(0) = \left(\frac{8}{11}\right)^2 \simeq 0.52$$

Moreover, the number of zeros decreases and they shift slightly; there is a distortion, and the zeros are no longer exactly zero.

Exercise 2: Non-uniform Weighting

An ULA with N=11 sensors and $d=\lambda/2$ is considered. Two different weights are applied

$$w_I[n] = \frac{1}{N}$$

$$w_{II}[n] = \sin(\frac{\pi}{2N})\cos(\frac{\pi n}{N})$$

with $n=-\frac{N-1}{2},-\frac{N-3}{2},\ldots,\frac{N-1}{2}$. Plot the u domain power patterns in dB in a single figure for both weights $(0 \le u \le 1)$. What is the effect of the non-uniform weighting?

In fig. 10, we can observe the power patterns for uniform weights and nonuniform weights. The primary distinction is that in non-uniform weighting, the magnitude of side lobes is lower, and the main lobe is wider.

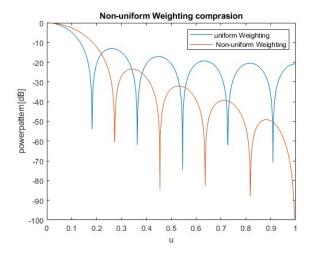


Figure 10: Non-uniform Weighting comprasion

Exercise 3: Half-Power Bandwidth

Extend the script provided for this case:

a)

Half-power beamwidth for the scan limit $\Theta_h^{(l)}$

In Fig. 11, we observe the beamwidth for the scan limit and endfire cases. In both scenarios, when Nd/λ is small, the beamwidth is high because of the change in the observed range. And in such instances, the beampattern is close to 1. In the scan limit and in the endfire case, the slope decreases slowly, consistent with what we discussed in class: $\Theta_h \approx 2\sqrt{0.886\lambda/Nd}$ because $\Theta_h = 2\Theta_r$ for endfire. and for scan limit, we got $\Theta_l = 0$ and $\Theta_h \approx \sqrt{2 \cdot 0.886\lambda/Nd}$.

b)

Half-power beamwidth for the steering angles $\Theta_t \in \{2.5^{\circ}, 5^{\circ}, 10^{\circ}, 20^{\circ}, 30^{\circ}, 45^{\circ}, 90^{\circ}\}$

In Fig. 12 the slope is given by

$$\Theta_h \approx \frac{0.886}{\sin(\Theta_t)} \frac{\lambda}{Nd}$$

and it behaves linearly (in logarithmic scale). There is a point for λ/Nd values where we reach the scan limit area. At this point, the behavior of the slope changes.

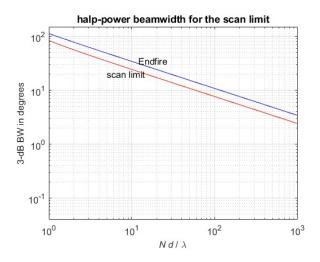


Figure 11: halp-power beamwidth for the scan limit

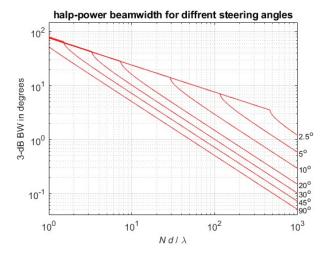


Figure 12: halp-power beamwidth for diffrent steering angles

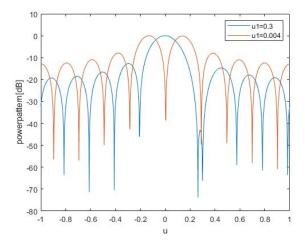


Figure 13: The power pattern of the MVDR beamformer

Exercise 4: Beampattern of MVDR Beamformer

An MVDR beamformer with N=10 sensors, $d=\frac{\lambda}{2}$, and broadside orientation in the presence of a single discrete interference source and isotropic noise is considered. The weights of this MVDR beamformer are given by

$$\mathbf{w}_O^H = \frac{\mathbf{v}_s^H \mathbf{S}_n^{-1}}{\mathbf{v}_s^H \mathbf{S}_n^{-1} \mathbf{v}_s} \tag{1}$$

with

$$\mathbf{S}_n = \sigma_w^2 \mathbf{I} + \sigma_1^2 \mathbf{v}_1 \mathbf{v}_1^H \tag{2}$$

The interference-to-noise ratio is given by

$$\sigma_I^2 = \frac{\sigma_1^2}{\sigma_w^2} \tag{3}$$

a)

Plot the power pattern in the *u*-domain for an interferer at $u_1 = 0.3$ and $u_1 = 0.004$ for an interference-to-noise ratio (INR) of 70 dB.

In Fig. 13, we can see the power pattern for two interference positions. In the orange curve, the interference position is at $u_1 = 0.004$, causing a null in the main lobe (the target at $u_t = 0$). Consequently, the power pattern remains at 0 dB. Conversely, in the case where the interference is positioned at $u_1 = 0.3$, there is a strong null (-20 dB).

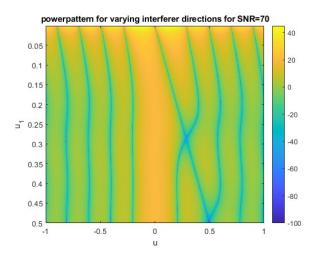


Figure 14: powerpattern for varying interferer directions for INR = 70dB

b)

Perform a 3-dimensional plot of the power pattern for varying interferer directions with $0.001 \le u_1 \le 0.5$ and an interference-to-noise ratio (INR) of 70 dB. Redo this plot for an INR of 0 dB.

How does the direction of the interferer and the interference-to-noise ratio influence the powerpattern?

From Figs. 14 and 15, we can observe that when the INR is high, the power pattern attempts to reduce the directivity noise and exhibits a strong null in its direction. Moreover, as we saw in class in that case we can omit the white noise contribution to the MVDR eq. and we have a strong null to the interference. Conversely, in the case of a low INR, the power pattern aims to mitigate all the noise and interference, resulting in a less accurate null.

Exercise 5: Delay-and-Sum Beamformer for Narrowband Signals

The processing of a narrowband signal by a delay-and-sum beamformer (DSB) with N=10 sensors and a sensor spacing of d=0.05 m should be investigated. A single narrowband source signal with a carrier frequency $f_0=213$ kHz (c=342 m/s) impinges on the array from different directions $0^{\circ} \leq \Theta \leq 90^{\circ}$. The behavior of the beamformer should be investigated by calculating the ratio of the input signal power to the output signal power of the beamformer (output-to-input ratio, OIR). Consider an endfire and broadside orientation and different directions-of-arrival $\Theta=0^{\circ},5^{\circ},\ldots,90^{\circ}$.

Compare the calculated OIR with the beampattern of the beamformer.

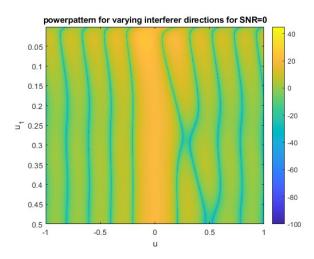


Figure 15: power pattern for varying interferer directions for INR = 0 dB

What can be observed?

In Figs. 17 and 16, we can observe that the shape of the OIR in both cases closely resembles the beam pattern. To facilitate comparison, we normalize the OIR to plot both of them on the same scale. Indeed, that makes sense because a narrow-band signal behaves similarly to a monochromatic wave.

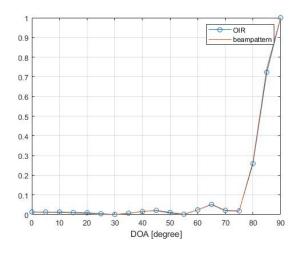


Figure 16: OIR and beampattern - broadside

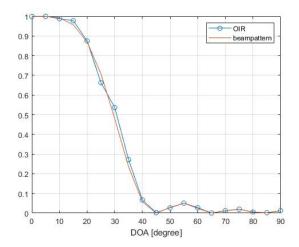


Figure 17: OIR and beampattern - endfire