

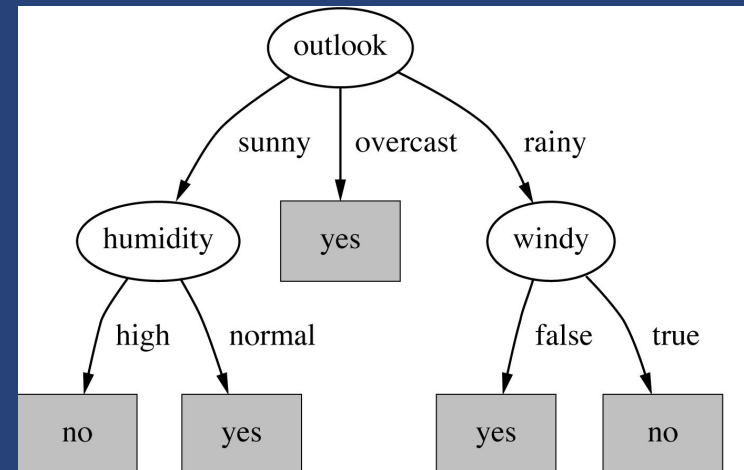
# BASIC METHODS 2 - ID3

Gréta Pataki

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# Decision trees

- Classification and regression trees (categorical & numerical data handling)
- Splits dataset into small subsets, final result: tree with
  - root node
  - decision nodes: branches -> possible values for the attribute
  - leaf nodes: represents a classification
- Which feature splits the data better (which is the best attribute)?



# ID3 (Iterative Dichotomiser 3)

- Core algorithm for building decision trees
  - top-down, greedy search to test each attribute at every node of the tree
- Which is the best attribute?
  - the one which will result in the smallest tree
  - choose the attribute that produces the “purest” nodes
  - information gain (IG):
    - [information before splitting] – [information after splitting]
    - is used to construct a tree
  - best attribute: gives maximum IG (minimum entropy)
- Entropy: measure of randomness
  - unbiased coin toss (head and tail is equally likely):  $E = 1$
  - biased (2 head):  $E = 0$
  - ID3 uses entropy to calculate the homogeneity of a sample

# Entropy

- Information is measured in *bits*
  - Given a probability distribution, the info required to predict an event is the distribution's *entropy*
  - Entropy gives the information required in bits (this can involve fractions of bits!)
- Formula for computing the entropy:

$$\text{entropy}(p_1, p_2, \dots, p_n) = -p_1 * \log(p_1) - p_2 * \log(p_2) - \dots - p_n * \log(p_n)$$

# Wine dataset

| Alcohol_content | Sweetness  | Type  | (Year) | Popular |
|-----------------|------------|-------|--------|---------|
| low             | sweet      | rosé  | 2012   | yes     |
| low             | dry        | red   | 2009   | no      |
| low             | semi-sweet | red   | 2008   | yes     |
| high            | sweet      | rosé  | 2013   | no      |
| low             | dry        | white | 2013   | no      |
| low             | sweet      | white | 2006   | no      |
| high            | semi-sweet | red   | 2011   | no      |
| high            | sweet      | red   | 2007   | yes     |
| high            | dry        | red   | 2005   | yes     |

IG: [information before splitting] – [information after splitting]

- Calculate for each attribute

# Alcohol\_content

- Information before splitting:

$$\text{Info}[4,5] = \text{entropy}(4/9, 5/9)$$

# Alcohol\_content

- Information before splitting:

Info[4,5] = entropy(4/9,5/9)

$$H(X) = -p \log_2 p - (1 - p) \log_2 (1 - p)$$

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- Information before splitting:

$$\text{Info}[4,5] = \text{entropy}(4/9, 5/9) = -4/9$$

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- Information before splitting:

$$\text{Info}[4,5] = \text{entropy}(4/9, 5/9) = -4/9 \cdot \log(4/9)$$

$$H(X) = -p \log_2 p - (1 - p) \log_2 (1 - p)$$

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- Information before splitting:

$$\text{Info}[4,5] = \text{entropy}(4/9, 5/9) = -4/9 \log(4/9) - 5/9$$

$$H(X) = -p \log_2 p - (1 - p) \log_2 (1 - p)$$

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- Information before splitting:

$$\text{Info}[4,5] = \text{entropy}(4/9, 5/9) = -4/9 * \log(4/9) - 5/9 * \log(5/9)$$

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Values for logs (base 2):

|                 |                  |
|-----------------|------------------|
| $\log 1 = 0$    | $\log 6 = 2.58$  |
| $\log 2 = 1$    | $\log 7 = 2.81$  |
| $\log 3 = 1.58$ | $\log 8 = 3$     |
| $\log 4 = 2$    | $\log 9 = 3.17$  |
| $\log 5 = 2.32$ | $\log 10 = 3.32$ |

Note: Use the fact that  $(\log k/n)$  is equal to  $(\log k - \log n)$

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- Expected information for attribute:

$$\text{Info}([2,3], [2,2]) =$$

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- Expected information for attribute:

$$\text{Info}([2,3], [2,2]) = 5/9 * 0.972 + 4/9 * 1 = 0.98 \text{ bits}$$



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- Information gain: information before splitting – information after splitting

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$$\text{gain}(\text{Alcohol\_content}) = \text{info}([4,5]) - \text{info}([2,3], [2,2]) = 0.99 - 0.98 = 0.01 \text{ bits}$$

# Sweetness

- *Sweetness = sweet:*

| Alcohol_content | Sweetness  | Type  | (Year) | Popular |
|-----------------|------------|-------|--------|---------|
| low             | sweet      | rosé  | 2012   | yes     |
| low             | dry        | red   | 2009   | no      |
| low             | semi-sweet | red   | 2008   | yes     |
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- *Sweetness* = semi-sweet

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- *Sweetness = sweet:*

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- *Sweetness = semi-sweet*

$$\text{Info}([1,1]) = \text{entropy}(1/2, 1/2) = -1/2 \log(1/2) - 1/2 \log(1/2) = 1 \text{ bits}$$

# Sweetness

- *Sweetness = sweet:*

$$\text{Info}([2,2]) = \text{entropy}(2/4, 2/4) = -2/4 \log(2/4) - 2/4 \log(2/4) = 1 \text{ bits}$$

- *Sweetness = semi-sweet*

$$\text{Info}([1,1]) = \text{entropy}(1/2, 1/2) = -1/2 \log(1/2) - 1/2 \log(1/2) = 1 \text{ bits}$$

- *Sweetness = dry*

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- *Sweetness = sweet:*

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- *Sweetness = semi-sweet*

$$\text{Info}([1,1]) = \text{entropy}(1/2, 1/2) = -1/2 \log(1/2) - 1/2 \log(1/2) = 1 \text{ bits}$$

- *Sweetness = dry*

$$\text{Info}([1,2]) = \text{entropy}(1/3, 2/3) = -1/3 \log(1/3) - 2/3 \log(2/3) = 0.913 \text{ bits}$$

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- *Sweetness* = dry

$$\text{Info}([1,2]) = \text{entropy}(1/3, 2/3) = -1/3 \log(1/3) - 2/3 \log(2/3) = 0.913 \text{ bits}$$

- Expected information for attribute:

$$\text{Info}([2,2], [1,1], [1,2]) = 4/9 * 1 + 2/9 * 1 + 3/9 * 0.913 = 0.971 \text{ bits}$$

# Sweetness

- *Sweetness* = sweet:

$$\text{Info}([2,2]) = \text{entropy}(2/4, 2/4) = -2/4 \log(2/4) - 2/4 \log(2/4) = 1 \text{ bits}$$

- *Sweetness* = semi-sweet

$$\text{Info}([1,1]) = \text{entropy}(1/2, 1/2) = -1/2 \log(1/2) - 1/2 \log(1/2) = 1 \text{ bits}$$

- *Sweetness* = dry

$$\text{Info}([1,2]) = \text{entropy}(1/3, 2/3) = -1/3 \log(1/3) - 2/3 \log(2/3) = 0.913 \text{ bits}$$

- Expected information for attribute:

$$\text{Info}([2,2], [1,1], [1,2]) = 4/9 * 1 + 2/9 * 1 + 3/9 * 0.913 = 0.971 \text{ bits}$$

- Information gain: information before splitting – information after splitting

$$\text{gain}(\text{Sweetness}) = \text{info}([4,5]) - \text{info}([2,2], [1,1], [1,2]) = 0.99 - 0.971 = 0.019 \text{ bits}$$

# Type

- *Type = rosé:*

- *Type = red:*

- *Type = white:*

| Alcohol_content | Sweetness  | Type  | (Year) | Popular |
|-----------------|------------|-------|--------|---------|
| low             | sweet      | rosé  | 2012   | yes     |
| low             | dry        | red   | 2009   | no      |
| low             | semi-sweet | red   | 2008   | yes     |
| high            | sweet      | rosé  | 2013   | no      |
| low             | dry        | white | 2013   | no      |
| low             | sweet      | white | 2006   | no      |
| high            | semi-sweet | red   | 2011   | no      |
| high            | sweet      | red   | 2007   | yes     |
| high            | dry        | red   | 2005   | yes     |

- Expected information for attribute:
- Information gain: information before splitting – information after splitting

$$\text{gain}(\text{Type}) =$$

# Type

- *Type* = rosé:

$$\text{Info}([1,1]) = \text{entropy}(1/2,1/2) = -1/2 \log(1/2) - 1/2 \log(1/2) = 1 \text{ bits}$$

- *Type* = red:

- *Type* = white:

- Expected information for attribute:

- Information gain: information before splitting – information after splitting

$$\text{gain}(\textit{Type}) =$$

# Type

- *Type* = rosé:

$$\text{Info}([1,1]) = \text{entropy}(1/2,1/2) = -1/2 \log(1/2) - 1/2 \log(1/2) = 1 \text{ bits}$$

- *Type* = red:

$$\text{Info}([3,2]) = \text{entropy}(3/5,2/5) = -3/5 \log(3/5) - 2/5 \log(2/5) = 0.972$$

- *Type* = white:

- Expected information for attribute:

- Information gain: information before splitting – information after splitting

$$\text{gain}(\textit{Type}) =$$



# Type

- *Type* = rosé:

$$\text{Info}([1,1]) = \text{entropy}(1/2,1/2) = -1/2 \log(1/2) - 1/2 \log(1/2) = 1 \text{ bits}$$

- *Type* = red:

$$\text{Info}([3,2]) = \text{entropy}(3/5,2/5) = -3/5 \log(3/5) - 2/5 \log(2/5) = 0.972$$

- *Type* = white:

$$\text{Info}([0,2]) = \text{entropy}(0/2,2/2) = -0/2 \log(0/2) - 2/2 \log(2/2) = 0 \text{ bits}$$

- Expected information for attribute:
- Information gain: information before splitting – information after splitting

$$\text{gain}(\textit{Type}) =$$

# Type

- *Type* = rosé:

$$\text{Info}([1,1]) = \text{entropy}(1/2,1/2) = -1/2 \log(1/2) - 1/2 \log(1/2) = 1 \text{ bits}$$

- *Type* = red:

$$\text{Info}([3,2]) = \text{entropy}(3/5,2/5) = -3/5 \log(3/5) - 2/5 \log(2/5) = 0.972$$

- *Type* = white:

$$\text{Info}([0,2]) = \text{entropy}(0/2,2/2) = -0/2 \log(0/2) - 2/2 \log(2/2) = 0 \text{ bits}$$

- Expected information for attribute:

$$\text{Info}([1,1], [3,2], [0,2]) = 2/9 * 1 + 5/9 * 0.972 + 2/9 * 0 = 0.762 \text{ bits}$$

- Information gain: information before splitting – information after splitting

$$\text{gain}(\textit{Type}) =$$

# Type

- *Type* = rosé:

$$\text{Info}([1,1]) = \text{entropy}(1/2,1/2) = -1/2 \log(1/2) - 1/2 \log(1/2) = 1 \text{ bits}$$

- *Type* = red:

$$\text{Info}([3,2]) = \text{entropy}(3/5,2/5) = -3/5 \log(3/5) - 2/5 \log(2/5) = 0.972$$

- *Type* = white:

$$\text{Info}([0,2]) = \text{entropy}(0/2,2/2) = -0/2 \log(0/2) - 2/2 \log(2/2) = 0 \text{ bits}$$

- Expected information for attribute:

$$\text{Info}([1,1], [3,2], [0,2]) = 2/9 * 1 + 5/9 * 0.972 + 2/9 * 0 = 0.762 \text{ bits}$$

- Information gain: information before splitting – information after splitting

$$\text{gain}(\textit{Type}) = \text{info}([4,5]) - \text{info}([1,1],[3,2],[0,2]) =$$

# Type

- *Type* = rosé:

$$\text{Info}([1,1]) = \text{entropy}(1/2,1/2) = -1/2 \log(1/2) - 1/2 \log(1/2) = 1 \text{ bits}$$

- *Type* = red:

$$\text{Info}([3,2]) = \text{entropy}(3/5,2/5) = -3/5 \log(3/5) - 2/5 \log(2/5) = 0.972$$

- *Type* = white:

$$\text{Info}([0,2]) = \text{entropy}(0/2,2/2) = -0/2 \log(0/2) - 2/2 \log(2/2) = 0 \text{ bits}$$

- Expected information for attribute:

$$\text{Info}([1,1], [3,2], [0,2]) = 2/9 * 1 + 5/9 * 0.972 + 2/9 * 0 = 0.762 \text{ bits}$$

- Information gain: information before splitting – information after splitting

$$\text{gain}(\textit{Type}) = \text{info}([4,5]) - \text{info}([1,1],[3,2],[0,2]) = 0.99 -$$

# Type

- *Type* = rosé:

$$\text{Info}([1,1]) = \text{entropy}(1/2,1/2) = -1/2 \log(1/2) - 1/2 \log(1/2) = 1 \text{ bits}$$

- *Type* = red:

$$\text{Info}([3,2]) = \text{entropy}(3/5,2/5) = -3/5 \log(3/5) - 2/5 \log(2/5) = 0.972$$

- *Type* = white:

$$\text{Info}([0,2]) = \text{entropy}(0/2,2/2) = -0/2 \log(0/2) - 2/2 \log(2/2) = 0 \text{ bits}$$

- Expected information for attribute:

$$\text{Info}([1,1], [3,2], [0,2]) = 2/9 * 1 + 5/9 * 0.972 + 2/9 * 0 = 0.762 \text{ bits}$$

- Information gain: information before splitting – information after splitting

$$\text{gain}(\textit{Type}) = \text{info}([4,5]) - \text{info}([1,1],[3,2],[0,2]) = 0.99 - 0.762 =$$

# Type

- *Type* = rosé:

$$\text{Info}([1,1]) = \text{entropy}(1/2,1/2) = -1/2 \log(1/2) - 1/2 \log(1/2) = 1 \text{ bits}$$

- *Type* = red:

$$\text{Info}([3,2]) = \text{entropy}(3/5,2/5) = -3/5 \log(3/5) - 2/5 \log(2/5) = 0.972$$

- *Type* = white:

$$\text{Info}([0,2]) = \text{entropy}(0/2,2/2) = -0/2 \log(0/2) - 2/2 \log(2/2) = 0 \text{ bits}$$

- Expected information for attribute:

$$\text{Info}([1,1], [3,2], [0,2]) = 2/9 * 1 + 5/9 * 0.972 + 2/9 * 0 = 0.762 \text{ bits}$$

- Information gain: information before splitting – information after splitting

$$\text{gain}(\textit{Type}) = \text{info}([4,5]) - \text{info}([1,1],[3,2],[0,2]) = 0.99 - 0.762 = 0.227 \text{ bits}$$

# Information gain

- $\text{gain}(\textit{Alcohol\_content}) = 0.01$  bits
- $\text{gain}(\textit{Sweetness}) = 0.019$  bits
- $\text{gain}(\textit{Type}) = 0.227$  bits

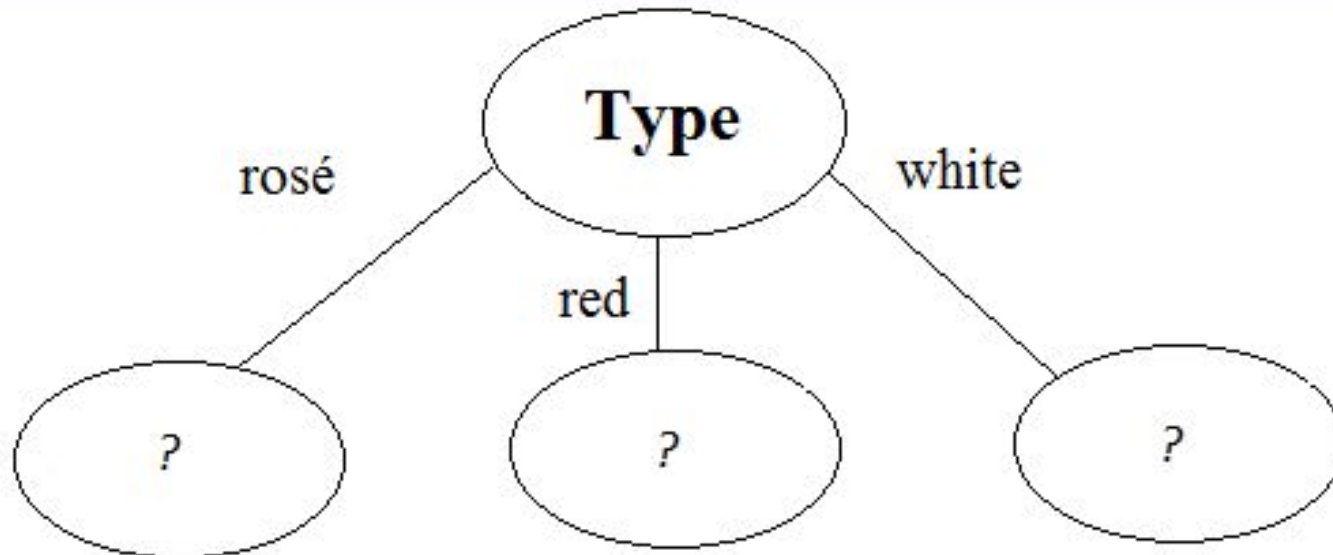
# Information gain

- $\text{gain}(\textit{Alcohol\_content}) = 0.01$  bits
- $\text{gain}(\textit{Sweetness}) = 0.019$  bits
- $\text{gain}(\textit{Type}) = 0.227$  bits



# Information gain

- $\text{gain}(\text{Alcohol\_content}) = 0.01$  bits
- $\text{gain}(\text{Sweetness}) = 0.019$  bits
- $\text{gain}(\text{Type}) = 0.227$  bits



# Type = rosé

- Information before splitting:  $\text{Info}[1,1] = 1$  bits
- *Alcohol\_content* = low:  $\text{Info}([1,0]) = 0$  bits
- *Alcohol\_content* = high:  $\text{Info}([0,1]) = 0$  bits
- $\text{Info}([1,0], [0,1]) = 1/2 * 0 + 1/2 * 0 = 0$  bits
- $\text{gain}(\text{Alcohol\_content}) = 1 - 0 = 1$  bits

| Alcohol_content | Sweetness | Type | (Year) | Popular |
|-----------------|-----------|------|--------|---------|
| low             | sweet     | rosé | 2012   | yes     |
| high            | sweet     | rosé | 2013   | no      |

# Type = rosé

- Information before splitting:  $\text{Info}[1,1] = 1$  bits
- *Sweetness* = sweet:  $\text{Info}([1,1]) = 1$  bits
- *Sweetness* = semi-sweet:  $\text{Info}([0,0]) = 0$  bits
- *Sweetness* = dry:  $\text{Info}([0,0]) = 0$  bits
- $\text{Info}([1,1], [0,0]), [0,0]) = 1$  bits
- $\text{gain}(\text{Sweetness}) = 1 - 1 = 0$  bits

| Alcohol_content | Sweetness | Type | (Year) | Popular |
|-----------------|-----------|------|--------|---------|
| low             | sweet     | rosé | 2012   | yes     |
| high            | sweet     | rosé | 2013   | no      |

# Type = rosé

- $\text{gain}(\textit{Alcohol\_content}) = \underline{1 \text{ bits}}$
- $\text{gain}(\textit{Sweetness}) = 0 \text{ bits}$

# Type = red

- Information before splitting:  $\text{Info}[3,2] = 0.972$  bits
- *Alcohol\_content* = low:  $\text{Info}([1,1]) = 1$  bits
- *Alcohol\_content* = high:  $\text{Info}([2,1]) = 0.913$  bits
- $\text{Info}([1,1], [2,1]) = \frac{2}{5} * 1 + \frac{3}{5} * 0.913 = 0.95$  bits
- $\text{gain}(\text{Alcohol\_content}) = 0.972 - 0.95 = 0.022$  bits

| Alcohol_content | Sweetness  | Type | (Year) | Popular |
|-----------------|------------|------|--------|---------|
| low             | dry        | red  | 2009   | no      |
| low             | semi-sweet | red  | 2008   | yes     |
| high            | semi-sweet | red  | 2011   | no      |
| high            | sweet      | red  | 2007   | yes     |
| high            | dry        | red  | 2005   | yes     |

# Type = red

- Information before splitting:  $\text{Info}[3,2] = 0.972$  bits
- *Sweetness* = sweet:  $\text{Info}([1,0]) = 0$  bits
- *Sweetness* = semi-sweet:  $\text{Info}([1,1]) = 1$  bits  
*Sweetness* = dry:  $\text{Info}([1,1]) = 1$  bits
- $\text{Info}([1,0], [1,1]), [1,1]) = 1/5 * 0 + 2/5 * 1 + 2/5 * 1 = 0.8$  bits
- $\text{gain}(\text{Sweetness}) = 0.972 - 0.8 = 0.172$  bits

| Alcohol_content | Sweetness  | Type | (Year) | Popular |
|-----------------|------------|------|--------|---------|
| low             | dry        | red  | 2009   | no      |
| low             | semi-sweet | red  | 2008   | yes     |
| high            | semi-sweet | red  | 2011   | no      |
| high            | sweet      | red  | 2007   | yes     |
| high            | dry        | red  | 2005   | yes     |

# Type = red

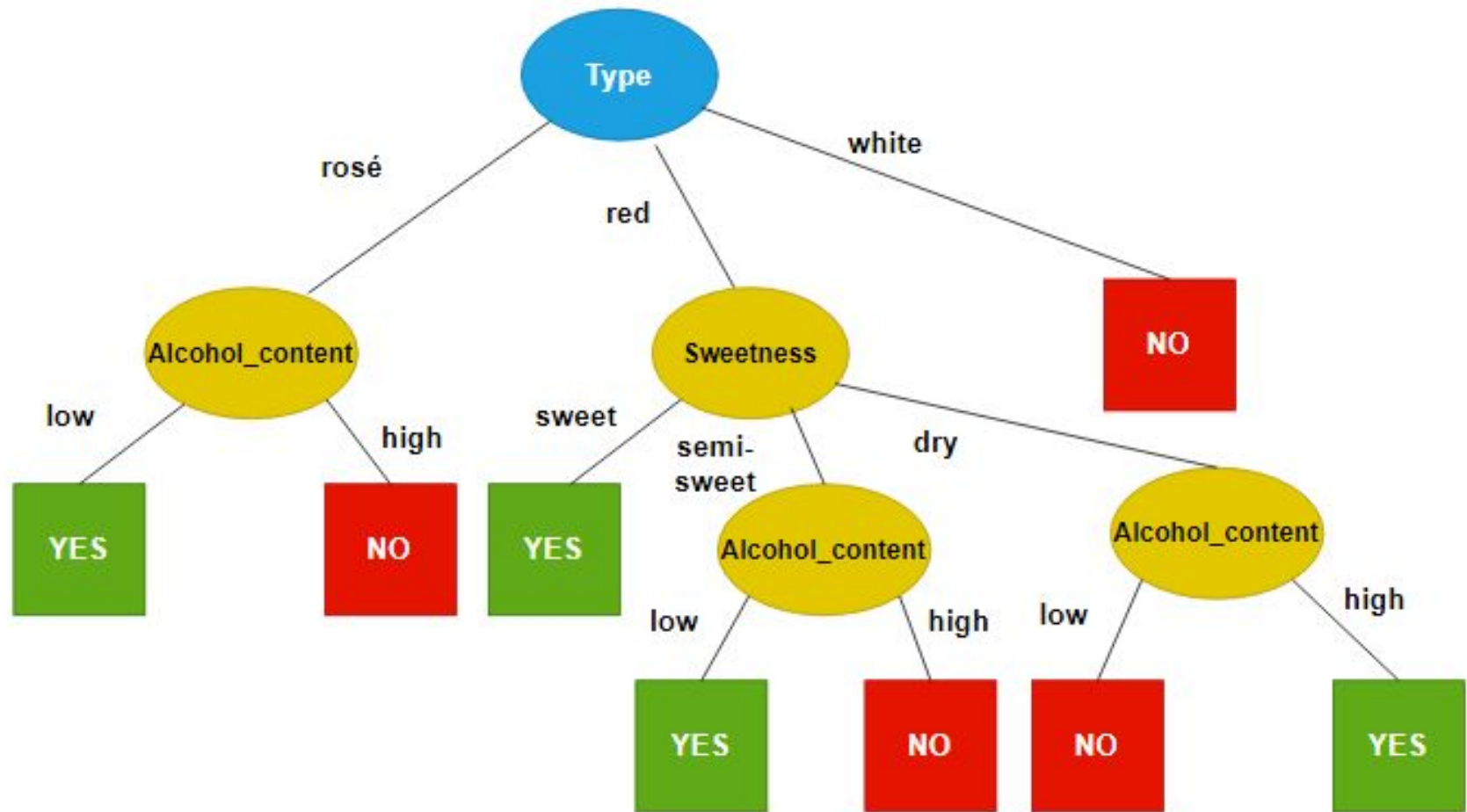
- $\text{gain}(\text{Alcohol\_content}) = 0.022 \text{ bits}$
- $\text{gain}(\text{Sweetness}) = \underline{0.172 \text{ bits}}$

# Type = white

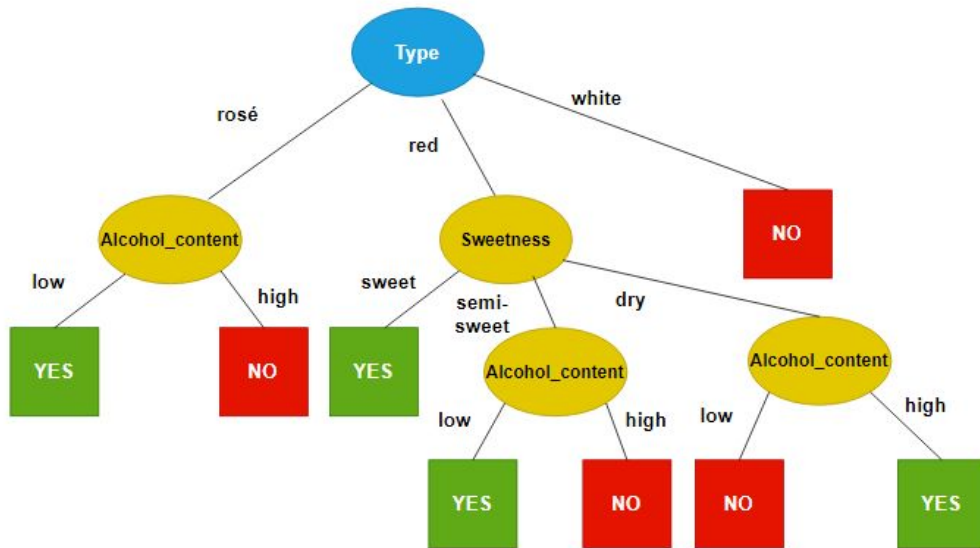
| Alcohol_content | Sweetness | Type  | (Year) | Popular |
|-----------------|-----------|-------|--------|---------|
| low             | dry       | white | 2013   | no      |
| low             | sweet     | white | 2006   | no      |



# Final tree



# Final tree



```
type = rosé
| alcohol_content = low: yes
| alcohol_content = high: no
type = red
| sweetness = sweet: yes
| sweetness = semi-sweet
| | alcohol_content = low: yes
| | alcohol_content = high: no
| sweetness = dry
| | alcohol_content = low: no
| | alcohol_content = high: yes
type = white: no
```