

# 1 Section 3.5 Exercises

Exercises with solutions from Section 3.5 of [UA].

**Exercise 3.5.1.** Argue that a set  $A$  is a  $G_\delta$  set if and only if its complement is an  $F_\sigma$  set.

*Solution.* This is immediate from De Morgan's Laws (see Exercise 3.2.9).

**Exercise 3.5.2.** Replace each \_\_\_\_\_ with the word *finite* or *countable* depending on which is more appropriate.

- (a) The \_\_\_\_\_ union of  $F_\sigma$  sets is an  $F_\sigma$  set.
- (b) The \_\_\_\_\_ intersection of  $F_\sigma$  sets is an  $F_\sigma$  set.
- (c) The \_\_\_\_\_ union of  $G_\delta$  sets is a  $G_\delta$  set.
- (d) The \_\_\_\_\_ intersection of  $G_\delta$  sets is a  $G_\delta$  set.

*Solution.* (a) The countable union of  $F_\sigma$  sets is an  $F_\sigma$  set. Suppose we have a countable collection  $\{A_m : m \in \mathbf{N}\}$  of  $F_\sigma$  sets, i.e. for each  $m \in \mathbf{N}$  there is a countable collection  $\{B_{m,n} : n \in \mathbf{N}\}$  of closed sets such that  $A_m = \bigcup_{n=1}^{\infty} B_{m,n}$ . Then

$$\bigcup_{m=1}^{\infty} A_m = \bigcup_{m=1}^{\infty} \bigcup_{n=1}^{\infty} B_{m,n} = \bigcup_{(m,n) \in \mathbf{N}^2} B_{m,n}.$$

$\mathbf{N}^2$  is countable by Theorem 1.5.8 (ii), so we have expressed  $\bigcup_{m=1}^{\infty} A_m$  as a countable union of closed sets and hence  $\bigcup_{m=1}^{\infty} A_m$  is an  $F_\sigma$  set.

- (b) The finite intersection of  $F_\sigma$  sets is an  $F_\sigma$  set. To see this, it will suffice to show that if  $A$  and  $B$  are  $F_\sigma$  sets, then  $A \cap B$  is an  $F_\sigma$  set; the general case will follow from an induction argument. Suppose therefore that  $A = \bigcup_{m=1}^{\infty} A_m$  and  $B = \bigcup_{n=1}^{\infty} B_n$ , where  $\{A_m : m \in \mathbf{N}\}$  and  $\{B_n : n \in \mathbf{N}\}$  are countable collections of closed sets. We claim that

$$A \cap B = \left( \bigcup_{m=1}^{\infty} A_m \right) \cap \left( \bigcup_{n=1}^{\infty} B_n \right) = \bigcup_{(m,n) \in \mathbf{N}^2} (A_m \cap B_n).$$

Indeed,

$$\begin{aligned} x \in \left( \bigcup_{m=1}^{\infty} A_m \right) \cap \left( \bigcup_{n=1}^{\infty} B_n \right) &\iff (x \in \bigcup_{m=1}^{\infty} A_m) \text{ and } (x \in \bigcup_{n=1}^{\infty} B_n) \\ &\iff (\exists m \in \mathbf{N} : x \in A_m) \text{ and } (\exists n \in \mathbf{N} : x \in B_n) \\ &\iff (\exists (m, n) \in \mathbf{N}^2 : x \in A_m \text{ and } x \in B_n) \\ &\iff (\exists (m, n) \in \mathbf{N}^2 : x \in A_m \cap B_n) \\ &\iff x \in \bigcup_{(m,n) \in \mathbf{N}^2} (A_m \cap B_n). \end{aligned}$$

For any  $(m, n) \in \mathbf{N}^2$ , the intersection  $A_m \cap B_n$  is closed since both  $A_m$  and  $B_n$  are closed. Thus we have expressed  $A \cap B$  as a countable union of closed sets and hence  $A \cap B$  is an  $F_\sigma$  set.

The countable intersection of  $F_\sigma$  sets need not be an  $F_\sigma$  set. For a counterexample, let  $\{r_1, r_2, \dots\}$  be an enumeration of  $\mathbf{Q}$  and for positive integers  $m$  and  $n$ , set

$$B_{m,n} := \left(-\infty, r_m - \frac{1}{n}\right] \cup \left[r_m + \frac{1}{n}, \infty\right).$$

Each  $B_{m,n}$  is a closed set, so if we let  $A_m := \bigcup_{n=1}^{\infty} B_{m,n}$  for each  $m \in \mathbf{N}$ , then each  $A_m$  is an  $F_\sigma$  set. We claim that  $\bigcap_{m=1}^{\infty} A_m = \mathbf{I}$ , the set of irrational numbers. To see this, we will show that  $(\bigcap_{m=1}^{\infty} A_m)^c = \mathbf{Q}$ . By De Morgan's Laws, we have

$$\begin{aligned} (\bigcap_{m=1}^{\infty} A_m)^c &= \bigcup_{m=1}^{\infty} A_m^c \\ &= \bigcup_{m=1}^{\infty} (\bigcup_{n=1}^{\infty} B_{m,n})^c \\ &= \bigcup_{m=1}^{\infty} \bigcap_{n=1}^{\infty} B_{m,n}^c \\ &= \bigcup_{m=1}^{\infty} \bigcap_{n=1}^{\infty} \left(r_m - \frac{1}{n}, r_m + \frac{1}{n}\right) \\ &= \bigcup_{m=1}^{\infty} \{r_m\} \\ &= \mathbf{Q}. \end{aligned}$$

Thus  $\bigcap_{m=1}^{\infty} A_m = \mathbf{I}$ . As we will show in [Exercise 3.5.6](#),  $\mathbf{I}$  is not an  $F_\sigma$  set.

- (c) The finite union of  $G_\delta$  sets is a  $G_\delta$  set, but the countable union of  $G_\delta$  sets need not be a  $G_\delta$  set; these statements follow from part (b) of this exercise, [Exercise 3.5.1](#), and De Morgan's Laws.
- (d) The countable intersection of  $G_\delta$  sets is a  $G_\delta$  set. Again, this follows from part (a) of this exercise, [Exercise 3.5.1](#), and De Morgan's Laws.

**Exercise 3.5.3.** (This exercise has already appeared as [Exercise 3.2.15](#).)

- (a) Show that a closed interval  $[a, b]$  is a  $G_\delta$  set.
- (b) Show that the half-open interval  $(a, b]$  is both a  $G_\delta$  and an  $F_\sigma$  set.
- (c) Show that  $\mathbf{Q}$  is an  $F_\sigma$  set, and the set of irrationals  $\mathbf{I}$  forms a  $G_\delta$  set.

*Solution.* See [Exercise 3.2.15](#).

**Exercise 3.5.4.** Starting with  $n = 1$ , inductively construct a nested sequence of *closed* intervals  $I_1 \supseteq I_2 \supseteq I_3 \supseteq \cdots$  satisfying  $I_n \subseteq G_n$ . Give special attention to the issue of the endpoints of each  $I_n$ . Show how this leads to a proof of the theorem.

*Solution.* Since  $G_1$  is dense, it must be non-empty, i.e. there exists some  $x_1 \in G_1$ . Since  $G_1$  is open, there exists an  $\epsilon_1 > 0$  such that  $(x_1 - \epsilon_1, x_1 + \epsilon_1) \subseteq G_1$ . Set

$$a_1 := x_1 - \frac{\epsilon_1}{2}, \quad b_1 := x_1 + \frac{\epsilon_1}{2}, \quad \text{and} \quad I_1 = [a_1, b_1].$$

Then  $I_1 \subseteq (x_1 - \epsilon_1, x_1 + \epsilon_1) \subseteq G_1$ . This handles the base case. Now suppose that after  $n$  steps we have chosen nested, closed intervals  $I_1 = [a_1, b_1] \supseteq \cdots \supseteq I_n = [a_n, b_n]$  such that  $I_1 \subseteq G_1, \dots, I_n \subseteq G_n$  and  $a_1 < b_1, \dots, a_n < b_n$ . Since  $G_{n+1}$  is dense, there exists some  $x_{n+1} \in G_{n+1}$  such that  $a_n < x_{n+1} < b_n$ , and since  $G_{n+1}$  is open, there exists some  $\epsilon_{n+1} > 0$  such that  $(x_{n+1} - \epsilon_{n+1}, x_{n+1} + \epsilon_{n+1}) \subseteq G_{n+1}$ . Let  $\delta = \min \left\{ \frac{\epsilon_{n+1}}{2}, x_{n+1} - a_n, b_n - x_{n+1} \right\}$ , and set

$$a_{n+1} := x_{n+1} - \delta, \quad b_{n+1} := x_{n+1} + \delta, \quad \text{and} \quad I_{n+1} = [a_{n+1}, b_{n+1}].$$

Then  $a_{n+1} < b_{n+1}$ , and since  $\delta \leq x_{n+1} - a_n$  and  $\delta \leq b_n - x_{n+1}$ , we have  $I_{n+1} \subseteq I_n$ . Moreover, because  $\delta \leq \frac{\epsilon_{n+1}}{2}$ , we also have  $I_{n+1} \subseteq (x_{n+1} - \epsilon_{n+1}, x_{n+1} + \epsilon_{n+1}) \subseteq G_{n+1}$ . This completes the induction step.

Thus we obtain a nested sequence of closed intervals  $(I_n)$  such that  $I_n \subseteq G_n$  for each  $n \in \mathbf{N}$ . We may now appeal to the Nested Interval Property to obtain some  $x \in \bigcap_{n=1}^{\infty} I_n$ , which must also belong to  $\bigcap_{n=1}^{\infty} G_n$ .

**Exercise 3.5.5.** Show that it is impossible to write

$$\mathbf{R} = \bigcup_{n=1}^{\infty} F_n,$$

where for each  $n \in \mathbf{N}$ ,  $F_n$  is a closed set containing no nonempty open intervals.

*Solution.* Suppose that  $\{F_n : n \in \mathbf{N}\}$  is a collection of closed sets, each of which contains no non-empty open intervals. Then for each  $n \in \mathbf{N}$ ,  $F_n^c$  is an open set. Furthermore, we claim that  $F_n^c$  is dense. To see this, let  $x < z$  be arbitrary real numbers. By assumption,  $(x, z) \not\subseteq F_n$ , so there exists some  $y \in (x, z) \cap F_n^c$ ; the claim follows.

Thus  $\{F_n^c : n \in \mathbf{N}\}$  is a collection of open, dense sets. Theorem 3.5.2 (Exercise 3.5.4) and De Morgan's Laws now imply that

$$\bigcap_{n=1}^{\infty} F_n^c \neq \emptyset \iff \bigcup_{n=1}^{\infty} F_n \neq \mathbf{R}.$$

**Exercise 3.5.6.** Show how the previous exercise implies that the set  $\mathbf{I}$  of irrationals cannot be an  $F_\sigma$  set, and  $\mathbf{Q}$  cannot be a  $G_\delta$  set.

*Solution.* We will argue by contradiction. Suppose that  $\mathbf{I}$  is an  $F_\sigma$  set, so that  $\mathbf{I} = \bigcup_{m=1}^{\infty} F_m$ , where each  $F_m$  is closed. Note that for any  $m \in \mathbf{N}$ , it must be the case that  $F_m$  contains no non-empty open interval; otherwise,  $F_m$  would contain infinitely many rational numbers. Let  $\{r_1, r_2, \dots\}$  be an enumeration of  $\mathbf{Q}$ , so that  $\mathbf{Q} = \bigcup_{n=1}^{\infty} \{r_n\}$ . For any  $n \in \mathbf{N}$ , the singleton  $\{r_n\}$  is closed and contains no non-empty interval. Observe that

$$\mathbf{R} = \mathbf{I} \cup \mathbf{Q} = \left( \bigcup_{m=1}^{\infty} F_m \right) \cup \left( \bigcup_{n=1}^{\infty} \{r_n\} \right) = \bigcup_{(m,n) \in \mathbf{N}^2} (F_m \cup \{r_n\}).$$

For any  $(m, n) \in \mathbf{N}^2$ , the union  $F_m \cup \{r_n\}$  is closed and contains no non-empty intervals. However, since  $\mathbf{N}^2$  is countable, this expression for  $\mathbf{R}$  contradicts [Exercise 3.5.5](#). Hence it must be the case that  $\mathbf{I}$  is not an  $F_\sigma$  set, which by [Exercise 3.5.1](#) implies that  $\mathbf{Q}$  cannot be a  $G_\delta$  set.

**Exercise 3.5.7.** Using [Exercise 3.5.6](#) and versions of the statements in [Exercise 3.5.2](#), construct a set that is neither in  $F_\sigma$  nor in  $G_\delta$ .

*Solution.* Define  $E := (\mathbf{I} \cap (-\infty, 0]) \cup (\mathbf{Q} \cap [0, \infty))$ ; we claim that  $E$  is neither an  $F_\sigma$  nor a  $G_\delta$  set. Seeking a contradiction, suppose that  $E$  is an  $F_\sigma$  set. It is not hard to see that any interval is an  $F_\sigma$  set (see [Exercise 3.5.3](#)), so by [Exercise 3.5.2](#) (b) we have that

$$E \cap (-\infty, 0) = \mathbf{I} \cap (-\infty, 0)$$

is an  $F_\sigma$  set, i.e. there is a countable collection  $\{F_m : m \in \mathbf{N}\}$  of closed sets such that

$$\mathbf{I} \cap (-\infty, 0) = \bigcup_{m=1}^{\infty} F_m.$$

For  $m \in \mathbf{N}$ , let  $-F_m = \{-x : x \in F_m\}$ . Then since  $(x_n) \rightarrow x$  implies  $(-x_n) \rightarrow -x$ , each  $-F_m$  is also closed. Furthermore, we have

$$\mathbf{I} \cap (0, \infty) = \bigcup_{m=1}^{\infty} -F_m.$$

It follows that  $\mathbf{I} \cap (0, \infty)$  is an  $F_\sigma$  set. However, [Exercise 3.5.2](#) (a) now implies that

$$\mathbf{I} = (\mathbf{I} \cap (-\infty, 0)) \cup (\mathbf{I} \cap (0, \infty))$$

is an  $F_\sigma$  set, contradicting [Exercise 3.5.6](#). A similar argument with  $\mathbf{Q}$  shows that  $E$  cannot be a  $G_\delta$  set either.

**Exercise 3.5.8.** Show that a set  $E$  is nowhere-dense in  $\mathbf{R}$  if and only if the complement of  $\overline{E}$  is dense in  $\mathbf{R}$ .

*Solution.* We will show that  $A \subseteq \mathbf{R}$  contains no non-empty open intervals if and only if  $A^c$  is dense in  $\mathbf{R}$ . By  $A$  containing no non-empty open intervals, we mean that for all  $x, y \in \mathbf{R}$  such that  $x < y$ , we have  $(x, y) \not\subseteq A$ . This is equivalent to saying that for all  $x, y \in \mathbf{R}$  such that  $x < y$ , there exists some  $t \in \mathbf{R}$  such that  $x < t < y$  and  $t \notin A$ . In other words,  $A^c$  is dense in  $\mathbf{R}$ .

**Exercise 3.5.9.** Decide whether the following sets are dense in  $\mathbf{R}$ , nowhere-dense in  $\mathbf{R}$ , or somewhere in between.

- (a)  $A = \mathbf{Q} \cap [0, 5]$ .
- (b)  $B = \{1/n : n \in \mathbf{N}\}$ .
- (c) the set of irrationals.
- (d) the Cantor set.

*Solution.* (a) We have  $\overline{A} = [0, 5]$ , which is not the entire real line and also contains non-empty open intervals. Thus  $A$  is neither dense nor nowhere-dense.

(b) We have  $\overline{B} = \{0\} \cup B \neq \mathbf{R}$ , so that  $B$  is not dense. Note that if  $\overline{B}$  contained a non-empty open interval then  $\overline{B}$  would contain at least one irrational number, but  $\overline{B} \subseteq \mathbf{Q}$ . Thus  $\overline{B}$  contains no non-empty open intervals and hence  $B$  is nowhere-dense.

(c)  $\mathbf{I}$  is dense in  $\mathbf{R}$  (see [Exercise 1.4.5](#)) and hence cannot be nowhere-dense (a dense subset  $E \subseteq \mathbf{R}$  certainly cannot be nowhere-dense;  $\overline{E} = \mathbf{R}$  contains every non-empty open interval).

(d) The Cantor set is closed, so  $\overline{C} = C \neq \mathbf{R}$ . Thus  $C$  is not dense in  $\mathbf{R}$ .  $C$  also does not contain any non-empty open intervals; given any  $x < y$  in  $C$ , it is always possible to find some  $t \notin C$  such that  $x < t < y$  (see [Exercise 3.4.8](#)). Thus  $C$  is nowhere-dense in  $\mathbf{R}$ .

**Exercise 3.5.10.** Finish the proof by finding a contradiction to the results in this section.

*Solution.* Since  $E_n \subseteq \overline{E_n}$  for each  $n \in \mathbf{N}$ , we have  $\mathbf{R} = \bigcup_{n=1}^{\infty} \overline{E_n}$ . However, each  $\overline{E_n}$  is closed and by assumption contains no non-empty open intervals, so this contradicts [Exercise 3.5.5](#).