HW4 Report

Author

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Environment

- python >= 3.6
- Linux >= 16.04

Requirments

nltk

To install the required libraries, run the following command.

```
pip install -r requirements.txt
```

Executing the code

```
python main.py
```

• Before running the code, make sure the directory *IRTM* is present.

Program descriptions

I have implemented the efficient HAC! Please do check the code or view the following documentation.

The program can be broke into several phases.

- 1. Traverse the folder and generate normalized feature vector.
 - 1. Use *tokenization*, which is from HW1, to preprocess each line of the document for the whole document collection.
 - 2. Once we have the whole token set for each of the documents, we calculate the tfidf vector for each document.
 - 3. After calculating the tfidf vector, we normalize each of the value in the documnet set.
- 2. Priority queue definition, and helper class
 - 1. Firstly, I define a class *node*, to store the *similarity value*, and *index* for each possible pairs of document.

```
class node:
    similarity = 0
    index = i
```

2. Secondly, the p_queue class for the priority queue.

```
class p_queue:
```

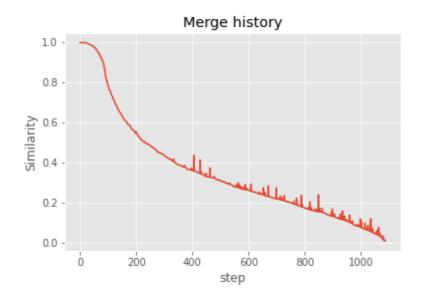
```
def __init__(self):
       self.items = [0] * 2000
       self.list size = 0
      self.name2position = {}
       self.position2name = {}
    # Some helper functions, the function purpose is self explanatory
    def __str__(self):
      return str(self.items)
    def _is_leaf(self, pos):
      if 2 * pos > self.list_size:
          return True
       return False
    def _swap(self, first, second):
       self.items[first], self.items[second] = (self.items[second],
self.items[first])
      first_name = self.position2name[first]
       second_name = self.position2name[second]
       self.position2name[first], self.position2name[second] =
self.position2name[second], self.position2name[first]
       self.name2position[first_name], self.name2position[second_name] =
self.name2position[second_name], self.name2position[first_name]
    def _remove_last(self):
       last_name = self.position2name[self.list_size]
       self.position2name.pop(self.list_size, None)
      self.name2position.pop(last_name, None)
       self.items[self.list_size] = 0
       self.list_size -= 1
    def insert(node):
        # Register the node information (similarity value, and index)
into self.
        # Put the node in the last and heapify the list.
        pass
    def heapify(pos):
        # Given a *pos*, we sink the node to proper position.
        pass
    def pop_top():
        # save the element in top_item
        # Swap with the last element
        # re-heapify
        return top_item
    def pop(name):
        # pop out the given element name
        # Swap the element with the last of the element, and then re-
heapify.
    def clear():
        # clear all the memory
        self.items = [0] * 2000
        self.name2position.clear()
        self.position2name.clear()
        self.list_size = 0
```

```
def get_top():
    return self.items[1]

def get_top_name(self):
    return self.position2name[1]
```

- 3. Calculate the pairwise similarity and prepare and $n\cdot n$ priority queue.
 - 1. Pairwise similarity can be acquired by $S \cdot S^T$.
 - 2. For each document, which is a p_queue instance, insert *nodes* by setting similarity and index.
 - 1. Passed on if the document is calculating self similarity.
 - 3. We will then acquire a list of *p_queues* called *priority_queue*.
- 4. Clustering phase
 - 1. There will be a total of 1095 K rounds, K is the number of clusters.
 - 2. In each round, do the following.
 - 1. Pick out the maximum similarity, and its index *maximum_sim* by traversing all the instances in *priority_queue*.
 - 2. The pair would then be *maximum_sim*, and *priority_queue[maximum_sim].get_top_name()=k2*
 - 3. Set chooseable[k2] to False
 - 4. Merge the cluster with larger index into the one with smaller.
 - 1. Traverse the *priority_queue*, and remove the node indexed *maximum_sim*, and *k2* in each instance in *priority_queue* if chooseable.
 - 2. Recalculate the updated cluster similarity between (i, and *maximum_sim+k2*)
 - 3. Insert it into both i and maximum sim.
 - 3. The distance measure used here is complete link clustering
- 5. Retrieve the clustering results.
 - 1. Sort each clusters, so that it meets the spec.

Clustering history



Since we are using a priority queue to store values, we can achieve insertion and deletion in $O(\log N)$. And the total model complexity would then be $O(N^2 \log N)$.