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Functional Analysis  
Pset 2

**Problem 1** Let  $M = \sup\{|T(f, f)|, \|f\| = 1\}$ . Clearly  $M \leq \|T\|$ . To show  $M \geq \|T\|$ , consider the polarization identity:

$$(Tf, g) = \frac{1}{4}[(T(f+g), f+g) - (T(f-g), f-g) + i(T(f+ig), f+ig) - i(T(f-ig), f-ig)].$$

Because  $(Th, h) = (h, Th) = \overline{(Tf, f)}$ ,  $(Tf, f)$  is real, and thus:

$$\operatorname{Re}(Tf, g) = \frac{1}{4}[(T(f+g), f+g) - (T(f-g), f-g)].$$

By definition,  $|(Th, h)| \leq M\|h\|^2$ , so:

$$\begin{aligned} \operatorname{Re}(Tf, g) &\leq \frac{M}{4}(\|f+g\|^2 - \|f-g\|^2) \\ &\leq \frac{M}{2}(\|f\|^2 + \|g\|^2), \end{aligned}$$

by the parallelogram law. Letting  $\|f\| = \|g\| = 1$ , we see

**Problem 4** Boundedness: by Pythagoras,

$$\|Tf\|^2 = \left\| \sum_k \alpha_k \frac{e_{k+1}}{k} \right\|^2 = \sum_k \frac{\alpha_k^2}{k^2} \leq \sum_k \alpha_k^2 = \|f\|^2.$$

Compactness: let  $a_n = \sum_k \alpha_k e_k$  have norm  $\leq 1$ . We can write

$$Ta_n = \sum_{k=1}^{\infty} \frac{\alpha_k e_{k+1}}{k} = \sum_{k=1}^N \frac{\alpha_k e_{k+1}}{k} + \sum_{k=N+1}^{\infty} \frac{\alpha_k e_{k+1}}{k}$$

The second term converges to zero in norm as  $N \rightarrow \infty$ , so for any  $m$ , we can choose  $N$  such that this term is less than  $1/10m$ , and then because the first term is finite dimensional, there exists a subsequence that converges in that term, and we can choose some  $n_i$  such that the distance between the first  $N$  terms of any two  $a_{n_j}$  with  $j \geq i$  is also less than  $1/10m$ . Repeat, this time with the  $N$ -convergent subsequence, and index the resultant (sub)subsequence  $\{a_m\}$ . Clearly for  $x, y \geq m$ ,  $\|a_x - a_y\| \leq \frac{1}{m} \rightarrow 0$ .

No eigenvectors: suppose  $\sum \alpha_k e_k$  was an eigenvector, then there exists some nonzero coefficient  $a_k$ . Because  $Tf = \sum \frac{\lambda \alpha_k k e_{k+1}}{k}, \frac{\lambda \alpha_{k-1}}{k-1} = a_k$ , i.e.  $a_{k-1}$  is nonzero and by induction  $a_1$  is nonzero. But the coefficient of  $e_1$  in  $Tf$  is 0, so no eigenvectors can exist.

**Problem 5** Suppose  $\lambda_k \rightarrow 0$ : we can show compactness by the same argument as in the previous problem. Write:

$$Tf_k = \sum_{k=1}^N \lambda_k \alpha_k e_k + \sum_{k=N+1}^{\infty} \lambda_k \alpha_k e_k,$$

and again pick some  $f_m$  from nested  $N$ -convergent subsequences.

Conversely, suppose  $\lambda_k$  doesn't converge to zero, i.e.  $\exists \varepsilon$  such that for all  $N$  there exists  $k \geq N$  such that  $\lambda_k > \varepsilon$ . Create from this a sequence  $K_N$ . Clearly  $\{e_{K_N}\}$  have norm one but  $\text{Im}\{\lambda_{K_N} e_{K_N}\}$ , which has no convergent subsequence as they are all orthogonal with norm  $> \varepsilon$ , i.e. are always at least  $\sqrt{2}\varepsilon$  apart, by Pythagoras.

**Problem 6** We first show an eigenvec