

# An Evaluation of the Impact of Constraints on the Perceived Creativity of Narrative Generating Software

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## Abstract

There are a variety of ways to understand the computational generation of narratives. This project attempts to categorise them as a spectrum which arises from the application of constraint. To assess the validity of the spectrum, the literature surrounding narrative generation systems is introduced, as are ways of evaluating their creativity. This provides the groundwork for an investigation into the impact of constraints on the perceived creativity of the output of narrative generating systems. The investigation aims to understand what level of constraint application results in the most creative output. To achieve this, software is written that generates short stories, using adjustable levels of constraint meant to reflect those utilised by software at different positions on the spectrum. The creativity of the output is then assessed by human evaluators. The results are promising and show a clear variation of response based on the level of constraint imposed on the narrative generation process. This supports the assessment of narrative generation software in terms of a spectrum of constraint application. The results show a sweet spot for maximal creativity closer to the less constrained end of the spectrum, which demonstrates the potential for more creative software by the relaxing of constraints. If a system strays too close to randomness however, the perceived creativity will be heavily penalised. In contrast the strictest application of constraint showed the second highest level of creativity. This is a fine line, as too relaxed or too moderate applications of constraint will result in much lower creativity ratings.

## Introduction

### Computers and Creativity

Computers and the software running on them are generally seen as supportive tools for human intellectual endeavours, or as a means of automating tedious tasks. The idea of computers making something creative, independently and without human interaction, although not new, has expanded in recent years with the popularisation of accessible machine learning and artificial intelligence technologies<sup>1</sup>. These have applications in a great many areas, from diagnostic

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<sup>1</sup>See Tensorflow as an example of consumer friendly machine intelligence software .

medicine to written language translation . Their varied uses demonstrate computer intelligence's utility in a number of problem domains, including computational creativity.

Creativity can be defined as

The ability to transcend traditional ideas, rules, patterns, relationships, or the like, and to create meaningful new ideas, forms, methods [and] interpretations.

The traditional conception of computers as rigid, logic following machines could not be further from the above definition of creativity or notions of human artists who are renowned for the free flowing connection of ideas and concepts<sup>2</sup>. However the implementation of algorithms in non-traditional use cases and attempts to allow computers to learn independently have narrowed this gap significantly<sup>3</sup>, facilitating the development of a spectrum of creative computing software. From more rigid, context aware systems like CAST to LSTM RNNs<sup>4</sup> like Benjamin which are discussed in Chapter 3. The world of narrative and text generation features many examples of this software and the variety of artefacts produced shows how impactful the level of constraints imposed during generation<sup>5</sup> are on the output - and subsequent creative merit - of the software and its works.

## Literature Review

In Chapter 1 a dictionary definition of creativity was given, which stated that the ability to transcend traditional ideas, rules [and] patterns was necessary for something to be considered creative. Within the domain of computer intelligence this general definition can be refined. In this Chapter, the literature surrounding computational creativity will be introduced, followed by a discussion of how computational cre-

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<sup>2</sup>See and Chapter 3 for reference to historical creators famous for transforming conceptual spaces and discussion on what type of creativity is historically valued.

<sup>3</sup>See The Painting Fool and its continued advances .

<sup>4</sup>Long Short-Term Memory Recurrent Neural Networks.

<sup>5</sup>Where on the spectrum from rigid, goal oriented software, to less restricted, less context aware machine learning applications a system could be classified. See Section TKTKTK for more detail.

ativity can be evaluated, specifically within the domain of narrative generation. This lays the groundwork for an analysis of a spectrum of creative software, each element of which is assessed based on its application of constraint to creative work.

## What Does It Mean for a Computer to Be Creative?

Margaret Boden wrote that creativity is grounded in everyday capacities and not a psychological property confined to a tiny elite ( P.347). If computers can demonstrate these everyday capacities such as reflective self criticism and searching a structured problem space then they too can possess creativity, provided these techniques can be implemented in a way that creates new ideas. Boden split the ways in which computers can generate new ideas into three sections, and used these sections to guide the definitions of three types of creativity. According to Boden computers can generate new ideas in these ways

[B]y producing novel combinations of familiar ideas; by exploring the potential of conceptual spaces; and by making transformations that enable the generation of previously impossible ideas.  
( P.347)

This framework allows for three distinct types of creativity to be defined

**Combinational** In which, sometimes improbable, ideas are combined, perhaps in unexpected ways, such as the combination of two ideas to make an analogy. The insight demonstrated by the ideas mapped to one another might not necessarily be intentional on the part of the creative agent.

**Exploratory** In which ideas are generated through exploration of the conceptual space. However they still have a clear origin in the knowledge structures the system began with.

**Transformational** In which the conceptual space is in some way transformed to allow for the creation of ideas that were, in its previous state, impossible. The most surprising new ideas are generated when the more fundamental aspects of the conceptual space are transformed.

All of these types of creativity, the latter two in particular, are reliant on the *conceptual space* in which the system is working, this could be jazz music, architecture or story writing. The conceptual space is shaped and refined further by the knowledge the system possesses and the established canon of the genre its working within .

These ideas are further enhanced by Sharples, who utilises Boden's work when exploring the idea of writing as creative design . The conceptual space of a writer might be schemas and frameworks which could link, say a set of conventional activities to a location. These schemas reduce the cognitive load the task of writing imposes and can be searched and combined to generate new ideas ( P.4). Sharples and Boden agree that the limitation of a

conceptual space is a form of constraint, however it is by utilising this constraint that Sharples says a writer can arrive at appropriateness ( P.3).

It is the pursuit of appropriateness which Sharples prioritises heavily when assessing the creative aspects of writing. He states that

A general account of the writing process needs to distinguish between novelty, appropriateness and creativity.  
( P.2)

Any previously unseen (either to the creative agent or the world) combination of words can be novel and most writing is appropriate if it is tailored even somewhat to its audience and the task which the author is trying to accomplish. It is the combination of these elements with radical originality that Sharples says will be awarded the label of creative.

Here we can start to see the imposition of constraints on the creative process is seemingly integral to the generation of creative output. Yet there is a common through line in the need for a transformation or a breaking of those constraints. Boden emphasises this transformation clearly as the highest aim of creative agents and Sharples engages the paradox directly, saying that

[A] writer needs to accept the constraint of goals, plans, and schemas, but creative writing requires the breaking of constraint [...] The paradox is that constraint *enables* creativity.  
( P.1, P.2)

The imposition of constraints enables creative agents to retain appropriateness whilst exploring a conceptual space. Yet to demonstrate radical originality within that space, it must be explored and transformed. This requires an ability to adjust the level of constraints that few creative writing systems demonstrate.

## Computational Creativity and Narrative Generation

In a 2004 paper Pérez y Pérez and Sharples, building on Boden's definitions of creativity, coined the term *c-creativity*, which refers to the notion of creativity specifically demonstrated by a computer system . They write that

A computer model might be considered as representing a creative process if it generates knowledge that does not explicitly exist in the original knowledge-base of the system and which is relevant to (i.e. is an important element of the) produced output.  
( P.2)

The authors state that when using this to model a creative system the different types of knowledge that the system is utilising and manipulating must be considered. A story writing system might include knowledge about the story-structure and the content, these are components of the conceptual space in which the software operates. Two systems cannot be considered equally creative if one of them

generates new knowledge about one aspect and another generates new knowledge about both, the latter is more deserving of the label creative. Pérez y Pérez enhanced this definition in a 2015 paper, where they added that the newly created knowledge should be available - kept in the agent's knowledge base - for future narrative generations ( P.31). The retention of knowledge from previous creative endeavours in order to build *expertise* and *experience* is an essential aim of creativity according to Pérez y Pérez ( P.31).

In another paper Colton and Wiggins give a general definition for computational creativity. The arguments they provide to justify this definition complement and expand on Pérez y Pérez's. They highlight the distinction between aesthetic and creative measures and restate the need for including a system's existing knowledge structures and input when evaluating the creative merit of its output .

The authors write that part of a working definition of computational creativity research is to develop

[S]ystems which, by taking on particular responsibilities, exhibit behaviours that unbiased observers would deem to be creative.

( P.1)

The terms responsibilities and unbiased observers are of particular importance as they distinguish systems built to be creative from tools meant to assist creators. The idea of unbiased observers is crucial, as people might have preconceived notions that machines cannot be creative and attribute the creativity to the human programmers of the system. The significance of the programmer's choices on the system's conceptual space is referred to by the authors as the *curation coefficient*.

This framing raises another issue in the pursuit of creative machines; that the aesthetic tastes of computers might be different from humans. It could be part of the responsibility of the creative individual to assess artefacts on their aesthetic merits and the agent taking responsibility for the content of the created artefacts is a key part in the development and assessment of computationally creative systems. Colton and Wiggins write that

A creative responsibility assigned to a computational system might be: development and/or employment of aesthetic measures to assess the value of artefacts it produces; invention of novel processes for generating new material; or derivation of motivations, justifications and commentaries with which to frame their output.

( P.2)

In light of this there is potential for creative systems to make things that might initially be considered bizarre or repulsive by an established aesthetic standard. However if justified by the system or viewed in a canon of artefacts made with a similar aesthetic modality, the output could be considered a great work. The more responsibility that creative systems can take for artefacts produced, the larger the potential for establishing and justifying the aesthetic, and perhaps,

creative, merits of their work or of creating new aesthetic modalities; proving not only that the artefact made deserves appreciation in the canon of existing works but that it has made a niche for itself that is entirely justified. This level of ownership and creative cohesion, that adjusts the aesthetic sensibilities of the audience, is what Boden described as the ultimate vindication of AI-creativity ( P.355).

Colton and Wiggins also posit that in assessing the creative responsibility of a system one cannot rely purely on the output, the input must also be considered, as any measure of progress based entirely on the output of the software would fail to correctly reward the advance in intelligence of the software. ( P.4). This complements Pérez y Pérez's requirement that the knowledge base of the software should be made available in a human readable form when presenting it for peer review ( P.15).

## Evaluating Computational Creativity in Narrative Generating Software

Following an evaluation of the literature that focuses on the task of identifying computational creativity, it seems reasonable that the level of creativity demonstrated should be quantified and evaluated in some way. There are some well regarded general ways of assessing computational creativity such as the SPECS and FACE methods , however, for the purposes of this work the focus is on the assessment of narrative generation in particular, so those methods will go largely unmentioned for the sake of clarity. The following Section covers two methods for evaluating the creativity of narrative generation systems and compares their potential utility with regards to assessing the impact of constraints on creativity as well as assessing creativity in general.

Pérez y Pérez developed the *Three Layers* approach to evaluating computer generated narratives to give the MEX-ICA plot generator the ability to assess its own output and the output of other writers. The model generates a score for the plot that can be used to quantitatively assess its potential creativity .

Layer 0 of the model involves checking for required-characteristics which are fundamental for something to be considered as having a plot. This layer does not contribute to the overall score, but a failure to meet the requirements of the model (due to unfulfilled preconditions or similarity to existing stories), will result in no evaluation taking place as the next two layers will not be completed. Layer 1 assesses the core characteristics of a narrative. Checking for the presence of climax, closure and unique or novel structures. The final layer deals with what Pérez y Pérez calls enhancers and debasers and it looks for aspects of narrative structure that if missing would be noticed immediately as their presence is taken for granted, Pérez y Pérez calls these preconditions and their absence is penalised . Repeated sequences are also penalised and reintroducing

complications is considered an enhancer. Once the narrative has been evaluated by all layers of the model a score can be provided for each layer based on the presence or absence of these valued features and the way they are structured.

This method is not without its flaws however, the most glaring of which is that the idea of automating the quantitative assessment of the creative worth of an artefact is highly suspect. The model requires a level of human curation in the selection of required characteristics for layer 0 and layer 1 focuses on the inclusion of core characteristics like climax chosen by the author. Pérez y Pérez says that a narrative without climax is not a story ( P.5); a highly subjective statement that relies on aesthetic taste<sup>6</sup> rather than some quantitative measure of worth. It almost appears that in an attempt to remove the human component from the evaluation of works, the imposition of one humans judgements has been automated. This is a level of subjectivity that although not the direct definition appears to be an example of too high a curation coefficient. Although the layers of the model can be tweaked, as acknowledged by the authors, the same issue will likely remain, that the criteria will be chosen by one or a small group of people and are relatively inflexible once in place. This model might be seen as imposing constraints in a way that penalises variation from expected norms, in light of this it is unlikely to value the transformation of the conceptual space in a way that might result in unusual or new aesthetic measures that truly great creative works can facilitate. The problem of self evaluation is covered by Boden who stated that it would be harder to solve than the generation of works by AI .

Rather than try and skirt the need for human subjectivity in the evaluation of creativity then, it might be better to embrace it. In an earlier paper Pérez y Pérez and Sharples wrote some criteria for presenting narrative software for evaluation. They highlight that a common difficulty when assessing story generation systems is the lack of an agreed upon comparative structure . To solve this they proposed some rules for evaluation stating that

- The programs knowledge base should be available for human evaluation in a sensible form.
- The type or aspects of creativity being modelled should be stated clearly by the designers, as should the audience.
- The program should be capable of generating a minimum of ten stories, 3 of which can be selected by the designers for human evaluation.
- The selected outputs should be judged for overall quality, originality and interestingness by independent raters ( P.15). The evaluators may or may not know that the stories were written by a computer.

This model is less programatic and perhaps harder to implement than the three layers. However it allows for a

<sup>6</sup>The films of Antonioni actively balk at the idea of a traditional narrative structure or providing a climax that satiates the viewer's curiosity , they would be heavily penalised by Pérez y Pérez's implementation of the first two layers.

range of creative opinions to be included in the evaluation of the works by having multiple individuals assess them rather than implementing the automated checking of criteria. The less prescriptive approach can also be considered an advantage when viewed in this light too. As it may appear *prima facie* to be less quantitative to have output judged for originality, novelty or interestingness by humans; quantifying these ratings over a group of people is possible and their individual approaches to creative assessment could even be documented alongside their responses to provide further context to each evaluation.

When evaluating the output of creative narrative generating software, the use of human participants may not be ideal for uniform data gathering, but it may represent the state of the art when assessing the novelty or creativity present in a work of art.

## The Spectrum of Constraint Application in Narrative Generating Software

In this Section the ways in which constraints are imposed by several narrative generating systems are discussed. The systems demonstrate a range from the more rigid to the more adaptable within context aware software and software using character goals. Writing systems at what might be considered the other end of this spectrum are then considered. In which no character or context awareness could reasonably said to be present, but a large corpus of existing texts forms the knowledge base from which the software learns and generates new artefacts.

Carlos León and Pablo Gervás made the storytelling system CAST to generate narratives based on the exploration and transformation of constraint rules . CAST starts with a knowledge base of facts and a set of constraints on how those facts can be combined. It then works to combine the facts in a way that is considered coherent given the constraints in place. This might involve considering a sequence of actions like

*kill(criminal, policeman) → eat(policeman, sandwich)*  
(1)

as invalid, as the dead policeman can not eat a sandwich.

Simply combining facts however will not lead to satisfying or creative output, it could at best achieve Boden's combinational creativity in a naive sense. The authors acknowledge this and attempt to circumvent it by ensuring the knowledge base evolves with each combination of ideas. They even go so far as to say that allowing a small number of non valid states to be used can lead to an increase in creativity. A point that is not touched on much, but hints at Sharples' insistence that breaking constraints will likely enhance creativity. However the authors are keen to avoid the generation of narratives that might be considered partial or non coherent . Perhaps imposing a constraint on the system that might limit the potential for radical originality or the development of new aesthetic modalities.

Pérez y Pérez's system MEXICA generates stories about the inhabitants of ancient Mexico City using the engagement, reflection model of narrative generation discussed by Sharples . This model involves a process of generation called engagement, in which MEXICA combines contexts from its knowledge base, looking for similar contexts to put together. This is followed by a process of reflecting and criticising the work developed so far, checking that preconditions can be satisfied and attempting to evaluate novelty. The goal is to guide generation as it happens and avoid creating narratives that are too similar to existing stories in the agent's knowledge base or stories which do not adhere sufficiently to the Aristotelian narrative structure, thus ensuring novelty and creativeness . However given the imposition of an established narrative structure that must be maintained, and the avoidance of certain factors such as repetition or similarity, even though a certain amount of adaptability is inherent in the engagement reflection model, it is still very constrained in the amount of transformation or exploration that will be permitted.

Mueller and Dyer in their software DAYDREAMER explore the utility of daydreaming in machines, attempting to provide a computer model for daydreaming that will generate short stories that benefit from the imagination and creativity present in many daydreams .

DAYDREAMER utilises a relaxed planning mechanism to guide the actions of a daydreaming agent. Mueller and Dyer posit that the relaxed set of constraints imposed on the daydreaming mind can facilitate the exploration of possibilities that would not normally have been pursued which can in turn allow for the exploration of unusual or not often linked ideas within the conceptual space. This relaxed approach to narrative can lead to fortuitous analogy recognition, creating a new or unusual idea which can be used to further enhance existing ones or progress a narrative towards resolution ( P.3). This application of constraints in narrative generation increases the potential for radical originality if the conceptual space is made more flexible. The authors write that

There are certain needless limitations of most present-day artificial intelligence programs which make creativity difficult or impossible: They are unable to consider bizarre possibilities and they are unable to exploit accidents.  
( P.14)

This is a rather novel approach in the domain of narrative generating systems, which often focus on the adherence to an established narrative structure or literary theory. Actively seeking the bizarre or the accidental discovery of new combinations or transformations of ideas seems far more likely to generate creative works. To achieve this there must be some level of constraint to ensure appropriateness but the extent to which other aesthetic or structural facets of narrative are required is greatly reduced by DAYDREAMER.

There are still, however, defined goals involved that the daydreaming agent works towards and there is little discussion of adjusting the constraints imposed by the relaxed planning mechanism. So the narrative development still happens within a context aware system, progressing the goals of an agent to create a narrative. This is a constraint that few creative systems that produce a narrative seem willing to break.

Benjamin is a long short-term memory recurrent neural network that has developed several screenplays, like *Sunspring* . Unlike the other systems discussed, Benjamin works without agents trying to achieve goals, or sets of facts that ensure consistency when manipulating data from its knowledge base. Using a large corpus of existing screenplays it can be trained to learn and develop its own narratives in a style learned from the corpus provided . This is an application of deep learning that has been applied before in creating artistic works with an aim of learning and maintaining a structure<sup>7</sup> and which shows potential as a more modern avenue for creative software.

Developing story telling software that isn't explicitly tasked with creating characters and managing their interactions is quite far removed from other narrative generating software discussed up to this point and its results are vastly different. They certainly would not be highly rated by Pérez y Pérez's implementation of the three layers model and would likely be considered incoherent by the standards of CAST. However without the level of constraint implicit in the requirements for characters with predetermined goals, Benjamin's output could have the potential for far more unusual or bizarre ideas. There is the ability to exploit accidents, though perhaps not in the way intended by DAYDREAMER's authors, but the combinations of ideas present in works of this type are still, exactly that, present. The model and the steps used to arrive at the output may be more opaque than in the other systems, and it is harder for the system's knowledge base (excluding the corpus provided for inspiration) to be presented in a human readable way but the results and methods could be considered closer to a truly generative act than other more structured or constrained systems. The curation coefficient of the programmers is less obvious and the results will likely provide more of the *shock* of surprise Boden anticipates when seeing something truly creative , as even knowing the corpus provided, the resultant artefacts are unlikely to be something the programmers would have predicted.

The variety of responses from artistic works made by neural networks definitely shows the potential for an AI system developing an aesthetic modality that is distinct from that of humans, and it is arguably transforming the conceptual space with its abstract approach to generating text. However, Benjamin's works are the most likely of the systems discussed so far to be accused of becoming a

<sup>7</sup>An LSTM RNN has been used to learn and compose its own blues licks with a particular focus on structure .

ramble of nonsense by Sharples. This could perhaps be countered with a discussion of the audience and creative aspects systems like Benjamin are trying to attract and replicate. However given the variation from the corpus, it is clear that there may be more than a different aesthetic taste separating Sunspring from A New Hope.

There is undoubtedly time for art like this to establish itself and maybe even provide Boden’s vindication of AI creativity, but it seems that right now some constraint in the form of context awareness may help improve the public opinion of this esoteric approach to narrative generation. This motivated the search for an application of constraint which would illicit the highest rating of creativity from audiences, when compared to other positions on the spectrum.

## User Testing Strategy

### Developing the Strategy

To evaluate the output of the software and assess the impact constraints have on the perceived creativity of writing generation systems, a selection of Pérez y Pérez and Sharples’ 2004 benchmarks for assessing story generation systems were used to develop a user feedback strategy.

The benchmarks<sup>8</sup> recommend stating the aspects or style of creativity that the software is attempting to model, as well as the audience it is aimed at. They also recommend that the software be capable of generating at least 10 stories, and that 3 of these could be selected by the software authors for human evaluation.

This model was adhered to very closely<sup>9</sup>, with the final evaluation strategy involving 10 narratives being generated<sup>10</sup> and 3 selected for evaluation. This process was repeated for 4 differing levels of constraints, for a total of 12 stories which required evaluation. Before being presented with the stories, an explanation of the project and its creative aims and target audience was provided to the respondent. This was presented alongside two dictionary definitions of creativity focused on the production of artefacts demonstrating unusual or non traditional ideas (Corpus 1.1.3) which served as a guide when answering to what extent the user believed each story demonstrated creativity, using the following scale

**Strongly Agree:** 2

**Agree:** 1

**Neutral:** 0

**Disagree:** -1

**Strongly Disagree:** -2

<sup>8</sup>Discussed in Section TKTK.

<sup>9</sup>Although originally 6 stories, not 3, were chosen from each dataset.

<sup>10</sup>With a cycle count of 3.

## Creating the Datasets

The options chosen to generate the datasets were developed to try and reflect a section of the spectrum of constraints used when generating narratives with software. The breakdown can be seen in Table TKTK.

Table 1: Breakdown of the options used to create each dataset for user evaluation.

Datasets	Action Choice	Event Choice	Location Choice	Respect Death	Allow Doppel-gangers
Un-constrained	Random	Random	Random	False	True
Moderately Constrained (Set 1)	Markov	Random	Random	True	False
Moderately Constrained (Set 2)	Markov	Markov	Random	True	False
Tightly Constrained	Character Motivation	Markov	Markov	True	False

The lowest level, dubbed *unconstrained*, was chosen mostly based on randomness, to represent the least amount of constraint a narrative could be generated with, this was meant to mimic an amount of context awareness at the level of an untrained neural network, and given the software’s design, the curation coefficient likely played a large part in the resultant narratives, rather than an application of what might be deemed computational creativity by Pérez y Pérez’s definition<sup>11</sup>.

The other end of this spectrum was as *tightly constrained* as the software could be, with actions chosen by character motivation, a Markov model used to select events and locations and the options to respect character death and prevent doppelgangers being imposed.

The two middle datasets represented as *moderately constrained* were generated with a very similar set of options, the key difference being the choice in *set 1* to select events randomly rather than using a Markov model, making it slightly less constrained than *set 2*. Event choice and ordering is a non trivial aspect of any narrative and this could provide a significant impact on the resulting output. The aim was to represent more middling levels of the spectrum, with *set 1* hopefully mimicking DAYDREAMER’s less constrained and more esoteric approach to event choice, whilst still imposing a constraint over action choice. As a differentiator *set 2* imposed a slightly stricter logic,

<sup>11</sup>*c-creativity* as discussed in Chapter ??.

perhaps more reminiscent of CAST's pursuit of coherence. Although the artistic style, approach to generation and undoubtedly, the output of each dataset was different to all of the systems used as inspiration; this should present an abstracted and high level representation of how constraints used in story writing systems can affect their output. The extent to which this is the case is discussed in Chapter 7.

## Getting Respondents

Initial evaluations were completed by a chosen set of people who provided more detailed feedback and discussion following the completed assessment (Corpus 6). Once these evaluations were completed and the set of stories culled from 24 to 12, a post was made on the Computational Creativity Google group<sup>12</sup><https://groups.google.com/forum/#!topic/computational-creativity-forum/xIokID7aqWA> and Corpus Section 1, asking for respondents. This provided more discussion of the work and feedback gathering approach as well as a host of new respondents, 10 more complete responses in total (Corpus 1.2, 6.2). A discussion of the results can be found in Chapter 7.

## Analysis of Responses

A total of 202 evaluations were received before the corpus deadline, resulting in an estimated 16 respondents. Not all respondents completed the entire survey however, so some outliers were left that needed to be removed. Despite the presence of incomplete responses a trend developed early on and remained rather consistent throughout the evaluation process (Corpus 6, 8). The datasets representing *tightly constrained* and *moderately constrained set 1* were consistently deemed more creative than *moderately constrained set 2* or the *unconstrained* set. This trend continued, with some minor fluctuation; *moderately constrained set 2* and *unconstrained* jumped between being deemed uncreative and simply neutral, ultimately ending up with *unconstrained* being evaluated as slightly less creative (see Figure ??).

## The Impact of Constraints on Perceived Creativity

The relative unsuccessfulness of the most aleatory dataset, *unconstrained*, shows that Sharples' insistence on appropriateness and its pursuit by software representing the more constrained end of the spectrum such as CAST and MEXICA is thoroughly justified. Even given the type of creative endeavour that the project was attempting to emulate - surrealist and magic realist authors, known for bizarre juxtaposition in their work - the outputs generated using only random combinations of story components were consistently deemed less creative and liked less than their more constrained counterparts.

The *tightly constrained* dataset, the end of the spectrum in which every thing that could prohibit randomness

<sup>12</sup>See <https://groups.google.com/forum/#!topic/computational-creativity-forum/xIokID7aqWA>

was in place, showed the second highest level of creativity according to respondents<sup>13</sup> and was liked the most. The potential for more clear character arcs, as this was the only dataset using character motivation, may help identify its popularity. One respondent in more detailed feedback even correctly identified a story from this dataset as showing evidence of character motivation (Corpus 6.1). This might lead to an audience seeing more familiar tropes such as revenge or love and associating the output with works they have a clear mental model for and enjoy. The issue of conflating a positive response to the work with the presence of creativity is discussed in Section ??.

The most interesting results came from the juxtaposition of the two middle datasets. With *moderately constrained set 1* rating the most creative of all four datasets, whereas *set 2* was consistently among the lowest creativity ratings<sup>14</sup>, scoring just higher than *unconstrained* once the outliers were removed.

The only difference between the two middle datasets was the choice of event being made randomly by *set 1* and by Markov model in *set 2*. This distinction could represent a violation of constraint in the ideal sense that Sharples writes about, in a way that may facilitate radical originality whilst maintaining appropriateness.

Event choice is significant, however, the most constrained narrative generation systems focus primarily on the restriction of character action to ensure an arc or predictable response to stimuli. Beyond this, perhaps there is a lot of room for manoeuvre when developing what happens around characters. The potential for the bizarre with a less constrained selection of events and locations is greatly increased and may result in a potential transformation of the conceptual space, when juxtaposed with more considered character interactions. It would be charitable to attribute a level of Boden's transformational creativity to this project<sup>15</sup>, but it should demonstrate the importance of a proper assessment of constraint to finding a computer model for transformational creativity.

## Aesthetic Taste and Perceived Creativity

In keeping with the thoughts of some respondents (Corpus 6.1) the extent to which people indicated they liked the story largely correlated with a positive creativity rating. With only 8 responses indicating that they liked a story that they considered not to be showing creativity and 16 responses indicating that they disliked a story that they agreed demonstrated creativity. This is opposed to the 64 responses indicating a story was liked and demonstrated creativity and the 49 indicating a story was not liked and did not demonstrate creativity.

<sup>13</sup>See Figure ??.

<sup>14</sup>With outliers removed, this was revealed to be the fault of one story significantly affecting the (low) average. See Table ??.

<sup>15</sup>Especially given the human curation of constraints.

Table 2: Average creativity rating of stories, grouped by dataset, with 0 representing neutral, positive values being more creative and negative values corresponding to ratings indicating less creativity. This data is used to form the graph in Figure ??.

Story ID	Dataset	Average Creativity Rating
409	Unconstrained	-0.1765
412	Unconstrained	0.0667
416	Unconstrained	-0.0714
392	ModeratelyConstrained10.3077	
394	ModeratelyConstrained10.5385	
399	ModeratelyConstrained10.2308	
428	ModeratelyConstrained20.0769	
430	ModeratelyConstrained20.1538	
433	ModeratelyConstrained20.0769	
422	Tightly Constrained	-0.4211
424	Tightly Constrained	0.5882
425	Tightly Constrained	0.4706

The choice to ask how creative each story was separate from whether a respondent liked it was primarily to remove assessments of quality or personal preference from judgements about creative merit. However given the correlation between creativity ratings and respondents liking the story, it seems quite likely that the aesthetic tastes of the evaluator play a large role in their assessment of an artefact's creative worth. This has interesting implications for Colton and Wiggins, who indicate that a creative machine may have different aesthetic tastes to humans. It highlights the difficulty of machines being considered creative without first mimicking existing human aesthetic standards. To provide aesthetic measures with which to assess their work or a commentary on the motivations behind it then, as Colton and Wiggins suggest, may be a crucial step for creative machines to both achieve creative independence and be judged as having done so by human evaluators.

### Summary of the Data

Overall the stories were deemed more creative than not<sup>16</sup>, and respondents liked and disliked them in almost equal measure<sup>17</sup>. The ratio of stories which were liked to those that were disliked could be attributed to the niche narrative style and sources of data that the software used.

The higher presence of creative to not creative output is promising for the software and any future development

<sup>16</sup>See Figure ??.

<sup>17</sup>See Figure ??.

it might undergo. It also demonstrates the rich creative potential that non traditional and surrealist works present to creative systems. This may reflect a similitude between human made surrealist art and AI generated works.

The results (shown in Figure ?? and Table ??), demonstrate that works without any effort to retain appropriateness as defined by Sharples will result in unfavourable creativity ratings, as seen by the response to the *unconstrained* dataset. In contrast pursuing appropriateness, as the *tightly constrained* set did, will demonstrably improve perceptions of creativity by human evaluators. This disproved an early hypothesis (mentioned in Chapter ??) which assumed that less restriction imposed on the narrative generation process would result in higher creativity ratings for the resulting artefacts.

The most exciting finding, is that striking a balance between the pursuit of appropriateness and the breaking of constraint<sup>18</sup>, will lead to far higher creativity ratings, hopefully demonstrating the significance of constraint application in any attempt to model transformational creativity as described by Boden.

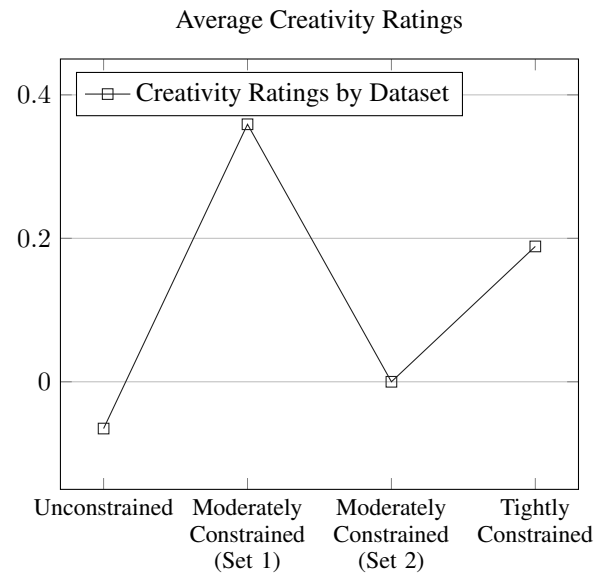


Figure 1: Average creativity ratings for each dataset.

### Summary

#### Evaluation

The project was ultimately an investigation, so any feedback and data returned would constitute some form of success. The interesting conclusions that can be drawn from the data and the number of encouraging responses<sup>19</sup> however, made

<sup>18</sup>As was done with the less dictated event choice of *moderately constrained set 1*.

<sup>19</sup>Particularly from the Computational Creativity Google group.



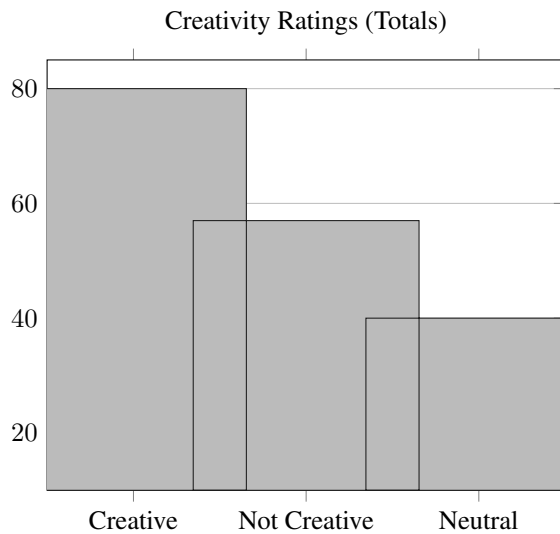


Figure 2: Totals for each possible rating, creative, not creative or neutral.

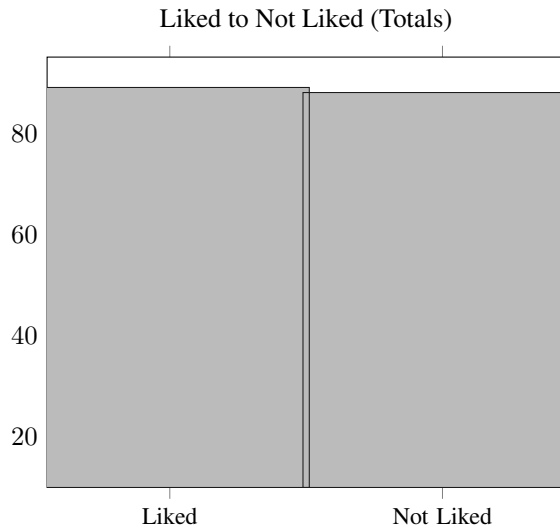


Figure 3: The total number of stories which respondents indicated they liked, set next to the total for which no like was indicated.

the investigation both satisfying and rewarding. Despite this there are several areas in which improvements could be made, particularly with regards to the testing methodology and feedback gathering.

Several users commented on the repetition present in the evaluated stories. They were generated from a knowledge base consisting of only 34 actions, 25 locations and 24 events. This could have been increased to reduce potential fatigue of the users, as it could affect their ratings, particularly later in the process. The story order could also have been randomised rather than fixed, although for

individuals this would make no difference, for the results as a whole it might have reduced the chance of later stories being rated as less creative because of perceived repetition. Although given the creativity ratings seen in Table TKTK it appears this did not happen, with a larger dataset it would have been prudent.

In some feedback the genre of stories was criticised for perhaps letting a less cohesive work be presented as a completed one<sup>20</sup> (Corpus 6.1, 1.2). However the project was intentionally developed in a way such that the subversions - for the most part - were intentionally done. There were toggles set when adjusting constraints before generating a story that would allow a character who had just died to have dinner with their murderer and a toggle that would allow two identical characters to go on a road trip. The intention being to knowingly subvert traditions and the expectations of readers (with an option to retain the more logical outcomes by adjusting the constraints) rather than to merely stumble into incoherence. The fact that randomly arriving at creativity is unlikely is also supported by the consistently low creativity ratings of the *unconstrained* dataset and the higher ratings of the more tightly developed datasets. So, although this is an understandable criticism, it is hopefully addressed sufficiently here and in Chapters 4,6 and 7.

Another similar criticism received from users, was to what extent the output could be considered a story, or if it was insufficiently fleshed out to be one. The stories were presented as short vignettes (Corpus 1.1.3) before asking for evaluation, to prepare users for the format. The format was chosen to be as concise as possible<sup>21</sup> to allow 12 stories to be read consecutively without fatiguing the reader and affecting subsequent ratings.

The form of the stories relates to a struggle later in the project between the fabula (what composes the story) and the discourse (how it is told). All the components were created and put in order as the software generated the narrative, but a selection of JSON objects is unlikely to be considered a story. So this later stage of the project struggled with the difficulty of attempting to reconcile the fabula generated into a discourse that could be presented in a way that humans could enjoy (or not). With more time and thought the presentation would have been adjusted and perhaps incorporated into the user feedback more comprehensively. However the stories were introduced as outlines to manage expectations. So although this was an element that could undoubtedly have been polished, for efficiency and user experience, shorter and more quickly digestible narratives seemed appropriate.

<sup>20</sup>This was even accused of being sleight of hand in feedback received after the corpus deadline. Hopefully this section proves there was nothing up the authors sleeve.

<sup>21</sup>With the option to mouse over elements to get some more detail if users wanted.

## Future Work

To a large extent the objectives set out in Chapter 1 were met over the course of the project. However there are several areas in which the work could be developed further and potential alternate avenues of research that it could provide a foundation for.

An obvious continuation of the work could involve completing unfinished features such as inverting character motivations and allowing the mixing of components and consequences more freely. Although this would require some changes to the knowledge base, particularly the structure of the `evaluated_story` table, it would increase the variability of the output and allow for more combinations to be made with fewer story components, also potentially increasing the likelihood for the unusual ideas and combinations that proved a fruitful creative source throughout the project.

If given more time, the project would also greatly benefit from the gathering of more user feedback. The feedback process could perhaps be refined and the story order randomised to reduce fatigue and potential bias. More feedback would help to see if the trends that started with a very small number of respondents hold over a larger group.

If the trends established by this project hold when a larger number of evaluations have been completed, it may be fruitful to take a more fine grained approach to the research; perhaps investigating how constraints applied to one particular aspect of story such as action choice or character arc can affect the creativity of the resulting narratives.

## Conclusions and Key Findings

When starting this project an early hypothesis was that the less constrained a narrative generation system was by rules or convention, the more potential for creativity was present. This hypothesis was proved wrong, with the least constrained narratives being consistently chosen to demonstrate less creativity than the more constrained ones. However a potential sweet spot was found with minimal constraint applied to every aspect of narrative generation modelled except for character actions. The results show the areas in which constraint application appears to be critical, but also highlight the freedom in other areas to relax what might be considered necessary impositions on the narrative generating agent.

A secondary hypothesis was that the existing crop of narrative generating software could be presented as a spectrum of constraint application to the problem of generating narratives. This is well supported by the feedback gathered from user evaluations, which shows a clear variance of response to narratives generated with differing levels of constraint, in a manner that supports the reading of the literature presented in Chapter 3. This is further evidenced

by respondents dislike for the more aleatory generation techniques and expressed preference for the more teleological with particular focus on character arcs (Corpus 1.1.2).

Another key finding was that the aesthetic tastes of evaluators correlate strongly with their assessment of creativity (Corpus 6.1, 1.1.2). So for artificial models of creativity to produce outputs which differ widely from established human standards and still be considered creative, the work should be explained or justified in some way by the creative agent.

Overall the data gathered shows promise for further investigation into the impact of constraints that may often be taken for granted when writing and evaluating narrative generating software and how their removal or adjustment may lead to more creative AI.

## Acknowledgments

The preparation of these instructions and the  $\text{\LaTeX}$  and Word files was facilitated by borrowing from similar documents used for AAAI and IJCAI proceedings.