# 06. Threads & Concurrency

CS 4352 Operating Systems

#### **Processes**

- Recall that a process includes many things
  - An address space (defining all the code and data pages)
  - OS resources (e.g., open files) and accounting information
  - Execution state (PC, SP, regs, etc.)
- Creating a new process is costly
  - Because of all of the data structures that must be allocated and initialized
- Communicating between processes is also costly
  - Because most communication goes through the OS
    - Overhead of system calls and copying data

### Concurrent Programs

- A web server (or any parallel program)...
  - Forks off copies of itself to handle multiple simultaneous requests
- To execute these programs we need to
  - Create several processes that execute in parallel
  - Cause each to map to the same address space to share data
  - They are all part of the same computation
    - Have the OS schedule these processes
- This situation is very inefficient
  - Space: PCB, page tables, etc.
  - Time: create data structures, fork and copy addr space, etc.

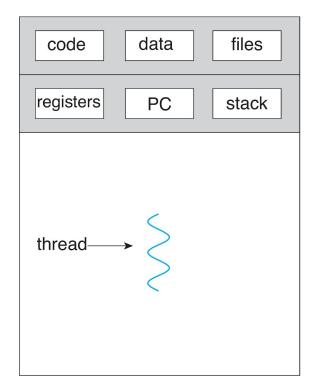
### Rethinking Processes

- What is similar in these cooperating processes?
  - They all share the same code and data (address space)
  - They all share the same privileges
  - They all share the same resources (files, sockets, etc.)
- What don't they share?
  - Each has its own execution state: PC, SP, and registers
- Key idea: Why don't we separate the concept of a process from its execution state?
  - o Process: address space, privileges, resources, etc.
  - Execution state: PC, SP, registers
- Execution state also called thread of control, or thread

#### **Threads**

- Modern OSes separate the concepts of processes and threads
  - The thread defines a sequential execution stream within a process (PC, SP, registers)
  - The process defines the address space and general process attributes (everything but threads of execution)
- A thread is bound to a single process
  - Processes, however, can have multiple threads
- Most modern applications are multithreaded
  - Multiple tasks with the application can be implemented by separate threads
    - Update display
    - Fetch data
    - Spell checking
    - Answer a network request
- OS kernels are actually also multithreaded

## Single and Multithreaded Processes

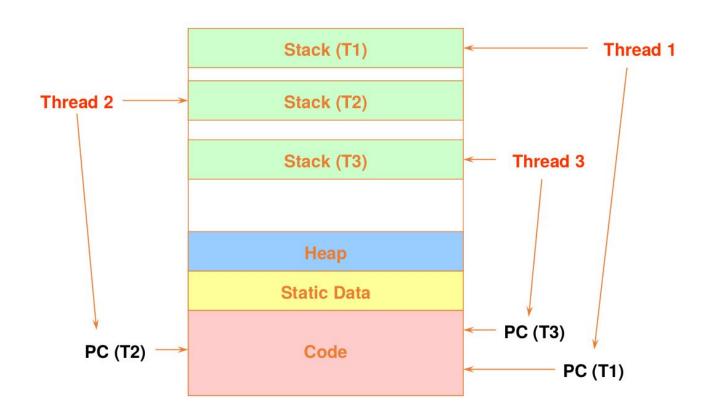


files code data registers registers registers stack stack stack PC PC PC thread

single-threaded process

multithreaded process

### Threads in a Process

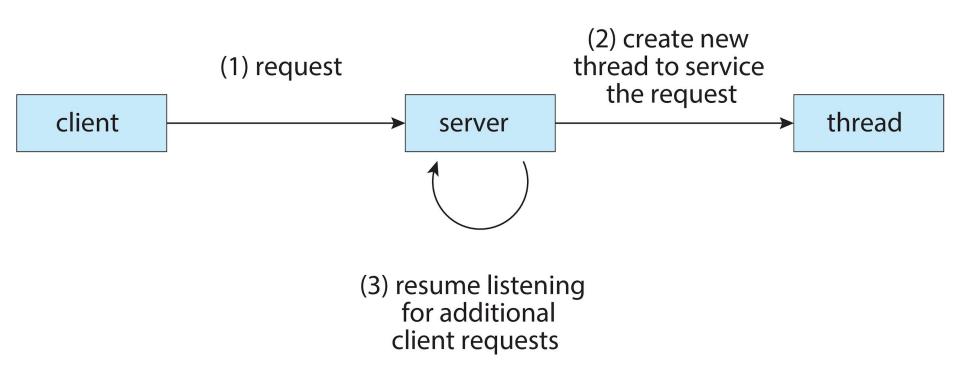


### Web Server Example

- Using fork() to create new processes to handle requests is an overkill
- Instead, we can create a new thread for each request

```
web_server() {
    while (1) {
        int sock = accept();
        thread_fork(handle_request, sock);
    }
}
handle_request(int sock) {
    Process request
    close(sock);
}
```

### Multithreaded Server Architecture



#### Benefits

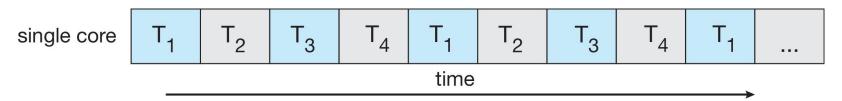
- Responsiveness may allow continued execution if part of process is blocked
- Resource Sharing threads share resources of process
- Economy cheaper than process creation, thread switching lower overhead than context switching
- Scalability process can take advantage of multicore architectures

## Multicore Programming

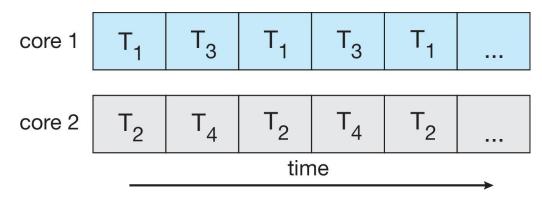
- Multicore or multiprocessor systems putting pressure on programmers, challenges include:
  - Dividing activities
  - Balance
  - Data splitting
  - Data dependency
  - Testing and debugging
- Parallelism implies a system can perform more than one task simultaneously
- Concurrency supports more than one task making progress
  - Single processor / core, scheduler providing concurrency

### Concurrency v.s. Parallelism

Concurrent execution on single-core system:

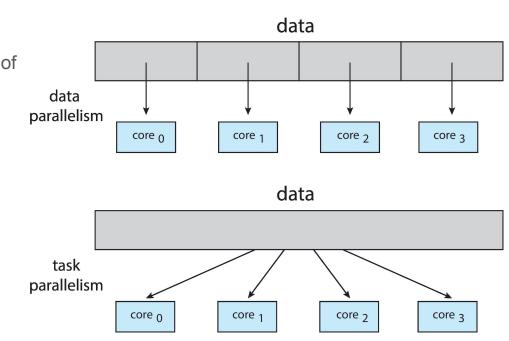


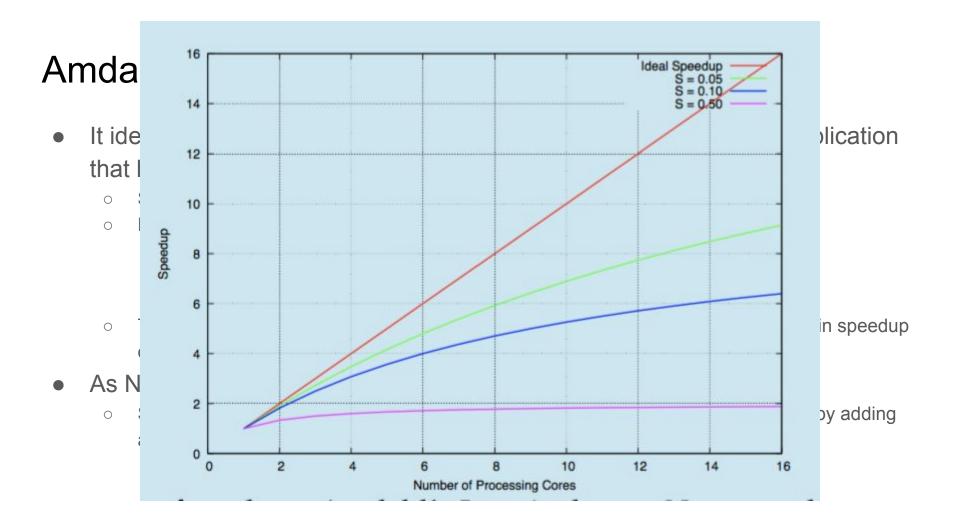
Parallelism on a multi-core system:



### Data and Task Parallelism

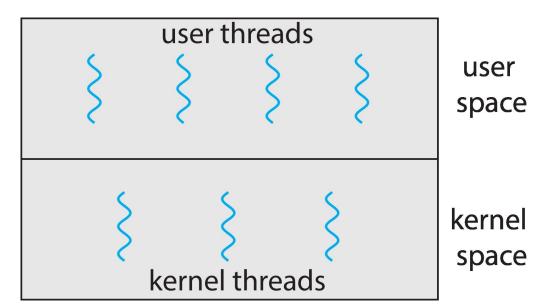
- Types of parallelism
  - Data parallelism distributes subsets of the same data across multiple cores, same operation on each
  - Task parallelism distributes threads across cores, each thread performing unique operation





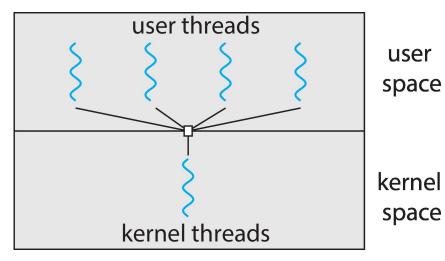
#### **User and Kernel Threads**

- User threads management done by user-level threads library
- Kernel threads Supported by the kernel



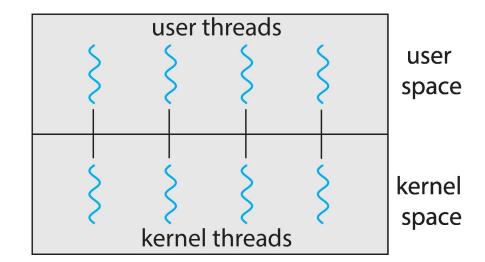
# Many-to-One Multithreading Model

- Many user-level threads mapped to single kernel thread
- One thread blocking causes all to block
- Multiple threads may not run in parallel on multicore system because only one may be in kernel at a time
- Few systems currently use this model



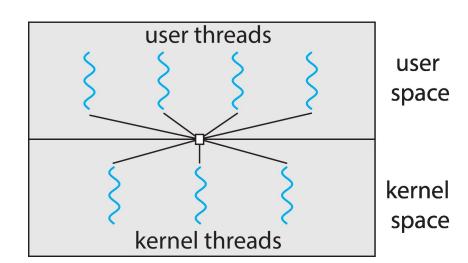
### One-to-One Multithreading Model

- Each user-level thread maps to kernel thread
- Creating a user-level thread creates a kernel thread
- More concurrency than many-to-one
- Number of threads per process sometimes restricted due to overhead



## Many-to-Many Multithreading Model

- Allows many user level threads to be mapped to many kernel threads
- Allows the operating system to create a sufficient number of kernel threads
- Windows with the ThreadFiber package
- Otherwise not very common



#### **Thread Libraries**

- Thread library provides programmer with APIs for creating and managing threads
- Two primary ways of implementing
  - Library entirely in user space
  - Kernel-level library supported by the OS

#### **Pthreads**

- May be provided either as user-level or kernel-level
- A POSIX standard (IEEE 1003.1c) API for thread creation and synchronization
- Specification, not implementation
- API specifies behavior of the thread library, implementation is up to development of the library
- Common in UNIX-like operating systems (Linux & Mac OS X)

#### Pthread APIs

#### Basic functions:

- pthread create() -- create a thread
- pthread\_join() -- join ("wait for") a terminated thread
- pthread\_exit() -- terminate the calling thread (does not cause the whole program to terminate)

#### Other functions:

- o pthread attr init() -- specify "attributes" for the thread, e.g., stack size, is the thread detached
- pthread\_self() -- get a "handle" to a pthread
- pthread cancel() -- cancel a thread
- pthread\_kill() -- send a signal to a thread
- o pthread\_detach() -- detach a thread: automatically free its resources when it's done
- pthread\_equal() -- compare two threads for equality

### Pthread Example

```
#include <pthread.h>
#include <stdio.h>
                                                               /* The thread will execute in this function */
#include <stdlib.h>
                                                               void *runner(void *param)
int sum; /* this data is shared by the thread(s) */
                                                                  int i, upper = atoi(param);
void *runner(void *param); /* threads call this function */
                                                                  sum = 0;
int main(int argc, char *argv[])
                                                                 for (i = 1; i <= upper; i++)
                                                                    sum += i:
  pthread_t tid; /* the thread identifier */
  pthread_attr_t attr; /* set of thread attributes */
                                                                  pthread_exit(0);
  /* set the default attributes of the thread */
  pthread_attr_init(&attr);
  /* create the thread */
  pthread_create(&tid, &attr, runner, argv[1]);
  /* wait for the thread to exit */
  pthread_join(tid,NULL);
  printf("sum = %d\n",sum);
```

## Pthread Code for Joining 10 Threads

```
#define NUM_THREADS 10

/* an array of threads to be joined upon */
pthread_t workers[NUM_THREADS];

for (int i = 0; i < NUM_THREADS; i++)
   pthread_join(workers[i], NULL);</pre>
```

## Semantics of fork() and exec()

- Does fork() duplicate only the calling thread or all threads?
  - Some UNIXes have two versions of fork
- exec() usually works as normal replace the running process including all threads

#### Linux Threads

- Linux refers to them as tasks rather than threads
- Thread creation is done through clone() system call

clone() allows a child task to share the address space of the parent task

(process)

Flags control behavior

flag	meaning
CLONE_FS	File-system information is shared.
CLONE_VM	The same memory space is shared.
CLONE_SIGHAND	Signal handlers are shared.
CLONE_FILES	The set of open files is shared.

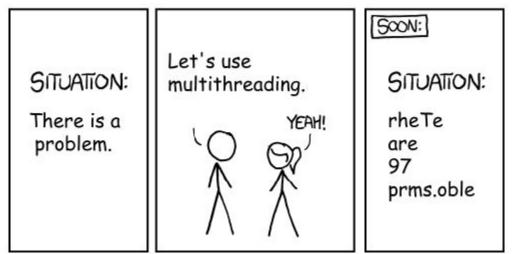
struct task\_struct is used for process and threads

### Homework

- Read Chapters 6 & 7
- Assignment 2 is due tomorrow

#### **Next Lecture**

We will look at synchronization



Credit: https://www.reddit.com/r/ProgrammerHumor/comments/dtiufv/multithreading\_fixing\_a\_problem/