

# (Nearly) Balanced BST tree

(nearly) balanced: no leaf is much farther away from the root than any other leaf.

Different balancing schemes allow different definitions of "much farther" and different amounts of work to keep them balanced.

Self-balancing binary search tree :

- a binary search tree
- & keep it balanced

Popular self-balancing BSTree

- red-black tree
- AVL tree

no leaf is more than a certain amount farther from the root than any other

# (Height-)Balanced tree

Height-balanced tree :

A tree whose subtrees differ in height by no more than one and the subtrees are height-balanced, too.

An empty tree is height-balanced.

<http://xlinux.nist.gov/dads/>

Height-balanced tree

- AVL tree

# BST in Java.util and C++ STL

## Java.util

- TreeMap  
a Red-Black tree based implementation
- TreeSet  
implementation based on a TreeMap

## C++ STL

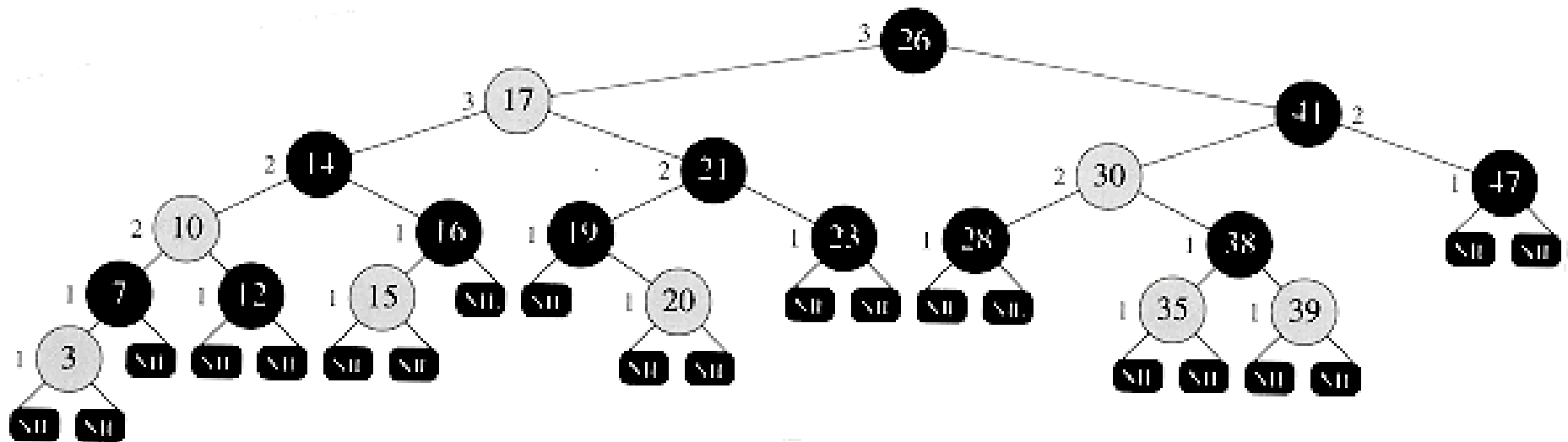
- map, multimap  
are typically implemented as binary search trees

[www.cplusplus.com](http://www.cplusplus.com)

maps are usually implemented as red-black trees

[en.cppreference.com/w/cpp/container/map](http://en.cppreference.com/w/cpp/container/map)

# Red-black tree



# Red-Black tree

A red-black tree is a binary search tree which satisfies:

1. Every node is either red or black.

2. The root is black.

This rule is sometimes omitted,  
since the root can always be  
changed from red to black

3. Every leaf NIL is considered black.

4. A red node have two black children.

5. For each node:

all paths from the node to descendant leaves  
contain the same number of black nodes.

# Red-Black tree

- one extra information per node:  
its *color*, which can be either RED or BLACK.
- **black-height** of a node  $x$ :  $bh(x)$   
the number of black nodes on any path from  $x$  to a leaf node
- **black-height of a red-black tree**: the black-height of its root.

# Red-Black tree

## **Lemma**

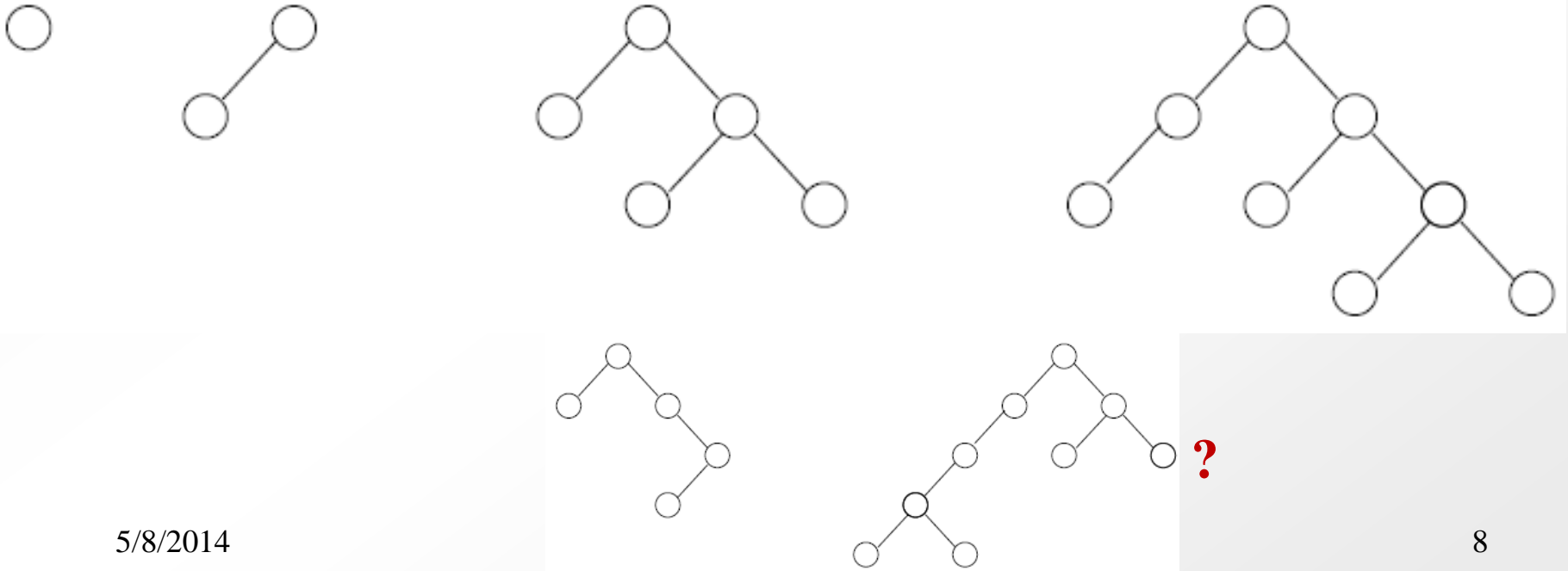
A red-black tree with  $n$  internal nodes has height at most  $2 \cdot \log_2(n + 1)$ .

# AVL tree

An AVL tree

is a binary search tree which satisfies:

the heights of the two subtrees of any node differ by at most one





# AVL tree

Suppose we have  $n$  nodes in an AVL tree of height  $h$ .

$$h \sim < 1.44 * \log_2 n$$

# Balanced trees

## Operations

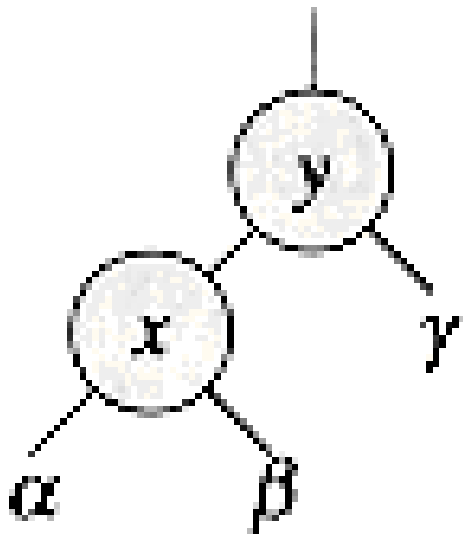
1. Search is  $O(\log n)$  since the trees are always balanced.
2. Insertion and deletions are also  $O(\log n)$
3. *Balancing* adds *a constant factor* to the speed of insertion/deletion.

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- Difficult to program; more space for balance factor.
  - Asymptotically faster but rebalancing costs time.

## Remark:

Use left-rotate / right-rotate for rebalance

# Rotation

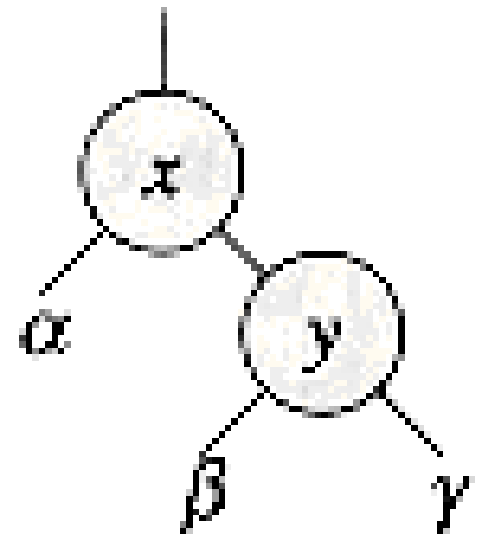


**RIGHT-ROTATE( $T, y$ )**

..... $\rightarrow$

..... $\leftarrow$

**LEFT-ROTATE( $T, x$ )**



# DS

**TreeNode:**

**info: TComparable**

**left: ^TreeNode**

**right: ^TreeNode**

**parent: ^TreeNode**

**end**