

Featherweight OCL

A Proposal for a Machine-Checked Formal Semantics for OCL 2.5

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January 15, 2014

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Abstract

The Unified Modeling Language (UML) is one of the few modeling languages that is widely used in industry. While UML is mostly known as diagrammatic modeling language (e. g., visualizing class models), it is complemented by a textual language, called Object Constraint Language (OCL). OCL is a textual annotation language, based on a three-valued logic, that turns UML into a formal language. Unfortunately the semantics of this specification language, captured in the “Annex A” of the OCL standard, leads to different interpretations of corner cases. Many of these corner cases had been subject to formal analysis since more than ten years.

The situation complicated when with version 2.3 the OCL was aligned with the latest version of UML: this led to the extension of the three-valued logic by a second exception element, called `null`. While the first exception element `invalid` has a strict semantics, `null` has a non strict semantic interpretation. These semantic difficulties lead to remarkable confusion for implementors of OCL compilers and interpreters.

In this paper, we provide a formalization of the core of OCL in HOL. It provides denotational definitions, a logical calculus and operational rules that allow for the execution of OCL expressions by a mixture of term rewriting and code compilation. Our formalization reveals several inconsistencies and contradictions in the current version of the OCL standard. They reflect a challenge to define and implement OCL tools in a uniform manner. Overall, this document is intended to provide the basis for a machine-checked text “Annex A” of the OCL standard targeting at tool implementors.

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Part I.

Introduction

1. Motivation

The Unified Modeling Language (UML) [31, 32] is one of the few modeling languages that is widely used in industry. UML is defined, in an open process, by the Object Management Group (OMG), i.e., an industry consortium. While UML is mostly known as diagrammatic modeling language (e.g., visualizing class models), it also comprises a textual language, called Object Constraint Language (OCL) [33]. OCL is a textual annotation language, originally conceived as a three-valued logic, that turns substantial parts of UML into a formal language. Unfortunately the semantics of this specification language, captured in the “Annex A” (originally, based on the work of Richters [35]) of the OCL standard leads to different interpretations of corner cases. Many of these corner cases had been subject to formal analysis since more than nearly fifteen years (see, e.g., [5, 11, 19, 22, 26]).

At its origins [28, 35], OCL was conceived as a strict semantics for undefinedness (e.g., denoted by the element `invalid`¹), with the exception of the logical connectives of type `Boolean` that constitute a three-valued propositional logic. At its core, OCL comprises four layers:

1. Operators (e.g., `_ and _`, `_ + _`) on built-in data structures such as `Boolean`, `Integer`, or typed sets (`Set(_)`).
2. Operators on the user-defined data model (e.g., defined as part of a UML class model) such as accessors, type casts and tests.
3. Arbitrary, user-defined, side-effect-free methods,
4. Specification for invariants on states and contracts for operations to be specified via pre- and post-conditions.

Motivated by the need for aligning OCL closer with UML, recent versions of the OCL standard [30, 33] added a second exception element. While the first exception element `invalid` has a strict semantics, `null` has a non strict semantic interpretation. Unfortunately, this extension results in several inconsistencies and contradictions. These problems are reflected in difficulties to define interpreters, code-generators, specification animators or theorem provers for OCL in a uniform manner and resulting incompatibilities of various tools.

For the OCL community, the semantics of `invalid` and `null` as well as many related issues resulted in the challenge to define a consistent version of the OCL standard that is well aligned with the recent developments of the UML. A syntactical and semantical

¹In earlier versions of the OCL standard, this element was called `OclUndefined`.

consistent standard requires a major revision of both the informal and formal parts of the standard. To discuss the future directions of the standard, several OCL experts met in November 2013 in Aachen to discuss possible mid-term improvements of OCL, strategies of standardization of OCL within the OMG, and a vision for possible long-term developments of the language [15]. During this meeting, a Request for Proposals (RFP) for OCL 2.5 was finalized and meanwhile proposed. In particular, this RFP requires that the future OCL 2.5 standard document shall be generated from a machine-checked source. This will ensure

- the absence of syntax errors,
- the consistency of the formal semantics,
- a suite of corner-cases relevant for OCL tool implementors.

In this document, we present a formalization using Isabelle/HOL [27] of a core language of OCL. The semantic theory, based on a “shallow embedding”, is called *Featherweight OCL*, since it focuses on a formal treatment of the key-elements of the language (rather than a full treatment of all operators and thus, a “complete” implementation). In contrast to full OCL, it comprises just the logic captured in **Boolean**, the basic data type **Integer**, the collection type **Set**, as well as the generic construction principle of class models, which is instantiated and demonstrated for two examples (an automated support for this type-safe construction is again out of the scope of Featherweight OCL). This formal semantics definition is intended to be a proposal for the standardization process of OCL 2.5, which should ultimately replace parts of the mandatory part of the standard document [33] as well as replace completely its informative “Annex A.”

2. Background

2.1. A Guided Tour Through UML/OCL

The Unified Modeling Language (UML) [31, 32] comprises a variety of model types for describing static (e. g., class models, object models) and dynamic (e. g., state-machines, activity graphs) system properties. One of the more prominent model types of the UML is the *class model* (visualized as *class diagram*) for modeling the underlying data model of a system in an object-oriented manner. As a running example, we model a part of a conference management system. Such a system usually supports the conference organizing process, e. g., creating a conference Website, reviewing submissions, registering attendees, organizing the different sessions and tracks, and indexing and producing the resulting proceedings. In this example, we constrain ourselves to the process of organizing conference sessions; Figure 2.1 shows the class model. We model the hierarchy of roles of our system as a hierarchy of classes (e. g., **Hearer**, **Speaker**, or **Chair**) using an *inheritance* relation (also called *generalization*). In particular, *inheritance* establishes a *subtyping* relationship, i. e., every **Speaker** (*subclass*) is also a **Hearer** (*superclass*).

A class does not only describe a set of *instances* (called *objects*), i. e., record-like data consisting of *attributes* such as **name** of class **Session**, but also *operations* defined over them. For example, for the class **Session**, representing a conference session, we model an operation **findRole(p:Person):Role** that should return the role of a **Person** in the context of a specific session; later, we will describe the behavior of this operation in more detail using UML. In the following, the term object describes a (run-time) instance of a class or one of its subclasses.

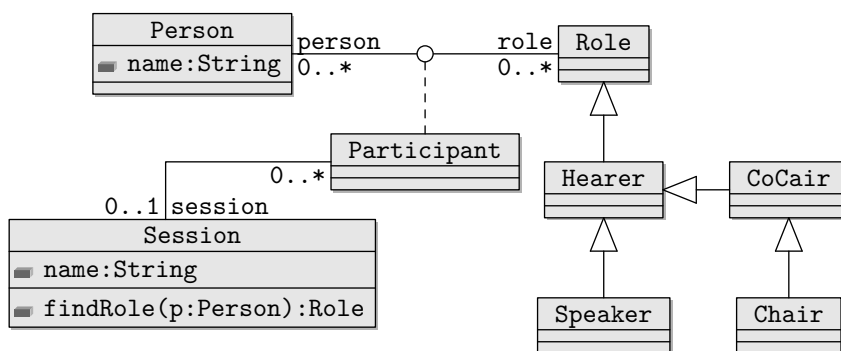


Figure 2.1.: A simple UML class model representing a conference system for organizing conference sessions: persons can participate, in different roles, in a session.

Relations between classes (called *associations* in UML) can be represented in a class diagram by connecting lines, e.g., **Participant** and **Session** or **Person** and **Role**. Associations may be labeled by a particular constraint called *multiplicity*, e.g., $0..*$ or $0..1$, which means that in a relation between participants and sessions, each **Participant** object is associated to at most one **Session** object, while each **Session** object may be associated to arbitrarily many **Participant** objects. Furthermore, associations may be labeled by projection functions like **person** and **role**; these implicit function definitions allow for OCL-expressions like **self.person**, where **self** is a variable of the class **Role**. The expression **self.person** denotes persons being related to the specific object **self** of type **role**. A particular feature of the UML are *association classes* (**Participant** in our example) which represent a concrete tuple of the relation within a system state as an object; i.e., associations classes allow also for defining attributes and operations for such tuples. In a class diagram, association classes are represented by a dotted line connecting the class with the association. Associations classes can take part in other associations. Moreover, UML supports also *n*-ary associations (not shown in our example).

We refine this data model using the Object Constraint Language (OCL) for specifying additional invariants, preconditions and postconditions of operations. For example, we specify that objects of the class **Person** are uniquely determined by the value of the **name** attribute and that the attribute **name** is not equal to the empty string (denoted by **''**):

```
context Person
  inv: name <> '' and
      Person::allInstances()->isUnique(p:Person | p.name)
```

Moreover, we specify that every session has exactly one chair by the following invariant (called **onlyOneChair**) of the class **Session**:

```
context Session
  inv onlyOneChair: self.participants->one( p:Participant |
      p.role.oclIsTypeOf(Chair))
```

where **p.role.oclIsTypeOf(Chair)** evaluates to true, if **p.role** is of *dynamic type* **Chair**. Besides the usual *static types* (i.e., the types inferred by a static type inference), objects in UML and other object-oriented languages have a second *dynamic* type concept. This is a consequence of a family of *casting functions* (written $o_{[C]}$ for an object *o* into another class type *C*) that allows for converting the static type of objects along the class hierarchy. The dynamic type of an object can be understood as its “initial static type” and is unchanged by casts. We complete our example by describing the behavior of the operation **findRole** as follows:

```
context Session::findRole(person:Person):Role
  pre: self.participates.person->includes(person)
  post: result=self.participants->one(p:Participant |
      p.person = person ).role
      and self.participants = self.participants@pre
      and self.name = self.name@pre
```

where in post-conditions, the operator `@pre` allows for accessing the previous state.

In UML, classes can contain attributes of the type of the defining class. Thus, UML can represent (mutually) recursive datatypes. Moreover, OCL introduces also recursively specified operations.

A key idea of defining the semantics of UML and extensions like SecureUML [12] is to translate the diagrammatic UML features into a combination of more elementary features of UML and OCL expressions [21]. For example, associations are usually represented by collection-valued class attributes together with OCL constraints expressing the multiplicity. Thus, having a semantics for a subset of UML and OCL is tantamount for the foundation of the entire method.

2.2. Formal Foundation

2.2.1. Isabelle

Isabelle [27] is a *generic* theorem prover. New object logics can be introduced by specifying their syntax and natural deduction inference rules. Among other logics, Isabelle supports first-order logic, Zermelo-Fraenkel set theory and the instance for Church’s higher-order logic (HOL).

Isabelle’s inference rules are based on the built-in meta-level implication \Longrightarrow allowing to form constructs like $A_1 \Longrightarrow \dots \Longrightarrow A_n \Longrightarrow A_{n+1}$, which are viewed as a *rule* of the form “from assumptions A_1 to A_n , infer conclusion A_{n+1} ” and which is written in Isabelle as

$$\llbracket A_1; \dots; A_n \rrbracket \Longrightarrow A_{n+1} \quad \text{or, in mathematical notation,} \quad \frac{A_1 \quad \dots \quad A_n}{A_{n+1}}. \quad (2.1)$$

The built-in meta-level quantification $\bigwedge x. x$ captures the usual side-constraints “ x must not occur free in the assumptions” for quantifier rules; meta-quantified variables can be considered as “fresh” free variables. Meta-level quantification leads to a generalization of Horn-clauses of the form:

$$\bigwedge x_1, \dots, x_m. \llbracket A_1; \dots; A_n \rrbracket \Longrightarrow A_{n+1}. \quad (2.2)$$

Isabelle supports forward- and backward reasoning on rules. For backward-reasoning, a *proof-state* can be initialized and further transformed into others. For example, a proof of ϕ , using the Isar [38] language, will look as follows in Isabelle:

```

lemma label:   $\phi$ 
  apply(case_tac)
  apply(simp_all)
done
  
```

(2.3)

This proof script instructs Isabelle to prove ϕ by case distinction followed by a simplification of the resulting proof state. Such a proof state is an implicitly conjoint sequence

of generalized Horn-clauses (called *subgoals*) ϕ_1, \dots, ϕ_n and a *goal* ϕ . Proof states were usually denoted by:

$$\begin{array}{lcl} \text{label :} & \phi & \\ & 1. \phi_1 & \\ & \vdots & \\ & n. \phi_n & \end{array} \quad (2.4)$$

Subgoals and goals may be extracted from the proof state into theorems of the form $\llbracket \phi_1; \dots; \phi_n \rrbracket \implies \phi$ at any time; this mechanism helps to generate test theorems. Further, Isabelle supports meta-variables (written $?x, ?y, \dots$), which can be seen as “holes in a term” that can still be substituted. Meta-variables are instantiated by Isabelle’s built-in higher-order unification.

2.2.2. Higher-order Logic (HOL)

Higher-order logic (HOL) [1, 17] is a classical logic based on a simple type system. It provides the usual logical connectives like $_ \wedge _, _ \rightarrow _, \neg _$ as well as the object-logical quantifiers $\forall x. P x$ and $\exists x. P x$; in contrast to first-order logic, quantifiers may range over arbitrary types, including total functions $f :: \alpha \Rightarrow \beta$. HOL is centered around extensional equality $_ = _ :: \alpha \Rightarrow \alpha \Rightarrow \text{bool}$. HOL is more expressive than first-order logic, since, e.g., induction schemes can be expressed inside the logic. Being based on some polymorphically typed λ -calculus, HOL can be viewed as a combination of a programming language like SML or Haskell and a specification language providing powerful logical quantifiers ranging over elementary and function types.

Isabelle/HOL is a logical embedding of HOL into Isabelle. The (original) simple-type system underlying HOL has been extended by Hindley-Milner style polymorphism with type-classes similar to Haskell. While Isabelle/HOL is usually seen as proof assistant, we use it as symbolic computation environment. Implementations on top of Isabelle/HOL can re-use existing powerful deduction mechanisms such as higher-order resolution, tableaux-based reasoners, rewriting procedures, Presburger arithmetic, and via various integration mechanisms, also external provers such as Vampire [34] and the SMT-solver Z3 [20].

Isabelle/HOL offers support for a particular methodology to extend given theories in a logically safe way: A theory-extension is *conservative* if the extended theory is consistent provided that the original theory was consistent. Conservative extensions can be *constant definitions*, *type definitions*, *datatype definitions*, *primitive recursive definitions* and *wellfounded recursive definitions*.

For instance, the library includes the type constructor $\tau_\perp := \perp \mid _ : \alpha$ that assigns to each type τ a type τ_\perp *disjointly extended* by the exceptional element \perp . The function $\lceil _ : \alpha_\perp \rightarrow \alpha$ is the inverse of $_ : \alpha \rightarrow \alpha_\perp$ (unspecified for \perp). Partial functions $\alpha \rightarrow \beta$ are defined as functions $\alpha \Rightarrow \beta_\perp$ supporting the usual concepts of domain ($\text{dom } _$) and range ($\text{ran } _$).

As another example of a conservative extension, typed sets were built in the Isabelle libraries conservatively on top of the kernel of HOL as functions to `bool`; consequently,

the constant definitions for membership is as follows:¹

$$\begin{array}{llll}
\text{types} & \alpha \text{ set} & = \alpha \Rightarrow \text{bool} & \\
\text{definition} & \text{Collect} & :: (\alpha \Rightarrow \text{bool}) \Rightarrow \alpha \text{ set} & \text{--- set comprehension} \\
\text{where} & \text{Collect } S & \equiv S & (2.5) \\
\text{definition} & \text{member} & :: \alpha \Rightarrow \alpha \Rightarrow \text{bool} & \text{--- membership test} \\
\text{where} & \text{member } s \ S & \equiv S s &
\end{array}$$

Isabelle's syntax engine is instructed to accept the notation $\{x \mid P\}$ for $\text{Collect } \lambda x. P$ and the notation $s \in S$ for $\text{member } s \ S$. As can be inferred from the example, constant definitions are axioms that introduce a fresh constant symbol by some closed, non-recursive expressions; this type of axiom is logically safe since it works like an abbreviation. The syntactic side conditions of this axiom are mechanically checked, of course. It is straightforward to express the usual operations on sets like $_ \cup _, _ \cap _ :: \alpha \text{ set} \Rightarrow \alpha \text{ set} \Rightarrow \alpha \text{ set}$ as conservative extensions, too, while the rules of typed set theory were derived by proofs from these definitions.

Similarly, a logical compiler is invoked for the following statements introducing the types option and list:

$$\begin{array}{ll}
\text{datatype} & \text{option} = \text{None} \mid \text{Some } \alpha \\
\text{datatype} & \alpha \text{ list} = \text{Nil} \mid \text{Cons } a \ l
\end{array} \quad (2.6)$$

Here, $[]$ or $a\#l$ are an alternative syntax for Nil or $\text{Cons } a \ l$; moreover, $[a, b, c]$ is defined as alternative syntax for $a\#b\#c\#[]$. These (recursive) statements were internally represented in by internal type and constant definitions. Besides the *constructors* None , Some , $[]$ and Cons , there is the match operation

$$\text{case } x \text{ of } \text{None} \Rightarrow F \mid \text{Some } a \Rightarrow G \ a \quad (2.7)$$

respectively

$$\text{case } x \text{ of } [] \Rightarrow F \mid \text{Cons } a \ r \Rightarrow G \ a \ r. \quad (2.8)$$

From the internal definitions (not shown here) several properties were automatically derived. We show only the case for lists:

$$\begin{array}{ll}
(\text{case } [] \text{ of } [] \Rightarrow F \mid (a\#r) \Rightarrow G \ a \ r) = F & \\
(\text{case } b\#t \text{ of } [] \Rightarrow F \mid (a\#r) \Rightarrow G \ a \ r) = G \ b \ t & \\
[] \neq a\#t & \text{--- distinctness} \\
\llbracket a = [] \rightarrow P; \exists x \ t. a = x\#t \rightarrow P \rrbracket \Longrightarrow P & \text{--- exhaust} \\
\llbracket P[]; \forall at. Pt \rightarrow P(a\#t) \rrbracket \Longrightarrow Px & \text{--- induct}
\end{array} \quad (2.9)$$

Finally, there is a compiler for primitive and wellfounded recursive function definitions. For example, we may define the sort operation of our running test example by:

$$\begin{array}{lll}
\text{fun} & \text{ins} & :: [\alpha :: \text{linorder}, \alpha \text{ list}] \Rightarrow \alpha \text{ list} \\
\text{where} & \text{ins } x \ [] & = [x] \\
& \text{ins } x \ (y\#ys) & = \text{if } x < y \text{ then } x\#y\#ys \text{ else } y\#(\text{ins } x \ ys)
\end{array} \quad (2.10)$$

¹To increase readability, we use a slightly simplified presentation.

$$\begin{array}{lll}
\text{fun} & \text{sort} & :: (\alpha :: \text{linorder}) \text{ list} \Rightarrow \alpha \text{ list} \\
\text{where} & \text{sort } [] & = [] \\
& \text{sort}(x \# xs) & = \text{ins } x (\text{sort } xs)
\end{array} \tag{2.11}$$

The internal (non-recursive) constant definition for these operations is quite involved; however, the logical compiler will finally derive all the equations in the statements above from this definition and make them available for automated simplification.

Thus, Isabelle/HOL also provides a large collection of theories like sets, lists, multisets, orderings, and various arithmetic theories which only contain rules derived from conservative definitions. In particular, Isabelle manages a set of *executable types and operators*, i. e., types and operators for which a compilation to SML, OCaml or Haskell is possible. Setups for arithmetic types such as `int` have been done; moreover any datatype and any recursive function were included in this executable set (providing that they only consist of executable operators). Similarly, Isabelle manages a large set of (higher-order) rewrite rules into which recursive function definitions were included. Provided that this rule set represents a terminating and confluent rewrite system, the Isabelle simplifier provides also a highly potent decision procedure for many fragments of theories underlying the constraints to be processed when constructing test theorems.

2.3. Featherweight OCL: Design Goals

Featherweight OCL is a formalization of the core of OCL aiming at formally investigating the relationship between the various concepts. At present, it does not attempt to define the complete OCL library. Instead, it concentrates on the core concepts of OCL as well as the types `Boolean`, `Integer`, and typed sets (`Set(T)`). Following the tradition of HOL-OCL [6, 8], Featherweight OCL is based on the following principles:

1. It is an embedding into a powerful semantic meta-language and environment, namely Isabelle/HOL [27].
2. It is a *shallow embedding* in HOL; types in OCL were injectively mapped to types in Featherweight OCL. Ill-typed OCL specifications cannot be represented in Featherweight OCL and a type in Featherweight OCL contains exactly the values that are possible in OCL. Thus, sets may contain `null` (`Set{null}` is a defined set) but not `invalid` (`Set{invalid}` is just `invalid`).
3. Any Featherweight OCL type contains at least `invalid` and `null` (the type `Void` contains only these instances). The logic is consequently four-valued, and there is a `null`-element in the type `Set(A)`.
4. It is a strongly typed language in the Hindley-Milner tradition. We assume that a pre-process eliminates all implicit conversions due to subtyping by introducing explicit casts (e. g., `oclAsType()`). The details of such a pre-processing are described in [4]. Casts are semantic functions, typically injections, that may convert data between the different Featherweight OCL types.

5. All objects are represented in an object universe in the HOL-OCL tradition [7]. The universe construction also gives semantics to type casts, dynamic type tests, as well as functions such as `oclAllInstances()`, or `oclIsNew()`.
6. Featherweight OCL types may be arbitrarily nested. For example, the expression `Set{Set{1,2}} = Set{Set{2,1}}` is legal and true.
7. For demonstration purposes, the set type in Featherweight OCL may be infinite, allowing infinite quantification and a constant that contains the set of all Integers. Arithmetic laws like commutativity may therefore be expressed in OCL itself. The iterator is only defined on finite sets.
8. It supports equational reasoning and congruence reasoning, but this requires a differentiation of the different equalities like strict equality, strong equality, meta-equality (HOL). Strict equality and strong equality require a subcalculus, “cp” (a detailed discussion of the different equalities as well as the subcalculus “cp”—for three-valued OCL 2.0—is given in [10]), which is nasty but can be hidden from the user inside tools.

2.4. The Theory Organization

The semantic theory is organized in a quite conventional manner in three layers. The first layer, called the *denotational semantics* comprises a set of definitions of the operators of the language. Presented as *definitional axioms* inside Isabelle/HOL, this part assures the logical consistency of the overall construction. The second layer, called *logical layer*, is derived from the former and centered around the notion of validity of an OCL formula P for a state-transition from pre-state σ to post-state σ' , validity statements were written $(\sigma, \sigma') \models P$. The third layer, called *algebraic layer*, also derived from the former layers, tries to establish algebraic laws of the form $P = P'$; such laws are amenable to equational reasoning and also help for automated reasoning and code-generation.

For space reasons, we will restrict ourselves in this paper to a few operators and make a traversal through all three layers to give a high-level description of our formalization. Especially, the details of the semantic construction for sets and the handling of objects and object universes were excluded from a presentation here.

2.4.1. Denotational Semantics

OCL is composed of

1. operators on built-in data structures such as `Boolean`, `Integer`, or `Set(A)`,
2. operators of the user-defined data-model such as accessors, type-casts and tests, and
3. user-defined, side-effect-free methods.

Conceptually, an OCL expression in general and Boolean expressions in particular (i.e., *formulae*) depends on the pair (σ, σ') of pre- and post-state. The precise form of states is irrelevant for this paper (compare [13]) and will be left abstract in this presentation. We construct in Isabelle a type-class `null` that contains two distinguishable elements `bot` and `null`. Any type of the form $(\alpha_{\perp})_{\perp}$ is an instance of this type-class with $\text{bot} \equiv \perp$ and $\text{null} \equiv \lfloor \perp \rfloor$. Now, any OCL type can be represented by an HOL type of the form:

$$V(\alpha) := \text{state} \times \text{state} \rightarrow \alpha :: \text{null} .$$

On this basis, we define $V((\text{bool}_{\perp})_{\perp})$ as the HOL type for the OCL type `Boolean` and define:

$$\begin{aligned} I[\text{invalid} :: V(\alpha)]\tau &\equiv \text{bot} & I[\text{null} :: V(\alpha)]\tau &\equiv \text{null} \\ I[\text{true} :: \text{Boolean}]\tau &= \lfloor \text{true} \rfloor & I[\text{false}]\tau &= \lfloor \text{false} \rfloor \\ I[X.\text{oclIsUndefined}()]\tau &= (\text{if } I[X]\tau \in \{\text{bot}, \text{null}\} \text{ then } I[\text{true}]\tau \text{ else } I[\text{false}]\tau) \\ I[X.\text{oclIsValid}()]\tau &= (\text{if } I[X]\tau = \text{bot} \text{ then } I[\text{true}]\tau \text{ else } I[\text{false}]\tau) \end{aligned}$$

where $I[E]$ is the semantic interpretation function commonly used in mathematical textbooks and τ stands for pairs of pre- and post state (σ, σ') . For reasons of conciseness, we will write δX for `not X.oclIsUndefined()` and $v X$ for `not X.oclIsValid()` throughout this paper.

Due to the used style of semantic representation (a shallow embedding) I is in fact superfluous and defined semantically as the identity; instead of:

$$I[\text{true} :: \text{Boolean}]\tau = \lfloor \text{true} \rfloor$$

we can therefore write:

$$\text{true} :: \text{Boolean} = \lambda \tau. \lfloor \text{true} \rfloor$$

In Isabelle theories, this particular presentation of definitions paves the way for an automatic check that the underlying equation has the form of an *axiomatic definition* and is therefore logically safe. Since all operators of the assertion language depend on the context $\tau = (\sigma, \sigma')$ and result in values that can be \perp , all expressions can be viewed as *evaluations* from (σ, σ') to a type α which must possess a \perp and a null-element. Given that such constraints can be expressed in Isabelle/HOL via *type classes* (written: $\alpha :: \kappa$), all types for OCL-expressions are of a form captured by

$$V(\alpha) := \text{state} \times \text{state} \rightarrow \alpha :: \{\text{bot}, \text{null}\} ,$$

where `state` stands for the system state and `state × state` describes the pair of pre-state and post-state and $_ := _$ denotes the type abbreviation.

The current OCL semantics [29, Annex A] uses different interpretation functions for invariants and pre-conditions; we achieve their semantic effect by a syntactic transformation $__{\text{pre}}$ which replaces, for example, all accessor functions $_ . a$ by their counterparts $_ . a@_{\text{pre}}$. For example, $(\text{self}.a > 5)_{\text{pre}}$ is just $(\text{self}.a@_{\text{pre}} > 5)$. This way, also invariants and pre-conditions can be interpreted by the same interpretation function and have the same type of an evaluation $V(\alpha)$.

On this basis, one can define the core logical operators **not** and **and** as follows:

$$\begin{aligned}
I[\text{not } X]\tau &= (\text{case } I[X]\tau \text{ of} \\
&\quad \perp \Rightarrow \perp \\
&\quad |[\perp] \Rightarrow [\perp] \\
&\quad |[[x]] \Rightarrow [[\neg x]]) \\
\\
I[X \text{ and } Y]\tau &= (\text{case } I[X]\tau \text{ of} \\
&\quad \perp \Rightarrow (\text{case } I[Y]\tau \text{ of} \\
&\quad \quad \perp \Rightarrow \perp \\
&\quad \quad |[\perp] \Rightarrow \perp \\
&\quad \quad |[[true]] \Rightarrow \perp \\
&\quad \quad |[[false]] \Rightarrow [[false]]) \\
&\quad |[\perp] \Rightarrow (\text{case } I[Y]\tau \text{ of} \\
&\quad \quad \perp \Rightarrow \perp \\
&\quad \quad |[\perp] \Rightarrow [\perp] \\
&\quad \quad |[[true]] \Rightarrow [\perp] \\
&\quad \quad |[[false]] \Rightarrow [[false]]) \\
&\quad |[[true]] \Rightarrow (\text{case } I[Y]\tau \text{ of} \\
&\quad \quad \perp \Rightarrow \perp \\
&\quad \quad |[\perp] \Rightarrow [\perp] \\
&\quad \quad |[[y]] \Rightarrow [[y]]) \\
&\quad |[[false]] \Rightarrow [[false]])
\end{aligned}$$

These non-strict operations were used to define the other logical connectives in the usual classical way: $X \text{ or } Y \equiv (\text{not } X) \text{ and } (\text{not } Y) \text{ or } X \text{ implies } Y \equiv (\text{not } X) \text{ or } Y$.

The default semantics for an OCL library operator is strict semantics; this means that the result of an operation f is invalid if one of its arguments is invalid. For a semantics comprising null, we suggest to stay conform to the standard and define the addition for integers as follows:

$$\begin{aligned}
I[x + y]\tau &= \text{if } I[\delta \ x]\tau = [[true]] \wedge I[\delta \ y]\tau = [[true]] \\
&\quad \text{then } [[[I[x]\tau]] + [[I[y]\tau]]] \\
&\quad \text{else } \perp
\end{aligned}$$

where the operator “+” on the left-hand side of the equation denotes the OCL addition of type $[V((\text{int}_{\perp})_{\perp}), V((\text{int}_{\perp})_{\perp})] \Rightarrow V((\text{int}_{\perp})_{\perp})$ while the “+” on the right-hand side of the equation of type $[\text{int}, \text{int}] \Rightarrow \text{int}$ denotes the integer-addition from the HOL library.

2.4.2. Logical Layer

The topmost goal of the logic for OCL is to define the *validity statement*:

$$(\sigma, \sigma') \models P,$$

where σ is the pre-state and σ' the post-state of the underlying system and P is a formula. Informally, a formula P is valid if and only if its evaluation in (σ, σ') (i.e., τ for short) yields true. Formally this means:

$$\tau \models P \equiv (I\llbracket P \rrbracket \tau = \llbracket \text{true} \rrbracket).$$

On this basis, classical, two-valued inference rules can be established for reasoning over the logical connective, the different notions of equality, definedness and validity. Generally speaking, rules over logical validity can relate bits and pieces in various OCL terms and allow—via strong logical equality discussed below—the replacement of semantically equivalent sub-expressions. The core inference rules are:

$$\begin{aligned} \tau \models \text{true} \quad & \neg(\tau \models \text{false}) \quad \neg(\tau \models \text{invalid}) \quad \neg(\tau \models \text{null}) \\ \tau \models \text{not } P & \implies \neg(\tau \models P) \\ \tau \models P \text{ and } Q & \implies \tau \models P \quad \tau \models P \text{ and } Q \implies \tau \models Q \\ \tau \models P & \implies (\text{if } P \text{ then } B_1 \text{ else } B_2 \text{ endif})\tau = B_1 \tau \\ \tau \models \text{not } P & \implies (\text{if } P \text{ then } B_1 \text{ else } B_2 \text{ endif})\tau = B_2 \tau \\ \tau \models P & \implies \tau \models \delta P \quad \tau \models \delta X \implies \tau \models v X \end{aligned}$$

By the latter two properties it can be inferred that any valid property P (so for example: a valid invariant) is defined, which allows to infer for terms composed by strict operations that their arguments and finally the variables occurring in it are valid or defined.

We propose to distinguish the *strong logical equality* (written $_ \triangleq _$), which follows the general principle that “equals can be replaced by equals,” from the *strict referential equality* (written $_ \doteq _$), which is an object-oriented concept that attempts to approximate and to implement the former. Strict referential equality, which is the default in the OCL language and is written $_ = _$ in the standard, is an overloaded concept and has to be defined for each OCL type individually; for objects resulting from class definitions, it is implemented by comparing the references to the objects. In contrast, strong logical equality is a polymorphic concept which is defined once and for all by:

$$I\llbracket X \triangleq Y \rrbracket \tau \equiv \llbracket I\llbracket X \rrbracket \tau = I\llbracket Y \rrbracket \tau \rrbracket$$

It enjoys nearly the laws of a congruence:

$$\begin{aligned} \tau \models (x \triangleq x) \\ \tau \models (x \triangleq y) & \implies \tau \models (y \triangleq x) \\ \tau \models (x \triangleq y) & \implies \tau \models (y \triangleq z) \implies \tau \models (x \triangleq z) \\ \text{cp } P & \implies \tau \models (x \triangleq y) \implies \tau \models (P x) \implies \tau \models (P y) \end{aligned}$$

where the predicate cp stands for *context-passing*, a property that is characterized by $P(X)$ equals $\lambda \tau. P(\lambda -. X\tau)\tau$. It means that the state tuple $\tau = (\sigma, \sigma')$ is passed unchanged from surrounding expressions to sub-expressions. it is true for all pure OCL expressions (but not arbitrary mixtures of OCL and HOL) in Featherweight OCL. The necessary side-calculus for establishing cp can be fully automated.

The logical layer of the Featherweight OCL rules gives also a means to convert an OCL formula living in its four-valued world into a representation that is classically two-valued and can be processed by standard SMT solvers such as CVC3 [2] or Z3 [20]. δ -closure rules for all logical connectives have the following format, e.g.:

$$\begin{aligned} \tau \models \delta x &\implies (\tau \models \text{not } x) = (\neg(\tau \models x)) \\ \tau \models \delta x &\implies \tau \models \delta y \implies (\tau \models x \text{ and } y) = (\tau \models x \wedge \tau \models y) \\ \tau \models \delta x &\implies \tau \models \delta y \\ &\implies (\tau \models (x \text{ implies } y)) = ((\tau \models x) \longrightarrow (\tau \models y)) \end{aligned}$$

Together with the general case-distinction

$$\tau \models \delta x \vee \tau \models x \triangleq \text{invalid} \vee \tau \models x \triangleq \text{null},$$

which is possible for any OCL type, a case distinction on the variables in a formula can be performed; due to strictness rules, formulae containing somewhere a variable x that is known to be **invalid** or **null** reduce usually quickly to contradictions. For example, we can infer from an invariant $\tau \models x \doteq y - 3$ that we have $\tau \models x \doteq y - 3 \wedge \tau \models \delta x \wedge \tau \models \delta y$. We call the latter formula the δ -closure of the former. Now, we can convert a formula like $\tau \models x > 0 \text{ or } 3 * y > x * x$ into the equivalent formula $\tau \models x > 0 \vee \tau \models 3 * y > x * x$ and thus internalize the OCL-logic into a classical (and more tool-conform) logic. This works—for the price of a potential, but due to the usually “rich” δ -closures of invariants rare—exponential blow-up of the formula for all OCL formulas.

2.4.3. Algebraic Layer

Based on the logical layer, we build a system with simpler rules which are amenable to automated reasoning. We restrict ourselves to pure equations on OCL expressions, where the used equality is the meta-(HOL-)equality.

Our denotational definitions on **not** and **and** can be re-formulated in the following ground equations:

$v \text{ invalid} = \text{false}$	$v \text{ null} = \text{true}$
$v \text{ true} = \text{true}$	$v \text{ false} = \text{true}$
$\delta \text{ invalid} = \text{false}$	$\delta \text{ null} = \text{false}$
$\delta \text{ true} = \text{true}$	$\delta \text{ false} = \text{true}$
$\text{not invalid} = \text{invalid}$	$\text{not null} = \text{null}$
$\text{not true} = \text{false}$	$\text{not false} = \text{true}$
$(\text{null and true}) = \text{null}$	$(\text{null and false}) = \text{false}$
$(\text{null and null}) = \text{null}$	$(\text{null and invalid}) = \text{invalid}$
$(\text{false and true}) = \text{false}$	$(\text{false and false}) = \text{false}$
$(\text{false and null}) = \text{false}$	$(\text{false and invalid}) = \text{false}$

$$\begin{aligned}
(\text{true and true}) &= \text{true} & (\text{true and false}) &= \text{false} \\
(\text{true and null}) &= \text{null} & (\text{true and invalid}) &= \text{invalid} \\
(\text{invalid and true}) &= \text{invalid} \\
(\text{invalid and false}) &= \text{false} \\
(\text{invalid and null}) &= \text{invalid} \\
(\text{invalid and invalid}) &= \text{invalid}
\end{aligned}$$

On this core, the structure of a conventional lattice arises:

$$\begin{aligned}
X \text{ and } X &= X & X \text{ and } Y &= Y \text{ and } X \\
\text{false and } X &= \text{false} & X \text{ and false} &= \text{false} \\
\text{true and } X &= X & X \text{ and true} &= X \\
X \text{ and } (Y \text{ and } Z) &= X \text{ and } Y \text{ and } Z
\end{aligned}$$

as well as the dual equalities for `_ or _` and the De Morgan rules. This wealth of algebraic properties makes the understanding of the logic easier as well as automated analysis possible: it allows for, for example, computing a DNF of invariant systems (by clever term-rewriting techniques) which are a prerequisite for δ -closures.

The above equations explain the behavior for the most-important non-strict operations. The clarification of the exceptional behaviors is of key-importance for a semantic definition the standard and the major deviation point from HOL-OCL [6, 8], to Featherweight OCL as presented here. The standard expresses at many places that most operations are strict, i. e., enjoy the properties (exemplary for `_ + _`):

$$\begin{aligned}
\text{invalid} + X &= \text{invalid} & X + \text{invalid} &= \text{invalid} \\
X + \text{null} &= \text{invalid} & \text{null} + X &= \text{invalid} \\
\text{null.oclAsType}(X) &= \text{invalid}
\end{aligned}$$

besides “classical” exceptional behavior:

$$\begin{aligned}
1 / 0 &= \text{invalid} & 1 / \text{null} &= \text{invalid} \\
\text{null} \rightarrow \text{isEmpty}() &= \text{true}
\end{aligned}$$

Moreover, there is also the proposal to use `null` as a kind of “don’t know” value for all strict operations, not only in the semantics of the logical connectives. Expressed in algebraic equations, this semantic alternative (this is *not* Featherweight OCL at present) would boil down to:

$$\begin{aligned}
\text{invalid} + X &= \text{invalid} & X + \text{invalid} &= \text{invalid} \\
X + \text{null} &= \text{null} & \text{null} + X &= \text{null} \\
\text{null.oclAsType}(X) &= \text{null} \\
1 / 0 &= \text{invalid} & 1 / \text{null} &= \text{null} \\
\text{null} \rightarrow \text{isEmpty}() &= \text{null}
\end{aligned}$$

While this is logically perfectly possible, while it can be argued that this semantics is “intuitive”, and although we do not expect a too heavy cost in deduction when computing

δ -closures, we object that there are other, also “intuitive” interpretations that are even more wide-spread: In classical spreadsheet programs, for example, the semantics tends to interpret `null` (representing empty cells in a sheet) as the neutral element of the type, so 0 or the empty string, for example.² This semantic alternative (this is *not* Featherweight OCL at present) would yield:

```
invalid + X = invalid      X + invalid = invalid
X + null = X              null + X = X
null.oclAsType(X) = invalid
1 / 0 = invalid           1 / null = invalid
null->isEmpty() = true
```

Algebraic rules are also the key for execution and compilation of Featherweight OCL expressions. We derived, e. g.:

```
δ Set{} = true
δ (X->including(x)) = δ X and δ x
Set{}->includes(x) = (if v x then false
                      else invalid endif)
(X->including(x)->includes(y)) =
  (if δ X
   then if x ≐ y
        then true
        else X->includes(y)
        endif
   else invalid
   endif)
```

As `Set{1,2}` is only syntactic sugar for

```
Set{}->including(1)->including(2)
```

an expression like `Set{1,2}->includes(null)` becomes decidable in Featherweight OCL by a combination of rewriting and code-generation and execution. The generated documentation from the theory files can thus be enriched by numerous “test-statements” like:

```
value  "τ ⊨ (Set{Set{2, null}} ≐ Set{Set{null, 2}})"
```

which have been machine-checked and which present a high-level and in our opinion fairly readable information for OCL tool manufactures and users.

²In spreadsheet programs the interpretation of `null` varies from operation to operation; e. g., the `average` function treats `null` as non-existing value and not as 0.

2.5. Object-oriented Datatype Theories

As mentioned earlier, the OCL is composed of

1. operators on built-in data structures such as Boolean, Integer or Set($_$), and
2. operators of the user-defined data model such as accessors, type casts and tests.

In the following, we will refine the concepts of a user-defined data-model (implied by a *class-model*, visualized by a class-diagram) as well as the notion of state used in the previous section to much more detail. In contrast to wide-spread opinions, UML class diagrams represent in a compact and visual manner quite complex, object-oriented data-types with a surprisingly rich theory. It is part of our endeavor here to make this theory explicit and to point out corner cases. A UML class diagram—underlying a given OCL formula—produces several implicit operations which become accessible via appropriate OCL syntax:

1. Classes and class names (written as C_1, \dots, C_n), which become types of data in OCL. Class names declare two projector functions to the set of all objects in a state: $C_i.\text{allInstances}()$ and $C_i.\text{allInstances@pre}()$,
2. an inheritance relation $_ < _$ on classes and a collection of attributes A associated to classes,
3. two families of accessors; for each attribute a in a class definition (denoted $X.a :: C_i \rightarrow A$ and $X.a@pre :: C_i \rightarrow A$ for $A \in \{V(\dots), C_1, \dots, C_n\}$),
4. type casts that can change the static type of an object of a class ($X.\text{oclAsType}(C_i)$ of type $C_j \rightarrow C_i$)
5. two dynamic type tests ($X.\text{oclIsTypeOf}(C_i)$ and $X.\text{oclIsKindOf}(C_i)$),
6. and last but not least, for each class name C_i there is an instance of the overloaded referential equality (written $_ \doteq _$).

Assuming a strong static type discipline in the sense of Hindley-Milner types, Featherweight OCL has no “syntactic subtyping.” This does not mean that subtyping cannot be expressed *semantically* in Featherweight OCL; by giving a formal semantics to type-casts, subtyping becomes an issue of the front-end that can make implicit type-coersions explicit by introducing explicit type-casts. Our perspective shifts the emphasis on the semantic properties of casting, and the necessary universe of object representations (induced by a class model) that allows to establish them.

2.5.1. Object Universes

It is natural to construct system states by a set of partial functions f that map object identifiers oid to some representations of objects:

$$\text{typedef} \quad \alpha \text{ state} := \{\sigma :: \text{oid} \rightarrow \alpha \mid \text{inv}_\sigma(\sigma)\} \quad (2.12)$$

where inv_σ is a to be discussed invariant on states.

The key point is that we need a common type α for the set of all possible *object representations*. Object representations model “a piece of typed memory,” i. e., a kind of record comprising administration information and the information for all attributes of an object; here, the primitive types as well as collections over them are stored directly in the object representations, class types and collections over them are represented by oid’s (respectively lifted collections over them).

In a shallow embedding which must represent UML types injectively by HOL types, there are two fundamentally different ways to construct such a set of object representations, which we call an *object universe* \mathfrak{A} :

1. an object universe can be constructed for a given class model, leading to *closed world semantics*, and
2. an object universe can be constructed for a given class model *and all its extensions by new classes added into the leaves of the class hierarchy*, leading to an *open world semantics*.

For the sake of simplicity, we chose the first option for Featherweight OCL, while HOL-OCL [7] used an involved construction allowing the latter.

A naïve attempt to construct \mathfrak{A} would look like this: the class type C_i induced by a class will be the type of such an object representation: $C_i := (\text{oid} \times A_{i_1} \times \cdots \times A_{i_k})$ where the types A_{i_1}, \dots, A_{i_k} are the attribute types (including inherited attributes) with class types substituted by oid. The function OidOf projects the first component, the oid, out of an object representation. Then the object universe will be constructed by the type definition:

$$\mathfrak{A} := C_1 + \cdots + C_n. \quad (2.13)$$

It is possible to define constructors, accessors, and the referential equality on this object universe. However, the treatment of type casts and type tests cannot be faithful with common object-oriented semantics, be it in UML or Java: casting up along the class hierarchy can only be implemented by loosing information, such that casting up and casting down will *not* give the required identity:

$$X.\text{oclIsTypeOf}(C_k) \text{ implies } X.\text{oclAsType}(C_i).\text{oclAsType}(C_k) \doteq X \quad (2.14)$$

$$\text{whenever } C_k < C_i \text{ and } X \text{ is valid.} \quad (2.15)$$

To overcome this limitation, we introduce an auxiliary type $C_{i\text{ext}}$ for *class type extension*; together, they were inductively defined for a given class diagram:

Let C_i be a class with a possibly empty set of subclasses $\{C_{j_1}, \dots, C_{j_m}\}$.

- Then the *class type extension* $C_{i\text{ext}}$ associated to C_i is $A_{i_1} \times \cdots \times A_{i_n} \times (C_{j_1\text{ext}} + \cdots + C_{j_m\text{ext}})_\perp$ where A_{i_k} ranges over the local attribute types of C_i and $C_{j_l\text{ext}}$ ranges over all class type extensions of the subclass C_j of C_i .

- Then the *class type* for C_i is $oid \times A_{i_1} \times \dots \times A_{i_n} \times (C_{j_1\text{ext}} + \dots + C_{j_m\text{ext}})_\perp$ where A_{i_k} ranges over the inherited *and* local attribute types of C_i and $C_{j_l\text{ext}}$ ranges over all class type extensions of the subclass C_j of C_i .

Example instances of this scheme—outlining a compiler—can be found in Section 6.1 and Section 7.1.

This construction can *not* be done in HOL itself since it involves quantifications and iterations over the “set of class-types”; rather, it is a meta-level construction. Technically, this means that we need a compiler to be done in SML on the syntactic “meta-model”-level of a class model.

With respect to our semantic construction here, which above all means is intended to be type-safe, this has the following consequences:

- there is a generic theory of states, which must be formulated independently from a concrete object universe,
- there is a principle of translation (captured by the inductive scheme for class type extensions and class types above) that converts a given class model into a concrete object universe,
- there are fixed principles that allow to derive the semantic theory of any concrete object universe, called the *object-oriented datatype theory*.

We will work out concrete examples for the construction of the object-universes in Section 6.1 and Section 7.1 and the derivation of the respective datatype theories. While an automatization is clearly possible and desirable for concrete applications of Featherweight OCL, we consider this out of the scope of this paper which has a focus on the semantic construction and its presentation.

2.5.2. Accessors on Objects and Associations

Our choice to use a shallow embedding of OCL in HOL and, thus having an injective mapping from OCL types to HOL types, results in type-safety of Featherweight OCL. Arguments and results of accessors are based on type-safe object representations and *not* oid’s. This implies the following scheme for an accessor:

- The *evaluation and extraction* phase. If the argument evaluation results in an object representation, the oid is extracted, if not, exceptional cases like `invalid` are reported.
- The *dereferentiation* phase. The oid is interpreted in the pre- or post-state, the resulting object is casted to the expected format. The exceptional case of nonexistence in this state must be treated.
- The *selection* phase. The corresponding attribute is extracted from the object representation.

- The *re-construction* phase. The resulting value has to be embedded in the adequate HOL type. If an attribute has the type of an object (not value), it is represented by an optional (set of) oid, which must be converted via dereferentiation in one of the states to produce an object representation again. The exceptional case of nonexistence in this state must be treated.

The first phase directly translates into the following formalization:

definition

$$\text{eval_extract } X \ f = (\lambda \tau. \text{ case } X \ \tau \text{ of } \begin{array}{ll} \perp & \Rightarrow \text{invalid } \tau \quad \text{exception} \\ | \ \underline{\perp} & \Rightarrow \text{invalid } \tau \quad \text{deref. null} \\ | \ \underline{\text{obj}} & \Rightarrow f \ (\text{oid_of } \text{obj}) \ \tau \end{array}) \quad (2.16)$$

For each class C , we introduce the dereferentiation phase of this form:

$$\text{definition } \text{deref_oid}_C \ fst_snd \ f \ oid = (\lambda \tau. \text{ case } (\text{heap } (fst_snd \ \tau)) \ oid \text{ of } \begin{array}{ll} \underline{\text{in}_C \text{ obj}} & \Rightarrow f \ \text{obj} \ \tau \\ | \ _ & \Rightarrow \text{invalid } \tau \end{array}) \quad (2.17)$$

The operation yields undefined if the oid is uninterpretable in the state or referencing an object representation not conforming to the expected type.

We turn to the selection phase: for each class C in the class model with at least one attribute, and each attribute a in this class, we introduce the selection phase of this form:

$$\text{definition } \text{select}_a \ f = (\lambda \text{ mk}_C \ oid \ \dots \perp \dots \ C_{\text{Xext}} \Rightarrow \text{null} \quad (2.18) \\ | \text{ mk}_C \ oid \ \dots \underline{a} \dots \ C_{\text{Xext}} \Rightarrow f \ (\lambda x \ _ \ \underline{x}) \ a)$$

This works for definitions of basic values as well as for object references in which the a is of type oid. To increase readability, we introduce the functions:

$$\begin{array}{lll} \text{definition} & \text{in_pre_state} & = \text{fst} \quad \text{first component} \\ \text{definition} & \text{in_post_state} & = \text{snd} \quad \text{second component} \\ \text{definition} & \text{reconst_basetype} & = \text{id} \quad \text{identity function} \end{array} \quad (2.19)$$

Let $_.\text{getBase}$ be an accessor of class C yielding a value of base-type A_{base} . Then its definition is of the form:

$$\begin{array}{ll} \text{definition} & _.\text{getBase} \quad :: C \Rightarrow A_{base} \\ \text{where} & X.\text{getBase} = \text{eval_extract } X \ (\text{deref_oid}_C \ \text{in_post_state} \\ & \quad (\text{select_getBase } \text{reconst_basetype})) \end{array} \quad (2.20)$$

Let $_.\text{getObject}$ be an accessor of class C yielding a value of object-type A_{object} . Then its definition is of the form:

$$\begin{array}{ll} \text{definition} & _.\text{getObject} \quad :: C \Rightarrow A_{object} \\ \text{where} & X.\text{getObject} = \text{eval_extract } X \ (\text{deref_oid}_C \ \text{in_post_state} \\ & \quad (\text{select_getObject } (\text{deref_oid}_C \ \text{in_post_state}))) \end{array} \quad (2.21)$$

The variant for an accessor yielding a collection is omitted here; its construction follows by the application of the principles of the former two. The respective variants `...a@pre` were produced when `in_post_state` is replaced by `in_pre_state`.

Examples for the construction of accessors via associations can be found in Section 6.1.8, the construction of accessors via attributes in Section 7.1.8. The construction of casts and type tests `->oclIsTypeOf()` and `->oclIsKindOf()` is similarly.

In the following, we discuss the role of multiplicities on the types of the accessors. Depending on the specified multiplicity, the evaluation of an attribute can yield just a value (multiplicity `0..1` or `1`) or a collection type like `Set` or `Sequence` of values (otherwise). A multiplicity defines a lower bound as well as a possibly infinite upper bound on the cardinality of the attribute's values.

Single-Valued Attributes

If the upper bound specified by the attribute's multiplicity is one, then an evaluation of the attribute yields a single value. Thus, the evaluation result is *not* a collection. If the lower bound specified by the multiplicity is zero, the evaluation is not required to yield a non-null value. In this case an evaluation of the attribute can return `null` to indicate an absence of value.

To facilitate accessing attributes with multiplicity `0..1`, the OCL standard states that single values can be used as sets by calling collection operations on them. This implicit conversion of a value to a `Set` is not defined by the standard. We argue that the resulting set cannot be constructed the same way as when evaluating a `Set` literal. Otherwise, `null` would be mapped to the singleton set containing `null`, but the standard demands that the resulting set is empty in this case. The conversion should instead be defined as follows:

```
context OclAny::asSet():T
  post: if self = null then result = Set{}
        else result = Set{self} endif
```

Collection-Valued Attributes

If the upper bound specified by the attribute's multiplicity is larger than one, then an evaluation of the attribute yields a collection of values. This raises the question whether `null` can belong to this collection. The OCL standard states that `null` can be owned by collections. However, if an attribute can evaluate to a collection containing `null`, it is not clear how multiplicity constraints should be interpreted for this attribute. The question arises whether the `null` element should be counted or not when determining the cardinality of the collection. Recall that `null` denotes the absence of value in the case of a cardinality upper bound of one, so we would assume that `null` is not counted. On the other hand, the operation `size` defined for collections in OCL does count `null`.

We propose to resolve this dilemma by regarding multiplicities as optional. This point of view complies with the UML standard, that does not require lower and upper bounds

to be defined for multiplicities.³ In case a multiplicity is specified for an attribute, i.e., a lower and an upper bound are provided, we require any collection the attribute evaluates to not contain `null`. This allows for a straightforward interpretation of the multiplicity constraint. If bounds are not provided for an attribute, we consider the attribute values to not be restricted in any way. Because in particular the cardinality of the attribute's values is not bounded, the result of an evaluation of the attribute is of collection type. As the range of values that the attribute can assume is not restricted, the attribute can evaluate to a collection containing `null`. The attribute can also evaluate to `invalid`. Allowing multiplicities to be optional in this way gives the modeler the freedom to define attributes that can assume the full ranges of values provided by their types. However, we do not permit the omission of multiplicities for association ends, since the values of association ends are not only restricted by multiplicities, but also by other constraints enforcing the semantics of associations. Hence, the values of association ends cannot be completely unrestricted.

The Precise Meaning of Multiplicity Constraints

We are now ready to define the meaning of multiplicity constraints by giving equivalent invariants written in OCL. Let `a` be an attribute of a class `C` with a multiplicity specifying a lower bound m and an upper bound n . Then we can define the multiplicity constraint on the values of attribute `a` to be equivalent to the following invariants written in OCL:

```
context C
  inv lowerBound: a->size() >= m
  inv upperBound: a->size() <= n
  inv notNull: not a->includes(null)
```

If the upper bound n is infinite, the second invariant is omitted. For the definition of these invariants we are making use of the conversion of single values to sets described in Section 2.5.2. If $n \leq 1$, the attribute `a` evaluates to a single value, which is then converted to a `Set` on which the `size` operation is called.

If a value of the attribute `a` includes a reference to a non-existent object, the attribute call evaluates to `invalid`. As a result, the entire expressions evaluate to `invalid`, and the invariants are not satisfied. Thus, references to non-existent objects are ruled out by these invariants. We believe that this result is appropriate, since we argue that the presence of such references in a system state is usually not intended and likely to be the result of an error. If the modeler wishes to allow references to non-existent objects, she can make use of the possibility described above to omit the multiplicity.

2.5.3. Other Operations on States

Defining `_allInstances()` is straight-forward; the only difference is the property `T.allInstances()->excludes(null)` which is a consequence of the fact that `null`'s are values and do not “live” in the state. In our semantics which admits states with

³We are however aware that a well-formedness rule of the UML standard does define a default bound of one in case a lower or upper bound is not specified.

“dangling references,” it is possible to define a counterpart to `_.oclIsNew()` called `_.oclIsDeleted()` which asks if an object id (represented by an object representation) is contained in the pre-state, but not the post-state.

OCL does not guarantee that an operation only modifies the path-expressions mentioned in the postcondition, i.e., it allows arbitrary relations from pre-states to post-states. This framing problem is well-known (one of the suggested solutions is [23]). We define

```
(S:Set(OclAny))->oclIsModifiedOnly():Boolean
```

where S is a set of object representations, encoding a set of oid’s. The semantics of this operator is defined such that for any object whose oid is *not* represented in S and that is defined in pre and post state, the corresponding object representation will not change in the state transition. A simplified presentation is as follows:

$$I[X \rightarrow \text{oclIsModifiedOnly}()](\sigma, \sigma') \equiv \begin{cases} \perp & \text{if } X' = \perp \vee \text{null} \in X' \\ \bigwedge_{i \in M} \sigma.i = \sigma'.i & \text{otherwise.} \end{cases}$$

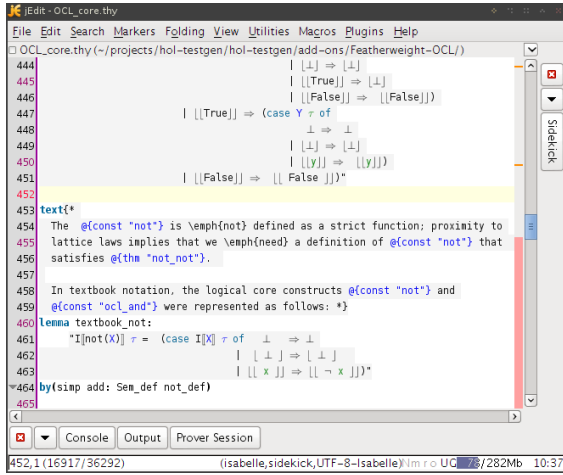
where $X' = I[X](\sigma, \sigma')$ and $M = (\text{dom } \sigma \cap \text{dom } \sigma') - \{\text{OidOf } x \mid x \in \lceil X' \rceil\}$. Thus, if we require in a postcondition `Set{}->oclIsModifiedOnly()` and exclude via `_.oclIsNew()` and `_.oclIsDeleted()` the existence of new or deleted objects, the operation is a query in the sense of the OCL standard, i.e., the `isQuery` property is true. So, whenever we have $\tau \models X \rightarrow \text{excluding}(s.a) \rightarrow \text{oclIsModifiedOnly}()$ and $\tau \models X \rightarrow \text{forAll}(x \mid \text{not}(x \doteq s.a))$, we can infer that $\tau \models s.a \triangleq s.a @ \text{pre}$.

2.6. A Machine-checked Annex A

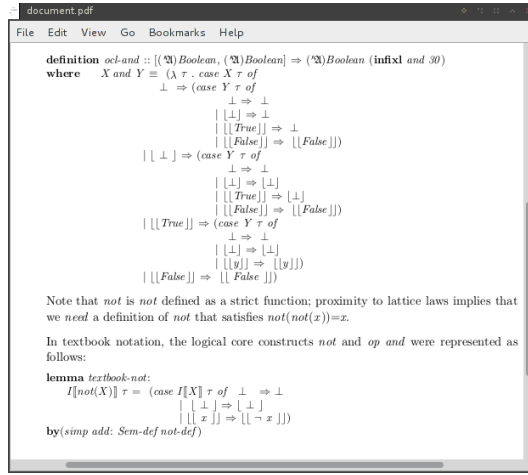
Isabelle, as a framework for building formal tools [37], provides the means for generating *formal documents*. With formal documents (such as the one you are currently reading) we refer to documents that are machine-generated and ensure certain formal guarantees. In particular, all formal content (e.g., definitions, formulae, types) are checked for consistency during the document generation.

For writing documents, Isabelle supports the embedding of informal texts using a \LaTeX -based markup language within the theory files. To ensure the consistency, Isabelle supports to use, within these informal texts, *antiquotations* that refer to the formal parts and that are checked while generating the actual document as PDF. For example, in an informal text, the antiquotation `@{thm "not_not"}` will instruct Isabelle to lock-up the (formally proven) theorem of name `ocl_not_not` and to replace the antiquotation with the actual theorem, i.e., `not (not x) = x`.

Figure 2.2 illustrates this approach: Figure 2.2a shows the jEdit-based development environment of Isabelle with an excerpt of one of the core theories of Featherweight OCL. Figure 2.2b shows the generated PDF document where all antiquotations are replaced. Moreover, the document generation tools allows for defining syntactic sugar as well as skipping technical details of the formalization.



(a) The Isabelle jEdit environment.



(b) The generated formal document.

Figure 2.2.: Generating documents with guaranteed syntactical and semantical consistency.

Thus, applying the Featherweight OCL approach to writing an updated Annex A that provides a formal semantics of the most fundamental concepts of OCL would ensure

1. that all formal context is syntactically correct and well-typed, and
2. all formal definitions and the derived logical rules are semantically consistent.

Overall, this would contribute to one of the main goals of the OCL 2.5 RFP, as discussed at the OCL meeting in Aachen [15].

Part II.

**A Proposal for Formal Semantics of
OCL 2.5**

3. Formalization I: Core Definitions

```
theory
  OCL-core
imports
  Main
begin
```

3.1. Preliminaries

3.1.1. Notations for the Option Type

First of all, we will use a more compact notation for the library option type which occur all over in our definitions and which will make the presentation more like a textbook:

```
notation Some ( $\lfloor(-)\rfloor$ )
notation None ( $\perp$ )
```

The following function (corresponding to *the* in the Isabelle/HOL library) is defined as the inverse of the injection *Some*.

```
fun drop :: 'α option ⇒ 'α ( $\lceil(-)\rceil$ )
where drop-lift[simp]:  $\lceil\lfloor v \rfloor\rceil = v$ 
```

3.1.2. Minimal Notions of State and State Transitions

Next we will introduce the foundational concept of an object id (oid), which is just some infinite set.

In order to assure executability of as much as possible formulas, we fixed the type of object id's to just natural numbers.

```
type-synonym oid = nat
```

We refrained from the alternative:

```
type-synonym oid = ind
```

which is slightly more abstract but non-executable.

States are just a partial map from oid's to elements of an object universe \mathfrak{A} , and state transitions pairs of states ...

```
record ('A)state =
  heap   :: oid → 'A
  assocs2 :: oid → (oid × oid) list
  assocs3 :: oid → (oid × oid × oid) list
```

type-synonym $(\mathcal{A})_{st} = \mathcal{A}_{state} \times \mathcal{A}_{state}$

3.1.3. Prerequisite: An Abstract Interface for OCL Types

To have the possibility to nest collection types, such that we can give semantics to expressions like $Set\{Set\{2\}, null\}$, it is necessary to introduce a uniform interface for types having the *invalid* (= bottom) element. The reason is that we impose a data-invariant on raw-collection **types_code** which assures that the *invalid* element is not allowed inside the collection; all raw-collections of this form were identified with the *invalid* element itself. The construction requires that the new collection type is not comparable with the raw-types (consisting of nested option type constructions), such that the data-invariant must be expressed in terms of the interface. In a second step, our base-types will be shown to be instances of this interface.

This uniform interface consists in a type class requiring the existence of a bot and a null element. The construction proceeds by abstracting the null (defined by $\lfloor \perp \rfloor$ on $'a\ option\ option$) to a *null* element, which may have an arbitrary semantic structure, and an undefinedness element \perp to an abstract undefinedness element *bot* (also written \perp whenever no confusion arises). As a consequence, it is necessary to redefine the notions of invalid, defined, valuation etc. on top of this interface.

This interface consists in two abstract type classes *bot* and *null* for the class of all types comprising a bot and a distinct null element.

```
class   bot =
  fixes bot :: 'a
  assumes nonEmpty :  $\exists x. x \neq bot$ 
```

```
class   null = bot +
  fixes null :: 'a
  assumes null-is-valid :  $null \neq bot$ 
```

3.1.4. Accommodation of Basic Types to the Abstract Interface

In the following it is shown that the “option-option” type is in fact in the *null* class and that function spaces over these classes again “live” in these classes. This motivates the default construction of the semantic domain for the basic types (**Boolean**, **Integer**, **Real**, ...).

```
instantiation option :: (type)bot
begin
  definition bot-option-def:  $(bot::'a\ option) \equiv (None::'a\ option)$ 
  instance proof show  $\exists x::'a\ option. x \neq bot$ 
    by(rule-tac  $x=Some\ x$  in exI, simp add:bot-option-def)
    qed
end
```

```

instantiation option :: (bot)null
begin
  definition null-option-def: (null::'a::bot option)  $\equiv$  [ bot ]
  instance proof show (null::'a::bot option)  $\neq$  bot
    by( simp add:null-option-def bot-option-def)
  qed
end

instantiation fun :: (type,bot) bot
begin
  definition bot-fun-def: bot  $\equiv$  ( $\lambda$  x. bot)

  instance proof show  $\exists$  (x::'a  $\Rightarrow$  'b). x  $\neq$  bot
    apply(rule-tac x= $\lambda$  -. (SOME y. y  $\neq$  bot) in exI, auto)
    apply(drule-tac x=x in fun-cong, auto simp:bot-fun-def)
    apply(erule contrapos-pp, simp)
    apply(rule some-eq-ex[THEN iffD2])
    apply(simp add: nonEmpty)
    done
  qed
end

instantiation fun :: (type,null) null
begin
  definition null-fun-def: (null::'a  $\Rightarrow$  'b::null)  $\equiv$  ( $\lambda$  x. null)

  instance proof
    show (null::'a  $\Rightarrow$  'b::null)  $\neq$  bot
    apply(auto simp: null-fun-def bot-fun-def)
    apply(drule-tac x=x in fun-cong)
    apply(erule contrapos-pp, simp add: null-is-valid)
    done
  qed
end

```

A trivial consequence of this adaption of the interface is that abstract and concrete versions of null are the same on base types (as could be expected).

3.1.5. The Semantic Space of OCL Types: Valuations

Valuations are now functions from a state pair (built upon data universe \mathcal{A}) to an arbitrary null-type (i.e., containing at least a distinguished *null* and *invalid* element).

type-synonym ($\mathcal{A}, 'a$) val = \mathcal{A} st \Rightarrow $'a::null$

The definitions for the constants and operations based on valuations will be geared towards a format that Isabelle can check to be a “conservative” (i.e., logically safe)

axiomatic definition. By introducing an explicit interpretation function (which happens to be defined just as the identity since we are using a shallow embedding of OCL into HOL), all these definitions can be rewritten into the conventional semantic textbook format as follows:

definition $Sem :: 'a \Rightarrow 'a \ (I\llbracket - \rrbracket)$
where $I\llbracket x \rrbracket \equiv x$

As a consequence of semantic domain definition, any OCL type will have the two semantic constants *invalid* (for exceptional, aborted computation) and *null*:

definition $invalid :: ('A, 'A::bot) \text{ val}$
where $invalid \equiv \lambda \tau. bot$

This conservative Isabelle definition of the polymorphic constant *invalid* is equivalent with the textbook definition:

lemma *textbook-invalid*: $I\llbracket invalid \rrbracket \tau = bot$
by(*simp add: invalid-def Sem-def*)

Note that the definition :

definition $null :: ('A, 'A::null) \text{ val}$
where $null \equiv \lambda \tau. null$

is not necessary since we defined the entire function space over null types again as null-types; the crucial definition is $null \equiv \lambda x. null$. Thus, the polymorphic constant *null* is simply the result of a general type class construction. Nevertheless, we can derive the semantic textbook definition for the OCL null constant based on the abstract null:

lemma *textbook-null-fun*: $I\llbracket null :: ('A, 'A::null) \text{ val} \rrbracket \tau = (null :: 'A::null)$
by(*simp add: null-fun-def Sem-def*)

3.2. Definition of the Boolean Type

The semantic domain of the (basic) boolean type is now defined as the Standard: the space of valuation to *bool option option*:

type-synonym $('A)Boolean = ('A, bool \text{ option option}) \text{ val}$

3.2.1. Basic Constants

lemma *bot-Boolean-def* : $(bot :: ('A)Boolean) = (\lambda \tau. \perp)$
by(*simp add: bot-fun-def bot-option-def*)

lemma *null-Boolean-def* : $(null :: ('A)Boolean) = (\lambda \tau. \lfloor \perp \rfloor)$
by(*simp add: null-fun-def null-option-def bot-option-def*)

definition $true :: ('A)Boolean$
where $true \equiv \lambda \tau. \lfloor \text{True} \rfloor$

definition $false :: ('A)Boolean$

where $false \equiv \lambda \tau. \llbracket False \rrbracket$

lemma *bool-split*: $X \tau = invalid \tau \vee X \tau = null \tau \vee$
 $X \tau = true \tau \vee X \tau = false \tau$
apply(*simp add: invalid-def null-def true-def false-def*)
apply(*case-tac X τ , simp-all add: null-fun-def null-option-def bot-option-def*)
apply(*case-tac a, simp*)
apply(*case-tac aa, simp*)
apply *auto*
done

lemma [*simp*]: $false(a, b) = \llbracket False \rrbracket$
by(*simp add: false-def*)

lemma [*simp*]: $true(a, b) = \llbracket True \rrbracket$
by(*simp add: true-def*)

lemma *textbook-true*: $I\llbracket true \rrbracket \tau = \llbracket True \rrbracket$
by(*simp add: Sem-def true-def*)

lemma *textbook-false*: $I\llbracket false \rrbracket \tau = \llbracket False \rrbracket$
by(*simp add: Sem-def false-def*)

Name	Theorem
<i>textbook-invalid</i>	$I\llbracket invalid \rrbracket ?\tau = OCL-core.bot-class.bot$
<i>textbook-null-fun</i>	$I\llbracket null \rrbracket ?\tau = null$
<i>textbook-true</i>	$I\llbracket true \rrbracket ?\tau = \llbracket True \rrbracket$
<i>textbook-false</i>	$I\llbracket false \rrbracket ?\tau = \llbracket False \rrbracket$

Table 3.1.: Basic semantic constant definitions of the logic (except *null*)

3.2.2. Validity and Definedness

However, this has also the consequence that core concepts like definedness, validness and even *cp* have to be redefined on this type class:

definition *valid* :: $(\mathfrak{A}, 'a :: null)val \Rightarrow (\mathfrak{A})Boolean (v - [100]100)$
where $v X \equiv \lambda \tau. \text{if } X \tau = bot \tau \text{ then } false \tau \text{ else } true \tau$

lemma *valid1*[*simp*]: $v invalid = false$
by(*rule ext, simp add: valid-def bot-fun-def bot-option-def*
invalid-def true-def false-def)

lemma *valid2*[*simp*]: $v null = true$

by(*rule ext, simp add: valid-def bot-fun-def bot-option-def null-is-valid
null-fun-def invalid-def true-def false-def*)

lemma *valid3[simp]: v true = true*

by(*rule ext, simp add: valid-def bot-fun-def bot-option-def null-is-valid
null-fun-def invalid-def true-def false-def*)

lemma *valid4[simp]: v false = true*

by(*rule ext, simp add: valid-def bot-fun-def bot-option-def null-is-valid
null-fun-def invalid-def true-def false-def*)

lemma *cp-valid: (v X) τ = (v (λ -. X τ)) τ*

by(*simp add: valid-def*)

definition *defined :: ($\mathfrak{A}, 'a::\text{null}$)val \Rightarrow (\mathfrak{A})Boolean (δ - [100]100)*

where $\delta X \equiv \lambda \tau . \text{if } X \tau = \text{bot } \tau \vee X \tau = \text{null } \tau \text{ then false } \tau \text{ else true } \tau$

The generalized definitions of invalid and definedness have the same properties as the old ones :

lemma *defined1[simp]: $\delta \text{ invalid} = \text{false}$*

by(*rule ext, simp add: defined-def bot-fun-def bot-option-def
null-def invalid-def true-def false-def*)

lemma *defined2[simp]: $\delta \text{ null} = \text{false}$*

by(*rule ext, simp add: defined-def bot-fun-def bot-option-def
null-def null-option-def null-fun-def invalid-def true-def false-def*)

lemma *defined3[simp]: $\delta \text{ true} = \text{true}$*

by(*rule ext, simp add: defined-def bot-fun-def bot-option-def null-is-valid null-option-def
null-fun-def invalid-def true-def false-def*)

lemma *defined4[simp]: $\delta \text{ false} = \text{true}$*

by(*rule ext, simp add: defined-def bot-fun-def bot-option-def null-is-valid null-option-def
null-fun-def invalid-def true-def false-def*)

lemma *defined5[simp]: $\delta \delta X = \text{true}$*

by(*rule ext,*
*auto simp: defined-def true-def false-def
bot-fun-def bot-option-def null-option-def null-fun-def*)

lemma *defined6[simp]: $\delta v X = \text{true}$*

by(*rule ext,*
*auto simp: valid-def defined-def true-def false-def
bot-fun-def bot-option-def null-option-def null-fun-def*)

lemma *valid5*[simp]: $v \ v \ X = \text{true}$
by(rule ext,
auto simp: valid-def true-def false-def
bot-fun-def bot-option-def null-option-def null-fun-def)

lemma *valid6*[simp]: $v \ \delta \ X = \text{true}$
by(rule ext,
auto simp: valid-def defined-def true-def false-def
bot-fun-def bot-option-def null-option-def null-fun-def)

lemma *cp-defined*: $(\delta \ X) \tau = (\delta \ (\lambda \ -. \ X \ \tau)) \ \tau$
by(simp add: defined-def)

The definitions above for the constants *defined* and *valid* can be rewritten into the conventional semantic "textbook" format as follows:

lemma *textbook-defined*: $I[\delta(X)] \ \tau = (\text{if } I[X] \ \tau = I[\text{bot}] \ \tau \ \vee \ I[X] \ \tau = I[\text{null}] \ \tau$
 $\text{then } I[\text{false}] \ \tau$
 $\text{else } I[\text{true}] \ \tau)$
by(simp add: Sem-def defined-def)

lemma *textbook-valid*: $I[v(X)] \ \tau = (\text{if } I[X] \ \tau = I[\text{bot}] \ \tau$
 $\text{then } I[\text{false}] \ \tau$
 $\text{else } I[\text{true}] \ \tau)$
by(simp add: Sem-def valid-def)

Table 3.2 and Table 3.3 summarize the results of this section.

Name	Theorem
<i>textbook-defined</i>	$I[\delta \ X] \ \tau = (\text{if } I[X] \ \tau = I[\text{OCL-core.bot-class.bot}] \ \tau \vee I[X] \ \tau = I[\text{null}] \ \tau \text{ then } I[\text{false}] \ \tau \text{ else } I[\text{true}] \ \tau)$
<i>textbook-valid</i>	$I[v \ X] \ \tau = (\text{if } I[X] \ \tau = I[\text{OCL-core.bot-class.bot}] \ \tau \text{ then } I[\text{false}] \ \tau \text{ else } I[\text{true}] \ \tau)$

Table 3.2.: Basic predicate definitions of the logic.

3.3. The Equalities of OCL

The OCL contains a particular version of equality, written in Standard documents $_ = _$ and $_ <> _$ for its negation, which is referred as *weak referential equality* hereafter and for which we use the symbol $_ \doteq _$ throughout the formal part of this document. Its semantics is motivated by the desire of fast execution, and similarity to languages like Java and C, but does not satisfy the needs of logical reasoning over OCL expressions and specifications. We therefore introduce a second equality, referred as *strong equality* or *logical equality* and written $_ \triangleq _$ which is not present in the current standard

Name	Theorem
<i>defined1</i>	$\delta \text{ invalid} = \text{false}$
<i>defined2</i>	$\delta \text{ null} = \text{false}$
<i>defined3</i>	$\delta \text{ true} = \text{true}$
<i>defined4</i>	$\delta \text{ false} = \text{true}$
<i>defined5</i>	$\delta \delta ?X = \text{true}$
<i>defined6</i>	$\delta v ?X = \text{true}$

Table 3.3.: Laws of the basic predicates of the logic.

but was discussed in prior texts on OCL like the Amsterdam Manifesto [19] and was identified as desirable extension of OCL in the Aachen Meeting [15] in the future 2.5 OCL Standard. The purpose of strong equality is to define and reason over OCL. It is therefore a natural task in Featherweight OCL to formally investigate the somewhat quite complex relationship between these two.

Strong equality has two motivations: a pragmatic one and a fundamental one.

1. The pragmatic reason is fairly simple: users of object-oriented languages want something like a “shallow object value equality”. You will want to say $a.\text{boss} \triangleq b.\text{boss@pre}$ instead of

$a.\text{boss} \doteq b.\text{boss@pre}$ **and** *(* just the pointers are equal! *)*
 $a.\text{boss.name} \doteq b.\text{boss@pre.name@pre}$ **and**
 $a.\text{boss.age} \doteq b.\text{boss@pre.age@pre}$

Breaking a shallow-object equality down to referential equality of attributes is cumbersome, error-prone, and makes specifications difficult to extend (add for example an attribute *sex* to your class, and check in your OCL specification everywhere that you did it right with your simulation of strong equality). Therefore, languages like Java offer facilities to handle two different equalities, and it is problematic even in an execution oriented specification language to ignore shallow object equality because it is so common in the code.

2. The fundamental reason goes as follows: whatever you do to reason consistently over a language, you need the concept of equality: you need to know what expressions can be replaced by others because they *mean the same thing*. People call this also “Leibniz Equality” because this philosopher brought this principle first explicitly to paper and shed some light over it. It is the theoretic foundation of what you do in an optimizing compiler: you replace expressions by *equal* ones, which you hope are easier to evaluate. In a typed language, strong equality exists uniformly over all types, it is “polymorphic” $_ = _ :: \alpha * \alpha \rightarrow \text{bool}$ —this is the way that equality is defined in HOL itself. We can express Leibniz principle as one logical rule of surprising simplicity and beauty:

$$s = t \implies P(s) = P(t) \tag{3.1}$$

“Whenever we know, that s is equal to t , we can replace the sub-expression s in a term P by t and we have that the replacement is equal to the original.”

While weak referential equality is defined to be strict in the OCL standard, we will define strong equality as non-strict. It is quite nasty (but not impossible) to define the logical equality in a strict way (the substitutivity rule above would look more complex), however, whenever references were used, strong equality is needed since references refer to particular states (pre or post), and that they mean the same thing can therefore not be taken for granted.

3.3.1. Definition

The strict equality on basic types (actually on all types) must be exceptionally defined on *null*—otherwise the entire concept of null in the language does not make much sense. This is an important exception from the general rule that null arguments—especially if passed as “self”-argument—lead to invalid results.

We define strong equality extremely generic, even for types that contain a *null* or \perp element. Strong equality is simply polymorphic in Featherweight OCL, i.e., is defined identical for all types in OCL and HOL.

definition *StrongEq*:: $['\mathfrak{A} \text{ st} \Rightarrow '\alpha, '\mathfrak{A} \text{ st} \Rightarrow '\alpha] \Rightarrow (''\mathfrak{A})\text{Boolean}$ (**infixl** $\triangleq 30$)
where $X \triangleq Y \equiv \lambda \tau. [\![X \tau = Y \tau]\!]$

From this follow already elementary properties like:

lemma [*simp,code-unfold*]: $(\text{true} \triangleq \text{false}) = \text{false}$
by(*rule ext, auto simp: StrongEq-def*)

lemma [*simp,code-unfold*]: $(\text{false} \triangleq \text{true}) = \text{false}$
by(*rule ext, auto simp: StrongEq-def*)

In contrast, referential equality behaves differently for all types—on value types, it is basically strong equality for defined values, but on object types it will compare references—we introduce it as an *overloaded* concept and will handle it for each type instance individually.

consts *StrictRefEq* :: $[(''\mathfrak{A}, 'a)\text{val}, (''\mathfrak{A}, 'a)\text{val}] \Rightarrow (''\mathfrak{A})\text{Boolean}$ (**infixl** $\doteq 30$)

Here is a first instance of a definition of weak equality—for the special case of the type $'\mathfrak{A} \text{ Boolean}$, it is just the strict extension of the logical equality:

defs *StrictRefEqBoolean*[*code-unfold*] :
 $(x :: (''\mathfrak{A})\text{Boolean}) \doteq y \equiv \lambda \tau. \text{if } (v \ x) \ \tau = \text{true} \ \tau \wedge (v \ y) \ \tau = \text{true} \ \tau$
 $\quad \text{then } (x \triangleq y) \ \tau$
 $\quad \text{else invalid } \tau$

which implies elementary properties like:

lemma [*simp,code-unfold*] : $(\text{true} \doteq \text{false}) = \text{false}$
by(*simp add: StrictRefEqBoolean*)

```

lemma [simp,code-unfold] : (false  $\doteq$  true) = false
by(simp add:StrictRefEqBoolean)

lemma [simp,code-unfold] : (invalid  $\doteq$  false) = invalid
by(simp add:StrictRefEqBoolean false-def true-def)
lemma [simp,code-unfold] : (invalid  $\doteq$  true) = invalid
by(simp add:StrictRefEqBoolean false-def true-def)

lemma [simp,code-unfold] : (false  $\doteq$  invalid) = invalid
by(simp add:StrictRefEqBoolean false-def true-def)
lemma [simp,code-unfold] : (true  $\doteq$  invalid) = invalid
by(simp add:StrictRefEqBoolean false-def true-def)

lemma [simp,code-unfold] : ((invalid::('A) Boolean)  $\doteq$  invalid) = invalid
by(simp add:StrictRefEqBoolean false-def true-def)

```

Thus, the weak equality is *not* reflexive.

```

lemma null-non-false [simp,code-unfold]:(null  $\doteq$  false) = false
apply(rule ext, simp add: StrictRefEqBoolean StrongEq-def false-def)
by (metis OCL-core.drop.simps cp-valid false-def is-none-code(2) is-none-def valid4
    bot-option-def null-fun-def null-option-def)

```

```

lemma null-non-true [simp,code-unfold]:(null  $\doteq$  true) = false
apply(rule ext, simp add: StrictRefEqBoolean StrongEq-def false-def)
by(simp add: true-def bot-option-def null-fun-def null-option-def)

```

```

lemma false-non-null [simp,code-unfold]:(false  $\doteq$  null) = false
apply(rule ext, simp add: StrictRefEqBoolean StrongEq-def false-def)
by (metis OCL-core.drop.simps cp-valid false-def is-none-code(2) is-none-def valid4
    bot-option-def null-fun-def null-option-def )

```

```

lemma true-non-null [simp,code-unfold]:(true  $\doteq$  null) = false
apply(rule ext, simp add: StrictRefEqBoolean StrongEq-def false-def)
by(simp add: true-def bot-option-def null-fun-def null-option-def)

```

3.3.2. Fundamental Predicates on Strong Equality

Equality reasoning in OCL is not humpty dumpty. While strong equality is clearly an equivalence:

```

lemma StrongEq-refl [simp]: (X  $\triangleq$  X) = true
by(rule ext, simp add: null-def invalid-def true-def false-def StrongEq-def)

```

```

lemma StrongEq-sym: (X  $\triangleq$  Y) = (Y  $\triangleq$  X)
by(rule ext, simp add: eq-sym-conv invalid-def true-def false-def StrongEq-def)

```

```

lemma StrongEq-trans-strong [simp]:
  assumes A: (X  $\triangleq$  Y) = true
  and B: (Y  $\triangleq$  Z) = true
  shows (X  $\triangleq$  Z) = true

```

```

apply(insert A B) apply(rule ext)
apply(simp add: null-def invalid-def true-def false-def StrongEq-def)
apply(drule-tac x=x in fun-cong)+
by auto

```

it is only in a limited sense a congruence, at least from the point of view of this semantic theory. The point is that it is only a congruence on OCL expressions, not arbitrary HOL expressions (with which we can mix Featherweight OCL expressions). A semantic—not syntactic—characterization of OCL expressions is that they are *context-passing* or *context-invariant*, i. e., the context of an entire OCL expression, i. e. the pre and post state it refers to, is passed constantly and unmodified to the sub-expressions, i. e., all sub-expressions inside an OCL expression refer to the same context. Expressed formally, this boils down to:

```

lemma StrongEq-subst :
  assumes cp:  $\bigwedge X. P(X)\tau = P(\lambda -. X \tau)\tau$ 
  and    eq:  $(X \triangleq Y)\tau = \text{true } \tau$ 
  shows   $(P X \triangleq P Y)\tau = \text{true } \tau$ 
apply(insert cp eq)
apply(simp add: null-def invalid-def true-def false-def StrongEq-def)
apply(subst cp[of X])
apply(subst cp[of Y])
by simp

```

```

lemma defined7[simp]:  $\delta (X \triangleq Y) = \text{true}$ 
by(rule ext,
    auto simp: defined-def true-def false-def StrongEq-def
    bot-fun-def bot-option-def null-option-def null-fun-def)

```

```

lemma valid7[simp]:  $v (X \triangleq Y) = \text{true}$ 
by(rule ext,
    auto simp: valid-def true-def false-def StrongEq-def
    bot-fun-def bot-option-def null-option-def null-fun-def)

```

```

lemma cp-StrongEq:  $(X \triangleq Y) \tau = ((\lambda -. X \tau) \triangleq (\lambda -. Y \tau)) \tau$ 
by(simp add: StrongEq-def)

```

3.4. Logical Connectives and their Universal Properties

It is a design goal to give OCL a semantics that is as closely as possible to a “logical system” in a known sense; a specification logic where the logical connectives can not be understood other than having the truth-table aside when reading fails its purpose in our view.

Practically, this means that we want to give a definition to the core operations to be as close as possible to the lattice laws; this makes also powerful symbolic normalization of OCL specifications possible as a pre-requisite for automated theorem provers. For example, it is still possible to compute without any definedness and validity reasoning the DNF of an OCL specification; be it for test-case generations or for a smooth transition to

a two-valued representation of the specification amenable to fast standard SMT-solvers, for example.

Thus, our representation of the OCL is merely a 4-valued Kleene-Logics with *invalid* as least, *null* as middle and *true* resp. *false* as unrelated top-elements.

definition *OclNot* :: (\mathcal{A})Boolean \Rightarrow (\mathcal{A})Boolean (*not*)

where *not* $X \equiv \lambda \tau . \text{case } X \text{ } \tau \text{ of}$

$$\begin{array}{lcl} \perp & \Rightarrow & \perp \\ | \lfloor \perp \rfloor & \Rightarrow & \lfloor \perp \rfloor \\ | \lfloor \lfloor x \rfloor \rfloor & \Rightarrow & \lfloor \lfloor \neg x \rfloor \rfloor \end{array}$$

with term "not" we can express the notation:

syntax

notequal :: (\mathcal{A})Boolean \Rightarrow (\mathcal{A})Boolean \Rightarrow (\mathcal{A})Boolean (**infix** <> 40)

translations

$a <> b == \text{CONST } \text{OclNot}(a \doteq b)$

lemma *cp-OclNot*: (*not* X) $\tau = (\text{not } (\lambda \neg. X \tau)) \tau$

by(*simp add: OclNot-def*)

lemma *OclNot1*[*simp*]: *not invalid = invalid*

by(*rule ext, simp add: OclNot-def null-def invalid-def true-def false-def bot-option-def*)

lemma *OclNot2*[*simp*]: *not null = null*

by(*rule ext, simp add: OclNot-def null-def invalid-def true-def false-def bot-option-def null-fun-def null-option-def*)

lemma *OclNot3*[*simp*]: *not true = false*

by(*rule ext, simp add: OclNot-def null-def invalid-def true-def false-def*)

lemma *OclNot4*[*simp*]: *not false = true*

by(*rule ext, simp add: OclNot-def null-def invalid-def true-def false-def*)

lemma *OclNot-not*[*simp*]: *not (not X) = X*

apply(*rule ext, simp add: OclNot-def null-def invalid-def true-def false-def*)

apply(*case-tac X x, simp-all*)

apply(*case-tac a, simp-all*)

done

lemma *OclNot-inject*: $\bigwedge x y. \text{not } x = \text{not } y \implies x = y$

by(*subst OclNot-not[THEN sym], simp*)

definition *OclAnd* :: [(\mathcal{A})Boolean, (\mathcal{A})Boolean] \Rightarrow (\mathcal{A})Boolean (**infixl** and 30)

where $X \text{ and } Y \equiv (\lambda \tau . \text{case } X \text{ } \tau \text{ of}$

$$\begin{array}{lcl} \lfloor \lfloor \text{False} \rfloor \rfloor & \Rightarrow & \lfloor \lfloor \text{False} \rfloor \rfloor \\ | \perp & \Rightarrow & (\text{case } Y \text{ } \tau \text{ of} \\ & & \lfloor \lfloor \text{False} \rfloor \rfloor \Rightarrow \lfloor \lfloor \text{False} \rfloor \rfloor) \end{array}$$

$$\begin{array}{lcl}
& | - & \Rightarrow \perp \\
| \lfloor \perp \rfloor & \Rightarrow (case\ Y\ \tau\ of & \\
& | \lfloor False \rfloor & \Rightarrow \lfloor \lfloor False \rfloor \rfloor \\
& | \perp & \Rightarrow \perp \\
& | - & \Rightarrow \lfloor \perp \rfloor) \\
| \lfloor \lfloor True \rfloor \rfloor & \Rightarrow & Y\ \tau)
\end{array}$$

Note that *not* is *not* defined as a strict function; proximity to lattice laws implies that we *need* a definition of *not* that satisfies $not(not(x))=x$.

In textbook notation, the logical core constructs *not* and *op and* were represented as follows:

lemma *textbook-OclNot*:

$$\begin{array}{lcl}
I\llbracket not(X) \rrbracket\ \tau = & (case\ I\llbracket X \rrbracket\ \tau\ of & \perp \Rightarrow \perp \\
& | \lfloor \perp \rfloor & \Rightarrow \lfloor \perp \rfloor \\
& | \lfloor \lfloor x \rfloor \rfloor & \Rightarrow \lfloor \lfloor \neg x \rfloor \rfloor)
\end{array}$$

by(*simp add: Sem-def OclNot-def*)

lemma *textbook-OclAnd*:

$$\begin{array}{lcl}
I\llbracket X\ and\ Y \rrbracket\ \tau = & (case\ I\llbracket X \rrbracket\ \tau\ of & \\
& \perp \Rightarrow & (case\ I\llbracket Y \rrbracket\ \tau\ of \\
& & \perp \Rightarrow \perp \\
& & | \lfloor \perp \rfloor \Rightarrow \perp \\
& & | \lfloor \lfloor True \rfloor \rfloor \Rightarrow \perp \\
& & | \lfloor \lfloor False \rfloor \rfloor \Rightarrow \lfloor \lfloor False \rfloor \rfloor) \\
| \lfloor \perp \rfloor \Rightarrow & (case\ I\llbracket Y \rrbracket\ \tau\ of & \\
& \perp \Rightarrow \perp & \\
& | \lfloor \perp \rfloor \Rightarrow \lfloor \perp \rfloor & \\
& | \lfloor \lfloor True \rfloor \rfloor \Rightarrow \lfloor \perp \rfloor & \\
& | \lfloor \lfloor False \rfloor \rfloor \Rightarrow \lfloor \lfloor False \rfloor \rfloor & \\
| \lfloor \lfloor True \rfloor \rfloor \Rightarrow & (case\ I\llbracket Y \rrbracket\ \tau\ of & \\
& \perp \Rightarrow \perp & \\
& | \lfloor \perp \rfloor \Rightarrow \lfloor \perp \rfloor & \\
& | \lfloor \lfloor y \rfloor \rfloor \Rightarrow \lfloor \lfloor y \rfloor \rfloor & \\
| \lfloor \lfloor False \rfloor \rfloor \Rightarrow & \lfloor \lfloor False \rfloor \rfloor &)
\end{array}$$

by(*simp add: OclAnd-def Sem-def split: option.split bool.split*)

definition *OclOr* :: $[('A)Boolean, ('A)Boolean] \Rightarrow ('A)Boolean$ (**infixl** or 25)

where $X\ or\ Y \equiv not(not\ X\ and\ not\ Y)$

definition *OclImplies* :: $[('A)Boolean, ('A)Boolean] \Rightarrow ('A)Boolean$ (**infixl** implies 25)

where $X\ implies\ Y \equiv not\ X\ or\ Y$

lemma *cp-OclAnd*: $(X\ and\ Y)\ \tau = ((\lambda\ -. X\ \tau)\ and\ (\lambda\ -. Y\ \tau))\ \tau$

by(*simp add: OclAnd-def*)

lemma *cp-OclOr*: $((X::('A)Boolean)\ or\ Y)\ \tau = ((\lambda\ -. X\ \tau)\ or\ (\lambda\ -. Y\ \tau))\ \tau$

apply(*simp add: OclOr-def*)

apply(*subst cp-OclNot[of not $(\lambda\ -. X\ \tau)$ and not $(\lambda\ -. Y\ \tau)$]*)

apply(*subst cp-OclAnd[of not $(\lambda\ -. X\ \tau)$ not $(\lambda\ -. Y\ \tau)$]*)

by(simp add: cp-OclNot[symmetric] cp-OclAnd[symmetric])

lemma cp-OclImplies:(X implies Y) $\tau = ((\lambda \cdot. X \tau)$ implies $(\lambda \cdot. Y \tau)) \tau$

apply(simp add: OclImplies-def)

apply(subst cp-OclOr[of not $(\lambda \cdot. X \tau)$ $(\lambda \cdot. Y \tau)$])

by(simp add: cp-OclNot[symmetric] cp-OclOr[symmetric])

lemma OclAnd1[simp]: (*invalid and true*) = *invalid*

by(rule ext,simp add: OclAnd-def null-def invalid-def true-def false-def bot-option-def)

lemma OclAnd2[simp]: (*invalid and false*) = *false*

by(rule ext,simp add: OclAnd-def null-def invalid-def true-def false-def bot-option-def)

lemma OclAnd3[simp]: (*invalid and null*) = *invalid*

by(rule ext,simp add: OclAnd-def null-def invalid-def true-def false-def bot-option-def
null-fun-def null-option-def)

lemma OclAnd4[simp]: (*invalid and invalid*) = *invalid*

by(rule ext,simp add: OclAnd-def null-def invalid-def true-def false-def bot-option-def)

lemma OclAnd5[simp]: (*null and true*) = *null*

by(rule ext,simp add: OclAnd-def null-def invalid-def true-def false-def bot-option-def
null-fun-def null-option-def)

lemma OclAnd6[simp]: (*null and false*) = *false*

by(rule ext,simp add: OclAnd-def null-def invalid-def true-def false-def bot-option-def
null-fun-def null-option-def)

lemma OclAnd7[simp]: (*null and null*) = *null*

by(rule ext,simp add: OclAnd-def null-def invalid-def true-def false-def bot-option-def
null-fun-def null-option-def)

lemma OclAnd8[simp]: (*null and invalid*) = *invalid*

by(rule ext,simp add: OclAnd-def null-def invalid-def true-def false-def bot-option-def
null-fun-def null-option-def)

lemma OclAnd9[simp]: (*false and true*) = *false*

by(rule ext,simp add: OclAnd-def null-def invalid-def true-def false-def)

lemma OclAnd10[simp]: (*false and false*) = *false*

by(rule ext,simp add: OclAnd-def null-def invalid-def true-def false-def)

lemma OclAnd11[simp]: (*false and null*) = *false*

by(rule ext,simp add: OclAnd-def null-def invalid-def true-def false-def)

lemma OclAnd12[simp]: (*false and invalid*) = *false*

by(rule ext,simp add: OclAnd-def null-def invalid-def true-def false-def)

lemma OclAnd13[simp]: (*true and true*) = *true*

by(rule ext,simp add: OclAnd-def null-def invalid-def true-def false-def)

lemma OclAnd14[simp]: (*true and false*) = *false*

by(rule ext,simp add: OclAnd-def null-def invalid-def true-def false-def)

lemma OclAnd15[simp]: (*true and null*) = *null*

by(rule ext,simp add: OclAnd-def null-def invalid-def true-def false-def bot-option-def
null-fun-def null-option-def)

lemma OclAnd16[simp]: (*true and invalid*) = *invalid*

by(rule ext,simp add: OclAnd-def null-def invalid-def true-def false-def bot-option-def)

null-fun-def null-option-def)

lemma *OclAnd-idem*[simp]: $(X \text{ and } X) = X$
apply(*rule ext*, *simp add: OclAnd-def null-def invalid-def true-def false-def*)
apply(*case-tac X x*, *simp-all*)
apply(*case-tac a*, *simp-all*)
apply(*case-tac aa*, *simp-all*)
done

lemma *OclAnd-commute*: $(X \text{ and } Y) = (Y \text{ and } X)$
by(*rule ext*, *auto simp: true-def false-def OclAnd-def invalid-def*
split: option.split option.split-asm
bool.split bool.split-asm)

lemma *OclAnd-false1*[simp]: $(\text{false and } X) = \text{false}$
apply(*rule ext*, *simp add: OclAnd-def*)
apply(*auto simp: true-def false-def invalid-def*
split: option.split option.split-asm)
done

lemma *OclAnd-false2*[simp]: $(X \text{ and false}) = \text{false}$
by(*simp add: OclAnd-commute*)

lemma *OclAnd-true1*[simp]: $(\text{true and } X) = X$
apply(*rule ext*, *simp add: OclAnd-def*)
apply(*auto simp: true-def false-def invalid-def*
split: option.split option.split-asm)
done

lemma *OclAnd-true2*[simp]: $(X \text{ and true}) = X$
by(*simp add: OclAnd-commute*)

lemma *OclAnd-bot1*[simp]: $\bigwedge \tau. X \ \tau \neq \text{false } \tau \implies (\text{bot and } X) \ \tau = \text{bot } \tau$
apply(*simp add: OclAnd-def*)
apply(*auto simp: true-def false-def bot-fun-def bot-option-def*
split: option.split option.split-asm)
done

lemma *OclAnd-bot2*[simp]: $\bigwedge \tau. X \ \tau \neq \text{false } \tau \implies (X \text{ and bot}) \ \tau = \text{bot } \tau$
by(*simp add: OclAnd-commute*)

lemma *OclAnd-null1*[simp]: $\bigwedge \tau. X \ \tau \neq \text{false } \tau \implies X \ \tau \neq \text{bot } \tau \implies (\text{null and } X) \ \tau = \text{null } \tau$
apply(*simp add: OclAnd-def*)
apply(*auto simp: true-def false-def bot-fun-def bot-option-def null-fun-def null-option-def*
split: option.split option.split-asm)
done

lemma *OclAnd-null2[simp]*: $\bigwedge \tau. X \ \tau \neq \text{false} \ \tau \implies X \ \tau \neq \text{bot} \ \tau \implies (X \text{ and } \text{null}) \ \tau = \text{null} \ \tau$
by(*simp add: OclAnd-commute*)

lemma *OclAnd-assoc*: $(X \text{ and } (Y \text{ and } Z)) = (X \text{ and } Y \text{ and } Z)$
apply(*rule ext, simp add: OclAnd-def*)
apply(*auto simp:true-def false-def null-def invalid-def*
split: option.split option.split-asm
bool.split bool.split-asm)

done

lemma *OclOr1[simp]*: $(\text{invalid or true}) = \text{true}$
by(*rule ext, simp add: OclOr-def OclNot-def OclAnd-def null-def invalid-def true-def false-def*
bot-option-def)

lemma *OclOr2[simp]*: $(\text{invalid or false}) = \text{invalid}$
by(*rule ext, simp add: OclOr-def OclNot-def OclAnd-def null-def invalid-def true-def false-def*
bot-option-def)

lemma *OclOr3[simp]*: $(\text{invalid or null}) = \text{invalid}$
by(*rule ext, simp add: OclOr-def OclNot-def OclAnd-def null-def invalid-def true-def false-def*
bot-option-def null-fun-def null-option-def)

lemma *OclOr4[simp]*: $(\text{invalid or invalid}) = \text{invalid}$
by(*rule ext, simp add: OclOr-def OclNot-def OclAnd-def null-def invalid-def true-def false-def*
bot-option-def)

lemma *OclOr5[simp]*: $(\text{null or true}) = \text{true}$
by(*rule ext, simp add: OclOr-def OclNot-def OclAnd-def null-def invalid-def true-def false-def*
bot-option-def null-fun-def null-option-def)

lemma *OclOr6[simp]*: $(\text{null or false}) = \text{null}$
by(*rule ext, simp add: OclOr-def OclNot-def OclAnd-def null-def invalid-def true-def false-def*
bot-option-def null-fun-def null-option-def)

lemma *OclOr7[simp]*: $(\text{null or null}) = \text{null}$
by(*rule ext, simp add: OclOr-def OclNot-def OclAnd-def null-def invalid-def true-def false-def*
bot-option-def null-fun-def null-option-def)

lemma *OclOr8[simp]*: $(\text{null or invalid}) = \text{invalid}$
by(*rule ext, simp add: OclOr-def OclNot-def OclAnd-def null-def invalid-def true-def false-def*
bot-option-def null-fun-def null-option-def)

lemma *OclOr-idem[simp]*: $(X \text{ or } X) = X$
by(*simp add: OclOr-def*)

lemma *OclOr-commute*: $(X \text{ or } Y) = (Y \text{ or } X)$
by(*simp add: OclOr-def OclAnd-commute*)

lemma *OclOr-false1[simp]*: $(\text{false or } Y) = Y$
by(*simp add: OclOr-def*)

lemma *OclOr-false2[simp]*: $(Y \text{ or false}) = Y$
by(*simp add: OclOr-def*)

```

lemma OclOr-true1[simp]: (true or Y) = true
  by(simp add: OclOr-def)

lemma OclOr-true2: (Y or true) = true
  by(simp add: OclOr-def)

lemma OclOr-bot1[simp]:  $\bigwedge \tau. X \ \tau \neq \text{true} \ \tau \implies (\text{bot or } X) \ \tau = \text{bot } \tau$ 
  apply(simp add: OclOr-def OclAnd-def OclNot-def)
  apply(auto simp:true-def false-def bot-fun-def bot-option-def
    split: option.split option.split-asm)
done

lemma OclOr-bot2[simp]:  $\bigwedge \tau. X \ \tau \neq \text{true} \ \tau \implies (X \text{ or bot}) \ \tau = \text{bot } \tau$ 
  by(simp add: OclOr-commute)

lemma OclOr-null1[simp]:  $\bigwedge \tau. X \ \tau \neq \text{true} \ \tau \implies X \ \tau \neq \text{bot } \tau \implies (\text{null or } X) \ \tau = \text{null } \tau$ 
  apply(simp add: OclOr-def OclAnd-def OclNot-def)
  apply(auto simp:true-def false-def bot-fun-def bot-option-def null-fun-def null-option-def
    split: option.split option.split-asm)
  apply (metis (full-types) bool.simps(3) bot-option-def null-is-valid null-option-def)
by (metis (full-types) bool.simps(3) option.distinct(1) the.simps)

lemma OclOr-null2[simp]:  $\bigwedge \tau. X \ \tau \neq \text{true} \ \tau \implies X \ \tau \neq \text{bot } \tau \implies (X \text{ or null}) \ \tau = \text{null } \tau$ 
  by(simp add: OclOr-commute)

lemma OclOr-assoc: (X or (Y or Z)) = (X or Y or Z)
  by(simp add: OclOr-def OclAnd-assoc)

lemma OclImplies-true: (X implies true) = true
  by (simp add: OclImplies-def OclOr-true2)

lemma deMorgan1: not(X and Y) = ((not X) or (not Y))
  by(simp add: OclOr-def)

lemma deMorgan2: not(X or Y) = ((not X) and (not Y))
  by(simp add: OclOr-def)

```

3.5. A Standard Logical Calculus for OCL

```

definition OclValid :: [ $(\mathfrak{A})st, (\mathfrak{A})Boolean$ ]  $\Rightarrow$  bool (( $1(-)/ \models (-)$ ) 50)
where  $\tau \models P \equiv ((P \ \tau) = \text{true } \tau)$ 

```

```

value  $\tau \models \text{true} <> \text{false}$ 
value  $\tau \models \text{false} <> \text{true}$ 

```

3.5.1. Global vs. Local Judgements

```

lemma transform1:  $P = \text{true} \implies \tau \models P$ 
by(simp add: OclValid-def)

```

```

lemma transform1-rev:  $\forall \tau. \tau \models P \implies P = \text{true}$ 
by(rule ext, auto simp: OclValid-def true-def)

lemma transform2:  $(P = Q) \implies ((\tau \models P) = (\tau \models Q))$ 
by(auto simp: OclValid-def)

lemma transform2-rev:  $\forall \tau. (\tau \models \delta P) \wedge (\tau \models \delta Q) \wedge (\tau \models P) = (\tau \models Q) \implies P = Q$ 
apply(rule ext, auto simp: OclValid-def true-def defined-def)
apply(erule-tac x=a in allE)
apply(erule-tac x=b in allE)
apply(auto simp: false-def true-def defined-def bot-Boolean-def null-Boolean-def
  split: option.split-asm HOL.split-if-asm)
done

```

However, certain properties (like transitivity) can not be *transformed* from the global level to the local one, they have to be re-proven on the local level.

```

lemma
assumes H :  $P = \text{true} \implies Q = \text{true}$ 
shows  $\tau \models P \implies \tau \models Q$ 
apply(simp add: OclValid-def)
apply(rule H[THEN fun-cong])
apply(rule ext)
oops

```

3.5.2. Local Validity and Meta-logic

```

lemma foundation1[simp]:  $\tau \models \text{true}$ 
by(auto simp: OclValid-def)

lemma foundation2[simp]:  $\neg(\tau \models \text{false})$ 
by(auto simp: OclValid-def true-def false-def)

lemma foundation3[simp]:  $\neg(\tau \models \text{invalid})$ 
by(auto simp: OclValid-def true-def false-def invalid-def bot-option-def)

lemma foundation4[simp]:  $\neg(\tau \models \text{null})$ 
by(auto simp: OclValid-def true-def false-def null-def null-fun-def null-option-def bot-option-def)

lemma bool-split-local[simp]:
 $(\tau \models (x \triangleq \text{invalid})) \vee (\tau \models (x \triangleq \text{null})) \vee (\tau \models (x \triangleq \text{true})) \vee (\tau \models (x \triangleq \text{false}))$ 
apply(insert bool-split[of x  $\tau$ ], auto)
apply(simp-all add: OclValid-def StrongEq-def true-def null-def invalid-def)
done

lemma def-split-local:
 $(\tau \models \delta x) = ((\neg(\tau \models (x \triangleq \text{invalid}))) \wedge (\neg(\tau \models (x \triangleq \text{null}))))$ 
by(simp add: defined-def true-def false-def invalid-def null-def)

```

StrongEq-def OclValid-def bot-fun-def null-fun-def)

lemma *foundation5*:

$\tau \models (P \text{ and } Q) \implies (\tau \models P) \wedge (\tau \models Q)$

by(*simp add: OclAnd-def OclValid-def true-def false-def defined-def*
split: option.split option.split-asm bool.split bool.split-asm)

lemma *foundation6*:

$\tau \models P \implies \tau \models \delta P$

by(*simp add: OclNot-def OclValid-def true-def false-def defined-def*
null-option-def null-fun-def bot-option-def bot-fun-def
split: option.split option.split-asm)

lemma *foundation7*[*simp*]:

$(\tau \models \text{not } (\delta x)) = (\neg (\tau \models \delta x))$

by(*simp add: OclNot-def OclValid-def true-def false-def defined-def*
split: option.split option.split-asm)

lemma *foundation7'*[*simp*]:

$(\tau \models \text{not } (v x)) = (\neg (\tau \models v x))$

by(*simp add: OclNot-def OclValid-def true-def false-def valid-def*
split: option.split option.split-asm)

Key theorem for the δ -closure: either an expression is defined, or it can be replaced (substituted via *StrongEq-L-subst2*; see below) by *invalid* or *null*. Strictness-reduction rules will usually reduce these substituted terms drastically.

lemma *foundation8*:

$(\tau \models \delta x) \vee (\tau \models (x \triangleq \text{invalid})) \vee (\tau \models (x \triangleq \text{null}))$

proof –

have 1 : $(\tau \models \delta x) \vee (\neg(\tau \models \delta x))$ **by** *auto*

have 2 : $(\neg(\tau \models \delta x)) = ((\tau \models (x \triangleq \text{invalid})) \vee (\tau \models (x \triangleq \text{null})))$

by(*simp only: def-split-local, simp*)

show *?thesis* **by**(*insert 1, simp add:2*)

qed

lemma *foundation9*:

$\tau \models \delta x \implies (\tau \models \text{not } x) = (\neg (\tau \models x))$

apply(*simp add: def-split-local*)

by(*auto simp: OclNot-def null-fun-def null-option-def bot-option-def*
OclValid-def invalid-def true-def null-def StrongEq-def)

lemma *foundation10*:

$\tau \models \delta x \implies \tau \models \delta y \implies (\tau \models (x \text{ and } y)) = ((\tau \models x) \wedge (\tau \models y))$

apply(*simp add: def-split-local*)

by(*auto simp: OclAnd-def OclValid-def invalid-def*
true-def null-def StrongEq-def null-fun-def null-option-def bot-option-def
split:bool.split-asm)

lemma *foundation11*:

$\tau \models \delta x \implies \tau \models \delta y \implies (\tau \models (x \text{ or } y)) = ((\tau \models x) \vee (\tau \models y))$
apply(*simp add: def-split-local*)
by(*auto simp: OclNot-def OclOr-def OclAnd-def OclValid-def invalid-def*
true-def null-def StrongEq-def null-fun-def null-option-def bot-option-def
split:bool.split-asm bool.split)

lemma *foundation12*:

$\tau \models \delta x \implies \tau \models \delta y \implies (\tau \models (x \text{ implies } y)) = ((\tau \models x) \longrightarrow (\tau \models y))$
apply(*simp add: def-split-local*)
by(*auto simp: OclNot-def OclOr-def OclAnd-def OclImplies-def bot-option-def*
OclValid-def invalid-def true-def null-def StrongEq-def null-fun-def null-option-def
split:bool.split-asm bool.split)

lemma *foundation13*: $(\tau \models A \triangleq \text{true}) = (\tau \models A)$

by(*auto simp: OclNot-def OclValid-def invalid-def true-def null-def StrongEq-def*
split:bool.split-asm bool.split)

lemma *foundation14*: $(\tau \models A \triangleq \text{false}) = (\tau \models \text{not } A)$

by(*auto simp: OclNot-def OclValid-def invalid-def false-def true-def null-def StrongEq-def*
split:bool.split-asm bool.split option.split)

lemma *foundation15*: $(\tau \models A \triangleq \text{invalid}) = (\tau \models \text{not } (\nu A))$

by(*auto simp: OclNot-def OclValid-def valid-def invalid-def false-def true-def null-def*
StrongEq-def bot-option-def null-fun-def null-option-def bot-option-def bot-fun-def
split:bool.split-asm bool.split option.split)

lemma *foundation16*: $\tau \models (\delta X) = (X \tau \neq \text{bot} \wedge X \tau \neq \text{null})$

by(*auto simp: OclValid-def defined-def false-def true-def bot-fun-def null-fun-def*
split:split-if-asm)

lemma *foundation16'*: $(\tau \models (\delta X)) = (X \tau \neq \text{invalid } \tau \wedge X \tau \neq \text{null } \tau)$

apply(*simp add:invalid-def null-def null-fun-def*)
by(*auto simp: OclValid-def defined-def false-def true-def bot-fun-def null-fun-def*
split:split-if-asm)

lemmas *foundation17* = *foundation16*[*THEN iffD1,standard*]

lemmas *foundation17'* = *foundation16'*[*THEN iffD1,standard*]

lemma *foundation18*: $\tau \models (\nu X) = (X \tau \neq \text{invalid } \tau)$

by(*auto simp: OclValid-def valid-def false-def true-def bot-fun-def invalid-def*

split:split-if-asm)

lemma *foundation18'*: $\tau \models (v\ X) = (X\ \tau \neq \text{bot})$
by(*auto simp: OclValid-def valid-def false-def true-def bot-fun-def*
split:split-if-asm)

lemmas *foundation19* = *foundation18*[*THEN iffD1,standard*]

lemma *foundation20* : $\tau \models (\delta\ X) \implies \tau \models v\ X$
by(*simp add: foundation18 foundation16 invalid-def*)

lemma *foundation21*: $(\text{not}\ A \triangleq \text{not}\ B) = (A \triangleq B)$
by(*rule ext, auto simp: OclNot-def StrongEq-def*
split: bool.split-asm HOL.split-if-asm option.split)

lemma *foundation22*: $(\tau \models (X \triangleq Y)) = (X\ \tau = Y\ \tau)$
by(*auto simp: StrongEq-def OclValid-def true-def*)

lemma *foundation23*: $(\tau \models P) = (\tau \models (\lambda\ \cdot\ .\ P\ \tau))$
by(*auto simp: OclValid-def true-def*)

lemmas *cp-validity=foundation23*

lemma *foundation24*: $(\tau \models \text{not}(X \triangleq Y)) = (X\ \tau \neq Y\ \tau)$
by(*simp add: StrongEq-def OclValid-def OclNot-def true-def*)

lemma *defined-not-I* : $\tau \models \delta\ (x) \implies \tau \models \delta\ (\text{not}\ x)$
by(*auto simp: OclNot-def null-def invalid-def defined-def valid-def OclValid-def*
true-def false-def bot-option-def null-option-def null-fun-def bot-fun-def
split: option.split-asm HOL.split-if-asm)

lemma *valid-not-I* : $\tau \models v\ (x) \implies \tau \models v\ (\text{not}\ x)$
by(*auto simp: OclNot-def null-def invalid-def defined-def valid-def OclValid-def*
true-def false-def bot-option-def null-option-def null-fun-def bot-fun-def
split: option.split-asm option.split HOL.split-if-asm)

lemma *defined-and-I* : $\tau \models \delta\ (x) \implies \tau \models \delta\ (y) \implies \tau \models \delta\ (x\ \text{and}\ y)$
apply(*simp add: OclAnd-def null-def invalid-def defined-def valid-def OclValid-def*
true-def false-def bot-option-def null-option-def null-fun-def bot-fun-def
split: option.split-asm HOL.split-if-asm)
apply(*auto simp: null-option-def split: bool.split*)
by(*case-tac ya,simp-all*)

lemma *valid-and-I* : $\tau \models v\ (x) \implies \tau \models v\ (y) \implies \tau \models v\ (x\ \text{and}\ y)$
apply(*simp add: OclAnd-def null-def invalid-def defined-def valid-def OclValid-def*
true-def false-def bot-option-def null-option-def null-fun-def bot-fun-def)

split: *option.split-asm HOL.split-if-asm*)
by(*auto simp*: *null-option-def split*: *option.split bool.split*)

3.5.3. Local Judgements and Strong Equality

lemma *StrongEq-L-refl*: $\tau \models (x \triangleq x)$
by(*simp add*: *OclValid-def StrongEq-def*)

lemma *StrongEq-L-sym*: $\tau \models (x \triangleq y) \implies \tau \models (y \triangleq x)$
by(*simp add*: *StrongEq-sym*)

lemma *StrongEq-L-trans*: $\tau \models (x \triangleq y) \implies \tau \models (y \triangleq z) \implies \tau \models (x \triangleq z)$
by(*simp add*: *OclValid-def StrongEq-def true-def*)

In order to establish substitutivity (which does not hold in general HOL formulas) we introduce the following predicate that allows for a calculus of the necessary side-conditions.

definition *cp* :: $((\mathfrak{A}, \alpha) \text{ val} \implies (\mathfrak{A}, \beta) \text{ val}) \implies \text{bool}$
where $\text{cp } P \equiv (\exists f. \forall X \tau. P X \tau = f (X \tau) \tau)$

The rule of substitutivity in Featherweight OCL holds only for context-passing expressions, i. e. those that pass the context τ without changing it. Fortunately, all operators of the OCL language satisfy this property (but not all HOL operators).

lemma *StrongEq-L-subst1*: $\bigwedge \tau. \text{cp } P \implies \tau \models (x \triangleq y) \implies \tau \models (P x \triangleq P y)$
by(*auto simp*: *OclValid-def StrongEq-def true-def cp-def*)

lemma *StrongEq-L-subst2*:
 $\bigwedge \tau. \text{cp } P \implies \tau \models (x \triangleq y) \implies \tau \models (P x) \implies \tau \models (P y)$
by(*auto simp*: *OclValid-def StrongEq-def true-def cp-def*)

lemma *StrongEq-L-subst2-rev*: $\tau \models y \triangleq x \implies \text{cp } P \implies \tau \models P x \implies \tau \models P y$
apply(*erule StrongEq-L-subst2*)
apply(*erule StrongEq-L-sym*)
by *assumption*

lemma *StrongEq-L-subst3*:
assumes *cp*: *cp P*
and *eq*: $\tau \models x \triangleq y$
shows $(\tau \models P x) = (\tau \models P y)$
apply(*rule iffI*)
apply(*rule OCL-core.StrongEq-L-subst2*[*OF cp, OF eq*], *simp*)
apply(*rule OCL-core.StrongEq-L-subst2*[*OF cp, OF eq*][*THEN StrongEq-L-sym*], *simp*)
done

lemma *cpI1*:
 $(\forall X \tau. f X \tau = f(\lambda \cdot. X \tau) \tau) \implies \text{cp } P \implies \text{cp}(\lambda X. f (P X))$
apply(*auto simp*: *true-def cp-def*)

apply(*rule exI*, (*rule allI*)₊)
by(*erule-tac* $x=P\ X$ **in** *allE*, *auto*)

lemma *cpI2*:
 $(\forall\ X\ Y\ \tau. f\ X\ Y\ \tau = f(\lambda\cdot. X\ \tau)(\lambda\cdot. Y\ \tau)\ \tau) \implies$
 $cp\ P \implies cp\ Q \implies cp(\lambda X. f\ (P\ X)\ (Q\ X))$
apply(*auto simp: true-def cp-def*)
apply(*rule exI*, (*rule allI*)₊)
by(*erule-tac* $x=P\ X$ **in** *allE*, *auto*)

lemma *cpI3*:
 $(\forall\ X\ Y\ Z\ \tau. f\ X\ Y\ Z\ \tau = f(\lambda\cdot. X\ \tau)(\lambda\cdot. Y\ \tau)(\lambda\cdot. Z\ \tau)\ \tau) \implies$
 $cp\ P \implies cp\ Q \implies cp\ R \implies cp(\lambda X. f\ (P\ X)\ (Q\ X)\ (R\ X))$
apply(*auto simp: cp-def*)
apply(*rule exI*, (*rule allI*)₊)
by(*erule-tac* $x=P\ X$ **in** *allE*, *auto*)

lemma *cpI4*:
 $(\forall\ W\ X\ Y\ Z\ \tau. f\ W\ X\ Y\ Z\ \tau = f(\lambda\cdot. W\ \tau)(\lambda\cdot. X\ \tau)(\lambda\cdot. Y\ \tau)(\lambda\cdot. Z\ \tau)\ \tau) \implies$
 $cp\ P \implies cp\ Q \implies cp\ R \implies cp\ S \implies cp(\lambda X. f\ (P\ X)\ (Q\ X)\ (R\ X)\ (S\ X))$
apply(*auto simp: cp-def*)
apply(*rule exI*, (*rule allI*)₊)
by(*erule-tac* $x=P\ X$ **in** *allE*, *auto*)

lemma *cp-const* : $cp(\lambda\cdot. c)$
by (*simp add: cp-def, fast*)

lemma *cp-id* : $cp(\lambda X. X)$
by (*simp add: cp-def, fast*)

lemmas *cp-intro*[*intro!*,*simp*,*code-unfold*] =
cp-const
cp-id
cp-defined[*THEN allI*[*THEN allI*[*THEN cpI1*], *of defined*]]
cp-valid[*THEN allI*[*THEN allI*[*THEN cpI1*], *of valid*]]
cp-OclNot[*THEN allI*[*THEN allI*[*THEN cpI1*], *of not*]]
cp-OclAnd[*THEN allI*[*THEN allI*[*THEN allI*[*THEN cpI2*]], *of op and*]]
cp-OclOr[*THEN allI*[*THEN allI*[*THEN allI*[*THEN cpI2*]], *of op or*]]
cp-OclImplies[*THEN allI*[*THEN allI*[*THEN allI*[*THEN cpI2*]], *of op implies*]]
cp-StrongEq[*THEN allI*[*THEN allI*[*THEN allI*[*THEN cpI2*]],
of StrongEq]]

3.5.4. Laws to Establish Definedness (δ -closure)

For the logical connectives, we have — beyond $? \tau \models ?P \implies ? \tau \models \delta\ ?P$ — the following facts:

lemma *OclNot-defargs*:
 $\tau \models (not\ P) \implies \tau \models \delta\ P$
by(*auto simp: OclNot-def OclValid-def true-def invalid-def defined-def false-def*)

bot-fun-def bot-option-def null-fun-def null-option-def
split: bool.split-asm HOL.split-if-asm option.split option.split-asm)

lemma *OclNot-contrapos-nn:*

assumes $\tau \models \delta \ A$

assumes $\tau \models \text{not } B$

assumes $\tau \models A \implies \tau \models B$

shows $\tau \models \text{not } A$

proof –

have *change-not* : $\bigwedge a \ b. (\text{not } a \ \tau = b \ \tau) = (a \ \tau = \text{not } b \ \tau)$

by (*metis OclNot-not cp-OclNot*)

show ?thesis

apply(*insert assms, simp add: OclValid-def, subst change-not, subst (asm) change-not*)

apply(*simp add: OclNot-def true-def*)

by (*metis OclValid-def bool-split defined-def false-def foundation2 true-def*
bot-fun-def invalid-def)

qed

So far, we have only one strict Boolean predicate (-family): the strict equality.

3.6. Miscellaneous

3.6.1. OCL's if then else endif

definition *OclIf* :: $[(\mathfrak{A})\text{Boolean}, (\mathfrak{A}, \alpha::\text{null}) \text{ val}, (\mathfrak{A}, \alpha) \text{ val}] \Rightarrow (\mathfrak{A}, \alpha) \text{ val}$
 $(\text{if } (-) \text{ then } (-) \text{ else } (-) \text{ endif } [10,10,10]50)$

where (*if C then B₁ else B₂ endif*) = $(\lambda \tau. \text{if } (\delta \ C) \ \tau = \text{true } \tau$
 $\text{then } (\text{if } (C \ \tau) = \text{true } \tau$
 $\text{then } B_1 \ \tau$
 $\text{else } B_2 \ \tau)$
 $\text{else invalid } \tau)$

lemma *cp-OclIf*: $((\text{if } C \text{ then } B_1 \text{ else } B_2 \text{ endif}) \ \tau =$

$(\text{if } (\lambda -. C \ \tau) \text{ then } (\lambda -. B_1 \ \tau) \text{ else } (\lambda -. B_2 \ \tau) \text{ endif}) \ \tau)$

by(*simp only: OclIf-def, subst cp-defined, rule refl*)

lemmas *cp-intro* '[*intro!, simp, code-unfold*] =

cp-intro

cp-OclIf[*THEN allI*[*THEN allI*[*THEN allI*[*THEN allI*[*THEN cpI3*]]], *of OclIf*]]

lemma *OclIf-invalid* [*simp*]: $(\text{if invalid then } B_1 \text{ else } B_2 \text{ endif}) = \text{invalid}$

by(*rule ext, auto simp: OclIf-def*)

lemma *OclIf-null* [*simp*]: $(\text{if null then } B_1 \text{ else } B_2 \text{ endif}) = \text{invalid}$

by(*rule ext, auto simp: OclIf-def*)

lemma *OclIf-true* [*simp*]: $(\text{if true then } B_1 \text{ else } B_2 \text{ endif}) = B_1$

by(*rule ext, auto simp: OclIf-def*)

lemma *OclIf-true'* [simp]: $\tau \models P \implies (\text{if } P \text{ then } B_1 \text{ else } B_2 \text{ endif})\tau = B_1 \tau$
apply(subst cp-OclIf, auto simp: OclValid-def)
by(simp add: cp-OclIf[symmetric])

lemma *OclIf-false* [simp]: $(\text{if false then } B_1 \text{ else } B_2 \text{ endif}) = B_2$
by(rule ext, auto simp: OclIf-def)

lemma *OclIf-false'* [simp]: $\tau \models \text{not } P \implies (\text{if } P \text{ then } B_1 \text{ else } B_2 \text{ endif})\tau = B_2 \tau$
apply(subst cp-OclIf)
apply(auto simp: foundation14[symmetric] foundation22)
by(auto simp: cp-OclIf[symmetric])

lemma *OclIf-idem1* [simp]: $(\text{if } \delta X \text{ then } A \text{ else } A \text{ endif}) = A$
by(rule ext, auto simp: OclIf-def)

lemma *OclIf-idem2* [simp]: $(\text{if } v X \text{ then } A \text{ else } A \text{ endif}) = A$
by(rule ext, auto simp: OclIf-def)

lemma *OclNot-if* [simp]:
 $\text{not}(\text{if } P \text{ then } C \text{ else } E \text{ endif}) = (\text{if } P \text{ then not } C \text{ else not } E \text{ endif})$

apply(rule OclNot-inject, simp)
apply(rule ext)
apply(subst cp-OclNot, simp add: OclIf-def)
apply(subst cp-OclNot[symmetric])
by simp

3.6.2. A Side-calculus for (Boolean) Constant Terms

definition *const* $X \equiv \forall \tau \tau'. X \tau = X \tau'$

lemma *const-chn*: $\text{const } X \implies X \tau = X \tau'$
by(auto simp: const-def)

lemma *const-subst*:
assumes *const-X*: $\text{const } X$
and *const-Y*: $\text{const } Y$
and *eq*: $X \tau = Y \tau$
and *cp-P*: $\text{cp } P$
and *pp*: $P Y \tau = P Y \tau'$
shows $P X \tau = P X \tau'$

proof –

have $A: \bigwedge Y. P Y \tau = P (\lambda\tau. Y \tau) \tau$
apply(insert cp-P, unfold cp-def)
apply(elim exE, erule-tac $x=Y$ in *allE'*, erule-tac $x=\tau$ in *allE*)
apply(erule-tac $x=(\lambda\tau. Y \tau)$ in *allE*, erule-tac $x=\tau$ in *allE*)
by simp

```

have B:  $\bigwedge Y. P\ Y\ \tau' = P\ (\lambda\cdot. Y\ \tau')\ \tau'$ 
  apply(insert cp-P, unfold cp-def)
  apply(elim exE, erule-tac x=Y in allE', erule-tac x= $\tau'$  in allE)
  apply(erule-tac x=( $\lambda\cdot. Y\ \tau'$ ) in allE, erule-tac x= $\tau'$  in allE)
  by simp
have C:  $X\ \tau' = Y\ \tau'$ 
  apply(rule trans, subst const-chn[OF const-X], rule eq)
  by(rule const-chn[OF const-Y])
show ?thesis
  apply(subst A, subst B, simp add: eq C)
  apply(subst A[symmetric], subst B[symmetric])
  by(simp add:pp)
qed

```

```

lemma const-impl2 :
  assumes  $\bigwedge \tau1\ \tau2. P\ \tau1 = P\ \tau2 \implies Q\ \tau1 = Q\ \tau2$ 
  shows  $\text{const } P \implies \text{const } Q$ 
by(simp add: const-def, insert assms, blast)

```

```

lemma const-impl3 :
  assumes  $\bigwedge \tau1\ \tau2. P\ \tau1 = P\ \tau2 \implies Q\ \tau1 = Q\ \tau2 \implies R\ \tau1 = R\ \tau2$ 
  shows  $\text{const } P \implies \text{const } Q \implies \text{const } R$ 
by(simp add: const-def, insert assms, blast)

```

```

lemma const-impl4 :
  assumes  $\bigwedge \tau1\ \tau2. P\ \tau1 = P\ \tau2 \implies Q\ \tau1 = Q\ \tau2 \implies R\ \tau1 = R\ \tau2 \implies S\ \tau1 = S\ \tau2$ 
  shows  $\text{const } P \implies \text{const } Q \implies \text{const } R \implies \text{const } S$ 
by(simp add: const-def, insert assms, blast)

```

```

lemma const-lam :  $\text{const } (\lambda\cdot. e)$ 
by(simp add: const-def)

```

```

lemma const-true :  $\text{const true}$ 
by(simp add: const-def true-def)

```

```

lemma const-false :  $\text{const false}$ 
by(simp add: const-def false-def)

```

```

lemma const-null :  $\text{const null}$ 
by(simp add: const-def null-fun-def)

```

```

lemma const-invalid :  $\text{const invalid}$ 
by(simp add: const-def invalid-def)

```

```

lemma const-bot :  $\text{const bot}$ 
by(simp add: const-def bot-fun-def)

```

```

lemma const-defined :
  assumes const X
  shows const (δ X)
by(rule const-impl2[OF - assms],
    simp add: defined-def false-def true-def bot-fun-def bot-option-def null-fun-def null-option-def)

```

```

lemma const-valid :
  assumes const X
  shows const (v X)
by(rule const-impl2[OF - assms],
    simp add: valid-def false-def true-def bot-fun-def null-fun-def assms)

```

```

lemma const-OclValid1:
  assumes const x
  shows  $(\tau \models \delta x) = (\tau' \models \delta x)$ 
  apply(simp add: OclValid-def)
  apply(subst const-defined[OF assms, THEN const-charn])
  by(simp add: true-def)

```

```

lemma const-OclValid2:
  assumes const x
  shows  $(\tau \models v x) = (\tau' \models v x)$ 
  apply(simp add: OclValid-def)
  apply(subst const-valid[OF assms, THEN const-charn])
  by(simp add: true-def)

```

```

lemma const-OclAnd :
  assumes const X
  assumes const X'
  shows const (X and X')
by(rule const-impl3[OF - assms], subst (1 2) cp-OclAnd, simp add: assms OclAnd-def)

```

```

lemma const-OclNot :
  assumes const X
  shows const (not X)
by(rule const-impl2[OF - assms], subst cp-OclNot, simp add: assms OclNot-def)

```

```

lemma const-OclOr :
  assumes const X
  assumes const X'
  shows const (X or X')
by(simp add: assms OclOr-def const-OclNot const-OclAnd)

```

```

lemma const-OclImplies :

```

```

assumes const X
assumes const X'
shows const (X implies X')
by(simp add: assms OclImplies-def const-OclNot const-OclOr)

```

```

lemma const-StrongEq:
  assumes const X
  assumes const X'
  shows const(X  $\triangleq$  X')
  apply(simp only: StrongEq-def const-def, intro allI)
  apply(subst assms(1)[THEN const-chn])
  apply(subst assms(2)[THEN const-chn])
  by simp

```

```

lemma const-OclIf :
  assumes const B
  and const C1
  and const C2
  shows const (if B then C1 else C2 endif)
  apply(rule const-impl4[OF - assms],
    subst (1 2) cp-OclIf, simp only: OclIf-def cp-defined[symmetric])
  apply(simp add: const-defined[OF assms(1), simplified const-def, THEN spec, THEN spec]
    const-true[simplified const-def, THEN spec, THEN spec]
    assms[simplified const-def, THEN spec, THEN spec]
    const-invalid[simplified const-def, THEN spec, THEN spec])
  by (metis (no-types) OCL-core.bot-fun-def OclValid-def const-def const-true defined-def founda-
    tion17
    null-fun-def)

```

```

lemmas const-ss = const-bot const-null const-invalid const-false const-true const-lam
  const-defined const-valid const-StrongEq const-OclNot const-OclAnd
  const-OclOr const-OclImplies const-OclIf
end

```


4. Formalization II: Library Definitions

```
theory OCL-lib
imports OCL-core
begin
```

The structure of this chapter roughly follows the structure of Chapter 10 of the OCL standard [33], which introduces the OCL Library.

4.1. Basic Types: Void and Integer

4.1.1. The Construction of the Void Type

```
type-synonym ('A) Void = ('A, unit option) val
```

This *minimal* OCL type contains only two elements: *invalid* and *null*. *Void* could initially be defined as *unit option option*, however the cardinal of this type is more than two, so it would have the cost to consider *Some None* and *Some (Some ())* seemingly everywhere.

4.1.2. The Construction of the Integer Type

Since *Integer* is again a basic type, we define its semantic domain as the valuations over *int option option*.

```
type-synonym ('A) Integer = ('A, int option option) val
```

Although the remaining part of this library reasons about integers abstractly, we provide here as example some convenient shortcuts.

```
definition OclInt0 :: ('A) Integer (0)
where 0 = ( $\lambda$  . . [[0::int]])
```

```
definition OclInt1 :: ('A) Integer (1)
where 1 = ( $\lambda$  . . [[1::int]])
```

```
definition OclInt2 :: ('A) Integer (2)
where 2 = ( $\lambda$  . . [[2::int]])
```

```
definition OclInt3 :: ('A) Integer (3)
where 3 = ( $\lambda$  . . [[3::int]])
```

```
definition OclInt4 :: ('A) Integer (4)
where 4 = ( $\lambda$  . . [[4::int]])
```

definition *OclInt5* :: ('A)Integer (5)
where **5** = (λ - . $\llbracket 5::int \rrbracket$)

definition *OclInt6* :: ('A)Integer (6)
where **6** = (λ - . $\llbracket 6::int \rrbracket$)

definition *OclInt7* :: ('A)Integer (7)
where **7** = (λ - . $\llbracket 7::int \rrbracket$)

definition *OclInt8* :: ('A)Integer (8)
where **8** = (λ - . $\llbracket 8::int \rrbracket$)

definition *OclInt9* :: ('A)Integer (9)
where **9** = (λ - . $\llbracket 9::int \rrbracket$)

definition *OclInt10* :: ('A)Integer (10)
where **10** = (λ - . $\llbracket 10::int \rrbracket$)

4.1.3. Validity and Definedness Properties

lemma $\delta(\text{null}::('A)\text{Integer}) = \text{false}$ **by** *simp*

lemma $v(\text{null}::('A)\text{Integer}) = \text{true}$ **by** *simp*

lemma [*simp,code-unfold*]: $\delta(\lambda -. \llbracket n \rrbracket) = \text{true}$
by(*simp add:defined-def true-def*
bot-fun-def bot-option-def null-fun-def null-option-def)

lemma [*simp,code-unfold*]: $v(\lambda -. \llbracket n \rrbracket) = \text{true}$
by(*simp add:valid-def true-def*
bot-fun-def bot-option-def)

lemma [*simp,code-unfold*]: $\delta \mathbf{0} = \text{true}$ **by**(*simp add:OclInt0-def*)

lemma [*simp,code-unfold*]: $v \mathbf{0} = \text{true}$ **by**(*simp add:OclInt0-def*)

lemma [*simp,code-unfold*]: $\delta \mathbf{1} = \text{true}$ **by**(*simp add:OclInt1-def*)

lemma [*simp,code-unfold*]: $v \mathbf{1} = \text{true}$ **by**(*simp add:OclInt1-def*)

lemma [*simp,code-unfold*]: $\delta \mathbf{2} = \text{true}$ **by**(*simp add:OclInt2-def*)

lemma [*simp,code-unfold*]: $v \mathbf{2} = \text{true}$ **by**(*simp add:OclInt2-def*)

lemma [*simp,code-unfold*]: $\delta \mathbf{6} = \text{true}$ **by**(*simp add:OclInt6-def*)

lemma [*simp,code-unfold*]: $v \mathbf{6} = \text{true}$ **by**(*simp add:OclInt6-def*)

lemma [*simp,code-unfold*]: $\delta \mathbf{8} = \text{true}$ **by**(*simp add:OclInt8-def*)

lemma [*simp,code-unfold*]: $v \mathbf{8} = \text{true}$ **by**(*simp add:OclInt8-def*)

lemma [*simp,code-unfold*]: $\delta \mathbf{9} = \text{true}$ **by**(*simp add:OclInt9-def*)

lemma [*simp,code-unfold*]: $v \mathbf{9} = \text{true}$ **by**(*simp add:OclInt9-def*)

4.1.4. Arithmetical Operations on Integer

Definition

Here is a common case of a built-in operation on built-in types. Note that the arguments must be both defined (non-null, non-bot).

Note that we can not follow the lexis of the OCL Standard for Isabelle technical reasons; these operators are heavily overloaded in the HOL library that a further overloading would lead to heavy technical buzz in this document.

definition $OclAdd_{Integer} :: ('A)Integer \Rightarrow ('A)Integer \Rightarrow ('A)Integer$ (**infix** $' + 40$)
where $x ' + y \equiv \lambda \tau. \text{if } (\delta x) \tau = \text{true } \tau \wedge (\delta y) \tau = \text{true } \tau$
 then $[[[x \tau]] + [[y \tau]]]$
 else *invalid* τ

definition $OclLess_{Integer} :: ('A)Integer \Rightarrow ('A)Integer \Rightarrow ('A)Boolean$ (**infix** $' < 40$)
where $x ' < y \equiv \lambda \tau. \text{if } (\delta x) \tau = \text{true } \tau \wedge (\delta y) \tau = \text{true } \tau$
 then $[[[x \tau]] < [[y \tau]]]$
 else *invalid* τ

definition $OclLe_{Integer} :: ('A)Integer \Rightarrow ('A)Integer \Rightarrow ('A)Boolean$ (**infix** $' \leq 40$)
where $x ' \leq y \equiv \lambda \tau. \text{if } (\delta x) \tau = \text{true } \tau \wedge (\delta y) \tau = \text{true } \tau$
 then $[[[x \tau]] \leq [[y \tau]]]$
 else *invalid* τ

Basic Properties

lemma $OclAdd_{Integer}\text{-commute}: (X ' + Y) = (Y ' + X)$
by(*rule ext, auto simp:true-def false-def OclAdd_{Integer}-def invalid-def*
 split: option.split option.split-asm
 bool.split bool.split-asm)

Execution with Invalid or Null or Zero as Argument

lemma $OclAdd_{Integer}\text{-strict1}[simp,code-unfold] : (x ' + \text{invalid}) = \text{invalid}$
by(*rule ext, simp add: OclAdd_{Integer}-def true-def false-def*)

lemma $OclAdd_{Integer}\text{-strict2}[simp,code-unfold] : (\text{invalid} ' + x) = \text{invalid}$
by(*rule ext, simp add: OclAdd_{Integer}-def true-def false-def*)

lemma $[simp,code-unfold] : (x ' + \text{null}) = \text{invalid}$
by(*rule ext, simp add: OclAdd_{Integer}-def true-def false-def*)

lemma $[simp,code-unfold] : (\text{null} ' + x) = \text{invalid}$
by(*rule ext, simp add: OclAdd_{Integer}-def true-def false-def*)

lemma $OclAdd_{Integer}\text{-zero1}[simp,code-unfold] :$
 $(x ' + 0) = (\text{if } v x \text{ and not } (\delta x) \text{ then invalid else } x \text{ endif})$
proof (*rule ext, rename-tac τ , case-tac $(v x \text{ and not } (\delta x)) \tau = \text{true } \tau$*)
 fix τ **show** $(v x \text{ and not } (\delta x)) \tau = \text{true } \tau \implies$

```

      (x ' + 0)  $\tau$  = (if v x and not ( $\delta$  x) then invalid else x endif)  $\tau$ 
    apply(subst OclIf-true', simp add: OclValid-def)
  by (metis OclAddInteger-def OclNot-defargs OclValid-def foundation5 foundation9)
  apply-end assumption
next fix  $\tau$ 
  have A:  $\bigwedge \tau. (\tau \models \text{not } (v \ x \ \text{and} \ \text{not } (\delta \ x))) = (x \ \tau = \text{invalid} \ \tau \vee \tau \models \delta \ x)$ 
  by (metis OclNot-not OclOr-def defined5 defined6 defined-not-I foundation11 foundation18'
    foundation6 foundation7 foundation9 invalid-def)
  have B:  $\tau \models \delta \ x \implies \llbracket \llbracket x \ \tau \rrbracket \rrbracket = x \ \tau$ 
  apply(cases x  $\tau$ , metis bot-option-def foundation17)
  apply(rename-tac x', case-tac x', metis bot-option-def foundation16 null-option-def)
  by(simp)
  show  $\tau \models \text{not } (v \ x \ \text{and} \ \text{not } (\delta \ x)) \implies$ 
    (x ' + 0)  $\tau$  = (if v x and not ( $\delta$  x) then invalid else x endif)  $\tau$ 
  apply(subst OclIf-false', simp, simp add: A, auto simp: OclAddInteger-def OclInt0-def)

  apply (metis OclValid-def foundation19 foundation20)
  apply(simp add: B)
  by(simp add: OclValid-def)
  apply-end(metis OclValid-def defined5 defined6 defined-and-I defined-not-I foundation9)
qed

lemma OclAddInteger-zero2[simp,code-unfold] :
  (0 ' + x) = (if v x and not ( $\delta$  x) then invalid else x endif)
  by(subst OclAddInteger-commute, simp)

```

Context Passing

```

lemma cp-OclAddInteger:(X ' + Y)  $\tau$  = (( $\lambda \cdot. X \ \tau$ ) ' + ( $\lambda \cdot. Y \ \tau$ ))  $\tau$ 
  by(simp add: OclAddInteger-def cp-defined[symmetric])

lemma cp-OclLessInteger:(X ' < Y)  $\tau$  = (( $\lambda \cdot. X \ \tau$ ) ' < ( $\lambda \cdot. Y \ \tau$ ))  $\tau$ 
  by(simp add: OclLessInteger-def cp-defined[symmetric])

lemma cp-OclLeInteger:(X '  $\leq$  Y)  $\tau$  = (( $\lambda \cdot. X \ \tau$ ) '  $\leq$  ( $\lambda \cdot. Y \ \tau$ ))  $\tau$ 
  by(simp add: OclLeInteger-def cp-defined[symmetric])

```

Test Statements

Here follows a list of code-examples, that explain the meanings of the above definitions by compilation to code and execution to *True*.

```

value  $\tau \models ( \ 9 \ ' \leq \ 10 \ )$ 
value  $\tau \models (( \ 4 \ ' + \ 4 \ ) \ ' \leq \ 10 \ )$ 
value  $\neg(\tau \models (( \ 4 \ ' + ( \ 4 \ ' + \ 4 \ )) \ ' < \ 10 \ ))$ 
value  $\tau \models \text{not } (v \ (\text{null} \ ' + \ 1))$ 

```

4.2. Fundamental Predicates on Basic Types: Strict Equality

4.2.1. Definition

The last basic operation belonging to the fundamental infrastructure of a value-type in OCL is the weak equality, which is defined similar to the \mathcal{A} *Boolean*-case as strict extension of the strong equality:

```

defs  StrictRefEqInteger[code-unfold] :
  (x::('A)Integer)  $\doteq$  y  $\equiv$   $\lambda$   $\tau$ . if (v x)  $\tau$  = true  $\tau$   $\wedge$  (v y)  $\tau$  = true  $\tau$ 
                                     then (x  $\triangleq$  y)  $\tau$ 
                                     else invalid  $\tau$ 

```

value $\tau \models 1 <> 2$

value $\tau \models 2 <> 1$

value $\tau \models 2 \doteq 2$

4.2.2. Logic and Algebraic Layer on Basic Types

Validity and Definedness Properties (I)

lemma *StrictRefEqBoolean-defined-args-valid*:

$(\tau \models \delta((x::('A)Boolean) \doteq y)) = ((\tau \models (v x)) \wedge (\tau \models (v y)))$

by(auto simp: StrictRefEqBoolean OclValid-def true-def valid-def false-def StrongEq-def
defined-def invalid-def null-fun-def bot-fun-def null-option-def bot-option-def
split: bool.split-asm HOL.split-if-asm option.split)

lemma *StrictRefEqInteger-defined-args-valid*:

$(\tau \models \delta((x::('A)Integer) \doteq y)) = ((\tau \models (v x)) \wedge (\tau \models (v y)))$

by(auto simp: StrictRefEqInteger OclValid-def true-def valid-def false-def StrongEq-def
defined-def invalid-def null-fun-def bot-fun-def null-option-def bot-option-def
split: bool.split-asm HOL.split-if-asm option.split)

Validity and Definedness Properties (II)

lemma *StrictRefEqBoolean-defargs*:

$\tau \models ((x::('A)Boolean) \doteq y) \implies (\tau \models (v x)) \wedge (\tau \models (v y))$

by(simp add: StrictRefEqBoolean OclValid-def true-def invalid-def
bot-option-def
split: bool.split-asm HOL.split-if-asm)

lemma *StrictRefEqInteger-defargs*:

$\tau \models ((x::('A)Integer) \doteq y) \implies (\tau \models (v x)) \wedge (\tau \models (v y))$

by(simp add: StrictRefEqInteger OclValid-def true-def invalid-def valid-def bot-option-def
split: bool.split-asm HOL.split-if-asm)

Validity and Definedness Properties (III) Miscellaneous

lemma *StrictRefEqBoolean-strict''* : $\delta((x::('A)Boolean) \doteq y) = (v(x) \text{ and } v(y))$

by(auto intro!: transform2-rev defined-and-I simp: foundation10
StrictRefEqBoolean-defined-args-valid)

lemma *StrictRefEqInteger-strict''* : $\delta ((x::('A)Integer) \doteq y) = (v(x) \text{ and } v(y))$
by(*auto intro! transform2-rev defined-and-I simp:foundation10*
StrictRefEqInteger-defined-args-valid)

lemma *StrictRefEqInteger-strict* :
assumes *A*: $v (x::('A)Integer) = true$
and *B*: $v y = true$
shows $v (x \doteq y) = true$
apply(*insert A B*)
apply(*rule ext, simp add: StrongEq-def StrictRefEqInteger true-def valid-def defined-def*
bot-fun-def bot-option-def)
done

lemma *StrictRefEqInteger-strict'* :
assumes *A*: $v ((x::('A)Integer)) \doteq y) = true$
shows $v x = true \wedge v y = true$
apply(*insert A, rule conjI*)
apply(*rule ext, rename-tac τ , drule-tac $x=\tau$ in fun-cong*)
prefer 2
apply(*rule ext, rename-tac τ , drule-tac $x=\tau$ in fun-cong*)
apply(*simp-all add: StrongEq-def StrictRefEqInteger*
false-def true-def valid-def defined-def)
apply(*case-tac y τ , auto*)
apply(*simp-all add: true-def invalid-def bot-fun-def*)
done

Reflexivity

lemma *StrictRefEqBoolean-refl[simp,code-unfold]* :
 $((x::('A)Boolean) \doteq x) = (if (v x) then true else invalid endif)$
by(*rule ext, simp add: StrictRefEqBoolean OclIf-def*)

lemma *StrictRefEqInteger-refl[simp,code-unfold]* :
 $((x::('A)Integer) \doteq x) = (if (v x) then true else invalid endif)$
by(*rule ext, simp add: StrictRefEqInteger OclIf-def*)

Execution with Invalid or Null as Argument

lemma *StrictRefEqBoolean-strict1[simp,code-unfold]* : $((x::('A)Boolean) \doteq invalid) = invalid$
by(*rule ext, simp add: StrictRefEqBoolean true-def false-def*)

lemma *StrictRefEqBoolean-strict2[simp,code-unfold]* : $(invalid \doteq (x::('A)Boolean)) = invalid$
by(*rule ext, simp add: StrictRefEqBoolean true-def false-def*)

lemma *StrictRefEqInteger-strict1[simp,code-unfold]* : $((x::('A)Integer) \doteq invalid) = invalid$
by(*rule ext, simp add: StrictRefEqInteger true-def false-def*)

lemma *StrictRefEqInteger-strict2* [simp,code-unfold] : (invalid \doteq (x::('A)Integer)) = invalid
by(rule ext, simp add: StrictRefEqInteger true-def false-def)

lemma *integer-non-null* [simp]: ((λ -. [|n|]) \doteq (null::('A)Integer)) = false
by(rule ext,auto simp: StrictRefEqInteger valid-def
bot-fun-def bot-option-def null-fun-def null-option-def StrongEq-def)

lemma *null-non-integer* [simp]: ((null::('A)Integer) \doteq (λ -. [|n|])) = false
by(rule ext,auto simp: StrictRefEqInteger valid-def
bot-fun-def bot-option-def null-fun-def null-option-def StrongEq-def)

lemma *OclInt0-non-null* [simp,code-unfold]: (0 \doteq null) = false **by**(simp add: OclInt0-def)
lemma *null-non-OclInt0* [simp,code-unfold]: (null \doteq 0) = false **by**(simp add: OclInt0-def)
lemma *OclInt1-non-null* [simp,code-unfold]: (1 \doteq null) = false **by**(simp add: OclInt1-def)
lemma *null-non-OclInt1* [simp,code-unfold]: (null \doteq 1) = false **by**(simp add: OclInt1-def)
lemma *OclInt2-non-null* [simp,code-unfold]: (2 \doteq null) = false **by**(simp add: OclInt2-def)
lemma *null-non-OclInt2* [simp,code-unfold]: (null \doteq 2) = false **by**(simp add: OclInt2-def)
lemma *OclInt6-non-null* [simp,code-unfold]: (6 \doteq null) = false **by**(simp add: OclInt6-def)
lemma *null-non-OclInt6* [simp,code-unfold]: (null \doteq 6) = false **by**(simp add: OclInt6-def)
lemma *OclInt8-non-null* [simp,code-unfold]: (8 \doteq null) = false **by**(simp add: OclInt8-def)
lemma *null-non-OclInt8* [simp,code-unfold]: (null \doteq 8) = false **by**(simp add: OclInt8-def)
lemma *OclInt9-non-null* [simp,code-unfold]: (9 \doteq null) = false **by**(simp add: OclInt9-def)
lemma *null-non-OclInt9* [simp,code-unfold]: (null \doteq 9) = false **by**(simp add: OclInt9-def)

Const

lemma [simp,code-unfold]: const(0) **by**(simp add: const-ss OclInt0-def)
lemma [simp,code-unfold]: const(1) **by**(simp add: const-ss OclInt1-def)
lemma [simp,code-unfold]: const(2) **by**(simp add: const-ss OclInt2-def)
lemma [simp,code-unfold]: const(6) **by**(simp add: const-ss OclInt6-def)
lemma [simp,code-unfold]: const(8) **by**(simp add: const-ss OclInt8-def)
lemma [simp,code-unfold]: const(9) **by**(simp add: const-ss OclInt9-def)

Behavior vs StrongEq

lemma *StrictRefEqBoolean-vs-StrongEq*:
 $\tau \models (v \ x) \implies \tau \models (v \ y) \implies (\tau \models ((x::('A)Boolean) \doteq y) \triangleq (x \triangleq y)))$
apply(simp add: StrictRefEqBoolean OclValid-def)
apply(subst cp-StrongEq[of - (x \triangleq y)])
by simp

lemma *StrictRefEqInteger-vs-StrongEq*:
 $\tau \models (v \ x) \implies \tau \models (v \ y) \implies (\tau \models ((x::('A)Integer) \doteq y) \triangleq (x \triangleq y)))$
apply(simp add: StrictRefEqInteger OclValid-def)
apply(subst cp-StrongEq[of - (x \triangleq y)])
by simp

Context Passing

lemma *cp-StrictRefEqBoolean*:

$((X::(\mathfrak{A})\text{Boolean}) \doteq Y) \tau = ((\lambda \cdot. X \tau) \doteq (\lambda \cdot. Y \tau)) \tau$

by(*auto simp: StrictRefEqBoolean StrongEq-def defined-def valid-def cp-defined[symmetric]*)

lemma *cp-StrictRefEqInteger*:

$((X::(\mathfrak{A})\text{Integer}) \doteq Y) \tau = ((\lambda \cdot. X \tau) \doteq (\lambda \cdot. Y \tau)) \tau$

by(*auto simp: StrictRefEqInteger StrongEq-def valid-def cp-defined[symmetric]*)

lemmas *cp-intro* '[*intro!*, *simp*, *code-unfold*] =

cp-intro '

cp-StrictRefEqBoolean [THEN *allI* [THEN *allI* [THEN *allI* [THEN *cpI2*]], of *StrictRefEq*]]

cp-StrictRefEqInteger [THEN *allI* [THEN *allI* [THEN *allI* [THEN *cpI2*]], of *StrictRefEq*]]

cp-OclAddInteger [THEN *allI* [THEN *allI* [THEN *allI* [THEN *cpI2*]], of *OclAddInteger*]]

cp-OclLessInteger [THEN *allI* [THEN *allI* [THEN *allI* [THEN *cpI2*]], of *OclLessInteger*]]

cp-OclLeInteger [THEN *allI* [THEN *allI* [THEN *allI* [THEN *cpI2*]], of *OclLeInteger*]]

4.2.3. Test Statements on Basic Types.

Here follows a list of code-examples, that explain the meanings of the above definitions by compilation to code and execution to *True*.

Elementary computations on Booleans

```

value  $\tau \models v(\text{true})$ 
value  $\tau \models \delta(\text{false})$ 
value  $\neg(\tau \models \delta(\text{null}))$ 
value  $\neg(\tau \models \delta(\text{invalid}))$ 
value  $\tau \models v((\text{null}::(\mathfrak{A})\text{Boolean}))$ 
value  $\neg(\tau \models v(\text{invalid}))$ 
value  $\tau \models (\text{true and true})$ 
value  $\tau \models (\text{true and true} \triangleq \text{true})$ 
value  $\tau \models ((\text{null or null}) \triangleq \text{null})$ 
value  $\tau \models ((\text{null or null}) \doteq \text{null})$ 
value  $\tau \models ((\text{true} \triangleq \text{false}) \triangleq \text{false})$ 
value  $\tau \models ((\text{invalid} \triangleq \text{false}) \triangleq \text{false})$ 
value  $\tau \models ((\text{invalid} \doteq \text{false}) \triangleq \text{invalid})$ 

```

Elementary computations on Integer

```

value  $\tau \models v\ 4$ 
value  $\tau \models \delta\ 4$ 
value  $\tau \models v\ (\text{null}::(\mathfrak{A})\text{Integer})$ 
value  $\tau \models (\text{invalid} \triangleq \text{invalid})$ 
value  $\tau \models (\text{null} \triangleq \text{null})$ 
value  $\tau \models (4 \triangleq 4)$ 
value  $\neg(\tau \models (9 \triangleq 10))$ 
value  $\neg(\tau \models (\text{invalid} \triangleq 10))$ 
value  $\neg(\tau \models (\text{null} \triangleq 10))$ 
value  $\neg(\tau \models (\text{invalid} \doteq (\text{invalid}::(\mathfrak{A})\text{Integer})))$ 

```



```

value  $\neg(\tau \models v \text{ (invalid} \doteq (\text{invalid}::('A)\text{Integer})))$ 
value  $\neg(\tau \models (\text{invalid} <> (\text{invalid}::('A)\text{Integer})))$ 
value  $\neg(\tau \models v \text{ (invalid} <> (\text{invalid}::('A)\text{Integer})))$ 
value  $\tau \models (\text{null} \doteq (\text{null}::('A)\text{Integer}) )$ 
value  $\tau \models (\text{null} \doteq (\text{null}::('A)\text{Integer}) )$ 
value  $\tau \models (4 \doteq 4)$ 
value  $\neg(\tau \models (4 <> 4))$ 
value  $\neg(\tau \models (4 \doteq 10))$ 
value  $\tau \models (4 <> 10)$ 
value  $\neg(\tau \models (0 \text{ '}< \text{ null}))$ 
value  $\neg(\tau \models (\delta (0 \text{ '}< \text{ null})))$ 

```

4.3. Complex Types: The Set-Collection Type (I) Core

4.3.1. The Construction of the Set Type

no-notation *None* (\perp)

notation *bot* (\perp)

For the semantic construction of the collection types, we have two goals:

1. we want the types to be *fully abstract*, i.e., the type should not contain junk-elements that are not representable by OCL expressions, and
2. we want a possibility to nest collection types (so, we want the potential to talking about *Set(Set(Sequences(Pairs(X,Y))))*).

The former principle rules out the option to define $'\alpha \text{ Set}$ just by $('A, ('\alpha \text{ option option set}) \text{ val})$. This would allow sets to contain junk elements such as $\{\perp\}$ which we need to identify with undefinedness itself. Abandoning fully abstractness of rules would later on produce all sorts of problems when quantifying over the elements of a type. However, if we build an own type, then it must conform to our abstract interface in order to have nested types: arguments of type-constructors must conform to our abstract interface, and the result type too.

The core of an own type construction is done via a type definition which provides the raw-type $'\alpha \text{ Set-0}$. It is shown that this type “fits” indeed into the abstract type interface discussed in the previous section.

```

typedef  $'\alpha \text{ Set-0} = \{X::('A::\text{null}) \text{ set option option.}$ 
 $\quad X = \text{bot} \vee X = \text{null} \vee (\forall x \in [X]. x \neq \text{bot})\}$ 
by (rule-tac  $x=\text{bot}$  in exI, simp)

```

instantiation *Set-0* :: (*null*)*bot*

begin

definition *bot-Set-0-def*: (*bot*::($'A::\text{null}$) *Set-0*) \equiv *Abs-Set-0 None*

```

instance proof show  $\exists x::'A \text{ Set-0. } x \neq \text{bot}$ 
apply(rule-tac  $x=\text{Abs-Set-0 [None]}$  in exI)

```

```

      apply(simp add:bot-Set-0-def)
      apply(subst Abs-Set-0-inject)
      apply(simp-all add: bot-Set-0-def
                        null-option-def bot-option-def)
    done
  qed
end

instantiation Set-0 :: (null)null
begin

  definition null-Set-0-def: (null::('a::null) Set-0)  $\equiv$  Abs-Set-0 [ None ]

  instance proof show (null::('a::null) Set-0)  $\neq$  bot
    apply(simp add:null-Set-0-def bot-Set-0-def)
    apply(subst Abs-Set-0-inject)
    apply(simp-all add: bot-Set-0-def
                      null-option-def bot-option-def)
  done
  qed
end

```

... and lifting this type to the format of a valuation gives us:

```

type-synonym ('A,' $\alpha$ ) Set = ('A, ' $\alpha$  Set-0) val

```

4.3.2. Validity and Definedness Properties

Every element in a defined set is valid.

```

lemma Set-inv-lemma:  $\tau \models (\delta X) \implies \forall x \in [[Rep-Set-0 (X \tau)]]. x \neq bot$ 
apply(insert Rep-Set-0 [of X  $\tau$ ], simp)
apply(auto simp: OclValid-def defined-def false-def true-def cp-def
              bot-fun-def bot-Set-0-def null-Set-0-def null-fun-def
              split:split-if-asm)
apply(erule contrapos-pp [of Rep-Set-0 (X  $\tau$ ) = bot])
apply(subst Abs-Set-0-inject[symmetric], rule Rep-Set-0, simp)
apply(simp add: Rep-Set-0-inverse bot-Set-0-def bot-option-def)
apply(erule contrapos-pp [of Rep-Set-0 (X  $\tau$ ) = null])
apply(subst Abs-Set-0-inject[symmetric], rule Rep-Set-0, simp)
apply(simp add: Rep-Set-0-inverse null-option-def)
by (simp add: bot-option-def)

```

```

lemma Set-inv-lemma' :
assumes x-def :  $\tau \models \delta X$ 
  and e-mem :  $e \in [[Rep-Set-0 (X \tau)]]$ 
shows  $\tau \models v (\lambda \cdot e)$ 
apply(rule Set-inv-lemma[OF x-def, THEN ballE[where x = e]])
apply(simp add: foundation18')
by(simp add: e-mem)

```

```

lemma abs-rep-simp' :
  assumes S-all-def :  $\tau \models \delta S$ 
  shows Abs-Set-0  $[[\llbracket Rep-Set-0 (S \ \tau) \rrbracket]] = S \ \tau$ 
proof –
  have discr-eq-false-true :  $\bigwedge \tau. (false \ \tau = true \ \tau) = False$  by (simp add: false-def true-def)
  show ?thesis
  apply (insert S-all-def, simp add: OclValid-def defined-def)
  apply (rule mp[OF Abs-Set-0-induct] where  $P = \lambda S. (if \ S = \perp \ \tau \vee S = null \ \tau$ 
     $then \ false \ \tau \ else \ true \ \tau) = true \ \tau \longrightarrow$ 
     $Abs-Set-0 \ [[\llbracket Rep-Set-0 \ S \rrbracket]] = S \rrbracket,$ 
    rename-tac S')
  apply (simp add: Abs-Set-0-inverse discr-eq-false-true)
  apply (case-tac S') apply (simp add: bot-fun-def bot-Set-0-def) +
  apply (rename-tac S'', case-tac S'') apply (simp add: null-fun-def null-Set-0-def) +
done
qed

```

```

lemma S-lift' :
  assumes S-all-def :  $(\tau :: 'A \ st) \models \delta S$ 
  shows  $\exists S'. (\lambda a. (-::'A \ st). \ a) \ ' \llbracket Rep-Set-0 (S \ \tau) \rrbracket = (\lambda a. (-::'A \ st). \ [a]) \ ' S'$ 
  apply (rule-tac x = (\lambda a. [a]) \ ' \llbracket Rep-Set-0 (S \ \tau) \rrbracket in exI)
  apply (simp only: image-comp[symmetric])
  apply (simp add: comp-def)
  apply (rule image-cong, fast)

  apply (drule Set-inv-lemma'[OF S-all-def])
by (case-tac x, (simp add: bot-option-def foundation18') +)

```

```

lemma invalid-set-OclNot-defined [simp,code-unfold]:  $\delta(invalid::('A,' \alpha::null) \ Set) = false$  by
simp
lemma null-set-OclNot-defined [simp,code-unfold]:  $\delta(null::('A,' \alpha::null) \ Set) = false$ 
by (simp add: defined-def null-fun-def)
lemma invalid-set-valid [simp,code-unfold]:  $v(invalid::('A,' \alpha::null) \ Set) = false$ 
by simp
lemma null-set-valid [simp,code-unfold]:  $v(null::('A,' \alpha::null) \ Set) = true$ 
apply (simp add: valid-def null-fun-def bot-fun-def bot-Set-0-def null-Set-0-def)
apply (subst Abs-Set-0-inject, simp-all add: null-option-def bot-option-def)
done

```

... which means that we can have a type $(\mathcal{A}, (\mathcal{A}, (\mathcal{A}) \ Integer) \ Set) \ Set$ corresponding exactly to $Set(Set(Integer))$ in OCL notation. Note that the parameter \mathcal{A} still refers to the object universe; making the OCL semantics entirely parametric in the object universe makes it possible to study (and prove) its properties independently from a concrete class diagram.

4.3.3. Constants on Sets

```

definition mtSet:: $(\mathcal{A}, ' \alpha::null) \ Set \ (Set\{\})$ 

```

where $Set\{\} \equiv (\lambda \tau. \text{ Abs-Set-0 } [\{\}::'\alpha \text{ set}])$

lemma $mtSet\text{-}defined[simp,code\text{-}unfold]:\delta(Set\{\}) = true$
apply($rule \text{ ext}, auto \text{ simp}: mtSet\text{-}def \text{ defined-}def \text{ null-Set-0-}def$
 $\text{ bot-Set-0-}def \text{ bot-fun-}def \text{ null-fun-}def$)
by($simp\text{-}all \text{ add}: \text{ Abs-Set-0-inject } \text{ bot-option-}def \text{ null-Set-0-}def \text{ null-option-}def$)

lemma $mtSet\text{-}valid[simp,code\text{-}unfold]:v(Set\{\}) = true$
apply($rule \text{ ext}, auto \text{ simp}: mtSet\text{-}def \text{ valid-}def \text{ null-Set-0-}def$
 $\text{ bot-Set-0-}def \text{ bot-fun-}def \text{ null-fun-}def$)
by($simp\text{-}all \text{ add}: \text{ Abs-Set-0-inject } \text{ bot-option-}def \text{ null-Set-0-}def \text{ null-option-}def$)

lemma $mtSet\text{-}rep\text{-}set: [\text{Rep-Set-0 } (Set\{\} \tau)] = \{\}$
apply($simp \text{ add}: mtSet\text{-}def, subst \text{ Abs-Set-0-inverse}$)
by($simp \text{ add}: \text{ bot-option-}def$) $+$

lemma $[simp,code\text{-}unfold]: \text{ const } Set\{\}$
by($simp \text{ add}: \text{ const-}def \text{ mtSet-}def$)

Note that the collection types in OCL allow for null to be included; however, there is the null-collection into which inclusion yields invalid.

4.4. Complex Types: The Set-Collection Type (II) Library

This part provides a collection of operators for the Set type.

4.4.1. Computational Operations on Set

Definition

definition $OclIncluding :: [(\mathfrak{A},'\alpha::null) \text{ Set},(\mathfrak{A},'\alpha) \text{ val}] \Rightarrow (\mathfrak{A},'\alpha) \text{ Set}$
where $OclIncluding \ x \ y = (\lambda \tau. \text{ if } (\delta \ x) \ \tau = true \ \tau \wedge (v \ y) \ \tau = true \ \tau$
 $\text{ then Abs-Set-0 } [\text{Rep-Set-0 } (x \ \tau)] \cup \{y \ \tau\}]$
 $\text{ else } \perp)$
notation $OclIncluding \ (->including'(-'))$

syntax

$\text{-OclFinset} :: args \Rightarrow (\mathfrak{A},'a::null) \text{ Set} \quad (Set\{-\})$

translations

$Set\{x, xs\} == CONST \ OclIncluding \ (Set\{xs\}) \ x$
 $Set\{x\} == CONST \ OclIncluding \ (Set\{\}) \ x$

definition $OclExcluding :: [(\mathfrak{A},'\alpha::null) \text{ Set},(\mathfrak{A},'\alpha) \text{ val}] \Rightarrow (\mathfrak{A},'\alpha) \text{ Set}$
where $OclExcluding \ x \ y = (\lambda \tau. \text{ if } (\delta \ x) \ \tau = true \ \tau \wedge (v \ y) \ \tau = true \ \tau$
 $\text{ then Abs-Set-0 } [\text{Rep-Set-0 } (x \ \tau)] - \{y \ \tau\}]$
 $\text{ else } \perp)$
notation $OclExcluding \ (->excluding'(-'))$

definition $OclIncludes :: [(\mathfrak{A}, \alpha :: null) Set, (\mathfrak{A}, \alpha) val] \Rightarrow \mathfrak{A} Boolean$
where $OclIncludes x y = (\lambda \tau. \text{ if } (\delta x) \tau = true \tau \wedge (v y) \tau = true \tau$
 $\text{ then } \llbracket (y \tau) \in \llbracket Rep-Set-0 (x \tau) \rrbracket \rrbracket$
 $\text{ else } \perp)$
notation $OclIncludes \quad (\dashrightarrow includes' ('))$

definition $OclExcludes :: [(\mathfrak{A}, \alpha :: null) Set, (\mathfrak{A}, \alpha) val] \Rightarrow \mathfrak{A} Boolean$
where $OclExcludes x y = (not (OclIncludes x y))$
notation $OclExcludes \quad (\dashrightarrow excludes' ('))$

The case of the size definition is somewhat special, we admit explicitly in Featherweight OCL the possibility of infinite sets. For the size definition, this requires an extra condition that assures that the cardinality of the set is actually a defined integer.

definition $OclSize :: (\mathfrak{A}, \alpha :: null) Set \Rightarrow \mathfrak{A} Integer$
where $OclSize x = (\lambda \tau. \text{ if } (\delta x) \tau = true \tau \wedge finite(\llbracket Rep-Set-0 (x \tau) \rrbracket)$
 $\text{ then } \llbracket int(card \llbracket Rep-Set-0 (x \tau) \rrbracket) \rrbracket$
 $\text{ else } \perp)$
notation
 $OclSize \quad (\dashrightarrow size' ('))$

The following definition follows the requirement of the standard to treat null as neutral element of sets. It is a well-documented exception from the general strictness rule and the rule that the distinguished argument self should be non-null.

definition $OclIsEmpty :: (\mathfrak{A}, \alpha :: null) Set \Rightarrow \mathfrak{A} Boolean$
where $OclIsEmpty x = ((v x \text{ and not } (\delta x)) \text{ or } ((OclSize x) \doteq 0))$
notation $OclIsEmpty \quad (\dashrightarrow isEmpty' ('))$

definition $OclNotEmpty :: (\mathfrak{A}, \alpha :: null) Set \Rightarrow \mathfrak{A} Boolean$
where $OclNotEmpty x = not (OclIsEmpty x)$
notation $OclNotEmpty \quad (\dashrightarrow notEmpty' ('))$

definition $OclANY :: [(\mathfrak{A}, \alpha :: null) Set] \Rightarrow (\mathfrak{A}, \alpha) val$
where $OclANY x = (\lambda \tau. \text{ if } (v x) \tau = true \tau$
 $\text{ then if } (\delta x \text{ and } OclNotEmpty x) \tau = true \tau$
 $\text{ then } SOME y. y \in \llbracket Rep-Set-0 (x \tau) \rrbracket$
 $\text{ else null } \tau$
 $\text{ else } \perp)$
notation $OclANY \quad (\dashrightarrow any' ('))$

The definition of $OclForall$ mimics the one of *op and*: $OclForall$ is not a strict operation.

definition $OclForall :: [(\mathfrak{A}, \alpha :: null) Set, (\mathfrak{A}, \alpha) val \Rightarrow (\mathfrak{A}) Boolean] \Rightarrow \mathfrak{A} Boolean$
where $OclForall S P = (\lambda \tau. \text{ if } (\delta S) \tau = true \tau$
 $\text{ then if } (\exists x \in \llbracket Rep-Set-0 (S \tau) \rrbracket. P(\lambda -. x) \tau = false \tau)$
 $\text{ then false } \tau$
 $\text{ else if } (\exists x \in \llbracket Rep-Set-0 (S \tau) \rrbracket. P(\lambda -. x) \tau = \perp \tau)$
 $\text{ then } \perp \tau$
 $\text{ else if } (\exists x \in \llbracket Rep-Set-0 (S \tau) \rrbracket. P(\lambda -. x) \tau = null \tau)$
 $\text{ then null } \tau$

else true τ
else \perp)

syntax

-OclForall :: [($\mathfrak{A}, ' \alpha :: \text{null}$) Set, id, (\mathfrak{A}) Boolean] \Rightarrow \mathfrak{A} Boolean ((-) \rightarrow forall'(-|-'))

translations

$X \rightarrow \text{forall}(x \mid P) == \text{CONST OclForall } X \ (\%x. P)$

Like OclForall, OclExists is also not strict.

definition OclExists :: [($\mathfrak{A}, ' \alpha :: \text{null}$) Set, ($\mathfrak{A}, ' \alpha$) val \Rightarrow (\mathfrak{A}) Boolean] \Rightarrow \mathfrak{A} Boolean
where OclExists S P = not(OclForall S (λ X. not (P X)))

syntax

-OclExist :: [($\mathfrak{A}, ' \alpha :: \text{null}$) Set, id, (\mathfrak{A}) Boolean] \Rightarrow \mathfrak{A} Boolean ((-) \rightarrow exists'(-|-'))

translations

$X \rightarrow \text{exists}(x \mid P) == \text{CONST OclExists } X \ (\%x. P)$

definition OclIterate :: [($\mathfrak{A}, ' \alpha :: \text{null}$) Set, ($\mathfrak{A}, ' \beta :: \text{null}$) val, ($\mathfrak{A}, ' \alpha$) val \Rightarrow ($\mathfrak{A}, ' \beta$) val \Rightarrow ($\mathfrak{A}, ' \beta$) val] \Rightarrow ($\mathfrak{A}, ' \beta$) val
where OclIterate S A F = (λ τ . if (δ S) τ = true $\tau \wedge (v \ A) \ \tau$ = true $\tau \wedge \text{finite}[[\text{Rep-Set-0} (S \ \tau)]]$
then (Finite-Set.fold (F) (A) (($\lambda a \ \tau. a$) ' [[Rep-Set-0 (S τ)]])) τ
else \perp)

syntax

-OclIterate :: [($\mathfrak{A}, ' \alpha :: \text{null}$) Set, idt, idt, $' \alpha, ' \beta$] \Rightarrow ($\mathfrak{A}, ' \gamma$) val
(- \rightarrow iterate'(-|-|-))

translations

$X \rightarrow \text{iterate}(a; x = A \mid P) == \text{CONST OclIterate } X \ A \ (\%a. (\% x. P))$

definition OclSelect :: [($\mathfrak{A}, ' \alpha :: \text{null}$) Set, ($\mathfrak{A}, ' \alpha$) val \Rightarrow (\mathfrak{A}) Boolean] \Rightarrow ($\mathfrak{A}, ' \alpha$) Set
where OclSelect S P = ($\lambda \tau$. if (δ S) τ = true τ
then if ($\exists x \in [[\text{Rep-Set-0} (S \ \tau)]]$. P(λ -. x) τ = $\perp \ \tau$)
then \perp
else Abs-Set-0 [[$\{x \in [[\text{Rep-Set-0} (S \ \tau)]]$. P (λ -. x) $\tau \neq \text{false } \tau$]]]
else \perp)

syntax

-OclSelect :: [($\mathfrak{A}, ' \alpha :: \text{null}$) Set, id, (\mathfrak{A}) Boolean] \Rightarrow \mathfrak{A} Boolean ((-) \rightarrow select'(-|-'))

translations

$X \rightarrow \text{select}(x \mid P) == \text{CONST OclSelect } X \ (\% x. P)$

definition OclReject :: [($\mathfrak{A}, ' \alpha :: \text{null}$) Set, ($\mathfrak{A}, ' \alpha$) val \Rightarrow (\mathfrak{A}) Boolean] \Rightarrow ($\mathfrak{A}, ' \alpha :: \text{null}$) Set
where OclReject S P = OclSelect S (not o P)

syntax

-OclReject :: [($\mathfrak{A}, ' \alpha :: \text{null}$) Set, id, (\mathfrak{A}) Boolean] \Rightarrow \mathfrak{A} Boolean ((-) \rightarrow reject'(-|-'))

translations

$X \rightarrow \text{reject}(x \mid P) == \text{CONST OclReject } X \ (\% x. P)$

Definition (futur operators)

consts

OclCount :: [($\mathfrak{A}, ' \alpha :: \text{null}$) Set, ($\mathfrak{A}, ' \alpha$) Set] \Rightarrow \mathfrak{A} Integer

$OclSum :: ('A, 'a::null) Set \Rightarrow 'A Integer$
 $OclIncludesAll :: [('A, 'a::null) Set, ('A, 'a) Set] \Rightarrow 'A Boolean$
 $OclExcludesAll :: [('A, 'a::null) Set, ('A, 'a) Set] \Rightarrow 'A Boolean$
 $OclComplement :: ('A, 'a::null) Set \Rightarrow ('A, 'a) Set$
 $OclUnion :: [('A, 'a::null) Set, ('A, 'a) Set] \Rightarrow ('A, 'a) Set$
 $OclIntersection :: [('A, 'a::null) Set, ('A, 'a) Set] \Rightarrow ('A, 'a) Set$

notation

$OclCount \quad (\rightarrow count'(-))$

notation

$OclSum \quad (\rightarrow sum'(-))$

notation

$OclIncludesAll \quad (\rightarrow includesAll'(-))$

notation

$OclExcludesAll \quad (\rightarrow excludesAll'(-))$

notation

$OclComplement \quad (\rightarrow complement'(-))$

notation

$OclUnion \quad (\rightarrow union'(-))$

notation

$OclIntersection \quad (\rightarrow intersection'(-))$

4.4.2. Validity and Definedness Properties

OclIncluding

lemma *OclIncluding-defined-args-valid*:

$(\tau \models \delta(X \rightarrow including(x))) = ((\tau \models (\delta X)) \wedge (\tau \models (v x)))$

proof –

have $A : \perp \in \{X. X = bot \vee X = null \vee (\forall x \in [X]. x \neq bot)\}$ **by** (*simp add: bot-option-def*)

have $B : \perp \in \{X. X = bot \vee X = null \vee (\forall x \in [X]. x \neq bot)\}$

by (*simp add: null-option-def bot-option-def*)

have $C : (\tau \models (\delta X)) \implies (\tau \models (v x)) \implies$

$[[insert (x \tau) [Rep-Set-0 (X \tau)]]] \in \{X. X = bot \vee X = null \vee (\forall x \in [X]. x \neq bot)\}$

by (*frule Set-inv-lemma, simp add: foundation18 invalid-def*)

have $D : (\tau \models \delta(X \rightarrow including(x))) \implies ((\tau \models (\delta X)) \wedge (\tau \models (v x)))$

by (*auto simp: OclIncluding-def OclValid-def true-def valid-def false-def StrongEq-def defined-def invalid-def bot-fun-def null-fun-def*

split: bool.split-asm HOL.split-if-asm option.split)

have $E : (\tau \models (\delta X)) \implies (\tau \models (v x)) \implies (\tau \models \delta(X \rightarrow including(x)))$

apply (*subst OclIncluding-def, subst OclValid-def, subst defined-def*)

apply (*auto simp: OclValid-def null-Set-0-def bot-Set-0-def null-fun-def bot-fun-def*)

apply (*frule Abs-Set-0-inject[OF C A, simplified OclValid-def, THEN iffD1],*

simp-all add: bot-option-def)

apply (*frule Abs-Set-0-inject[OF C B, simplified OclValid-def, THEN iffD1],*

simp-all add: bot-option-def)

done

show *?thesis* **by** (*auto dest:D intro:E*)

qed

lemma *OclIncluding-valid-args-valid*:

$(\tau \models v(X \rightarrow \text{including}(x))) = ((\tau \models (\delta \ X)) \wedge (\tau \models (v \ x)))$

proof –

have $D: (\tau \models v(X \rightarrow \text{including}(x))) \implies ((\tau \models (\delta \ X)) \wedge (\tau \models (v \ x)))$

by(*auto simp: OclIncluding-def OclValid-def true-def valid-def false-def StrongEq-def defined-def invalid-def bot-fun-def null-fun-def split: bool.split-asm HOL.split-if-asm option.split*)

have $E: (\tau \models (\delta \ X)) \implies (\tau \models (v \ x)) \implies (\tau \models v(X \rightarrow \text{including}(x)))$

by(*simp add: foundation20 OclIncluding-defined-args-valid*)

show *?thesis* **by**(*auto dest:D intro:E*)

qed

lemma *OclIncluding-defined-args-valid* '[*simp,code-unfold*]:

$\delta(X \rightarrow \text{including}(x)) = ((\delta \ X) \text{ and } (v \ x))$

by(*auto intro!: transform2-rev simp: OclIncluding-defined-args-valid foundation10 defined-and-I*)

lemma *OclIncluding-valid-args-valid* ''[*simp,code-unfold*]:

$v(X \rightarrow \text{including}(x)) = ((\delta \ X) \text{ and } (v \ x))$

by(*auto intro!: transform2-rev simp: OclIncluding-valid-args-valid foundation10 defined-and-I*)

OclExcluding

lemma *OclExcluding-defined-args-valid*:

$(\tau \models \delta(X \rightarrow \text{excluding}(x))) = ((\tau \models (\delta \ X)) \wedge (\tau \models (v \ x)))$

proof –

have $A: \perp \in \{X. X = \text{bot} \vee X = \text{null} \vee (\forall x \in [\![X]\!]. x \neq \text{bot})\}$ **by**(*simp add: bot-option-def*)

have $B: \lfloor \perp \rfloor \in \{X. X = \text{bot} \vee X = \text{null} \vee (\forall x \in [\![X]\!]. x \neq \text{bot})\}$

by(*simp add: null-option-def bot-option-def*)

have $C: (\tau \models (\delta \ X)) \implies (\tau \models (v \ x)) \implies$

$[\![\![\![\text{Rep-Set-0} \ (X \ \tau)]\!] - \{x \ \tau\}]\!] \in \{X. X = \text{bot} \vee X = \text{null} \vee (\forall x \in [\![X]\!]. x \neq \text{bot})\}$

by(*frule Set-inv-lemma, simp add: foundation18 invalid-def*)

have $D: (\tau \models \delta(X \rightarrow \text{excluding}(x))) \implies ((\tau \models (\delta \ X)) \wedge (\tau \models (v \ x)))$

by(*auto simp: OclExcluding-def OclValid-def true-def valid-def false-def StrongEq-def defined-def invalid-def bot-fun-def null-fun-def*

split: bool.split-asm HOL.split-if-asm option.split)

have $E: (\tau \models (\delta \ X)) \implies (\tau \models (v \ x)) \implies (\tau \models \delta(X \rightarrow \text{excluding}(x)))$

apply(*subst OclExcluding-def, subst OclValid-def, subst defined-def*)

apply(*auto simp: OclValid-def null-Set-0-def bot-Set-0-def null-fun-def bot-fun-def*)

apply(*frule Abs-Set-0-inject[OF C A, simplified OclValid-def, THEN iffD1], simp-all add: bot-option-def*)

apply(*frule Abs-Set-0-inject[OF C B, simplified OclValid-def, THEN iffD1], simp-all add: bot-option-def*)

done

show *?thesis* **by**(*auto dest:D intro:E*)

qed

lemma *OclExcluding-valid-args-valid*:

$(\tau \models v(X \rightarrow \text{excluding}(x))) = ((\tau \models (\delta \ X)) \wedge (\tau \models (v \ x)))$

proof –

have *D*: $(\tau \models v(X \rightarrow \text{excluding}(x))) \implies ((\tau \models (\delta \ X)) \wedge (\tau \models (v \ x)))$

by(*auto simp: OclExcluding-def OclValid-def true-def valid-def false-def StrongEq-def
defined-def invalid-def bot-fun-def null-fun-def
split: bool.split-asm HOL.split-if-asm option.split*)

have *E*: $(\tau \models (\delta \ X)) \implies (\tau \models (v \ x)) \implies (\tau \models v(X \rightarrow \text{excluding}(x)))$

by(*simp add: foundation20 OclExcluding-defined-args-valid*)

show *?thesis* **by**(*auto dest:D intro:E*)

qed

lemma *OclExcluding-valid-args-valid'[simp,code-unfold]*:

$\delta(X \rightarrow \text{excluding}(x)) = ((\delta \ X) \text{ and } (v \ x))$

by(*auto intro!: transform2-rev simp: OclExcluding-defined-args-valid foundation10 defined-and-I*)

lemma *OclExcluding-valid-args-valid''[simp,code-unfold]*:

$v(X \rightarrow \text{excluding}(x)) = ((\delta \ X) \text{ and } (v \ x))$

by(*auto intro!: transform2-rev simp: OclExcluding-valid-args-valid foundation10 defined-and-I*)

OclIncludes

lemma *OclIncludes-defined-args-valid*:

$(\tau \models \delta(X \rightarrow \text{includes}(x))) = ((\tau \models (\delta \ X)) \wedge (\tau \models (v \ x)))$

proof –

have *A*: $(\tau \models \delta(X \rightarrow \text{includes}(x))) \implies ((\tau \models (\delta \ X)) \wedge (\tau \models (v \ x)))$

by(*auto simp: OclIncludes-def OclValid-def true-def valid-def false-def StrongEq-def
defined-def invalid-def bot-fun-def null-fun-def
split: bool.split-asm HOL.split-if-asm option.split*)

have *B*: $(\tau \models (\delta \ X)) \implies (\tau \models (v \ x)) \implies (\tau \models \delta(X \rightarrow \text{includes}(x)))$

by(*auto simp: OclIncludes-def OclValid-def true-def false-def StrongEq-def
defined-def invalid-def valid-def bot-fun-def null-fun-def
bot-option-def null-option-def
split: bool.split-asm HOL.split-if-asm option.split*)

show *?thesis* **by**(*auto dest:A intro:B*)

qed

lemma *OclIncludes-valid-args-valid*:

$(\tau \models v(X \rightarrow \text{includes}(x))) = ((\tau \models (\delta \ X)) \wedge (\tau \models (v \ x)))$

proof –

have *A*: $(\tau \models v(X \rightarrow \text{includes}(x))) \implies ((\tau \models (\delta \ X)) \wedge (\tau \models (v \ x)))$

by(*auto simp: OclIncludes-def OclValid-def true-def valid-def false-def StrongEq-def
defined-def invalid-def bot-fun-def null-fun-def
split: bool.split-asm HOL.split-if-asm option.split*)

have *B*: $(\tau \models (\delta \ X)) \implies (\tau \models (v \ x)) \implies (\tau \models v(X \rightarrow \text{includes}(x)))$

by(*auto simp: OclIncludes-def OclValid-def true-def false-def StrongEq-def*)

defined-def invalid-def valid-def bot-fun-def null-fun-def
 bot-option-def null-option-def
 $\text{split: bool.split-asm HOL.split-if-asm option.split}$
show $?thesis$ **by**($\text{auto dest:A intro:B}$)
qed

lemma $\text{OclIncludes-valid-args-valid'}$ [simp,code-unfold]:
 $\delta(X \rightarrow \text{includes}(x)) = ((\delta X) \text{ and } (v x))$
by($\text{auto intro!: transform2-rev simp:OclIncludes-defined-args-valid foundation10 defined-and-I}$)

lemma $\text{OclIncludes-valid-args-valid''}$ [simp,code-unfold]:
 $v(X \rightarrow \text{includes}(x)) = ((\delta X) \text{ and } (v x))$
by($\text{auto intro!: transform2-rev simp:OclIncludes-valid-args-valid foundation10 defined-and-I}$)

OclExcludes

lemma $\text{OclExcludes-defined-args-valid}$:
 $(\tau \models \delta(X \rightarrow \text{excludes}(x))) = ((\tau \models (\delta X)) \wedge (\tau \models (v x)))$
by ($\text{metis (hide-lams, no-types)}$
 $\text{OclExcludes-def OclAnd-idem OclOr-def OclOr-idem defined-not-I}$
 $\text{OclIncludes-defined-args-valid}$)

lemma $\text{OclExcludes-valid-args-valid}$:
 $(\tau \models v(X \rightarrow \text{excludes}(x))) = ((\tau \models (\delta X)) \wedge (\tau \models (v x)))$
by ($\text{metis (hide-lams, no-types)}$
 $\text{OclExcludes-def OclAnd-idem OclOr-def OclOr-idem valid-not-I OclIncludes-valid-args-valid}$)

lemma $\text{OclExcludes-valid-args-valid'}$ [simp,code-unfold]:
 $\delta(X \rightarrow \text{excludes}(x)) = ((\delta X) \text{ and } (v x))$
by($\text{auto intro!: transform2-rev simp:OclExcludes-defined-args-valid foundation10 defined-and-I}$)

lemma $\text{OclExcludes-valid-args-valid''}$ [simp,code-unfold]:
 $v(X \rightarrow \text{excludes}(x)) = ((\delta X) \text{ and } (v x))$
by($\text{auto intro!: transform2-rev simp:OclExcludes-valid-args-valid foundation10 defined-and-I}$)

OclSize

lemma $\text{OclSize-defined-args-valid}$: $\tau \models \delta(X \rightarrow \text{size}()) \implies \tau \models \delta X$
by($\text{auto simp: OclSize-def OclValid-def true-def valid-def false-def StrongEq-def}$
 $\text{defined-def invalid-def bot-fun-def null-fun-def}$
 $\text{split: bool.split-asm HOL.split-if-asm option.split}$)

lemma OclSize-infinite :
assumes $\text{non-finite: } \tau \models \text{not}(\delta(S \rightarrow \text{size}()))$
shows $(\tau \models \text{not}(\delta(S))) \vee \neg \text{finite } [[\text{Rep-Set-0 } (S \ \tau)]]$
apply($\text{insert non-finite, simp}$)
apply(rule impI)
apply($\text{simp add: OclSize-def OclValid-def defined-def}$)
apply($\text{case-tac finite } [[\text{Rep-Set-0 } (S \ \tau)]]$,
 $\text{simp-all add:null-fun-def null-option-def bot-fun-def bot-option-def}$)

done

lemma $\tau \models \delta X \implies \neg \text{finite } [[\text{Rep-Set-0 } (X \ \tau)]] \implies \neg \tau \models \delta (X \rightarrow \text{size}())$
by(*simp add: OclSize-def OclValid-def defined-def bot-fun-def false-def true-def*)

lemma *size-defined*:

assumes *X-finite*: $\bigwedge \tau. \text{finite } [[\text{Rep-Set-0 } (X \ \tau)]]$

shows $\delta (X \rightarrow \text{size}()) = \delta X$

apply(*rule ext, simp add: cp-defined[of X \rightarrow size()] OclSize-def*)

apply(*simp add: defined-def bot-option-def bot-fun-def null-option-def null-fun-def X-finite*)

done

lemma *size-defined'*:

assumes *X-finite*: *finite* $[[\text{Rep-Set-0 } (X \ \tau)]]$

shows $(\tau \models \delta (X \rightarrow \text{size}())) = (\tau \models \delta X)$

apply(*simp add: cp-defined[of X \rightarrow size()] OclSize-def OclValid-def*)

apply(*simp add: defined-def bot-option-def bot-fun-def null-option-def null-fun-def X-finite*)

done

OclIsEmpty

lemma *OclIsEmpty-defined-args-valid*: $\tau \models \delta (X \rightarrow \text{isEmpty}()) \implies \tau \models v X$

apply(*auto simp: OclIsEmpty-def OclValid-def defined-def valid-def false-def true-def
bot-fun-def null-fun-def OclAnd-def OclOr-def OclNot-def
split: split-if-asm*)

apply(*case-tac (X \rightarrow size()) \doteq 0 τ , simp add: bot-option-def, simp, rename-tac x*)

apply(*case-tac x, simp add: null-option-def bot-option-def, simp*)

apply(*simp add: OclSize-def StrictRefEqInteger valid-def*)

by (*metis (hide-lams, no-types)*)

OCL-core.bot-fun-def OclValid-def defined-def foundation2 invalid-def)

lemma $\tau \models \delta (\text{null} \rightarrow \text{isEmpty}())$

by(*auto simp: OclIsEmpty-def OclValid-def defined-def valid-def false-def true-def
bot-fun-def null-fun-def OclAnd-def OclOr-def OclNot-def null-is-valid
split: split-if-asm*)

lemma *OclIsEmpty-infinite*: $\tau \models \delta X \implies \neg \text{finite } [[\text{Rep-Set-0 } (X \ \tau)]] \implies \neg \tau \models \delta (X \rightarrow \text{isEmpty}())$

apply(*auto simp: OclIsEmpty-def OclValid-def defined-def valid-def false-def true-def
bot-fun-def null-fun-def OclAnd-def OclOr-def OclNot-def
split: split-if-asm*)

apply(*case-tac (X \rightarrow size()) \doteq 0 τ , simp add: bot-option-def, simp, rename-tac x*)

apply(*case-tac x, simp add: null-option-def bot-option-def, simp*)

by(*simp add: OclSize-def StrictRefEqInteger valid-def bot-fun-def false-def true-def invalid-def*)

OclNotEmpty

lemma *OclNotEmpty-defined-args-valid*: $\tau \models \delta (X \rightarrow \text{notEmpty}()) \implies \tau \models v X$

by (*metis (hide-lams, no-types) OclNotEmpty-def OclNot-defargs OclNot-not foundation6
foundation9*)

OclIsEmpty-defined-args-valid)

lemma $\tau \models \delta \text{ (null} \rightarrow \text{notEmpty())}$

by (*metis* (*hide-lams*, *no-types*) *OclNotEmpty-def* *OclAnd-false1* *OclAnd-idem* *OclIsEmpty-def* *OclNot3* *OclNot4* *OclOr-def* *defined2* *defined4* *transform1* *valid2*)

lemma *OclNotEmpty-infinite*: $\tau \models \delta X \implies \neg \text{finite } \llbracket \text{Rep-Set-0 } (X \ \tau) \rrbracket \implies \neg \tau \models \delta (X \rightarrow \text{notEmpty()})$

apply(*simp* *add*: *OclNotEmpty-def*)

apply(*drule* *OclIsEmpty-infinite*, *simp*)

by (*metis* *OclNot-defargs* *OclNot-not* *foundation6* *foundation9*)

lemma *OclNotEmpty-has-elt* : $\tau \models \delta X \implies$

$\tau \models X \rightarrow \text{notEmpty()} \implies$

$\exists e. e \in \llbracket \text{Rep-Set-0 } (X \ \tau) \rrbracket$

apply(*simp* *add*: *OclNotEmpty-def* *OclIsEmpty-def* *deMorgan1* *deMorgan2*, *drule* *foundation5*)

apply(*subst* (*asm*) (2) *OclNot-def*,

simp *add*: *OclValid-def* *StrictRefEqInteger* *StrongEq-def*

split: *split-if-asm*)

prefer 2

apply(*simp* *add*: *invalid-def* *bot-option-def* *true-def*)

apply(*simp* *add*: *OclSize-def* *valid-def* *split*: *split-if-asm*,

simp-all *add*: *false-def* *true-def* *bot-option-def* *bot-fun-def* *OclInt0-def*)

by (*metis* *equals0I*)

OclANY

lemma *OclANY-defined-args-valid*: $\tau \models \delta (X \rightarrow \text{any()}) \implies \tau \models \delta X$

by(*auto* *simp*: *OclANY-def* *OclValid-def* *true-def* *valid-def* *false-def* *StrongEq-def* *defined-def* *invalid-def* *bot-fun-def* *null-fun-def* *OclAnd-def* *split*: *bool.split-asm* *HOL.split-if-asm* *option.split*)

lemma $\tau \models \delta X \implies \tau \models X \rightarrow \text{isEmpty()} \implies \neg \tau \models \delta (X \rightarrow \text{any()})$

apply(*simp* *add*: *OclANY-def* *OclValid-def*)

apply(*subst* *cp-defined*, *subst* *cp-OclAnd*, *simp* *add*: *OclNotEmpty-def*, *subst* (1 2) *cp-OclNot*, *simp* *add*: *cp-OclNot[symmetric]* *cp-OclAnd[symmetric]* *cp-defined[symmetric]*, *simp* *add*: *false-def* *true-def*)

by(*drule* *foundation20[simplified* *OclValid-def* *true-def*], *simp*)

lemma *OclANY-valid-args-valid*:

$(\tau \models v(X \rightarrow \text{any()})) = (\tau \models v X)$

proof –

have *A*: $(\tau \models v(X \rightarrow \text{any()})) \implies ((\tau \models (v X)))$

by(*auto* *simp*: *OclANY-def* *OclValid-def* *true-def* *valid-def* *false-def* *StrongEq-def* *defined-def* *invalid-def* *bot-fun-def* *null-fun-def* *split*: *bool.split-asm* *HOL.split-if-asm* *option.split*)

have *B*: $(\tau \models (v X)) \implies (\tau \models v(X \rightarrow \text{any()}))$

apply(*auto* *simp*: *OclANY-def* *OclValid-def* *true-def* *false-def* *StrongEq-def* *defined-def* *invalid-def* *valid-def* *bot-fun-def* *null-fun-def*)

```

      bot-option-def null-option-def null-is-valid
      OclAnd-def
      split: bool.split-asm HOL.split-if-asm option.split)
  apply(frul Set-inv-lemma[OF foundation16[THEN iffD2], OF conjI], simp)
  apply(subgoal-tac ( $\delta$  X)  $\tau = \text{true}$   $\tau$ )
  prefer 2
  apply (metis (hide-lams, no-types) OclValid-def foundation16)
  apply(simp add: true-def,
    drule OclNotEmpty-has-elt[simplified OclValid-def true-def], simp)
  by(erule exE,
    insert someI2[where Q =  $\lambda x. x \neq \perp$  and P =  $\lambda y. y \in [[\text{Rep-Set-0} (X \ \tau)]]$ ],
    simp)
  show ?thesis by(auto dest:A intro:B)
qed

```

```

lemma OclANY-valid-args-valid'[simp,code-unfold]:
  v(X->any()) = (v X)
by(auto intro!: OclANY-valid-args-valid transform2-rev)

```

4.4.3. Execution with Invalid or Null or Infinite Set as Argument

OclIncluding

```

lemma OclIncluding-invalid[simp,code-unfold]:(invalid->including(x)) = invalid
by(simp add: bot-fun-def OclIncluding-def invalid-def defined-def valid-def false-def true-def)

```

```

lemma OclIncluding-invalid-args[simp,code-unfold]:(X->including(invalid)) = invalid
by(simp add: OclIncluding-def invalid-def bot-fun-def defined-def valid-def false-def true-def)

```

```

lemma OclIncluding-null[simp,code-unfold]:(null->including(x)) = invalid
by(simp add: OclIncluding-def invalid-def bot-fun-def defined-def valid-def false-def true-def)

```

OclExcluding

```

lemma OclExcluding-invalid[simp,code-unfold]:(invalid->excluding(x)) = invalid
by(simp add: bot-fun-def OclExcluding-def invalid-def defined-def valid-def false-def true-def)

```

```

lemma OclExcluding-invalid-args[simp,code-unfold]:(X->excluding(invalid)) = invalid
by(simp add: OclExcluding-def invalid-def bot-fun-def defined-def valid-def false-def true-def)

```

```

lemma OclExcluding-null[simp,code-unfold]:(null->excluding(x)) = invalid
by(simp add: OclExcluding-def invalid-def bot-fun-def defined-def valid-def false-def true-def)

```

OclIncludes

```

lemma OclIncludes-invalid[simp,code-unfold]:(invalid->includes(x)) = invalid
by(simp add: bot-fun-def OclIncludes-def invalid-def defined-def valid-def false-def true-def)

```

```

lemma OclIncludes-invalid-args[simp,code-unfold]:(X->includes(invalid)) = invalid
by(simp add: OclIncludes-def invalid-def bot-fun-def defined-def valid-def false-def true-def)

```

lemma *OclIncludes-null*[simp,code-unfold]:(*null*→*includes*(*x*)) = *invalid*
by(simp add: *OclIncludes-def invalid-def bot-fun-def defined-def valid-def false-def true-def*)

OclExcludes

lemma *OclExcludes-invalid*[simp,code-unfold]:(*invalid*→*excludes*(*x*)) = *invalid*
by(simp add: *OclExcludes-def OclNot-def, simp add: invalid-def bot-option-def*)

lemma *OclExcludes-invalid-args*[simp,code-unfold]:(*X*→*excludes*(*invalid*)) = *invalid*
by(simp add: *OclExcludes-def OclNot-def, simp add: invalid-def bot-option-def*)

lemma *OclExcludes-null*[simp,code-unfold]:(*null*→*excludes*(*x*)) = *invalid*
by(simp add: *OclExcludes-def OclNot-def, simp add: invalid-def bot-option-def*)

OclSize

lemma *OclSize-invalid*[simp,code-unfold]:(*invalid*→*size*()) = *invalid*
by(simp add: *bot-fun-def OclSize-def invalid-def defined-def valid-def false-def true-def*)

lemma *OclSize-null*[simp,code-unfold]:(*null*→*size*()) = *invalid*
by(rule ext,
 simp add: *bot-fun-def null-fun-def null-is-valid OclSize-def*
invalid-def defined-def valid-def false-def true-def)

OclIsEmpty

lemma *OclIsEmpty-invalid*[simp,code-unfold]:(*invalid*→*isEmpty*()) = *invalid*
by(simp add: *OclIsEmpty-def*)

lemma *OclIsEmpty-null*[simp,code-unfold]:(*null*→*isEmpty*()) = *true*
by(simp add: *OclIsEmpty-def*)

OclNotEmpty

lemma *OclNotEmpty-invalid*[simp,code-unfold]:(*invalid*→*notEmpty*()) = *invalid*
by(simp add: *OclNotEmpty-def*)

lemma *OclNotEmpty-null*[simp,code-unfold]:(*null*→*notEmpty*()) = *false*
by(simp add: *OclNotEmpty-def*)

OclANY

lemma *OclANY-invalid*[simp,code-unfold]:(*invalid*→*any*()) = *invalid*
by(simp add: *bot-fun-def OclANY-def invalid-def defined-def valid-def false-def true-def*)

lemma *OclANY-null*[simp,code-unfold]:(*null*→*any*()) = *null*
by(simp add: *OclANY-def false-def true-def*)

OclForall

lemma *OclForall-invalid*[simp,code-unfold]:invalid \rightarrow forall($a \mid P \ a$) = invalid
by(simp add: bot-fun-def invalid-def OclForall-def defined-def valid-def false-def true-def)

lemma *OclForall-null*[simp,code-unfold]:null \rightarrow forall($a \mid P \ a$) = invalid
by(simp add: bot-fun-def invalid-def OclForall-def defined-def valid-def false-def true-def)

OclExists

lemma *OclExists-invalid*[simp,code-unfold]:invalid \rightarrow exists($a \mid P \ a$) = invalid
by(simp add: OclExists-def)

lemma *OclExists-null*[simp,code-unfold]:null \rightarrow exists($a \mid P \ a$) = invalid
by(simp add: OclExists-def)

OclIterate

lemma *OclIterate-invalid*[simp,code-unfold]:invalid \rightarrow iterate($a; x = A \mid P \ a \ x$) = invalid
by(simp add: bot-fun-def invalid-def OclIterate-def defined-def valid-def false-def true-def)

lemma *OclIterate-null*[simp,code-unfold]:null \rightarrow iterate($a; x = A \mid P \ a \ x$) = invalid
by(simp add: bot-fun-def invalid-def OclIterate-def defined-def valid-def false-def true-def)

lemma *OclIterate-invalid-args*[simp,code-unfold]:S \rightarrow iterate($a; x = invalid \mid P \ a \ x$) = invalid
by(simp add: bot-fun-def invalid-def OclIterate-def defined-def valid-def false-def true-def)

An open question is this ...

lemma S \rightarrow iterate($a; x = null \mid P \ a \ x$) = invalid
oops

lemma *OclIterate-infinite*:
assumes non-finite: $\tau \models \text{not}(\delta(S \rightarrow \text{size}()))$
shows (OclIterate S A F) $\tau = \text{invalid}$ τ
apply(insert non-finite [THEN OclSize-infinite])
apply(subst (asm) foundation9, simp)
by(metis OclIterate-def OclValid-def invalid-def)

OclSelect

lemma *OclSelect-invalid*[simp,code-unfold]:invalid \rightarrow select($a \mid P \ a$) = invalid
by(simp add: bot-fun-def invalid-def OclSelect-def defined-def valid-def false-def true-def)

lemma *OclSelect-null*[simp,code-unfold]:null \rightarrow select($a \mid P \ a$) = invalid
by(simp add: bot-fun-def invalid-def OclSelect-def defined-def valid-def false-def true-def)

OclReject

lemma *OclReject-invalid*[simp,code-unfold]:invalid \rightarrow reject($a \mid P \ a$) = invalid

by(*simp add: OclReject-def*)

lemma *OclReject-null[simp,code-unfold]:null->reject(a | P a) = invalid*
by(*simp add: OclReject-def*)

4.4.4. Context Passing

lemma *cp-OclIncluding:*
 $(X \rightarrow \text{including}(x)) \tau = ((\lambda -. X \tau) \rightarrow \text{including}(\lambda -. x \tau)) \tau$
by(*auto simp: OclIncluding-def StrongEq-def invalid-def*
cp-defined[symmetric] cp-valid[symmetric])

lemma *cp-OclExcluding:*
 $(X \rightarrow \text{excluding}(x)) \tau = ((\lambda -. X \tau) \rightarrow \text{excluding}(\lambda -. x \tau)) \tau$
by(*auto simp: OclExcluding-def StrongEq-def invalid-def*
cp-defined[symmetric] cp-valid[symmetric])

lemma *cp-OclIncludes:*
 $(X \rightarrow \text{includes}(x)) \tau = ((\lambda -. X \tau) \rightarrow \text{includes}(\lambda -. x \tau)) \tau$
by(*auto simp: OclIncludes-def StrongEq-def invalid-def*
cp-defined[symmetric] cp-valid[symmetric])

lemma *cp-OclIncludes1:*
 $(X \rightarrow \text{includes}(x)) \tau = (X \rightarrow \text{includes}(\lambda -. x \tau)) \tau$
by(*auto simp: OclIncludes-def StrongEq-def invalid-def*
cp-defined[symmetric] cp-valid[symmetric])

lemma *cp-OclExcludes:*
 $(X \rightarrow \text{excludes}(x)) \tau = ((\lambda -. X \tau) \rightarrow \text{excludes}(\lambda -. x \tau)) \tau$
by(*simp add: OclExcludes-def OclNot-def, subst cp-OclIncludes, simp*)

lemma *cp-OclSize: X->size() τ = ((λ-. X τ)->size()) τ*
by(*simp add: OclSize-def cp-defined[symmetric]*)

lemma *cp-OclIsEmpty: X->isEmpty() τ = ((λ-. X τ)->isEmpty()) τ*
apply(*simp only: OclIsEmpty-def*)
apply(*subst (2) cp-OclOr,*
subst cp-OclAnd,
subst cp-OclNot,
subst cp-StrictRefEqInteger)
by(*simp add: cp-defined[symmetric] cp-valid[symmetric] cp-StrictRefEqInteger[symmetric]*
cp-OclSize[symmetric] cp-OclNot[symmetric] cp-OclAnd[symmetric]
cp-OclOr[symmetric])

lemma *cp-OclNotEmpty: X->notEmpty() τ = ((λ-. X τ)->notEmpty()) τ*
apply(*simp only: OclNotEmpty-def*)
apply(*subst (2) cp-OclNot*)
by(*simp add: cp-OclNot[symmetric] cp-OclIsEmpty[symmetric]*)

lemma *cp-OclANY*: $X \rightarrow \text{any}() \tau = ((\lambda \cdot. X \tau) \rightarrow \text{any}()) \tau$
apply(*simp only*: *OclANY-def*)
apply(*subst* (2) *cp-OclAnd*)
by(*simp only*: *cp-OclAnd[symmetric]* *cp-defined[symmetric]* *cp-valid[symmetric]*
cp-OclNotEmpty[symmetric])

lemma *cp-OclForall*:
 $(S \rightarrow \text{forAll}(x \mid P x)) \tau = ((\lambda \cdot. S \tau) \rightarrow \text{forAll}(x \mid P (\lambda \cdot. x \tau))) \tau$
by(*simp add*: *OclForall-def* *cp-defined[symmetric]*)

lemma *cp-OclForall1* [*simp,intro!*]:
 $cp S \implies cp (\lambda X. ((S X) \rightarrow \text{forAll}(x \mid P x)))$
apply(*simp add*: *cp-def*)
apply(*erule exE*, *rule exI*, *intro allI*)
apply(*erule-tac* $x=X$ **in** *allE*)
by(*subst* *cp-OclForall*, *simp*)

lemma
 $cp (\lambda X St x. P (\lambda \tau. x) X St) \implies cp S \implies cp (\lambda X. (S X) \rightarrow \text{forAll}(x \mid P x X))$
apply(*simp only*: *cp-def*)
oops

lemma
 $cp S \implies$
 $(\bigwedge x. cp(P x)) \implies$
 $cp(\lambda X. ((S X) \rightarrow \text{forAll}(x \mid P x X)))$
oops

lemma *cp-OclExists*:
 $(S \rightarrow \text{exists}(x \mid P x)) \tau = ((\lambda \cdot. S \tau) \rightarrow \text{exists}(x \mid P (\lambda \cdot. x \tau))) \tau$
by(*simp add*: *OclExists-def* *OclNot-def*, *subst* *cp-OclForall*, *simp*)

lemma *cp-OclExists1* [*simp,intro!*]:
 $cp S \implies cp (\lambda X. ((S X) \rightarrow \text{exists}(x \mid P x)))$
apply(*simp add*: *cp-def*)
apply(*erule exE*, *rule exI*, *intro allI*)
apply(*erule-tac* $x=X$ **in** *allE*)
by(*subst* *cp-OclExists*, *simp*)

lemma *cp-OclIterate*: $(X \rightarrow \text{iterate}(a; x = A \mid P a x)) \tau =$
 $((\lambda \cdot. X \tau) \rightarrow \text{iterate}(a; x = A \mid P a x)) \tau$
by(*simp add*: *OclIterate-def* *cp-defined[symmetric]*)

lemma *cp-OclSelect*: $(X \rightarrow \text{select}(a \mid P a)) \tau =$
 $((\lambda \cdot. X \tau) \rightarrow \text{select}(a \mid P a)) \tau$

by(simp add: OclSelect-def cp-defined[symmetric])

lemma cp-OclReject: $(X \rightarrow \text{reject}(a \mid P \ a)) \ \tau =$
 $((\lambda \ . \ X \ \tau) \rightarrow \text{reject}(a \mid P \ a)) \ \tau$

by(simp add: OclReject-def, subst cp-OclSelect, simp)

lemmas cp-intro'[intro!,simp,code-unfold] =

cp-intro'

cp-OclIncluding [THEN allI[THEN allI[THEN allI[THEN cpI2]], of OclIncluding]]

cp-OclExcluding [THEN allI[THEN allI[THEN allI[THEN cpI2]], of OclExcluding]]

cp-OclIncludes [THEN allI[THEN allI[THEN allI[THEN cpI2]], of OclIncludes]]

cp-OclExcludes [THEN allI[THEN allI[THEN allI[THEN cpI2]], of OclExcludes]]

cp-OclSize [THEN allI[THEN allI[THEN cpI1], of OclSize]]

cp-OclIsEmpty [THEN allI[THEN allI[THEN cpI1], of OclIsEmpty]]

cp-OclNotEmpty [THEN allI[THEN allI[THEN cpI1], of OclNotEmpty]]

cp-OclANY [THEN allI[THEN allI[THEN cpI1], of OclANY]]

4.4.5. Const

lemma const-OclIncluding[simp,code-unfold] :

assumes const-x : const x

and const-S : const S

shows const (S \rightarrow including(x))

proof –

have A: $\bigwedge \tau \ \tau'. \neg (\tau \models v \ x) \implies (S \rightarrow \text{including}(x) \ \tau) = (S \rightarrow \text{including}(x) \ \tau')$

apply(simp add: foundation18)

apply(erule const-subst[OF const-x const-invalid],simp-all)

by(rule const-charn[OF const-invalid])

have B: $\bigwedge \tau \ \tau'. \neg (\tau \models \delta \ S) \implies (S \rightarrow \text{including}(x) \ \tau) = (S \rightarrow \text{including}(x) \ \tau')$

apply(simp add: foundation16', elim disjE)

apply(erule const-subst[OF const-S const-invalid],simp-all)

apply(rule const-charn[OF const-invalid])

apply(erule const-subst[OF const-S const-null],simp-all)

by(rule const-charn[OF const-invalid])

show ?thesis

apply(simp only: const-def,intro allI, rename-tac $\tau \ \tau'$)

apply(case-tac $\neg (\tau \models v \ x)$, simp add: A)

apply(case-tac $\neg (\tau \models \delta \ S)$, simp-all add: B)

apply(frule-tac $\tau'1 = \tau'$ in const-OclValid2[OF const-x, THEN iffD1])

apply(frule-tac $\tau'1 = \tau'$ in const-OclValid1[OF const-S, THEN iffD1])

apply(simp add: OclIncluding-def OclValid-def)

apply(subst const-charn[OF const-x])

apply(subst const-charn[OF const-S])

by simp

qed

4.5. Fundamental Predicates on Set: Strict Equality

4.5.1. Definition

After the part of foundational operations on sets, we detail here equality on sets. Strong equality is inherited from the OCL core, but we have to consider the case of the strict equality. We decide to overload strict equality in the same way we do for other value's in OCL:

```

defs  StrictRefEqSet :
  (x::('A,'α::null)Set) ≐ y ≡ λ τ. if (v x) τ = true ∧ (v y) τ = true τ
                                then (x ≐ y)τ
                                else invalid τ

```

One might object here that for the case of objects, this is an empty definition. The answer is no, we will restrain later on states and objects such that any object has its oid stored inside the object (so the ref, under which an object can be referenced in the store will be represented in the object itself). For such well-formed stores that satisfy this invariant (the WFF-invariant), the referential equality and the strong equality—and therefore the strict equality on sets in the sense above—coincides.

4.5.2. Logic and Algebraic Layer on Set

Reflexivity

To become operational, we derive:

```

lemma StrictRefEqSet-refl[simp,code-unfold]:
  ((x::('A,'α::null)Set) ≐ x) = (if (v x) then true else invalid endif)
by(rule ext, simp add: StrictRefEqSet OclIf-def)

```

Symmetry

```

lemma StrictRefEqSet-sym:
  ((x::('A,'α::null)Set) ≐ y) = (y ≐ x)
by(simp add: StrictRefEqSet, subst StrongEq-sym, rule ext, simp)

```

Execution with Invalid or Null as Argument

```

lemma StrictRefEqSet-strict1[simp,code-unfold]: ((x::('A,'α::null)Set) ≐ invalid) = invalid
by(simp add: StrictRefEqSet false-def true-def)

```

```

lemma StrictRefEqSet-strict2[simp,code-unfold]: (invalid ≐ (y::('A,'α::null)Set)) = invalid
by(simp add: StrictRefEqSet false-def true-def)

```

```

lemma StrictRefEqSet-strictEq-valid-args-valid:
  (τ ⊨ δ ((x::('A,'α::null)Set) ≐ y)) = ((τ ⊨ (v x)) ∧ (τ ⊨ (v y)))

```

proof –

```

  have A: τ ⊨ δ (x ≐ y) ⇒ τ ⊨ v x ∧ τ ⊨ v y
    apply(simp add: StrictRefEqSet valid-def OclValid-def defined-def)

```

```

    apply(simp add: invalid-def bot-fun-def split: split-if-asm)
  done
have B: ( $\tau \models v\ x \wedge \tau \models v\ y \implies \tau \models \delta\ (x \doteq y)$ )
  apply(simp add: StrictRefEqSet, elim conjE)
  apply(drule foundation13[THEN iffD2], drule foundation13[THEN iffD2])
  apply(rule cp-validity[THEN iffD2])
  apply(subst cp-defined, simp add: foundation22)
  apply(simp add: cp-defined[symmetric] cp-validity[symmetric])
  done
show ?thesis by(auto intro!: A B)
qed

```

Behavior vs StrongEq

```

lemma StrictRefEqSet-vs-StrongEq:
 $\tau \models v\ x \implies \tau \models v\ y \implies (\tau \models ((x::(\mathfrak{A}, \alpha::\text{null})\text{Set}) \doteq y) \triangleq (x \triangleq y)))$ 
  apply(drule foundation13[THEN iffD2], drule foundation13[THEN iffD2])
  by(simp add: StrictRefEqSet foundation22)

```

Context Passing

```

lemma cp-StrictRefEqSet: ( $(X::(\mathfrak{A}, \alpha::\text{null})\text{Set}) \doteq Y$ )  $\tau = ((\lambda-. X\ \tau) \doteq (\lambda-. Y\ \tau))\ \tau$ 
  by(simp add: StrictRefEqSet cp-StrongEq[symmetric] cp-valid[symmetric])

```

Const

```

lemma const-StrictRefEqSet :
  assumes const ( $X :: (-, ::\text{null})\text{Set}$ )
  assumes const  $X'$ 
  shows const ( $X \doteq X'$ )
  apply(simp only: const-def, intro allI)
  proof -
  fix  $\tau 1\ \tau 2$  show ( $X \doteq X'$ )  $\tau 1 = (X \doteq X')\ \tau 2$ 
    apply(simp only: StrictRefEqSet)
    by(simp add: const-valid[OF assms(1), simplified const-def, THEN spec, THEN spec, of  $\tau 1\ \tau 2$ ]
      const-valid[OF assms(2), simplified const-def, THEN spec, THEN spec, of  $\tau 1\ \tau 2$ ]
      const-true[simplified const-def, THEN spec, THEN spec, of  $\tau 1\ \tau 2$ ]
      const-invalid[simplified const-def, THEN spec, THEN spec, of  $\tau 1\ \tau 2$ ]
      const-StrongEq[OF assms, simplified const-def, THEN spec, THEN spec])
  qed

```

4.6. Execution on Set's Operators (with mtSet and recursive case as arguments)

4.6.1. OclIncluding

```

lemma OclIncluding-finite-rep-set :
  assumes  $X\text{-def} : \tau \models \delta\ X$ 

```

```

    and  $x\text{-val} : \tau \models v\ x$ 
    shows  $\text{finite } [[\text{Rep-Set-0 } (X \rightarrow \text{including}(x)\ \tau)]] = \text{finite } [[\text{Rep-Set-0 } (X\ \tau)]]$ 
  proof -
    have  $C : [[\text{insert } (x\ \tau) [[\text{Rep-Set-0 } (X\ \tau)]]]] \in \{X. X = \text{bot} \vee X = \text{null} \vee (\forall x \in [[X]]. x \neq \text{bot})\}$ 
    by (insert  $X\text{-def } x\text{-val}$ , frule  $\text{Set-inv-lemma}$ , simp add:  $\text{foundation18 invalid-def}$ )
    show ?thesis
    by (insert  $X\text{-def } x\text{-val}$ ,
        auto simp:  $\text{OclIncluding-def Abs-Set-0-inverse [OF } C]$ 
        dest:  $\text{foundation13 [THEN iffD2, THEN foundation22 [THEN iffD1]]}$ )
  qed

```

```

lemma  $\text{OclIncluding-rep-set}$ :
  assumes  $S\text{-def} : \tau \models \delta\ S$ 
  shows  $[[\text{Rep-Set-0 } (S \rightarrow \text{including}(\lambda-. [[x]])\ \tau)]] = \text{insert } [[x]] [[\text{Rep-Set-0 } (S\ \tau)]]$ 
  apply (simp add:  $\text{OclIncluding-def } S\text{-def [simplified OclValid-def]}$ )
  apply (subst  $\text{Abs-Set-0-inverse}$ , simp add:  $\text{bot-option-def null-option-def}$ )
  apply (insert  $\text{Set-inv-lemma [OF } S\text{-def}]$ , metis  $\text{bot-option-def not-Some-eq}$ )
  by (simp)

```

```

lemma  $\text{OclIncluding-notempty-rep-set}$ :
  assumes  $X\text{-def} : \tau \models \delta\ X$ 
  and  $a\text{-val} : \tau \models v\ a$ 
  shows  $[[\text{Rep-Set-0 } (X \rightarrow \text{including}(a)\ \tau)]] \neq \{\}$ 
  apply (simp add:  $\text{OclIncluding-def } X\text{-def [simplified OclValid-def]}$   $a\text{-val [simplified OclValid-def]}$ )
  apply (subst  $\text{Abs-Set-0-inverse}$ , simp add:  $\text{bot-option-def null-option-def}$ )
  apply (insert  $\text{Set-inv-lemma [OF } X\text{-def}]$ , metis  $a\text{-val foundation18'}$ )
  by (simp)

```

```

lemma  $\text{OclIncluding-includes}$ :
  assumes  $\tau \models X \rightarrow \text{includes}(x)$ 
  shows  $X \rightarrow \text{including}(x)\ \tau = X\ \tau$ 
  proof -
    have  $\text{includes-def} : \tau \models X \rightarrow \text{includes}(x) \implies \tau \models \delta\ X$ 
    by (metis  $\text{OCL-core.bot-fun-def OclIncludes-def OclValid-def defined3 foundation16}$ )

    have  $\text{includes-val} : \tau \models X \rightarrow \text{includes}(x) \implies \tau \models v\ x$ 
    by (metis ( $\text{hide-lams}$ ,  $\text{no-types}$ )  $\text{foundation6}$ 
         $\text{OclIncludes-valid-args-valid' OclIncluding-valid-args-valid OclIncluding-valid-args-valid'}$ )

    show ?thesis
    apply (insert  $\text{includes-def [OF assms]}$   $\text{includes-val [OF assms]}$   $\text{assms}$ ,
        simp add:  $\text{OclIncluding-def OclIncludes-def OclValid-def true-def}$ )
    apply (drule  $\text{insert-absorb}$ , simp, subst  $\text{abs-rep-simp'}$ )
    by (simp-all add:  $\text{OclValid-def true-def}$ )
  qed

```

```

show ?thesis
  apply (insert  $\text{includes-def [OF assms]}$   $\text{includes-val [OF assms]}$   $\text{assms}$ ,
      simp add:  $\text{OclIncluding-def OclIncludes-def OclValid-def true-def}$ )
  apply (drule  $\text{insert-absorb}$ , simp, subst  $\text{abs-rep-simp'}$ )
  by (simp-all add:  $\text{OclValid-def true-def}$ )
qed

```

4.6.2. OclExcluding

lemma *OclExcluding-cha0*[simp]:

assumes $val\text{-}x:\tau \models (v\ x)$

shows $\tau \models ((Set\{\}->excluding(x)) \triangleq Set\{\})$

proof –

have $A : [None] \in \{X. X = bot \vee X = null \vee (\forall x \in [X]. x \neq bot)\}$

by(simp add: null-option-def bot-option-def)

have $B : [[\{\}]] \in \{X. X = bot \vee X = null \vee (\forall x \in [X]. x \neq bot)\}$ **by**(simp add: mtSet-def)

show ?thesis **using** val-x

apply(auto simp: OclValid-def OclIncludes-def OclNot-def false-def true-def StrongEq-def
OclExcluding-def mtSet-def defined-def bot-fun-def null-fun-def null-Set-0-def)

apply(auto simp: mtSet-def OCL-lib.Set-0.Abs-Set-0-inverse
OCL-lib.Set-0.Abs-Set-0-inject[OF B A])

done

qed

lemma *OclExcluding-cha0-exec*[simp,code-unfold]:

$(Set\{\}->excluding(x)) = (if\ (v\ x)\ then\ Set\{\}\ else\ invalid\ endif)$

proof –

have $A : \bigwedge \tau. (Set\{\}->excluding(invalid)) \tau = (if\ (v\ invalid)\ then\ Set\{\}\ else\ invalid\ endif)$

τ

by simp

have $B : \bigwedge \tau\ x. \tau \models (v\ x) \implies$

$(Set\{\}->excluding(x)) \tau = (if\ (v\ x)\ then\ Set\{\}\ else\ invalid\ endif) \tau$

by(simp add: OclExcluding-cha0[THEN foundation22[THEN iffD1]])

show ?thesis

apply(rule ext, rename-tac τ)

apply(case-tac $\tau \models (v\ x)$)

apply(simp add: B)

apply(simp add: foundation18)

apply(subst cp-OclExcluding, simp)

apply(simp add: cp-OclIf[symmetric] cp-OclExcluding[symmetric] cp-valid[symmetric] A)

done

qed

lemma *OclExcluding-cha1*:

assumes $def\text{-}X:\tau \models (\delta\ X)$

and $val\text{-}x:\tau \models (v\ x)$

and $val\text{-}y:\tau \models (v\ y)$

and $neg\ :\tau \models not(x \triangleq y)$

shows $\tau \models ((X->including(x))->excluding(y)) \triangleq ((X->excluding(y))->including(x))$

proof –

have $C : [[insert\ (x\ \tau)\ [Rep\text{-}Set\text{-}0\ (X\ \tau)]]] \in \{X. X = bot \vee X = null \vee (\forall x \in [X]. x \neq bot)\}$

by(insert def-X val-x, frule Set-inv-lemma, simp add: foundation18 invalid-def)

have $D : [[[[Rep\text{-}Set\text{-}0\ (X\ \tau)] - \{y\ \tau\}]]] \in \{X. X = bot \vee X = null \vee (\forall x \in [X]. x \neq bot)\}$

```

    by(insert def-X val-x, frule Set-inv-lemma, simp add: foundation18 invalid-def)
have E : x  $\tau$   $\neq$  y  $\tau$ 
    by(insert neq,
        auto simp: OclValid-def bot-fun-def OclIncluding-def OclIncludes-def
            false-def true-def defined-def valid-def bot-Set-0-def
            null-fun-def null-Set-0-def StrongEq-def OclNot-def)

have G1 : Abs-Set-0 [[insert (x  $\tau$ ) [[Rep-Set-0 (X  $\tau$ )]]]]  $\neq$  Abs-Set-0 None
    by(insert C, simp add: Abs-Set-0-inject bot-option-def null-option-def)
have G2 : Abs-Set-0 [[insert (x  $\tau$ ) [[Rep-Set-0 (X  $\tau$ )]]]]  $\neq$  Abs-Set-0 [None]
    by(insert C, simp add: Abs-Set-0-inject bot-option-def null-option-def)
have G : ( $\delta$  ( $\lambda$ -. Abs-Set-0 [[insert (x  $\tau$ ) [[Rep-Set-0 (X  $\tau$ )]]]]))  $\tau$  = true  $\tau$ 
    by(auto simp: OclValid-def false-def true-def defined-def
        bot-fun-def bot-Set-0-def null-fun-def null-Set-0-def G1 G2)

have H1 : Abs-Set-0 [[[[Rep-Set-0 (X  $\tau$ )] - {y  $\tau$ }]]]  $\neq$  Abs-Set-0 None
    by(insert D, simp add: Abs-Set-0-inject bot-option-def null-option-def)
have H2 : Abs-Set-0 [[[[Rep-Set-0 (X  $\tau$ )] - {y  $\tau$ }]]]  $\neq$  Abs-Set-0 [None]
    by(insert D, simp add: Abs-Set-0-inject bot-option-def null-option-def)
have H : ( $\delta$  ( $\lambda$ -. Abs-Set-0 [[[[Rep-Set-0 (X  $\tau$ )] - {y  $\tau$ }]]]))  $\tau$  = true  $\tau$ 
    by(auto simp: OclValid-def false-def true-def defined-def
        bot-fun-def bot-Set-0-def null-fun-def null-Set-0-def H1 H2)

have Z : insert (x  $\tau$ ) [[Rep-Set-0 (X  $\tau$ )] - {y  $\tau$ }] = insert (x  $\tau$ ) ([[Rep-Set-0 (X  $\tau$ )] - {y  $\tau$ }])
    by(auto simp: E)
show ?thesis
    apply(insert def-X[THEN foundation13[THEN iffD2]] val-x[THEN foundation13[THEN
        iffD2]]
        val-y[THEN foundation13[THEN iffD2]])
    apply(simp add: foundation22 OclIncluding-def OclExcluding-def def-X[THEN foundation17])
    apply(subst cp-defined, simp)+
    apply(simp add: G H Abs-Set-0-inverse[OF C] Abs-Set-0-inverse[OF D] Z)
done
qed

lemma OclExcluding-charn2:
assumes def-X: $\tau \models (\delta X)$ 
and val-x: $\tau \models (v x)$ 
shows  $\tau \models (((X \rightarrow \text{including}(x)) \rightarrow \text{excluding}(x)) \triangleq (X \rightarrow \text{excluding}(x)))$ 
proof -
    have C : [[insert (x  $\tau$ ) [[Rep-Set-0 (X  $\tau$ )]]]]  $\in$  {X. X = bot  $\vee$  X = null  $\vee$  ( $\forall x \in [[X]]. x \neq \text{bot}$ )}
    by(insert def-X val-x, frule Set-inv-lemma, simp add: foundation18 invalid-def)
    have G1 : Abs-Set-0 [[insert (x  $\tau$ ) [[Rep-Set-0 (X  $\tau$ )]]]]  $\neq$  Abs-Set-0 None
        by(insert C, simp add: Abs-Set-0-inject bot-option-def null-option-def)
    have G2 : Abs-Set-0 [[insert (x  $\tau$ ) [[Rep-Set-0 (X  $\tau$ )]]]]  $\neq$  Abs-Set-0 [None]
        by(insert C, simp add: Abs-Set-0-inject bot-option-def null-option-def)
    show ?thesis

```

```

apply(insert def-X[THEN foundation17] val-x[THEN foundation19])
apply(auto simp: OclValid-def bot-fun-def OclIncluding-def OclIncludes-def false-def true-def
        invalid-def defined-def valid-def bot-Set-0-def null-fun-def null-Set-0-def
        StrongEq-def)
apply(subst cp-OclExcluding)
apply(auto simp: OclExcluding-def)
        apply(simp add: Abs-Set-0-inverse[OF C])
        apply(simp-all add: false-def true-def defined-def valid-def
        null-fun-def bot-fun-def null-Set-0-def bot-Set-0-def
        split: bool.split-asm HOL.split-if-asm option.split)
apply(auto simp: G1 G2)
done
qed

```

One would like a generic theorem of the form:

lemma OclExcluding_charn_exec:

```

”(X->including(x::('A,'a::null)val)->excluding(y)) =
  (if δ X then if x ≐ y
    then X->excluding(y)
    else X->excluding(y)->including(x)
  endif
  else invalid endif)”

```

Unfortunately, this does not hold in general, since referential equality is an overloaded concept and has to be defined for each type individually. Consequently, it is only valid for concrete type instances for Boolean, Integer, and Sets thereof..

The computational law *OclExcluding-charn-exec* becomes generic since it uses strict equality which in itself is generic. It is possible to prove the following generic theorem and instantiate it later (using properties that link the polymorphic logical strong equality with the concrete instance of strict quality).

lemma *OclExcluding-charn-exec*:

```

assumes strict1: (x ≐ invalid) = invalid
and      strict2: (invalid ≐ y) = invalid
and      StrictRefEq-valid-args-valid: ∧ (x::('A,'a::null)val) y τ.
        (τ ⊨ δ (x ≐ y)) = ((τ ⊨ (v x)) ∧ (τ ⊨ v y))
and      cp-StrictRefEq: ∧ (X::('A,'a::null)val) Y τ. (X ≐ Y) τ = ((λ-. X τ) ≐ (λ-. Y τ)) τ
and      StrictRefEq-vs-StrongEq: ∧ (x::('A,'a::null)val) y τ.
        τ ⊨ v x ⇒ τ ⊨ v y ⇒ (τ ⊨ ((x ≐ y) ≐ (x ≐ y)))
shows (X->including(x::('A,'a::null)val)->excluding(y)) =
  (if δ X then if x ≐ y
    then X->excluding(y)
    else X->excluding(y)->including(x)
  endif
  else invalid endif)

```

proof –

have A1: $\bigwedge \tau. \tau \models (X \triangleq \text{invalid}) \implies$


```

    (X->including(x)->includes(y))  $\tau$  = invalid  $\tau$ 
    apply(rule foundation22[THEN iffD1])
    by(erule StrongEq-L-subst2-rev, simp,simp)

have B1:  $\bigwedge \tau. \tau \models (X \triangleq \text{null}) \implies$ 
    (X->including(x)->includes(y))  $\tau$  = invalid  $\tau$ 
    apply(rule foundation22[THEN iffD1])
    by(erule StrongEq-L-subst2-rev, simp,simp)

have A2:  $\bigwedge \tau. \tau \models (X \triangleq \text{invalid}) \implies X \rightarrow \text{including}(x) \rightarrow \text{excluding}(y) \tau = \text{invalid } \tau$ 
    apply(rule foundation22[THEN iffD1])
    by(erule StrongEq-L-subst2-rev, simp,simp)

have B2:  $\bigwedge \tau. \tau \models (X \triangleq \text{null}) \implies X \rightarrow \text{including}(x) \rightarrow \text{excluding}(y) \tau = \text{invalid } \tau$ 
    apply(rule foundation22[THEN iffD1])
    by(erule StrongEq-L-subst2-rev, simp,simp)

note [simp] = cp-StrictRefEq [THEN allI[THEN allI[THEN allI[THEN cpI2]], of StrictRefEq]]

have C:  $\bigwedge \tau. \tau \models (x \triangleq \text{invalid}) \implies$ 
    (X->including(x)->excluding(y))  $\tau$  =
    (if  $x \doteq y$  then  $X \rightarrow \text{excluding}(y)$  else  $X \rightarrow \text{excluding}(y) \rightarrow \text{including}(x)$  endif)  $\tau$ 
    apply(rule foundation22[THEN iffD1])
    apply(erule StrongEq-L-subst2-rev,simp,simp)
    by(simp add: strict2)

have D:  $\bigwedge \tau. \tau \models (y \triangleq \text{invalid}) \implies$ 
    (X->including(x)->excluding(y))  $\tau$  =
    (if  $x \doteq y$  then  $X \rightarrow \text{excluding}(y)$  else  $X \rightarrow \text{excluding}(y) \rightarrow \text{including}(x)$  endif)  $\tau$ 
    apply(rule foundation22[THEN iffD1])
    apply(erule StrongEq-L-subst2-rev,simp,simp)
    by (simp add: strict1)

have E:  $\bigwedge \tau. \tau \models v \ x \implies \tau \models v \ y \implies$ 
    (if  $x \doteq y$  then  $X \rightarrow \text{excluding}(y)$  else  $X \rightarrow \text{excluding}(y) \rightarrow \text{including}(x)$  endif)  $\tau$  =
    (if  $x \triangleq y$  then  $X \rightarrow \text{excluding}(y)$  else  $X \rightarrow \text{excluding}(y) \rightarrow \text{including}(x)$  endif)  $\tau$ 
    apply(subst cp-OclIf)
    apply(subst StrictRefEq-vs-StrongEq[THEN foundation22[THEN iffD1]])
    by(simp-all add: cp-OclIf[symmetric])

have F:  $\bigwedge \tau. \tau \models \delta \ X \implies \tau \models v \ x \implies \tau \models (x \triangleq y) \implies$ 
    (X->including(x)->excluding(y)  $\tau$ ) = (X->excluding(y)  $\tau$ )
    apply(drule StrongEq-L-sym)
    apply(rule foundation22[THEN iffD1])
    apply(erule StrongEq-L-subst2-rev,simp)
    by(simp add: OclExcluding-charn2)

show ?thesis
    apply(rule ext, rename-tac  $\tau$ )

```

```

apply(case-tac  $\neg (\tau \models (\delta \ X))$ , simp add: def-split-local, elim disjE A1 B1 A2 B2)
apply(case-tac  $\neg (\tau \models (v \ x))$ ,
  simp add: foundation18 foundation22[symmetric],
  drule StrongEq-L-sym)
apply(simp add: foundation22 C)
apply(case-tac  $\neg (\tau \models (v \ y))$ ,
  simp add: foundation18 foundation22[symmetric],
  drule StrongEq-L-sym, simp add: foundation22 D, simp)
apply(subst E, simp-all)
apply(case-tac  $\tau \models \text{not } (x \triangleq y)$ )
apply(simp add: OclExcluding-charn1[simplified foundation22]
  OclExcluding-charn2[simplified foundation22])
apply(simp add: foundation9 F)
done
qed

```

```

schematic-lemma OclExcluding-charn-execInteger[simp, code-unfold]: ?X
by(rule OclExcluding-charn-exec[OF StrictRefEqInteger-strict1 StrictRefEqInteger-strict2
  StrictRefEqInteger-defined-args-valid
  cp-StrictRefEqInteger StrictRefEqInteger-vs-StrongEq], simp-all)

```

```

schematic-lemma OclExcluding-charn-execBoolean[simp, code-unfold]: ?X
by(rule OclExcluding-charn-exec[OF StrictRefEqBoolean-strict1 StrictRefEqBoolean-strict2
  StrictRefEqBoolean-defined-args-valid
  cp-StrictRefEqBoolean StrictRefEqBoolean-vs-StrongEq], simp-all)

```

```

schematic-lemma OclExcluding-charn-execSet[simp, code-unfold]: ?X
by(rule OclExcluding-charn-exec[OF StrictRefEqSet-strict1 StrictRefEqSet-strict2
  StrictRefEqSet-strictEq-valid-args-valid
  cp-StrictRefEqSet StrictRefEqSet-vs-StrongEq], simp-all)

```

```

lemma OclExcluding-finite-rep-set :
  assumes X-def :  $\tau \models \delta \ X$ 
  and x-val :  $\tau \models v \ x$ 
  shows finite [[Rep-Set-0 (X  $\rightarrow$  excluding(x)  $\tau$ )] = finite [[Rep-Set-0 (X  $\tau$ )]]
proof –
  have C : [[[[Rep-Set-0 (X  $\tau$ )] – {x  $\tau$ }}]  $\in$  {X. X = bot  $\vee$  X = null  $\vee$  ( $\forall x \in [[X]]. x \neq$ 
bot})}
    apply(insert X-def x-val, frule Set-inv-lemma)
    apply(simp add: foundation18 invalid-def)
    done
show ?thesis
by(insert X-def x-val,
  auto simp: OclExcluding-def Abs-Set-0-inverse[OF C]
  dest: foundation13[THEN iffD2, THEN foundation22[THEN iffD1]])
qed

```

```

lemma OclExcluding-rep-set:
assumes S-def:  $\tau \models \delta \ S$ 
  shows  $\llbracket \text{Rep-Set-0 } (S \rightarrow \text{excluding}(\lambda x. \llbracket x \rrbracket) \ \tau) \rrbracket = \llbracket \text{Rep-Set-0 } (S \ \tau) \rrbracket - \{\llbracket x \rrbracket\}$ 
apply(simp add: OclExcluding-def S-def[simplified OclValid-def])
apply(subst Abs-Set-0-inverse, simp add: bot-option-def null-option-def)
apply(insert Set-inv-lemma[OF S-def], metis Diff-iff bot-option-def not-None-eq)
by(simp)

```

4.6.3. OclIncludes

```

lemma OclIncludes-charn0[simp]:
assumes val-x:τ  $\models (v \ x)$ 
shows  $\tau \models \text{not}(\text{Set}\{-\} \rightarrow \text{includes}(x))$ 
using val-x
apply(auto simp: OclValid-def OclIncludes-def OclNot-def false-def true-def)
apply(auto simp: mtSet-def OCL-lib.Set-0.Abs-Set-0-inverse)
done

```

```

lemma OclIncludes-charn0'[simp,code-unfold]:
 $\text{Set}\{-\} \rightarrow \text{includes}(x) = (\text{if } v \ x \text{ then false else invalid endif})$ 
proof -
  have  $A: \bigwedge \tau. (\text{Set}\{-\} \rightarrow \text{includes}(\text{invalid})) \ \tau = (\text{if } (v \ \text{invalid}) \text{ then false else invalid endif}) \ \tau$ 
    by simp
  have  $B: \bigwedge \tau \ x. \tau \models (v \ x) \implies (\text{Set}\{-\} \rightarrow \text{includes}(x)) \ \tau = (\text{if } v \ x \text{ then false else invalid endif})$ 
     $\tau$ 
    apply(frule OclIncludes-charn0, simp add: OclValid-def)
    apply(rule foundation21[THEN fun-cong, simplified StrongEq-def,simplified,
      THEN iffD1, of - - false])
    by simp
  show ?thesis
    apply(rule ext, rename-tac τ)
    apply(case-tac τ  $\models (v \ x)$ )
    apply(simp-all add: B foundation18)
    apply(subst cp-OclIncludes, simp add: cp-OclIncludes[symmetric] A)
  done
qed

```

```

lemma OclIncludes-charn1:
assumes def-X:τ  $\models (\delta \ X)$ 
assumes val-x:τ  $\models (v \ x)$ 
shows  $\tau \models (X \rightarrow \text{including}(x) \rightarrow \text{includes}(x))$ 
proof -
  have  $C: \llbracket \text{insert } (x \ \tau) \llbracket \text{Rep-Set-0 } (X \ \tau) \rrbracket \rrbracket \in \{X. X = \text{bot} \vee X = \text{null} \vee (\forall x \in \llbracket X \rrbracket. x \neq \text{bot})\}$ 
    by(insert def-X val-x, frule Set-inv-lemma, simp add: foundation18 invalid-def)
  show ?thesis
    apply(subst OclIncludes-def, simp add: foundation10[simplified OclValid-def] OclValid-def)

```

```

      def-X[simplified OclValid-def] val-x[simplified OclValid-def])
    apply(simp add: OclIncluding-def def-X[simplified OclValid-def] val-x[simplified OclValid-def]
      Abs-Set-0-inverse[OF C] true-def)
  done
qed

```

lemma *OclIncludes-charn2*:

```

assumes def-X:τ ⊨ (δ X)
and     val-x:τ ⊨ (v x)
and     val-y:τ ⊨ (v y)
and     neq :τ ⊨ not(x ≐ y)
shows   τ ⊨ (X->including(x)->includes(y)) ≐ (X->includes(y))
proof -
  have C : [[insert (x τ) [[Rep-Set-0 (X τ)]]]] ∈ {X. X = bot ∨ X = null ∨ (∀ x∈[[X]]. x
    ≠ bot)}
    by(insert def-X val-x, frule Set-inv-lemma, simp add: foundation18 invalid-def)
  show ?thesis
  apply(subst OclIncludes-def,
    simp add: def-X[simplified OclValid-def] val-x[simplified OclValid-def]
      val-y[simplified OclValid-def] foundation10[simplified OclValid-def]
      OclValid-def StrongEq-def)
  apply(simp add: OclIncluding-def OclIncludes-def def-X[simplified OclValid-def]
    val-x[simplified OclValid-def] val-y[simplified OclValid-def]
    Abs-Set-0-inverse[OF C] true-def)
  by(metis foundation22 foundation6 foundation9 neq)
qed

```

Here is again a generic theorem similar as above.

lemma *OclIncludes-execute-generic*:

```

assumes strict1: (x ≐ invalid) = invalid
and     strict2: (invalid ≐ y) = invalid
and     cp-StrictRefEq: ∧ (X::('A,'a::null)val) Y τ. (X ≐ Y) τ = ((λ-. X τ) ≐ (λ-. Y τ)) τ
and     StrictRefEq-vs-StrongEq: ∧ (x::('A,'a::null)val) y τ.
      τ ⊨ v x ⇒ τ ⊨ v y ⇒ (τ ⊨ ((x ≐ y) ≐ (x ≐ y)))

```

shows

```

  (X->including(x::('A,'a::null)val)->includes(y)) =
  (if δ X then if x ≐ y then true else X->includes(y) endif else invalid endif)

```

proof -

```

  have A: ∧τ. τ ⊨ (X ≐ invalid) ⇒
    (X->including(x)->includes(y)) τ = invalid τ
    apply(rule foundation22[THEN iffD1])
    by(erule StrongEq-L-subst2-rev,simp,simp)
  have B: ∧τ. τ ⊨ (X ≐ null) ⇒
    (X->including(x)->includes(y)) τ = invalid τ
    apply(rule foundation22[THEN iffD1])
    by(erule StrongEq-L-subst2-rev,simp,simp)

```

note $[simp] = cp\text{-}StrictRefEq \ [THEN \ allI[THEN \ allI[THEN \ allI[THEN \ cpI2]]]$, of $StrictRefEq]$

```

have C:  $\bigwedge \tau. \tau \models (x \triangleq invalid) \implies$ 
   $(X \rightarrow including(x) \rightarrow includes(y)) \tau =$ 
   $(if \ x \doteq y \ then \ true \ else \ X \rightarrow includes(y) \ endif) \tau =$ 
  apply(rule foundation22[THEN iffD1])
  apply(erule StrongEq-L-subst2-rev,simp,simp)
  by (simp add: strict2)
have D:  $\bigwedge \tau. \tau \models (y \triangleq invalid) \implies$ 
   $(X \rightarrow including(x) \rightarrow includes(y)) \tau =$ 
   $(if \ x \doteq y \ then \ true \ else \ X \rightarrow includes(y) \ endif) \tau =$ 
  apply(rule foundation22[THEN iffD1])
  apply(erule StrongEq-L-subst2-rev,simp,simp)
  by (simp add: strict1)
have E:  $\bigwedge \tau. \tau \models v \ x \implies \tau \models v \ y \implies$ 
   $(if \ x \doteq y \ then \ true \ else \ X \rightarrow includes(y) \ endif) \tau =$ 
   $(if \ x \triangleq y \ then \ true \ else \ X \rightarrow includes(y) \ endif) \tau =$ 
  apply(subst cp-OclIf)
  apply(subst StrictRefEq-vs-StrongEq[THEN foundation22[THEN iffD1]])
  by(simp-all add: cp-OclIf[symmetric])
have F:  $\bigwedge \tau. \tau \models (x \triangleq y) \implies$ 
   $(X \rightarrow including(x) \rightarrow includes(y)) \tau = (X \rightarrow including(x) \rightarrow includes(x)) \tau$ 
  apply(rule foundation22[THEN iffD1])
  by(erule StrongEq-L-subst2-rev,simp, simp)
show ?thesis
  apply(rule ext, rename-tac  $\tau$ )
  apply(case-tac  $\neg (\tau \models (\delta \ X))$ , simp add: def-split-local, elim disjE A B)
  apply(case-tac  $\neg (\tau \models (v \ x))$ ,
    simp add: foundation18 foundation22[symmetric],
    drule StrongEq-L-sym)
  apply(simp add: foundation22 C)
  apply(case-tac  $\neg (\tau \models (v \ y))$ ,
    simp add: foundation18 foundation22[symmetric],
    drule StrongEq-L-sym, simp add: foundation22 D, simp)
  apply(subst E,simp-all)
  apply(case-tac  $\tau \models not(x \triangleq y)$ )
  apply(simp add: OclIncludes-charn2[simplified foundation22])
  apply(simp add: foundation9 F
    OclIncludes-charn1[THEN foundation13[THEN iffD2],
      THEN foundation22[THEN iffD1]])
done
qed

```

schematic-lemma $OclIncludes\text{-}execute_{Integer}[simp,code\text{-}unfold]: ?X$
by(rule OclIncludes-execute-generic[OF StrictRefEq_{Integer}-strict1 StrictRefEq_{Integer}-strict2
 $cp\text{-}StrictRefEq_{Integer}$])

StrictRefEqInteger-vs-StrongEq], simp-all)

schematic-lemma *OclIncludes-execute_{Boolean}*[simp,code-unfold]: ?X
by(rule *OclIncludes-execute-generic*[OF *StrictRefEqBoolean-strict1* *StrictRefEqBoolean-strict2*
cp-StrictRefEqBoolean
StrictRefEqBoolean-vs-StrongEq], simp-all)

schematic-lemma *OclIncludes-execute_{Set}*[simp,code-unfold]: ?X
by(rule *OclIncludes-execute-generic*[OF *StrictRefEqSet-strict1* *StrictRefEqSet-strict2*
cp-StrictRefEqSet
StrictRefEqSet-vs-StrongEq], simp-all)

lemma *OclIncludes-including-generic* :
assumes *OclIncludes-execute-generic* [simp] : $\bigwedge X x y.$
 $(X \rightarrow \text{including}(x::('A, 'a::\text{null})\text{val}) \rightarrow \text{includes}(y)) =$
 $(\text{if } \delta X \text{ then if } x \doteq y \text{ then true else } X \rightarrow \text{includes}(y) \text{ endif else invalid endif})$
and *StrictRefEq-strict''* : $\bigwedge x y. \delta ((x::('A, 'a::\text{null})\text{val}) \doteq y) = (v(x) \text{ and } v(y))$
and *a-val* : $\tau \models v a$
and *x-val* : $\tau \models v x$
and *S-incl* : $\tau \models (S) \rightarrow \text{includes}((x::('A, 'a::\text{null})\text{val}))$
shows $\tau \models S \rightarrow \text{including}((a::('A, 'a::\text{null})\text{val})) \rightarrow \text{includes}(x)$
proof –
have *discr-eq-bot1-true* : $\bigwedge \tau. (\perp \tau = \text{true } \tau) = \text{False}$
by (metis *OCL-core.bot-fun-def foundation1 foundation18' valid3*)
have *discr-eq-bot2-true* : $\bigwedge \tau. (\perp = \text{true } \tau) = \text{False}$
by (metis *bot-fun-def discr-eq-bot1-true*)
have *discr-neq-invalid-true* : $\bigwedge \tau. (\text{invalid } \tau \neq \text{true } \tau) = \text{True}$
by (metis *discr-eq-bot2-true invalid-def*)
have *discr-eq-invalid-true* : $\bigwedge \tau. (\text{invalid } \tau = \text{true } \tau) = \text{False}$
by (metis *bot-option-def invalid-def option.simps(2) true-def*)
show ?thesis
apply (simp)
apply (subgoal-tac $\tau \models \delta S$)
prefer 2
apply (insert *S-incl*[*simplified OclIncludes-def*], simp add: *OclValid-def*)
apply (metis *discr-eq-bot2-true*)
apply (simp add: *cp-OclIf*[of δS] *OclValid-def OclIf-def x-val*[*simplified OclValid-def*]
discr-neq-invalid-true discr-eq-invalid-true)
by (metis *OclValid-def S-incl StrictRefEq-strict'' a-val foundation10 foundation6 x-val*)
qed

lemmas *OclIncludes-including_{Integer}* =
OclIncludes-including-generic[OF *OclIncludes-execute_{Integer}* *StrictRefEqInteger-strict''*]

4.6.4. OclExcludes

4.6.5. OclSize

```

lemma [simp,code-unfold]: Set{} ->size() = 0
apply(rule ext)
apply(simp add: defined-def mtSet-def OclSize-def
            bot-Set-0-def bot-fun-def
            null-Set-0-def null-fun-def)
apply(subst Abs-Set-0-inject, simp-all add: bot-option-def null-option-def) +
by(simp add: Abs-Set-0-inverse bot-option-def null-option-def OclInt0-def)

lemma OclSize-including-exec[simp,code-unfold]:
  ((X ->including(x)) ->size()) = (if  $\delta$  X and v x then
    X ->size() '+ if X ->includes(x) then 0 else 1 endif
    else
    invalid
    endif)

proof -

have valid-inject-true :  $\bigwedge \tau P. (v P) \tau \neq \text{true} \tau \implies (v P) \tau = \text{false} \tau$ 
apply(simp add: valid-def true-def false-def bot-fun-def bot-option-def
            null-fun-def null-option-def)
by (case-tac P  $\tau = \perp$ , simp-all add: true-def)
have defined-inject-true :  $\bigwedge \tau P. (\delta P) \tau \neq \text{true} \tau \implies (\delta P) \tau = \text{false} \tau$ 
apply(simp add: defined-def true-def false-def bot-fun-def bot-option-def
            null-fun-def null-option-def)
by (case-tac P  $\tau = \perp \vee P \tau = \text{null}$ , simp-all add: true-def)

show ?thesis
apply(rule ext, rename-tac  $\tau$ )
proof -
fix  $\tau$ 
have includes-notin:  $\neg \tau \models X \text{->includes}(x) \implies (\delta X) \tau = \text{true} \tau \wedge (v x) \tau = \text{true} \tau \implies$ 
 $x \tau \notin \llbracket \text{Rep-Set-0 } (X \tau) \rrbracket$ 
by(simp add: OclIncludes-def OclValid-def true-def)

have includes-def:  $\tau \models X \text{->includes}(x) \implies \tau \models \delta X$ 
by (metis OCL-core.bot-fun-def OclIncludes-def OclValid-def defined3 foundation16)

have includes-val:  $\tau \models X \text{->includes}(x) \implies \tau \models v x$ 
by (metis (hide-lams, no-types) foundation6
    OclIncludes-valid-args-valid' OclIncluding-valid-args-valid OclIncluding-valid-args-valid'')

have ins-in-Set-0:  $\tau \models \delta X \implies \tau \models v x \implies$ 
 $\llbracket \text{insert } (x \tau) \llbracket \text{Rep-Set-0 } (X \tau) \rrbracket \rrbracket \in \{X. X = \perp \vee X = \text{null} \vee (\forall x \in \llbracket X \rrbracket. x \neq \perp)\}$ 
apply(simp add: bot-option-def null-option-def)
by (metis (hide-lams, no-types) Set-inv-lemma foundation18' foundation5)

show  $X \text{->including}(x) \text{->size}() \tau = (\text{if } \delta X \text{ and } v x$ 

```

```

      then X->size() '+' if X->includes(x) then 0 else 1 endif
      else invalid endif) τ
  apply(case-tac τ ⊢ δ X and v x, simp)
  apply(subst cp-OclAddInteger)
  apply(case-tac τ ⊢ X->includes(x), simp add: cp-OclAddInteger[symmetric])
  apply(case-tac τ ⊢ ((v (X->size())) and not (δ (X->size()))), simp)
    apply(drule foundation5[where P = v X->size()], erule conjE)
    apply(drule OclSize-infinite)
    apply(frul includes-def, drule includes-val, simp)
    apply(subst OclSize-def, subst OclIncluding-finite-rep-set, assumption+)
    apply (metis (hide-lams, no-types) invalid-def)

  apply(subst OclIf-false',
    metis (hide-lams, no-types) defined5 defined6 defined-and-I defined-not-I
      foundation1 foundation9)
  apply(subst cp-OclSize, simp add: OclIncluding-includes cp-OclSize[symmetric])

  apply(subst OclIf-false', subst foundation9,
    metis (hide-lams, no-types) OclIncludes-valid-args-valid', simp, simp add: OclSize-def)
  apply(drule foundation5)
  apply(subst (1 2) OclIncluding-finite-rep-set, fast+)
  apply(subst (1 2) cp-OclAnd, subst (1 2) cp-OclAddInteger, simp)
  apply(rule conjI)
  apply(simp add: OclIncluding-def)
  apply(subst Abs-Set-0-inverse[OF ins-in-Set-0], fast+)
  apply(subst (asm) (2 3) OclValid-def, simp add: OclAddInteger-def OclInt1-def)
  apply(rule impI)
  apply(drule Finite-Set.card.insert[where x = x τ])
  apply(rule includes-notin, simp, simp)
  apply (metis Suc-eq-plus1 int-1 of-nat-add)

  apply(subst (1 2) OclAddInteger-strict2[simplified invalid-def], simp)
  apply(subst OclIncluding-finite-rep-set, fast+, simp add: OclValid-def)

  apply(subst OclIf-false', metis (hide-lams, no-types) defined6 foundation1 foundation9
    OclExcluding-valid-args-valid'')
  by (metis cp-OclSize foundation18' OclIncluding-valid-args-valid'' invalid-def OclSize-invalid)
qed
qed

```

4.6.6. OclIsEmpty

lemma [simp,code-unfold]: $\text{Set}\{\}->\text{isEmpty}() = \text{true}$
by (simp add: OclIsEmpty-def)

lemma OclIsEmpty-including [simp]:
assumes X-def: $\tau \models \delta X$
and X-finite: $\text{finite } [\text{Rep-Set-0 } (X \ \tau)]$

and $a\text{-val}: \tau \models v \ a$
shows $X \rightarrow \text{including}(a) \rightarrow \text{isEmpty}() \ \tau = \text{false} \ \tau$
proof –
have $A1 : \bigwedge \tau \ X. \ X \ \tau = \text{true} \ \tau \vee X \ \tau = \text{false} \ \tau \implies (X \text{ and not } X) \ \tau = \text{false} \ \tau$
by (*metis* (*no-types*) *OclAnd-false1* *OclAnd-idem* *OclImplies-def* *OclNot3* *OclNot-not* *OclOr-false1*
 cp-OclAnd cp-OclNot *deMorgan1* *deMorgan2*)

have $\text{defined-inject-true} : \bigwedge \tau \ P. \ (\delta \ P) \ \tau \neq \text{true} \ \tau \implies (\delta \ P) \ \tau = \text{false} \ \tau$
apply(*simp* *add: defined-def true-def false-def bot-fun-def bot-option-def*
 $\text{null-fun-def null-option-def}$)
by (*case-tac* $P \ \tau = \perp \vee P \ \tau = \text{null}$, *simp-all* *add: true-def*)

have $B : \bigwedge X \ \tau. \ \tau \models v \ X \implies X \ \tau \neq \mathbf{0} \ \tau \implies (X \doteq \mathbf{0}) \ \tau = \text{false} \ \tau$
by (*metis* *OclAnd-true2* *OclValid-def* *Sem-def foundation16* *foundation22* *valid4*
 $\text{StrictRefEq}_{\text{Integer}}$ $\text{StrictRefEq}_{\text{Integer-strict'}}$ $\text{StrictRefEq}_{\text{Integer-strict''}}$
 StrongEq-sym *bool-split* *invalid-def* *null-fun-def* *null-non-OclInt0*)

show *?thesis*
apply(*simp* *add: OclIsEmpty-def del: OclSize-including-exec*)
apply(*subst* *cp-OclOr*, *subst* *A1*)
apply(*metis* (*hide-lams*, *no-types*) *defined-inject-true* *OclExcluding-valid-args-valid'*)
apply(*simp* *add: cp-OclOr[symmetric]* *del: OclSize-including-exec*)
apply(*rule* *B*,
 rule foundation20 ,
 $\text{metis (hide-lams, no-types) OclIncluding-defined-args-valid OclIncluding-finite-rep-set}$
 $X\text{-def } X\text{-finite } a\text{-val size-defined'}$)
apply(*simp* *add: OclSize-def OclIncluding-finite-rep-set[OF X-def a-val] X-finite OclInt0-def*)
by (*metis* *OclValid-def X-def a-val foundation10 foundation6*
 $\text{OclIncluding-notempty-rep-set[OF X-def a-val]}$)
qed

4.6.7. OclNotEmpty

lemma [*simp,code-unfold*]: $\text{Set}\{\} \rightarrow \text{notEmpty}() = \text{false}$
by(*simp* *add: OclNotEmpty-def*)

lemma *OclNotEmpty-including* [*simp,code-unfold*]:
assumes $X\text{-def}: \tau \models \delta \ X$
and $X\text{-finite}: \text{finite } [[\text{Rep-Set-0 } (X \ \tau)]]$
and $a\text{-val}: \tau \models v \ a$
shows $X \rightarrow \text{including}(a) \rightarrow \text{notEmpty}() \ \tau = \text{true} \ \tau$
apply(*simp* *add: OclNotEmpty-def*)
apply(*subst* *cp-OclNot*, *subst* *OclIsEmpty-including*, *simp-all* *add: assms*)
by (*metis* *OclNot4* *cp-OclNot*)

4.6.8. OclANY

lemma [*simp,code-unfold*]: $\text{Set}\{\} \rightarrow \text{any}() = \text{null}$
by(*rule* *ext*, *simp* *add: OclANY-def*, *simp* *add: false-def true-def*)

```

lemma OclANY-singleton-exec[simp,code-unfold]:
  (Set{} -> including(a)) -> any() = a
apply(rule ext, rename-tac  $\tau$ , simp add: mtSet-def OclANY-def)
apply(case-tac  $\tau \models v \ a$ )
apply(simp add: OclValid-def mtSet-defined[simplified mtSet-def]
      mtSet-valid[simplified mtSet-def] mtSet-rep-set[simplified mtSet-def])
apply(subst (1 2) cp-OclAnd,
      subst (1 2) OclNotEmpty-including[where  $X = \text{Set}\{\}$ , simplified mtSet-def])
apply(simp add: mtSet-defined[simplified mtSet-def])
apply(metis (hide-lams, no-types) finite.emptyI mtSet-def mtSet-rep-set)
apply(simp add: OclValid-def)
apply(simp add: OclIncluding-def)
apply(rule conjI)
apply(subst (1 2) Abs-Set-0-inverse, simp add: bot-option-def null-option-def)
apply(simp, metis OclValid-def foundation18')
apply(simp)
apply(simp add: mtSet-defined[simplified mtSet-def])

apply(subgoal-tac  $a \ \tau = \perp$ )
prefer 2
apply(simp add: OclValid-def valid-def bot-fun-def split: split-if-asm)
apply(simp)
apply(subst (1 2 3 4) cp-OclAnd,
      simp add: mtSet-defined[simplified mtSet-def] valid-def bot-fun-def)
by(simp add: cp-OclAnd[symmetric], rule impI, simp add: false-def true-def)

```

4.6.9. OclForall

```

lemma OclForall-mtSet-exec[simp,code-unfold] :
  ((Set{})->forAll(z | P(z))) = true
apply(simp add: OclForall-def)
apply(subst mtSet-def)+
apply(subst Abs-Set-0-inverse, simp-all add: true-def)+
done

```

```

lemma OclForall-including-exec[simp,code-unfold] :
assumes cp0 : cp P
shows ((S->including(x))->forAll(z | P(z))) = (if  $\delta \ S$  and  $v \ x$ 
      then  $P \ x$  and  $(S->forAll(z | P(z)))$ 
      else invalid
      endif)

```

proof –

```

have cp:  $\bigwedge \tau. P \ x \ \tau = P \ (\lambda-. \ x \ \tau) \ \tau$ 
by(insert cp0, auto simp: cp-def)

```

```

have insert-in-Set-0 :  $\bigwedge \tau. (\tau \models (\delta \ S)) \implies (\tau \models (v \ x)) \implies$ 
   $[[\text{insert} \ (x \ \tau) \ [\text{Rep-Set-0} \ (S \ \tau)]]] \in \{X. X = \text{bot} \vee X = \text{null} \vee (\forall x \in [\![X]\!]. \ x \neq \text{bot})\}$ 
by(frule Set-inv-lemma, simp add: foundation18 invalid-def)

```

```

have forall-including-invert :  $\bigwedge \tau f. (f\ x\ \tau = f\ (\lambda \_. x\ \tau)\ \tau) \implies$ 
 $\tau \models (\delta\ S\ \text{and}\ v\ x) \implies$ 
 $(\forall x \in [\text{Rep-Set-0}\ (S \rightarrow \text{including}(x)\ \tau)]) . f\ (\lambda \_. x)\ \tau =$ 
 $(f\ x\ \tau \wedge (\forall x \in [\text{Rep-Set-0}\ (S\ \tau)]) . f\ (\lambda \_. x)\ \tau))$ 
apply(drule foundation5, simp add: OclIncluding-def)
apply(subst Abs-Set-0-inverse)
apply(rule insert-in-Set-0, fast+)
by(simp add: OclValid-def)

have exists-including-invert :  $\bigwedge \tau f. (f\ x\ \tau = f\ (\lambda \_. x\ \tau)\ \tau) \implies$ 
 $\tau \models (\delta\ S\ \text{and}\ v\ x) \implies$ 
 $(\exists x \in [\text{Rep-Set-0}\ (S \rightarrow \text{including}(x)\ \tau)]) . f\ (\lambda \_. x)\ \tau =$ 
 $(f\ x\ \tau \vee (\exists x \in [\text{Rep-Set-0}\ (S\ \tau)]) . f\ (\lambda \_. x)\ \tau))$ 
apply(subst arg-cong[where  $f = \lambda x. \neg x$ ,
  OF forall-including-invert[where  $f = \lambda x\ \tau. \neg (f\ x\ \tau)$ ],
  simplified])
by simp-all

have cp-eq :  $\bigwedge \tau v. (P\ x\ \tau = v) = (P\ (\lambda \_. x\ \tau)\ \tau = v)$  by(subst cp, simp)
have cp-OclNot-eq :  $\bigwedge \tau v. (P\ x\ \tau \neq v) = (P\ (\lambda \_. x\ \tau)\ \tau \neq v)$  by(subst cp, simp)

have foundation10':  $\bigwedge \tau x\ y. (\tau \models x) \wedge (\tau \models y) \implies \tau \models (x\ \text{and}\ y)$ 
apply(erule conjE, subst foundation10)
by((rule foundation6)?, simp)+

have contradict-Rep-Set-0:  $\bigwedge \tau S f.$ 
 $\exists x \in [\text{Rep-Set-0}\ S] . f\ (\lambda \_. x)\ \tau \implies$ 
 $(\forall x \in [\text{Rep-Set-0}\ S] . \neg (f\ (\lambda \_. x)\ \tau)) = \text{False}$ 
by(case-tac  $(\forall x \in [\text{Rep-Set-0}\ S] . \neg (f\ (\lambda \_. x)\ \tau)) = \text{True}$ , simp-all)

show ?thesis
apply(rule ext, rename-tac  $\tau$ )
apply(simp add: OclIf-def)
apply(simp add: cp-defined[of  $\delta\ S\ \text{and}\ v\ x$ ] cp-defined[THEN sym])
apply(intro conjI impI)

apply(subgoal-tac  $\tau \models \delta\ S$ )
prefer 2
apply(drule foundation5[simplified OclValid-def], erule conjE)+ apply(simp add:
OclValid-def)

apply(subst OclForall-def)
apply(simp add: cp-OclAnd[THEN sym] OclValid-def
  foundation10'[where  $x = \delta\ S$  and  $y = v\ x$ , simplified OclValid-def])

apply(subgoal-tac  $\tau \models (\delta\ S\ \text{and}\ v\ x)$ )
prefer 2
apply(simp add: OclValid-def)

```

apply(*case-tac* $\exists x \in [[\text{Rep-Set-0 } (S \rightarrow \text{including}(x) \ \tau)]]$. $P \ (\lambda \cdot. x) \ \tau = \text{false } \tau$, *simp-all*)
apply(*subst contradict-Rep-Set-0*[**where** $f = \lambda x \ \tau. P \ x \ \tau = \text{false } \tau$], *simp*) +
apply(*simp add: exists-including-invert*[**where** $f = \lambda x \ \tau. P \ x \ \tau = \text{false } \tau$, *OF cp-eq*])

apply(*simp add: cp-OclAnd*[*of* $P \ x$])
apply(*erule disjE*)
apply(*simp only: cp-OclAnd*[*symmetric*], *simp*)

apply(*subgoal-tac OclForall* $S \ P \ \tau = \text{false } \tau$)
apply(*simp only: cp-OclAnd*[*symmetric*], *simp*)
apply(*simp add: OclForall-def*)

apply(*simp add: forall-including-invert*[**where** $f = \lambda x \ \tau. P \ x \ \tau \neq \text{false } \tau$, *OF cp-OclNot-eq*],
erule conjE)

apply(*case-tac* $\exists x \in [[\text{Rep-Set-0 } (S \rightarrow \text{including}(x) \ \tau)]]$. $P \ (\lambda \cdot. x) \ \tau = \text{bot } \tau$, *simp-all*)
apply(*subst contradict-Rep-Set-0*[**where** $f = \lambda x \ \tau. P \ x \ \tau = \text{bot } \tau$], *simp*) +
apply(*simp add: exists-including-invert*[**where** $f = \lambda x \ \tau. P \ x \ \tau = \text{bot } \tau$, *OF cp-eq*])

apply(*simp add: cp-OclAnd*[*of* $P \ x$])
apply(*erule disjE*)

apply(*subgoal-tac OclForall* $S \ P \ \tau \neq \text{false } \tau$)
apply(*simp only: cp-OclAnd*[*symmetric*], *simp*)
apply(*simp add: OclForall-def true-def false-def*
null-fun-def null-option-def bot-fun-def bot-option-def)

apply(*subgoal-tac OclForall* $S \ P \ \tau = \text{bot } \tau$)
apply(*simp only: cp-OclAnd*[*symmetric*], *simp*)
apply(*simp add: OclForall-def true-def false-def*
null-fun-def null-option-def bot-fun-def bot-option-def)

apply(*simp add: forall-including-invert*[**where** $f = \lambda x \ \tau. P \ x \ \tau \neq \text{bot } \tau$, *OF cp-OclNot-eq*],
erule conjE)

apply(*case-tac* $\exists x \in [[\text{Rep-Set-0 } (S \rightarrow \text{including}(x) \ \tau)]]$. $P \ (\lambda \cdot. x) \ \tau = \text{null } \tau$, *simp-all*)
apply(*subst contradict-Rep-Set-0*[**where** $f = \lambda x \ \tau. P \ x \ \tau = \text{null } \tau$], *simp*) +
apply(*simp add: exists-including-invert*[**where** $f = \lambda x \ \tau. P \ x \ \tau = \text{null } \tau$, *OF cp-eq*])

apply(*simp add: cp-OclAnd*[*of* $P \ x$])

apply(*erule disjE*)

apply(*subgoal-tac OclForall S P $\tau \neq \text{false}$ $\tau \wedge \text{OclForall S P } \tau \neq \text{bot } \tau$*)
apply(*simp only: cp-OclAnd[symmetric], simp*)
apply(*simp add: OclForall-def true-def false-def*
null-fun-def null-option-def bot-fun-def bot-option-def)

apply(*subgoal-tac OclForall S P $\tau = \text{null } \tau$*)
apply(*simp only: cp-OclAnd[symmetric], simp*)
apply(*simp add: OclForall-def true-def false-def*
null-fun-def null-option-def bot-fun-def bot-option-def)

apply(*simp add: forall-including-invert[where $f = \lambda x \tau. P x \tau \neq \text{null } \tau$, OF cp-OclNot-eq],*
erule conjE)

apply(*simp add: cp-OclAnd[of P x] OclForall-def*)
apply(*subgoal-tac P x $\tau = \text{true } \tau$, simp*)
apply(*metis bot-fun-def bool-split foundation18' foundation2 valid1*)

by(*metis OclForall-def OclIncluding-defined-args-valid' invalid-def*)
qed

4.6.10. OclExists

lemma *OclExists-mtSet-exec[simp,code-unfold]* :
 $((\text{Set}\{\}) \rightarrow \text{exists}(z \mid P(z))) = \text{false}$
by(*simp add: OclExists-def*)

lemma *OclExists-including-exec[simp,code-unfold]* :
assumes *cp: cp P*
shows $((S \rightarrow \text{including}(x)) \rightarrow \text{exists}(z \mid P(z))) = (\text{if } \delta S \text{ and } v x$
 $\text{then } P x \text{ or } (S \rightarrow \text{exists}(z \mid P(z)))$
 else invalid
 $\text{endif})$
by(*simp add: OclExists-def OclOr-def OclForall-including-exec cp OclNot-inject*)

4.6.11. OclIterate

lemma *OclIterate-empty[simp,code-unfold]*: $((\text{Set}\{\}) \rightarrow \text{iterate}(a; x = A \mid P a x)) = A$
proof –
have $C : \bigwedge \tau. (\delta (\lambda \tau. \text{Abs-Set-0 } \llbracket \{\} \rrbracket)) \tau = \text{true } \tau$
by (*metis (no-types) defined-def mtSet-def mtSet-defined null-fun-def*)
show *?thesis*
apply(*simp add: OclIterate-def mtSet-def Abs-Set-0-inverse valid-def C*)
apply(*rule ext, rename-tac τ*)
apply(*case-tac A $\tau = \perp \tau$, simp-all, simp add:true-def false-def bot-fun-def*)
apply(*simp add: Abs-Set-0-inverse*)

done
qed

In particular, this does hold for $A = \text{null}$.

lemma *OclIterate-including*:

assumes *S-finite*: $\tau \models \delta(S \rightarrow \text{size}())$

and *F-valid-arg*: $(v \ A) \ \tau = (v \ (F \ a \ A)) \ \tau$

and *F-commute*: *comp-fun-commute* F

and *F-cp*: $\bigwedge x \ y \ \tau. F \ x \ y \ \tau = F \ (\lambda \ -. \ x \ \tau) \ y \ \tau$

shows $((S \rightarrow \text{including}(a)) \rightarrow \text{iterate}(a; x = A \mid F \ a \ x)) \ \tau =$
 $((S \rightarrow \text{excluding}(a)) \rightarrow \text{iterate}(a; x = F \ a \ A \mid F \ a \ x)) \ \tau$

proof –

have *insert-in-Set-0* : $\bigwedge \tau. (\tau \models (\delta \ S)) \implies (\tau \models (v \ a)) \implies$

$\llbracket \text{insert} \ (a \ \tau) \llbracket \text{Rep-Set-0} \ (S \ \tau) \rrbracket \rrbracket \in \{X. X = \text{bot} \vee X = \text{null} \vee (\forall x \in \llbracket X \rrbracket. x \neq \text{bot})\}$

by(*frule Set-inv-lemma, simp add: foundation18 invalid-def*)

have *insert-defined* : $\bigwedge \tau. (\tau \models (\delta \ S)) \implies (\tau \models (v \ a)) \implies$

$(\delta \ (\lambda \ -. \ \text{Abs-Set-0} \ \llbracket \text{insert} \ (a \ \tau) \llbracket \text{Rep-Set-0} \ (S \ \tau) \rrbracket \rrbracket)) \ \tau = \text{true} \ \tau$

apply(*subst defined-def*)

apply(*simp add: bot-Set-0-def bot-fun-def null-Set-0-def null-fun-def*)

by(*subst Abs-Set-0-inject,*

rule insert-in-Set-0, simp-all add: null-option-def bot-option-def) +

have *remove-finite* : *finite* $\llbracket \text{Rep-Set-0} \ (S \ \tau) \rrbracket \implies$

finite $((\lambda a \ \tau. a) \ ' \ (\llbracket \text{Rep-Set-0} \ (S \ \tau) \rrbracket - \{a \ \tau\}))$

by(*simp*)

have *remove-in-Set-0* : $\bigwedge \tau. (\tau \models (\delta \ S)) \implies (\tau \models (v \ a)) \implies$

$\llbracket \llbracket \text{Rep-Set-0} \ (S \ \tau) \rrbracket - \{a \ \tau\} \rrbracket \in \{X. X = \text{bot} \vee X = \text{null} \vee (\forall x \in \llbracket X \rrbracket. x \neq \text{bot})\}$

by(*frule Set-inv-lemma, simp add: foundation18 invalid-def*)

have *remove-defined* : $\bigwedge \tau. (\tau \models (\delta \ S)) \implies (\tau \models (v \ a)) \implies$

$(\delta \ (\lambda \ -. \ \text{Abs-Set-0} \ \llbracket \llbracket \text{Rep-Set-0} \ (S \ \tau) \rrbracket - \{a \ \tau\} \rrbracket)) \ \tau = \text{true} \ \tau$

apply(*subst defined-def*)

apply(*simp add: bot-Set-0-def bot-fun-def null-Set-0-def null-fun-def*)

by(*subst Abs-Set-0-inject,*

rule remove-in-Set-0, simp-all add: null-option-def bot-option-def) +

have *abs-rep*: $\bigwedge x. \llbracket x \rrbracket \in \{X. X = \text{bot} \vee X = \text{null} \vee (\forall x \in \llbracket X \rrbracket. x \neq \text{bot})\} \implies$

$\llbracket \text{Rep-Set-0} \ (\text{Abs-Set-0} \ \llbracket x \rrbracket) \rrbracket = x$

by(*subst Abs-Set-0-inverse, simp-all*)

have *inject* : *inj* $(\lambda a \ \tau. a)$

by(*rule inj-fun, simp*)

show *?thesis*

apply(*subst (1 2) cp-OclIterate, subst OclIncluding-def, subst OclExcluding-def*)

apply(*case-tac $\neg ((\delta \ S) \ \tau = \text{true} \ \tau \wedge (v \ a) \ \tau = \text{true} \ \tau)$, simp*)

apply(*subgoal-tac* *OclIterate* ($\lambda\cdot. \perp$) *A* *F* $\tau = \text{OclIterate } (\lambda\cdot. \perp) (F\ a\ A)\ F\ \tau, \text{ simp}$)
apply(*rule* *conjI*, *blast+*)
apply(*simp* *add*: *OclIterate-def* *defined-def* *bot-option-def* *bot-fun-def* *false-def* *true-def*)

apply(*simp* *add*: *OclIterate-def*)
apply((*subst* *abs-rep*[*OF* *insert-in-Set-0*[*simplified* *OclValid-def*], *of* τ], *simp-all*)+,
(*subst* *abs-rep*[*OF* *remove-in-Set-0*[*simplified* *OclValid-def*], *of* τ], *simp-all*)+,
(*subst* *insert-defined*, *simp-all* *add*: *OclValid-def*)+,
(*subst* *remove-defined*, *simp-all* *add*: *OclValid-def*)+)

apply(*case-tac* $\neg ((\nu\ A)\ \tau = \text{true } \tau), (\text{simp } \text{add}: F\text{-valid-arg})+$)
apply(*rule* *impI*,
subst *Finite-Set.comp-fun-commute.fold-fun-left-comm*[*symmetric*, *OF* *F-commute*],
rule *remove-finite*, *simp*)

apply(*subst* *image-set-diff*[*OF* *inject*], *simp*)
apply(*subgoal-tac* *Finite-Set.fold* *F* *A* (*insert* ($\lambda\tau'. a\ \tau$) (($\lambda a\ \tau. a$) ' $\llbracket \text{Rep-Set-0 } (S\ \tau) \rrbracket$))) τ
 $=$
 $F\ (\lambda\tau'. a\ \tau)\ (\text{Finite-Set.fold } F\ A\ ((\lambda a\ \tau. a)\ ' \llbracket \text{Rep-Set-0 } (S\ \tau) \rrbracket) - \{\lambda\tau'. a\ \tau\})\ \tau$
apply(*subst* *F-cp*, *simp*)

by(*subst* *Finite-Set.comp-fun-commute.fold-insert-remove*[*OF* *F-commute*], *simp*+)
qed

4.6.12. OclSelect

lemma *OclSelect-mtSet-exec*[*simp,code-unfold*]: *OclSelect* *mtSet* *P* = *mtSet*

apply(*rule* *ext*, *rename-tac* τ)
apply(*simp* *add*: *OclSelect-def* *mtSet-def* *defined-def* *false-def* *true-def*
bot-Set-0-def *bot-fun-def* *null-Set-0-def* *null-fun-def*)

by((*subst* (1 2 3 4 5) *Abs-Set-0-inverse*
| *subst* *Abs-Set-0-inject*), (*simp* *add*: *null-option-def* *bot-option-def*)+)+

definition *OclSelect-body* :: $- \Rightarrow - \Rightarrow - \Rightarrow ('A, 'a\ \text{option}\ \text{option})\ \text{Set}$
 $\equiv (\lambda P\ x\ \text{acc}. \text{if } P\ x \doteq \text{false} \text{ then } \text{acc} \text{ else } \text{acc} \rightarrow \text{including}(x)\ \text{endif})$

lemma *OclSelect-including-exec*[*simp,code-unfold*]:

assumes *P-cp* : *cp* *P*
shows *OclSelect* ($X \rightarrow \text{including}(y)$) *P* = *OclSelect-body* *P* *y* (*OclSelect* ($X \rightarrow \text{excluding}(y)$)
P)
(*is* $- = ?\text{select}$)

proof $-$

have *P-cp*: $\bigwedge x\ \tau. P\ x\ \tau = P\ (\lambda\cdot. x\ \tau)\ \tau$
by(*insert* *P-cp*, *auto* *simp*: *cp-def*)

have *ex-including* : $\bigwedge f\ X\ y\ \tau. \tau \models \delta\ X \implies \tau \models v\ y \implies$
 $(\exists x \in \llbracket \text{Rep-Set-0 } (X \rightarrow \text{including}(y)) \rrbracket. f\ (P\ (\lambda\cdot. x))\ \tau) =$
 $(f\ (P\ (\lambda\cdot. y\ \tau))\ \tau \vee (\exists x \in \llbracket \text{Rep-Set-0 } (X\ \tau) \rrbracket. f\ (P\ (\lambda\cdot. x))\ \tau))$
apply(*simp* *add*: *OclIncluding-def* *OclValid-def*)

```

apply(subst Abs-Set-0-inverse, simp, (rule disjI2)+)
apply (metis (hide-lams, no-types) OclValid-def Set-inv-lemma foundation18')
by(simp)
have al-including :  $\bigwedge f X y \tau. \tau \models \delta X \implies \tau \models v y \implies$ 
   $(\forall x \in [\text{Rep-Set-0 } (X \rightarrow \text{including}(y) \tau)]]. f (P (\lambda \cdot. x)) \tau) =$ 
   $(f (P (\lambda \cdot. y \tau)) \tau \wedge (\forall x \in [\text{Rep-Set-0 } (X \tau)]]. f (P (\lambda \cdot. x)) \tau))$ 
apply(simp add: OclIncluding-def OclValid-def)
apply(subst Abs-Set-0-inverse, simp, (rule disjI2)+)
apply (metis (hide-lams, no-types) OclValid-def Set-inv-lemma foundation18')
by(simp)
have ex-excluding1 :  $\bigwedge f X y \tau. \tau \models \delta X \implies \tau \models v y \implies \neg (f (P (\lambda \cdot. y \tau)) \tau) \implies$ 
   $(\exists x \in [\text{Rep-Set-0 } (X \tau)]]. f (P (\lambda \cdot. x)) \tau) =$ 
   $(\exists x \in [\text{Rep-Set-0 } (X \rightarrow \text{excluding}(y) \tau)]]. f (P (\lambda \cdot. x)) \tau)$ 
apply(simp add: OclExcluding-def OclValid-def)
apply(subst Abs-Set-0-inverse, simp, (rule disjI2)+)
apply (metis (no-types) Diff-iff OclValid-def Set-inv-lemma)
by(auto)
have al-excluding1 :  $\bigwedge f X y \tau. \tau \models \delta X \implies \tau \models v y \implies f (P (\lambda \cdot. y \tau)) \tau \implies$ 
   $(\forall x \in [\text{Rep-Set-0 } (X \tau)]]. f (P (\lambda \cdot. x)) \tau) =$ 
   $(\forall x \in [\text{Rep-Set-0 } (X \rightarrow \text{excluding}(y) \tau)]]. f (P (\lambda \cdot. x)) \tau)$ 
apply(simp add: OclExcluding-def OclValid-def)
apply(subst Abs-Set-0-inverse, simp, (rule disjI2)+)
apply (metis (no-types) Diff-iff OclValid-def Set-inv-lemma)
by(auto)
have in-including :  $\bigwedge f X y \tau. \tau \models \delta X \implies \tau \models v y \implies$ 
   $\{x \in [\text{Rep-Set-0 } (X \rightarrow \text{including}(y) \tau)]]. f (P (\lambda \cdot. x) \tau)\} =$ 
   $(\text{let } s = \{x \in [\text{Rep-Set-0 } (X \tau)]]. f (P (\lambda \cdot. x) \tau)\} \text{ in}$ 
   $\text{if } f (P (\lambda \cdot. y \tau) \tau) \text{ then insert } (y \tau) s \text{ else } s)$ 
apply(simp add: OclIncluding-def OclValid-def)
apply(subst Abs-Set-0-inverse, simp, (rule disjI2)+)
apply (metis (hide-lams, no-types) OclValid-def Set-inv-lemma foundation18')
by(simp add: Let-def, auto)

let ?OclSet =  $\lambda S. [[S]] \in \{X. X = \perp \vee X = \text{null} \vee (\forall x \in [[X]]. x \neq \perp)\}$ 
have diff-in-Set-0 :  $\bigwedge \tau. (\delta X) \tau = \text{true} \tau \implies$ 
   $?OclSet ([\text{Rep-Set-0 } (X \tau)] - \{y \tau\})$ 
apply(simp, (rule disjI2)+)
by (metis (mono-tags) Diff-iff OclValid-def Set-inv-lemma)
have ins-in-Set-0 :  $\bigwedge \tau. (\delta X) \tau = \text{true} \tau \implies (v y) \tau = \text{true} \tau \implies$ 
   $?OclSet (\text{insert } (y \tau) \{x \in [\text{Rep-Set-0 } (X \tau)]]. P (\lambda \cdot. x) \tau \neq \text{false } \tau\})$ 
apply(simp, (rule disjI2)+)
by (metis (hide-lams, no-types) OclValid-def Set-inv-lemma foundation18')
have ins-in-Set-0' :  $\bigwedge \tau. (\delta X) \tau = \text{true} \tau \implies (v y) \tau = \text{true} \tau \implies$ 
   $?OclSet (\text{insert } (y \tau) \{x \in [\text{Rep-Set-0 } (X \tau)]]. x \neq y \tau \wedge P (\lambda \cdot. x) \tau \neq \text{false } \tau\})$ 
apply(simp, (rule disjI2)+)
by (metis (hide-lams, no-types) OclValid-def Set-inv-lemma foundation18')
have ins-in-Set-0'' :  $\bigwedge \tau. (\delta X) \tau = \text{true} \tau \implies$ 
   $?OclSet \{x \in [\text{Rep-Set-0 } (X \tau)]]. P (\lambda \cdot. x) \tau \neq \text{false } \tau\}$ 
apply(simp, (rule disjI2)+)

```


by (*metis* (*hide-lams*, *no-types*) *OclValid-def Set-inv-lemma foundation18'*)
have *ins-in-Set-0'''* : $\bigwedge \tau. (\delta X) \tau = \text{true} \tau \implies$
 $\quad ?\text{OclSet } \{x \in [\text{Rep-Set-0 } (X \tau)]]. x \neq y \tau \wedge P (\lambda \cdot x) \tau \neq \text{false} \tau\}$
apply(*simp*, (*rule disjI2*) $+$)
by (*metis* (*hide-lams*, *no-types*) *OclValid-def Set-inv-lemma foundation18'*)

have *if-same* : $\bigwedge a b c d \tau. \tau \models \delta a \implies b \tau = d \tau \implies c \tau = d \tau \implies$
 $\quad (\text{if } a \text{ then } b \text{ else } c \text{ endif}) \tau = d \tau$
by(*simp add: OclIf-def OclValid-def*)

have *invert-including* : $\bigwedge P y \tau. P \tau = \perp \implies P \neg \text{including}(y) \tau = \perp$
by (*metis* (*hide-lams*, *no-types*) *foundation17 foundation18' OclIncluding-valid-args-valid*)

have *exclude-defined* : $\bigwedge \tau. \tau \models \delta X \implies$
 $\quad (\delta (\lambda \cdot \text{Abs-Set-0 } [\{x \in [\text{Rep-Set-0 } (X \tau)]]. x \neq y \tau \wedge P (\lambda \cdot x) \tau \neq \text{false} \tau\}])) \tau =$
 $\text{true} \tau$
apply(*subst defined-def*,
 $\quad \text{simp add: false-def true-def bot-Set-0-def bot-fun-def null-Set-0-def null-fun-def}$)
by(*subst Abs-Set-0-inject[OF ins-in-Set-0'''[simplified false-def]]*,
 $\quad (\text{simp add: OclValid-def bot-option-def null-option-def})+$)

have *if-eq* : $\bigwedge x A B \tau. \tau \models v x \implies \tau \models (\text{if } x \doteq \text{false then } A \text{ else } B \text{ endif}) \triangleq$
 $\quad (\text{if } x \triangleq \text{false then } A \text{ else } B \text{ endif})$
apply(*simp add: StrictRefEqBoolean OclValid-def*)
apply(*subst* (\mathcal{Q}) *StrongEq-def*)
by(*subst cp-OclIf*, *simp add: cp-OclIf[symmetric] true-def*)

have *OclSelect-body-bot*: $\bigwedge \tau. \tau \models \delta X \implies \tau \models v y \implies P y \tau \neq \perp \implies$
 $\quad (\exists x \in [\text{Rep-Set-0 } (X \tau)]]. P (\lambda \cdot x) \tau = \perp) \implies \perp = ?\text{select} \tau$
apply(*drule ex-excluding1[where X = X and y = y and f = $\lambda x \tau. x \tau = \perp$]*,
 $\quad (\text{simp add: P-cp[symmetric]})+$)
apply(*subgoal-tac* $\tau \models (\perp \triangleq ?\text{select})$, *simp add: OclValid-def StrongEq-def true-def bot-fun-def*)
apply(*simp add: OclSelect-body-def*)
apply(*subst StrongEq-L-subst3[OF - if-eq]*, *simp*, *metis foundation18'*)
apply(*simp add: OclValid-def, subst StrongEq-def, subst true-def, simp*)
apply(*subgoal-tac* $\exists x \in [\text{Rep-Set-0 } (X \neg \text{excluding}(y) \tau)]]. P (\lambda \cdot x) \tau = \perp \tau$)
prefer \mathcal{Q}
apply (*metis OCL-core.bot-fun-def foundation18'*)
apply(*subst if-same[where d = \perp]*)
apply (*metis defined7 transform1*)
apply(*simp add: OclSelect-def bot-option-def bot-fun-def*)
apply(*subst invert-including*)
by(*simp add: OclSelect-def bot-option-def bot-fun-def*) $+$

have *d-and-v-inject* : $\bigwedge \tau X y. (\delta X \text{ and } v y) \tau \neq \text{true} \tau \implies (\delta X \text{ and } v y) \tau = \text{false} \tau$
by (*metis bool-split defined5 defined6 defined-and-I foundation16 transform1*
 $\quad \text{invalid-def null-fun-def}$)

have *OclSelect-body-bot'*: $\bigwedge \tau. (\delta X \text{ and } v y) \tau \neq \text{true} \tau \implies \perp = ?\text{select} \tau$

```

apply(drule d-and-v-inject)
apply(simp add: OclSelect-def OclSelect-body-def)
apply(subst cp-OclIf, subst cp-OclIncluding, simp add: false-def true-def)
apply(subst cp-OclIf[symmetric], subst cp-OclIncluding[symmetric])
by (metis (lifting, no-types) OclIf-def foundation18 foundation18' invert-including)

have conj-split2 :  $\bigwedge a\ b\ c\ \tau. ((a \triangleq \text{false})\ \tau = \text{false}\ \tau \longrightarrow b) \wedge ((a \triangleq \text{false})\ \tau = \text{true}\ \tau \longrightarrow c)$ 
 $\implies$ 
 $(a\ \tau \neq \text{false}\ \tau \longrightarrow b) \wedge (a\ \tau = \text{false}\ \tau \longrightarrow c)$ 
by (metis OclValid-def defined7 foundation14 foundation22 foundation9)

have defined-inject-true :  $\bigwedge \tau\ P. (\delta\ P)\ \tau \neq \text{true}\ \tau \implies (\delta\ P)\ \tau = \text{false}\ \tau$ 
apply(simp add: defined-def true-def false-def bot-fun-def bot-option-def
null-fun-def null-option-def)
by (case-tac P \tau = \perp \vee P \tau = null, simp-all add: true-def)

have cp-OclSelect-body :  $\bigwedge \tau. ?select\ \tau = OclSelect\text{-body}\ P\ y\ (\lambda\_. OclSelect\ X \longrightarrow \text{excluding}(y)\ P\ \tau)\ \tau$ 
apply(simp add: OclSelect-body-def)
by(subst (1 2) cp-OclIf, subst (1 2) cp-OclIncluding, blast)

have OclSelect-body-strict1 : OclSelect-body P y invalid = invalid
by(rule ext, simp add: OclSelect-body-def OclIf-def)

have bool-invalid:  $\bigwedge (x::(\mathfrak{A})\ \text{Boolean})\ y\ \tau. \neg (\tau \models v\ x) \implies \tau \models (x \doteq y) \triangleq \text{invalid}$ 
by(simp add: StrictRefEqBoolean OclValid-def StrongEq-def true-def)

have conj-comm :  $\bigwedge p\ q\ r. (p \wedge q \wedge r) = ((p \wedge q) \wedge r)$ 
by blast

show ?thesis
apply(rule ext, rename-tac \tau)
apply(subst OclSelect-def)
apply(case-tac (\delta\ X \longrightarrow \text{including}(y)) \tau = true\ \tau, simp)
apply((subst ex-including
| subst in-including),
metis OclValid-def foundation5,
metis OclValid-def foundation5)+
apply(simp add: Let-def)

apply(subst (4) false-def, subst (4) bot-fun-def, simp add: bot-option-def P-cp[symmetric])

apply(case-tac \neg (\tau \models (v\ P\ y)))
apply(subgoal-tac P y \tau \neq false\ \tau)
prefer 2
apply (metis (hide-lams, no-types) foundation1 foundation18' valid4)
apply(simp)

apply(subst conj-comm, rule conjI)

```

```

apply(drule-tac  $y = \text{false}$  in bool-invalid)
apply(simp only: OclSelect-body-def,
      metis OclIf-def OclValid-def defined-def foundation2 foundation22
      bot-fun-def invalid-def)

apply(drule foundation5[simplified OclValid-def],
      subst al-including[simplified OclValid-def],
      simp,
      simp)
apply(simp add: P-cp[symmetric])
apply (metis OCL-core.bot-fun-def foundation18')

apply(simp add: foundation18' bot-fun-def OclSelect-body-bot OclSelect-body-bot')

apply(subst (1 2) al-including, metis OclValid-def foundation5, metis OclValid-def founda-
tion5)
apply(simp add: P-cp[symmetric], subst (4) false-def, subst (4) bot-option-def, simp)
apply(simp add: OclSelect-def OclSelect-body-def StrictRefEqBoolean)
apply(subst (1 2 3 4) cp-OclIf,
      subst (1 2 3 4) foundation18'[THEN iffD2, simplified OclValid-def],
      simp,
      simp only: cp-OclIf[symmetric] refl if-True)
apply(subst (1 2) cp-OclIncluding, rule conj-split2, simp add: cp-OclIf[symmetric])
apply(subst (1 2 3 4 5 6 7 8) cp-OclIf[symmetric], simp)
apply(( subst ex-excluding1[symmetric]
      | subst al-excluding1[symmetric] ),
      metis OclValid-def foundation5,
      metis OclValid-def foundation5,
      simp add: P-cp[symmetric] bot-fun-def)+
apply(simp add: bot-fun-def)
apply(subst (1 2) invert-including, simp+)

apply(rule conjI, blast)
apply(intro impI conjI)
apply(subst OclExcluding-def)
apply(drule foundation5[simplified OclValid-def], simp)
apply(subst Abs-Set-0-inverse[OF diff-in-Set-0], fast)
apply(simp add: OclIncluding-def cp-valid[symmetric])
apply((erule conjE)+, frule exclude-defined[simplified OclValid-def], simp)
apply(subst Abs-Set-0-inverse[OF ins-in-Set-0'''], simp+)
apply(subst Abs-Set-0-inject[OF ins-in-Set-0 ins-in-Set-0'], fast+)

apply(simp add: OclExcluding-def)
apply(simp add: foundation10[simplified OclValid-def])
apply(subst Abs-Set-0-inverse[OF diff-in-Set-0], simp+)
apply(subst Abs-Set-0-inject[OF ins-in-Set-0'' ins-in-Set-0'''], simp+)
apply(subgoal-tac P ( $\lambda\cdot y \tau$ )  $\tau = \text{false } \tau$ )
prefer 2
apply(subst P-cp[symmetric], metis OclValid-def foundation22)

```

```

    apply(rule equalityI)
    apply(rule subsetI, simp, metis)
    apply(rule subsetI, simp)

    apply(drule defined-inject-true)
    apply(subgoal-tac  $\neg (\tau \models \delta X) \vee \neg (\tau \models v y)$ )
    prefer 2
    apply (metis bot-fun-def OclValid-def foundation18' OclIncluding-defined-args-valid valid-def)
    apply(subst cp-OclSelect-body, subst cp-OclSelect, subst OclExcluding-def)
    apply(simp add: OclValid-def false-def true-def, rule conjI, blast)
    apply(simp add: OclSelect-invalid[simplified invalid-def]
               OclSelect-body-strictI[simplified invalid-def])
done
qed

```

4.6.13. OclReject

lemma *OclReject-mtSet-exec*[simp,code-unfold]: *OclReject* mtSet *P* = mtSet
by(simp add: *OclReject-def*)

lemma *OclReject-including-exec*[simp,code-unfold]:
assumes *P*-cp : cp *P*
shows *OclReject* (*X*->including(*y*)) *P* = *OclSelect-body* (not o *P*) *y* (*OclReject*
(*X*->excluding(*y*)) *P*)
apply(simp add: *OclReject-def* comp-def, rule *OclSelect-including-exec*)
by (metis assms cp-intro''(5))

4.7. Execution on Set's Operators (higher composition)

4.7.1. OclIncludes

lemma *OclIncludes-any*[simp,code-unfold]:
 $X \rightarrow \text{includes}(X \rightarrow \text{any}()) = (\text{if } \delta X \text{ then}$
 $\quad \text{if } \delta (X \rightarrow \text{size}()) \text{ then } \text{not}(X \rightarrow \text{isEmpty}())$
 $\quad \text{else } X \rightarrow \text{includes}(\text{null}) \text{ endif}$
 $\text{else invalid endif})$

proof –

have *defined-inject-true* : $\bigwedge \tau P. (\delta P) \tau \neq \text{true} \tau \implies (\delta P) \tau = \text{false} \tau$
apply(simp add: *defined-def* *true-def* *false-def* *bot-fun-def* *bot-option-def*
null-fun-def *null-option-def*)
by (case-tac *P* $\tau = \perp \vee P \tau = \text{null}$, simp-all add: *true-def*)

have *valid-inject-true* : $\bigwedge \tau P. (v P) \tau \neq \text{true} \tau \implies (v P) \tau = \text{false} \tau$
apply(simp add: *valid-def* *true-def* *false-def* *bot-fun-def* *bot-option-def*
null-fun-def *null-option-def*)
by (case-tac *P* $\tau = \perp$, simp-all add: *true-def*)

have *notempty'*: $\bigwedge \tau X. \tau \models \delta X \implies \text{finite } [\text{Rep-Set-0 } (X \tau)] \implies \text{not } (X \rightarrow \text{isEmpty}()) \tau$
 $\neq \text{true} \tau \implies$

```

      X  $\tau$  = Set{}  $\tau$ 
apply(case-tac X  $\tau$ , rename-tac X', simp add: mtSet-def Abs-Set-0-inject)
apply(erule disjE, metis (hide-lams, no-types) bot-Set-0-def bot-option-def foundation17)
apply(erule disjE, metis (hide-lams, no-types) bot-option-def
      null-Set-0-def null-option-def foundation17)
apply(case-tac X', simp, metis (hide-lams, no-types) bot-Set-0-def foundation17)
apply(rename-tac X'', case-tac X'', simp)
  apply (metis (hide-lams, no-types) foundation17 null-Set-0-def)
apply(simp add: OclIsEmpty-def OclSize-def)
apply(subst (asm) cp-OclNot, subst (asm) cp-OclOr, subst (asm) cp-StrictRefEqInteger,
      subst (asm) cp-OclAnd, subst (asm) cp-OclNot)
apply(simp only: OclValid-def foundation20[simplified OclValid-def]
      cp-OclNot[symmetric] cp-OclAnd[symmetric] cp-OclOr[symmetric])
apply(simp add: Abs-Set-0-inverse split: split-if-asm)
by(simp add: true-def OclInt0-def OclNot-def StrictRefEqInteger StrongEq-def)

have B:  $\bigwedge X \tau. \neg \text{finite } [[\text{Rep-Set-0 } (X \tau)]] \implies (\delta (X \rightarrow \text{size}())) \tau = \text{false } \tau$ 
apply(subst cp-defined)
apply(simp add: OclSize-def)
by (metis OCL-core.bot-fun-def defined-def)

show ?thesis
apply(rule ext, rename-tac  $\tau$ , simp only: OclIncludes-def OclANY-def)
apply(subst cp-OclIf, subst (2) cp-valid)
apply(case-tac ( $\delta X$ )  $\tau = \text{true } \tau$ ,
      simp only: foundation20[simplified OclValid-def] cp-OclIf[symmetric], simp,
      subst (1 2) cp-OclAnd, simp add: cp-OclAnd[symmetric])
apply(case-tac finite [[Rep-Set-0 (X  $\tau$ )]])
  apply(frule size-defined'[THEN iffD2, simplified OclValid-def], assumption)
  apply(subst (1 2 3 4) cp-OclIf, simp)
  apply(subst (1 2 3 4) cp-OclIf[symmetric], simp)
  apply(case-tac (X  $\rightarrow$  notEmpty())  $\tau = \text{true } \tau$ , simp)
  apply(frule OclNotEmpty-has-elt[simplified OclValid-def], simp)
  apply(simp add: OclNotEmpty-def cp-OclIf[symmetric])
  apply(subgoal-tac (SOME y. y  $\in$  [[Rep-Set-0 (X  $\tau$ )]])  $\in$  [[Rep-Set-0 (X  $\tau$ )]], simp add:
true-def)
    apply(metis OclValid-def Set-inv-lemma foundation18' null-option-def true-def)
    apply(rule someI-ex, simp)
    apply(simp add: OclNotEmpty-def cp-valid[symmetric])
    apply(subgoal-tac  $\neg (\text{null } \tau \in [[\text{Rep-Set-0 } (X \tau)]])$ , simp)
    apply(subst OclIsEmpty-def, simp add: OclSize-def)
    apply(subst cp-OclNot, subst cp-OclOr, subst cp-StrictRefEqInteger, subst cp-OclAnd,
      subst cp-OclNot, simp add: OclValid-def foundation20[simplified OclValid-def]
      cp-OclNot[symmetric] cp-OclAnd[symmetric] cp-OclOr[symmetric])
    apply(frule notempty'[simplified OclValid-def],
      (simp add: mtSet-def Abs-Set-0-inverse OclInt0-def false-def)+)
    apply(drule notempty'[simplified OclValid-def], simp, simp)
    apply (metis (hide-lams, no-types) empty-iff mtSet-rep-set)

```

```

apply(frule B)
apply(subst (1 2 3 4) cp-OclIf, simp)
apply(subst (1 2 3 4) cp-OclIf[symmetric], simp)
apply(case-tac (X → notEmpty())  $\tau = \text{true}$   $\tau$ , simp)
apply(frule OclNotEmpty-has-elt[simplified OclValid-def], simp)
apply(simp add: OclNotEmpty-def OclIsEmpty-def)
apply(subgoal-tac X → size()  $\tau = \perp$ )
prefer 2
apply (metis (hide-lams, no-types) OclSize-def)
apply(subst (asm) cp-OclNot, subst (asm) cp-OclOr, subst (asm) cp-StrictRefEqInteger,
  subst (asm) cp-OclAnd, subst (asm) cp-OclNot)
apply(simp add: OclValid-def foundation20[simplified OclValid-def]
  cp-OclNot[symmetric] cp-OclAnd[symmetric] cp-OclOr[symmetric])
apply(simp add: OclNot-def StrongEq-def StrictRefEqInteger valid-def false-def true-def
  bot-option-def bot-fun-def invalid-def)

apply (metis OCL-core.bot-fun-def null-fun-def null-is-valid valid-def)
by(drule defined-inject-true,
  simp add: false-def true-def OclIf-false[simplified false-def] invalid-def)
qed

```

4.7.2. OclSize

lemma [*simp,code-unfold*]: δ (*Set*{} → *size*()) = *true*
by *simp*

lemma [*simp,code-unfold*]: δ ((*X* → *including*(*x*)) → *size*()) = (δ (*X* → *size*()) and *v*(*x*))
proof –

have *defined-inject-true* : $\bigwedge \tau P. (\delta P) \tau \neq \text{true} \tau \implies (\delta P) \tau = \text{false} \tau$
apply(*simp add: defined-def true-def false-def bot-fun-def bot-option-def*
null-fun-def null-option-def)
by (*case-tac* *P* $\tau = \perp \vee P \tau = \text{null}$, *simp-all add: true-def*)

have *valid-inject-true* : $\bigwedge \tau P. (v P) \tau \neq \text{true} \tau \implies (v P) \tau = \text{false} \tau$
apply(*simp add: valid-def true-def false-def bot-fun-def bot-option-def*
null-fun-def null-option-def)
by (*case-tac* *P* $\tau = \perp$, *simp-all add: true-def*)

have *OclIncluding-finite-rep-set* : $\bigwedge \tau. (\delta X \text{ and } v x) \tau = \text{true} \tau \implies$
finite [*Rep-Set-0* (*X* → *including*(*x*) τ)] = *finite* [*Rep-Set-0* (*X* τ)]
apply(*rule OclIncluding-finite-rep-set*)
by(*metis OclValid-def foundation5*)+

have *card-including-exec* : $\bigwedge \tau. (\delta (\lambda-. \llbracket \text{int} (\text{card} [\text{Rep-Set-0} (X \rightarrow \text{including}(x) \tau)]) \rrbracket)) \tau$
 =
 $(\delta (\lambda-. \llbracket \text{int} (\text{card} [\text{Rep-Set-0} (X \tau)]) \rrbracket)) \tau$
by(*simp add: defined-def bot-fun-def bot-option-def null-fun-def null-option-def*)

```

show ?thesis
  apply(rule ext, rename-tac  $\tau$ )
  apply(case-tac ( $\delta (X \rightarrow \text{including}(x) \rightarrow \text{size}())$ )  $\tau = \text{true } \tau$ , simp del: OclSize-including-exec)
    apply(subst cp-OclAnd, subst cp-defined, simp only: cp-defined[of
 $X \rightarrow \text{including}(x) \rightarrow \text{size}()$ ],
      simp add: OclSize-def)
    apply(case-tac (( $\delta X$  and  $v x$ )  $\tau = \text{true } \tau \wedge \text{finite } [[\text{Rep-Set-0 } (X \rightarrow \text{including}(x) \tau)]]$ ),
      simp)
    apply(erule conjE,
      simp add: OclIncluding-finite-rep-set[simplified OclValid-def] card-including-exec
        cp-OclAnd[of  $\delta X \ v x$ ]
        cp-OclAnd[of true, THEN sym])
    apply(subgoal-tac ( $\delta X$ )  $\tau = \text{true } \tau \wedge (v x) \tau = \text{true } \tau$ , simp)
    apply(rule foundation5[of -  $\delta X \ v x$ , simplified OclValid-def],
      simp only: cp-OclAnd[THEN sym])
    apply(simp, simp add: defined-def true-def false-def bot-fun-def bot-option-def)

  apply(drule defined-inject-true[of  $X \rightarrow \text{including}(x) \rightarrow \text{size}()$ ],
    simp del: OclSize-including-exec,
    simp only: cp-OclAnd[of  $\delta (X \rightarrow \text{size}()) \ v x$ ],
    simp add: cp-defined[of  $X \rightarrow \text{including}(x) \rightarrow \text{size}()$ ] cp-defined[of  $X \rightarrow \text{size}()$ ]
      del: OclSize-including-exec,
    simp add: OclSize-def card-including-exec
      del: OclSize-including-exec)
  apply(case-tac ( $\delta X$  and  $v x$ )  $\tau = \text{true } \tau \wedge \text{finite } [[\text{Rep-Set-0 } (X \tau)]]$ ,
    simp add: OclIncluding-finite-rep-set[simplified OclValid-def] card-including-exec,
    simp only: cp-OclAnd[THEN sym],
    simp add: defined-def bot-fun-def)

  apply(split split-if-asm)
  apply(simp add: OclIncluding-finite-rep-set[simplified OclValid-def] card-including-exec)+
  apply(simp only: cp-OclAnd[THEN sym], simp, rule impI, erule conjE)
  apply(case-tac ( $v x$ )  $\tau = \text{true } \tau$ , simp add: cp-OclAnd[of  $\delta X \ v x$ ])
  by(drule valid-inject-true[of  $x$ ], simp add: cp-OclAnd[of -  $v x$ ])
qed

lemma [simp,code-unfold]:  $\delta ((X \rightarrow \text{excluding}(x)) \rightarrow \text{size}()) = (\delta(X \rightarrow \text{size}()) \text{ and } v(x))$ 
proof -
  have defined-inject-true :  $\bigwedge \tau P. (\delta P) \tau \neq \text{true } \tau \implies (\delta P) \tau = \text{false } \tau$ 
    apply(simp add: defined-def true-def false-def bot-fun-def bot-option-def
      null-fun-def null-option-def)
    by (case-tac  $P \tau = \perp \vee P \tau = \text{null}$ , simp-all add: true-def)

  have valid-inject-true :  $\bigwedge \tau P. (v P) \tau \neq \text{true } \tau \implies (v P) \tau = \text{false } \tau$ 
    apply(simp add: valid-def true-def false-def bot-fun-def bot-option-def
      null-fun-def null-option-def)
    by (case-tac  $P \tau = \perp$ , simp-all add: true-def)

  have OclExcluding-finite-rep-set :  $\bigwedge \tau. (\delta X \text{ and } v x) \tau = \text{true } \tau \implies$ 

```

```

      finite [[Rep-Set-0 (X->excluding(x) τ)]] =
      finite [[Rep-Set-0 (X τ)]]
apply(rule OclExcluding-finite-rep-set)
by(metis OclValid-def foundation5)+

have card-excluding-exec :  $\bigwedge \tau. (\delta (\lambda-. \llbracket \text{int} (\text{card} \llbracket \text{Rep-Set-0} (X \rightarrow \text{excluding}(x) \tau) \rrbracket) \rrbracket)) \tau$ 
=
       $(\delta (\lambda-. \llbracket \text{int} (\text{card} \llbracket \text{Rep-Set-0} (X \tau) \rrbracket) \rrbracket)) \tau$ 
by(simp add: defined-def bot-fun-def bot-option-def null-fun-def null-option-def)

show ?thesis
apply(rule ext, rename-tac τ)
apply(case-tac (δ (X->excluding(x)->size())) τ = true τ, simp)
      apply(subst cp-OclAnd, subst cp-defined, simp only: cp-defined[of
X->excluding(x)->size()],
      simp add: OclSize-def)
      apply(case-tac ((δ X and v x) τ = true τ ∧ finite [[Rep-Set-0 (X->excluding(x) τ)]]),
simp)
      apply(erule conjE,
      simp add: OclExcluding-finite-rep-set[simplified OclValid-def] card-excluding-exec
      cp-OclAnd[of δ X v x]
      cp-OclAnd[of true, THEN sym])
      apply(subgoal-tac (δ X) τ = true τ ∧ (v x) τ = true τ, simp)
      apply(rule foundation5[of - δ X v x, simplified OclValid-def],
      simp only: cp-OclAnd[THEN sym])
      apply(simp, simp add: defined-def true-def false-def bot-fun-def bot-option-def)

apply(drule defined-inject-true[of X->excluding(x)->size()],
simp,
simp only: cp-OclAnd[of δ (X->size()) v x],
simp add: cp-defined[of X->excluding(x)->size() ] cp-defined[of X->size() ],
simp add: OclSize-def card-excluding-exec)
apply(case-tac (δ X and v x) τ = true τ ∧ finite [[Rep-Set-0 (X τ)]]),
simp add: OclExcluding-finite-rep-set[simplified OclValid-def] card-excluding-exec,
simp only: cp-OclAnd[THEN sym],
simp add: defined-def bot-fun-def)

apply(split split-if-asm)
apply(simp add: OclExcluding-finite-rep-set[simplified OclValid-def] card-excluding-exec)+
apply(simp only: cp-OclAnd[THEN sym], simp, rule impI, erule conjE)
apply(case-tac (v x) τ = true τ, simp add: cp-OclAnd[of δ X v x])
by(drule valid-inject-true[of x], simp add: cp-OclAnd[of - v x])
qed

lemma [simp]:
assumes X-finite:  $\bigwedge \tau. \text{finite} \llbracket \text{Rep-Set-0} (X \tau) \rrbracket$ 
shows δ ((X ->including(x)) ->size()) = (δ(X) and v(x))
by(simp add: size-defined[OF X-finite] del: OclSize-including-exec)

```


4.7.3. OclForall

lemma *OclForall-rep-set-false:*

assumes $\tau \models \delta \ X$
shows $(\text{OclForall } X \ P \ \tau = \text{false } \tau) = (\exists x \in \llbracket \text{Rep-Set-0 } (X \ \tau) \rrbracket. P \ (\lambda\tau. x) \ \tau = \text{false } \tau)$
by(*insert assms, simp add: OclForall-def OclValid-def false-def true-def*
bot-fun-def bot-option-def null-fun-def null-option-def)

lemma *OclForall-rep-set-true:*

assumes $\tau \models \delta \ X$
shows $(\tau \models \text{OclForall } X \ P) = (\forall x \in \llbracket \text{Rep-Set-0 } (X \ \tau) \rrbracket. \tau \models P \ (\lambda\tau. x))$
proof –
have *destruct-ocl* : $\bigwedge x \ \tau. x = \text{true } \tau \vee x = \text{false } \tau \vee x = \text{null } \tau \vee x = \perp \ \tau$
apply(*case-tac x*) **apply** (*metis bot-Boolean-def*)
apply(*rename-tac x', case-tac x'*) **apply** (*metis null-Boolean-def*)
apply(*rename-tac x'', case-tac x''*) **apply** (*metis (full-types) true-def*)
by (*metis (full-types) false-def*)

have *disjE4* : $\bigwedge P1 \ P2 \ P3 \ P4 \ R.$

$(P1 \vee P2 \vee P3 \vee P4) \implies (P1 \implies R) \implies (P2 \implies R) \implies (P3 \implies R) \implies (P4 \implies R) \implies R$

by *metis*

show *?thesis*

apply(*simp add: OclForall-def OclValid-def true-def false-def*
bot-fun-def bot-option-def null-fun-def null-option-def split: split-if-asm)
apply(*rule conjI, rule impI*) **apply** (*metis OCL-core.drop.simps option.distinct(1)*)
apply(*rule impI, rule conjI, rule impI*) **apply** (*metis option.distinct(1)*)
apply(*rule impI, rule conjI, rule impI*) **apply** (*metis OCL-core.drop.simps*)
apply(*intro conjI impI ballI*)
proof – **fix** *x* **show** $\forall x \in \llbracket \text{Rep-Set-0 } (X \ \tau) \rrbracket. P \ (\lambda\tau. x) \ \tau \neq \llbracket \text{None} \rrbracket \implies$
 $\forall x \in \llbracket \text{Rep-Set-0 } (X \ \tau) \rrbracket. \exists y. P \ (\lambda\tau. x) \ \tau = \llbracket y \rrbracket \implies$
 $\forall x \in \llbracket \text{Rep-Set-0 } (X \ \tau) \rrbracket. P \ (\lambda\tau. x) \ \tau \neq \llbracket \text{False} \rrbracket \implies$
 $x \in \llbracket \text{Rep-Set-0 } (X \ \tau) \rrbracket \implies P \ (\lambda\tau. x) \ \tau = \llbracket \text{True} \rrbracket$
apply(*erule-tac x = x in ballE*) +
by(*rule disjE4[OF destruct-ocl[of P (\lambda\tau. x) \tau]],*
(simp add: true-def false-def null-fun-def null-option-def bot-fun-def bot-option-def)) +
apply-end(*simp add: assms[simplified OclValid-def true-def]*) +
qed
qed

lemma *OclForall-includes :*

assumes $x\text{-def} : \tau \models \delta \ x$
and $y\text{-def} : \tau \models \delta \ y$
shows $(\tau \models \text{OclForall } x \ (\text{OclIncludes } y)) = (\llbracket \text{Rep-Set-0 } (x \ \tau) \rrbracket \subseteq \llbracket \text{Rep-Set-0 } (y \ \tau) \rrbracket)$
apply(*simp add: OclForall-rep-set-true[OF x-def],*
simp add: OclIncludes-def OclValid-def y-def[simplified OclValid-def])
apply(*insert Set-inv-lemma[OF x-def], simp add: valid-def false-def true-def bot-fun-def*)
by(*rule iffI, simp add: subsetI, simp add: subsetD*)

lemma *OclForall-not-includes :*

assumes $x\text{-def} : \tau \models \delta \ x$
and $y\text{-def} : \tau \models \delta \ y$
shows $(\text{OclForall } x \ (\text{OclIncludes } y) \ \tau = \text{false } \tau) = (\neg \llbracket \text{Rep-Set-0 } (x \ \tau) \rrbracket \subseteq \llbracket \text{Rep-Set-0 } (y \ \tau) \rrbracket)$
apply(*simp add: OclForall-rep-set-false*[OF $x\text{-def}$],
simp add: OclIncludes-def OclValid-def $y\text{-def}$ [simplified OclValid-def])
apply(*insert Set-inv-lemma*[OF $x\text{-def}$], *simp add: valid-def false-def true-def bot-fun-def*)
by(*rule iffI, metis set-rev-mp, metis subsetI*)

lemma *OclForall-iterate*:

assumes $S\text{-finite}$: $\text{finite } \llbracket \text{Rep-Set-0 } (S \ \tau) \rrbracket$
shows $S \rightarrow \text{forAll}(x \mid P \ x) \ \tau = (S \rightarrow \text{iterate}(x; \text{acc} = \text{true} \mid \text{acc and } P \ x)) \ \tau$
proof –
have *and-comm* : *comp-fun-commute* $(\lambda x \text{ acc. acc and } P \ x)$
apply(*simp add: comp-fun-commute-def comp-def*)
by (*metis OclAnd-assoc OclAnd-commute*)

have *ex-insert* : $\bigwedge x \ F \ P. (\exists x \in \text{insert } x \ F. P \ x) = (P \ x \vee (\exists x \in F. P \ x))$
by (*metis insert-iff*)

have *destruct-ocl* : $\bigwedge x \ \tau. x = \text{true } \tau \vee x = \text{false } \tau \vee x = \text{null } \tau \vee x = \perp \ \tau$
apply(*case-tac x*) **apply** (*metis bot-Boolean-def*)
apply(*rename-tac x' , case-tac x'*) **apply** (*metis null-Boolean-def*)
apply(*rename-tac x'' , case-tac x''*) **apply** (*metis (full-types) true-def*)
by (*metis (full-types) false-def*)

have *disjE4* : $\bigwedge P1 \ P2 \ P3 \ P4 \ R. (P1 \vee P2 \vee P3 \vee P4) \Longrightarrow (P1 \Longrightarrow R) \Longrightarrow (P2 \Longrightarrow R) \Longrightarrow (P3 \Longrightarrow R) \Longrightarrow (P4 \Longrightarrow R) \Longrightarrow R$
by *metis*

let $?P\text{-eq} = \lambda x \ b \ \tau. P \ (\lambda \cdot. x) \ \tau = b \ \tau$
let $?P = \lambda \text{set } b \ \tau. \exists x \in \text{set}. ?P\text{-eq } x \ b \ \tau$
let $?if = \lambda f \ b \ c. \text{if } f \ b \ \tau \text{ then } b \ \tau \text{ else } c$
let $?forall = \lambda P. ?if \ P \ \text{false } (?if \ P \ \perp \ (?if \ P \ \text{null } (\text{true } \tau)))$
show *?thesis*
apply(*simp only: OclForall-def OclIterate-def*)
apply(*case-tac $\tau \models \delta \ S$, simp only: OclValid-def*)
apply(*subgoal-tac let set = $\llbracket \text{Rep-Set-0 } (S \ \tau) \rrbracket$ in*
?forall (?P set) =
Finite-Set.fold $(\lambda x \text{ acc. acc and } P \ x) \ \text{true } ((\lambda a \ \tau. a) \text{ 'set}) \ \tau$,
simp only: Let-def, simp add: S-finite, simp only: Let-def)
apply(*case-tac $\llbracket \text{Rep-Set-0 } (S \ \tau) \rrbracket = \{\}$, simp*)
apply(*rule finite-ne-induct*[OF $S\text{-finite}$], *simp*)

apply(*simp only: image-insert*)
apply(*subst comp-fun-commute.fold-insert*[OF *and-comm*], *simp*)
apply (*metis empty-iff image-empty*)
apply(*simp*)

```

apply (metis OCL-core.bot-fun-def destruct-ocl null-fun-def)

apply(simp only: image-insert)
apply(subst comp-fun-commute.fold-insert[OF and-comm], simp)
apply (metis (mono-tags) imageE)

apply(subst cp-OclAnd) apply(drule sym, drule sym, simp only:, drule sym, simp only:)
apply(simp only: ex-insert)
apply(subgoal-tac  $\exists x. x \in F$ ) prefer 2
apply(metis all-not-in-conv)
proof – fix  $x F$  show  $(\delta S) \tau = \text{true} \tau \implies \exists x. x \in F \implies$ 
 $\text{?forall } (\lambda b \tau. \text{?P-eq } x b \tau \vee \text{?P } F b \tau) =$ 
 $((\lambda-. \text{?forall } (\text{?P } F)) \text{ and } (\lambda-. P (\lambda\tau. x) \tau)) \tau$ 
apply(rule disjE4[OF destruct-ocl[where x = P (\lambda\tau. x) \tau]])
apply(simp-all add: true-def false-def OclAnd-def
null-fun-def null-option-def bot-fun-def bot-option-def)
by (metis (lifting) option.distinct(1))+
apply-end(simp add: OclValid-def)+
qed
qed

lemma OclForall-cong:
assumes  $\bigwedge x. x \in \llbracket \text{Rep-Set-0 } (X \tau) \rrbracket \implies \tau \models P (\lambda\tau. x) \implies \tau \models Q (\lambda\tau. x)$ 
assumes  $P: \tau \models \text{OclForall } X P$ 
shows  $\tau \models \text{OclForall } X Q$ 
proof –
have def-X:  $\tau \models \delta X$ 
by(insert P, simp add: OclForall-def OclValid-def bot-option-def true-def split: split-if-asm)
show ?thesis
apply(insert P)
apply(subst (asm) OclForall-rep-set-true[OF def-X], subst OclForall-rep-set-true[OF def-X])
by (simp add: assms)
qed

lemma OclForall-cong':
assumes  $\bigwedge x. x \in \llbracket \text{Rep-Set-0 } (X \tau) \rrbracket \implies \tau \models P (\lambda\tau. x) \implies \tau \models Q (\lambda\tau. x) \implies \tau \models R$ 
 $(\lambda\tau. x)$ 
assumes  $P: \tau \models \text{OclForall } X P$ 
assumes  $Q: \tau \models \text{OclForall } X Q$ 
shows  $\tau \models \text{OclForall } X R$ 
proof –
have def-X:  $\tau \models \delta X$ 
by(insert P, simp add: OclForall-def OclValid-def bot-option-def true-def split: split-if-asm)
show ?thesis
apply(insert P Q)
apply(subst (asm) (1 2) OclForall-rep-set-true[OF def-X], subst OclForall-rep-set-true[OF
def-X])
by (simp add: assms)

```

qed

4.7.4. Strict Equality

```

lemma StrictRefEqSet-defined :
  assumes x-def:  $\tau \models \delta x$ 
  assumes y-def:  $\tau \models \delta y$ 
  shows  $((x::(\mathcal{A}, \alpha::\text{null})\text{Set}) \doteq y) \tau =$ 
     $(x \rightarrow \text{forAll}(z \mid y \rightarrow \text{includes}(z)) \text{ and } (y \rightarrow \text{forAll}(z \mid x \rightarrow \text{includes}(z)))) \tau$ 
proof –
  have rep-set-inj :  $\bigwedge \tau. (\delta x) \tau = \text{true } \tau \implies$ 
     $(\delta y) \tau = \text{true } \tau \implies$ 
     $x \tau \neq y \tau \implies$ 
     $\llbracket \text{Rep-Set-0 } (y \tau) \rrbracket \neq \llbracket \text{Rep-Set-0 } (x \tau) \rrbracket$ 
  apply(simp add: defined-def)
  apply(split split-if-asm, simp add: false-def true-def) +
  apply(simp add: null-fun-def null-Set-0-def bot-fun-def bot-Set-0-def)

  apply(case-tac x  $\tau$ , rename-tac x')
  apply(case-tac x', simp-all, rename-tac x'')
  apply(case-tac x'', simp-all)

  apply(case-tac y  $\tau$ , rename-tac y')
  apply(case-tac y', simp-all, rename-tac y'')
  apply(case-tac y'', simp-all)

  apply(simp add: Abs-Set-0-inverse)
by(blast)

show ?thesis
  apply(simp add: StrictRefEqSet StrongEq-def
    foundation20[OF x-def, simplified OclValid-def]
    foundation20[OF y-def, simplified OclValid-def])
  apply(subgoal-tac  $\llbracket x \tau = y \tau \rrbracket = \text{true } \tau \vee \llbracket x \tau = y \tau \rrbracket = \text{false } \tau$ )
  prefer 2
  apply(simp add: false-def true-def)

  apply(erule disjE)
  apply(simp add: true-def)

  apply(subgoal-tac  $(\tau \models \text{OclForall } x (\text{OclIncludes } y)) \wedge (\tau \models \text{OclForall } y (\text{OclIncludes } x))$ )
  apply(subst cp-OclAnd, simp add: true-def OclValid-def)
  apply(simp add: OclForall-includes[OF x-def y-def]
    OclForall-includes[OF y-def x-def])

  apply(simp)

  apply(subgoal-tac  $\text{OclForall } x (\text{OclIncludes } y) \tau = \text{false } \tau \vee$ 

```

$OclForall\ y\ (OclIncludes\ x)\ \tau = false\ \tau)$
apply(subst cp-OclAnd, metis OclAnd-false1 OclAnd-false2 cp-OclAnd)
apply(simp only: OclForall-not-includes[OF x-def y-def, simplified OclValid-def]
 $OclForall-not-includes[OF\ y-def\ x-def,\ simplified\ OclValid-def],$
 simp add: false-def)
by (metis OclValid-def rep-set-inj subset-antisym x-def y-def)
qed

lemma *StrictRefEq_{Set}-exec*[simp,code-unfold] :
 $((x::('A,'\alpha::null)Set) \doteq y) =$
 (if $\delta\ x$ then (if $\delta\ y$
 then $((x \rightarrow forAll(z\ |\ y \rightarrow includes(z)))\ and\ (y \rightarrow forAll(z\ |\ x \rightarrow includes(z))))$
 else if $v\ y$
 then $false\ (*\ x' \rightarrow includes = null\ *)$
 else invalid
 endif)
 else if $v\ x\ (*\ null = ???\ *)$
 then if $v\ y$ then not($\delta\ y$) else invalid endif
 else invalid
 endif)
 endif)

proof –

have *defined-inject-true* : $\bigwedge \tau\ P.\ (\neg(\tau \models \delta\ P)) = ((\delta\ P)\ \tau = false\ \tau)$
by (metis bot-fun-def OclValid-def defined-def foundation16 null-fun-def)

have *valid-inject-true* : $\bigwedge \tau\ P.\ (\neg(\tau \models v\ P)) = ((v\ P)\ \tau = false\ \tau)$
by (metis bot-fun-def OclIf-true' OclIncludes-cha0 OclIncludes-cha0' OclValid-def valid-def
 foundation6 foundation9)

show ?thesis

apply(rule ext, rename-tac τ)

apply(simp add: OclIf-def
 defined-inject-true[simplified OclValid-def]
 valid-inject-true[simplified OclValid-def],
 subst false-def, subst true-def, simp)
apply(subst (1 2) cp-OclNot, simp, simp add: cp-OclNot[symmetric])
apply(simp add: StrictRefEq_{Set}-defined[simplified OclValid-def])
by(simp add: StrictRefEq_{Set} StrongEq-def false-def true-def valid-def defined-def)
qed

lemma *StrictRefEq_{Set}-L-subst1* : $cp\ P \implies \tau \models v\ x \implies \tau \models v\ y \implies \tau \models v\ P\ x \implies \tau \models v\ P\ y \implies$

$\tau \models (x::('A,'\alpha::null)Set) \doteq y \implies \tau \models (P\ x::('A,'\alpha::null)Set) \doteq P\ y$

apply(simp only: StrictRefEq_{Set} OclValid-def)

apply(split split-if-asm)

apply(simp add: StrongEq-L-subst1[simplified OclValid-def])

by (simp add: invalid-def bot-option-def true-def)

```

lemma OclIncluding-cong' :
shows  $\tau \models \delta s \implies \tau \models \delta t \implies \tau \models v x \implies$ 
 $\tau \models ((s::('A, 'a::null)Set) \dot{=} t) \implies \tau \models (s \rightarrow including(x) \dot{=} (t \rightarrow including(x)))$ 
proof -
  have cp:  $cp (\lambda s. (s \rightarrow including(x)))$ 
  apply(simp add: cp-def, subst cp-OclIncluding)
  by (rule-tac x = (\lambda xab ab. ((\lambda-. xab) \rightarrow including(\lambda-. x ab)) ab) in exI, simp)

  show  $\tau \models \delta s \implies \tau \models \delta t \implies \tau \models v x \implies \tau \models (s \dot{=} t) \implies ?thesis$ 
  apply(rule-tac P = \lambda s. (s \rightarrow including(x)) in StrictRefEqSet-L-subst1)
  apply(rule cp)
  apply(simp add: foundation20) apply(simp add: foundation20)
  apply (simp add: foundation10 foundation6)+
done
qed

lemma OclIncluding-cong :  $\bigwedge (s::('A, 'a::null)Set) t x y \tau. \tau \models \delta t \implies \tau \models v y \implies$ 
 $\tau \models s \dot{=} t \implies x = y \implies \tau \models s \rightarrow including(x) \dot{=} (t \rightarrow including(y))$ 
apply(simp only:)
apply(rule OclIncluding-cong', simp-all only:)
by(auto simp: OclValid-def OclIf-def invalid-def bot-option-def OclNot-def split : split-if-asm)

lemma const-StrictRefEqSet-including :  $const a \implies const S \implies const X \implies$ 
 $const (X \dot{=} S \rightarrow including(a))$ 
apply(rule const-StrictRefEqSet, assumption)
by(rule const-OclIncluding)

```

4.8. Test Statements

```

lemma syntax-test:  $Set\{2,1\} = (Set\{\} \rightarrow including(1) \rightarrow including(2))$ 
by (rule refl)

```

Here is an example of a nested collection. Note that we have to use the abstract null (since we did not (yet) define a concrete constant *null* for the non-existing Sets) :

```

lemma semantic-test2:
assumes H:  $(Set\{2\} \dot{=} null) = (false::('A)Boolean)$ 
shows  $(\tau::('A)st) \models (Set\{Set\{2\}, null\} \rightarrow includes(null))$ 
by(simp add: OclIncludes-executeSet H)

```

```

lemma short-cut'[simp,code-unfold]:  $(8 \dot{=} 6) = false$ 
apply(rule ext)
apply(simp add: StrictRefEqInteger StrongEq-def OclInt8-def OclInt6-def
 $true-def false-def invalid-def bot-option-def$ )
done

```

```

lemma short-cut''[simp,code-unfold]:  $(2 \dot{=} 1) = false$ 
apply(rule ext)

```

```

apply(simp add: StrictRefEqInteger StrongEq-def OclInt2-def OclInt1-def
      true-def false-def invalid-def bot-option-def)
done
lemma short-cut'''[simp,code-unfold]: (1  $\doteq$  2) = false
apply(rule ext)
apply(simp add: StrictRefEqInteger StrongEq-def OclInt2-def OclInt1-def
      true-def false-def invalid-def bot-option-def)
done

```

Elementary computations on Sets.

```

declare OclSelect-body-def [simp]

```

```

value  $\neg$  ( $\tau \models v(\text{invalid}::('A, 'a::\text{null}) \text{ Set}))$ 
value  $\tau \models v(\text{null}::('A, 'a::\text{null}) \text{ Set})$ 
value  $\neg$  ( $\tau \models \delta(\text{null}::('A, 'a::\text{null}) \text{ Set}))$ 
value  $\tau \models v(\text{Set}\{\})$ 
value  $\tau \models v(\text{Set}\{\text{Set}\{2\}, \text{null}\})$ 
value  $\tau \models \delta(\text{Set}\{\text{Set}\{2\}, \text{null}\})$ 
value  $\tau \models (\text{Set}\{2, 1\} \rightarrow \text{includes}(1))$ 
value  $\neg$  ( $\tau \models (\text{Set}\{2\} \rightarrow \text{includes}(1))$ )
value  $\neg$  ( $\tau \models (\text{Set}\{2, 1\} \rightarrow \text{includes}(\text{null}))$ )
value  $\tau \models (\text{Set}\{2, \text{null}\} \rightarrow \text{includes}(\text{null}))$ 
value  $\tau \models (\text{Set}\{\text{null}, 2\} \rightarrow \text{includes}(\text{null}))$ 

value  $\tau \models ((\text{Set}\{\}) \rightarrow \text{forAll}(z \mid 0 < z))$ 

value  $\tau \models ((\text{Set}\{2, 1\}) \rightarrow \text{forAll}(z \mid 0 < z))$ 
value  $\neg$  ( $\tau \models ((\text{Set}\{2, 1\}) \rightarrow \text{exists}(z \mid z < 0))$ )
value  $\neg$  ( $\tau \models \delta(\text{Set}\{2, \text{null}\}) \rightarrow \text{forAll}(z \mid 0 < z)$ )
value  $\neg$  ( $\tau \models ((\text{Set}\{2, \text{null}\}) \rightarrow \text{forAll}(z \mid 0 < z))$ )
value  $\tau \models ((\text{Set}\{2, \text{null}\}) \rightarrow \text{exists}(z \mid 0 < z))$ 

value  $\neg$  ( $\tau \models (\text{Set}\{\text{null}::'a \text{ Boolean}\} \doteq \text{Set}\{\})$ )
value  $\neg$  ( $\tau \models (\text{Set}\{\text{null}::'a \text{ Integer}\} \doteq \text{Set}\{\})$ )

value ( $\tau \models (\text{Set}\{\lambda-. \lfloor x \rfloor\} \doteq \text{Set}\{\lambda-. \lfloor x \rfloor\})$ )
value ( $\tau \models (\text{Set}\{\lambda-. \lfloor x \rfloor\} \doteq \text{Set}\{\lambda-. \lfloor x \rfloor\})$ )

```

```

lemma  $\neg$  ( $\tau \models (\text{Set}\{\text{true}\} \doteq \text{Set}\{\text{false}\})$ ) by simp
lemma  $\neg$  ( $\tau \models (\text{Set}\{\text{true}, \text{true}\} \doteq \text{Set}\{\text{false}\})$ ) by simp
lemma  $\neg$  ( $\tau \models (\text{Set}\{2\} \doteq \text{Set}\{1\})$ ) by simp
lemma  $\tau \models (\text{Set}\{2, \text{null}, 2\} \doteq \text{Set}\{\text{null}, 2\})$  by simp
lemma  $\tau \models (\text{Set}\{1, \text{null}, 2\} <> \text{Set}\{\text{null}, 2\})$  by simp
lemma  $\tau \models (\text{Set}\{\text{Set}\{2, \text{null}\}\} \doteq \text{Set}\{\text{Set}\{\text{null}, 2\}\})$  by simp
lemma  $\tau \models (\text{Set}\{\text{Set}\{2, \text{null}\}\} <> \text{Set}\{\text{Set}\{\text{null}, 2\}, \text{null}\})$  by simp
lemma  $\tau \models (\text{Set}\{\text{null}\} \rightarrow \text{select}(x \mid \text{not } x) \doteq \text{Set}\{\text{null}\})$  by simp
lemma  $\tau \models (\text{Set}\{\text{null}\} \rightarrow \text{reject}(x \mid \text{not } x) \doteq \text{Set}\{\text{null}\})$  by simp

```

lemma *const* (Set{Set{**2**,null}, invalid}) **by**(*simp add: const-ss*)

end

5. Formalization III: State Operations and Objects

```
theory OCL-state
imports OCL-lib
begin
```

5.1. Introduction: States over Typed Object Universes

In the following, we will refine the concepts of a user-defined data-model (implied by a class-diagram) as well as the notion of state used in the previous section to much more detail. Surprisingly, even without a concrete notion of an objects and a universe of object representation, the generic infrastructure of state-related operations is fairly rich.

5.1.1. Recall: The Generic Structure of States

Recall the foundational concept of an object id (oid), which is just some infinite set.

```
type-synonym oid = nat
```

Further, recall that states are pair of a partial map from oid's to elements of an object universe \mathcal{A} —the heap—and a map to relations of objects. The relations were encoded as lists of pairs to leave the possibility to have Bags, OrderedSets or Sequences as association ends.

This leads to the definitions:

```
record ('A)state =
  heap    :: "oid  $\rightarrow$  'A "
  assocs2 :: "oid  $\rightarrow$  (oid  $\times$  oid) list "
  assocs3 :: "oid  $\rightarrow$  (oid  $\times$  oid  $\times$  oid) list "
```

```
type-synonym ('A)st = "'A state  $\times$  'A state"
```

Now we refine our state-interface. In certain contexts, we will require that the elements of the object universe have a particular structure; more precisely, we will require that there is a function that reconstructs the oid of an object in the state (we will settle the question how to define this function later).

```
class object = fixes oid-of :: 'a  $\Rightarrow$  oid
```

Thus, if needed, we can constrain the object universe to objects by adding the following type class constraint:

```
typ 'A :: object
```

The major instance needed are instances constructed over options: once an object, options of objects are also objects.

```
instantiation option :: (object) object
begin
  definition oid-of-option-def: oid-of x = oid-of (the x)
  instance ..
end
```

5.2. Fundamental Predicates on Object: Strict Equality

Definition

Generic referential equality - to be used for instantiations with concrete object types ...

```
definition StrictRefEqObject :: ('A, 'a :: {object, null}) val => ('A, 'a) val => ('A) Boolean
where
  StrictRefEqObject x y
    ≡ λ τ. if (v x) τ = true τ ∧ (v y) τ = true τ
              then if x τ = null ∨ y τ = null
                    then [[x τ = null ∧ y τ = null]]
                    else [[(oid-of (x τ)) = (oid-of (y τ)) ]]
              else invalid τ
```

5.2.1. Logic and Algebraic Layer on Object

Validity and Definedness Properties

We derive the usual laws on definedness for (generic) object equality:

```
lemma StrictRefEqObject-defargs:
τ ⊨ (StrictRefEqObject x (y :: ('A, 'a :: {null, object}) val)) => (τ ⊨ (v x)) ∧ (τ ⊨ (v y))
by(simp add: StrictRefEqObject-def OclValid-def true-def invalid-def bot-option-def
    split: bool.split-asm HOL.split-if-asm)
```

Symmetry

```
lemma StrictRefEqObject-sym :
assumes x-val : τ ⊨ v x
shows τ ⊨ StrictRefEqObject x x
by(simp add: StrictRefEqObject-def true-def OclValid-def
    x-val[simplified OclValid-def])
```

Execution with Invalid or Null as Argument

```
lemma StrictRefEqObject-strict1[simp, code-unfold] :
(StrictRefEqObject x invalid) = invalid
by(rule ext, simp add: StrictRefEqObject-def true-def false-def)
```

lemma *StrictRefEqObject-strict2*[simp,code-unfold] :
 (*StrictRefEqObject* invalid x) = invalid
by(rule ext, simp add: *StrictRefEqObject-def* true-def false-def)

Context Passing

lemma *cp-StrictRefEqObject*:
 (*StrictRefEqObject* x y τ) = (*StrictRefEqObject* ($\lambda\cdot. x$ τ) ($\lambda\cdot. y$ τ)) τ
by(auto simp: *StrictRefEqObject-def* cp-valid[symmetric])

lemmas *cp-intro''*[intro!,simp,code-unfold] =
 cp-intro''
 cp-StrictRefEqObject[THEN allI[THEN allI[THEN allI[THEN cpI2]],
 of *StrictRefEqObject*]]

Behavior vs StrongEq

It remains to clarify the role of the state invariant $\text{inv}_\sigma(\sigma)$ mentioned above that states the condition that there is a “one-to-one” correspondence between object representations and oid’s: $\forall \text{oid} \in \text{dom } \sigma. \text{oid} = \text{OidOf } \lceil \sigma(\text{oid}) \rceil$. This condition is also mentioned in [33, Annex A] and goes back to Richters [35]; however, we state this condition as an invariant on states rather than a global axiom. It can, therefore, not be taken for granted that an oid makes sense both in pre- and post-states of OCL expressions.

We capture this invariant in the predicate WFF :

definition *WFF* :: ($\mathcal{A}::\text{object}$) $st \Rightarrow \text{bool}$
where *WFF* $\tau = ((\forall x \in \text{ran}(\text{heap}(\text{fst } \tau)). \lceil \text{heap}(\text{fst } \tau) (\text{oid-of } x) \rceil = x) \wedge$
 $(\forall x \in \text{ran}(\text{heap}(\text{snd } \tau)). \lceil \text{heap}(\text{snd } \tau) (\text{oid-of } x) \rceil = x))$

It turns out that WFF is a key-concept for linking strict referential equality to logical equality: in well-formed states (i.e. those states where the self (oid-of) field contains the pointer to which the object is associated to in the state), referential equality coincides with logical equality.

We turn now to the generic definition of referential equality on objects: Equality on objects in a state is reduced to equality on the references to these objects. As in HOL-OCL [6, 8], we will store the reference of an object inside the object in a (ghost) field. By establishing certain invariants (“consistent state”), it can be assured that there is a “one-to-one-correspondence” of objects to their references—and therefore the definition below behaves as we expect.

Generic Referential Equality enjoys the usual properties: (quasi) reflexivity, symmetry, transitivity, substitutivity for defined values. For type-technical reasons, for each concrete object type, the equality \doteq is defined by generic referential equality.

theorem *StrictRefEqObject-vs-StrongEq*:
assumes *WFF*: *WFF* τ
and *valid-x*: $\tau \models (v \ x)$

and *valid-y*: $\tau \models (v \ y)$
and *x-present-pre*: $x \ \tau \in \text{ran} \ (\text{heap}(\text{fst } \tau))$
and *y-present-pre*: $y \ \tau \in \text{ran} \ (\text{heap}(\text{fst } \tau))$
and *x-present-post*: $x \ \tau \in \text{ran} \ (\text{heap}(\text{snd } \tau))$
and *y-present-post*: $y \ \tau \in \text{ran} \ (\text{heap}(\text{snd } \tau))$

shows $(\tau \models (\text{StrictRefEq}_{\text{Object}} \ x \ y)) = (\tau \models (x \triangleq y))$
apply(*insert WFF valid-x valid-y x-present-pre y-present-pre x-present-post y-present-post*)
apply(*auto simp: StrictRefEq_{Object}-def OclValid-def WFF-def StrongEq-def true-def Ball-def*)
apply(*erule-tac x=x \tau in allE', simp-all*)
done

theorem *StrictRefEq_{Object}-vs-StrongEq'*:

assumes *WFF*: *WFF* τ

and *valid-x*: $\tau \models (v \ (x :: ('A::\text{object}, 'a::\{\text{null}, \text{object}\}) \text{val}))$

and *valid-y*: $\tau \models (v \ y)$

and *oid-preserve*: $\bigwedge x. x \in \text{ran} \ (\text{heap}(\text{fst } \tau)) \vee x \in \text{ran} \ (\text{heap}(\text{snd } \tau)) \implies$
 $H \ x \neq \perp \implies \text{oid-of } (H \ x) = \text{oid-of } x$

and *xy-together*: $x \ \tau \in H \text{ ' ran } (\text{heap}(\text{fst } \tau)) \wedge y \ \tau \in H \text{ ' ran } (\text{heap}(\text{fst } \tau)) \vee$
 $x \ \tau \in H \text{ ' ran } (\text{heap}(\text{snd } \tau)) \wedge y \ \tau \in H \text{ ' ran } (\text{heap}(\text{snd } \tau))$

shows $(\tau \models (\text{StrictRefEq}_{\text{Object}} \ x \ y)) = (\tau \models (x \triangleq y))$

apply(*insert WFF valid-x valid-y xy-together*)

apply(*simp add: WFF-def*)

apply(*auto simp: StrictRefEq_{Object}-def OclValid-def WFF-def StrongEq-def true-def Ball-def*)

by (*metis foundation18' oid-preserve valid-x valid-y*)**+**

So, if two object descriptions live in the same state (both pre or post), the referential equality on objects implies in a WFF state the logical equality.

5.3. Operations on Object

5.3.1. Initial States (for testing and code generation)

definition $\tau_0 :: ('A)st$

where $\tau_0 \equiv (\llbracket \text{heap} = \text{Map.empty}, \text{assocs}_2 = \text{Map.empty}, \text{assocs}_3 = \text{Map.empty} \rrbracket,$
 $\llbracket \text{heap} = \text{Map.empty}, \text{assocs}_2 = \text{Map.empty}, \text{assocs}_3 = \text{Map.empty} \rrbracket)$

5.3.2. OclAllInstances

To denote OCL types occurring in OCL expressions syntactically—as, for example, as “argument” of `oclAllInstances()`—we use the inverses of the injection functions into the object universes; we show that this is a sufficient “characterization.”

definition *OclAllInstances-generic* :: $((('A::\text{object}) \ st \Rightarrow 'A \ state) \Rightarrow ('A::\text{object} \multimap 'a) \Rightarrow$
 $('A, 'a \ \text{option option}) \ Set$

where *OclAllInstances-generic* *fst-snd* *H* =

$(\lambda \tau. \text{Abs-Set-0} \ [\llbracket \text{Some } ' ((H \text{ ' ran } (\text{heap} \ (\text{fst-snd } \tau))) - \{\text{None}\} \rrbracket])$

lemma *OclAllInstances-generic-defined*: $\tau \models \delta$ (*OclAllInstances-generic pre-post H*)
apply(*simp add: defined-def OclValid-def OclAllInstances-generic-def false-def true-def*
bot-fun-def bot-Set-0-def null-fun-def null-Set-0-def)
apply(*rule conjI*)
apply(*rule notI, subst (asm) Abs-Set-0-inject, simp,*
(rule disjI2)+,
metis bot-option-def option.distinct(1),
(simp add: bot-option-def null-option-def)+)
done

lemma *OclAllInstances-generic-init-empty*:
assumes [*simp*]: $\bigwedge x. \text{pre-post } (x, x) = x$
shows $\tau_0 \models \text{OclAllInstances-generic pre-post } H \triangleq \text{Set}\{\}$
by(*simp add: StrongEq-def OclAllInstances-generic-def OclValid-def τ_0 -def mtSet-def*)

lemma *represented-generic-objects-nonnul*:
assumes $A: \tau \models ((\text{OclAllInstances-generic pre-post } (H::(\mathcal{A}::\text{object} \rightarrow '\alpha))) \rightarrow \text{includes}(x))$
shows $\tau \models \text{not}(x \triangleq \text{null})$
proof –
have $B: \tau \models \delta$ (*OclAllInstances-generic pre-post H*)
by(*insert A[THEN OCL-core.foundation6,*
simplified OCL-lib.OclIncludes-defined-args-valid], auto)
have $C: \tau \models v \ x$
by(*insert A[THEN OCL-core.foundation6,*
simplified OCL-lib.OclIncludes-defined-args-valid], auto)
show ?thesis
apply(*insert A*)
apply(*simp add: StrongEq-def OclValid-def*
OclNot-def null-def true-def OclIncludes-def B[simplified OclValid-def]
C[simplified OclValid-def])
apply(*simp add: OclAllInstances-generic-def*)
apply(*erule contrapos-pn*)
apply(*subst OCL-lib.Set-0.Abs-Set-0-inverse,*
auto simp: null-fun-def null-option-def bot-option-def)
done
qed

lemma *represented-generic-objects-defined*:
assumes $A: \tau \models ((\text{OclAllInstances-generic pre-post } (H::(\mathcal{A}::\text{object} \rightarrow '\alpha))) \rightarrow \text{includes}(x))$
shows $\tau \models \delta$ (*OclAllInstances-generic pre-post H*) $\wedge \tau \models \delta \ x$
apply(*insert A[THEN OCL-core.foundation6,*
simplified OCL-lib.OclIncludes-defined-args-valid])
apply(*simp add: OCL-core.foundation16 OCL-core.foundation18 invalid-def, erule conjE*)
apply(*insert A[THEN represented-generic-objects-nonnul]*)
by(*simp add: foundation24 null-fun-def*)

One way to establish the actual presence of an object representation in a state is:

lemma *represented-generic-objects-in-state*:

```

assumes  $A: \tau \models (\text{OclAllInstances-generic pre-post } H) \rightarrow \text{includes}(x)$ 
shows  $x \tau \in (\text{Some } o \ H) \text{ ' ran } (\text{heap}(\text{pre-post } \tau))$ 
proof –
  have  $B: (\delta (\text{OclAllInstances-generic pre-post } H)) \tau = \text{true } \tau$ 
    by (simp add: OCL-core.OclValid-def[symmetric] OclAllInstances-generic-defined)
  have  $C: (v \ x) \tau = \text{true } \tau$ 
    by (insert A[THEN OCL-core.foundation6,
      simplified OCL-lib.OclIncludes-defined-args-valid],
      auto simp: OclValid-def)
  have  $F: \text{Rep-Set-0 } (\text{Abs-Set-0 } \llbracket \text{Some } ' (H \text{ ' ran } (\text{heap}(\text{pre-post } \tau)) - \{\text{None}\}) \rrbracket) =$ 
     $\llbracket \text{Some } ' (H \text{ ' ran } (\text{heap}(\text{pre-post } \tau)) - \{\text{None}\}) \rrbracket$ 
    by (subst OCL-lib.Set-0.Abs-Set-0-inverse, simp-all add: bot-option-def)
  show ?thesis
    apply (insert A)
    apply (simp add: OclIncludes-def OclValid-def ran-def B C image-def true-def)
    apply (simp add: OclAllInstances-generic-def)
    apply (simp add: F)
    apply (simp add: ran-def)
    by (fastforce)
qed

lemma state-update-vs-allInstances-generic-empty:
assumes [simp]:  $\bigwedge a. \text{pre-post } (\text{mk } a) = a$ 
shows  $(\text{mk } (\text{heap}=\text{empty}, \text{assocs}_2=A, \text{assocs}_3=B)) \models \text{OclAllInstances-generic pre-post Type}$ 
 $\doteq \text{Set}\{\}$ 
proof –
  have state-update-vs-allInstances-empty:
     $(\text{OclAllInstances-generic pre-post Type}) (\text{mk } (\text{heap}=\text{empty}, \text{assocs}_2=A, \text{assocs}_3=B)) =$ 
     $\text{Set}\{\} (\text{mk } (\text{heap}=\text{empty}, \text{assocs}_2=A, \text{assocs}_3=B))$ 
    by (simp add: OclAllInstances-generic-def mtSet-def)
  show ?thesis
    apply (simp only: OclValid-def, subst cp-StrictRefEqSet,
      simp add: state-update-vs-allInstances-empty)
    apply (simp add: OclIf-def valid-def mtSet-def defined-def
      bot-fun-def null-fun-def null-option-def bot-Set-0-def)
    by (subst Abs-Set-0-inject, (simp add: bot-option-def true-def)+)
qed

```

Here comes a couple of operational rules that allow to infer the value of `oclAllInstances` from the context τ . These rules are a special-case in the sense that they are the only rules that relate statements with *different* τ 's. For that reason, new concepts like “constant contexts P” are necessary (for which we do not elaborate an own theory for reasons of space limitations; in examples, we will prove resulting constraints straight forward by hand).

```

lemma state-update-vs-allInstances-generic-including':
assumes [simp]:  $\bigwedge a. \text{pre-post } (\text{mk } a) = a$ 
assumes  $\bigwedge x. \sigma' \text{ oid} = \text{Some } x \implies x = \text{Object}$ 
and  $\text{Type Object} \neq \text{None}$ 

```

```

shows (OclAllInstances-generic pre-post Type)
  (mk ( $\downarrow$ heap= $\sigma'$ (oid $\mapsto$ Object), assocs2=A, assocs3=B))
=
  ((OclAllInstances-generic pre-post Type)->including( $\lambda$  -.  $\llbracket$  drop (Type Object)  $\rrbracket$ ))
  (mk ( $\downarrow$ heap= $\sigma'$ , assocs2=A, assocs3=B))

proof -
have drop-none :  $\bigwedge x. x \neq \text{None} \implies \llbracket x \rrbracket = x$ 
by(case-tac x, simp+)

have insert-diff :  $\bigwedge x S. \text{insert } \llbracket x \rrbracket (S - \{\text{None}\}) = (\text{insert } \llbracket x \rrbracket S) - \{\text{None}\}$ 
by (metis insert-Diff-if option.distinct(1) singletonE)

show ?thesis
apply(simp add: OclIncluding-def OclAllInstances-generic-defined[simplified OclValid-def],
  simp add: OclAllInstances-generic-def)
apply(subst Abs-Set-0-inverse, simp add: bot-option-def, simp add: comp-def,
  subst image-insert[symmetric],
  subst drop-none, simp add: assms)
apply(case-tac Type Object, simp add: assms, simp only:,
  subst insert-diff, drule sym, simp)
apply(subgoal-tac ran ( $\sigma'$ (oid  $\mapsto$  Object)) = insert Object (ran  $\sigma'$ ), simp)
apply(case-tac  $\neg (\exists x. \sigma' \text{ oid} = \text{Some } x)$ )
apply(rule ran-map-upd, simp)
apply(simp, erule exE, frule assms, simp)
apply(subgoal-tac Object  $\in$  ran  $\sigma'$ ) prefer 2
apply(rule ranI, simp)
by(subst insert-absorb, simp, metis fun-upd-apply)
qed

lemma state-update-vs-allInstances-generic-including:
assumes [simp]:  $\bigwedge a. \text{pre-post } (\text{mk } a) = a$ 
assumes  $\bigwedge x. \sigma' \text{ oid} = \text{Some } x \implies x = \text{Object}$ 
and Type Object  $\neq \text{None}$ 
shows (OclAllInstances-generic pre-post Type)
  (mk ( $\downarrow$ heap= $\sigma'$ (oid $\mapsto$ Object), assocs2=A, assocs3=B))
=
  (( $\lambda$ -. (OclAllInstances-generic pre-post Type)
    (mk ( $\downarrow$ heap= $\sigma'$ , assocs2=A, assocs3=B)))>including( $\lambda$  -.  $\llbracket$  drop (Type Object)
 $\rrbracket$ ))
  (mk ( $\downarrow$ heap= $\sigma'$ (oid $\mapsto$ Object), assocs2=A, assocs3=B))
apply(subst state-update-vs-allInstances-generic-including', (simp add: assms)+,
  subst cp-OclIncluding,
  simp add: OclIncluding-def)
apply(subst (1 3) cp-defined[symmetric],
  simp add: OclAllInstances-generic-defined[simplified OclValid-def])

apply(simp add: defined-def OclValid-def OclAllInstances-generic-def
  bot-fun-def null-fun-def bot-Set-0-def null-Set-0-def)

```

apply(subst (1 3) Abs-Set-0-inject)
by(simp add: bot-option-def null-option-def)+

lemma state-update-vs-allInstances-generic-noincluding':

assumes [simp]: $\bigwedge a. \text{pre-post } (mk\ a) = a$
assumes $\bigwedge x. \sigma' \text{ oid} = \text{Some } x \implies x = \text{Object}$
and Type Object = None
shows (OclAllInstances-generic pre-post Type)
 (mk ($\text{heap} = \sigma'(\text{oid} \mapsto \text{Object})$, $\text{assocs}_2 = A$, $\text{assocs}_3 = B$))
 =
 (OclAllInstances-generic pre-post Type)
 (mk ($\text{heap} = \sigma'$, $\text{assocs}_2 = A$, $\text{assocs}_3 = B$))

proof –

have drop-none : $\bigwedge x. x \neq \text{None} \implies \lfloor x \rfloor = x$
by(case-tac x, simp+)

have insert-diff : $\bigwedge x\ S. \text{insert } \lfloor x \rfloor (S - \{\text{None}\}) = (\text{insert } \lfloor x \rfloor S) - \{\text{None}\}$
by (metis insert-Diff-if option.distinct(1) singletonE)

show ?thesis

apply(simp add: OclIncluding-def OclAllInstances-generic-defined[simplified OclValid-def]
 OclAllInstances-generic-def)
apply(subgoal-tac ran ($\sigma'(\text{oid} \mapsto \text{Object})$) = insert Object (ran σ'), simp add: assms)
apply(case-tac $\neg (\exists x. \sigma' \text{ oid} = \text{Some } x)$)
apply(rule ran-map-upd, simp)
apply(simp, erule exE, frule assms, simp)
apply(subgoal-tac Object \in ran σ') **prefer** 2
apply(rule ranI, simp)
apply(subst insert-absorb, simp)
by (metis fun-upd-apply)
qed

theorem state-update-vs-allInstances-generic-ntc:

assumes [simp]: $\bigwedge a. \text{pre-post } (mk\ a) = a$
assumes oid-def: $\text{oid} \notin \text{dom } \sigma'$
and non-type-conform: Type Object = None
and cp-ctxt: cp P
and const-ctxt: $\bigwedge X. \text{const } X \implies \text{const } (P\ X)$
shows (mk ($\text{heap} = \sigma'(\text{oid} \mapsto \text{Object})$, $\text{assocs}_2 = A$, $\text{assocs}_3 = B$) $\models P$ (OclAllInstances-generic
 pre-post Type)) =
 (mk ($\text{heap} = \sigma'$, $\text{assocs}_2 = A$, $\text{assocs}_3 = B$) $\models P$ (OclAllInstances-generic pre-post
 Type))
 (is ($? \tau \models P\ ? \varphi$) = ($? \tau' \models P\ ? \varphi$))

proof –

have P-cp : $\bigwedge x\ \tau. P\ x\ \tau = P\ (\lambda \cdot. x\ \tau)\ \tau$
by (metis (full-types) cp-ctxt cp-def)
have A : $\text{const } (P\ (\lambda \cdot. ? \varphi\ ? \tau))$


```

    by(simp add: const-ctxt const-ss)
have    (?τ ⊢ P ?φ) = (?τ ⊢ λ-. P ?φ ?τ)
    by(subst OCL-core.cp-validity, rule refl)
also have ... = (?τ ⊢ λ-. P (λ-. ?φ ?τ) ?τ)
    by(subst P-cp, rule refl)
also have ... = (?τ' ⊢ λ-. P (λ-. ?φ ?τ) ?τ')
    apply(simp add: OclValid-def)
    by(subst A[simplified const-def], subst const-true[simplified const-def], simp)
finally have X: (?τ ⊢ P ?φ) = (?τ' ⊢ λ-. P (λ-. ?φ ?τ) ?τ')
    by simp
show ?thesis
apply(subst X) apply(subst OCL-core.cp-validity[symmetric])
apply(rule StrongEq-L-subst3[OF cp-ctxt])
apply(simp add: OclValid-def StrongEq-def true-def)
apply(rule state-update-vs-allInstances-generic-noincluding')
by(insert oid-def, auto simp: non-type-conform)
qed

theorem state-update-vs-allInstances-generic-tc:
assumes [simp]: ∧a. pre-post (mk a) = a
assumes oid-def: oid ∉ dom σ'
and type-conform: Type Object ≠ None
and cp-ctxt: cp P
and const-ctxt: ∧X. const X ⇒ const (P X)
shows (mk (heap=σ'(oid↦Object),assocs2=A,assocs3=B)) ⊢ P (OclAllInstances-generic
pre-post Type)) =
(mk (heap=σ', assocs2=A, assocs3=B)) ⊢ P ((OclAllInstances-generic pre-post
Type)
->including(λ -. [(Type Object)])))
(is (?τ ⊢ P ?φ) = (?τ' ⊢ P ?φ'))
proof -
have P-cp : ∧x τ. P x τ = P (λ-. x τ) τ
    by (metis (full-types) cp-ctxt cp-def)
have A : const (P (λ-. ?φ ?τ))
    by(simp add: const-ctxt const-ss)
have    (?τ ⊢ P ?φ) = (?τ ⊢ λ-. P ?φ ?τ)
    by(subst OCL-core.cp-validity, rule refl)
also have ... = (?τ ⊢ λ-. P (λ-. ?φ ?τ) ?τ)
    by(subst P-cp, rule refl)
also have ... = (?τ' ⊢ λ-. P (λ-. ?φ ?τ) ?τ')
    apply(simp add: OclValid-def)
    by(subst A[simplified const-def], subst const-true[simplified const-def], simp)
finally have X: (?τ ⊢ P ?φ) = (?τ' ⊢ λ-. P (λ-. ?φ ?τ) ?τ')
    by simp
let    ?allInstances = OclAllInstances-generic pre-post Type
have    ?allInstances ?τ = λ-. ?allInstances ?τ'->including(λ-.[(Type Object)])) ?τ
    apply(rule state-update-vs-allInstances-generic-including)
    by(insert oid-def, auto simp: type-conform)
also have ... = ((λ-. ?allInstances ?τ')->including(λ-. (λ-.[(Type Object)])) ?τ') ?τ'

```

```

      by(subst const-OclIncluding[simplified const-def], simp+)
also have ... = (?allInstances->including( $\lambda$  -.  $\lfloor$  Type Object  $\rfloor$ ) ? $\tau$ )
      apply(subst OCL-lib.cp-OclIncluding[symmetric])
      by(insert type-conform, auto)
finally have  $Y : ?allInstances ?\tau = (?allInstances->including( $\lambda$  -.  $\lfloor$  Type Object  $\rfloor$ ) ? $\tau$ )$ 
      by auto
show ?thesis
  apply(subst X) apply(subst OCL-core.cp-validity[symmetric])
  apply(rule StrongEq-L-subst3[OF cp-ctxt])
  apply(simp add: OclValid-def StrongEq-def Y true-def)
done
qed

```

declare OclAllInstances-generic-def [simp]

OclAllInstances (@post)

definition OclAllInstances-at-post :: ($\mathfrak{A} :: \text{object} \rightarrow 'a$) \Rightarrow ($\mathfrak{A}, 'a \text{ option option}$) Set
 ($- .allInstances'$)()

where OclAllInstances-at-post = OclAllInstances-generic snd

lemma OclAllInstances-at-post-defined: $\tau \models \delta (H .allInstances())$

unfolding OclAllInstances-at-post-def

by(rule OclAllInstances-generic-defined)

lemma $\tau_0 \models H .allInstances() \triangleq \text{Set}\{\}$

unfolding OclAllInstances-at-post-def

by(rule OclAllInstances-generic-init-empty, simp)

lemma represented-at-post-objects-nonnull:

assumes $A: \tau \models (((H::(\mathfrak{A}::\text{object} \rightarrow 'a)).allInstances()) \rightarrow \text{includes}(x))$

shows $\tau \models \text{not}(x \triangleq \text{null})$

by(rule represented-generic-objects-nonnull[OF A[simplified OclAllInstances-at-post-def]])

lemma represented-at-post-objects-defined:

assumes $A: \tau \models (((H::(\mathfrak{A}::\text{object} \rightarrow 'a)).allInstances()) \rightarrow \text{includes}(x))$

shows $\tau \models \delta (H .allInstances()) \wedge \tau \models \delta x$

unfolding OclAllInstances-at-post-def

by(rule represented-generic-objects-defined[OF A[simplified OclAllInstances-at-post-def]])

One way to establish the actual presence of an object representation in a state is:

lemma

assumes $A: \tau \models H .allInstances() \rightarrow \text{includes}(x)$

shows $x \tau \in (\text{Some } o \ H) \text{ 'ran } (\text{heap}(\text{snd } \tau))$

by(rule represented-generic-objects-in-state[OF A[simplified OclAllInstances-at-post-def]])

lemma state-update-vs-allInstances-at-post-empty:

shows $(\sigma, (\text{heap}=\text{empty}, \text{assocs}_2=A, \text{assocs}_3=B)) \models \text{Type} .allInstances() \triangleq \text{Set}\{\}$

unfolding *OclAllInstances-at-post-def*

by(rule *state-update-vs-allInstances-generic-empty*[*OF snd-conv*])

Here comes a couple of operational rules that allow to infer the value of `oclAllInstances` from the context τ . These rules are a special-case in the sense that they are the only rules that relate statements with *different* τ 's. For that reason, new concepts like “constant contexts P” are necessary (for which we do not elaborate an own theory for reasons of space limitations; in examples, we will prove resulting constraints straight forward by hand).

lemma *state-update-vs-allInstances-at-post-including'*:

assumes $\bigwedge x. \sigma' \text{ oid} = \text{Some } x \implies x = \text{Object}$

and *Type Object* $\neq \text{None}$

shows (*Type .allInstances*())

($\sigma, (\text{heap}=\sigma'(\text{oid} \mapsto \text{Object}), \text{assocs}_2=A, \text{assocs}_3=B)$)

=

((*Type .allInstances*()) \rightarrow *including*($\lambda -. \llbracket \text{drop } (\text{Type } \text{Object}) \rrbracket$))

($\sigma, (\text{heap}=\sigma', \text{assocs}_2=A, \text{assocs}_3=B)$)

unfolding *OclAllInstances-at-post-def*

by(rule *state-update-vs-allInstances-generic-including'*[*OF snd-conv*], *insert assms*)

lemma *state-update-vs-allInstances-at-post-including*:

assumes $\bigwedge x. \sigma' \text{ oid} = \text{Some } x \implies x = \text{Object}$

and *Type Object* $\neq \text{None}$

shows (*Type .allInstances*())

($\sigma, (\text{heap}=\sigma'(\text{oid} \mapsto \text{Object}), \text{assocs}_2=A, \text{assocs}_3=B)$)

=

(($\lambda -. (\text{Type .allInstances}())$

($\sigma, (\text{heap}=\sigma', \text{assocs}_2=A, \text{assocs}_3=B)$) \rightarrow *including*($\lambda -. \llbracket \text{drop } (\text{Type } \text{Object}) \rrbracket$

\rrbracket))

($\sigma, (\text{heap}=\sigma'(\text{oid} \mapsto \text{Object}), \text{assocs}_2=A, \text{assocs}_3=B)$)

unfolding *OclAllInstances-at-post-def*

by(rule *state-update-vs-allInstances-generic-including*[*OF snd-conv*], *insert assms*)

lemma *state-update-vs-allInstances-at-post-noincluding'*:

assumes $\bigwedge x. \sigma' \text{ oid} = \text{Some } x \implies x = \text{Object}$

and *Type Object* = *None*

shows (*Type .allInstances*())

($\sigma, (\text{heap}=\sigma'(\text{oid} \mapsto \text{Object}), \text{assocs}_2=A, \text{assocs}_3=B)$)

=

(*Type .allInstances*())

($\sigma, (\text{heap}=\sigma', \text{assocs}_2=A, \text{assocs}_3=B)$)

unfolding *OclAllInstances-at-post-def*

by(rule *state-update-vs-allInstances-generic-noincluding'*[*OF snd-conv*], *insert assms*)

theorem *state-update-vs-allInstances-at-post-ntc*:

assumes *oid-def*: $\text{oid} \notin \text{dom } \sigma'$

and *non-type-conform*: $Type\ Object = None$
and *cp-ctxt*: $cp\ P$
and *const-ctxt*: $\bigwedge X. const\ X \implies const\ (P\ X)$
shows $((\sigma, (\text{heap}=\sigma'(\text{oid} \mapsto Object), \text{assocs}_2=A, \text{assocs}_3=B)) \models (P(Type.allInstances()))) =$
 $((\sigma, (\text{heap}=\sigma', \text{assocs}_2=A, \text{assocs}_3=B)) \models (P(Type.allInstances())))$
unfolding *OclAllInstances-at-post-def*
by(*rule state-update-vs-allInstances-generic-ntc*[*OF snd-conv*], *insert assms*)

theorem *state-update-vs-allInstances-at-post-tc*:
assumes *oid-def*: $oid \notin dom\ \sigma'$
and *type-conform*: $Type\ Object \neq None$
and *cp-ctxt*: $cp\ P$
and *const-ctxt*: $\bigwedge X. const\ X \implies const\ (P\ X)$
shows $((\sigma, (\text{heap}=\sigma'(\text{oid} \mapsto Object), \text{assocs}_2=A, \text{assocs}_3=B)) \models (P(Type.allInstances()))) =$
 $((\sigma, (\text{heap}=\sigma', \text{assocs}_2=A, \text{assocs}_3=B)) \models (P((Type.allInstances())$
 $\rightarrow including(\lambda -. [(Type\ Object)]))))$
unfolding *OclAllInstances-at-post-def*
by(*rule state-update-vs-allInstances-generic-tc*[*OF snd-conv*], *insert assms*)

OclAllInstances (@pre)

definition *OclAllInstances-at-pre* :: $(\mathfrak{A} :: object \rightarrow '\alpha) \Rightarrow (\mathfrak{A}, '\alpha\ option\ option)\ Set$
 $(- .allInstances@pre'())$
where *OclAllInstances-at-pre* = *OclAllInstances-generic fst*

lemma *OclAllInstances-at-pre-defined*: $\tau \models \delta\ (H .allInstances@pre())$
unfolding *OclAllInstances-at-pre-def*
by(*rule OclAllInstances-generic-defined*)

lemma $\tau_0 \models H .allInstances@pre() \triangleq Set\{\}$
unfolding *OclAllInstances-at-pre-def*
by(*rule OclAllInstances-generic-init-empty, simp*)

lemma *represented-at-pre-objects-nonnull*:
assumes *A*: $\tau \models (((H::(\mathfrak{A}::object \rightarrow '\alpha)).allInstances@pre()) \rightarrow includes(x))$
shows $\tau \models not(x \triangleq null)$
by(*rule represented-generic-objects-nonnull*[*OF A[simplified OclAllInstances-at-pre-def]*])

lemma *represented-at-pre-objects-defined*:
assumes *A*: $\tau \models (((H::(\mathfrak{A}::object \rightarrow '\alpha)).allInstances@pre()) \rightarrow includes(x))$
shows $\tau \models \delta\ (H .allInstances@pre()) \wedge \tau \models \delta\ x$
unfolding *OclAllInstances-at-pre-def*
by(*rule represented-generic-objects-defined*[*OF A[simplified OclAllInstances-at-pre-def]*])

One way to establish the actual presence of an object representation in a state is:

lemma
assumes *A*: $\tau \models H .allInstances@pre() \rightarrow includes(x)$
shows $x\ \tau \in (Some\ o\ H)\ '\text{ran}\ (\text{heap}(\text{fst}\ \tau))$
by(*rule represented-generic-objects-in-state*[*OF A[simplified OclAllInstances-at-pre-def]*])

lemma *state-update-vs-allInstances-at-pre-empty*:
shows $(\langle \text{heap} = \text{empty}, \text{assocs}_2 = A, \text{assocs}_3 = B \rangle, \sigma) \models \text{Type} . \text{allInstances@pre}() \doteq \text{Set}\{\}$
unfolding *OclAllInstances-at-pre-def*
by (rule *state-update-vs-allInstances-generic-empty* [OF *fst-conv*])

Here comes a couple of operational rules that allow to infer the value of *oclAllInstances@pre* from the context τ . These rules are a special-case in the sense that they are the only rules that relate statements with *different* τ 's. For that reason, new concepts like “constant contexts P” are necessary (for which we do not elaborate an own theory for reasons of space limitations; in examples, we will prove resulting constraints straight forward by hand).

lemma *state-update-vs-allInstances-at-pre-including'*:
assumes $\bigwedge x. \sigma' \text{ oid} = \text{Some } x \implies x = \text{Object}$
and $\text{Type } \text{Object} \neq \text{None}$
shows $(\text{Type} . \text{allInstances@pre}())$
 $(\langle \text{heap} = \sigma'(\text{oid} \mapsto \text{Object}), \text{assocs}_2 = A, \text{assocs}_3 = B \rangle, \sigma)$
 $=$
 $((\text{Type} . \text{allInstances@pre}()) \rightarrow \text{including}(\lambda \cdot \llbracket \text{drop } (\text{Type } \text{Object}) \rrbracket))$
 $(\langle \text{heap} = \sigma', \text{assocs}_2 = A, \text{assocs}_3 = B \rangle, \sigma)$
unfolding *OclAllInstances-at-pre-def*
by (rule *state-update-vs-allInstances-generic-including'* [OF *fst-conv*], *insert assms*)

lemma *state-update-vs-allInstances-at-pre-including*:
assumes $\bigwedge x. \sigma' \text{ oid} = \text{Some } x \implies x = \text{Object}$
and $\text{Type } \text{Object} \neq \text{None}$
shows $(\text{Type} . \text{allInstances@pre}())$
 $(\langle \text{heap} = \sigma'(\text{oid} \mapsto \text{Object}), \text{assocs}_2 = A, \text{assocs}_3 = B \rangle, \sigma)$
 $=$
 $(\lambda \cdot (\text{Type} . \text{allInstances@pre}())$
 $(\langle \text{heap} = \sigma', \text{assocs}_2 = A, \text{assocs}_3 = B \rangle, \sigma) \rightarrow \text{including}(\lambda \cdot \llbracket \text{drop } (\text{Type } \text{Object}) \rrbracket))$
 $\rrbracket)$
 $(\langle \text{heap} = \sigma'(\text{oid} \mapsto \text{Object}), \text{assocs}_2 = A, \text{assocs}_3 = B \rangle, \sigma)$
unfolding *OclAllInstances-at-pre-def*
by (rule *state-update-vs-allInstances-generic-including* [OF *fst-conv*], *insert assms*)

lemma *state-update-vs-allInstances-at-pre-noincluding'*:
assumes $\bigwedge x. \sigma' \text{ oid} = \text{Some } x \implies x = \text{Object}$
and $\text{Type } \text{Object} = \text{None}$
shows $(\text{Type} . \text{allInstances@pre}())$
 $(\langle \text{heap} = \sigma'(\text{oid} \mapsto \text{Object}), \text{assocs}_2 = A, \text{assocs}_3 = B \rangle, \sigma)$
 $=$
 $(\text{Type} . \text{allInstances@pre}())$
 $(\langle \text{heap} = \sigma', \text{assocs}_2 = A, \text{assocs}_3 = B \rangle, \sigma)$
unfolding *OclAllInstances-at-pre-def*

by(rule state-update-vs-allInstances-generic-noincluding'[OF fst-conv], insert assms)

theorem state-update-vs-allInstances-at-pre-ntc:

assumes oid-def: $oid \notin \text{dom } \sigma'$

and non-type-conform: $\text{Type Object} = \text{None}$

and cp-ctxt: $cp P$

and const-ctxt: $\bigwedge X. \text{const } X \implies \text{const } (P X)$

shows $((\langle \text{heap}=\sigma'(\text{oid} \mapsto \text{Object}), \text{assocs}_2=A, \text{assocs}_3=B \rangle, \sigma) \models (P(\text{Type} . \text{allInstances}@pre())))$
 $=$

$((\langle \text{heap}=\sigma', \text{assocs}_2=A, \text{assocs}_3=B \rangle, \sigma) \models (P(\text{Type} . \text{allInstances}@pre())))$

unfolding OclAllInstances-at-pre-def

by(rule state-update-vs-allInstances-generic-ntc[OF fst-conv], insert assms)

theorem state-update-vs-allInstances-at-pre-tc:

assumes oid-def: $oid \notin \text{dom } \sigma'$

and type-conform: $\text{Type Object} \neq \text{None}$

and cp-ctxt: $cp P$

and const-ctxt: $\bigwedge X. \text{const } X \implies \text{const } (P X)$

shows $((\langle \text{heap}=\sigma'(\text{oid} \mapsto \text{Object}), \text{assocs}_2=A, \text{assocs}_3=B \rangle, \sigma) \models (P(\text{Type} . \text{allInstances}@pre())))$
 $=$

$((\langle \text{heap}=\sigma', \text{assocs}_2=A, \text{assocs}_3=B \rangle, \sigma) \models (P((\text{Type} . \text{allInstances}@pre()) \rightarrow \text{including}(\lambda _. \lfloor (\text{Type Object}) \rfloor))))$

unfolding OclAllInstances-at-pre-def

by(rule state-update-vs-allInstances-generic-tc[OF fst-conv], insert assms)

@post or @pre

theorem StrictRefEqObject-vs-StrongEq'':

assumes WFF: $WFF \tau$

and valid-x: $\tau \models (v (x :: (\mathfrak{A}::\text{object}, \alpha::\text{object option option})val))$

and valid-y: $\tau \models (v y)$

and oid-preserve: $\bigwedge x. x \in \text{ran } (\text{heap}(\text{fst } \tau)) \vee x \in \text{ran } (\text{heap}(\text{snd } \tau)) \implies$
 $\text{oid-of } (H x) = \text{oid-of } x$

and xy-together: $\tau \models ((H . \text{allInstances}() \rightarrow \text{includes}(x) \text{ and } H . \text{allInstances}() \rightarrow \text{includes}(y))$
 or

$(H . \text{allInstances}@pre() \rightarrow \text{includes}(x) \text{ and } H . \text{allInstances}@pre() \rightarrow \text{includes}(y)))$

shows $(\tau \models (\text{StrictRefEqObject } x y)) = (\tau \models (x \triangleq y))$

proof –

have at-post-def : $\bigwedge x. \tau \models v x \implies \tau \models \delta (H . \text{allInstances}() \rightarrow \text{includes}(x))$

apply(simp add: OclIncludes-def OclValid-def

OclAllInstances-at-post-defined[simplified OclValid-def])

by(subst cp-defined, simp)

have at-pre-def : $\bigwedge x. \tau \models v x \implies \tau \models \delta (H . \text{allInstances}@pre() \rightarrow \text{includes}(x))$

apply(simp add: OclIncludes-def OclValid-def

OclAllInstances-at-pre-defined[simplified OclValid-def])

by(subst cp-defined, simp)

have F: $\text{Rep-Set-0 } (\text{Abs-Set-0 } [\lfloor \text{Some } ' (H ' \text{ran } (\text{heap } (\text{fst } \tau)) - \{\text{None}\}) \rfloor]) =$
 $\lfloor \text{Some } ' (H ' \text{ran } (\text{heap } (\text{fst } \tau)) - \{\text{None}\}) \rfloor]$

```

    by(subst OCL-lib.Set-0.Abs-Set-0-inverse,simp-all add: bot-option-def)
  have F': Rep-Set-0 (Abs-Set-0 [[Some ' (H ' ran (heap (snd τ)) - {None})]]) =
    [[Some ' (H ' ran (heap (snd τ)) - {None})]]
    by(subst OCL-lib.Set-0.Abs-Set-0-inverse,simp-all add: bot-option-def)
show ?thesis
apply(rule StrictRefEqObject-vs-StrongEq'[OF WFF valid-x valid-y, where H = Some o H])
apply(subst oid-preserve[symmetric], simp, simp add: oid-of-option-def)
apply(insert xy-together,
  subst (asm) foundation11,
  metis at-post-def defined-and-I valid-x valid-y,
  metis at-pre-def defined-and-I valid-x valid-y)
apply(erule disjE)
by(drule foundation5,
  simp add: OclAllInstances-at-pre-def OclAllInstances-at-post-def
    OclValid-def OclIncludes-def true-def F F'
    valid-x[simplified OclValid-def] valid-y[simplified OclValid-def] bot-option-def
    split: split-if-asm,
  simp add: comp-def image-def, fastforce)+
qed

```

5.3.3. OclIsNew, OclIsDeleted, OclIsMaintained, OclIsAbsent

definition *OclIsNew*:: (\mathfrak{A} , $\alpha::\{\text{null}, \text{object}\}$) $\text{val} \Rightarrow (\mathfrak{A})\text{Boolean} \quad ((-).\text{oclIsNew}'(\cdot))$
where $X.\text{oclIsNew}() \equiv (\lambda\tau . \text{if } (\delta X) \tau = \text{true } \tau$
 then $[[\text{oid-of } (X \tau) \notin \text{dom}(\text{heap}(\text{fst } \tau)) \wedge$
 $\text{oid-of } (X \tau) \in \text{dom}(\text{heap}(\text{snd } \tau))]]$
 else $\text{invalid } \tau$)

The following predicates — which are not part of the OCL standard descriptions — complete the goal of *oclIsNew* by describing where an object belongs.

definition *OclIsDeleted*:: (\mathfrak{A} , $\alpha::\{\text{null}, \text{object}\}$) $\text{val} \Rightarrow (\mathfrak{A})\text{Boolean} \quad ((-).\text{oclIsDeleted}'(\cdot))$
where $X.\text{oclIsDeleted}() \equiv (\lambda\tau . \text{if } (\delta X) \tau = \text{true } \tau$
 then $[[\text{oid-of } (X \tau) \in \text{dom}(\text{heap}(\text{fst } \tau)) \wedge$
 $\text{oid-of } (X \tau) \notin \text{dom}(\text{heap}(\text{snd } \tau))]]$
 else $\text{invalid } \tau$)

definition *OclIsMaintained*:: (\mathfrak{A} , $\alpha::\{\text{null}, \text{object}\}$) $\text{val} \Rightarrow (\mathfrak{A})\text{Boolean}((-).\text{oclIsMaintained}'(\cdot))$
where $X.\text{oclIsMaintained}() \equiv (\lambda\tau . \text{if } (\delta X) \tau = \text{true } \tau$
 then $[[\text{oid-of } (X \tau) \in \text{dom}(\text{heap}(\text{fst } \tau)) \wedge$
 $\text{oid-of } (X \tau) \in \text{dom}(\text{heap}(\text{snd } \tau))]]$
 else $\text{invalid } \tau$)

definition *OclIsAbsent*:: (\mathfrak{A} , $\alpha::\{\text{null}, \text{object}\}$) $\text{val} \Rightarrow (\mathfrak{A})\text{Boolean} \quad ((-).\text{oclIsAbsent}'(\cdot))$
where $X.\text{oclIsAbsent}() \equiv (\lambda\tau . \text{if } (\delta X) \tau = \text{true } \tau$
 then $[[\text{oid-of } (X \tau) \notin \text{dom}(\text{heap}(\text{fst } \tau)) \wedge$
 $\text{oid-of } (X \tau) \notin \text{dom}(\text{heap}(\text{snd } \tau))]]$
 else $\text{invalid } \tau$)

lemma *state-split* : $\tau \models \delta X \implies$

$\tau \models (X \text{ .oclIsNew}()) \vee \tau \models (X \text{ .oclIsDeleted}()) \vee$
 $\tau \models (X \text{ .oclIsMaintained}()) \vee \tau \models (X \text{ .oclIsAbsent}())$
by(simp add: OclIsDeleted-def OclIsNew-def OclIsMaintained-def OclIsAbsent-def
OclValid-def true-def, blast)

lemma notNew-vs-others : $\tau \models \delta X \implies$
 $(\neg \tau \models (X \text{ .oclIsNew}())) = (\tau \models (X \text{ .oclIsDeleted}()) \vee$
 $\tau \models (X \text{ .oclIsMaintained}()) \vee \tau \models (X \text{ .oclIsAbsent}()))$
by(simp add: OclIsDeleted-def OclIsNew-def OclIsMaintained-def OclIsAbsent-def
OclNot-def OclValid-def true-def, blast)

5.3.4. OclIsModifiedOnly

Definition

The following predicate—which is not part of the OCL standard—provides a simple, but powerful means to describe framing conditions. For any formal approach, be it animation of OCL contracts, test-case generation or die-hard theorem proving, the specification of the part of a system transition that *does not change* is of primordial importance. The following operator establishes the equality between old and new objects in the state (provided that they exist in both states), with the exception of those objects.

definition OclIsModifiedOnly :: ('A::object, 'α::{null,object})Set \Rightarrow 'A Boolean
 $(-\>\text{oclIsModifiedOnly}'())$
where $X-\>\text{oclIsModifiedOnly}() \equiv (\lambda(\sigma, \sigma').$
 $\text{let } X' = (\text{oid-of } ' \llbracket \text{Rep-Set-0}(X(\sigma, \sigma')) \rrbracket);$
 $S = ((\text{dom } (\text{heap } \sigma) \cap \text{dom } (\text{heap } \sigma')) - X')$
 $\text{in if } (\delta X) (\sigma, \sigma') = \text{true } (\sigma, \sigma') \wedge (\forall x \in \llbracket \text{Rep-Set-0}(X(\sigma, \sigma')) \rrbracket. x \neq \text{null})$
 $\text{then } \llbracket \forall x \in S. (\text{heap } \sigma) x = (\text{heap } \sigma') x \rrbracket$
 $\text{else invalid } (\sigma, \sigma')$

Execution with Invalid or Null or Null Element as Argument

lemma invalid $-\>\text{oclIsModifiedOnly}() = \text{invalid}$
by(simp add: OclIsModifiedOnly-def)

lemma null $-\>\text{oclIsModifiedOnly}() = \text{invalid}$
by(simp add: OclIsModifiedOnly-def)

lemma
assumes X-null : $\tau \models X-\>\text{includes}(\text{null})$
shows $\tau \models X-\>\text{oclIsModifiedOnly}() \triangleq \text{invalid}$
apply(insert X-null,
simp add : OclIncludes-def OclIsModifiedOnly-def StrongEq-def OclValid-def true-def)
apply(case-tac τ , simp)
apply(simp split: split-if-asm)
by(simp add: null-fun-def, blast)

Context Passing

lemma *cp-OclIsModifiedOnly* : $X \rightarrow \text{oclIsModifiedOnly}() \tau = (\lambda \tau. X \tau) \rightarrow \text{oclIsModifiedOnly}() \tau$
by(*simp only: OclIsModifiedOnly-def, case-tac* τ , *simp only:, subst cp-defined, simp*)

5.3.5. OclSelf

The following predicate—which is not part of the OCL standard—explicitly retrieves in the pre or post state the original OCL expression given as argument.

definition [*simp*]: *OclSelf* $x \ H \ \text{fst-snd} = (\lambda \tau. \text{if } (\delta \ x) \ \tau = \text{true} \ \tau$
 then if *oid-of* $(x \ \tau) \in \text{dom}(\text{heap}(\text{fst} \ \tau)) \wedge \text{oid-of } (x \ \tau) \in \text{dom}(\text{heap}(\text{snd} \ \tau))$
 then $H \ [(\text{heap}(\text{fst-snd} \ \tau))(\text{oid-of } (x \ \tau))]$
 else invalid τ
 else invalid τ)

definition *OclSelf-at-pre* :: $(\mathcal{A}::\text{object}, \alpha::\{\text{null}, \text{object}\}) \text{val} \Rightarrow$
 $(\mathcal{A} \Rightarrow \alpha) \Rightarrow$
 $(\mathcal{A}::\text{object}, \alpha::\{\text{null}, \text{object}\}) \text{val} \ ((-)\text{@pre}(-))$
where $x \text{@pre} \ H = \text{OclSelf} \ x \ H \ \text{fst}$

definition *OclSelf-at-post* :: $(\mathcal{A}::\text{object}, \alpha::\{\text{null}, \text{object}\}) \text{val} \Rightarrow$
 $(\mathcal{A} \Rightarrow \alpha) \Rightarrow$
 $(\mathcal{A}::\text{object}, \alpha::\{\text{null}, \text{object}\}) \text{val} \ ((-)\text{@post}(-))$
where $x \text{@post} \ H = \text{OclSelf} \ x \ H \ \text{snd}$

5.3.6. Framing Theorem

lemma *all-oid-diff*:
assumes *def-x* : $\tau \models \delta \ x$
assumes *def-X* : $\tau \models \delta \ X$
assumes *def-X'* : $\bigwedge x. x \in \llbracket \text{Rep-Set-0} \ (X \ \tau) \rrbracket \implies x \neq \text{null}$

defines $P \equiv (\lambda a. \text{not } (\text{StrictRefEqObject} \ x \ a))$

shows $(\tau \models X \rightarrow \text{forAll}(a \mid P \ a)) = (\text{oid-of } (x \ \tau) \notin \text{oid-of } \llbracket \text{Rep-Set-0} \ (X \ \tau) \rrbracket)$

proof –

have *P-null-bot*: $\bigwedge b. b = \text{null} \vee b = \perp \implies$
 $\neg (\exists x \in \llbracket \text{Rep-Set-0} \ (X \ \tau) \rrbracket. P \ (\lambda (-:: 'a \ \text{state} \times 'a \ \text{state}). x) \ \tau = b \ \tau)$

apply(*erule disjE*)

apply(*simp, rule ballI*,

simp add: P-def StrictRefEqObject-def, rename-tac x' ,

subst cp-OclNot, simp,

subgoal-tac $x \ \tau \neq \text{null} \wedge x' \neq \text{null}$, *simp,*

simp add: OclNot-def null-fun-def null-option-def bot-option-def bot-fun-def invalid-def,

 (*metis* *def-X' def-x foundation17*

 | (*metis* *OCL-core.bot-fun-def OclValid-def Set-inv-lemma def-X def-x defined-def valid-def,*

metis *def-X' def-x foundation17*)))

done

```

have not-inj :  $\bigwedge x y. ((\text{not } x) \tau = (\text{not } y) \tau) = (x \tau = y \tau)$ 
by (metis foundation21 foundation22)

have P-false :  $\exists x \in [[\text{Rep-Set-0 } (X \ \tau)]] . P (\lambda \cdot. x) \tau = \text{false } \tau \implies$ 
  oid-of  $(x \ \tau) \in \text{oid-of } ' [[\text{Rep-Set-0 } (X \ \tau)]]$ 
apply (erule bexE, rename-tac x')
apply (simp add: P-def)
apply (simp only: OclNot3[symmetric], simp only: not-inj)
apply (simp add: StrictRefEqObject-def split: split-if-asm)
  apply (subgoal-tac  $x \ \tau \neq \text{null} \wedge x' \neq \text{null}, \text{simp}$ )
  apply (metis (mono-tags) OCL-core.drop.simps def-x foundation17 true-def)
by (simp add: invalid-def bot-option-def true-def)+

have P-true :  $\forall x \in [[\text{Rep-Set-0 } (X \ \tau)]] . P (\lambda \cdot. x) \tau = \text{true } \tau \implies$ 
  oid-of  $(x \ \tau) \notin \text{oid-of } ' [[\text{Rep-Set-0 } (X \ \tau)]]$ 
apply (subgoal-tac  $\forall x' \in [[\text{Rep-Set-0 } (X \ \tau)]] . \text{oid-of } x' \neq \text{oid-of } (x \ \tau)$ )
apply (metis imageE)
apply (rule ballI, drule-tac  $x = x' \text{ in ballE}$ ) prefer 3 apply assumption
apply (simp add: P-def)
apply (simp only: OclNot4[symmetric], simp only: not-inj)
apply (simp add: StrictRefEqObject-def false-def split: split-if-asm)
  apply (subgoal-tac  $x \ \tau \neq \text{null} \wedge x' \neq \text{null}, \text{simp}$ )
  apply (metis def-X' def-x foundation17)
by (simp add: invalid-def bot-option-def false-def)+

have bool-split :  $\forall x \in [[\text{Rep-Set-0 } (X \ \tau)]] . P (\lambda \cdot. x) \tau \neq \text{null } \tau \implies$ 
   $\forall x \in [[\text{Rep-Set-0 } (X \ \tau)]] . P (\lambda \cdot. x) \tau \neq \perp \tau \implies$ 
   $\forall x \in [[\text{Rep-Set-0 } (X \ \tau)]] . P (\lambda \cdot. x) \tau \neq \text{false } \tau \implies$ 
   $\forall x \in [[\text{Rep-Set-0 } (X \ \tau)]] . P (\lambda \cdot. x) \tau = \text{true } \tau$ 
apply (rule ballI)
apply (drule-tac  $x = x \text{ in ballE}$ ) prefer 3 apply assumption
apply (drule-tac  $x = x \text{ in ballE}$ ) prefer 3 apply assumption
apply (drule-tac  $x = x \text{ in ballE}$ ) prefer 3 apply assumption
apply (metis (full-types) OCL-core.bot-fun-def OclNot4 OclValid-def foundation16 foundation18'
  foundation9 not-inj null-fun-def)

by (fast+)

show ?thesis
apply (subst OclForall-rep-set-true[OF def-X], simp add: OclValid-def)
apply (rule iffI, simp add: P-true)
by (metis P-false P-null-bot bool-split)
qed

theorem framing:
  assumes modifiesclause: $\tau \models (X \rightarrow \text{excluding}(x)) \rightarrow \text{oclIsModifiedOnly}()$ 
  and oid-is-typerepr :  $\tau \models X \rightarrow \text{forAll}(a \mid \text{not } (\text{StrictRefEqObject } x \ a))$ 
  shows  $\tau \models (x \ @\text{pre } P \triangleq (x \ @\text{post } P))$ 
apply (case-tac  $\tau \models \delta \ x$ )

```

proof – **show** $\tau \models \delta x \implies ?thesis$ **proof** – **assume** $def\text{-}x : \tau \models \delta x$ **show** $?thesis$ **proof** –

have $def\text{-}X : \tau \models \delta X$

apply(*insert oid-is-typerepr*, *simp add: OclForall-def OclValid-def split: split-if-asm*)
by(*simp add: bot-option-def true-def*)

have $def\text{-}X' : \bigwedge x. x \in [[Rep\text{-}Set\text{-}0 (X \tau)]] \implies x \neq null$

apply(*insert modifiesclause*, *simp add: OclIsModifiedOnly-def OclValid-def split: split-if-asm*)
apply(*case-tac τ* , *simp split: split-if-asm*)
apply(*simp add: OclExcluding-def split: split-if-asm*)
apply(*subst (asm) (2) Abs-Set-0-inverse*)
apply(*simp*, (*rule disjI2*)+)
apply (*metis (hide-lams, mono-tags) Diff-iff Set-inv-lemma def-X*)
apply(*simp*)
apply(*erule ballE[where $P = \lambda x. x \neq null$]*) **apply**(*assumption*)
apply(*simp*)
apply (*metis (hide-lams, no-types) def-x foundation17*)
apply (*metis (hide-lams, no-types) OclValid-def def-X def-x foundation20*
OclExcluding-valid-args-valid OclExcluding-valid-args-valid')
by(*simp add: invalid-def bot-option-def*)

have *oid-is-typerepr* : *oid-of* ($x \tau$) \notin *oid-of* ‘ $[[Rep\text{-}Set\text{-}0 (X \tau)]]$ ’

by(*rule all-oid-diff[THEN iffD1, OF def-x def-X def-X' oid-is-typerepr]*)

show $?thesis$

apply(*simp add: StrongEq-def OclValid-def true-def OclSelf-at-pre-def OclSelf-at-post-def*
def-x[simplified OclValid-def])

apply(*rule conjI*, *rule impI*)

apply(*rule-tac $f = \lambda x. P [x]$ in arg-cong*)

apply(*insert modifiesclause[simplified OclIsModifiedOnly-def OclValid-def]*)

apply(*case-tac τ* , *rename-tac $\sigma \sigma'$* , *simp split: split-if-asm*)

apply(*subst (asm) (2) OclExcluding-def*)

apply(*drule foundation5[simplified OclValid-def true-def]*, *simp*)

apply(*subst (asm) Abs-Set-0-inverse*, *simp*)

apply(*rule disjI2*)+

apply (*metis (hide-lams, no-types) DiffD1 OclValid-def Set-inv-lemma def-x*
foundation16 foundation18')

apply(*simp*)

apply(*erule-tac $x = \text{oid-of } (x (\sigma, \sigma'))$ in ballE*) **apply** *simp*+

apply (*metis (hide-lams, no-types)*

DiffD1 image-iff image-insert insert-Diff-single insert-absorb oid-is-typerepr)

apply(*simp add: invalid-def bot-option-def*)+

by *blast*

qed qed

apply-end(*simp add: OclSelf-at-post-def OclSelf-at-pre-def OclValid-def StrongEq-def*
true-def)+

qed

As corollary, the framing property can be expressed with only the strong equality as comparison operator.

theorem framing':

```

assumes wff : WFF  $\tau$ 
assumes modifiesclause:  $\tau \models (X \rightarrow \text{excluding}(x)) \rightarrow \text{oclIsModifiedOnly}()$ 
and oid-is-typerepr :  $\tau \models X \rightarrow \text{forAll}(a \mid \text{not } (x \triangleq a))$ 
and oid-preserve:  $\bigwedge x. x \in \text{ran } (\text{heap}(\text{fst } \tau)) \vee x \in \text{ran } (\text{heap}(\text{snd } \tau)) \implies$ 
     $\text{oid-of } (H x) = \text{oid-of } x$ 

and xy-together:
 $\tau \models X \rightarrow \text{forAll}(y \mid (H . \text{allInstances}() \rightarrow \text{includes}(x) \text{ and } H . \text{allInstances}() \rightarrow \text{includes}(y)) \text{ or }$ 
     $(H . \text{allInstances}@pre() \rightarrow \text{includes}(x) \text{ and } H . \text{allInstances}@pre() \rightarrow \text{includes}(y)))$ 
shows  $\tau \models (x @pre P \triangleq (x @post P))$ 
proof -
have def-X :  $\tau \models \delta X$ 
apply(insert oid-is-typerepr, simp add: OclForall-def OclValid-def split: split-if-asm)
by(simp add: bot-option-def true-def)
show ?thesis
apply(case-tac  $\tau \models \delta x$ , drule foundation20)
apply(rule framing[OF modifiesclause])
apply(rule OclForall-cong'[OF - oid-is-typerepr xy-together], rename-tac y)
apply(cut-tac Set-inv-lemma'[OF def-X]) prefer 2 apply assumption
apply(rule OclNot-contrapos-nn, simp add: StrictRefEqObject-def)
apply(simp add: OclValid-def, subst cp-defined, simp,
    assumption)
apply(rule StrictRefEqObject-vs-StrongEq''[THEN iffD1, OF wff - - oid-preserve], assumption+)
by(simp add: OclSelf-at-post-def OclSelf-at-pre-def OclValid-def StrongEq-def true-def)+
qed

```

5.3.7. Miscellaneous

lemma pre-post-new: $\tau \models (x . \text{oclIsNew}()) \implies \neg (\tau \models v(x @pre H1)) \wedge \neg (\tau \models v(x @post H2))$

```

by(simp add: OclIsNew-def OclSelf-at-pre-def OclSelf-at-post-def
    OclValid-def StrongEq-def true-def false-def
    bot-option-def invalid-def bot-fun-def valid-def
    split: split-if-asm)

```

lemma pre-post-old: $\tau \models (x . \text{oclIsDeleted}()) \implies \neg (\tau \models v(x @pre H1)) \wedge \neg (\tau \models v(x @post H2))$

```

by(simp add: OclIsDeleted-def OclSelf-at-pre-def OclSelf-at-post-def
    OclValid-def StrongEq-def true-def false-def
    bot-option-def invalid-def bot-fun-def valid-def
    split: split-if-asm)

```

lemma pre-post-absent: $\tau \models (x . \text{oclIsAbsent}()) \implies \neg (\tau \models v(x @pre H1)) \wedge \neg (\tau \models v(x @post H2))$

```

by(simp add: OclIsAbsent-def OclSelf-at-pre-def OclSelf-at-post-def
    OclValid-def StrongEq-def true-def false-def
    bot-option-def invalid-def bot-fun-def valid-def
    split: split-if-asm)

```

lemma *pre-post-maintained*: $(\tau \models v(x \text{ @pre } H1) \vee \tau \models v(x \text{ @post } H2)) \implies \tau \models (x \text{ .oclIsMaintained}())$

by(*simp add: OclIsMaintained-def OclSelf-at-pre-def OclSelf-at-post-def*
OclValid-def StrongEq-def true-def false-def
bot-option-def invalid-def bot-fun-def valid-def
split: split-if-asm)

lemma *pre-post-maintained'*:

$\tau \models (x \text{ .oclIsMaintained}()) \implies (\tau \models v(x \text{ @pre } (\text{Some } o \ H1)) \wedge \tau \models v(x \text{ @post } (\text{Some } o \ H2)))$

by(*simp add: OclIsMaintained-def OclSelf-at-pre-def OclSelf-at-post-def*
OclValid-def StrongEq-def true-def false-def
bot-option-def invalid-def bot-fun-def valid-def
split: split-if-asm)

lemma *framing-same-state*: $(\sigma, \sigma) \models (x \text{ @pre } H \triangleq (x \text{ @post } H))$

by(*simp add: OclSelf-at-pre-def OclSelf-at-post-def OclValid-def StrongEq-def*)

end

theory *OCL-tools*
imports *OCL-core*
begin

end

theory *OCL-main*
imports *OCL-lib OCL-state OCL-tools*
begin

end

Part III.

Examples

6. The Employee Analysis Model

6.1. The Employee Analysis Model (UML)

```
theory
  Employee-AnalysisModel-UMLPart
imports
  ../OCL-main
begin
```

6.1.1. Introduction

For certain concepts like classes and class-types, only a generic definition for its resulting semantics can be given. Generic means, there is a function outside HOL that “compiles” a concrete, closed-world class diagram into a “theory” of this data model, consisting of a bunch of definitions for classes, accessors, method, casts, and tests for actual types, as well as proofs for the fundamental properties of these operations in this concrete data model.

Such generic function or “compiler” can be implemented in Isabelle on the ML level. This has been done, for a semantics following the open-world assumption, for UML 2.0 in [4, 7]. In this paper, we follow another approach for UML 2.4: we define the concepts of the compilation informally, and present a concrete example which is verified in Isabelle/HOL.

Outlining the Example

We are presenting here an “analysis-model” of the (slightly modified) example Figure 7.3, page 20 of the OCL standard [33]. Here, analysis model means that associations were really represented as relation on objects on the state—as is intended by the standard—rather by pointers between objects as is done in our “design model” (see Section 7.1). To be precise, this theory contains the formalization of the data-part covered by the UML class model (see Figure 6.1):

This means that the association (attached to the association class **EmployeeRanking**) with the association ends **boss** and **employees** is implemented by the attribute **boss** and the operation **employees** (to be discussed in the OCL part captured by the subsequent theory).

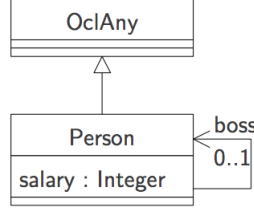


Figure 6.1.: A simple UML class model drawn from Figure 7.3, page 20 of [33].

6.1.2. Example Data-Universe and its Infrastructure

Ideally, the following is generated automatically from a UML class model.

Our data universe consists in the concrete class diagram just of node's, and implicitly of the class object. Each class implies the existence of a class type defined for the corresponding object representations as follows:

```
datatype typePerson = mkPerson oid
                      int option
```

```
datatype typeOclAny = mkOclAny oid
                      (int option) option
```

Now, we construct a concrete “universe of OclAny types” by injection into a sum type containing the class types. This type of OclAny will be used as instance for all respective type-variables.

```
datatype  $\mathfrak{A}$  = inPerson typePerson | inOclAny typeOclAny
```

Having fixed the object universe, we can introduce type synonyms that exactly correspond to OCL types. Again, we exploit that our representation of OCL is a “shallow embedding” with a one-to-one correspondance of OCL-types to types of the meta-language HOL.

```
type-synonym Boolean    =  $\mathfrak{A}$  Boolean
type-synonym Integer   =  $\mathfrak{A}$  Integer
type-synonym Void      =  $\mathfrak{A}$  Void
type-synonym OclAny    = ( $\mathfrak{A}$ , typeOclAny option option) val
type-synonym Person    = ( $\mathfrak{A}$ , typePerson option option) val
type-synonym Set-Integer = ( $\mathfrak{A}$ , int option option) Set
type-synonym Set-Person = ( $\mathfrak{A}$ , typePerson option option) Set
```

Just a little check:

```
typ Boolean
```

To reuse key-elements of the library like referential equality, we have to show that the

object universe belongs to the type class “oclany,” i. e., each class type has to provide a function *oid-of* yielding the object id (oid) of the object.

```

instantiation typePerson :: object
begin
  definition oid-of-typePerson-def: oid-of x = (case x of mkPerson oid - ⇒ oid)
  instance ..
end

instantiation typeOclAny :: object
begin
  definition oid-of-typeOclAny-def: oid-of x = (case x of mkOclAny oid - ⇒ oid)
  instance ..
end

instantiation  $\mathcal{A}$  :: object
begin
  definition oid-of- $\mathcal{A}$ -def: oid-of x = (case x of
    inPerson person ⇒ oid-of person
    | inOclAny oclany ⇒ oid-of oclany)
  instance ..
end

```

6.1.3. Instantiation of the Generic Strict Equality

We instantiate the referential equality on *Person* and *OclAny*

```

defs(overloaded) StrictRefEqObject-Person : (x::Person) ≐ y ≡ StrictRefEqObject x y
defs(overloaded) StrictRefEqObject-OclAny : (x::OclAny) ≐ y ≡ StrictRefEqObject x y

```

lemmas

```

cp-StrictRefEqObject[of x::Person y::Person τ,
  simplified StrictRefEqObject-Person[symmetric]]
cp-intro(9) [of P::Person ⇒ Person Q::Person ⇒ Person,
  simplified StrictRefEqObject-Person[symmetric] ]
StrictRefEqObject-def [of x::Person y::Person,
  simplified StrictRefEqObject-Person[symmetric]]
StrictRefEqObject-defargs [of - x::Person y::Person,
  simplified StrictRefEqObject-Person[symmetric]]
StrictRefEqObject-strict1
  [of x::Person,
  simplified StrictRefEqObject-Person[symmetric]]
StrictRefEqObject-strict2
  [of x::Person,
  simplified StrictRefEqObject-Person[symmetric]]

```

For each Class *C*, we will have a casting operation *.oclAsType(C)*, a test on the actual type *.oclIsTypeOf(C)* as well as its relaxed form *.oclIsKindOf(C)* (corresponding exactly to Java’s *instanceof*-operator).

Thus, since we have two class-types in our concrete class hierarchy, we have two op-

erations to declare and to provide two overloading definitions for the two static types.

6.1.4. OclAsType

Definition

consts $OclAsType_{OclAny} :: 'α \Rightarrow OclAny \ ((-) .oclAsType' (OclAny'))$

consts $OclAsType_{Person} :: 'α \Rightarrow Person \ ((-) .oclAsType' (Person'))$

definition $OclAsType_{OclAny}\text{-}\mathfrak{A} = (\lambda u. \text{case } u \text{ of } in_{OclAny} \ a \Rightarrow a$
 $\quad \mid in_{Person} \ (mk_{Person} \ oid \ a) \Rightarrow mk_{OclAny} \ oid \ [a])$

lemma $OclAsType_{OclAny}\text{-}\mathfrak{A}\text{-}some: OclAsType_{OclAny}\text{-}\mathfrak{A} \ x \neq None$

by(simp add: $OclAsType_{OclAny}\text{-}\mathfrak{A}\text{-}def$)

defs (overloaded) $OclAsType_{OclAny}\text{-}OclAny:$
 $(X::OclAny) .oclAsType(OclAny) \equiv X$

defs (overloaded) $OclAsType_{OclAny}\text{-}Person:$
 $(X::Person) .oclAsType(OclAny) \equiv$
 $(\lambda \tau. \text{case } X \ \tau \text{ of}$
 $\quad \perp \Rightarrow invalid \ \tau$
 $\quad \mid \lfloor \perp \rfloor \Rightarrow null \ \tau$
 $\quad \mid \lfloor \lfloor mk_{Person} \ oid \ a \rfloor \rfloor \Rightarrow \lfloor \lfloor (mk_{OclAny} \ oid \ [a]) \rfloor \rfloor)$

definition $OclAsType_{Person}\text{-}\mathfrak{A} = (\lambda u. \text{case } u \text{ of } in_{Person} \ p \Rightarrow \lfloor p \rfloor$
 $\quad \mid in_{OclAny} \ (mk_{OclAny} \ oid \ [a]) \Rightarrow \lfloor mk_{Person} \ oid \ a \rfloor$
 $\quad \mid - \Rightarrow None)$

defs (overloaded) $OclAsType_{Person}\text{-}OclAny:$
 $(X::OclAny) .oclAsType(Person) \equiv$
 $(\lambda \tau. \text{case } X \ \tau \text{ of}$
 $\quad \perp \Rightarrow invalid \ \tau$
 $\quad \mid \lfloor \perp \rfloor \Rightarrow null \ \tau$
 $\quad \mid \lfloor \lfloor mk_{OclAny} \ oid \ \perp \rfloor \rfloor \Rightarrow invalid \ \tau \quad (* \text{down-cast exception} *)$
 $\quad \mid \lfloor \lfloor mk_{OclAny} \ oid \ [a] \rfloor \rfloor \Rightarrow \lfloor \lfloor mk_{Person} \ oid \ a \rfloor \rfloor)$

defs (overloaded) $OclAsType_{Person}\text{-}Person:$
 $(X::Person) .oclAsType(Person) \equiv X$

lemmas $[simp] =$
 $OclAsType_{OclAny}\text{-}OclAny$
 $OclAsType_{Person}\text{-}Person$

Context Passing

lemma $cp\text{-}OclAsType_{OclAny}\text{-}Person\text{-}Person: cp \ P \Longrightarrow cp(\lambda X. (P \ (X::Person)::Person)$
 $\ .oclAsType(OclAny))$
by(rule $cpI1$, simp-all add: $OclAsType_{OclAny}\text{-}Person$)

lemma $cp\text{-}OclAsType_{OclAny}\text{-}OclAny\text{-}OclAny$: $cp\ P \implies cp(\lambda X. (P\ (X::OclAny)::OclAny))$
 $.oclAsType(OclAny)$
by(rule $cpI1$, simp-all add: $OclAsType_{OclAny}\text{-}OclAny$)
lemma $cp\text{-}OclAsType_{Person}\text{-}Person\text{-}Person$: $cp\ P \implies cp(\lambda X. (P\ (X::Person)::Person))$
 $.oclAsType(Person)$
by(rule $cpI1$, simp-all add: $OclAsType_{Person}\text{-}Person$)
lemma $cp\text{-}OclAsType_{Person}\text{-}OclAny\text{-}OclAny$: $cp\ P \implies cp(\lambda X. (P\ (X::OclAny)::OclAny))$
 $.oclAsType(Person)$
by(rule $cpI1$, simp-all add: $OclAsType_{Person}\text{-}OclAny$)

lemma $cp\text{-}OclAsType_{OclAny}\text{-}Person\text{-}OclAny$: $cp\ P \implies cp(\lambda X. (P\ (X::Person)::OclAny))$
 $.oclAsType(OclAny)$
by(rule $cpI1$, simp-all add: $OclAsType_{OclAny}\text{-}OclAny$)
lemma $cp\text{-}OclAsType_{OclAny}\text{-}OclAny\text{-}Person$: $cp\ P \implies cp(\lambda X. (P\ (X::OclAny)::Person))$
 $.oclAsType(OclAny)$
by(rule $cpI1$, simp-all add: $OclAsType_{OclAny}\text{-}Person$)
lemma $cp\text{-}OclAsType_{Person}\text{-}Person\text{-}OclAny$: $cp\ P \implies cp(\lambda X. (P\ (X::Person)::OclAny))$
 $.oclAsType(Person)$
by(rule $cpI1$, simp-all add: $OclAsType_{Person}\text{-}OclAny$)
lemma $cp\text{-}OclAsType_{Person}\text{-}OclAny\text{-}Person$: $cp\ P \implies cp(\lambda X. (P\ (X::OclAny)::Person))$
 $.oclAsType(Person)$
by(rule $cpI1$, simp-all add: $OclAsType_{Person}\text{-}Person$)

lemmas [simp] =
 $cp\text{-}OclAsType_{OclAny}\text{-}Person\text{-}Person$
 $cp\text{-}OclAsType_{OclAny}\text{-}OclAny\text{-}OclAny$
 $cp\text{-}OclAsType_{Person}\text{-}Person\text{-}Person$
 $cp\text{-}OclAsType_{Person}\text{-}OclAny\text{-}OclAny$

 $cp\text{-}OclAsType_{OclAny}\text{-}Person\text{-}OclAny$
 $cp\text{-}OclAsType_{OclAny}\text{-}OclAny\text{-}Person$
 $cp\text{-}OclAsType_{Person}\text{-}Person\text{-}OclAny$
 $cp\text{-}OclAsType_{Person}\text{-}OclAny\text{-}Person$

Execution with Invalid or Null as Argument

lemma $OclAsType_{OclAny}\text{-}OclAny\text{-}strict$: $(invalid::OclAny) .oclAsType(OclAny) = invalid$
by(simp)

lemma $OclAsType_{OclAny}\text{-}OclAny\text{-}nullstrict$: $(null::OclAny) .oclAsType(OclAny) = null$
by(simp)

lemma $OclAsType_{OclAny}\text{-}Person\text{-}strict$ [simp] : $(invalid::Person) .oclAsType(OclAny) = invalid$
by(rule ext, simp add: bot-option-def invalid-def
 $OclAsType_{OclAny}\text{-}Person$)

lemma $OclAsType_{OclAny}\text{-}Person\text{-}nullstrict$ [simp] : $(null::Person) .oclAsType(OclAny) = null$
by(rule ext, simp add: null-fun-def null-option-def bot-option-def
 $OclAsType_{OclAny}\text{-}Person$)

lemma $OclAsType_{Person-OclAny-strict}[simp] : (invalid::OclAny) .oclAsType(Person) = invalid$
by(rule ext, simp add: bot-option-def invalid-def
 $OclAsType_{Person-OclAny}$)

lemma $OclAsType_{Person-OclAny-nullstrict}[simp] : (null::OclAny) .oclAsType(Person) = null$
by(rule ext, simp add: null-fun-def null-option-def bot-option-def
 $OclAsType_{Person-OclAny}$)

lemma $OclAsType_{Person-Person-strict} : (invalid::Person) .oclAsType(Person) = invalid$
by(simp)

lemma $OclAsType_{Person-Person-nullstrict} : (null::Person) .oclAsType(Person) = null$
by(simp)

6.1.5. OclIsTypeOf

Definition

consts $OclIsTypeOf_{OclAny} :: 'α \Rightarrow Boolean ((-).oclIsTypeOf'(OclAny'))$

consts $OclIsTypeOf_{Person} :: 'α \Rightarrow Boolean ((-).oclIsTypeOf'(Person'))$

defs (overloaded) $OclIsTypeOf_{OclAny-OclAny}$:
 $(X::OclAny) .oclIsTypeOf(OclAny) \equiv$
 $(\lambda\tau. \text{case } X \text{ } \tau \text{ of}$
 $\quad \perp \Rightarrow invalid \ \tau$
 $\quad | \lfloor \perp \rfloor \Rightarrow true \ \tau \quad (* \text{ invalid } ?? *)$
 $\quad | \lfloor [mk_{OclAny} \text{ oid } \perp] \rfloor \Rightarrow true \ \tau$
 $\quad | \lfloor [mk_{OclAny} \text{ oid } -] \rfloor \Rightarrow false \ \tau)$

defs (overloaded) $OclIsTypeOf_{OclAny-Person}$:
 $(X::Person) .oclIsTypeOf(OclAny) \equiv$
 $(\lambda\tau. \text{case } X \text{ } \tau \text{ of}$
 $\quad \perp \Rightarrow invalid \ \tau$
 $\quad | \lfloor \perp \rfloor \Rightarrow true \ \tau \quad (* \text{ invalid } ?? *)$
 $\quad | \lfloor [-] \rfloor \Rightarrow false \ \tau)$

defs (overloaded) $OclIsTypeOf_{Person-OclAny}$:
 $(X::OclAny) .oclIsTypeOf(Person) \equiv$
 $(\lambda\tau. \text{case } X \text{ } \tau \text{ of}$
 $\quad \perp \Rightarrow invalid \ \tau$
 $\quad | \lfloor \perp \rfloor \Rightarrow true \ \tau$
 $\quad | \lfloor [mk_{OclAny} \text{ oid } \perp] \rfloor \Rightarrow false \ \tau$
 $\quad | \lfloor [mk_{OclAny} \text{ oid } -] \rfloor \Rightarrow true \ \tau)$

defs (overloaded) $OclIsTypeOf_{Person-Person}$:
 $(X::Person) .oclIsTypeOf(Person) \equiv$
 $(\lambda\tau. \text{case } X \text{ } \tau \text{ of}$
 $\quad \perp \Rightarrow invalid \ \tau$
 $\quad | - \Rightarrow true \ \tau)$

Context Passing

lemma $cp\text{-}OclIsTypeOf_{OclAny}\text{-}Person\text{-}Person:$ $cp(\lambda X.(P(X::Person)::Person).oclIsTypeOf(OclAny))$ by (rule $cpI1$, simp-all add: $OclIsTypeOf_{OclAny}\text{-}Person$)	cp	P	\Rightarrow
lemma $cp\text{-}OclIsTypeOf_{OclAny}\text{-}OclAny\text{-}OclAny:$ $cp(\lambda X.(P(X::OclAny)::OclAny).oclIsTypeOf(OclAny))$ by (rule $cpI1$, simp-all add: $OclIsTypeOf_{OclAny}\text{-}OclAny$)	cp	P	\Rightarrow
lemma $cp\text{-}OclIsTypeOf_{Person}\text{-}Person\text{-}Person:$ $cp(\lambda X.(P(X::Person)::Person).oclIsTypeOf(Person))$ by (rule $cpI1$, simp-all add: $OclIsTypeOf_{Person}\text{-}Person$)	cp	P	\Rightarrow
lemma $cp\text{-}OclIsTypeOf_{Person}\text{-}OclAny\text{-}OclAny:$ $cp(\lambda X.(P(X::OclAny)::OclAny).oclIsTypeOf(Person))$ by (rule $cpI1$, simp-all add: $OclIsTypeOf_{Person}\text{-}OclAny$)	cp	P	\Rightarrow
lemma $cp\text{-}OclIsTypeOf_{OclAny}\text{-}Person\text{-}OclAny:$ $cp(\lambda X.(P(X::Person)::OclAny).oclIsTypeOf(OclAny))$ by (rule $cpI1$, simp-all add: $OclIsTypeOf_{OclAny}\text{-}OclAny$)	cp	P	\Rightarrow
lemma $cp\text{-}OclIsTypeOf_{OclAny}\text{-}OclAny\text{-}Person:$ $cp(\lambda X.(P(X::OclAny)::Person).oclIsTypeOf(OclAny))$ by (rule $cpI1$, simp-all add: $OclIsTypeOf_{OclAny}\text{-}Person$)	cp	P	\Rightarrow
lemma $cp\text{-}OclIsTypeOf_{Person}\text{-}Person\text{-}OclAny:$ $cp(\lambda X.(P(X::Person)::OclAny).oclIsTypeOf(Person))$ by (rule $cpI1$, simp-all add: $OclIsTypeOf_{Person}\text{-}OclAny$)	cp	P	\Rightarrow
lemma $cp\text{-}OclIsTypeOf_{Person}\text{-}OclAny\text{-}Person:$ $cp(\lambda X.(P(X::OclAny)::Person).oclIsTypeOf(Person))$ by (rule $cpI1$, simp-all add: $OclIsTypeOf_{Person}\text{-}Person$)	cp	P	\Rightarrow
lemmas $[simp] =$ $cp\text{-}OclIsTypeOf_{OclAny}\text{-}Person\text{-}Person$ $cp\text{-}OclIsTypeOf_{OclAny}\text{-}OclAny\text{-}OclAny$ $cp\text{-}OclIsTypeOf_{Person}\text{-}Person\text{-}Person$ $cp\text{-}OclIsTypeOf_{Person}\text{-}OclAny\text{-}OclAny$ $cp\text{-}OclIsTypeOf_{OclAny}\text{-}Person\text{-}OclAny$ $cp\text{-}OclIsTypeOf_{OclAny}\text{-}OclAny\text{-}Person$ $cp\text{-}OclIsTypeOf_{Person}\text{-}Person\text{-}OclAny$ $cp\text{-}OclIsTypeOf_{Person}\text{-}OclAny\text{-}Person$			

Execution with Invalid or Null as Argument

lemma $OclIsTypeOf_{OclAny}\text{-}OclAny\text{-}strict1[simp]:$ $(invalid::OclAny).oclIsTypeOf(OclAny) = invalid$ by (rule ext, simp add: null-fun-def null-option-def bot-option-def null-def invalid-def $OclIsTypeOf_{OclAny}\text{-}OclAny$)			
lemma $OclIsTypeOf_{OclAny}\text{-}OclAny\text{-}strict2[simp]:$ $(null::OclAny).oclIsTypeOf(OclAny) = true$ by (rule ext, simp add: null-fun-def null-option-def bot-option-def null-def invalid-def $OclIsTypeOf_{OclAny}\text{-}OclAny$)			

```

lemma OclIsTypeOfOclAny-Person-strict1[simp]:
  (invalid::Person) .oclIsTypeOf(OclAny) = invalid
by(rule ext, simp add: null-fun-def null-option-def bot-option-def null-def invalid-def
  OclIsTypeOfOclAny-Person)
lemma OclIsTypeOfOclAny-Person-strict2[simp]:
  (null::Person) .oclIsTypeOf(OclAny) = true
by(rule ext, simp add: null-fun-def null-option-def bot-option-def null-def invalid-def
  OclIsTypeOfOclAny-Person)
lemma OclIsTypeOfPerson-OclAny-strict1[simp]:
  (invalid::OclAny) .oclIsTypeOf(Person) = invalid
by(rule ext, simp add: null-fun-def null-option-def bot-option-def null-def invalid-def
  OclIsTypeOfPerson-OclAny)
lemma OclIsTypeOfPerson-OclAny-strict2[simp]:
  (null::OclAny) .oclIsTypeOf(Person) = true
by(rule ext, simp add: null-fun-def null-option-def bot-option-def null-def invalid-def
  OclIsTypeOfPerson-OclAny)
lemma OclIsTypeOfPerson-Person-strict1[simp]:
  (invalid::Person) .oclIsTypeOf(Person) = invalid
by(rule ext, simp add: null-fun-def null-option-def bot-option-def null-def invalid-def
  OclIsTypeOfPerson-Person)
lemma OclIsTypeOfPerson-Person-strict2[simp]:
  (null::Person) .oclIsTypeOf(Person) = true
by(rule ext, simp add: null-fun-def null-option-def bot-option-def null-def invalid-def
  OclIsTypeOfPerson-Person)

```

Up Down Casting

```

lemma actualType-larger-staticType:
assumes isdef:  $\tau \models (\delta \ X)$ 
shows  $\tau \models (X::Person) .oclIsTypeOf(OclAny) \triangleq false$ 
using isdef
by(auto simp : null-option-def bot-option-def
  OclIsTypeOfOclAny-Person foundation22 foundation16)

lemma down-cast-type:
assumes isOclAny:  $\tau \models (X::OclAny) .oclIsTypeOf(OclAny)$ 
and non-null:  $\tau \models (\delta \ X)$ 
shows  $\tau \models (X .oclAsType(Person)) \triangleq invalid$ 
using isOclAny non-null
apply(auto simp : bot-fun-def null-fun-def null-option-def bot-option-def null-def invalid-def
  OclAsTypeOclAny-Person OclAsTypePerson-OclAny foundation22 foundation16
  split: option.split typeOclAny.split typePerson.split)
by(simp add: OclIsTypeOfOclAny-OclAny OclValid-def false-def true-def)

lemma down-cast-type':
assumes isOclAny:  $\tau \models (X::OclAny) .oclIsTypeOf(OclAny)$ 
and non-null:  $\tau \models (\delta \ X)$ 
shows  $\tau \models not \ (v \ (X .oclAsType(Person)))$ 
by(rule foundation15[THEN iffD1], simp add: down-cast-type[OF assms])

```



```

lemma up-down-cast :
assumes isdef:  $\tau \models (\delta \ X)$ 
shows  $\tau \models ((X :: \text{Person}) . \text{oclAsType}(\text{OclAny}) . \text{oclAsType}(\text{Person}) \triangleq X)$ 
using isdef
by(auto simp : null-fun-def null-option-def bot-option-def null-def invalid-def
      OclAsTypeOclAny-Person OclAsTypePerson-OclAny foundation22 foundation16
      split: option.split typePerson.split)

```

```

lemma up-down-cast-Person-OclAny-Person [simp]:
shows  $((X :: \text{Person}) . \text{oclAsType}(\text{OclAny}) . \text{oclAsType}(\text{Person}) = X)$ 
apply(rule ext, rename-tac  $\tau$ )
apply(rule foundation22[THEN iffD1])
apply(case-tac  $\tau \models (\delta \ X)$ , simp add: up-down-cast)
apply(simp add: def-split-local, elim disjE)
apply(erule StrongEq-L-subst2-rev, simp, simp)+
done

```

```

lemma up-down-cast-Person-OclAny-Person': assumes  $\tau \models v \ X$ 
shows  $\tau \models (((X :: \text{Person}) . \text{oclAsType}(\text{OclAny}) . \text{oclAsType}(\text{Person})) \doteq X)$ 
apply(simp only: up-down-cast-Person-OclAny-Person StrictRefEqObject-Person)
by(rule StrictRefEqObject-sym, simp add: assms)

```

```

lemma up-down-cast-Person-OclAny-Person'': assumes  $\tau \models v \ (X :: \text{Person})$ 
shows  $\tau \models (X . \text{oclIsTypeOf}(\text{Person}) \text{ implies } (X . \text{oclAsType}(\text{OclAny}) . \text{oclAsType}(\text{Person})) \doteq X)$ 
apply(simp add: OclValid-def)
apply(subst cp-OclImplies)
apply(simp add: StrictRefEqObject-Person StrictRefEqObject-sym[OF assms, simplified
OclValid-def])
apply(subst cp-OclImplies[symmetric])
by (simp add: OclImplies-true)

```

6.1.6. OclIsKindOf

Definition

```

consts OclIsKindOfOclAny :: ' $\alpha \Rightarrow \text{Boolean}$  ((-).oclIsKindOf'(OclAny'))
consts OclIsKindOfPerson :: ' $\alpha \Rightarrow \text{Boolean}$  ((-).oclIsKindOf'(Person'))

```

```

defs (overloaded) OclIsKindOfOclAny-OclAny:
   $(X :: \text{OclAny}) . \text{oclIsKindOf}(\text{OclAny}) \equiv$ 
     $(\lambda \tau. \text{case } X \ \tau \text{ of}$ 
       $\perp \Rightarrow \text{invalid } \tau$ 
       $| - \Rightarrow \text{true } \tau)$ 

```

```

defs (overloaded) OclIsKindOfOclAny-Person:
   $(X :: \text{Person}) . \text{oclIsKindOf}(\text{OclAny}) \equiv$ 
     $(\lambda \tau. \text{case } X \ \tau \text{ of}$ 

```

$$\begin{array}{l} \perp \Rightarrow \text{invalid } \tau \\ | \Rightarrow \text{true } \tau \end{array}$$

defs (overloaded) $OclIsKindOf_{Person-OclAny}$:
 $(X::OclAny) .oclIsKindOf(Person) \equiv$
 $(\lambda\tau. \text{case } X \ \tau \text{ of}$
 $\quad \perp \Rightarrow \text{invalid } \tau$
 $\quad | \lfloor \perp \rfloor \Rightarrow \text{true } \tau$
 $\quad | \lfloor [mk_{OclAny} \text{ oid } \perp] \rfloor \Rightarrow \text{false } \tau$
 $\quad | \lfloor [mk_{OclAny} \text{ oid } _] \rfloor \Rightarrow \text{true } \tau)$

defs (overloaded) $OclIsKindOf_{Person-Person}$:
 $(X::Person) .oclIsKindOf(Person) \equiv$
 $(\lambda\tau. \text{case } X \ \tau \text{ of}$
 $\quad \perp \Rightarrow \text{invalid } \tau$
 $\quad | _ \Rightarrow \text{true } \tau)$

Context Passing

lemma $cp-OclIsKindOf_{OclAny-Person-Person}$:	cp	P	\Rightarrow
$cp(\lambda X.(P(X::Person)::Person).oclIsKindOf(OclAny))$			
by (rule $cpI1$, simp-all add: $OclIsKindOf_{OclAny-Person}$)			
lemma $cp-OclIsKindOf_{OclAny-OclAny-OclAny}$:	cp	P	\Rightarrow
$cp(\lambda X.(P(X::OclAny)::OclAny).oclIsKindOf(OclAny))$			
by (rule $cpI1$, simp-all add: $OclIsKindOf_{OclAny-OclAny}$)			
lemma $cp-OclIsKindOf_{Person-Person-Person}$:	cp	P	\Rightarrow
$cp(\lambda X.(P(X::Person)::Person).oclIsKindOf(Person))$			
by (rule $cpI1$, simp-all add: $OclIsKindOf_{Person-Person}$)			
lemma $cp-OclIsKindOf_{Person-OclAny-OclAny}$:	cp	P	\Rightarrow
$cp(\lambda X.(P(X::OclAny)::OclAny).oclIsKindOf(Person))$			
by (rule $cpI1$, simp-all add: $OclIsKindOf_{Person-OclAny}$)			
lemma $cp-OclIsKindOf_{OclAny-Person-OclAny}$:	cp	P	\Rightarrow
$cp(\lambda X.(P(X::Person)::OclAny).oclIsKindOf(OclAny))$			
by (rule $cpI1$, simp-all add: $OclIsKindOf_{OclAny-OclAny}$)			
lemma $cp-OclIsKindOf_{OclAny-OclAny-Person}$:	cp	P	\Rightarrow
$cp(\lambda X.(P(X::OclAny)::Person).oclIsKindOf(OclAny))$			
by (rule $cpI1$, simp-all add: $OclIsKindOf_{OclAny-Person}$)			
lemma $cp-OclIsKindOf_{Person-Person-OclAny}$:	cp	P	\Rightarrow
$cp(\lambda X.(P(X::Person)::OclAny).oclIsKindOf(Person))$			
by (rule $cpI1$, simp-all add: $OclIsKindOf_{Person-OclAny}$)			
lemma $cp-OclIsKindOf_{Person-OclAny-Person}$:	cp	P	\Rightarrow
$cp(\lambda X.(P(X::OclAny)::Person).oclIsKindOf(Person))$			
by (rule $cpI1$, simp-all add: $OclIsKindOf_{Person-Person}$)			

lemmas $[simp] =$
 $cp-OclIsKindOf_{OclAny-Person-Person}$
 $cp-OclIsKindOf_{OclAny-OclAny-OclAny}$
 $cp-OclIsKindOf_{Person-Person-Person}$

cp-OclIsKindOf_{Person}-OclAny-OclAny

cp-OclIsKindOf_{OclAny}-Person-OclAny

cp-OclIsKindOf_{OclAny}-OclAny-Person

cp-OclIsKindOf_{Person}-Person-OclAny

cp-OclIsKindOf_{Person}-OclAny-Person

Execution with Invalid or Null as Argument

lemma *OclIsKindOf_{OclAny}-OclAny-strict1*[simp] : (*invalid::OclAny*) .*oclIsKindOf*(*OclAny*) = *invalid*

by(rule *ext*, simp add: *invalid-def bot-option-def*
OclIsKindOf_{OclAny}-OclAny)

lemma *OclIsKindOf_{OclAny}-OclAny-strict2*[simp] : (*null::OclAny*) .*oclIsKindOf*(*OclAny*) = *true*

by(rule *ext*, simp add: *null-fun-def null-option-def*
OclIsKindOf_{OclAny}-OclAny)

lemma *OclIsKindOf_{OclAny}-Person-strict1*[simp] : (*invalid::Person*) .*oclIsKindOf*(*OclAny*) = *invalid*

by(rule *ext*, simp add: *bot-option-def invalid-def*
OclIsKindOf_{OclAny}-Person)

lemma *OclIsKindOf_{OclAny}-Person-strict2*[simp] : (*null::Person*) .*oclIsKindOf*(*OclAny*) = *true*

by(rule *ext*, simp add: *null-fun-def null-option-def bot-option-def*
OclIsKindOf_{OclAny}-Person)

lemma *OclIsKindOf_{Person}-OclAny-strict1*[simp] : (*invalid::OclAny*) .*oclIsKindOf*(*Person*) = *invalid*

by(rule *ext*, simp add: *null-fun-def null-option-def bot-option-def null-def invalid-def*
OclIsKindOf_{Person}-OclAny)

lemma *OclIsKindOf_{Person}-OclAny-strict2*[simp] : (*null::OclAny*) .*oclIsKindOf*(*Person*) = *true*

by(rule *ext*, simp add: *null-fun-def null-option-def bot-option-def null-def invalid-def*
OclIsKindOf_{Person}-OclAny)

lemma *OclIsKindOf_{Person}-Person-strict1*[simp] : (*invalid::Person*) .*oclIsKindOf*(*Person*) = *invalid*

by(rule *ext*, simp add: *null-fun-def null-option-def bot-option-def null-def invalid-def*
OclIsKindOf_{Person}-Person)

lemma *OclIsKindOf_{Person}-Person-strict2*[simp] : (*null::Person*) .*oclIsKindOf*(*Person*) = *true*

by(rule *ext*, simp add: *null-fun-def null-option-def bot-option-def null-def invalid-def*
OclIsKindOf_{Person}-Person)

Up Down Casting

lemma *actualKind-larger-staticKind*:

assumes *isdef*: $\tau \models (\delta \ X)$

shows $\tau \models (X::Person) .oclIsKindOf(OclAny) \triangleq true$
using *isdef*
by(*auto simp : bot-option-def*
OclIsKindOf_{OclAny}-Person foundation22 foundation16)

lemma *down-cast-kind*:
assumes *isOclAny*: $\neg \tau \models (X::OclAny) .oclIsKindOf(Person)$
and *non-null*: $\tau \models (\delta X)$
shows $\tau \models (X .oclAsType(Person)) \triangleq invalid$
using *isOclAny non-null*
apply(*auto simp : bot-fun-def null-fun-def null-option-def bot-option-def null-def invalid-def*
OclAsType_{OclAny}-Person OclAsType_{Person}-OclAny foundation22 foundation16
split: option.split type_{OclAny}.split type_{Person}.split)
by(*simp add: OclIsKindOf_{Person}-OclAny OclValid-def false-def true-def*)

6.1.7. OclAllInstances

To denote OCL-types occurring in OCL expressions syntactically—as, for example, as “argument” of `oclAllInstances()`—we use the inverses of the injection functions into the object universes; we show that this is sufficient “characterization.”

definition *Person* $\equiv OclAsType_{Person}\text{-}\mathfrak{A}$
definition *OclAny* $\equiv OclAsType_{OclAny}\text{-}\mathfrak{A}$
lemmas [*simp*] = *Person-def OclAny-def*

lemma *OclAllInstances-generic_{OclAny}-exec*: *OclAllInstances-generic pre-post OclAny* =
 $(\lambda\tau. Abs\text{-}Set\text{-}0 \ll Some \text{ ‘ } OclAny \text{ ‘ } ran (heap (pre\text{-}post \tau)) \rr)$

proof –
let *?S1* = $\lambda\tau. OclAny \text{ ‘ } ran (heap (pre\text{-}post \tau))$
let *?S2* = $\lambda\tau. ?S1 \tau - \{None\}$
have *B* : $\bigwedge\tau. ?S2 \tau \subseteq ?S1 \tau$ **by** *auto*
have *C* : $\bigwedge\tau. ?S1 \tau \subseteq ?S2 \tau$ **by**(*auto simp: OclAsType_{OclAny}-A-some*)
show *?thesis* **by**(*insert equalityI[OF B C], simp*)
qed

lemma *OclAllInstances-at-post_{OclAny}-exec*: *OclAny .allInstances()* =
 $(\lambda\tau. Abs\text{-}Set\text{-}0 \ll Some \text{ ‘ } OclAny \text{ ‘ } ran (heap (snd \tau)) \rr)$
unfolding *OclAllInstances-at-post-def*
by(*rule OclAllInstances-generic_{OclAny}-exec*)

lemma *OclAllInstances-at-pre_{OclAny}-exec*: *OclAny .allInstances@pre()* =
 $(\lambda\tau. Abs\text{-}Set\text{-}0 \ll Some \text{ ‘ } OclAny \text{ ‘ } ran (heap (fst \tau)) \rr)$
unfolding *OclAllInstances-at-pre-def*
by(*rule OclAllInstances-generic_{OclAny}-exec*)

OclIsTypeOf

lemma *OclAny-allInstances-generic-oclIsTypeOf_{OclAny}1*:
assumes [*simp*]: $\bigwedge x. pre\text{-}post (x, x) = x$

shows $\exists \tau. (\tau \models ((\text{OclAllInstances-generic pre-post OclAny}) \rightarrow \text{forAll}(X|X \text{ .oclIsTypeOf}(\text{OclAny}))))$
apply(rule-tac $x = \tau_0$ **in** exI , simp add: $\tau_0\text{-def OclValid-def del: OclAllInstances-generic-def}$)
apply(simp only: $assms \text{OclForall-def refl if-True}$
 $\text{OclAllInstances-generic-defined[simplified OclValid-def]})$
apply(simp only: $\text{OclAllInstances-generic-def}$)
apply(subst (1 2 3) Abs-Set-0-inverse , simp add: bot-option-def)
by(simp add: $\text{OclIsTypeOf}_{\text{OclAny-OclAny}}$)

lemma $\text{OclAny-allInstances-at-post-oclIsTypeOf}_{\text{OclAny}1}$:
 $\exists \tau. (\tau \models (\text{OclAny .allInstances}() \rightarrow \text{forAll}(X|X \text{ .oclIsTypeOf}(\text{OclAny}))))$
unfolding $\text{OclAllInstances-at-post-def}$
by(rule $\text{OclAny-allInstances-generic-oclIsTypeOf}_{\text{OclAny}1}$, simp)

lemma $\text{OclAny-allInstances-at-pre-oclIsTypeOf}_{\text{OclAny}1}$:
 $\exists \tau. (\tau \models (\text{OclAny .allInstances@pre}() \rightarrow \text{forAll}(X|X \text{ .oclIsTypeOf}(\text{OclAny}))))$
unfolding $\text{OclAllInstances-at-pre-def}$
by(rule $\text{OclAny-allInstances-generic-oclIsTypeOf}_{\text{OclAny}1}$, simp)

lemma $\text{OclAny-allInstances-generic-oclIsTypeOf}_{\text{OclAny}2}$:
assumes [simp]: $\bigwedge x. \text{pre-post}(x, x) = x$
shows $\exists \tau. (\tau \models \text{not } ((\text{OclAllInstances-generic pre-post OclAny}) \rightarrow \text{forAll}(X|X \text{ .oclIsTypeOf}(\text{OclAny}))))$
proof – **fix** $oid\ a$ **let** $?t0 = (\text{heap} = \text{empty}(oid \mapsto \text{in}_{\text{OclAny}}(\text{mk}_{\text{OclAny}}\ oid\ [a])),$
 $\text{assocs}_2 = \text{empty}, \text{assocs}_3 = \text{empty})$ **show** $?thesis$
apply(rule-tac $x = (?t0, ?t0)$ **in** exI , simp add: $\text{OclValid-def del: OclAllInstances-generic-def}$)
apply(simp only: $\text{OclForall-def refl if-True}$
 $\text{OclAllInstances-generic-defined[simplified OclValid-def]})$
apply(simp only: $\text{OclAllInstances-generic-def OclAsType}_{\text{OclAny-}\mathfrak{A}\text{-def}}$)
apply(subst (1 2 3) Abs-Set-0-inverse , simp add: bot-option-def)
by(simp add: $\text{OclIsTypeOf}_{\text{OclAny-OclAny}} \text{OclNot-def OclAny-def}$)
qed

lemma $\text{OclAny-allInstances-at-post-oclIsTypeOf}_{\text{OclAny}2}$:
 $\exists \tau. (\tau \models \text{not } (\text{OclAny .allInstances}() \rightarrow \text{forAll}(X|X \text{ .oclIsTypeOf}(\text{OclAny}))))$
unfolding $\text{OclAllInstances-at-post-def}$
by(rule $\text{OclAny-allInstances-generic-oclIsTypeOf}_{\text{OclAny}2}$, simp)

lemma $\text{OclAny-allInstances-at-pre-oclIsTypeOf}_{\text{OclAny}2}$:
 $\exists \tau. (\tau \models \text{not } (\text{OclAny .allInstances@pre}() \rightarrow \text{forAll}(X|X \text{ .oclIsTypeOf}(\text{OclAny}))))$
unfolding $\text{OclAllInstances-at-pre-def}$
by(rule $\text{OclAny-allInstances-generic-oclIsTypeOf}_{\text{OclAny}2}$, simp)

lemma $\text{Person-allInstances-generic-oclIsTypeOf}_{\text{Person}}$:
 $\tau \models ((\text{OclAllInstances-generic pre-post Person}) \rightarrow \text{forAll}(X|X \text{ .oclIsTypeOf}(\text{Person})))$
apply(simp add: $\text{OclValid-def del: OclAllInstances-generic-def}$)
apply(simp only: $\text{OclForall-def refl if-True}$
 $\text{OclAllInstances-generic-defined[simplified OclValid-def]})$
apply(simp only: $\text{OclAllInstances-generic-def}$)

apply(subst (1 2 3) Abs-Set-0-inverse, simp add: bot-option-def)
by(simp add: OclIsTypeOf_{Person}-Person)

lemma Person-allInstances-at-post-oclIsTypeOf_{Person}:
 $\tau \models (Person.allInstances() \rightarrow \text{forAll}(X | X.oclIsTypeOf(Person)))$
unfolding OclAllInstances-at-post-def
by(rule Person-allInstances-generic-oclIsTypeOf_{Person})

lemma Person-allInstances-at-pre-oclIsTypeOf_{Person}:
 $\tau \models (Person.allInstances@pre() \rightarrow \text{forAll}(X | X.oclIsTypeOf(Person)))$
unfolding OclAllInstances-at-pre-def
by(rule Person-allInstances-generic-oclIsTypeOf_{Person})

OclIsKindOf

lemma OclAny-allInstances-generic-oclIsKindOf_{OclAny}:
 $\tau \models ((OclAllInstances-generic\ pre-post\ OclAny) \rightarrow \text{forAll}(X | X.oclIsKindOf(OclAny)))$
apply(simp add: OclValid-def del: OclAllInstances-generic-def)
apply(simp only: OclForall-def refl if-True
OclAllInstances-generic-defined[simplified OclValid-def])
apply(simp only: OclAllInstances-generic-def)
apply(subst (1 2 3) Abs-Set-0-inverse, simp add: bot-option-def)
by(simp add: OclIsKindOf_{OclAny}-OclAny)

lemma OclAny-allInstances-at-post-oclIsKindOf_{OclAny}:
 $\tau \models (OclAny.allInstances() \rightarrow \text{forAll}(X | X.oclIsKindOf(OclAny)))$
unfolding OclAllInstances-at-post-def
by(rule OclAny-allInstances-generic-oclIsKindOf_{OclAny})

lemma OclAny-allInstances-at-pre-oclIsKindOf_{OclAny}:
 $\tau \models (OclAny.allInstances@pre() \rightarrow \text{forAll}(X | X.oclIsKindOf(OclAny)))$
unfolding OclAllInstances-at-pre-def
by(rule OclAny-allInstances-generic-oclIsKindOf_{OclAny})

lemma Person-allInstances-generic-oclIsKindOf_{OclAny}:
 $\tau \models ((OclAllInstances-generic\ pre-post\ Person) \rightarrow \text{forAll}(X | X.oclIsKindOf(OclAny)))$
apply(simp add: OclValid-def del: OclAllInstances-generic-def)
apply(simp only: OclForall-def refl if-True
OclAllInstances-generic-defined[simplified OclValid-def])
apply(simp only: OclAllInstances-generic-def)
apply(subst (1 2 3) Abs-Set-0-inverse, simp add: bot-option-def)
by(simp add: OclIsKindOf_{OclAny}-Person)

lemma Person-allInstances-at-post-oclIsKindOf_{OclAny}:
 $\tau \models (Person.allInstances() \rightarrow \text{forAll}(X | X.oclIsKindOf(OclAny)))$
unfolding OclAllInstances-at-post-def
by(rule Person-allInstances-generic-oclIsKindOf_{OclAny})

lemma Person-allInstances-at-pre-oclIsKindOf_{OclAny}:

```

 $\tau \models (Person.allInstances@pre()) \rightarrow \text{forAll}(X|X.oclIsKindOf(OclAny)))$ 
unfolding OclAllInstances-at-pre-def
by(rule Person-allInstances-generic-oclIsKindOfOclAny)

lemma Person-allInstances-generic-oclIsKindOfPerson:
 $\tau \models ((OclAllInstances-generic\ pre\text{-}post\ Person) \rightarrow \text{forAll}(X|X.oclIsKindOf(Person)))$ 
apply(simp add: OclValid-def del: OclAllInstances-generic-def)
apply(simp only: OclForall-def refl if-True
          OclAllInstances-generic-defined[simplified OclValid-def])
apply(simp only: OclAllInstances-generic-def)
apply(subst (1 2 3) Abs-Set-0-inverse, simp add: bot-option-def)
by(simp add: OclIsKindOfPerson-Person)

lemma Person-allInstances-at-post-oclIsKindOfPerson:
 $\tau \models (Person.allInstances()) \rightarrow \text{forAll}(X|X.oclIsKindOf(Person))$ 
unfolding OclAllInstances-at-post-def
by(rule Person-allInstances-generic-oclIsKindOfPerson)

lemma Person-allInstances-at-pre-oclIsKindOfPerson:
 $\tau \models (Person.allInstances@pre()) \rightarrow \text{forAll}(X|X.oclIsKindOf(Person))$ 
unfolding OclAllInstances-at-pre-def
by(rule Person-allInstances-generic-oclIsKindOfPerson)

```

6.1.8. The Accessors (any, boss, salary)

Should be generated entirely from a class-diagram.

Definition (of the association Employee-Boss)

We start with a oid for the association; this oid can be used in presence of association classes to represent the association inside an object, pretty much similar to the `Employee_DesignModelUMLPart`, where we stored an oid inside the class as “pointer.”

definition *oid_{Person}BOSS ::oid where oid_{Person}BOSS = 10*

From there on, we can already define an empty state which must contain for *oid_{Person}BOSS* the empty relation (encoded as association list, since there are associations with a Sequence-like structure).

```

definition eval-extract :: (' $\mathcal{A}$ ,('a::object) option option) val
   $\Rightarrow (oid \Rightarrow (' $\mathcal{A}$ , 'c::null) val)$ 
   $\Rightarrow (' $\mathcal{A}$ , 'c::null) val$ 
```

where *eval-extract* $X f = (\lambda \tau. \text{case } X \tau \text{ of}$

```

   $\perp \Rightarrow \text{invalid } \tau \quad (* \text{exception propagation} *)$ 
   $| \lfloor \perp \rfloor \Rightarrow \text{invalid } \tau \quad (* \text{dereferencing null pointer} *)$ 
   $| \lfloor \lfloor obj \rfloor \rfloor \Rightarrow f (oid\text{-of } obj) \tau)$ 

```

definition *choose₂₋₁ = fst*

definition $choose_2-2 = snd$
definition $choose_3-1 = fst$
definition $choose_3-2 = fst \circ snd$
definition $choose_3-3 = snd \circ snd$

definition $deref-assocs_2 :: ('A \text{ state} \times 'A \text{ state} \Rightarrow 'A \text{ state})$
 $\Rightarrow (oid \times oid \Rightarrow oid \times oid)$
 $\Rightarrow oid$
 $\Rightarrow (oid \text{ list} \Rightarrow oid \Rightarrow ('A, 'f)val)$
 $\Rightarrow oid$
 $\Rightarrow ('A, 'f::null)val$

where $deref-assocs_2 \text{ pre-post to-from assoc-oid } f \text{ oid} =$
 $(\lambda \tau. \text{case } (assocs_2 \text{ (pre-post } \tau)) \text{ assoc-oid of}$
 $\quad [S] \Rightarrow f \text{ (map } (choose_2-2 \circ \text{to-from})$
 $\quad \quad \quad (\text{filter } (\lambda p. choose_2-1(\text{to-from } p)=oid) S))$
 $\quad \quad \quad oid \tau$
 $\quad | - \Rightarrow \text{invalid } \tau)$

The *pre-post*-parameter is configured with *fst* or *snd*, the *to-from*-parameter either with the identity *id* or the following combinator *switch*:

definition $switch_2-1 = id$
definition $switch_2-2 = (\lambda(x,y). (y,x))$
definition $switch_3-1 = id$
definition $switch_3-2 = (\lambda(x,y,z). (x,z,y))$
definition $switch_3-3 = (\lambda(x,y,z). (y,x,z))$
definition $switch_3-4 = (\lambda(x,y,z). (y,z,x))$
definition $switch_3-5 = (\lambda(x,y,z). (z,x,y))$
definition $switch_3-6 = (\lambda(x,y,z). (z,y,x))$

definition $select-object :: (('A, 'b::null)val)$
 $\Rightarrow (('A, 'b)val \Rightarrow ('A, 'c)val \Rightarrow ('A, 'b)val)$
 $\Rightarrow (('A, 'b)val \Rightarrow ('A, 'd)val)$
 $\Rightarrow (oid \Rightarrow ('A, 'c::null)val)$
 $\Rightarrow oid \text{ list}$
 $\Rightarrow oid$
 $\Rightarrow ('A, 'd)val$

where $select-object \text{ mt incl smash deref } l \text{ oid} = \text{smash}(\text{foldl incl mt (map deref } l))$
 $(\text{* smash returns null with mt in input (in this case, object contains null pointer) *})$

The continuation *f* is usually instantiated with a smashing function which is either the identity *id* or, for 0..1 cardinalities of associations, the *OclANY*-selector which also handles the *null*-cases appropriately. A standard use-case for this combinator is for example:

term $(select-object \text{ mtSet OclIncluding OclANY } f \text{ l oid}) :: ('A, 'a::null)val$

definition $deref-oid_{Person} :: (A \text{ state} \times A \text{ state} \Rightarrow A \text{ state})$
 $\Rightarrow (type_{Person} \Rightarrow (A, 'c::null)val)$
 $\Rightarrow oid$
 $\Rightarrow (A, 'c::null)val$

where $deref-oid_{Person} fst-snd f oid = (\lambda \tau. \text{case } (heap (fst-snd \tau)) \text{ oid of}$
 $\quad | \text{ in}_{Person} \text{ obj } \Rightarrow f \text{ obj } \tau$
 $\quad | - \Rightarrow \text{invalid } \tau)$

definition $deref-oid_{OclAny} :: (\mathfrak{A} \text{ state} \times \mathfrak{A} \text{ state} \Rightarrow \mathfrak{A} \text{ state})$
 $\Rightarrow (type_{OclAny} \Rightarrow (\mathfrak{A}, 'c::null)val)$
 $\Rightarrow oid$
 $\Rightarrow (\mathfrak{A}, 'c::null)val$

where $deref-oid_{OclAny} fst-snd f oid = (\lambda \tau. \text{case } (heap (fst-snd \tau)) \text{ oid of}$
 $\quad | \text{ in}_{OclAny} \text{ obj } \Rightarrow f \text{ obj } \tau$
 $\quad | - \Rightarrow \text{invalid } \tau)$

pointer undefined in state or not referencing a type conform object representation

definition $select_{OclAny} \mathcal{ANY} f = (\lambda X. \text{case } X \text{ of}$
 $\quad (mk_{OclAny} - \perp) \Rightarrow null$
 $\quad | (mk_{OclAny} - [any]) \Rightarrow f (\lambda x -. \llbracket x \rrbracket) \text{ any})$

definition $select_{Person} \mathcal{BOSS} f = \text{select-object } mtSet \text{ OclIncluding } OclANY (f (\lambda x -. \llbracket x \rrbracket))$

definition $select_{Person} \mathcal{SALARY} f = (\lambda X. \text{case } X \text{ of}$
 $\quad (mk_{Person} - \perp) \Rightarrow null$
 $\quad | (mk_{Person} - [salary]) \Rightarrow f (\lambda x -. \llbracket x \rrbracket) \text{ salary})$

definition $deref-assocs_2 \mathcal{BOSS} fst-snd f = (\lambda mk_{Person} oid - \Rightarrow$
 $\quad deref-assocs_2 fst-snd \text{ switch}_2\text{-1 } oid_{Person} \mathcal{BOSS} f oid)$

definition $in\text{-pre}\text{-state} = fst$

definition $in\text{-post}\text{-state} = snd$

definition $reconst\text{-basetype} = (\lambda \text{convert } x. \text{convert } x)$

definition $dot_{OclAny} \mathcal{ANY} :: OclAny \Rightarrow - \ ((1(-).any) 50)$
where $(X).any = \text{eval-extract } X$
 $\quad (deref-oid_{OclAny} \text{ in-post-state}$
 $\quad (select_{OclAny} \mathcal{ANY}$
 $\quad \text{reconst-basetype}))$

definition $dot_{Person} \mathcal{BOSS} :: Person \Rightarrow Person \ ((1(-).boss) 50)$
where $(X).boss = \text{eval-extract } X$
 $\quad (deref-oid_{Person} \text{ in-post-state}$
 $\quad (deref-assocs_2 \mathcal{BOSS} \text{ in-post-state}$
 $\quad (select_{Person} \mathcal{BOSS}$
 $\quad (deref-oid_{Person} \text{ in-post-state}))))$

definition $\text{dot}_{Person}SALARY :: Person \Rightarrow Integer \ ((1(-).salary) \ 50)$
where $(X).salary = \text{eval-extract } X$
 $(\text{deref-oid}_{Person} \text{ in-post-state}$
 $(\text{select}_{Person}SALARY$
 $\text{reconst-basetype}))$

definition $\text{dot}_{OclAny}ANY\text{-at-pre} :: OclAny \Rightarrow - \ ((1(-).any@pre) \ 50)$
where $(X).any@pre = \text{eval-extract } X$
 $(\text{deref-oid}_{OclAny} \text{ in-pre-state}$
 $(\text{select}_{OclAny}ANY$
 $\text{reconst-basetype}))$

definition $\text{dot}_{Person}BOSS\text{-at-pre} :: Person \Rightarrow Person \ ((1(-).boss@pre) \ 50)$
where $(X).boss@pre = \text{eval-extract } X$
 $(\text{deref-oid}_{Person} \text{ in-pre-state}$
 $(\text{deref-assocs}_2BOSS \text{ in-pre-state}$
 $(\text{select}_{Person}BOSS$
 $(\text{deref-oid}_{Person} \text{ in-pre-state}))))$

definition $\text{dot}_{Person}SALARY\text{-at-pre} :: Person \Rightarrow Integer \ ((1(-).salary@pre) \ 50)$
where $(X).salary@pre = \text{eval-extract } X$
 $(\text{deref-oid}_{Person} \text{ in-pre-state}$
 $(\text{select}_{Person}SALARY$
 $\text{reconst-basetype}))$

lemmas $[simp] =$
 $\text{dot}_{OclAny}ANY\text{-def}$
 $\text{dot}_{Person}BOSS\text{-def}$
 $\text{dot}_{Person}SALARY\text{-def}$
 $\text{dot}_{OclAny}ANY\text{-at-pre-def}$
 $\text{dot}_{Person}BOSS\text{-at-pre-def}$
 $\text{dot}_{Person}SALARY\text{-at-pre-def}$

Context Passing

lemmas $[simp] = \text{eval-extract-def}$

lemma $\text{cp-dot}_{OclAny}ANY$: $((X).any) \ \tau = ((\lambda -. X \ \tau).any) \ \tau$ **by** simp

lemma $\text{cp-dot}_{Person}BOSS$: $((X).boss) \ \tau = ((\lambda -. X \ \tau).boss) \ \tau$ **by** simp

lemma $\text{cp-dot}_{Person}SALARY$: $((X).salary) \ \tau = ((\lambda -. X \ \tau).salary) \ \tau$ **by** simp

lemma $\text{cp-dot}_{OclAny}ANY\text{-at-pre}$: $((X).any@pre) \ \tau = ((\lambda -. X \ \tau).any@pre) \ \tau$ **by** simp

lemma $\text{cp-dot}_{Person}BOSS\text{-at-pre}$: $((X).boss@pre) \ \tau = ((\lambda -. X \ \tau).boss@pre) \ \tau$ **by** simp

lemma $\text{cp-dot}_{Person}SALARY\text{-at-pre}$: $((X).salary@pre) \ \tau = ((\lambda -. X \ \tau).salary@pre) \ \tau$ **by** simp

lemmas $\text{cp-dot}_{OclAny}ANY\text{-I} \ [simp, \text{intro!}] =$
 $\text{cp-dot}_{OclAny}ANY[THEN \text{allI}[THEN \text{allI}],$
 $\text{of } \lambda X -. X \ \lambda -. \tau, \text{ THEN } \text{cpI1}]$

lemmas $\text{cp-dot}_{OclAny}ANY\text{-at-pre-I} \ [simp, \text{intro!}] =$

*cp-dot*_{OclAny}*ANY-at-pre*[*THEN allI*[*THEN allI*],
of $\lambda X \neg. X \lambda - \tau. \tau, \text{ THEN } cpI1$]

lemmas *cp-dot*_{Person}*BOSS-I* [*simp*, *intro!*]=
*cp-dot*_{Person}*BOSS*[*THEN allI*[*THEN allI*],
of $\lambda X \neg. X \lambda - \tau. \tau, \text{ THEN } cpI1$]

lemmas *cp-dot*_{Person}*BOSS-at-pre-I* [*simp*, *intro!*]=
*cp-dot*_{Person}*BOSS-at-pre*[*THEN allI*[*THEN allI*],
of $\lambda X \neg. X \lambda - \tau. \tau, \text{ THEN } cpI1$]

lemmas *cp-dot*_{Person}*SALARY-I* [*simp*, *intro!*]=
*cp-dot*_{Person}*SALARY*[*THEN allI*[*THEN allI*],
of $\lambda X \neg. X \lambda - \tau. \tau, \text{ THEN } cpI1$]

lemmas *cp-dot*_{Person}*SALARY-at-pre-I* [*simp*, *intro!*]=
*cp-dot*_{Person}*SALARY-at-pre*[*THEN allI*[*THEN allI*],
of $\lambda X \neg. X \lambda - \tau. \tau, \text{ THEN } cpI1$]

Execution with Invalid or Null as Argument

lemma *dot*_{OclAny}*ANY-nullstrict* [*simp*]: (*null*).*any* = *invalid*
by(*rule ext*, *simp add*: *null-fun-def null-option-def bot-option-def null-def invalid-def*)
lemma *dot*_{OclAny}*ANY-at-pre-nullstrict* [*simp*] : (*null*).*any@pre* = *invalid*
by(*rule ext*, *simp add*: *null-fun-def null-option-def bot-option-def null-def invalid-def*)
lemma *dot*_{OclAny}*ANY-strict* [*simp*] : (*invalid*).*any* = *invalid*
by(*rule ext*, *simp add*: *null-fun-def null-option-def bot-option-def null-def invalid-def*)
lemma *dot*_{OclAny}*ANY-at-pre-strict* [*simp*] : (*invalid*).*any@pre* = *invalid*
by(*rule ext*, *simp add*: *null-fun-def null-option-def bot-option-def null-def invalid-def*)

lemma *dot*_{Person}*BOSS-nullstrict* [*simp*]: (*null*).*boss* = *invalid*
by(*rule ext*, *simp add*: *null-fun-def null-option-def bot-option-def null-def invalid-def*)
lemma *dot*_{Person}*BOSS-at-pre-nullstrict* [*simp*] : (*null*).*boss@pre* = *invalid*
by(*rule ext*, *simp add*: *null-fun-def null-option-def bot-option-def null-def invalid-def*)
lemma *dot*_{Person}*BOSS-strict* [*simp*] : (*invalid*).*boss* = *invalid*
by(*rule ext*, *simp add*: *null-fun-def null-option-def bot-option-def null-def invalid-def*)
lemma *dot*_{Person}*BOSS-at-pre-strict* [*simp*] : (*invalid*).*boss@pre* = *invalid*
by(*rule ext*, *simp add*: *null-fun-def null-option-def bot-option-def null-def invalid-def*)

lemma *dot*_{Person}*SALARY-nullstrict* [*simp*]: (*null*).*salary* = *invalid*
by(*rule ext*, *simp add*: *null-fun-def null-option-def bot-option-def null-def invalid-def*)
lemma *dot*_{Person}*SALARY-at-pre-nullstrict* [*simp*] : (*null*).*salary@pre* = *invalid*
by(*rule ext*, *simp add*: *null-fun-def null-option-def bot-option-def null-def invalid-def*)
lemma *dot*_{Person}*SALARY-strict* [*simp*] : (*invalid*).*salary* = *invalid*
by(*rule ext*, *simp add*: *null-fun-def null-option-def bot-option-def null-def invalid-def*)
lemma *dot*_{Person}*SALARY-at-pre-strict* [*simp*] : (*invalid*).*salary@pre* = *invalid*
by(*rule ext*, *simp add*: *null-fun-def null-option-def bot-option-def null-def invalid-def*)

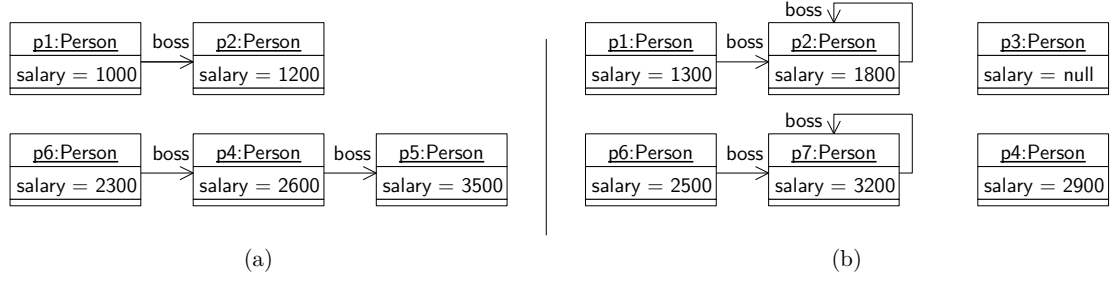


Figure 6.2.: (a) pre-state σ_1 and (b) post-state σ'_1 .

6.1.9. A Little Infra-structure on Example States

The example we are defining in this section comes from the figure 6.2.

```

definition OclInt1000 (1000) where OclInt1000 = ( $\lambda$  . .  $\llbracket 1000 \rrbracket$ )
definition OclInt1200 (1200) where OclInt1200 = ( $\lambda$  . .  $\llbracket 1200 \rrbracket$ )
definition OclInt1300 (1300) where OclInt1300 = ( $\lambda$  . .  $\llbracket 1300 \rrbracket$ )
definition OclInt1800 (1800) where OclInt1800 = ( $\lambda$  . .  $\llbracket 1800 \rrbracket$ )
definition OclInt2600 (2600) where OclInt2600 = ( $\lambda$  . .  $\llbracket 2600 \rrbracket$ )
definition OclInt2900 (2900) where OclInt2900 = ( $\lambda$  . .  $\llbracket 2900 \rrbracket$ )
definition OclInt3200 (3200) where OclInt3200 = ( $\lambda$  . .  $\llbracket 3200 \rrbracket$ )
definition OclInt3500 (3500) where OclInt3500 = ( $\lambda$  . .  $\llbracket 3500 \rrbracket$ )

```

```

definition oid0  $\equiv$  0
definition oid1  $\equiv$  1
definition oid2  $\equiv$  2
definition oid3  $\equiv$  3
definition oid4  $\equiv$  4
definition oid5  $\equiv$  5
definition oid6  $\equiv$  6
definition oid7  $\equiv$  7
definition oid8  $\equiv$  8

```

```

definition person1  $\equiv$  mkPerson oid0  $\llbracket 1300 \rrbracket$ 
definition person2  $\equiv$  mkPerson oid1  $\llbracket 1800 \rrbracket$ 
definition person3  $\equiv$  mkPerson oid2 None
definition person4  $\equiv$  mkPerson oid3  $\llbracket 2900 \rrbracket$ 
definition person5  $\equiv$  mkPerson oid4  $\llbracket 3500 \rrbracket$ 
definition person6  $\equiv$  mkPerson oid5  $\llbracket 2500 \rrbracket$ 
definition person7  $\equiv$  mkOclAny oid6  $\llbracket \llbracket 3200 \rrbracket \rrbracket$ 
definition person8  $\equiv$  mkOclAny oid7 None
definition person9  $\equiv$  mkPerson oid8  $\llbracket 0 \rrbracket$ 

```

definition

```

 $\sigma_1 \equiv \langle \text{heap} = \text{empty}(\text{oid0} \mapsto \text{inPerson}(\text{mkPerson } \text{oid0 } \llbracket 1000 \rrbracket))$ 
 $\quad (\text{oid1} \mapsto \text{inPerson}(\text{mkPerson } \text{oid1 } \llbracket 1200 \rrbracket))$ 
 $\quad (*\text{oid2}*)$ 

```

$(oid3 \mapsto in_{Person} (mk_{Person} oid3 \ [2600]))$
 $(oid4 \mapsto in_{Person} person5)$
 $(oid5 \mapsto in_{Person} (mk_{Person} oid5 \ [2300]))$
 $(*oid6*)$
 $(*oid7*)$
 $(oid8 \mapsto in_{Person} person9),$
 $assocs_2 = empty(oid_{Person} BOSS \mapsto [(oid0, oid1), (oid3, oid4), (oid5, oid3)]),$
 $assocs_3 = empty \]$

definition

$\sigma_1' \equiv \langle \text{heap} = empty(oid0 \mapsto in_{Person} person1)$
 $(oid1 \mapsto in_{Person} person2)$
 $(oid2 \mapsto in_{Person} person3)$
 $(oid3 \mapsto in_{Person} person4)$
 $(*oid4*)$
 $(oid5 \mapsto in_{Person} person6)$
 $(oid6 \mapsto in_{OclAny} person7)$
 $(oid7 \mapsto in_{OclAny} person8)$
 $(oid8 \mapsto in_{Person} person9),$
 $assocs_2 = empty(oid_{Person} BOSS \mapsto$
 $[(oid0, oid1), (oid1, oid1), (oid5, oid6), (oid6, oid6)]),$
 $assocs_3 = empty \ \rangle$

definition $\sigma_0 \equiv \langle \text{heap} = empty, assocs_2 = empty, assocs_3 = empty \ \rangle$

lemma *basic- τ -wff*: $WFF(\sigma_1, \sigma_1')$

by(*auto simp*: $WFF\text{-}def \ \sigma_1\text{-}def \ \sigma_1'\text{-}def$
 $oid0\text{-}def \ oid1\text{-}def \ oid2\text{-}def \ oid3\text{-}def \ oid4\text{-}def \ oid5\text{-}def \ oid6\text{-}def \ oid7\text{-}def \ oid8\text{-}def$
 $oid\text{-}of\text{-}\mathcal{A}\text{-}def \ oid\text{-}of\text{-}type_{Person}\text{-}def \ oid\text{-}of\text{-}type_{OclAny}\text{-}def$
 $person1\text{-}def \ person2\text{-}def \ person3\text{-}def \ person4\text{-}def$
 $person5\text{-}def \ person6\text{-}def \ person7\text{-}def \ person8\text{-}def \ person9\text{-}def$)

lemma [*simp, code-unfold*]: $dom(\text{heap } \sigma_1) = \{oid0, oid1, (*, oid2*)oid3, oid4, oid5(*, oid6, oid7*), oid8\}$
by(*auto simp*: $\sigma_1\text{-}def$)

lemma [*simp, code-unfold*]: $dom(\text{heap } \sigma_1') = \{oid0, oid1, oid2, oid3, (*, oid4*)oid5, oid6, oid7, oid8\}$
by(*auto simp*: $\sigma_1'\text{-}def$)

definition $X_{Person} 1 :: Person \equiv \lambda - . \llbracket person1 \rrbracket$

definition $X_{Person} 2 :: Person \equiv \lambda - . \llbracket person2 \rrbracket$

definition $X_{Person} 3 :: Person \equiv \lambda - . \llbracket person3 \rrbracket$

definition $X_{Person} 4 :: Person \equiv \lambda - . \llbracket person4 \rrbracket$

definition $X_{Person} 5 :: Person \equiv \lambda - . \llbracket person5 \rrbracket$

definition $X_{Person} 6 :: Person \equiv \lambda - . \llbracket person6 \rrbracket$

definition $X_{Person} 7 :: OclAny \equiv \lambda - . \llbracket person7 \rrbracket$

definition $X_{Person} 8 :: OclAny \equiv \lambda - . \llbracket person8 \rrbracket$

definition $X_{Person} 9 :: Person \equiv \lambda - . \llbracket person9 \rrbracket$

lemma [code-unfold]: $((x::Person) \doteq y) = StrictRefEqObject\ x\ y$ **by**(simp only: StrictRefEqObject-Person)

lemma [code-unfold]: $((x::OclAny) \doteq y) = StrictRefEqObject\ x\ y$ **by**(simp only: StrictRefEqObject-OclAny)

lemmas [simp,code-unfold] =

OclAsTypeOclAny-OclAny

OclAsTypeOclAny-Person

OclAsTypePerson-OclAny

OclAsTypePerson-Person

OclIsTypeOfOclAny-OclAny

OclIsTypeOfOclAny-Person

OclIsTypeOfPerson-OclAny

OclIsTypeOfPerson-Person

OclIsKindOfOclAny-OclAny

OclIsKindOfOclAny-Person

OclIsKindOfPerson-OclAny

OclIsKindOfPerson-Person

value $\bigwedge_{s_{pre}} \cdot (s_{pre}, \sigma_1') \models (X_{Person1} \text{.salary} <> \mathbf{1000})$
value $\bigwedge_{s_{pre}} \cdot (s_{pre}, \sigma_1') \models (X_{Person1} \text{.salary} \doteq \mathbf{1300})$
value $\bigwedge_{s_{post}} \cdot (\sigma_1, s_{post}) \models (X_{Person1} \text{.salary@pre} \doteq \mathbf{1000})$
value $\bigwedge_{s_{post}} \cdot (\sigma_1, s_{post}) \models (X_{Person1} \text{.salary@pre} <> \mathbf{1300})$

lemma $(\sigma_1, \sigma_1') \models (X_{Person1} \text{.oclIsMaintained}())$
by(simp add: OclValid-def OclIsMaintained-def
 σ_1 -def σ_1' -def
 $X_{Person1}$ -def person1-def
oid0-def oid1-def oid2-def oid3-def oid4-def oid5-def oid6-def
oid-of-option-def oid-of-typePerson-def)

lemma $\bigwedge_{s_{pre} s_{post}} \cdot (s_{pre}, s_{post}) \models ((X_{Person1} \text{.oclAsType}(OclAny) \text{.oclAsType}(Person)) \doteq X_{Person1})$

by(rule up-down-cast-Person-OclAny-Person', simp add: $X_{Person1}$ -def)

value $\bigwedge_{s_{pre} s_{post}} \cdot (s_{pre}, s_{post}) \models (X_{Person1} \text{.oclIsTypeOf}(Person))$

value $\bigwedge_{s_{pre} s_{post}} \cdot (s_{pre}, s_{post}) \models \text{not}(X_{Person1} \text{.oclIsTypeOf}(OclAny))$

value $\bigwedge_{s_{pre} s_{post}} \cdot (s_{pre}, s_{post}) \models (X_{Person1} \text{.oclIsKindOf}(Person))$

value $\bigwedge_{s_{pre} s_{post}} \cdot (s_{pre}, s_{post}) \models (X_{Person1} \text{.oclIsKindOf}(OclAny))$

value $\bigwedge_{s_{pre} s_{post}} \cdot (s_{pre}, s_{post}) \models \text{not}(X_{Person1} \text{.oclAsType}(OclAny) \text{.oclIsTypeOf}(OclAny))$

value $\bigwedge_{s_{pre}} \cdot (s_{pre}, \sigma_1') \models (X_{Person2} \text{.salary} \doteq \mathbf{1800})$

value $\bigwedge_{s_{post}} \cdot (\sigma_1, s_{post}) \models (X_{Person2} \text{.salary@pre} \doteq \mathbf{1200})$

lemma $(\sigma_1, \sigma_1') \models (X_{Person2} \text{.oclIsMaintained}())$

by(simp add: OclValid-def OclIsMaintained-def

σ_1 -def σ_1' -def

X_{Person2}-def person2-def
oid0-def oid1-def oid2-def oid3-def oid4-def oid5-def oid6-def
oid-of-option-def oid-of-type_{Person}-def)

value $\bigwedge_{s_{pre}} \cdot (s_{pre}, \sigma_1') \models (X_{Person3}.salary \doteq null)$
value $\bigwedge_{s_{post}} \cdot (\sigma_1, s_{post}) \models not(v(X_{Person3}.salary@pre))$
lemma $(\sigma_1, \sigma_1') \models (X_{Person3}.oclIsNew())$
by(*simp add: OclValid-def OclIsNew-def*
 σ_1 -def σ_1' -def
X_{Person3}-def person3-def
oid0-def oid1-def oid2-def oid3-def oid4-def oid5-def oid6-def oid8-def
oid-of-option-def oid-of-type_{Person}-def)

lemma $(\sigma_1, \sigma_1') \models (X_{Person4}.oclIsMaintained())$
by(*simp add: OclValid-def OclIsMaintained-def*
 σ_1 -def σ_1' -def
X_{Person4}-def person4-def
oid0-def oid1-def oid2-def oid3-def oid4-def oid5-def oid6-def
oid-of-option-def oid-of-type_{Person}-def)

value $\bigwedge_{s_{pre}} \cdot (s_{pre}, \sigma_1') \models not(v(X_{Person5}.salary))$
value $\bigwedge_{s_{post}} \cdot (\sigma_1, s_{post}) \models (X_{Person5}.salary@pre \doteq \mathbf{3500})$
lemma $(\sigma_1, \sigma_1') \models (X_{Person5}.oclIsDeleted())$
by(*simp add: OclNot-def OclValid-def OclIsDeleted-def*
 σ_1 -def σ_1' -def
X_{Person5}-def person5-def
oid0-def oid1-def oid2-def oid3-def oid4-def oid5-def oid6-def oid7-def oid8-def
oid-of-option-def oid-of-type_{Person}-def)

lemma $(\sigma_1, \sigma_1') \models (X_{Person6}.oclIsMaintained())$
by(*simp add: OclValid-def OclIsMaintained-def*
 σ_1 -def σ_1' -def
X_{Person6}-def person6-def
oid0-def oid1-def oid2-def oid3-def oid4-def oid5-def oid6-def
oid-of-option-def oid-of-type_{Person}-def)

value $\bigwedge_{s_{pre} s_{post}} \cdot (s_{pre}, s_{post}) \models v(X_{Person7}.oclAsType(Person))$
lemma $\bigwedge_{s_{pre} s_{post}} \cdot (s_{pre}, s_{post}) \models ((X_{Person7}.oclAsType(Person).oclAsType(OclAny)$
 $\quad \quad \quad .oclAsType(Person))$
 $\quad \quad \quad \doteq (X_{Person7}.oclAsType(Person)))$

by(rule up-down-cast-Person-OclAny-Person', simp add: X_{Person}7-def OclValid-def valid-def person7-def)

lemma $(\sigma_1, \sigma_1') \models (X_{Person\ 7} .oclIsNew())$

by(simp add: OclValid-def OclIsNew-def
 σ_1 -def σ_1' -def
X_{Person}7-def person7-def
oid0-def oid1-def oid2-def oid3-def oid4-def oid5-def oid6-def oid8-def
oid-of-option-def oid-of-type_{OclAny}-def)

value $\bigwedge_{s_{pre}\ s_{post}} (s_{pre}, s_{post}) \models (X_{Person\ 8} <> X_{Person\ 7})$
value $\bigwedge_{s_{pre}\ s_{post}} (s_{pre}, s_{post}) \models \text{not}(v(X_{Person\ 8} .oclAsType(Person)))$
value $\bigwedge_{s_{pre}\ s_{post}} (s_{pre}, s_{post}) \models (X_{Person\ 8} .oclIsTypeOf(OclAny))$
value $\bigwedge_{s_{pre}\ s_{post}} (s_{pre}, s_{post}) \models \text{not}(X_{Person\ 8} .oclIsTypeOf(Person))$
value $\bigwedge_{s_{pre}\ s_{post}} (s_{pre}, s_{post}) \models \text{not}(X_{Person\ 8} .oclIsKindOf(Person))$
value $\bigwedge_{s_{pre}\ s_{post}} (s_{pre}, s_{post}) \models (X_{Person\ 8} .oclIsKindOf(OclAny))$

lemma σ -modifiedonly: $(\sigma_1, \sigma_1') \models (\text{Set}\{ X_{Person\ 1} .oclAsType(OclAny)$
 $, X_{Person\ 2} .oclAsType(OclAny)$
 $(*, X_{Person\ 3} .oclAsType(OclAny)*)$
 $, X_{Person\ 4} .oclAsType(OclAny)$
 $(*, X_{Person\ 5} .oclAsType(OclAny)*)$
 $, X_{Person\ 6} .oclAsType(OclAny)$
 $(*, X_{Person\ 7} .oclAsType(OclAny)*)$
 $(*, X_{Person\ 8} .oclAsType(OclAny)*)$
 $(*, X_{Person\ 9} .oclAsType(OclAny)*)\} \rightarrow \text{oclIsModifiedOnly}())$

apply(simp add: OclIsModifiedOnly-def OclValid-def
oid0-def oid1-def oid2-def oid3-def oid4-def oid5-def oid6-def oid7-def oid8-def
X_{Person}1-def X_{Person}2-def X_{Person}3-def X_{Person}4-def
X_{Person}5-def X_{Person}6-def X_{Person}7-def X_{Person}8-def X_{Person}9-def
person1-def person2-def person3-def person4-def
person5-def person6-def person7-def person8-def person9-def
image-def)

apply(simp add: OclIncluding-rep-set mtSet-rep-set null-option-def bot-option-def)

apply(simp add: oid-of-option-def oid-of-type_{OclAny}-def, clarsimp)

apply(simp add: σ_1 -def σ_1' -def
oid0-def oid1-def oid2-def oid3-def oid4-def oid5-def oid6-def oid7-def oid8-def)

done

lemma $(\sigma_1, \sigma_1') \models ((X_{Person\ 9} @pre (\lambda x. \lfloor OclAsType_{Person} \mathfrak{A} x \rfloor)) \triangleq X_{Person\ 9})$

by(simp add: OclSelf-at-pre-def σ_1 -def oid-of-option-def oid-of-type_{Person}-def
X_{Person}9-def person9-def oid8-def OclValid-def StrongEq-def OclAsType_{Person}- \mathfrak{A} -def)

lemma $(\sigma_1, \sigma_1') \models ((X_{Person\ 9} @post (\lambda x. \lfloor OclAsType_{Person} \mathfrak{A} x \rfloor)) \triangleq X_{Person\ 9})$

by(simp add: OclSelf-at-post-def σ_1' -def oid-of-option-def oid-of-type_{Person}-def
X_{Person}9-def person9-def oid8-def OclValid-def StrongEq-def OclAsType_{Person}- \mathfrak{A} -def)

lemma $(\sigma_1, \sigma_1') \models (((X_{Person\ 9} .oclAsType(OclAny)) @pre (\lambda x. \lfloor OclAsType_{OclAny} \mathfrak{A} x \rfloor)) \triangleq$


```

    ((XPerson9 .oclAsType(OclAny)) @post (λx. [OclAsTypeOclAny- $\mathfrak{A}$  x]))
proof –
  have including4 :  $\bigwedge a\ b\ c\ d\ \tau.$ 
    Set{λτ. [[a]], λτ. [[b]], λτ. [[c]], λτ. [[d]]} τ = Abs-Set-0 [[ {[[a]], [[b]], [[c]],
    [[d]]} ]]
  apply(subst abs-rep-simp'[symmetric], simp)
  by(simp add: OclIncluding-rep-set mtSet-rep-set)

  have excluding1:  $\bigwedge S\ a\ b\ c\ d\ e\ \tau.$ 
    (λτ. Abs-Set-0 [[ {[[a]], [[b]], [[c]], [[d]]} ]]) → excluding(λτ. [[e]]) τ =
    Abs-Set-0 [[ {[[a]], [[b]], [[c]], [[d]]} - {[[e]]} ]]
  apply(simp add: OclExcluding-def)
  apply(simp add: defined-def OclValid-def false-def true-def
    bot-fun-def bot-Set-0-def null-fun-def null-Set-0-def)
  apply(rule conjI)
  apply(rule impI, subst (asm) Abs-Set-0-inject) apply( simp add: bot-option-def)+
  apply(rule conjI)
  apply(rule impI, subst (asm) Abs-Set-0-inject) apply( simp add: bot-option-def
    null-option-def)+
  apply(subst Abs-Set-0-inverse, simp add: bot-option-def, simp)
done

show ?thesis
  apply(rule framing[where X = Set{ XPerson1 .oclAsType(OclAny)
    , XPerson2 .oclAsType(OclAny)
    (*, XPerson3 .oclAsType(OclAny)*)
    , XPerson4 .oclAsType(OclAny)
    (*, XPerson5 .oclAsType(OclAny)*)
    , XPerson6 .oclAsType(OclAny)
    (*, XPerson7 .oclAsType(OclAny)*)
    (*, XPerson8 .oclAsType(OclAny)*)
    (*, XPerson9 .oclAsType(OclAny)*)}])
  apply(cut-tac σ-modifiedonly)
  apply(simp only: OclValid-def
    XPerson1-def XPerson2-def XPerson3-def XPerson4-def
    XPerson5-def XPerson6-def XPerson7-def XPerson8-def XPerson9-def
    person1-def person2-def person3-def person4-def
    person5-def person6-def person7-def person8-def person9-def
    OclAsTypeOclAny-Person)
  apply(subst cp-OclIsModifiedOnly, subst cp-OclExcluding,
    subst (asm) cp-OclIsModifiedOnly, simp add: including4 excluding1)

  apply(simp only: XPerson1-def XPerson2-def XPerson3-def XPerson4-def
    XPerson5-def XPerson6-def XPerson7-def XPerson8-def XPerson9-def
    person1-def person2-def person3-def person4-def
    person5-def person6-def person7-def person8-def person9-def)
  apply(simp add: OclIncluding-rep-set mtSet-rep-set
    oid0-def oid1-def oid2-def oid3-def oid4-def oid5-def oid6-def oid7-def oid8-def)

```

```

apply(simp add: StrictRefEqObject-def oid-of-option-def oid-of-typeOclAny-def OclNot-def
OclValid-def
      null-option-def bot-option-def)
done
qed

```

```

lemma perm- $\sigma_1'$  :  $\sigma_1' = ()$  heap = empty
      (oid8  $\mapsto$  inPerson person9)
      (oid7  $\mapsto$  inOclAny person8)
      (oid6  $\mapsto$  inOclAny person7)
      (oid5  $\mapsto$  inPerson person6)
      (*oid4*)
      (oid3  $\mapsto$  inPerson person4)
      (oid2  $\mapsto$  inPerson person3)
      (oid1  $\mapsto$  inPerson person2)
      (oid0  $\mapsto$  inPerson person1)
      , assocs2 = assocs2  $\sigma_1'$ 
      , assocs3 = assocs3  $\sigma_1'$ 

```

proof –

note $P = \text{fun-upd-twist}$

show ?thesis

```

apply(simp add:  $\sigma_1'$ -def
      oid0-def oid1-def oid2-def oid3-def oid4-def oid5-def oid6-def oid7-def oid8-def)
apply(subst (1) P, simp)
apply(subst (2) P, simp) apply(subst (1) P, simp)
apply(subst (3) P, simp) apply(subst (2) P, simp) apply(subst (1) P, simp)
apply(subst (4) P, simp) apply(subst (3) P, simp) apply(subst (2) P, simp) apply(subst
(1) P, simp)
apply(subst (5) P, simp) apply(subst (4) P, simp) apply(subst (3) P, simp) apply(subst
(2) P, simp) apply(subst (1) P, simp)
apply(subst (6) P, simp) apply(subst (5) P, simp) apply(subst (4) P, simp) apply(subst
(3) P, simp) apply(subst (2) P, simp) apply(subst (1) P, simp)
apply(subst (7) P, simp) apply(subst (6) P, simp) apply(subst (5) P, simp) apply(subst
(4) P, simp) apply(subst (3) P, simp) apply(subst (2) P, simp) apply(subst (1) P, simp)
by(simp)
qed

```

declare const-ss [simp]

lemma $\bigwedge \sigma_1.$

$(\sigma_1, \sigma_1') \models (\text{Person} . \text{allInstances}() \doteq \text{Set}\{ X_{\text{Person}1}, X_{\text{Person}2}, X_{\text{Person}3}, X_{\text{Person}4}(*, X_{\text{Person}5*}), X_{\text{Person}6}, X_{\text{Person}7} . \text{oclAsType}(\text{Person})(*, X_{\text{Person}8*}), X_{\text{Person}9} \})$

```

apply(subst perm- $\sigma_1'$ )
apply(simp only: oid0-def oid1-def oid2-def oid3-def oid4-def oid5-def oid6-def oid7-def oid8-def
      XPerson1-def XPerson2-def XPerson3-def XPerson4-def
      XPerson5-def XPerson6-def XPerson7-def XPerson8-def XPerson9-def
      person7-def)
apply(subst state-update-vs-allInstances-at-post-tc, simp, simp add: OclAsTypePerson- $\mathcal{A}$ -def,

```

simp, rule *const-StrictRefEqSet-including*, *simp*, *simp*, *simp*, rule *OclIncluding-cong*, *simp*, *simp*)

apply(subst *state-update-vs-allInstances-at-post-tc*, *simp*, *simp* add: *OclAsTypePerson-A-def*, *simp*, rule *const-StrictRefEqSet-including*, *simp*, *simp*, *simp*, rule *OclIncluding-cong*, *simp*, *simp*)

apply(subst *state-update-vs-allInstances-at-post-tc*, *simp*, *simp* add: *OclAsTypePerson-A-def*, *simp*, rule *const-StrictRefEqSet-including*, *simp*, *simp*, *simp*, rule *OclIncluding-cong*, *simp*, *simp*)

apply(subst *state-update-vs-allInstances-at-post-tc*, *simp*, *simp* add: *OclAsTypePerson-A-def*, *simp*, rule *const-StrictRefEqSet-including*, *simp*, *simp*, *simp*, rule *OclIncluding-cong*, *simp*, *simp*)

apply(subst *state-update-vs-allInstances-at-post-tc*, *simp*, *simp* add: *OclAsTypePerson-A-def*, *simp*, rule *const-StrictRefEqSet-including*, *simp*, *simp*, *simp*, rule *OclIncluding-cong*, *simp*, *simp*)

apply(subst *state-update-vs-allInstances-at-post-tc*, *simp*, *simp* add: *OclAsTypePerson-A-def*, *simp*, rule *const-StrictRefEqSet-including*, *simp*, *simp*, *simp*, rule *OclIncluding-cong*, *simp*, *simp*)

apply(subst *state-update-vs-allInstances-at-post-ntc*, *simp*, *simp* add: *OclAsTypePerson-A-def*

person8-def, *simp*, rule

const-StrictRefEqSet-including, *simp*, *simp*, *simp*)

apply(subst *state-update-vs-allInstances-at-post-tc*, *simp*, *simp* add: *OclAsTypePerson-A-def*, *simp*, rule *const-StrictRefEqSet-including*, *simp*, *simp*, *simp*, rule *OclIncluding-cong*, *simp*, *simp*)

apply(rule *state-update-vs-allInstances-at-post-empty*)

by(*simp-all* add: *OclAsTypePerson-A-def*)

lemma $\wedge \sigma_1$.

$(\sigma_1, \sigma_1') \models (\text{OclAny} . \text{allInstances}() \doteq \text{Set}\{ X_{\text{Person}1} . \text{oclAsType}(\text{OclAny}), X_{\text{Person}2} . \text{oclAsType}(\text{OclAny}),$

$X_{\text{Person}3} . \text{oclAsType}(\text{OclAny}), X_{\text{Person}4} . \text{oclAsType}(\text{OclAny})$
 $(*, X_{\text{Person}5}*), X_{\text{Person}6} . \text{oclAsType}(\text{OclAny}),$
 $X_{\text{Person}7}, X_{\text{Person}8}, X_{\text{Person}9} . \text{oclAsType}(\text{OclAny}) \})$

apply(subst *perm- σ_1'*)

apply(*simp only: oid0-def oid1-def oid2-def oid3-def oid4-def oid5-def oid6-def oid7-def oid8-def*

XPerson1-def XPerson2-def XPerson3-def XPerson4-def XPerson5-def
XPerson6-def XPerson7-def XPerson8-def XPerson9-def

person1-def person2-def person3-def person4-def person5-def person6-def person9-def)

apply(subst *state-update-vs-allInstances-at-post-tc*, *simp*, *simp* add: *OclAsTypeOclAny-A-def*, *simp*, rule *const-StrictRefEqSet-including*, *simp*, *simp*, *simp*, rule *OclIncluding-cong*, *simp*, *simp*) +

apply(rule *state-update-vs-allInstances-at-post-empty*)

by(*simp-all* add: *OclAsTypeOclAny-A-def*)

end

6.2. The Employee Analysis Model (OCL)

```
theory
  Employee-AnalysisModel-OCLPart
imports
  Employee-AnalysisModel-UMLPart
begin
```

6.2.1. Standard State Infrastructure

Ideally, these definitions are automatically generated from the class model.

6.2.2. Invariant

These recursive predicates can be defined conservatively by greatest fix-point constructions—automatically. See [4, 6] for details. For the purpose of this example, we state them as axioms here.

```
axiomatization inv-Person :: Person  $\Rightarrow$  Boolean
where A : ( $\tau \models (\delta \text{ self})$ )  $\longrightarrow$ 
  ( $\tau \models \text{inv-Person}(\text{self})$ ) =
    (( $\tau \models (\text{self}.\text{boss} \doteq \text{null})$ )  $\vee$ 
     ( $\tau \models (\text{self}.\text{boss} \neq \text{null}) \wedge (\tau \models ((\text{self}.\text{salary} \leq \text{self}.\text{boss}.\text{salary})) \wedge$ 
      ( $\tau \models (\text{inv-Person}(\text{self}.\text{boss}))$ ))))
```

```
axiomatization inv-Person-at-pre :: Person  $\Rightarrow$  Boolean
where B : ( $\tau \models (\delta \text{ self})$ )  $\longrightarrow$ 
  ( $\tau \models \text{inv-Person-at-pre}(\text{self})$ ) =
    (( $\tau \models (\text{self}.\text{boss@pre} \doteq \text{null})$ )  $\vee$ 
     ( $\tau \models (\text{self}.\text{boss@pre} \neq \text{null}) \wedge$ 
      ( $\tau \models (\text{self}.\text{boss@pre}.\text{salary@pre} \leq \text{self}.\text{salary@pre}) \wedge$ 
      ( $\tau \models (\text{inv-Person-at-pre}(\text{self}.\text{boss@pre}))$ ))))
```

A very first attempt to characterize the axiomatization by an inductive definition - this can not be the last word since too weak (should be equality!)

```
coinductive inv :: Person  $\Rightarrow$  ( $\mathcal{A}$ )st  $\Rightarrow$  bool where
  ( $\tau \models (\delta \text{ self})$ )  $\implies$  (( $\tau \models (\text{self}.\text{boss} \doteq \text{null})$ )  $\vee$ 
    ( $\tau \models (\text{self}.\text{boss} \neq \text{null}) \wedge (\tau \models (\text{self}.\text{boss}.\text{salary} \leq \text{self}.\text{salary})) \wedge$ 
    ( $(\text{inv}(\text{self}.\text{boss}))\tau$ )))
   $\implies$  ( inv self  $\tau$  )
```

6.2.3. The Contract of a Recursive Query

The original specification of a recursive query :

```
context Person::contents():Set(Integer)
post:  result = if self.boss = null
         then Set{i}
```

```

else self.boss.contents()->including(i)
endif

```

consts *dot-contents* :: *Person* \Rightarrow *Set-Integer* ((1(-).contents'()) 50)

axiomatization where *dot-contents-def*:

```

( $\tau \models ((self).contents() \triangleq result)$ ) =
  (if ( $\delta self$ )  $\tau = true$   $\tau$ 
    then ( $\tau \models true$ )  $\wedge$ 
      ( $\tau \models (result \triangleq if (self.boss \doteq null)$ 
        then (Set{self.salary})
        else (self.boss.contents()->including(self.salary))
        endif)))
    else  $\tau \models result \triangleq invalid$ )

```

consts *dot-contents-AT-pre* :: *Person* \Rightarrow *Set-Integer* ((1(-).contents@pre'()) 50)

axiomatization where *dot-contents-AT-pre-def*:

```

( $\tau \models (self).contents@pre() \triangleq result$ ) =
  (if ( $\delta self$ )  $\tau = true$   $\tau$ 
    then  $\tau \models true$   $\wedge$ 
      ( $\tau \models (result \triangleq if (self).boss@pre \doteq null$  (* pre *)
        then Set{(self).salary@pre}
        else (self).boss@pre.contents@pre()->including(self.salary@pre)
        endif)
      (* post *)
    else  $\tau \models result \triangleq invalid$ )

```

These @pre variants on methods are only available on queries, i. e., operations without side-effect.

6.2.4. The Contract of a Method

The specification in high-level OCL input syntax reads as follows:

```

context Person::insert(x:Integer)
post: contents():Set(Integer)
contents() = contents@pre()->including(x)

```

consts *dot-insert* :: *Person* \Rightarrow *Integer* \Rightarrow *Void* ((1(-).insert'(-)) 50)

axiomatization where *dot-insert-def*:

```

( $\tau \models ((self).insert(x) \triangleq result)$ ) =
  (if ( $\delta self$ )  $\tau = true$   $\tau \wedge (v x) \tau = true$   $\tau$ 
    then  $\tau \models true$   $\wedge$ 
      ( $\tau \models ((self).contents() \triangleq (self).contents@pre()->including(x))$ 
    else  $\tau \models ((self).insert(x) \triangleq invalid)$ )

```

end

7. The Employee Design Model

7.1. The Employee Design Model (UML)

```
theory
  Employee-DesignModel-UMLPart
imports
  ../OCL-main
begin
```

7.1.1. Introduction

For certain concepts like classes and class-types, only a generic definition for its resulting semantics can be given. Generic means, there is a function outside HOL that “compiles” a concrete, closed-world class diagram into a “theory” of this data model, consisting of a bunch of definitions for classes, accessors, method, casts, and tests for actual types, as well as proofs for the fundamental properties of these operations in this concrete data model.

Such generic function or “compiler” can be implemented in Isabelle on the ML level. This has been done, for a semantics following the open-world assumption, for UML 2.0 in [4, 7]. In this paper, we follow another approach for UML 2.4: we define the concepts of the compilation informally, and present a concrete example which is verified in Isabelle/HOL.

Outlining the Example

We are presenting here a “design-model” of the (slightly modified) example Figure 7.3, page 20 of the OCL standard [33]. To be precise, this theory contains the formalization of the data-part covered by the UML class model (see Figure 7.1):

This means that the association (attached to the association class **EmployeeRanking**) with the association ends **boss** and **employees** is implemented by the attribute **boss** and the operation **employees** (to be discussed in the OCL part captured by the subsequent theory).

7.1.2. Example Data-Universe and its Infrastructure

Ideally, the following is generated automatically from a UML class model.


```

instantiation typePerson :: object
begin
  definition oid-of-typePerson-def: oid-of x = (case x of mkPerson oid - -  $\Rightarrow$  oid)
  instance ..
end

instantiation typeOclAny :: object
begin
  definition oid-of-typeOclAny-def: oid-of x = (case x of mkOclAny oid - -  $\Rightarrow$  oid)
  instance ..
end

instantiation  $\mathcal{A}$  :: object
begin
  definition oid-of-A-def: oid-of x = (case x of
                                     inPerson person  $\Rightarrow$  oid-of person
                                     | inOclAny oclany  $\Rightarrow$  oid-of oclany)
  instance ..
end

```

7.1.3. Instantiation of the Generic Strict Equality

We instantiate the referential equality on *Person* and *OclAny*

```

defs(overloaded)   StrictRefEqObject-Person   : (x::Person)  $\doteq$  y  $\equiv$  StrictRefEqObject x y
defs(overloaded)   StrictRefEqObject-OclAny    : (x::OclAny)  $\doteq$  y  $\equiv$  StrictRefEqObject x y

```

lemmas

```

cp-StrictRefEqObject [of x::Person y::Person  $\tau$ ,
                      simplified StrictRefEqObject-Person [symmetric]]
cp-intro(9)          [of P::Person  $\Rightarrow$  PersonQ::Person  $\Rightarrow$  Person,
                      simplified StrictRefEqObject-Person [symmetric] ]
StrictRefEqObject-def [of x::Person y::Person,
                      simplified StrictRefEqObject-Person [symmetric]]
StrictRefEqObject-defargs [of - x::Person y::Person,
                      simplified StrictRefEqObject-Person [symmetric]]
StrictRefEqObject-strict1
  [of x::Person,
   simplified StrictRefEqObject-Person [symmetric]]
StrictRefEqObject-strict2
  [of x::Person,
   simplified StrictRefEqObject-Person [symmetric]]

```

For each Class *C*, we will have a casting operation *.oclAsType*(*C*), a test on the actual type *.oclIsTypeOf*(*C*) as well as its relaxed form *.oclIsKindOf*(*C*) (corresponding exactly to Java's *instanceof*-operator).

Thus, since we have two class-types in our concrete class hierarchy, we have two operations to declare and to provide two overloading definitions for the two static types.

7.1.4. OclAsType

Definition

consts $OclAsType_{OclAny} :: 'α \Rightarrow OclAny \ ((-) .oclAsType' (OclAny'))$
consts $OclAsType_{Person} :: 'α \Rightarrow Person \ ((-) .oclAsType' (Person'))$

definition $OclAsType_{OclAny}\text{-}\mathfrak{A} = (\lambda u. \text{case } u \text{ of } in_{OclAny} \ a \Rightarrow a \mid in_{Person} \ (mk_{Person} \ oid \ a \ b) \Rightarrow mk_{OclAny} \ oid \ [(a,b)]])$

lemma $OclAsType_{OclAny}\text{-}\mathfrak{A}\text{-}some$: $OclAsType_{OclAny}\text{-}\mathfrak{A} \ x \neq None$
by(*simp add: OclAsType_{OclAny}\text{-}\mathfrak{A}\text{-}def*)

defs (overloaded) $OclAsType_{OclAny}\text{-}OclAny$:
 $(X :: OclAny) .oclAsType(OclAny) \equiv X$

defs (overloaded) $OclAsType_{OclAny}\text{-}Person$:
 $(X :: Person) .oclAsType(OclAny) \equiv$
 $(\lambda \tau. \text{case } X \ \tau \text{ of}$
 $\quad \perp \Rightarrow invalid \ \tau$
 $\quad | \perp \Rightarrow null \ \tau$
 $\quad | [(mk_{Person} \ oid \ a \ b)] \Rightarrow [(mk_{OclAny} \ oid \ [(a,b)])])$

definition $OclAsType_{Person}\text{-}\mathfrak{A} = (\lambda u. \text{case } u \text{ of } in_{Person} \ p \Rightarrow [p] \mid in_{OclAny} \ (mk_{OclAny} \ oid \ [(a,b)]) \Rightarrow [mk_{Person} \ oid \ a \ b] \mid - \Rightarrow None)$

defs (overloaded) $OclAsType_{Person}\text{-}OclAny$:
 $(X :: OclAny) .oclAsType(Person) \equiv$
 $(\lambda \tau. \text{case } X \ \tau \text{ of}$
 $\quad \perp \Rightarrow invalid \ \tau$
 $\quad | \perp \Rightarrow null \ \tau$
 $\quad | [(mk_{OclAny} \ oid \ \perp)] \Rightarrow invalid \ \tau \quad (* \text{down-cast exception} *)$
 $\quad | [(mk_{OclAny} \ oid \ [(a,b)])] \Rightarrow [(mk_{Person} \ oid \ a \ b)])$

defs (overloaded) $OclAsType_{Person}\text{-}Person$:
 $(X :: Person) .oclAsType(Person) \equiv X$

lemmas [*simp*] =
 $OclAsType_{OclAny}\text{-}OclAny$
 $OclAsType_{Person}\text{-}Person$

Context Passing

lemma $cp\text{-}OclAsType_{OclAny}\text{-}Person\text{-}Person$: $cp \ P \Longrightarrow cp(\lambda X. (P \ (X :: Person)) :: Person) .oclAsType(OclAny))$
by(*rule cpI1, simp-all add: OclAsType_{OclAny}\text{-}Person*)
lemma $cp\text{-}OclAsType_{OclAny}\text{-}OclAny\text{-}OclAny$: $cp \ P \Longrightarrow cp(\lambda X. (P \ (X :: OclAny)) :: OclAny) .oclAsType(OclAny))$

```

by(rule cpI1, simp-all add: OclAsTypeOclAny-OclAny)
lemma cp-OclAsTypePerson-Person-Person: cp P  $\implies$  cp( $\lambda X. (P (X::Person)::Person)$ 
  .oclAsType(Person))
by(rule cpI1, simp-all add: OclAsTypePerson-Person)
lemma cp-OclAsTypePerson-OclAny-OclAny: cp P  $\implies$  cp( $\lambda X. (P (X::OclAny)::OclAny)$ 
  .oclAsType(Person))
by(rule cpI1, simp-all add: OclAsTypePerson-OclAny)

lemma cp-OclAsTypeOclAny-Person-OclAny: cp P  $\implies$  cp( $\lambda X. (P (X::Person)::OclAny)$ 
  .oclAsType(OclAny))
by(rule cpI1, simp-all add: OclAsTypeOclAny-OclAny)
lemma cp-OclAsTypeOclAny-OclAny-Person: cp P  $\implies$  cp( $\lambda X. (P (X::OclAny)::Person)$ 
  .oclAsType(OclAny))
by(rule cpI1, simp-all add: OclAsTypeOclAny-Person)
lemma cp-OclAsTypePerson-Person-OclAny: cp P  $\implies$  cp( $\lambda X. (P (X::Person)::OclAny)$ 
  .oclAsType(Person))
by(rule cpI1, simp-all add: OclAsTypePerson-OclAny)
lemma cp-OclAsTypePerson-OclAny-Person: cp P  $\implies$  cp( $\lambda X. (P (X::OclAny)::Person)$ 
  .oclAsType(Person))
by(rule cpI1, simp-all add: OclAsTypePerson-Person)

lemmas [simp] =
  cp-OclAsTypeOclAny-Person-Person
  cp-OclAsTypeOclAny-OclAny-OclAny
  cp-OclAsTypePerson-Person-Person
  cp-OclAsTypePerson-OclAny-OclAny

  cp-OclAsTypeOclAny-Person-OclAny
  cp-OclAsTypeOclAny-OclAny-Person
  cp-OclAsTypePerson-Person-OclAny
  cp-OclAsTypePerson-OclAny-Person

```

Execution with Invalid or Null as Argument

```

lemma OclAsTypeOclAny-OclAny-strict : (invalid::OclAny) .oclAsType(OclAny) = invalid
by(simp)

lemma OclAsTypeOclAny-OclAny-nullstrict : (null::OclAny) .oclAsType(OclAny) = null
by(simp)

lemma OclAsTypeOclAny-Person-strict[simp] : (invalid::Person) .oclAsType(OclAny) = invalid
by(rule ext, simp add: bot-option-def invalid-def
  OclAsTypeOclAny-Person)

lemma OclAsTypeOclAny-Person-nullstrict[simp] : (null::Person) .oclAsType(OclAny) = null
by(rule ext, simp add: null-fun-def null-option-def bot-option-def
  OclAsTypeOclAny-Person)

lemma OclAsTypePerson-OclAny-strict[simp] : (invalid::OclAny) .oclAsType(Person) = invalid

```

by(*rule ext, simp add: bot-option-def invalid-def*
OclAsType_{Person}-OclAny)

lemma *OclAsType_{Person}-OclAny-nullstrict*[*simp*] : (*null::OclAny*) .*oclAsType*(*Person*) = *null*
by(*rule ext, simp add: null-fun-def null-option-def bot-option-def*
OclAsType_{Person}-OclAny)

lemma *OclAsType_{Person}-Person-strict* : (*invalid::Person*) .*oclAsType*(*Person*) = *invalid*
by(*simp*)

lemma *OclAsType_{Person}-Person-nullstrict* : (*null::Person*) .*oclAsType*(*Person*) = *null*
by(*simp*)

7.1.5. OclIsTypeOf

Definition

consts *OclIsTypeOf_{OclAny}* :: ' α \Rightarrow Boolean ((-).*oclIsTypeOf* '(*OclAny*'))
consts *OclIsTypeOf_{Person}* :: ' α \Rightarrow Boolean ((-).*oclIsTypeOf* '(*Person*'))

defs (**overloaded**) *OclIsTypeOf_{OclAny}-OclAny*:
 (*X::OclAny*) .*oclIsTypeOf*(*OclAny*) \equiv
 ($\lambda\tau$. *case X* τ of
 $\perp \Rightarrow$ *invalid* τ
 | $\lfloor \perp \rfloor \Rightarrow$ *true* τ (* *invalid* ?? *)
 | $\lfloor \text{mk}_{OclAny} \text{oid } \perp \rfloor \Rightarrow$ *true* τ
 | $\lfloor \text{mk}_{OclAny} \text{oid } [-] \rfloor \Rightarrow$ *false* τ)

defs (**overloaded**) *OclIsTypeOf_{OclAny}-Person*:
 (*X::Person*) .*oclIsTypeOf*(*OclAny*) \equiv
 ($\lambda\tau$. *case X* τ of
 $\perp \Rightarrow$ *invalid* τ
 | $\lfloor \perp \rfloor \Rightarrow$ *true* τ (* *invalid* ?? *)
 | $\lfloor [-] \rfloor \Rightarrow$ *false* τ)

defs (**overloaded**) *OclIsTypeOf_{Person}-OclAny*:
 (*X::OclAny*) .*oclIsTypeOf*(*Person*) \equiv
 ($\lambda\tau$. *case X* τ of
 $\perp \Rightarrow$ *invalid* τ
 | $\lfloor \perp \rfloor \Rightarrow$ *true* τ
 | $\lfloor \text{mk}_{OclAny} \text{oid } \perp \rfloor \Rightarrow$ *false* τ
 | $\lfloor \text{mk}_{OclAny} \text{oid } [-] \rfloor \Rightarrow$ *true* τ)

defs (**overloaded**) *OclIsTypeOf_{Person}-Person*:
 (*X::Person*) .*oclIsTypeOf*(*Person*) \equiv
 ($\lambda\tau$. *case X* τ of
 $\perp \Rightarrow$ *invalid* τ
 | $- \Rightarrow$ *true* τ)

Context Passing

lemma $cp\text{-}OclIsTypeOf_{OclAny}\text{-}Person\text{-}Person:$ $cp(\lambda X.(P(X::Person)::Person).oclIsTypeOf(OclAny))$ by (rule $cpI1$, simp-all add: $OclIsTypeOf_{OclAny}\text{-}Person$)	cp	P	\Rightarrow
lemma $cp\text{-}OclIsTypeOf_{OclAny}\text{-}OclAny\text{-}OclAny:$ $cp(\lambda X.(P(X::OclAny)::OclAny).oclIsTypeOf(OclAny))$ by (rule $cpI1$, simp-all add: $OclIsTypeOf_{OclAny}\text{-}OclAny$)	cp	P	\Rightarrow
lemma $cp\text{-}OclIsTypeOf_{Person}\text{-}Person\text{-}Person:$ $cp(\lambda X.(P(X::Person)::Person).oclIsTypeOf(Person))$ by (rule $cpI1$, simp-all add: $OclIsTypeOf_{Person}\text{-}Person$)	cp	P	\Rightarrow
lemma $cp\text{-}OclIsTypeOf_{Person}\text{-}OclAny\text{-}OclAny:$ $cp(\lambda X.(P(X::OclAny)::OclAny).oclIsTypeOf(Person))$ by (rule $cpI1$, simp-all add: $OclIsTypeOf_{Person}\text{-}OclAny$)	cp	P	\Rightarrow
lemma $cp\text{-}OclIsTypeOf_{OclAny}\text{-}Person\text{-}OclAny:$ $cp(\lambda X.(P(X::Person)::OclAny).oclIsTypeOf(OclAny))$ by (rule $cpI1$, simp-all add: $OclIsTypeOf_{OclAny}\text{-}OclAny$)	cp	P	\Rightarrow
lemma $cp\text{-}OclIsTypeOf_{OclAny}\text{-}OclAny\text{-}Person:$ $cp(\lambda X.(P(X::OclAny)::Person).oclIsTypeOf(OclAny))$ by (rule $cpI1$, simp-all add: $OclIsTypeOf_{OclAny}\text{-}Person$)	cp	P	\Rightarrow
lemma $cp\text{-}OclIsTypeOf_{Person}\text{-}Person\text{-}OclAny:$ $cp(\lambda X.(P(X::Person)::OclAny).oclIsTypeOf(Person))$ by (rule $cpI1$, simp-all add: $OclIsTypeOf_{Person}\text{-}OclAny$)	cp	P	\Rightarrow
lemma $cp\text{-}OclIsTypeOf_{Person}\text{-}OclAny\text{-}Person:$ $cp(\lambda X.(P(X::OclAny)::Person).oclIsTypeOf(Person))$ by (rule $cpI1$, simp-all add: $OclIsTypeOf_{Person}\text{-}Person$)	cp	P	\Rightarrow
lemmas $[simp] =$ $cp\text{-}OclIsTypeOf_{OclAny}\text{-}Person\text{-}Person$ $cp\text{-}OclIsTypeOf_{OclAny}\text{-}OclAny\text{-}OclAny$ $cp\text{-}OclIsTypeOf_{Person}\text{-}Person\text{-}Person$ $cp\text{-}OclIsTypeOf_{Person}\text{-}OclAny\text{-}OclAny$ $cp\text{-}OclIsTypeOf_{OclAny}\text{-}Person\text{-}OclAny$ $cp\text{-}OclIsTypeOf_{OclAny}\text{-}OclAny\text{-}Person$ $cp\text{-}OclIsTypeOf_{Person}\text{-}Person\text{-}OclAny$ $cp\text{-}OclIsTypeOf_{Person}\text{-}OclAny\text{-}Person$			

Execution with Invalid or Null as Argument

lemma $OclIsTypeOf_{OclAny}\text{-}OclAny\text{-}strict1[simp]:$ $(invalid::OclAny).oclIsTypeOf(OclAny) = invalid$ by (rule ext, simp add: null-fun-def null-option-def bot-option-def null-def invalid-def $OclIsTypeOf_{OclAny}\text{-}OclAny$)			
lemma $OclIsTypeOf_{OclAny}\text{-}OclAny\text{-}strict2[simp]:$ $(null::OclAny).oclIsTypeOf(OclAny) = true$ by (rule ext, simp add: null-fun-def null-option-def bot-option-def null-def invalid-def $OclIsTypeOf_{OclAny}\text{-}OclAny$)			

```

lemma OclIsTypeOfOclAny-Person-strict1[simp]:
  (invalid::Person) .oclIsTypeOf(OclAny) = invalid
by(rule ext, simp add: null-fun-def null-option-def bot-option-def null-def invalid-def
    OclIsTypeOfOclAny-Person)
lemma OclIsTypeOfOclAny-Person-strict2[simp]:
  (null::Person) .oclIsTypeOf(OclAny) = true
by(rule ext, simp add: null-fun-def null-option-def bot-option-def null-def invalid-def
    OclIsTypeOfOclAny-Person)
lemma OclIsTypeOfPerson-OclAny-strict1[simp]:
  (invalid::OclAny) .oclIsTypeOf(Person) = invalid
by(rule ext, simp add: null-fun-def null-option-def bot-option-def null-def invalid-def
    OclIsTypeOfPerson-OclAny)
lemma OclIsTypeOfPerson-OclAny-strict2[simp]:
  (null::OclAny) .oclIsTypeOf(Person) = true
by(rule ext, simp add: null-fun-def null-option-def bot-option-def null-def invalid-def
    OclIsTypeOfPerson-OclAny)
lemma OclIsTypeOfPerson-Person-strict1[simp]:
  (invalid::Person) .oclIsTypeOf(Person) = invalid
by(rule ext, simp add: null-fun-def null-option-def bot-option-def null-def invalid-def
    OclIsTypeOfPerson-Person)
lemma OclIsTypeOfPerson-Person-strict2[simp]:
  (null::Person) .oclIsTypeOf(Person) = true
by(rule ext, simp add: null-fun-def null-option-def bot-option-def null-def invalid-def
    OclIsTypeOfPerson-Person)

```

Up Down Casting

```

lemma actualType-larger-staticType:
assumes isdef:  $\tau \models (\delta \ X)$ 
shows  $\tau \models (X::Person) .oclIsTypeOf(OclAny) \triangleq false$ 
using isdef
by(auto simp : null-option-def bot-option-def
    OclIsTypeOfOclAny-Person foundation22 foundation16)

lemma down-cast-type:
assumes isOclAny:  $\tau \models (X::OclAny) .oclIsTypeOf(OclAny)$ 
and non-null:  $\tau \models (\delta \ X)$ 
shows  $\tau \models (X .oclAsType(Person)) \triangleq invalid$ 
using isOclAny non-null
apply(auto simp : bot-fun-def null-fun-def null-option-def bot-option-def null-def invalid-def
    OclAsTypeOclAny-Person OclAsTypePerson-OclAny foundation22 foundation16
    split: option.split typeOclAny.split typePerson.split)
by(simp add: OclIsTypeOfOclAny-OclAny OclValid-def false-def true-def)

lemma down-cast-type':
assumes isOclAny:  $\tau \models (X::OclAny) .oclIsTypeOf(OclAny)$ 
and non-null:  $\tau \models (\delta \ X)$ 
shows  $\tau \models not \ (v \ (X .oclAsType(Person)))$ 
by(rule foundation15[THEN iffD1], simp add: down-cast-type[OF assms])

```

```

lemma up-down-cast :
assumes isdef:  $\tau \models (\delta X)$ 
shows  $\tau \models ((X :: \text{Person}) . \text{oclAsType}(\text{OclAny}) . \text{oclAsType}(\text{Person}) \triangleq X)$ 
using isdef
by(auto simp : null-fun-def null-option-def bot-option-def null-def invalid-def
      OclAsTypeOclAny-Person OclAsTypePerson-OclAny foundation22 foundation16
      split: option.split typePerson.split)

```

```

lemma up-down-cast-Person-OclAny-Person [simp]:
shows  $((X :: \text{Person}) . \text{oclAsType}(\text{OclAny}) . \text{oclAsType}(\text{Person}) = X)$ 
apply(rule ext, rename-tac  $\tau$ )
apply(rule foundation22[THEN iffD1])
apply(case-tac  $\tau \models (\delta X)$ , simp add: up-down-cast)
apply(simp add: def-split-local, elim disjE)
apply(erule StrongEq-L-subst2-rev, simp, simp)+
done

```

```

lemma up-down-cast-Person-OclAny-Person': assumes  $\tau \models v X$ 
shows  $\tau \models (((X :: \text{Person}) . \text{oclAsType}(\text{OclAny}) . \text{oclAsType}(\text{Person})) \doteq X)$ 
apply(simp only: up-down-cast-Person-OclAny-Person StrictRefEqObject-Person)
by(rule StrictRefEqObject-sym, simp add: assms)

```

```

lemma up-down-cast-Person-OclAny-Person'': assumes  $\tau \models v (X :: \text{Person})$ 
shows  $\tau \models (X . \text{oclIsTypeOf}(\text{Person}) \text{ implies } (X . \text{oclAsType}(\text{OclAny}) . \text{oclAsType}(\text{Person})) \doteq X)$ 
apply(simp add: OclValid-def)
apply(subst cp-OclImplies)
apply(simp add: StrictRefEqObject-Person StrictRefEqObject-sym[OF assms, simplified
OclValid-def])
apply(subst cp-OclImplies[symmetric])
by (simp add: OclImplies-true)

```

7.1.6. OclIsKindOf

Definition

```

consts OclIsKindOfOclAny :: ' $\alpha \Rightarrow \text{Boolean}$  ((-).oclIsKindOf'(OclAny'))
consts OclIsKindOfPerson :: ' $\alpha \Rightarrow \text{Boolean}$  ((-).oclIsKindOf'(Person'))

```

```

defs (overloaded) OclIsKindOfOclAny-OclAny:
  (X :: OclAny) . oclIsKindOf(OclAny)  $\equiv$ 
    ( $\lambda \tau$ . case X  $\tau$  of
       $\perp \Rightarrow \text{invalid } \tau$ 
      |  $- \Rightarrow \text{true } \tau$ )

```

```

defs (overloaded) OclIsKindOfOclAny-Person:
  (X :: Person) . oclIsKindOf(OclAny)  $\equiv$ 
    ( $\lambda \tau$ . case X  $\tau$  of

```

$$\begin{array}{l} \perp \Rightarrow \text{invalid } \tau \\ | \Rightarrow \text{true } \tau \end{array}$$

```

defs (overloaded) OclIsKindOfPerson-OclAny:
  (X::OclAny) .oclIsKindOf(Person)  $\equiv$ 
    ( $\lambda\tau$ . case X  $\tau$  of
       $\perp \Rightarrow \text{invalid } \tau$ 
      |  $\lfloor \perp \rfloor \Rightarrow \text{true } \tau$ 
      |  $\lfloor \text{mk}_{OclAny} \text{ oid } \perp \rfloor \Rightarrow \text{false } \tau$ 
      |  $\lfloor \text{mk}_{OclAny} \text{ oid } \lfloor - \rfloor \rfloor \Rightarrow \text{true } \tau$ )

```

```

defs (overloaded) OclIsKindOfPerson-Person:
  (X::Person) .oclIsKindOf(Person)  $\equiv$ 
    ( $\lambda\tau$ . case X  $\tau$  of
       $\perp \Rightarrow \text{invalid } \tau$ 
      |  $- \Rightarrow \text{true } \tau$ )

```

Context Passing

lemma <i>cp-OclIsKindOf</i> _{OclAny-Person-Person} :	<i>cp</i>	<i>P</i>	\Rightarrow
<i>cp</i> ($\lambda X.(P(X::Person)::Person).oclIsKindOf(OclAny))$			
by (<i>rule cpI1</i> , <i>simp-all add: OclIsKindOf</i> _{OclAny-Person})			
lemma <i>cp-OclIsKindOf</i> _{OclAny-OclAny-OclAny} :	<i>cp</i>	<i>P</i>	\Rightarrow
<i>cp</i> ($\lambda X.(P(X::OclAny)::OclAny).oclIsKindOf(OclAny))$			
by (<i>rule cpI1</i> , <i>simp-all add: OclIsKindOf</i> _{OclAny-OclAny})			
lemma <i>cp-OclIsKindOf</i> _{Person-Person-Person} :	<i>cp</i>	<i>P</i>	\Rightarrow
<i>cp</i> ($\lambda X.(P(X::Person)::Person).oclIsKindOf(Person))$			
by (<i>rule cpI1</i> , <i>simp-all add: OclIsKindOf</i> _{Person-Person})			
lemma <i>cp-OclIsKindOf</i> _{Person-OclAny-OclAny} :	<i>cp</i>	<i>P</i>	\Rightarrow
<i>cp</i> ($\lambda X.(P(X::OclAny)::OclAny).oclIsKindOf(Person))$			
by (<i>rule cpI1</i> , <i>simp-all add: OclIsKindOf</i> _{Person-OclAny})			
lemma <i>cp-OclIsKindOf</i> _{OclAny-Person-OclAny} :	<i>cp</i>	<i>P</i>	\Rightarrow
<i>cp</i> ($\lambda X.(P(X::Person)::OclAny).oclIsKindOf(OclAny))$			
by (<i>rule cpI1</i> , <i>simp-all add: OclIsKindOf</i> _{OclAny-OclAny})			
lemma <i>cp-OclIsKindOf</i> _{OclAny-OclAny-Person} :	<i>cp</i>	<i>P</i>	\Rightarrow
<i>cp</i> ($\lambda X.(P(X::OclAny)::Person).oclIsKindOf(OclAny))$			
by (<i>rule cpI1</i> , <i>simp-all add: OclIsKindOf</i> _{OclAny-Person})			
lemma <i>cp-OclIsKindOf</i> _{Person-Person-OclAny} :	<i>cp</i>	<i>P</i>	\Rightarrow
<i>cp</i> ($\lambda X.(P(X::Person)::OclAny).oclIsKindOf(Person))$			
by (<i>rule cpI1</i> , <i>simp-all add: OclIsKindOf</i> _{Person-OclAny})			
lemma <i>cp-OclIsKindOf</i> _{Person-OclAny-Person} :	<i>cp</i>	<i>P</i>	\Rightarrow
<i>cp</i> ($\lambda X.(P(X::OclAny)::Person).oclIsKindOf(Person))$			
by (<i>rule cpI1</i> , <i>simp-all add: OclIsKindOf</i> _{Person-Person})			

```

lemmas [simp] =
  cp-OclIsKindOfOclAny-Person-Person
  cp-OclIsKindOfOclAny-OclAny-OclAny
  cp-OclIsKindOfPerson-Person-Person

```


cp-OclIsKindOf_{Person}-OclAny-OclAny

cp-OclIsKindOf_{OclAny}-Person-OclAny

cp-OclIsKindOf_{OclAny}-OclAny-Person

cp-OclIsKindOf_{Person}-Person-OclAny

cp-OclIsKindOf_{Person}-OclAny-Person

Execution with Invalid or Null as Argument

lemma *OclIsKindOf_{OclAny}-OclAny-strict1*[simp] : (*invalid::OclAny*) .*oclIsKindOf*(*OclAny*) = *invalid*

by(rule *ext*, simp add: *invalid-def bot-option-def*
OclIsKindOf_{OclAny}-OclAny)

lemma *OclIsKindOf_{OclAny}-OclAny-strict2*[simp] : (*null::OclAny*) .*oclIsKindOf*(*OclAny*) = *true*

by(rule *ext*, simp add: *null-fun-def null-option-def*
OclIsKindOf_{OclAny}-OclAny)

lemma *OclIsKindOf_{OclAny}-Person-strict1*[simp] : (*invalid::Person*) .*oclIsKindOf*(*OclAny*) = *invalid*

by(rule *ext*, simp add: *bot-option-def invalid-def*
OclIsKindOf_{OclAny}-Person)

lemma *OclIsKindOf_{OclAny}-Person-strict2*[simp] : (*null::Person*) .*oclIsKindOf*(*OclAny*) = *true*

by(rule *ext*, simp add: *null-fun-def null-option-def bot-option-def*
OclIsKindOf_{OclAny}-Person)

lemma *OclIsKindOf_{Person}-OclAny-strict1*[simp]: (*invalid::OclAny*) .*oclIsKindOf*(*Person*) = *invalid*

by(rule *ext*, simp add: *null-fun-def null-option-def bot-option-def null-def invalid-def*
OclIsKindOf_{Person}-OclAny)

lemma *OclIsKindOf_{Person}-OclAny-strict2*[simp]: (*null::OclAny*) .*oclIsKindOf*(*Person*) = *true*

by(rule *ext*, simp add: *null-fun-def null-option-def bot-option-def null-def invalid-def*
OclIsKindOf_{Person}-OclAny)

lemma *OclIsKindOf_{Person}-Person-strict1*[simp]: (*invalid::Person*) .*oclIsKindOf*(*Person*) = *invalid*

by(rule *ext*, simp add: *null-fun-def null-option-def bot-option-def null-def invalid-def*
OclIsKindOf_{Person}-Person)

lemma *OclIsKindOf_{Person}-Person-strict2*[simp]: (*null::Person*) .*oclIsKindOf*(*Person*) = *true*

by(rule *ext*, simp add: *null-fun-def null-option-def bot-option-def null-def invalid-def*
OclIsKindOf_{Person}-Person)

Up Down Casting

lemma *actualKind-larger-staticKind*:

assumes *isdef*: $\tau \models (\delta \ X)$

shows $\tau \models (X::Person) .oclIsKindOf(OclAny) \triangleq true$
using *isdef*
by(*auto simp : bot-option-def*
 $OclIsKindOf_{OclAny-Person} foundation22 foundation16$)

lemma *down-cast-kind*:
assumes *isOclAny*: $\neg \tau \models (X::OclAny) .oclIsKindOf(Person)$
and *non-null*: $\tau \models (\delta X)$
shows $\tau \models (X .oclAsType(Person)) \triangleq invalid$
using *isOclAny non-null*
apply(*auto simp : bot-fun-def null-fun-def null-option-def bot-option-def null-def invalid-def*
 $OclAsType_{OclAny-Person} OclAsType_{Person-OclAny} foundation22 foundation16$
 $split: option.split type_{OclAny}.split type_{Person}.split$)
by(*simp add: OclIsKindOf_{Person-OclAny} OclValid-def false-def true-def*)

7.1.7. OclAllInstances

To denote OCL-types occurring in OCL expressions syntactically—as, for example, as “argument” of `oclAllInstances()`—we use the inverses of the injection functions into the object universes; we show that this is sufficient “characterization.”

definition $Person \equiv OclAsType_{Person-\mathfrak{A}}$
definition $OclAny \equiv OclAsType_{OclAny-\mathfrak{A}}$
lemmas [*simp*] = *Person-def OclAny-def*

lemma *OclAllInstances-generic_{OclAny-exec}*: $OclAllInstances-generic\ pre-post\ OclAny =$
 $(\lambda\tau. Abs-Set-0 \ll Some\ 'OclAny'\ ran\ (heap\ (pre-post\ \tau)) \rr)$

proof –
let $?S1 = \lambda\tau. OclAny\ 'ran\ (heap\ (pre-post\ \tau))$
let $?S2 = \lambda\tau. ?S1\ \tau - \{None\}$
have $B : \bigwedge\tau. ?S2\ \tau \subseteq ?S1\ \tau$ **by** *auto*
have $C : \bigwedge\tau. ?S1\ \tau \subseteq ?S2\ \tau$ **by**(*auto simp: OclAsType_{OclAny-\mathfrak{A}-some}*)
show *thesis* **by**(*insert equalityI[OF B C], simp*)
qed

lemma *OclAllInstances-at-post_{OclAny-exec}*: $OclAny .allInstances() =$
 $(\lambda\tau. Abs-Set-0 \ll Some\ 'OclAny'\ ran\ (heap\ (snd\ \tau)) \rr)$
unfolding *OclAllInstances-at-post-def*
by(*rule OclAllInstances-generic_{OclAny-exec}*)

lemma *OclAllInstances-at-pre_{OclAny-exec}*: $OclAny .allInstances@pre() =$
 $(\lambda\tau. Abs-Set-0 \ll Some\ 'OclAny'\ ran\ (heap\ (fst\ \tau)) \rr)$
unfolding *OclAllInstances-at-pre-def*
by(*rule OclAllInstances-generic_{OclAny-exec}*)

OclIsTypeOf

lemma *OclAny-allInstances-generic-oclIsTypeOf_{OclAny}1*:
assumes [*simp*]: $\bigwedge x. pre-post\ (x, x) = x$

shows $\exists \tau. (\tau \models ((\text{OclAllInstances-generic pre-post OclAny}) \rightarrow \text{forAll}(X|X .\text{oclIsTypeOf}(\text{OclAny}))))$
apply(rule-tac $x = \tau_0$ **in** exI , simp add: $\tau_0\text{-def OclValid-def del: OclAllInstances-generic-def}$)
apply(simp only: $\text{assms OclForall-def refl if-True OclAllInstances-generic-defined[simplified OclValid-def]}$)
apply(simp only: $\text{OclAllInstances-generic-def}$)
apply(subst (1 2 3) Abs-Set-0-inverse , simp add: bot-option-def)
by(simp add: $\text{OclIsTypeOf}_{\text{OclAny-OclAny}}$)

lemma $\text{OclAny-allInstances-at-post-oclIsTypeOf}_{\text{OclAny}1}$:
 $\exists \tau. (\tau \models (\text{OclAny .allInstances}() \rightarrow \text{forAll}(X|X .\text{oclIsTypeOf}(\text{OclAny}))))$
unfolding $\text{OclAllInstances-at-post-def}$
by(rule $\text{OclAny-allInstances-generic-oclIsTypeOf}_{\text{OclAny}1}$, simp)

lemma $\text{OclAny-allInstances-at-pre-oclIsTypeOf}_{\text{OclAny}1}$:
 $\exists \tau. (\tau \models (\text{OclAny .allInstances@pre}() \rightarrow \text{forAll}(X|X .\text{oclIsTypeOf}(\text{OclAny}))))$
unfolding $\text{OclAllInstances-at-pre-def}$
by(rule $\text{OclAny-allInstances-generic-oclIsTypeOf}_{\text{OclAny}1}$, simp)

lemma $\text{OclAny-allInstances-generic-oclIsTypeOf}_{\text{OclAny}2}$:
assumes [simp]: $\bigwedge x. \text{pre-post}(x, x) = x$
shows $\exists \tau. (\tau \models \text{not } ((\text{OclAllInstances-generic pre-post OclAny}) \rightarrow \text{forAll}(X|X .\text{oclIsTypeOf}(\text{OclAny}))))$
proof – **fix** $\text{oid } a$ **let** $?t0 = (\text{heap} = \text{empty}(\text{oid} \mapsto \text{in}_{\text{OclAny}}(\text{mk}_{\text{OclAny}} \text{oid } [a])),$
 $\text{assocs}_2 = \text{empty}, \text{assocs}_3 = \text{empty})$ **show** $?thesis$
apply(rule-tac $x = (?t0, ?t0)$ **in** exI , simp add: $\text{OclValid-def del: OclAllInstances-generic-def}$)
apply(simp only: $\text{OclForall-def refl if-True OclAllInstances-generic-defined[simplified OclValid-def]}$)
apply(simp only: $\text{OclAllInstances-generic-def OclAsType}_{\text{OclAny-}\mathfrak{A}\text{-def}}$)
apply(subst (1 2 3) Abs-Set-0-inverse , simp add: bot-option-def)
by(simp add: $\text{OclIsTypeOf}_{\text{OclAny-OclAny OclNot-def OclAny-def}}$)
qed

lemma $\text{OclAny-allInstances-at-post-oclIsTypeOf}_{\text{OclAny}2}$:
 $\exists \tau. (\tau \models \text{not } (\text{OclAny .allInstances}() \rightarrow \text{forAll}(X|X .\text{oclIsTypeOf}(\text{OclAny}))))$
unfolding $\text{OclAllInstances-at-post-def}$
by(rule $\text{OclAny-allInstances-generic-oclIsTypeOf}_{\text{OclAny}2}$, simp)

lemma $\text{OclAny-allInstances-at-pre-oclIsTypeOf}_{\text{OclAny}2}$:
 $\exists \tau. (\tau \models \text{not } (\text{OclAny .allInstances@pre}() \rightarrow \text{forAll}(X|X .\text{oclIsTypeOf}(\text{OclAny}))))$
unfolding $\text{OclAllInstances-at-pre-def}$
by(rule $\text{OclAny-allInstances-generic-oclIsTypeOf}_{\text{OclAny}2}$, simp)

lemma $\text{Person-allInstances-generic-oclIsTypeOf}_{\text{Person}}$:
 $\tau \models ((\text{OclAllInstances-generic pre-post Person}) \rightarrow \text{forAll}(X|X .\text{oclIsTypeOf}(\text{Person})))$
apply(simp add: $\text{OclValid-def del: OclAllInstances-generic-def}$)
apply(simp only: $\text{OclForall-def refl if-True OclAllInstances-generic-defined[simplified OclValid-def]}$)
apply(simp only: $\text{OclAllInstances-generic-def}$)

apply(subst (1 2 3) Abs-Set-0-inverse, simp add: bot-option-def)
by(simp add: OclIsTypeOf_{Person}-Person)

lemma Person-allInstances-at-post-oclIsTypeOf_{Person}:
 $\tau \models (Person.allInstances() \rightarrow \text{forAll}(X | X.oclIsTypeOf(Person)))$
unfolding OclAllInstances-at-post-def
by(rule Person-allInstances-generic-oclIsTypeOf_{Person})

lemma Person-allInstances-at-pre-oclIsTypeOf_{Person}:
 $\tau \models (Person.allInstances@pre() \rightarrow \text{forAll}(X | X.oclIsTypeOf(Person)))$
unfolding OclAllInstances-at-pre-def
by(rule Person-allInstances-generic-oclIsTypeOf_{Person})

OclIsKindOf

lemma OclAny-allInstances-generic-oclIsKindOf_{OclAny}:
 $\tau \models ((OclAllInstances-generic\ pre-post\ OclAny) \rightarrow \text{forAll}(X | X.oclIsKindOf(OclAny)))$
apply(simp add: OclValid-def del: OclAllInstances-generic-def)
apply(simp only: OclForall-def refl if-True
OclAllInstances-generic-defined[simplified OclValid-def])
apply(simp only: OclAllInstances-generic-def)
apply(subst (1 2 3) Abs-Set-0-inverse, simp add: bot-option-def)
by(simp add: OclIsKindOf_{OclAny}-OclAny)

lemma OclAny-allInstances-at-post-oclIsKindOf_{OclAny}:
 $\tau \models (OclAny.allInstances() \rightarrow \text{forAll}(X | X.oclIsKindOf(OclAny)))$
unfolding OclAllInstances-at-post-def
by(rule OclAny-allInstances-generic-oclIsKindOf_{OclAny})

lemma OclAny-allInstances-at-pre-oclIsKindOf_{OclAny}:
 $\tau \models (OclAny.allInstances@pre() \rightarrow \text{forAll}(X | X.oclIsKindOf(OclAny)))$
unfolding OclAllInstances-at-pre-def
by(rule OclAny-allInstances-generic-oclIsKindOf_{OclAny})

lemma Person-allInstances-generic-oclIsKindOf_{OclAny}:
 $\tau \models ((OclAllInstances-generic\ pre-post\ Person) \rightarrow \text{forAll}(X | X.oclIsKindOf(OclAny)))$
apply(simp add: OclValid-def del: OclAllInstances-generic-def)
apply(simp only: OclForall-def refl if-True
OclAllInstances-generic-defined[simplified OclValid-def])
apply(simp only: OclAllInstances-generic-def)
apply(subst (1 2 3) Abs-Set-0-inverse, simp add: bot-option-def)
by(simp add: OclIsKindOf_{OclAny}-Person)

lemma Person-allInstances-at-post-oclIsKindOf_{OclAny}:
 $\tau \models (Person.allInstances() \rightarrow \text{forAll}(X | X.oclIsKindOf(OclAny)))$
unfolding OclAllInstances-at-post-def
by(rule Person-allInstances-generic-oclIsKindOf_{OclAny})

lemma Person-allInstances-at-pre-oclIsKindOf_{OclAny}:

$\tau \models (Person \ .allInstances@pre()) \rightarrow forAll(X|X \ .oclIsKindOf(OclAny)))$
unfolding *OclAllInstances-at-pre-def*
by(rule *Person-allInstances-generic-oclIsKindOfOclAny*)

lemma *Person-allInstances-generic-oclIsKindOfPerson*:
 $\tau \models ((OclAllInstances-generic \ pre-post \ Person) \rightarrow forAll(X|X \ .oclIsKindOf(Person)))$
apply(simp add: *OclValid-def del: OclAllInstances-generic-def*)
apply(simp only: *OclForall-def refl if-True*
OclAllInstances-generic-defined[simplified OclValid-def])
apply(simp only: *OclAllInstances-generic-def*)
apply(subst (1 2 3) *Abs-Set-0-inverse*, simp add: *bot-option-def*)
by(simp add: *OclIsKindOfPerson-Person*)

lemma *Person-allInstances-at-post-oclIsKindOfPerson*:
 $\tau \models (Person \ .allInstances()) \rightarrow forAll(X|X \ .oclIsKindOf(Person)))$
unfolding *OclAllInstances-at-post-def*
by(rule *Person-allInstances-generic-oclIsKindOfPerson*)

lemma *Person-allInstances-at-pre-oclIsKindOfPerson*:
 $\tau \models (Person \ .allInstances@pre()) \rightarrow forAll(X|X \ .oclIsKindOf(Person)))$
unfolding *OclAllInstances-at-pre-def*
by(rule *Person-allInstances-generic-oclIsKindOfPerson*)

7.1.8. The Accessors (any, boss, salary)

Should be generated entirely from a class-diagram.

Definition

definition *eval-extract* :: ($\mathfrak{A}, ('a::object) \ option \ option$) *val*
 $\Rightarrow (oid \Rightarrow (\mathfrak{A}, 'c::null) \ val)$
 $\Rightarrow (\mathfrak{A}, 'c::null) \ val$
where *eval-extract* *X f* = ($\lambda \tau. \ case \ X \ \tau \ of$
 $\quad \perp \Rightarrow invalid \ \tau \quad (* \ exception \ propagation \ *)$
 $\quad | \ \perp \Rightarrow invalid \ \tau \quad (* \ dereferencing \ null \ pointer \ *)$
 $\quad | \ [obj] \Rightarrow f \ (oid-of \ obj) \ \tau$)

definition *deref-oidPerson* :: ($\mathfrak{A} \ state \times \mathfrak{A} \ state \Rightarrow \mathfrak{A} \ state$)
 $\Rightarrow (type_{Person} \Rightarrow (\mathfrak{A}, 'c::null) \ val)$
 $\Rightarrow oid$
 $\Rightarrow (\mathfrak{A}, 'c::null) \ val$
where *deref-oidPerson* *fst-snd f oid* = ($\lambda \tau. \ case \ (heap \ (fst-snd \ \tau)) \ oid \ of$
 $\quad | \ in_{Person} \ obj \Rightarrow f \ obj \ \tau$
 $\quad | \ - \Rightarrow invalid \ \tau$)

definition $deref-oid_{OclAny} :: (\mathfrak{A} \text{ state} \times \mathfrak{A} \text{ state} \Rightarrow \mathfrak{A} \text{ state})$
 $\Rightarrow (type_{OclAny} \Rightarrow (\mathfrak{A}, 'c::null)val)$
 $\Rightarrow oid$
 $\Rightarrow (\mathfrak{A}, 'c::null)val$
where $deref-oid_{OclAny} \text{ fst-snd } f \text{ oid} = (\lambda \tau. \text{ case } (heap \text{ (fst-snd } \tau)) \text{ oid of}$
 $\quad | \text{ in}_{OclAny} \text{ obj } \rfloor \Rightarrow f \text{ obj } \tau$
 $\quad | - \Rightarrow \text{ invalid } \tau)$

pointer undefined in state or not referencing a type conform object representation

definition $select_{OclAny} \mathcal{ANY} f = (\lambda X. \text{ case } X \text{ of}$
 $\quad (mk_{OclAny} - \perp) \Rightarrow null$
 $\quad | (mk_{OclAny} - \lfloor any \rfloor) \Rightarrow f (\lambda x -. \lfloor \lfloor x \rfloor \rfloor) any)$

definition $select_{Person} \mathcal{BOSS} f = (\lambda X. \text{ case } X \text{ of}$
 $\quad (mk_{Person} - - \perp) \Rightarrow null \text{ (* object contains null pointer *)}$
 $\quad | (mk_{Person} - - \lfloor boss \rfloor) \Rightarrow f (\lambda x -. \lfloor \lfloor x \rfloor \rfloor) boss)$

definition $select_{Person} \mathcal{SALARY} f = (\lambda X. \text{ case } X \text{ of}$
 $\quad (mk_{Person} - \perp -) \Rightarrow null$
 $\quad | (mk_{Person} - \lfloor salary \rfloor -) \Rightarrow f (\lambda x -. \lfloor \lfloor x \rfloor \rfloor) salary)$

definition $in\text{-}pre\text{-}state = fst$

definition $in\text{-}post\text{-}state = snd$

definition $reconst\text{-}basetype = (\lambda \text{ convert } x. \text{ convert } x)$

definition $dot_{OclAny} \mathcal{ANY} :: OclAny \Rightarrow - \ ((1(-).any) \ 50)$
where $(X).any = eval\text{-}extract \ X$
 $\quad (deref-oid_{OclAny} \text{ in-post-state}$
 $\quad \quad (select_{OclAny} \mathcal{ANY}$
 $\quad \quad \quad reconst\text{-}basetype))$

definition $dot_{Person} \mathcal{BOSS} :: Person \Rightarrow Person \ ((1(-).boss) \ 50)$
where $(X).boss = eval\text{-}extract \ X$
 $\quad (deref-oid_{Person} \text{ in-post-state}$
 $\quad \quad (select_{Person} \mathcal{BOSS}$
 $\quad \quad \quad (deref-oid_{Person} \text{ in-post-state})))$

definition $dot_{Person} \mathcal{SALARY} :: Person \Rightarrow Integer \ ((1(-).salary) \ 50)$
where $(X).salary = eval\text{-}extract \ X$
 $\quad (deref-oid_{Person} \text{ in-post-state}$
 $\quad \quad (select_{Person} \mathcal{SALARY}$
 $\quad \quad \quad reconst\text{-}basetype))$

definition $dot_{OclAny} \mathcal{ANY}\text{-}at\text{-}pre :: OclAny \Rightarrow - \ ((1(-).any@pre) \ 50)$
where $(X).any@pre = eval\text{-}extract \ X$

$(\text{deref-oid}_{\text{OclAny}} \text{ in-pre-state}$
 $(\text{select}_{\text{OclAny}} \mathcal{ANY}$
 $\text{reconst-basetype}))$

definition $\text{dot}_{\text{Person}} \text{BOSS-at-pre}:: \text{Person} \Rightarrow \text{Person} \ ((1(-).\text{boss@pre}) \ 50)$
where $(X).\text{boss@pre} = \text{eval-extract } X$
 $(\text{deref-oid}_{\text{Person}} \text{ in-pre-state}$
 $(\text{select}_{\text{Person}} \text{BOSS}$
 $(\text{deref-oid}_{\text{Person}} \text{ in-pre-state})))$

definition $\text{dot}_{\text{Person}} \text{SALARY-at-pre}:: \text{Person} \Rightarrow \text{Integer} \ ((1(-).\text{salary@pre}) \ 50)$
where $(X).\text{salary@pre} = \text{eval-extract } X$
 $(\text{deref-oid}_{\text{Person}} \text{ in-pre-state}$
 $(\text{select}_{\text{Person}} \text{SALARY}$
 $\text{reconst-basetype}))$

lemmas $[\text{simp}] =$
 $\text{dot}_{\text{OclAny}} \mathcal{ANY}\text{-def}$
 $\text{dot}_{\text{Person}} \text{BOSS}\text{-def}$
 $\text{dot}_{\text{Person}} \text{SALARY}\text{-def}$
 $\text{dot}_{\text{OclAny}} \mathcal{ANY}\text{-at-pre-def}$
 $\text{dot}_{\text{Person}} \text{BOSS-at-pre-def}$
 $\text{dot}_{\text{Person}} \text{SALARY-at-pre-def}$

Context Passing

lemmas $[\text{simp}] = \text{eval-extract-def}$

lemma $\text{cp-dot}_{\text{OclAny}} \mathcal{ANY}: ((X).\text{any}) \ \tau = ((\lambda -. X \ \tau).\text{any}) \ \tau \text{ by simp}$

lemma $\text{cp-dot}_{\text{Person}} \text{BOSS}: ((X).\text{boss}) \ \tau = ((\lambda -. X \ \tau).\text{boss}) \ \tau \text{ by simp}$

lemma $\text{cp-dot}_{\text{Person}} \text{SALARY}: ((X).\text{salary}) \ \tau = ((\lambda -. X \ \tau).\text{salary}) \ \tau \text{ by simp}$

lemma $\text{cp-dot}_{\text{OclAny}} \mathcal{ANY-at-pre}: ((X).\text{any@pre}) \ \tau = ((\lambda -. X \ \tau).\text{any@pre}) \ \tau \text{ by simp}$

lemma $\text{cp-dot}_{\text{Person}} \text{BOSS-at-pre}: ((X).\text{boss@pre}) \ \tau = ((\lambda -. X \ \tau).\text{boss@pre}) \ \tau \text{ by simp}$

lemma $\text{cp-dot}_{\text{Person}} \text{SALARY-at-pre}: ((X).\text{salary@pre}) \ \tau = ((\lambda -. X \ \tau).\text{salary@pre}) \ \tau \text{ by simp}$

lemmas $\text{cp-dot}_{\text{OclAny}} \mathcal{ANY-I} [\text{simp}, \text{intro!}] =$
 $\text{cp-dot}_{\text{OclAny}} \mathcal{ANY} [\text{THEN allI} [\text{THEN allI}],$
 $\text{of } \lambda X -. X \ \lambda - \tau. \ \tau, \text{ THEN cpI1}]$

lemmas $\text{cp-dot}_{\text{OclAny}} \mathcal{ANY-at-pre-I} [\text{simp}, \text{intro!}] =$
 $\text{cp-dot}_{\text{OclAny}} \mathcal{ANY-at-pre} [\text{THEN allI} [\text{THEN allI}],$
 $\text{of } \lambda X -. X \ \lambda - \tau. \ \tau, \text{ THEN cpI1}]$

lemmas $\text{cp-dot}_{\text{Person}} \text{BOSS-I} [\text{simp}, \text{intro!}] =$
 $\text{cp-dot}_{\text{Person}} \text{BOSS} [\text{THEN allI} [\text{THEN allI}],$
 $\text{of } \lambda X -. X \ \lambda - \tau. \ \tau, \text{ THEN cpI1}]$

lemmas $\text{cp-dot}_{\text{Person}} \text{BOSS-at-pre-I} [\text{simp}, \text{intro!}] =$
 $\text{cp-dot}_{\text{Person}} \text{BOSS-at-pre} [\text{THEN allI} [\text{THEN allI}],$
 $\text{of } \lambda X -. X \ \lambda - \tau. \ \tau, \text{ THEN cpI1}]$

```

lemmas cp-dot_PersonSALARY-I [simp, intro!]=
  cp-dot_PersonSALARY [THEN allI [THEN allI],
    of  $\lambda X \neg. X \lambda \tau. \tau$ , THEN cpII]
lemmas cp-dot_PersonSALARY-at-pre-I [simp, intro!]=
  cp-dot_PersonSALARY-at-pre [THEN allI [THEN allI],
    of  $\lambda X \neg. X \lambda \tau. \tau$ , THEN cpII]

```

Execution with Invalid or Null as Argument

```

lemma dot_OclAnyANY-nullstrict [simp]: (null).any = invalid
by(rule ext, simp add: null-fun-def null-option-def bot-option-def null-def invalid-def)
lemma dot_OclAnyANY-at-pre-nullstrict [simp] : (null).any@pre = invalid
by(rule ext, simp add: null-fun-def null-option-def bot-option-def null-def invalid-def)
lemma dot_OclAnyANY-strict [simp] : (invalid).any = invalid
by(rule ext, simp add: null-fun-def null-option-def bot-option-def null-def invalid-def)
lemma dot_OclAnyANY-at-pre-strict [simp] : (invalid).any@pre = invalid
by(rule ext, simp add: null-fun-def null-option-def bot-option-def null-def invalid-def)

```

```

lemma dot_PersonBOSS-nullstrict [simp]: (null).boss = invalid
by(rule ext, simp add: null-fun-def null-option-def bot-option-def null-def invalid-def)
lemma dot_PersonBOSS-at-pre-nullstrict [simp] : (null).boss@pre = invalid
by(rule ext, simp add: null-fun-def null-option-def bot-option-def null-def invalid-def)
lemma dot_PersonBOSS-strict [simp] : (invalid).boss = invalid
by(rule ext, simp add: null-fun-def null-option-def bot-option-def null-def invalid-def)
lemma dot_PersonBOSS-at-pre-strict [simp] : (invalid).boss@pre = invalid
by(rule ext, simp add: null-fun-def null-option-def bot-option-def null-def invalid-def)

```

```

lemma dot_PersonSALARY-nullstrict [simp]: (null).salary = invalid
by(rule ext, simp add: null-fun-def null-option-def bot-option-def null-def invalid-def)
lemma dot_PersonSALARY-at-pre-nullstrict [simp] : (null).salary@pre = invalid
by(rule ext, simp add: null-fun-def null-option-def bot-option-def null-def invalid-def)
lemma dot_PersonSALARY-strict [simp] : (invalid).salary = invalid
by(rule ext, simp add: null-fun-def null-option-def bot-option-def null-def invalid-def)
lemma dot_PersonSALARY-at-pre-strict [simp] : (invalid).salary@pre = invalid
by(rule ext, simp add: null-fun-def null-option-def bot-option-def null-def invalid-def)

```

7.1.9. A Little Infra-structure on Example States

The example we are defining in this section comes from the figure 7.2.

```

definition OclInt1000 (1000) where OclInt1000 = ( $\lambda \cdot . \llbracket 1000 \rrbracket$ )
definition OclInt1200 (1200) where OclInt1200 = ( $\lambda \cdot . \llbracket 1200 \rrbracket$ )
definition OclInt1300 (1300) where OclInt1300 = ( $\lambda \cdot . \llbracket 1300 \rrbracket$ )
definition OclInt1800 (1800) where OclInt1800 = ( $\lambda \cdot . \llbracket 1800 \rrbracket$ )
definition OclInt2600 (2600) where OclInt2600 = ( $\lambda \cdot . \llbracket 2600 \rrbracket$ )
definition OclInt2900 (2900) where OclInt2900 = ( $\lambda \cdot . \llbracket 2900 \rrbracket$ )
definition OclInt3200 (3200) where OclInt3200 = ( $\lambda \cdot . \llbracket 3200 \rrbracket$ )

```

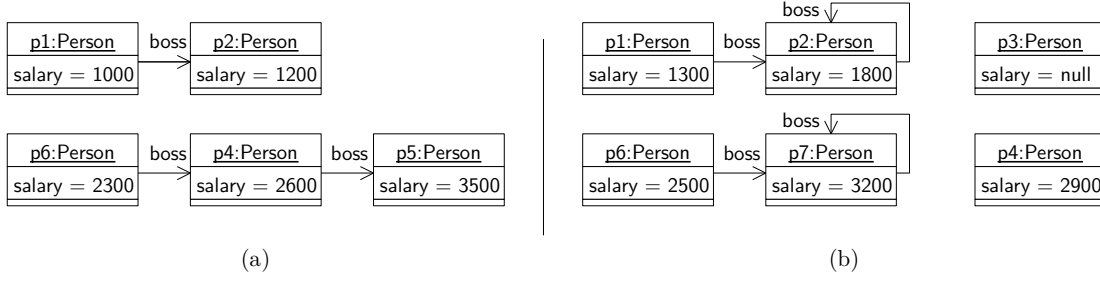



Figure 7.2.: (a) pre-state σ_1 and (b) post-state σ'_1 .

definition $OclInt3500$ (**3500**) **where** $OclInt3500 = (\lambda \cdot . \llbracket 3500 \rrbracket)$

definition $oid0 \equiv 0$

definition $oid1 \equiv 1$

definition $oid2 \equiv 2$

definition $oid3 \equiv 3$

definition $oid4 \equiv 4$

definition $oid5 \equiv 5$

definition $oid6 \equiv 6$

definition $oid7 \equiv 7$

definition $oid8 \equiv 8$

definition $person1 \equiv mk_{Person} \text{ } oid0 \text{ } \llbracket 1300 \rrbracket \text{ } \llbracket oid1 \rrbracket$

definition $person2 \equiv mk_{Person} \text{ } oid1 \text{ } \llbracket 1800 \rrbracket \text{ } \llbracket oid1 \rrbracket$

definition $person3 \equiv mk_{Person} \text{ } oid2 \text{ } None \text{ } None$

definition $person4 \equiv mk_{Person} \text{ } oid3 \text{ } \llbracket 2900 \rrbracket \text{ } None$

definition $person5 \equiv mk_{Person} \text{ } oid4 \text{ } \llbracket 3500 \rrbracket \text{ } None$

definition $person6 \equiv mk_{Person} \text{ } oid5 \text{ } \llbracket 2500 \rrbracket \text{ } \llbracket oid6 \rrbracket$

definition $person7 \equiv mk_{OclAny} \text{ } oid6 \text{ } \llbracket \llbracket 3200 \rrbracket, \llbracket oid6 \rrbracket \rrbracket$

definition $person8 \equiv mk_{OclAny} \text{ } oid7 \text{ } None$

definition $person9 \equiv mk_{Person} \text{ } oid8 \text{ } \llbracket 0 \rrbracket \text{ } None$

definition

$\sigma_1 \equiv \langle \langle \text{heap} = \text{empty}(oid0 \mapsto in_{Person} (mk_{Person} \text{ } oid0 \text{ } \llbracket 1000 \rrbracket \text{ } \llbracket oid1 \rrbracket))$
 $(oid1 \mapsto in_{Person} (mk_{Person} \text{ } oid1 \text{ } \llbracket 1200 \rrbracket \text{ } None))$
 $(*oid2*)$
 $(oid3 \mapsto in_{Person} (mk_{Person} \text{ } oid3 \text{ } \llbracket 2600 \rrbracket \text{ } \llbracket oid4 \rrbracket))$
 $(oid4 \mapsto in_{Person} \text{ } person5)$
 $(oid5 \mapsto in_{Person} (mk_{Person} \text{ } oid5 \text{ } \llbracket 2300 \rrbracket \text{ } \llbracket oid3 \rrbracket))$
 $(*oid6*)$
 $(*oid7*)$
 $(oid8 \mapsto in_{Person} \text{ } person9),$
 $assocs_2 = \text{empty},$
 $assocs_3 = \text{empty} \rangle \rangle$

definition

$\sigma_1' \equiv \langle \text{heap} = \text{empty}(\text{oid0} \mapsto \text{in}_{\text{Person}} \text{person1})$
 $\quad (\text{oid1} \mapsto \text{in}_{\text{Person}} \text{person2})$
 $\quad (\text{oid2} \mapsto \text{in}_{\text{Person}} \text{person3})$
 $\quad (\text{oid3} \mapsto \text{in}_{\text{Person}} \text{person4})$
 $\quad (*\text{oid4}*)$
 $\quad (\text{oid5} \mapsto \text{in}_{\text{Person}} \text{person6})$
 $\quad (\text{oid6} \mapsto \text{in}_{\text{OclAny}} \text{person7})$
 $\quad (\text{oid7} \mapsto \text{in}_{\text{OclAny}} \text{person8})$
 $\quad (\text{oid8} \mapsto \text{in}_{\text{Person}} \text{person9}),$
 $\text{assocs}_2 = \text{empty},$
 $\text{assocs}_3 = \text{empty} \rangle$

definition $\sigma_0 \equiv \langle \text{heap} = \text{empty}, \text{assocs}_2 = \text{empty}, \text{assocs}_3 = \text{empty} \rangle$

lemma *basic- τ -wff*: $WFF(\sigma_1, \sigma_1')$

by(*auto simp*: $WFF\text{-}def$ $\sigma_1\text{-}def$ $\sigma_1'\text{-}def$
 $\text{oid0}\text{-}def$ $\text{oid1}\text{-}def$ $\text{oid2}\text{-}def$ $\text{oid3}\text{-}def$ $\text{oid4}\text{-}def$ $\text{oid5}\text{-}def$ $\text{oid6}\text{-}def$ $\text{oid7}\text{-}def$ $\text{oid8}\text{-}def$
 $\text{oid-of-}\mathcal{A}\text{-}def$ $\text{oid-of-type}_{\text{Person}}\text{-}def$ $\text{oid-of-type}_{\text{OclAny}}\text{-}def$
 $\text{person1}\text{-}def$ $\text{person2}\text{-}def$ $\text{person3}\text{-}def$ $\text{person4}\text{-}def$
 $\text{person5}\text{-}def$ $\text{person6}\text{-}def$ $\text{person7}\text{-}def$ $\text{person8}\text{-}def$ $\text{person9}\text{-}def$)

lemma [*simp, code-unfold*]: $\text{dom}(\text{heap } \sigma_1) = \{\text{oid0}, \text{oid1}, (*, \text{oid2}*)\text{oid3}, \text{oid4}, \text{oid5}(*, \text{oid6}, \text{oid7}*), \text{oid8}\}$
by(*auto simp*: $\sigma_1\text{-}def$)

lemma [*simp, code-unfold*]: $\text{dom}(\text{heap } \sigma_1') = \{\text{oid0}, \text{oid1}, \text{oid2}, \text{oid3}, (*, \text{oid4}*)\text{oid5}, \text{oid6}, \text{oid7}, \text{oid8}\}$
by(*auto simp*: $\sigma_1'\text{-}def$)

definition $X_{\text{Person}1} :: \text{Person} \equiv \lambda - . \llbracket \text{person1} \rrbracket$

definition $X_{\text{Person}2} :: \text{Person} \equiv \lambda - . \llbracket \text{person2} \rrbracket$

definition $X_{\text{Person}3} :: \text{Person} \equiv \lambda - . \llbracket \text{person3} \rrbracket$

definition $X_{\text{Person}4} :: \text{Person} \equiv \lambda - . \llbracket \text{person4} \rrbracket$

definition $X_{\text{Person}5} :: \text{Person} \equiv \lambda - . \llbracket \text{person5} \rrbracket$

definition $X_{\text{Person}6} :: \text{Person} \equiv \lambda - . \llbracket \text{person6} \rrbracket$

definition $X_{\text{Person}7} :: \text{OclAny} \equiv \lambda - . \llbracket \text{person7} \rrbracket$

definition $X_{\text{Person}8} :: \text{OclAny} \equiv \lambda - . \llbracket \text{person8} \rrbracket$

definition $X_{\text{Person}9} :: \text{Person} \equiv \lambda - . \llbracket \text{person9} \rrbracket$

lemma [*code-unfold*]: $((x :: \text{Person}) \doteq y) = \text{StrictRefEq}_{\text{Object}} \ x \ y$ **by**(*simp only*:
 $\text{StrictRefEq}_{\text{Object-Person}}$)

lemma [*code-unfold*]: $((x :: \text{OclAny}) \doteq y) = \text{StrictRefEq}_{\text{Object}} \ x \ y$ **by**(*simp only*:
 $\text{StrictRefEq}_{\text{Object-OclAny}}$)

lemmas [*simp, code-unfold*] =

$\text{OclAsType}_{\text{OclAny-OclAny}}$

$\text{OclAsType}_{\text{OclAny-Person}}$

$\text{OclAsType}_{\text{Person-OclAny}}$

$\text{OclAsType}_{\text{Person-Person}}$

OclIsTypeOf_{OclAny}-OclAny
OclIsTypeOf_{OclAny}-Person
OclIsTypeOf_{Person}-OclAny
OclIsTypeOf_{Person}-Person

OclIsKindOf_{OclAny}-OclAny
OclIsKindOf_{OclAny}-Person
OclIsKindOf_{Person}-OclAny
OclIsKindOf_{Person}-Person

value $\bigwedge_{s_{pre}} \cdot (s_{pre}, \sigma_1') \models (X_{Person1} . salary <> \mathbf{1000})$
value $\bigwedge_{s_{pre}} \cdot (s_{pre}, \sigma_1') \models (X_{Person1} . salary \doteq \mathbf{1300})$
value $\bigwedge_{s_{post}} \cdot (\sigma_1, s_{post}) \models (X_{Person1} . salary@pre \doteq \mathbf{1000})$
value $\bigwedge_{s_{post}} \cdot (\sigma_1, s_{post}) \models (X_{Person1} . salary@pre <> \mathbf{1300})$
value $\bigwedge_{s_{pre}} \cdot (s_{pre}, \sigma_1') \models (X_{Person1} . boss <> X_{Person1})$
value $\bigwedge_{s_{pre}} \cdot (s_{pre}, \sigma_1') \models (X_{Person1} . boss . salary \doteq \mathbf{1800})$
value $\bigwedge_{s_{pre}} \cdot (s_{pre}, \sigma_1') \models (X_{Person1} . boss . boss <> X_{Person1})$
value $\bigwedge_{s_{pre}} \cdot (s_{pre}, \sigma_1') \models (X_{Person1} . boss . boss \doteq X_{Person2})$
value $(\sigma_1, \sigma_1') \models (X_{Person1} . boss@pre . salary \doteq \mathbf{1800})$
value $\bigwedge_{s_{post}} \cdot (\sigma_1, s_{post}) \models (X_{Person1} . boss@pre . salary@pre \doteq \mathbf{1200})$
value $\bigwedge_{s_{post}} \cdot (\sigma_1, s_{post}) \models (X_{Person1} . boss@pre . salary@pre <> \mathbf{1800})$
value $\bigwedge_{s_{post}} \cdot (\sigma_1, s_{post}) \models (X_{Person1} . boss@pre \doteq X_{Person2})$
value $(\sigma_1, \sigma_1') \models (X_{Person1} . boss@pre . boss \doteq X_{Person2})$
value $\bigwedge_{s_{post}} \cdot (\sigma_1, s_{post}) \models (X_{Person1} . boss@pre . boss@pre \doteq null)$
value $\bigwedge_{s_{post}} \cdot (\sigma_1, s_{post}) \models not(v(X_{Person1} . boss@pre . boss@pre . boss@pre))$

lemma $(\sigma_1, \sigma_1') \models (X_{Person1} . oclIsMaintained())$

by(*simp add: OclValid-def OclIsMaintained-def*
 σ_1 -def σ_1' -def
 *$X_{Person1}$ -def *person1*-def*
oid0-def oid1-def oid2-def oid3-def oid4-def oid5-def oid6-def
oid-of-option-def oid-of-type_{Person}-def)

lemma $\bigwedge_{s_{pre} s_{post}} \cdot (s_{pre}, s_{post}) \models ((X_{Person1} . oclAsType(OclAny) . oclAsType(Person)) \doteq X_{Person1})$

by(*rule up-down-cast-Person-OclAny-Person'*, *simp add: $X_{Person1}$ -def*)

value $\bigwedge_{s_{pre} s_{post}} \cdot (s_{pre}, s_{post}) \models (X_{Person1} . oclIsTypeOf(Person))$
value $\bigwedge_{s_{pre} s_{post}} \cdot (s_{pre}, s_{post}) \models not(X_{Person1} . oclIsTypeOf(OclAny))$
value $\bigwedge_{s_{pre} s_{post}} \cdot (s_{pre}, s_{post}) \models (X_{Person1} . oclIsKindOf(Person))$
value $\bigwedge_{s_{pre} s_{post}} \cdot (s_{pre}, s_{post}) \models (X_{Person1} . oclIsKindOf(OclAny))$
value $\bigwedge_{s_{pre} s_{post}} \cdot (s_{pre}, s_{post}) \models not(X_{Person1} . oclAsType(OclAny) . oclIsTypeOf(OclAny))$

value $\bigwedge_{s_{pre}} \cdot (s_{pre}, \sigma_1') \models (X_{Person2} . salary \doteq \mathbf{1800})$
value $\bigwedge_{s_{post}} \cdot (\sigma_1, s_{post}) \models (X_{Person2} . salary@pre \doteq \mathbf{1200})$
value $\bigwedge_{s_{pre}} \cdot (s_{pre}, \sigma_1') \models (X_{Person2} . boss \doteq X_{Person2})$
value $(\sigma_1, \sigma_1') \models (X_{Person2} . boss . salary@pre \doteq \mathbf{1200})$
value $(\sigma_1, \sigma_1') \models (X_{Person2} . boss . boss@pre \doteq null)$

value \wedge $s_{post} \cdot (\sigma_1, s_{post}) \models (X_{Person2} .boss@pre \doteq null)$
value \wedge $s_{post} \cdot (\sigma_1, s_{post}) \models (X_{Person2} .boss@pre <> X_{Person2})$
value $(\sigma_1, \sigma_1') \models (X_{Person2} .boss@pre <> (X_{Person2} .boss))$
value \wedge $s_{post} \cdot (\sigma_1, s_{post}) \models not(v(X_{Person2} .boss@pre .boss))$
value \wedge $s_{post} \cdot (\sigma_1, s_{post}) \models not(v(X_{Person2} .boss@pre .salary@pre))$
lemma $(\sigma_1, \sigma_1') \models (X_{Person2} .oclIsMaintained())$
by(simp add: OclValid-def OclIsMaintained-def
 σ_1 -def σ_1' -def
 $X_{Person2}$ -def person2-def
oid0-def oid1-def oid2-def oid3-def oid4-def oid5-def oid6-def
oid-of-option-def oid-of-type $_{Person}$ -def)

value \wedge $s_{pre} \cdot (s_{pre}, \sigma_1') \models (X_{Person3} .salary \doteq null)$
value \wedge $s_{post} \cdot (\sigma_1, s_{post}) \models not(v(X_{Person3} .salary@pre))$
value \wedge $s_{pre} \cdot (s_{pre}, \sigma_1') \models (X_{Person3} .boss \doteq null)$
value \wedge $s_{pre} \cdot (s_{pre}, \sigma_1') \models not(v(X_{Person3} .boss .salary))$
value \wedge $s_{post} \cdot (\sigma_1, s_{post}) \models not(v(X_{Person3} .boss@pre))$
lemma $(\sigma_1, \sigma_1') \models (X_{Person3} .oclIsNew())$
by(simp add: OclValid-def OclIsNew-def
 σ_1 -def σ_1' -def
 $X_{Person3}$ -def person3-def
oid0-def oid1-def oid2-def oid3-def oid4-def oid5-def oid6-def oid8-def
oid-of-option-def oid-of-type $_{Person}$ -def)

value \wedge $s_{post} \cdot (\sigma_1, s_{post}) \models (X_{Person4} .boss@pre \doteq X_{Person5})$
value $(\sigma_1, \sigma_1') \models not(v(X_{Person4} .boss@pre .salary))$
value \wedge $s_{post} \cdot (\sigma_1, s_{post}) \models (X_{Person4} .boss@pre .salary@pre \doteq 3500)$
lemma $(\sigma_1, \sigma_1') \models (X_{Person4} .oclIsMaintained())$
by(simp add: OclValid-def OclIsMaintained-def
 σ_1 -def σ_1' -def
 $X_{Person4}$ -def person4-def
oid0-def oid1-def oid2-def oid3-def oid4-def oid5-def oid6-def
oid-of-option-def oid-of-type $_{Person}$ -def)

value \wedge $s_{pre} \cdot (s_{pre}, \sigma_1') \models not(v(X_{Person5} .salary))$
value \wedge $s_{post} \cdot (\sigma_1, s_{post}) \models (X_{Person5} .salary@pre \doteq 3500)$
value \wedge $s_{pre} \cdot (s_{pre}, \sigma_1') \models not(v(X_{Person5} .boss))$
lemma $(\sigma_1, \sigma_1') \models (X_{Person5} .oclIsDeleted())$
by(simp add: OclNot-def OclValid-def OclIsDeleted-def
 σ_1 -def σ_1' -def
 $X_{Person5}$ -def person5-def
oid0-def oid1-def oid2-def oid3-def oid4-def oid5-def oid6-def oid7-def oid8-def
oid-of-option-def oid-of-type $_{Person}$ -def)

value $\bigwedge_{s_{pre} \quad \cdot} (s_{pre}, \sigma_1') \models \text{not}(v(X_{Person6} . \text{boss} . \text{salary}@pre))$
value $\bigwedge_{s_{post} \quad \cdot} (\sigma_1, s_{post}) \models (X_{Person6} . \text{boss}@pre \doteq X_{Person4})$
value $(\sigma_1, \sigma_1') \models (X_{Person6} . \text{boss}@pre . \text{salary} \doteq \mathbf{2900})$
value $\bigwedge_{s_{post} \quad \cdot} (\sigma_1, s_{post}) \models (X_{Person6} . \text{boss}@pre . \text{salary}@pre \doteq \mathbf{2600})$
value $\bigwedge_{s_{post} \quad \cdot} (\sigma_1, s_{post}) \models (X_{Person6} . \text{boss}@pre . \text{boss}@pre \doteq X_{Person5})$
lemma $(\sigma_1, \sigma_1') \models (X_{Person6} . \text{oclIsMaintained}())$
by(*simp add: OclValid-def OclIsMaintained-def*
 σ_1 -def σ_1' -def
 $X_{Person6}$ -def *person6*-def
oid0-def oid1-def oid2-def oid3-def oid4-def oid5-def oid6-def
oid-of-option-def oid-of-type $_{Person}$ -def)

value $\bigwedge_{s_{pre} \quad s_{post} \quad \cdot} (s_{pre}, s_{post}) \models v(X_{Person7} . \text{oclAsType}(Person))$
value $\bigwedge_{s_{post} \quad \cdot} (\sigma_1, s_{post}) \models \text{not}(v(X_{Person7} . \text{oclAsType}(Person) . \text{boss}@pre))$
lemma $\bigwedge_{s_{pre} \quad s_{post} \quad \cdot} (s_{pre}, s_{post}) \models ((X_{Person7} . \text{oclAsType}(Person) . \text{oclAsType}(OclAny) . \text{oclAsType}(Person)) \doteq (X_{Person7} . \text{oclAsType}(Person)))$
by(*rule up-down-cast-Person-OclAny-Person', simp add: X_{Person7}-def OclValid-def valid-def person7-def*)
lemma $(\sigma_1, \sigma_1') \models (X_{Person7} . \text{oclIsNew}())$
by(*simp add: OclValid-def OclIsNew-def*
 σ_1 -def σ_1' -def
 $X_{Person7}$ -def *person7*-def
oid0-def oid1-def oid2-def oid3-def oid4-def oid5-def oid6-def oid8-def
oid-of-option-def oid-of-type $_{OclAny}$ -def)

value $\bigwedge_{s_{pre} \quad s_{post} \quad \cdot} (s_{pre}, s_{post}) \models (X_{Person8} <> X_{Person7})$
value $\bigwedge_{s_{pre} \quad s_{post} \quad \cdot} (s_{pre}, s_{post}) \models \text{not}(v(X_{Person8} . \text{oclAsType}(Person)))$
value $\bigwedge_{s_{pre} \quad s_{post} \quad \cdot} (s_{pre}, s_{post}) \models (X_{Person8} . \text{oclIsTypeOf}(OclAny))$
value $\bigwedge_{s_{pre} \quad s_{post} \quad \cdot} (s_{pre}, s_{post}) \models \text{not}(X_{Person8} . \text{oclIsTypeOf}(Person))$
value $\bigwedge_{s_{pre} \quad s_{post} \quad \cdot} (s_{pre}, s_{post}) \models \text{not}(X_{Person8} . \text{oclIsKindOf}(Person))$
value $\bigwedge_{s_{pre} \quad s_{post} \quad \cdot} (s_{pre}, s_{post}) \models (X_{Person8} . \text{oclIsKindOf}(OclAny))$

lemma σ -modifiedonly: $(\sigma_1, \sigma_1') \models (\text{Set}\{ X_{Person1} . \text{oclAsType}(OclAny) , X_{Person2} . \text{oclAsType}(OclAny) (*, X_{Person3} . \text{oclAsType}(OclAny)*) , X_{Person4} . \text{oclAsType}(OclAny) (*, X_{Person5} . \text{oclAsType}(OclAny)*) , X_{Person6} . \text{oclAsType}(OclAny) (*, X_{Person7} . \text{oclAsType}(OclAny)*) (*, X_{Person8} . \text{oclAsType}(OclAny)*) (*, X_{Person9} . \text{oclAsType}(OclAny)*) \} \rightarrow \text{oclIsModifiedOnly}())$
apply(*simp add: OclIsModifiedOnly-def OclValid-def*

```

oid0-def oid1-def oid2-def oid3-def oid4-def oid5-def oid6-def oid7-def oid8-def
XPerson1-def XPerson2-def XPerson3-def XPerson4-def
XPerson5-def XPerson6-def XPerson7-def XPerson8-def XPerson9-def
person1-def person2-def person3-def person4-def
person5-def person6-def person7-def person8-def person9-def
image-def)
apply(simp add: OclIncluding-rep-set mtSet-rep-set null-option-def bot-option-def)
apply(simp add: oid-of-option-def oid-of-typeOclAny-def, clarsimp)
apply(simp add:  $\sigma_1$ -def  $\sigma_1'$ -def
oid0-def oid1-def oid2-def oid3-def oid4-def oid5-def oid6-def oid7-def oid8-def)
done

lemma ( $\sigma_1, \sigma_1'$ )  $\models ((X_{Person9} @pre (\lambda x. \lfloor OclAsType_{Person} \mathfrak{A} x \rfloor)) \triangleq X_{Person9})$ 
by(simp add: OclSelf-at-pre-def  $\sigma_1$ -def oid-of-option-def oid-of-typePerson-def
XPerson9-def person9-def oid8-def OclValid-def StrongEq-def OclAsTypePerson- $\mathfrak{A}$ -def)

lemma ( $\sigma_1, \sigma_1'$ )  $\models ((X_{Person9} @post (\lambda x. \lfloor OclAsType_{Person} \mathfrak{A} x \rfloor)) \triangleq X_{Person9})$ 
by(simp add: OclSelf-at-post-def  $\sigma_1'$ -def oid-of-option-def oid-of-typePerson-def
XPerson9-def person9-def oid8-def OclValid-def StrongEq-def OclAsTypePerson- $\mathfrak{A}$ -def)

lemma ( $\sigma_1, \sigma_1'$ )  $\models (((X_{Person9} .oclAsType(OclAny)) @pre (\lambda x. \lfloor OclAsType_{OclAny} \mathfrak{A} x \rfloor)) \triangleq$ 
 $((X_{Person9} .oclAsType(OclAny)) @post (\lambda x. \lfloor OclAsType_{OclAny} \mathfrak{A} x \rfloor)))$ 
proof –

have including4 :  $\bigwedge a b c d \tau.$ 
Set{ $\lambda \tau. \lfloor \lfloor a \rfloor \rfloor, \lambda \tau. \lfloor \lfloor b \rfloor \rfloor, \lambda \tau. \lfloor \lfloor c \rfloor \rfloor, \lambda \tau. \lfloor \lfloor d \rfloor \rfloor$ }  $\tau = Abs-Set-0 \lfloor \lfloor \{ \lfloor \lfloor a \rfloor \rfloor, \lfloor \lfloor b \rfloor \rfloor, \lfloor \lfloor c \rfloor \rfloor, \lfloor \lfloor d \rfloor \rfloor \} \rfloor \rfloor$ 
apply(subst abs-rep-simp'[symmetric], simp)
by(simp add: OclIncluding-rep-set mtSet-rep-set)

have excluding1:  $\bigwedge S a b c d e \tau.$ 
 $(\lambda \tau. Abs-Set-0 \lfloor \lfloor \{ \lfloor \lfloor a \rfloor \rfloor, \lfloor \lfloor b \rfloor \rfloor, \lfloor \lfloor c \rfloor \rfloor, \lfloor \lfloor d \rfloor \rfloor \} \rfloor \rfloor) \rightarrow excluding(\lambda \tau. \lfloor \lfloor e \rfloor \rfloor) \tau =$ 
 $Abs-Set-0 \lfloor \lfloor \{ \lfloor \lfloor a \rfloor \rfloor, \lfloor \lfloor b \rfloor \rfloor, \lfloor \lfloor c \rfloor \rfloor, \lfloor \lfloor d \rfloor \rfloor \} - \{ \lfloor \lfloor e \rfloor \rfloor \} \rfloor \rfloor$ 
apply(simp add: OclExcluding-def)
apply(simp add: defined-def OclValid-def false-def true-def
bot-fun-def bot-Set-0-def null-fun-def null-Set-0-def)
apply(rule conjI)
apply(rule impI, subst (asm) Abs-Set-0-inject) apply( simp add: bot-option-def)+
apply(rule conjI)
apply(rule impI, subst (asm) Abs-Set-0-inject) apply( simp add: bot-option-def
null-option-def)+
apply(subst Abs-Set-0-inverse, simp add: bot-option-def, simp)
done

show ?thesis
apply(rule framing[where  $X = Set\{ X_{Person1} .oclAsType(OclAny)$ 
 $, X_{Person2} .oclAsType(OclAny)$ 
 $(*, X_{Person3} .oclAsType(OclAny)*)$ 
 $, X_{Person4} .oclAsType(OclAny)$ 

```

```

    (*, XPerson5 .oclAsType(OclAny)*)
    , XPerson6 .oclAsType(OclAny)
    (*, XPerson7 .oclAsType(OclAny)*)
    (*, XPerson8 .oclAsType(OclAny)*)
    (*, XPerson9 .oclAsType(OclAny)*)})])
  apply(cut-tac  $\sigma$ -modifiedonly)
  apply(simp only: OclValid-def
    XPerson1-def XPerson2-def XPerson3-def XPerson4-def
    XPerson5-def XPerson6-def XPerson7-def XPerson8-def XPerson9-def
    person1-def person2-def person3-def person4-def
    person5-def person6-def person7-def person8-def person9-def
    OclAsTypeOclAny-Person)
  apply(subst cp-OclIsModifiedOnly, subst cp-OclExcluding,
    subst (asm) cp-OclIsModifiedOnly, simp add: including4 excluding1)

  apply(simp only: XPerson1-def XPerson2-def XPerson3-def XPerson4-def
    XPerson5-def XPerson6-def XPerson7-def XPerson8-def XPerson9-def
    person1-def person2-def person3-def person4-def
    person5-def person6-def person7-def person8-def person9-def)
  apply(simp add: OclIncluding-rep-set mtSet-rep-set
    oid0-def oid1-def oid2-def oid3-def oid4-def oid5-def oid6-def oid7-def oid8-def)
  apply(simp add: StrictRefEqObject-def oid-of-option-def oid-of-typeOclAny-def OclNot-def
    OclValid-def
    null-option-def bot-option-def)

done
qed

lemma perm- $\sigma_1'$  :  $\sigma_1' = ()$  heap = empty
  (oid8  $\mapsto$  inPerson person9)
  (oid7  $\mapsto$  inOclAny person8)
  (oid6  $\mapsto$  inOclAny person7)
  (oid5  $\mapsto$  inPerson person6)
  (*oid4*)
  (oid3  $\mapsto$  inPerson person4)
  (oid2  $\mapsto$  inPerson person3)
  (oid1  $\mapsto$  inPerson person2)
  (oid0  $\mapsto$  inPerson person1)
  , assocs2 = assocs2  $\sigma_1'$ 
  , assocs3 = assocs3  $\sigma_1'$  ()

proof -
  note P = fun-upd-twist
  show ?thesis
  apply(simp add:  $\sigma_1'$ -def
    oid0-def oid1-def oid2-def oid3-def oid4-def oid5-def oid6-def oid7-def oid8-def)
  apply(subst (1) P, simp)
  apply(subst (2) P, simp) apply(subst (1) P, simp)
  apply(subst (3) P, simp) apply(subst (2) P, simp) apply(subst (1) P, simp)
  apply(subst (4) P, simp) apply(subst (3) P, simp) apply(subst (2) P, simp) apply(subst
    (1) P, simp)

```

apply(subst (5) P, simp) **apply**(subst (4) P, simp) **apply**(subst (3) P, simp) **apply**(subst (2) P, simp) **apply**(subst (1) P, simp)
apply(subst (6) P, simp) **apply**(subst (5) P, simp) **apply**(subst (4) P, simp) **apply**(subst (3) P, simp) **apply**(subst (2) P, simp) **apply**(subst (1) P, simp)
apply(subst (7) P, simp) **apply**(subst (6) P, simp) **apply**(subst (5) P, simp) **apply**(subst (4) P, simp) **apply**(subst (3) P, simp) **apply**(subst (2) P, simp) **apply**(subst (1) P, simp)
by(simp)
qed

declare const-ss [simp]

lemma $\bigwedge \sigma_1.$

$(\sigma_1, \sigma_1') \models (Person.allInstances() \doteq Set\{ X_{Person1}, X_{Person2}, X_{Person3}, X_{Person4}(*, X_{Person5}*), X_{Person6},$
 $X_{Person7}.oclAsType(Person)(*, X_{Person8}*), X_{Person9} \})$

apply(subst perm- σ_1')
apply(simp only: oid0-def oid1-def oid2-def oid3-def oid4-def oid5-def oid6-def oid7-def oid8-def
 $X_{Person1}$ -def $X_{Person2}$ -def $X_{Person3}$ -def $X_{Person4}$ -def
 $X_{Person5}$ -def $X_{Person6}$ -def $X_{Person7}$ -def $X_{Person8}$ -def $X_{Person9}$ -def
 $person7$ -def)
apply(subst state-update-vs-allInstances-at-post-tc, simp, simp add: OclAsType $_{Person}$ - \mathcal{A} -def,
simp, rule const-StrictRefEq $_{Set}$ -including, simp, simp, simp, rule OclIncluding-cong, simp,
simp)
apply(subst state-update-vs-allInstances-at-post-tc, simp, simp add: OclAsType $_{Person}$ - \mathcal{A} -def,
simp, rule const-StrictRefEq $_{Set}$ -including, simp, simp, simp, rule OclIncluding-cong, simp,
simp)
apply(subst state-update-vs-allInstances-at-post-tc, simp, simp add: OclAsType $_{Person}$ - \mathcal{A} -def,
simp, rule const-StrictRefEq $_{Set}$ -including, simp, simp, simp, rule OclIncluding-cong, simp,
simp)
apply(subst state-update-vs-allInstances-at-post-tc, simp, simp add: OclAsType $_{Person}$ - \mathcal{A} -def,
simp, rule const-StrictRefEq $_{Set}$ -including, simp, simp, simp, rule OclIncluding-cong, simp,
simp)
apply(subst state-update-vs-allInstances-at-post-ntc, simp, simp add:
OclAsType $_{Person}$ - \mathcal{A} -def, simp, rule const-StrictRefEq $_{Set}$ -including, simp, simp, simp,
rule OclIncluding-cong, simp, simp)
apply(subst state-update-vs-allInstances-at-post-ntc, simp, simp add:
OclAsType $_{Person}$ - \mathcal{A} -def
 $person8$ -def, simp, rule
const-StrictRefEq $_{Set}$ -including, simp, simp, simp)
apply(subst state-update-vs-allInstances-at-post-tc, simp, simp add:
OclAsType $_{Person}$ - \mathcal{A} -def, simp, rule const-StrictRefEq $_{Set}$ -including, simp, simp, simp,
rule OclIncluding-cong, simp, simp)
apply(rule state-update-vs-allInstances-at-post-empty)
by(simp-all add: OclAsType $_{Person}$ - \mathcal{A} -def)

lemma $\bigwedge \sigma_1.$


```

( $\sigma_1, \sigma_1'$ )  $\models$  (OclAny .allInstances()  $\doteq$  Set{ XPerson1 .oclAsType(OclAny), XPerson2
.oclAsType(OclAny),
 $X_{Person3}$  .oclAsType(OclAny),  $X_{Person4}$  .oclAsType(OclAny)
(*,  $X_{Person5}$ ),  $X_{Person6}$  .oclAsType(OclAny),
 $X_{Person7}$ ,  $X_{Person8}$ ,  $X_{Person9}$  .oclAsType(OclAny) })

apply(subst perm- $\sigma_1'$ )
apply(simp only: oid0-def oid1-def oid2-def oid3-def oid4-def oid5-def oid6-def oid7-def oid8-def
 $X_{Person1}$ -def  $X_{Person2}$ -def  $X_{Person3}$ -def  $X_{Person4}$ -def  $X_{Person5}$ -def
 $X_{Person6}$ -def  $X_{Person7}$ -def  $X_{Person8}$ -def  $X_{Person9}$ -def
person1-def person2-def person3-def person4-def person5-def person6-def person9-def)
apply(subst state-update-vs-allInstances-at-post-tc, simp, simp add: OclAsTypeOclAny- $\mathcal{A}$ -def,
simp, rule const-StrictRefEqSet-including, simp, simp, simp, rule OclIncluding-cong, simp,
simp)+
apply(rule state-update-vs-allInstances-at-post-empty)
by(simp-all add: OclAsTypeOclAny- $\mathcal{A}$ -def)

end

```

7.2. The Employee Design Model (OCL)

```

theory
  Employee-DesignModel-OCLPart
imports
  Employee-DesignModel-UMLPart
begin

```

7.2.1. Standard State Infrastructure

Ideally, these definitions are automatically generated from the class model.

7.2.2. Invariant

These recursive predicates can be defined conservatively by greatest fix-point constructions—automatically. See [4, 6] for details. For the purpose of this example, we state them as axioms here.

```

axiomatization inv-Person :: Person  $\Rightarrow$  Boolean
where A : ( $\tau \models (\delta \text{ self})$ )  $\longrightarrow$ 
  ( $\tau \models \text{inv-Person}(\text{self})$ ) =
    (( $\tau \models (\text{self} . \text{boss} \doteq \text{null})$ )  $\vee$ 
    ( $\tau \models (\text{self} . \text{boss} <> \text{null}) \wedge (\tau \models ((\text{self} . \text{salary}) \leq (\text{self} . \text{boss} . \text{salary}))$ ))  $\wedge$ 
    ( $\tau \models (\text{inv-Person}(\text{self} . \text{boss}))$ ))

```

```

axiomatization inv-Person-at-pre :: Person  $\Rightarrow$  Boolean
where B : ( $\tau \models (\delta \text{ self})$ )  $\longrightarrow$ 
  ( $\tau \models \text{inv-Person-at-pre}(\text{self})$ ) =

```

$$\begin{aligned}
& ((\tau \models (\text{self}.\text{boss}@pre \doteq \text{null})) \vee \\
& (\tau \models (\text{self}.\text{boss}@pre <> \text{null})) \wedge \\
& (\tau \models (\text{self}.\text{boss}@pre.\text{salary}@pre \leq \text{self}.\text{salary}@pre)) \wedge \\
& (\tau \models (\text{inv-Person-at-pre}(\text{self}.\text{boss}@pre))))
\end{aligned}$$

A very first attempt to characterize the axiomatization by an inductive definition - this can not be the last word since too weak (should be equality!)

coinductive *inv* :: *Person* \Rightarrow (\mathbb{A})*st* \Rightarrow *bool* **where**
 $(\tau \models (\delta \text{ self})) \Rightarrow ((\tau \models (\text{self}.\text{boss} \doteq \text{null})) \vee$
 $(\tau \models (\text{self}.\text{boss} <> \text{null})) \wedge (\tau \models (\text{self}.\text{boss}.\text{salary} \leq \text{self}.\text{salary})) \wedge$
 $(\text{inv}(\text{self}.\text{boss})\tau))$
 $\Rightarrow (\text{inv self } \tau)$

7.2.3. The Contract of a Recursive Query

The original specification of a recursive query :

```

context Person :: contents() : Set(Integer)
post:   result = if self.boss = null
           then Set{i}
           else self.boss.contents()->including(i)
           endif

```

consts *dot-contents* :: *Person* \Rightarrow *Set-Integer* $((1(-).\text{contents}'()) \ 50)$

axiomatization where *dot-contents-def*:

$(\tau \models ((\text{self}).\text{contents}() \triangleq \text{result})) =$
 $(\text{if } (\delta \text{ self}) \ \tau = \text{true} \ \tau$
 $\text{then } ((\tau \models \text{true}) \wedge$
 $\quad (\tau \models (\text{result} \triangleq \text{if } (\text{self}.\text{boss} \doteq \text{null})$
 $\quad \quad \text{then } (\text{Set}\{\text{self}.\text{salary}\})$
 $\quad \quad \text{else } (\text{self}.\text{boss}.\text{contents}()->\text{including}(\text{self}.\text{salary}))$
 $\quad \quad \text{endif}))$
 $\text{else } \tau \models \text{result} \triangleq \text{invalid})$

consts *dot-contents-AT-pre* :: *Person* \Rightarrow *Set-Integer* $((1(-).\text{contents}@pre'()) \ 50)$

axiomatization where *dot-contents-AT-pre-def*:

$(\tau \models (\text{self}).\text{contents}@pre() \triangleq \text{result})) =$
 $(\text{if } (\delta \text{ self}) \ \tau = \text{true} \ \tau$
 $\text{then } \tau \models \text{true} \wedge \quad \quad \quad (* \text{ pre } *)$
 $\quad \tau \models (\text{result} \triangleq \text{if } (\text{self}.\text{boss}@pre \doteq \text{null}) \ (* \text{ post } *)$
 $\quad \quad \text{then } \text{Set}\{(\text{self}).\text{salary}@pre\}$
 $\quad \quad \text{else } (\text{self}.\text{boss}@pre.\text{contents}@pre()->\text{including}(\text{self}.\text{salary}@pre))$
 $\quad \quad \text{endif})$
 $\text{else } \tau \models \text{result} \triangleq \text{invalid})$

These @pre variants on methods are only available on queries, i. e., operations without side-effect.

7.2.4. The Contract of a Method

The specification in high-level OCL input syntax reads as follows:

```
context Person :: insert(x: Integer)
post: contents(): Set(Integer)
contents() = contents@pre()->including(x)
```

```
consts dot-insert :: Person  $\Rightarrow$  Integer  $\Rightarrow$  Void ((1(-).insert'(-)) 50)
```

axiomatization where *dot-insert-def*:

```
( $\tau \models ((self).insert(x) \triangleq result)$ ) =
  (if ( $\delta self$ )  $\tau = true$   $\tau \wedge (v x) \tau = true$   $\tau$ 
    then  $\tau \models true \wedge$ 
       $\tau \models ((self).contents() \triangleq (self).contents@pre()->including(x))$ 
    else  $\tau \models ((self).insert(x) \triangleq invalid)$ )
```

end

Part IV.

Conclusion

8. Conclusion

8.1. Lessons Learned and Contributions

We provided a typed and type-safe shallow embedding of the core of UML [31, 32] and OCL [33]. Shallow embedding means that types of OCL were injectively, i.e., mapped by the embedding one-to-one to types in Isabelle/HOL [27]. We followed the usual methodology to build up the theory uniquely by conservative extensions of all operators in a denotational style and to derive logical and algebraic (execution) rules from them; thus, we can guarantee the logical consistency of the library and instances of the class model construction, i.e., closed-world object-oriented datatype theories, as long as it follows the described methodology.¹ Moreover, all derived execution rules are by construction type-safe (which would be an issue, if we had chosen to use an object universe construction in Zermelo-Fraenkel set theory as an alternative approach to subtyping.). In more detail, our theory gives answers and concrete solutions to a number of open major issues for the UML/OCL standardization:

1. the role of the two exception elements `invalid` and `null`, the former usually assuming strict evaluation while the latter ruled by non-strict evaluation.
2. the functioning of the resulting four-valued logic, together with safe rules (for example `foundation9` – `foundation12` in Section 3.5.2) that allow a reduction to two-valued reasoning as required for many automated provers. The resulting logic still enjoys the rules of a strong Kleene Logic in the spirit of the Amsterdam Manifesto [19].
3. the complicated life resulting from the two necessary equalities: the standard’s “strict weak referential equality” as default (written $_ \doteq _$ throughout this document) and the strong equality (written $_ \triangleq _$), which follows the logical Leibniz principle that “equals can be replaced by equals.” Which is not necessarily the case if `invalid` or objects of different states are involved.
4. a type-safe representation of objects and a clarification of the old idea of a one-to-one correspondence between object representations and object-id’s, which became a state invariant.
5. a simple concept of state-framing via the novel operator `_->oclIsModifiedOnly()` and its consequences for strong and weak equality.

¹Our two examples of `Employee_DesignModel` (see Chapter 7) sketch how this construction can be captured by an automated process.

6. a semantic view on subtyping clarifying the role of static and dynamic type (aka *apparent* and *actual* type in Java terminology), and its consequences for casts, dynamic type-tests, and static types.
7. a semantic view on path expressions, that clarify the role of invalid and null as well as the tricky issues related to de-referentiation in pre- and post state.
8. an optional extension of the OCL semantics by *infinite* sets that provide means to represent “the set of potential objects or values” to state properties over them (this will be an important feature if OCL is intended to become a full-blown code annotation language in the spirit of JML [25] for semi-automated code verification, and has been considered desirable in the Aachen Meeting [15]).

Moreover, we managed to make our theory in large parts executable, which allowed us to include mechanically checked value-statements that capture numerous corner-cases relevant for OCL implementors. Among many minor issues, we thus pin-pointed the behavior of `null` in collections as well as in casts and the desired `isKindOf`-semantics of `allInstances()`.

8.2. Lessons Learned

While our paper and pencil arguments, given in [13], turned out to be essentially correct, there had also been a lesson to be learned: If the logic is not defined as a Kleene-Logic, having a structure similar to a complete partial order (CPO), reasoning becomes complicated: several important algebraic laws break down which makes reasoning in OCL inherent messy and a semantically clean compilation of OCL formulae to a two-valued presentation, that is amenable to animators like KodKod [36] or SMT-solvers like Z3 [20] completely impractical. Concretely, if the expression `not(null)` is defined `invalid` (as is the case in the present standard [33]), then standard involution does not hold, i. e., `not(not(A)) = A` does not hold universally. Similarly, if `null and null` is `invalid`, then not even idempotence `X and X = X` holds. We strongly argue in favor of a lattice-like organization, where `null` represents “more information” than `invalid` and the logical operators are monotone with respect to this semantical “information ordering.”

A similar experience with prior paper and pencil arguments was our investigation of the object-oriented data-models, in particular path-expressions [16]. The final presentation is again essentially correct, but the technical details concerning exception handling lead finally to a continuation-passing style of the (in future generated) definitions for accessors, casts and tests. Apparently, OCL semantics (as many other “real” programming and specification languages) is meanwhile too complex to be treated by informal arguments solely.

Featherweight OCL makes several minor deviations from the standard and showed how the previous constructions can be made correct and consistent, and the DNF-normalization as well as δ -closure laws (necessary for a transition into a two-valued

presentation of OCL specifications ready for interpretation in SMT solvers (see [14] for details)) are valid in Featherweight OCL.

8.3. Conclusion and Future Work

Featherweight OCL concentrates on formalizing the semantics of a core subset of OCL in general and in particular on formalizing the consequences of a four-valued logic (i. e., OCL versions that support, besides the truth values `true` and `false` also the two exception values `invalid` and `null`).

In the following, we outline the necessary steps for turning Featherweight OCL into a fully fledged tool for OCL, e. g., similar to HOL-OCL as well as for supporting test case generation similar to HOL-TestGen [9]. There are essentially five extensions necessary:

- extension of the library to support all OCL data types, e. g., `OrderedSet(T)` or `Sequence(T)`. This formalization of the OCL standard library can be used for checking the consistency of the formal semantics (known as “Annex A”) with the informal and semi-formal requirements in the normative part of the OCL standard.
- development of a compiler that compiles a textual or CASE tool representation (e. g., using XMI or the textual syntax of the USE tool [35]) of class models. Such compiler could also generate the necessary casts when converting standard OCL to Featherweight OCL as well as providing “normalizations” such as converting multiplicities of class attributes to into OCL class invariants.
- a setup for translating Featherweight OCL into a two-valued representation as described in [14]. As, in real-world scenarios, large parts of UML/OCL specifications are defined (e. g., from the default multiplicity 1 of an attributes `x`, we can directly infer that for all valid states `x` is neither `invalid` nor `null`), such a translation enables an efficient test case generation approach.
- a setup in Featherweight OCL of the Nitpick animator [3]. It remains to be shown that the standard, Kodkod [36] based animator in Isabelle can give a similar quality of animation as the OCLexec Tool [24]
- a code-generator setup for Featherweight OCL for Isabelle’s code generator. For example, the Isabelle code generator supports the generation of F#, which would allow to use OCL specifications for testing arbitrary .net-based applications.

The first two extensions are sufficient to provide a formal proof environment for OCL 2.5 similar to HOL-OCL while the remaining extensions are geared towards increasing the degree of proof automation and usability as well as providing a tool-supported test methodology for UML/OCL.

Our work shows that developing a machine-checked formal semantics of recent OCL standards still reveals significant inconsistencies—even though this type of research is not new. In fact, we started our work already with the 1.x series of OCL. The reasons for this ongoing consistency problems of OCL standard are manifold. For example, the

consequences of adding an additional exception value to OCL 2.2 are widespread across the whole language and many of them are also quite subtle. Here, a machine-checked formal semantics is of great value, as one is forced to formalize all details and subtleties. Moreover, the standardization process of the OMG, in which standards (e. g., the UML infrastructure and the OCL standard) that need to be aligned closely are developed quite independently, are prone to ad-hoc changes that attempt to align these standards. And, even worse, updating a standard document by voting on the acceptance (or rejection) of isolated text changes does not help either. Here, a tool for the editor of the standard that helps to check the consistency of the whole standard after each and every modifications can be of great value as well.

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