

# CHAPTER 3

## VECTOR SPACES AND SUBSPACES

### 3.1 Introduction

In the previous chapter (chapter – 2) much has been discussed about two dimensional (plane) and three dimensional (space) vectors. The set of all these vectors under addition forms a commutative group. In algebra we know that the structure  $(F, +, \cdot)$  is said to be a field if

- (i)  $F$  is a nonempty set
- (ii)  $F$  is a commutative group under addition  $+$
- (iii) The set of all nonzero elements of  $F$  forms a commutative group under multiplication.
- (iv) Multiplication is distributive over addition.

The set of all real numbers  $R$  under ordinary addition and multiplication is a field i.e.,  $(R, +, \cdot)$  is a field.

With respect to a set of vectors we can have a field  $(F, +, \cdot)$  such that the elements of  $F$  are regarded as scalars. For example with regard to the set of vectors  $v_2 = \{(x_1, x_2) \mid x_1, x_2 \in R\}$ , we consider the real field  $(R, +, \cdot)$  so that the elements of  $R$  (real number set) are regarded as scalars. In chapter – 2 we have discussed some properties of vectors under scalar multiplication (multiplication of a vector by a scalar)

In this chapter we extend the idea of vectors of two and three dimensions to higher dimension. An  $n$ -dimensional vector is  $v = (x_1, x_2, \dots, x_n)$ ,  $x_i \in F \forall i$ . It has  $n$  components and each component  $x_i$  is an element of a field.  $v_n = \{(x_1, x_2, \dots, x_n), x_i \in R \forall i\}$  is the set of all  $n$ -tuple of real numbers. Each vector of this set is an  $n$ -dimensional vector.

Taking into account the properties of vectors under addition and scalar multiplication we define a new structure called vector space.

### 3.2 Vectorspaces :

**Definition :** Let  $(F, +, \cdot)$  be a field (whose elements will be termed as scalars). Let  $V$  be a non-empty set over which there is a binary operation called addition and a scalar multiplication with respect to  $F$ . Then  $V$  is said to be a **vector space** (or **linear space**) over  $F$  if following axioms are satisfied.

VA.  $(V, +)$  is an abelian group.

SM. The scalar multiplication (the multiplication of a vector by a scalar) satisfies :

$$(a) \alpha(u + v) = \alpha u + \alpha v \text{ and } (\alpha + \beta)u = \alpha u + \beta u$$

for all scalars  $\alpha, \beta \in F$  and all  $u, v \in V$ .

$$(b) \alpha(\beta u) = (\alpha\beta)u = \beta(\alpha u) \text{ for all scalars } \alpha, \beta \in F \text{ and all } u \in V.$$

$$(c) 1u = u \text{ for all } u \in V.$$

**Example 3.2.1 :**  $V_2$  is a vector space over the field  $(R, +, \cdot)$  of real numbers.

**Proof :** In chapter 2 we know that  $(V_2, +)$  is an abelian group.

For all  $\alpha, \beta \in R$  and  $u, v \in V_2$

$$\alpha(u + v) = \alpha u + \alpha v, (\alpha + \beta)u = \alpha u + \beta u$$

$$\text{and } 1 \cdot u = u.$$

So  $V_2$  is a vector space over  $R$ .

Similarly basing on the properties of vector addition and scalar multiplication mentioned in chapter 2 it is easy to show that  $V_3$  is also a vector space over the field of real numbers.

**Example 3.2.2 :** Let  $V_n$  be the set of all  $n$ -tuple of real numbers. Let us define addition and scalar multiplication on  $V_n$  as follows :

**Addition –** For  $u = (x_1, x_2, \dots, x_n),$

$$v = (y_1, y_2, \dots, y_n) \in V_n.$$

$$u + v = (x_1 + y_1, x_2 + y_2, \dots, x_n + y_n) \in V_n$$

This is called co-ordinatewise addition.

**Scalar multiplication –** If  $\alpha$  be any scalar and  $u = (x_1, x_2, \dots, x_n) \in V_n$ , then the scalar multiplication  $\alpha u$  is defined as

$$\alpha u = (\alpha x_1, \alpha x_2, \dots, \alpha x_n)$$

This is called co-ordinatewise scalar multiplication

(i) Since for all  $u, v \in V_n, u + v \in V_n, V_n$  is closed under addition.

(ii) For  $u, v, w \in V_n$  with

$$u = (x_1, x_2, \dots, x_n),$$

$$v = (y_1, y_2, \dots, y_n) \text{ and}$$

$$w = (z_1, z_2, \dots, z_n),$$

$$u + (v + w)$$

$$\begin{aligned}
&= (x_1 + (y_1 + z_1), x_2 + (y_2 + z_2), \dots, x_n + (y_n + z_n)) \\
&= ((x_1 + y_1) + z_1, (x_2 + y_2) + z_2, \dots, (x_n + y_n) + z_n) \\
&\quad [\text{Since associative law under addition holds in } R] \\
&= (u + v) + w.
\end{aligned}$$

Hence associative law under addition holds in  $V_n$ .

- (iii) For  $u = (x_1, x_2, \dots, x_n) \in V_n$ ,  
 $v = (y_1, y_2, \dots, y_n) \in V_n$ ,  
 $u + v = (x_1 + y_1, x_2 + y_2, \dots, x_n + y_n)$   
 $= (y_1 + x_1, y_2 + x_2, \dots, y_n + x_n)$   
 $\quad [\because \text{Commutative law under addition holds in } R]$   
 $= v + u$

Hence commutative law under addition holds in  $V_n$ .

- (iv)  $\exists$  zero vector  
 $\theta = (0, 0, \dots, 0) \in V_n$  such that for all  $u = (x_1, x_2, \dots, x_n) \in V_n$ ,  
 $\theta + u = (0 + x_1, 0 + x_2, \dots, 0 + x_n)$   
 $= (x_1, x_2, \dots, x_n)$   
 $= (x_1 + 0, x_2 + 0, \dots, x_n + 0)$   
 $= u + \theta$

This shows that there exists additive identity  $\theta$  in  $V_n$ .

- (v) For all  $u = (x_1, x_2, \dots, x_n) \in V_n$   
we find  $-u = (-x_1, -x_2, \dots, -x_n) \in V_n$   
such that  $u + (-u) = (x_1 + (-x_1), x_2 + (-x_2), \dots, x_n + (-x_n))$   
 $= (0, 0, \dots, 0) = \theta$   
Also  $-u + u = (-x_1 + x_1, -x_2 + x_2, \dots, -x_n + x_n) = (0, 0, \dots, 0) = \theta$   
So  $u + (-u) = -u + u = \theta$ .  
 $-u$  is called additive inverse of  $u$ .

It exists for all  $u \in V_n$ .

Hence  $(V_n, +)$  is a commutative group.

So the property VA holds.

Under scalar multiplication following properties holds. Here scalar is taken from the real field

$(R, +, \cdot)$

SM (a). For all  $\alpha, \beta \in R, u, v \in V_n$ , with  
 $u = (x_1, x_2, \dots, x_n)$  and  
 $v = (y_1, y_2, \dots, y_n)$   
 $\alpha(u + v)$   
 $= \alpha(x_1 + y_1, x_2 + y_2, \dots, x_n + y_n)$   
 $= (\alpha(x_1 + y_1), \alpha(x_2 + y_2), \dots, \alpha(x_n + y_n))$

$$\begin{aligned}
 &= (\alpha x_1 + \alpha y_1, \alpha x_2 + \alpha y_2, \dots, \alpha x_n + \alpha y_n) \\
 &= (\alpha x_1, \alpha x_2, \dots, \alpha x_n) + (\alpha y_1, \alpha y_2, \dots, \alpha y_n) \\
 &= \alpha u + \beta v \\
 &(\alpha + \beta)u \\
 &= ((\alpha + \beta) x_1, (\alpha + \beta) x_2, \dots, (\alpha + \beta) x_n) \\
 &= (\alpha x_1 + \beta x_1, \alpha x_2 + \beta x_2, \dots, \alpha x_n + \beta x_n) \\
 &= (\alpha x_1, \alpha x_2, \dots, \alpha x_n) + (\beta x_1, \beta x_2, \dots, \beta x_n) \\
 &= \alpha u + \beta v.
 \end{aligned}$$

SM (b). For all  $\alpha, \beta \in R, u = (x_1, x_2, \dots, x_n) \in V_n$ ,

$$\begin{aligned}
 (\alpha\beta)u &= ((\alpha\beta)x_1, (\alpha\beta)x_2, \dots, (\alpha\beta)x_n) \\
 &= (\alpha(\beta x_1), \alpha(\beta x_2), \dots, \alpha(\beta x_n)) \\
 &= \alpha(\beta x_1, \beta x_2, \dots, \beta x_n) \\
 &= \alpha(\beta u)
 \end{aligned}$$

[Since associative law under multiplication holds in  $R$ ].

$$\begin{aligned}
 \text{Again } \beta(\alpha u) &= \beta(\alpha x_1, \alpha x_2, \dots, \alpha x_n) \\
 &= (\beta(\alpha x_1), \beta(\alpha x_2), \dots, \beta(\alpha x_n)) \\
 &= ((\beta\alpha)x_1, (\beta\alpha)x_2, \dots, (\beta\alpha)x_n) \\
 &= ((\alpha\beta)x_1, (\alpha\beta)x_2, \dots, (\alpha\beta)x_n) \\
 &= (\alpha\beta)u
 \end{aligned}$$

$$\text{So } (\alpha\beta)u = \alpha(\beta u) = \beta(\alpha u)$$

SM (c) : We take  $1 \in R$  and any  $u = (x_1, x_2, \dots, x_n) \in V_n$ .

$$\text{Then } 1u = (1.x_1, 1.x_2, \dots, 1.x_n) = (x_1, x_2, \dots, x_n) = u$$

As per definition, all the properties namely VA, VM (a), VM (b) and VM (c) are satisfied.

Thus  $V_n$  is a vector space over the field of real numbers,  $(R, +, \cdot)$

### Some notations :

$C[a, b]$  = The set of all real-valued continuous functions defined on the closed interval  $[a, b]$ .

$C^{(1)}[a, b]$  = The set of all real valued functions defined on  $[a, b]$  whose first derivatives are continuous on  $[a, b]$ .

$C^{(n)}[a, b]$  = The set of all real valued functions defined on  $[a, b]$  differentiable  $n$  times whose  $n$ -th derivatives are continuous. These functions are called  $n$ -times continuously differentiable functions.

$C^{(\infty)}[a, b]$  = The set of all functions defined on  $[a, b]$  having derivatives of all orders on  $[a, b]$ .

$F(I)$  = The set of all real valued functions defined on the interval  $I$ .

$P(I)$  = The set of all polynomials  $P$  with real co-efficients defined on the interval  $I$ .

**Example 3.2.3 :**  $C[a, b]$  is a real vector space (a vector space over the field of real numbers) under point wise addition and scalar multiplication.

**Proof – Point-wise addition :**

For  $f, g \in C[a, b]$ ,  $f + g$  is defined as  $(f + g)(x) = f(x) + g(x) \quad \forall x \in [a, b]$ .

**Pointwise Scalar multiplication :**

For all  $f \in C[a, b]$  and all scalars  $\alpha$ ,

$\alpha f$  is defined as  $(\alpha f)(x) = \alpha f(x), \quad \forall x \in [a, b]$ .

From calculus we know that the sum of two continuous functions is also a continuous function.

Such is the case in scalar multiple of a continuous function.

Let us verify the vector space properties for  $C[a, b]$ ,

Property VA :

(i) From above discussion we find

$\forall f, g, h \in C[a, b], f + g \in C[a, b]$

(ii)  $\forall f, g \in C[a, b]$ ,

$$\begin{aligned} & (f + (g + h))(x) \\ &= f(x) + (g + h)(x) \\ &= f(x) + (g(x) + h(x)) \\ &= (f(x) + g(x)) + h(x) \end{aligned}$$

[ $\because$  Associative law under addition holds in  $\mathbb{R}$ ]

$$\begin{aligned} &= (f + g)(x) + h(x) \\ &= ((f + g) + h)(x) \quad \forall x \in [a, b]. \end{aligned}$$

So  $f + (g + h) = (f + g) + h$ .

$\therefore$  Associative law under addition holds in  $C[a, b]$ .

(iii)  $\forall f, g \in C[a, b]$ ,

$$\begin{aligned} & (f + g)(x) \\ &= f(x) + g(x) \\ &= g(x) + f(x) \quad [\because \text{Commutative law under addition holds in } \mathbb{R}]. \\ &= (g + f)(x) \quad \forall x \in [a, b]. \end{aligned}$$

So  $f + g = g + f$ .

$\therefore$  Commutative law under addition holds in  $C[a, b]$ .

(iv) From calculus we know that the function  $\theta: [a, b] \rightarrow [a, b]$  defined by  $\theta(x) = 0 \quad \forall x \in [a, b]$  is continuous.

So  $\theta \in C[a, b]$ .

$\forall f \in C[a, b]$  we have  $(\theta + f)(x)$

$$\begin{aligned} &= \theta(x) + f(x) = 0 + f(x) \\ &= f(x) \quad \forall x \in [a, b]. \end{aligned}$$

Thus  $\theta + f = f$ .

Similarly  $f + \theta = f$ .

$\theta$  is additive identity in  $C[a, b]$ .

(v) For any  $f \in C[a, b]$  we can find  $-f \in C[a, b]$  such that

$$(-f + f)(x) = -f(x) + f(x)$$

$$= 0$$

$$= \theta(x), \quad \forall x \in [a, b].$$

$$\text{So } -f + f = \theta$$

Similarly we can show  $f + (-f) = \theta$

$$\text{Thus } -f + f = f + (-f) = \theta$$

$-f$ , additive inverse of  $f$ , exists in  $C[a, b]$ .

From (i) to (v), we see that  $C[a, b]$  is a commutative group under addition.

SM (a): For all scalars  $\alpha, \beta$  and all  $f, g \in C[a, b]$ ,

$$(\alpha(f + g))(x)$$

$$= \alpha(f + g)(x)$$

$$= \alpha(f(x) + g(x))$$

$$= \alpha f(x) + \alpha g(x)$$

[Distributive law holds in  $\mathbb{R}$ ]

$$= (\alpha f)(x) + (\alpha g)(x)$$

$$= (\alpha f + \alpha g)(x) \quad \forall x \in [a, b].$$

$$\text{So } \alpha(f + g) = \alpha f + \alpha g.$$

Again  $((\alpha + \beta)f)(x)$

$$= (\alpha + \beta)f(x)$$

$$= \alpha f(x) + \beta f(x)$$

[Distributive in  $\mathbb{R}$ ]

$$= (\alpha f + \beta f)(x) \quad \forall x \in [a, b].$$

$$\text{So } (\alpha + \beta)f = \alpha f + \beta f.$$

SM (b): For all scalars  $\alpha, \beta$  and any vector  $f \in C[a, b]$ ,

$$((\alpha\beta)f)(x)$$

$$= (\alpha\beta)f(x)$$

$$= \alpha(\beta f(x))$$

[Associative law under multiplication in  $\mathbb{R}$ ]

$$= \alpha(\beta f)(x)$$

$$\forall x \in C[a, b].$$

$$\text{So } (\alpha\beta)f = \alpha(\beta f)$$

Again  $((\alpha\beta)f)(x)$

$$= (\alpha\beta)f(x)$$

$$= (\beta\alpha)f(x)$$

$$= \beta(\alpha f(x))$$

[Commutative law under multiplication]

$$= (\beta(\alpha f))(x)$$

$$\forall x \in C[a, b],$$

$$\text{So } (\alpha\beta)f = \beta(\alpha f).$$

$$\therefore (\alpha\beta)f = \alpha(\beta f) = \beta(\alpha f).$$

SM (c): For  $1 \in \mathbb{R}$ , for all  $f \in C[a, b]$ ,

$$\begin{aligned} (1f)(x) &= 1f(x) \quad [1 \text{ being multiplicative identity in } \mathbb{R}] \\ &= f(x), \quad \forall x \in [a, b] \end{aligned}$$

So  $1.f = f$ .

Thus  $C[a, b]$  is a real vector space (a vector space over the field of real numbers).

**Example 3.2.4 :** As in the previous example it can be shown that  $C^{(1)}[a, b]$ ,  $C^{(n)}[a, b]$ ,  $C^{(\infty)}[a, b]$  are real vector spaces.

**Example 3.2.5 :** The set of all polynomial  $P(I)$  with real co-efficients defined in the interval  $I$  is a real vector space.

**Proof :** First let us define addition and scalar multiplication in  $P(I)$  over  $\mathbb{R}$ .

**Addition :** For  $p, q \in P(I)$  with

$$\begin{aligned} p(x) &= a_0 + a_1x + a_2x^2 + \dots + a_nx^n \\ q(x) &= b_0 + b_1x + b_2x^2 + \dots + b_nx^n, \quad x \in I, \\ (p+q)(x) &= p(x) + q(x) \\ &= (a_0 + b_0) + (a_1 + b_1)x + (a_2 + b_2)x^2 + \dots + (a_n + b_n)x^n \end{aligned}$$

Thus  $p + q$  is also a polynomial defined on  $I$ .

**Scalar multiplication :**

For any scalar (a real number)  $\alpha$  and any  $p \in P(I)$  with

$$\begin{aligned} p(x) &= a_0 + a_1x + a_2x^2 + \dots + a_nx^n, \\ \text{We have } (\alpha p)(x) &= \alpha p(x) \\ &= \alpha(a_0 + a_1x + a_2x^2 + \dots + a_nx^n) \\ &= (\alpha a_0) + (\alpha a_1)x + (\alpha a_2)x^2 + \dots + (\alpha a_n)x^n, \quad x \in I \end{aligned}$$

It is a polynomial of real co-efficients defined on  $I$ .

Let us verify vector space properties as follows.

**Property VA :**

**(i) Closure :** By definition of addition,  $\forall p, q \in P(I)$ ,  $p + q \in P(I)$

**(ii) Associative law :**

$$\begin{aligned} \forall p, q, r \in P(I), \text{ with} \\ p(x) &= a_0 + a_1x + a_2x^2 + \dots + a_nx^n \\ q(x) &= b_0 + b_1x + b_2x^2 + \dots + b_nx^n \\ r(x) &= c_0 + c_1x + c_2x^2 + \dots + c_nx^n, \quad x \in I, \end{aligned}$$

$$\begin{aligned}
 & (p + (q + r))(x) \\
 &= p(x) + (q + r)(x) \\
 &= p(x) + (q(x) + r(x)) \\
 &= (a_0 + (b_0 + c_0)) + (a_1 + (b_1 + c_1))x \\
 &\quad + (a_2 + (b_2 + c_2))x^2 + \dots + (a_n + (b_n + c_n))x^n. \\
 &= ((a_0 + b_0) + c_0) + ((a_1 + b_1) + c_1)x \\
 &\quad + ((a_2 + b_2) + c_2)x^2 + \dots + ((a_n + b_n) + c_n)x^n \\
 &= (p(x) + q(x)) + r(x) \\
 &= ((p + q) + r)(x), \quad x \in I. \\
 &\text{So } p + (q + r) = (p + q) + r.
 \end{aligned}$$

**(iii) Commutative law :**

$\forall p, q \in P(I)$  with

$$p(x) = a_0 + a_1x + \dots + a_n x^n$$

$$q(x) = b_0 + b_1x + \dots + b_n x^n, \quad x \in I,$$

$$(p + q)(x)$$

$$= p(x) + q(x)$$

$$= (a_0 + b_0) + (a_1 + b_1)x + \dots + (a_n + b_n)x^n$$

$$= (b_0 + a_0) + (b_1 + a_1)x + \dots + (b_n + a_n)x^n$$

[Commutative law under addition in  $\mathbb{R}$ ]

$$= (q + p)(x), \quad \forall x \in I$$

$$\text{So } p + q = q + p.$$

**(iv) Existence of additive identity :**

$\exists \theta$ , a polynomial having zero coefficients in  $P(I)$  such that for all  $p \in P(I)$  with

$$p(x) = a_0 + a_1x + \dots + a_n x^n,$$

$$(\theta + p)(x)$$

$$= \theta(x) + p(x)$$

$$= 0 + p(x)$$

$$= p(x), \quad \forall x \in I.$$

$$\text{So } \theta + p = p.$$

$$\text{Similarly } p + \theta = p.$$

$$\text{Thus } \theta + p = p + \theta = p.$$

$\theta$  is called additive identity.



**(v) Existence of additive inverse :**

$\forall p \in P(I)$  with  $p(x) = a_0 + a_1x + a_2x^2 + \dots + a_nx^n$ ,

there exists  $-p \in P(I)$  where

$$-p(x) = (-a_0) + (-a_1)x + (-a_2)x^2 + \dots + (-a_n)x^n$$

such that

$$(p + (-p))(x)$$

$$= p(x) + (-p(x))$$

$$= (a_0 + (-a_0)) + (a_1 + (-a_1))x + (a_2 + (-a_2))x^2 + \dots + (a_n + (-a_n))x^n$$

$$= 0 + 0x + 0x^2 + \dots + 0x^n$$

$$= \theta(x), \quad \forall x \in I.$$

$$\text{So } p + (-p) = \theta.$$

$$\text{Similarly } (-p) + p = \theta.$$

$$\text{Thus } p + (-p) = (-p) + p = \theta.$$

$-p$  is called additive inverse of  $p$ .

From (i) to (v) we see that  $P(I)$  is a commutative group under addition.

Under scalar multiplication following properties are satisfied.

**SM (a) :** Let  $\alpha, \beta$  be scalars and  $p, q \in P(I)$ . Then

$$(\alpha(p + q))(x)$$

$$= \alpha(p + q)(x)$$

$$= \alpha(p(x) + q(x))$$

$$= \alpha p(x) + \alpha q(x)$$

$$= (\alpha p + \alpha q)(x), \quad \forall x \in I.$$

$$\text{So } \alpha(p + q) = \alpha p + \alpha q.$$

$$\text{Again } ((\alpha + \beta)p)(x)$$

$$= (\alpha + \beta)p(x) \quad (\text{Distributive law})$$

$$= \alpha p(x) + \beta p(x)$$

$$= (\alpha p + \beta p)(x), \quad \forall x \in I.$$

$$\text{So } (\alpha + \beta)p = \alpha p + \beta p.$$

**SM (b) :** Let  $\alpha, \beta$  be any scalars and  $p \in P(I)$ .

$$((\alpha\beta)p)(x) = (\alpha\beta)p(x)$$

$$= \alpha(\beta p(x))$$

$$= \alpha(\beta p)(x), \quad \forall x \in I.$$

$$\text{So } (\alpha\beta)p = \alpha(\beta p).$$

$$\begin{aligned}
 ((\alpha\beta)p)(x) &= (\alpha\beta)p(x) \\
 &= (\beta\alpha)p(x) \\
 &= \beta(\alpha p(x)) \\
 &= \beta(\alpha p)(x), \quad \forall x \in I.
 \end{aligned}$$

So  $(\alpha\beta)p = \alpha(\beta p) = \beta(\alpha p)$ .

SM (c):  $\exists$  scalar  $1$  such that for all  $p \in P(I)$ ,

$$\begin{aligned}
 (1.p)(x) &= 1.p(x) \\
 &= p(x), \quad \forall x \in P(I).
 \end{aligned}$$

$$\text{So } 1.p = p$$

Hence  $P(I)$  is a vector space over the real field.

**Theorem 3.2.1 :** In any vector space  $V$ ,

- (a)  $\alpha\theta = \theta$  for every scalar  $\alpha$
- (b)  $0u = \theta$  for every  $u \in V$
- (c)  $(-1)u = -u$  for every  $u \in V$

**Proof :**

- (a)  $\alpha\theta = \alpha(\theta + \theta)$  ( $\theta$  being additive identity)  
 $= \alpha\theta + \alpha\theta$  (by SM (a))  
 Now  $\theta = -\alpha\theta + \alpha\theta$  [ $-\alpha\theta$  being additive inverse of  $\alpha\theta$ ]  
 $= -\alpha\theta + (\alpha\theta + \alpha\theta)$  [putting  $\alpha\theta = \alpha\theta + \alpha\theta$ ]  
 $= (-\alpha\theta + \alpha\theta) + \alpha\theta$  [Associative law]  
 $= \theta + \alpha\theta$  [ $-\alpha\theta$  being additive inverse of  $\alpha\theta$ ]  
 $= \alpha\theta$  [ $\theta$  being additive identity]
- (b)  $0u = (0+0)u$  ( $0$  being additive identity in the scalar field)  
 $= 0u + 0u$  [by SM (a)]  
 Adding  $-(0u)$  on both sides, we get  
 $\theta = -(0u) + (0u + 0u)$   
 $= (-0u + 0u) + 0u$  (Associative laws)  
 $= \theta + 0u$  [ $-0u$  being additive inverse of  $0u$ ]  
 $= 0u$  [ $\theta$  being additive identity of  $V$ ]
- (c)  $(-1)u + u = (-1)u + 1.u$  [by SM (c)]  
 $= (-1+1)u$  [by SM (a)]  
 $= 0u$  [ $-1$  being additive identity of  $1$ ]  
 $= \theta$  (by (b))

So  $(-1)u$  is additive inverse of  $u$ .

But  $-u$  is additive inverse of  $u$ .

By uniqueness of additive inverse of  $u$ ,

$$(-1)u = -u$$

**Notation** – It is convenient to write  $u - v$  in stead of  $u + (-v)$ .

### Problem Set 3 (A)

1. Let  $u_1 = (2, 3, 1, 5)$   
 $u_2 = (1, 0, 4, 6)$   
 $u_3 = (0, -1, -3, 4)$   
 $u_4 = (2, 6, -1, 5)$   
 be vectors in  $V_4$ .

#### Evaluate

- (a)  $u_1 - u_2$   
 (b)  $2u_1 - u_2 + 3u_4$   
 (c)  $u_1 + u_2 + 2u_3 + 5u_4$   
 (d)  $4u_1 + (2u_3 - u_4)$
2. Let  $u_1 = (1, 2, 4, 6, 8)$   
 $u_2 = (1, -1, 3, -2, -4)$   
 $u_3 = (0, 1, 0, -1, 2)$   
 $u_4 = (1, 1, -4, 3, 6)$   
 $u_5 = (-1, 0, -2, 0, -3)$   
 be vectors of  $V_5$ .

#### Evaluate :

- (a)  $u_1 + u_3 + u_5$   
 (b)  $u_2 + u_4 - u_3 - u_5$   
 (c)  $2u_1 - 3u_2 + 4u_3 - 5u_4$   
 (d)  $u_1 - 2u_2 + 3u_3 - 4u_5$
3. Which of the following subsets of  $V_4$  are vector spaces for co-ordinate wise addition and scalar multiplication ?  
 The set of all vectors  $(x_1, x_2, x_3, x_4) \in V_4$  such that
- (a)  $x_4 = 0$  (b)  $x_1 = 1$   
 (c)  $x_3 > 0$  (d)  $x_4^2 \geq 0$   
 (e)  $x_1^2 < 0$  (f)  $3x_1 + 5x_3 = 0$   
 (g)  $x_1 + \frac{2}{3}x_2 - 3x_3 + x_4 = 1$
4. Which of the following subsets of  $P$  are vector spaces ?  
 The set of all polynomials  $p$  such that
- (a) degree of  $p \leq 4$  (b) degree of  $p \geq 5$   
 (c) degree of  $p = 3$  (d)  $p(1) = 0$

- (e)  $p(2) = 1$   
 (f)  $p'(1) = 0$   
 (g)  $p$  has integral co-efficients.  
 (h)  $p$  has rational co-efficients.
5. Which of the following subsets of  $C[0, 1]$  are vector spaces ?  
 the set of all functions  $f \in C[0, 1]$  such that
- (a)  $f\left(\frac{1}{2}\right) = 0$                       (b)  $f\left(\frac{3}{4}\right) = 1$   
 (c)  $f'(x) = x f(x)$                       (d)  $f(0) = f(1)$   
 (e)  $f(x) = 0$  at finite number of points in  $[0, 1]$   
 (f)  $f$  has a local minima at  $x = \frac{1}{4}$   
 (g)  $f$  has a local extrema at  $x = \frac{1}{2}$
6. In any vector space prove that  $\alpha u = 0$  iff  $\alpha = 0$  or  $u = 0$ .
7. Let  $R^+$  be the set of all positive real numbers. Define the operations of addition and scalar multiplication as follows :  
 $u + v = u.v$  for all  $u, v \in R^+$ ,  
 $\alpha u = u^\alpha$  for all  $u \in R^+$  and real scalar  $\alpha$   
 Prove that  $R^+$  is a real vector space.
8. Let  $V$  be a real vector space and  $X$  an arbitrary set. Let  $V^X$  be the set of all functions  $f : X \rightarrow V$ . Prove that  $V^X$  is a real vector space for pointwise addition and scalar multiplication.
9. If  $V$  is a vector space over the field  $F$ , then prove that
- (i)  $u + (v - u) = v$   
 (ii)  $\alpha u = 0 \Rightarrow$  either  $\alpha = 0$  or  $u = \theta$   
 (iii)  $\alpha u = \beta u \Rightarrow \alpha = \beta, u \neq \theta$ .  
 (iv)  $\alpha u = \alpha v \Rightarrow u = v, \alpha \neq 0$ . where  $u, v \in V, \alpha, \beta \in F$ .

### 3.3 Subspace :

**3.3.1 Definition :** A non-empty subset  $W$  of a vector space  $V$  over a field  $F$  is called a subspace of  $V$  if  $W$  is a vector space over the field  $F$  with respect to the same addition and scalar multiplication defined over  $V$ .

**Example 3.3.1 :** Let  $W$  be the set of vectors of the form  $(x, 2x, 3x)$  in  $V_3$ . Then  $W$  is a subspace of  $V_3$ .

**Proof :** Let  $u = (x, 2x, 3x)$  and  $v = (y, 2y, 3y)$

and  $\alpha$  be any scalar (real number).

Then  $u + v = (x + y, 2x + 2y, 3x + 3y) = (x + y, 2(x + y), 3(x + y))$

It is of the form  $(x, 2x, 3x)$ .

So  $u + v \in W$ .

$$\alpha u = (\alpha x, 2\alpha x, 3\alpha x)$$

This is also of the form  $(x, 2x, 3x)$

So  $\alpha u \in W$ .

$(0, 0, 0)$  is zero element (additive identity) of  $W$  is obtained taking  $x = 0$ ,

$$(x, 2x, 3x) = (0, 0, 0)$$

For all scalars  $\alpha, \beta$  and  $u, v \in W$ ,

$$\begin{aligned}\alpha(u + v) &= \alpha(x + y, 2(x + y), 3(x + y)) \\ &= (\alpha(x + y), 2\alpha(x + y), 3\alpha(x + y)) \\ \alpha u + \alpha v &= (\alpha x, 2\alpha x, 3\alpha x) + (\alpha y, 2\alpha y, 3\alpha y) \\ &= (\alpha x + \alpha y, 2\alpha x + 2\alpha y, 3\alpha x + 3\alpha y) \\ &= (\alpha(x + y), 2\alpha(x + y), 3\alpha(x + y))\end{aligned}$$

So  $\alpha(u + v) = \alpha u + \alpha v$ .

Similarly  $(\alpha + \beta)u = \alpha u + \beta u$  can be proved.

$\alpha(\beta u) = (\alpha\beta)u = \beta(\alpha u)$  can also be proved in the similar manner.

Finally  $1 \cdot u$

$$\begin{aligned}&= 1(x, 2x, 3x) \\ &= (1 \cdot x, 1 \cdot 2x, 1 \cdot 3x) \\ &= (x, 2x, 3x) = u.\end{aligned}$$

So  $W$  is a vector space with respect to addition and scalar multiplication defined on  $V_3$ .

Hence  $W$  is a subspace of  $V_3$ .

**Example 3.3.2 :** The set of all scalar multiples of a given element  $u_0$  of a vector space  $V$  forms a subspace of  $V$ .

**Proof :** Let  $V$  be a vector space over the field  $F$ .

$$\text{Let } W = \{\alpha u_0 \mid \alpha \in F\}$$

Clearly  $W \subset V$  and  $W \neq \emptyset$ .

For  $u, v \in W$ ,  $u = \alpha_1 u_0$ ,  $v = \alpha_2 u_0$ ,

for some  $\alpha_1, \alpha_2 \in F$ .

Then  $u + v = \alpha_1 u_0 + \alpha_2 u_0 = (\alpha_1 + \alpha_2) u_0$  [vector space property SM(a)]

$u + v$  is a scalar multiple of  $u_0$ .

So  $u + v \in W$ .

So  $W$  is closed under addition.

Associative and commutative laws hold automatically.

Taking  $\alpha = 0$ ,  $\alpha u_0 = \theta \in W$ .

$\theta$ , the additive identity exists in  $W$ .

For  $u \in W$ ,  $u = \alpha u_0$

for some  $\alpha$ .

Then  $-u = -(\alpha u_0) = (-\alpha) u_0 \in W$

$-u$ , the additive inverse of  $u$  exists in  $W$ . So  $W$  is a commutative group under addition.

Students should verify other properties under scalar multiplication.

**Theorem 3.3.1 :** A non-empty subset  $S$  of a vector space  $V$  is a subspace of  $V$  iff following conditions are satisfied.

(a) If  $u, v \in S$ , then  $u + v \in S$

(b) If  $u \in S$  and  $\alpha$  a scalars, then  $\alpha u \in S$ .

or

A non-empty subset  $S$  of a vector space  $V$  is a subspace of  $V$  iff it is closed under addition and scalar multiplication.

**Proof : Condition necessary** – Let  $S$  be a subspace of  $V$ . Then  $S$  is a vector space under the same operations as those of  $V$ . Hence  $S$  satisfies the conditions (a) and (b).

**Condition sufficient** – Let  $S$  be a non-empty subset of  $V$  satisfying the conditions (a) and (b).

For all  $u \in S$ , taking  $\alpha = 0$ ,

$$\alpha u = \theta \in S \quad (\text{by condition (b)})$$

Similarly for any  $u \in S$ , taking  $\alpha = -1$ ,

$$\alpha u = (-1) u = -u \in S \quad (\text{condition (b)})$$

Thus  $S$  is closed under addition, additive identity exists in  $S$  and the inverse of every element of  $S$  exists in  $S$ .

All other properties like commutative law, associative law under addition and SM (a), SM (b) and SM (c) are inherited from  $V$ .

Hence  $S$  is a subspace of  $V$ .

**Example 3.3.3 :**  $\{(x_1, x_2, x_3) \mid \frac{x_2}{x_3} = \sqrt{2}\}$  is not a subspace of  $V_3$ .

**Proof :** Let  $S = \{(x_1, x_2, x_3) \mid \frac{x_2}{x_3} = \sqrt{2}\}$

Let  $u = (x_1, x_2, x_3)$ ,  $v = (y_1, y_2, y_3) \in S$  and  $\alpha$  be any scalar.

Then  $\frac{x_2}{x_3} = \sqrt{2}$  and  $\frac{y_2}{y_3} = \sqrt{2} \Rightarrow x_2 = \sqrt{2} x_3, y_2 = \sqrt{2} y_3$

Now  $u + v = (x_1 + y_1, x_2 + y_2, x_3 + y_3)$ .

$$\frac{x_2 + y_2}{x_3 + y_3} = \frac{\sqrt{2} x_3 + \sqrt{2} y_3}{x_3 + y_3} = \sqrt{2}$$

So  $u + v \in S$

Again  $\alpha u = (\alpha x_1, \alpha x_2, \alpha x_3)$

$$\frac{\alpha x_2}{\alpha x_3} = \frac{\sqrt{2} x_3}{x_3} = \sqrt{2}. \quad (\alpha \neq 0)$$

So  $\alpha u \in S$

But for  $\alpha = 0$ ,  $\alpha u = (0, 0, 0) \notin S$  since  $\frac{0}{0} \neq \sqrt{2}$ .

Hence  $S$  is not a subspace of  $V_3$ .

**Example 3.3.4 :**  $S = \{p \in P \mid p(x_0) = 0\}$  is a subspace of  $P$ , the set of all polynomials with real coefficients.

**Proof :** Clearly  $S \subset P$ .

Let  $p, q \in S$

$$\Rightarrow p(x_0) = 0 = q(x_0)$$

$$\Rightarrow p(x_0) + q(x_0) = 0$$

$$\Rightarrow (p+q)(x_0) = 0$$

$$\Rightarrow p+q \in S.$$

Again if  $\alpha$  be any scalar,

$$\begin{aligned} (\alpha p)(x_0) &= \alpha p(x_0) \\ &= \alpha 0 = 0 \end{aligned}$$

$$\Rightarrow \alpha p \in S.$$

Hence  $S$  is a subspace of  $P$ .

**Example 3.3.5 :**  $\{f \in C[a, b] \mid f'(x) = 0 \text{ for all } x \in (a, b)\}$  is a subspace of  $C[a, b]$ .

**Proof :** Let  $S = \{f \in C(a, b) \mid f'(x) = 0 \text{ for all } x \in (a, b)\}$

clearly  $S \subset C(a, b)$

Let  $f, g \in S$

$$\Rightarrow f'(x) = 0, g'(x) = 0 \quad \forall x \in (a, b)$$

$$\Rightarrow f'(x) + g'(x) = 0 \quad \forall x \in (a, b)$$

$$\Rightarrow (f+g)'(x) = 0 \quad \forall x \in (a, b)$$

$$\Rightarrow f+g \in S \quad (\because f+g \in C(a, b))$$

Let  $\alpha$  be any scalar and  $f \in S$ .

$$\begin{aligned} \Rightarrow f'(x) &= 0 & \forall x \in (a, b). \\ \Rightarrow \alpha f'(x) &= \alpha 0 = 0 & \forall x \in (a, b) \\ \Rightarrow (\alpha f)'(x) &= 0 & \forall x \in (a, b) \\ \Rightarrow \alpha f &\in S & (\because \alpha f \in C(a, b)) \end{aligned}$$

Hence  $S$  is a subspace of  $C(a, b)$ .

### Problem Set 3 (B)

1. Show that following subsets of  $V_3$  are subspaces.

- (a)  $\{(x_1, x_2, x_3) \mid \sqrt{2} x_1 = \sqrt{3} x_2\}$
- (b)  $\{(x_1, x_2, x_3) \mid x_1 = \sqrt{2} x_2 \text{ and } x_3 = 3x_2\}$
- (c)  $\{(x_1, x_2, x_3) \mid x_1 = 2x_2 \text{ and } x_3 = 3x_2\}$
- (d)  $\{(x_1, x_2, x_3) \mid x_1 - 2x_2 = x_3 - \frac{3x_2}{2}\}$

2. Show that following subsets of  $V_3$  are not subspaces.

- (a)  $\{(x_1, x_2, x_3) \mid \frac{x_1}{x_2} = \sqrt{3}\}$
- (b)  $\{(x_1, x_2, x_3) \mid x_1 x_2 = 0\}$
- (c)  $\{(x_1, x_2, x_3) \mid x_3 \text{ is an integer}\}$
- (d)  $\{(x_1, x_2, x_3) \mid x_1^2 + x_2^2 + x_3^2 \leq 1\}$
- (e)  $\{(x_1, x_2, x_3) \mid x_1 + x_2 + x_3 \geq 0\}$

3. Show that following subsets of  $P$  are subspaces of  $P$ .

- (a)  $\{p \in P \mid \text{deg ree of } p \leq 2\}$
- (b)  $\{p \in P \mid \text{deg ree of } p \leq 4 \text{ and } p'(0) = 0\}$
- (c)  $\{p \in P \mid \text{deg ree of } p \leq 5\}$
- (d)  $\{p \in P \mid \text{deg ree of } p \text{ not exceeding } 3\}$
- (e)  $\{p \in P \mid p(1) = 0\}$

4. Prove that the following subsets are not subspaces of  $P$  ?

- (a)  $\{p \in P \mid \text{deg ree of } p \geq 5\}$
- (b)  $\{p \in P \mid \text{deg ree of } p > 4\}$
- (c)  $\{p \in P \mid \text{deg ree of } p = 4\}$
- (d)  $\{p \in P \mid \text{degree of } p \geq 4 \text{ and } \leq 5\}$



5. Prove that the following sets are subspaces of  $C(a, b)$ .

(a)  $\{f \in C(a, b) \mid f(x_0) = 0, x_0 \in (a, b)\}$

(b)  $\{f \in C(a, b) \mid f'(x) = x^2 f(x)\}$

(c)  $\{f \in C(a, b) \mid 2f'''(x) + 3xf''(x) - f'(x) + x^2 f(x) = 0\}$

(d)  $\{f \in C(a, b) \mid \int_a^b f(x) dx = 0\}$

6. Prove that a non-empty set  $S$  is a subspace of a vector space  $V$  iff  $\alpha u + \beta v \in S$  for all  $u, v \in S$  and all scalars  $\alpha, \beta$ .

7. Let  $W = \{(x_1, x_2, \dots, x_n) \in V_n \mid x_1 = 0\}$

Prove that  $W$  is a subspace of  $V_n$ .

8. Prove that intersection of any two subspaces of a vector space is a subspace.

9. Prove that the intersection of a family of subspace of a vector space is a subspace.

10. Let  $W$  be the set of all vectors  $(x_1, x_2, \dots, x_n)$  of  $V_n$  satisfying the three equations :

$$\alpha_1 x_1 + \alpha_2 x_2 + \dots + \alpha_n x_n = 0$$

$$\beta_1 x_1 + \beta_2 x_2 + \dots + \beta_n x_n = 0$$

$$\gamma_1 x_1 + \gamma_2 x_2 + \dots + \gamma_n x_n = 0, \text{ is a subspace of } V_n.$$

11. Find the intersection of the given sets  $U$  and  $W$  and determine whether it is a subspace :

(a)  $U = \{(x_1, x_2) \in V_2 \mid x_1 \geq 0\}$

$$W = \{(x_1, x_2) \in V_2 \mid x_1 \leq 0\}$$

(b)  $U = \{f \in C(-2, 2) \mid f(-1) = 0\}$

$$W = \{f \in C(-2, 2) \mid f(1) = 0\}$$

(c)  $U = \{f \in C(-2, 2) \mid \lim_{x \rightarrow 2} f(x) = 0\}$

$$W = \{f \in C(-2, 2) \mid \lim_{x \rightarrow 2} f(x) = 1\}$$

(d)  $U = P, W = \{f \in C(-\infty, \infty) \mid f(x) = f(-x)\}$

### 3.4 Span of a set

In example 3.3.2 we see that the set of all scalar multiples of a given vector  $x_0$  of a vector space  $V$  is a subspace of  $V$ . We denote it by  $[x_0]$ . A general version of the fact is given in the following definitions.

**3.4.1 Definition :** Let  $u_1, u_2, \dots, u_n$  be  $n$  vectors of a vector space  $V$  and  $\alpha_1, \alpha_2, \dots, \alpha_n$  be  $n$  scalars. Then  $\alpha_1 u_1 + \alpha_2 u_2 + \dots + \alpha_n u_n$  is called a linear combination of  $u_1, u_2, \dots, u_n$ . It is also called a **linear combination** of the set  $S = \{u_1, u_2, \dots, u_n\}$ . This being a linear combination of a finite set is also called a **finite linear combination**. There can be many linear combinations of a given set.

**3.4.2 Definition :** (span) The span of a set  $S$  of a vector space  $V$  is the set of all finite linear combinations of  $S$ .

In other words if  $S = \{u_1, u_2, \dots, u_n\}$  be a subset of  $V$ , the span of  $S$  denoted by  $[S]$  is given symbolically as

$$[S] = \{\alpha_1 u_1 + \alpha_2 u_2 + \dots + \alpha_n u_n \mid \alpha_1, \alpha_2, \dots, \alpha_n \text{ any scalars and } u_1, u_2, \dots, u_n \in S\}$$

**Example 3.4.1 :** Let  $V = V_3$ ,  $S = \{(1, 0, 0), (0, 1, 0)\}$ . Any finite combination of  $S$  is of the form  $\alpha(1, 0, 0) + \beta(0, 1, 0) = (\alpha, \beta, 0)$

for any scalars  $\alpha, \beta$ .

Thus the span of  $S$  is given by  $[S] = \{(\alpha, \beta, 0) \mid \alpha, \beta \text{ any scalars}\}$

It can be seen that  $[S]$  is a subspace of  $V_3$ . We shall prove this fact in the following theorem.

**Theorem 3.4.1 :** Let  $S$  be a non-empty subset of a vector space  $V$ . Then  $[S]$ , the span of  $S$ , is a sub space of  $V$ .

**Proof :** According to Theorem 3.3.1, it is sufficient to show that  $[S]$  is closed under addition and scalar multiplication.

Let  $u, v \in [S]$ , then  $u = \alpha_1 u_1 + \alpha_2 u_2 + \dots + \alpha_n u_n$

for some scalars  $\alpha_i$ , some  $u_i \in S$  and a positive integer  $n$

and  $v = \beta_1 v_1 + \beta_2 v_2 + \dots + \beta_m v_m$  for some scalars  $\beta_i$ , some  $v_i \in S$ , and a positive integer  $m$ .

Hence  $u + v = \alpha_1 u_1 + \alpha_2 u_2 + \dots + \alpha_n u_n + \beta_1 v_1 + \beta_2 v_2 + \dots + \beta_m v_m$ .

This is again a finite linear combination of  $S$  and so  $u + v \in [S]$ .

Similarly  $\alpha u = (\alpha \alpha_1) u_1 + (\alpha \alpha_2) u_2 + \dots + (\alpha \alpha_n) u_n$ .

This is again a finite linear combination of  $S$ . So  $\alpha u \in [S]$ .

Hence  $[S]$  is a sub space of  $V$ .

**Note :** A non-trivial subspace always contains an infinite number of elements. So  $[S] (\neq V_0)$  always contains an infinite number of elements. If  $S = \emptyset$ , by convention, we take  $[\emptyset] = V_0$ .

**Theorem 3.4.2 :** If  $S$  is a non-empty subset of a vector space  $V$ , then  $[S]$  is the smallest subspace of  $V$  containing  $S$ .

**Proof :** Clearly  $[S]$  is a subspace of  $V$  by Theorem 3.4.1. It contains  $S$  because any element  $u_0$  of  $S$  can be written in the form  $1 \cdot u_0$  which is a finite linear combination of  $S$ .

To prove that  $[S]$  is the smallest subspace containing  $S$ , we shall show that if there exists another subspace  $T$  containing  $S$ , then  $T$  contains  $[S]$ .

Let  $T$  be a subspace containing  $S$ . We have to prove that  $[S] \subset T$ .

Let  $u \in [S]$

$\Rightarrow u = \alpha_1 u_1 + \alpha_2 u_2 + \dots + \alpha_n u_n$  which  $\alpha_i$ 's are scalars and  $u_i$ 's are vectors of  $S$  and  $n$  is a positive integer.

$\Rightarrow u$  is a finite linear combination of  $T$

[Since  $u_i \in S$  and  $S \subset T$ ,  $u_i \in T$ ]

$\Rightarrow u \in T$  [ $\because T$  is a subspace of  $V$ ]

Hence proved.

**Example 3.4.2 :** Write the vector  $(2, -5, 3)$  as a linear combination of vectors  $(1, 0, 0)$ ,  $(0, 1, 0)$  and  $(0, 0, 1)$ .

**Solution :**

$$\text{Let } (2, -5, 3) = \alpha_1 (1, 0, 0) + \alpha_2 (0, 1, 0) + \alpha_3 (0, 0, 1)$$

$$\Rightarrow (2, -5, 3) = (\alpha_1, \alpha_2, \alpha_3)$$

$$\Rightarrow \alpha_1 = 2, \alpha_2 = -5, \alpha_3 = 3$$

$$\text{So } (2, -5, 3) = 2(1, 0, 0) - 5(0, 1, 0) + 3(0, 0, 1)$$

**Example 3.4.3 :** Let  $S = \{(1, 1, 2), (1, -1, 3), (2, 1, -4)\}$  determine whether  $(2, -1, 3) \in [S]$ .

**Solution :**

$$\text{Let } (2, -1, 3) = \alpha (1, 1, 2) + \beta (1, -1, 3) + \gamma (2, 1, -4)$$

$$\Rightarrow (2, -1, 3) = (\alpha + \beta + 2\gamma, \alpha - \beta + \gamma, 2\alpha + 3\beta - 4\gamma)$$

$$\Rightarrow \alpha + \beta + 2\gamma = 2, \alpha - \beta + \gamma = -1, 2\alpha + 3\beta - 4\gamma = 3$$

$$\Rightarrow \alpha = \frac{16}{17}, \beta = \frac{28}{17}, \gamma = -\frac{5}{17}$$

$$\text{So } (2, -1, 3) = \frac{16}{17}(1, 1, 2) + \frac{28}{17}(1, -1, 3) + \left(-\frac{5}{17}\right)(2, 1, -4) \text{ a finite linear combination of}$$

vectors of  $S$ .

$$\text{Hence } (2, -1, 3) \in [S].$$

**Example 3.4.4 :** Show that in  $V_2$ ,

$$(1, 3) \in [(1, 2), (0, 1)] \text{ but does not belong to } [(1, 2), (2, 4)].$$

**Solution :** Let  $(1, 3) = \alpha (1, 2) + \beta (0, 1)$

$$\Rightarrow (1, 3) = (\alpha, 2\alpha + \beta)$$

$$\Rightarrow \alpha = 1, 2\alpha + \beta = 3$$

$$\Rightarrow \alpha = \beta = 1$$

$$\text{Thus } (1, 3) = 1 \cdot (1, 2) + 1 \cdot (0, 1)$$

$$\text{Hence } (1, 3) \in [(1, 2), (0, 1)]$$

Further if  $(1, 3) \in [(1, 2), (2, 4)]$ , then

$$(1, 3) = \alpha (1, 2) + \beta (2, 4) = (\alpha + 2\beta, 2\alpha + 4\beta)$$

$$\Rightarrow \alpha + 2\beta = 1, 2\alpha + 4\beta = 3$$

The two equations are inconsistent.

$$\text{Hence } (1, 3) \notin [(1, 2), (2, 4)].$$

**Example 3.4.5 :** In the vector space  $V_3$ ,

$$\text{Let } u_1 = (1, 2, 1), u_2 = (3, 1, 5), u_3 = (3, -4, 7)$$

$$S = \{u_1, u_2\} \text{ and } T = \{u_1, u_2, u_3\} \text{ Show that } [S] = [T]$$

**Proof :** Let  $u \in [T]$ .

$$\Rightarrow u = \alpha u_1 + \beta u_2 + \gamma u_3 \text{ for some scalars } \alpha, \beta, \gamma.$$

$$\text{Let } u_3 = xu_1 + yu_2$$

$$\Rightarrow (3, -4, 7) = x(1, 2, 1) + y(3, 1, 5)$$

$$\Rightarrow (3, -4, 7) = (x + 3y, 2x + y, x + 5y)$$

$$\Rightarrow x + 3y = 3, 2x + y = -4, x + 5y = 7$$

$$\Rightarrow x = -3, y = 2$$

$$\text{So } u_3 = -3u_1 + 2u_2.$$

$$\therefore u = \alpha u_1 + \beta u_2 + \gamma(-3u_1 + 2u_2)$$

$$= (\alpha - 3\gamma)u_1 + (\beta + 2\gamma)u_2.$$

This is a linear combination of  $u_1$  and  $u_2$ .

$$\text{So } u \in [S]. \quad \therefore [T] \subseteq [S] \quad \dots (a)$$

$$\text{Let } u \in [S]$$

$$\Rightarrow u = \alpha' u_1 + \beta' u_2$$

$$\Rightarrow u = \alpha' u_1 + \beta' u_2 + \gamma' u_3 \quad [\gamma' = 0]$$

$$\Rightarrow u \in [T]$$

$$\text{So } [S] \subseteq [T]$$

From (a) and (b) we get

$$[S] = [T]$$

**Example 3.4.6 :** If  $S$  and  $T$  are subsets of a vector space  $V$ , then prove that

$$(a) \ S \subset [T] \Rightarrow [S] \subset [T]$$

$$(b) \ S \subset T \Rightarrow [S] \subset [T]$$

**Proof :** (a) Let  $S \subset [T]$

To show that  $[S] \subset [T]$

$$\text{Let } u \in [S]$$

$$\Rightarrow u = \alpha_1 u_1 + \alpha_2 u_2 + \dots + \alpha_n u_n$$

$$= \sum_{i=1}^n \alpha_i u_i, \quad u_i \text{'s} \in S.$$

Since  $[T]$  is a subspace of  $V$ ,  $u_i \text{'s} \in S$ , and  $S \subset [T]$ , a finite linear combination of  $S$  belongs to  $[T]$ .

$$\text{Thus } u \in [T]$$

This shows  $[S] \subset [T]$ .

(b) Let  $S \subset T$ . To show that  $[S] \subset [T]$

$$\text{Let } u \in [S]$$

$$\Rightarrow u = \sum_{i=1}^n \alpha_i u_i, \quad u_i \text{'s} \in S$$

$$\Rightarrow u \in [T]. \quad [\because S \subset T, u_i \text{'s} \in T]$$

$$\therefore [S] \subset [T].$$

### Problem Set 3 (C)

- Let  $S = \{(1, 2, 1), (1, 1, -1), (4, 5, -2)\}$ , Determine which of the following vectors are in  $[S]$ .  
 (a)  $(0, 0, 0)$  (b)  $(1, 1, 0)$  (c)  $(2, -1, -8)$   
 (d)  $\left(-\frac{1}{3}, -\frac{1}{3}, \frac{1}{3}\right)$  (e)  $(1, 0, 1)$  (f)  $(1, -3, 5)$
- Let  $S = \{x^3, x^2 + 2x, x^2 + 2, 1 - x\}$ . Determine which of the following polynomials are in  $[S]$ .  
 (a)  $2x^3 + 3x^2 + 3x + 7$  (b)  $x^4 + 7x + 2$   
 (c)  $3x^2 + x + 5$  (d)  $x^3 - \frac{3}{2}x^2 + \frac{x}{2}$   
 (e)  $3x + 2$  (f)  $x^3 + x^2 + 2x + 3$
- Write the following vectors as a linear combination of the vectors  $(1, -3, 2)$ ,  $(2, -4, -1)$  and  $(1, -5, 1)$ .  
 (a)  $(2, -5, 3)$  (b)  $(4, 3, 2)$  (c)  $(1, 2, 3)$  (d)  $(2, 1, 4)$
- If  $S$  and  $T$  are subsets of a vector space  $V$ , then prove that  
 (a)  $S$  is a subspace of  $V$  iff  $[S] = S$ .  
 (b)  $[[S]] = [S]$   
 (c)  $[S \cup T] = [S] + [T]$
- Let  $v_1, v_2, \dots, v_n$  be  $n$  elements of a vector space  $V$ . then prove that  
 (a)  $[v_1, v_2, \dots, v_n] = [\alpha_1 v_1, \alpha_2 v_2, \dots, \alpha_n v_n]$ ,  $\alpha_i \neq 0$   
 (b)  $[v_1, v_2] = [v_1 - v_2, v_1 + v_2]$   
 (c) If  $v_k \in [v_1, v_2, \dots, v_{k-1}]$ , then  $[v_1, v_2, \dots, v_{k-1}, v_k, v_{k+1}, \dots, v_n] = [v_1, v_2, \dots, v_{k-1}, v_{k+1}, \dots, v_n]$
- Let  $S$  be a non-empty subset of a vector space  $V$  and  $u, v \in V$ . If  $u \in [S \cup \{v\}]$  but  $u \notin [S]$ , then prove that  $v \in [S \cup \{u\}]$ .

## 3.5 Addition of Sets

### 3.5.1 Definition (Addition of sets) :

Let  $A$  and  $B$  be two subsets of a vector space  $V$ . Then the sum of  $A$  and  $B$ , written as  $A + B$ , is the set of all vectors of the form  $u + v$ ,  $u \in A$  and  $v \in B$ , i.e.,

$$A + B = \{u + v \mid u \in A, v \in B\}$$

**Example 3.5.1** : In  $V_2$  let  $A = \{(1, 2), (0, 1)\}$  and  $B = \{(1, 1), (-1, 2), (2, 5)\}$ . Then

$$\begin{aligned} A + B &= \{(1, 2) + (1, 1), (1, 2) + (-1, 2), (1, 2) + (2, 5), (0, 1) \\ &\quad + (1, 1), (0, 1) + (-1, 2), (0, 1) + (2, 5)\} \\ &= \{(2, 3), (0, 4), (3, 7), (1, 2), (-1, 3), (2, 6)\} \end{aligned}$$

**Example 3.5.2 :** In  $V_2$  let  $A = \{(2, 3)\}$ ,  $B = \{t(3, 1) \mid t \text{ is a scalar}\}$

$$\begin{aligned}\text{Then } A + B &= \{(2, 3) + t(3, 1) \mid t \text{ is a scalar}\} \\ &= \{(2 + 3t, 3 + t) \mid t \text{ is a scalar}\}\end{aligned}$$

Geometrically this set consists of all points having co-ordinates  $(x, y)$  where  $x = 2 + 3t$ ,  $y = 3 + t$ .

$$\text{Eliminating } t \text{ we get } y - 3 = \frac{x - 2}{3}.$$

$$\Rightarrow x - 3y + 7 = 0$$

This shows that  $A + B$  represents a straight line in a plane.

**Example 3.5.3 :** In  $V_3$  let  $A = \{\alpha(1, 2, 0) \mid \alpha \text{ a scalar}\}$

$$B = \{\beta(0, 1, 2) \mid \beta \text{ a scalar}\}$$

$$\begin{aligned}\text{Then } A + B &= \{\alpha(1, 2, 0) + \beta(0, 1, 2) \mid \alpha, \beta \text{ scalar}\} \\ &= \{(\alpha, 2\alpha + \beta, 2\beta) \mid \alpha, \beta \text{ scalars}\}\end{aligned}$$

Geometrically  $A + B$  is the set of points  $(x, y, z)$  with  $x = \alpha$ ,  $y = 2\alpha + \beta$ ,  $z = 2\beta$ ,  $\alpha, \beta$  are scalars.

Eliminating  $\alpha, \beta$ , we get

$$4x - 2y + z = 0. \text{ This represents a plane through origin.}$$

**Theorem 3.5.1 :** If  $U$  and  $W$  are two subspaces of a vector space  $V$ , then  $U + W$  is a subspace of  $V$  and  $U + W = [U \cup W]$ .

**Proof :** Clearly  $U + W \subset [U \cup W]$ , as each vector of  $U + W$  is a finite linear combination of  $U \cup W$ . Let us prove that  $[U \cup W] \subset U + W$ .

Let  $v \in [U \cup W]$

$$\Rightarrow v = \sum_{i=1}^m \alpha_i u_i + \sum_{j=1}^n \beta_j w_j$$

$$u_i, w_j \in U \text{ and } w_j \in W \quad \alpha_i, \beta_j \text{ are scalars}$$

$$\Rightarrow v = u + w \quad \left[ u = \sum_{i=1}^m \alpha_i u_i \in U, \right.$$

$$\left. w = \sum_{j=1}^n \beta_j w_j \in W \right]$$

since  $U$  and  $W$  are subspaces]

$$\Rightarrow v \in U + W$$

$$\text{Hence } [U \cup W] \subset U + W.$$

$$\text{Thus } U + W = [U \cup W].$$

Since span of a subset of a vector space is its subspace,  $U + W$  is a subspace of  $V$ .

**Note :**  $U + W$  is the smallest subset of  $V$  containing  $U \cup W$ .

**Example 3.5.4 :** Let  $V = V_3$ ,  $U = x\text{-axis}$ , and  $W = y\text{-axis}$ ,  
 i.e.,  $U = \{(u_1, 0, 0) \mid u_1 \text{ being any scalar}\}$   
 $W = \{(0, u_2, 0) \mid u_2 \text{ being any scalar}\}$   
 $U + W = \{(u_1, 0, 0) + (0, u_2, 0) \mid u_1, u_2 \text{ are scalars}\}$   
 $= \{(u_1, u_2, 0) \mid u_1, u_2 \text{ are scalars}\}$

This set represents  $xy\text{-plane}$ . i.e.,  
 $[x\text{-axis} \cup y\text{-axis}] = x\text{-axis} + y\text{-axis} = xy\text{-plane}$ .

### 3.5.2 Definition (Direct sum)

A vector space  $V$  is said to be a direct sum of its two subspaces  $U$  and  $W$  if  $V = U + W$  and  $U \cap W = V_0 = \{0\}$

The direct sum of  $U$  and  $W$  is written as  $U \oplus W$ .

**Example 3.5.5 :**

$U = xy\text{-plane} = \{(x, y, 0) \mid x, y \in \mathbb{R}\}$

$W = yz\text{-plane} = \{(0, y, z) \mid y, z \in \mathbb{R}\}$

$U$  and  $W$  are two subspaces of  $V_3$ .

Any element  $v \in V_3$  can be written as

$$v = (x, y, z)$$

$$= \left(x, \frac{y}{2}, 0\right) + \left(0, \frac{y}{2}, z\right)$$

$$= u + w$$

$$\left[ \begin{array}{l} u = \left(x, \frac{y}{2}, 0\right) \in U \\ w = \left(0, \frac{y}{2}, z\right) \in W \end{array} \right]$$

Thus  $V_3 = U + W$

$U \cap W = xy\text{-plane} \cap yz\text{-plane} = y\text{-axis} \neq V_0$

$V_3 \neq U \oplus W$

**Note :** We can also write

$$v = (x, y, z) = \left(x, \frac{2}{3}y, 0\right) + \left(0, \frac{1}{3}y, z\right)$$

i.e., in many ways we can express a vector of  $V_3$  as a sum of a vector of  $U$  and a vector of  $W$ .

**Example 3.5.6 :**

Let  $U = zx\text{-plane} = \{(x, 0, z) \mid x, z \in \mathbb{R}\}$

$W = y\text{-axis} = \{(0, y, 0) \mid y \in \mathbb{R}\}$

Clearly  $V_3 = U + W$

Again  $U \cap W = zx\text{-plane} \cap y\text{-axis} = \text{origin} = V_0$ .

So  $V_3 = U \oplus W$ .

**Note :**  $V_3 = xy\text{-plane} \oplus z\text{-axis}$ .

$V_3 = yz\text{-plane} \oplus x\text{-axis}$ .

**Theorem 3.5.2 :** Let  $U$  and  $W$  be two subspaces of a vectorspace  $V$  and  $Z = U + W$ . Then  $Z = U \oplus W$  iff any vector  $z \in Z$  can be expressed uniquely as the sum  $z = u + w$ ,  $u \in U$ ,  $w \in W$ .

**Proof : Condition necessary :**

Let  $Z = U \oplus W$

We have two show that any vector  $z \in Z$  can be expressed uniquely as the sum  $z = u + w$ ,  $u \in U$ ,  $w \in W$ .

Since  $Z = U \oplus W$ , therefore  $Z = U + W$ .

Thus any vector  $z \in Z$  can be expressed as  $z = u + w$ ,  $u \in U$  and  $w \in W$ .

Let us show that this representation of  $z$  is unique.

Suppose it is possible to have another representation

$z = u' + w'$  for some  $u' \in U$  and  $w' \in W$ .

Then  $u + w = u' + w'$

$\Rightarrow u - u' = w' - w$

But  $u - u' \in U$  and  $w' - w \in W$

Thus  $u - u' = w' - w \in U \cap W = \{\theta\}$

$\Rightarrow u - u' = w' - w = \theta$ .

$\Rightarrow u = u'$ ,  $w' = w$ .

Hence no other representation of  $z$  is possible. Thus the representation  $z = u + w$  is unique.

**Condition sufficient :**

Let  $Z = U + W$  and any vector  $z \in Z$  has unique representation as  $z = u + w$ ,  $u \in U$  and  $w \in W$ .

Let us show that  $Z = U \oplus W$ .

Since  $Z = U + W$ , only it remains to show that  $U \cap W = V_0 = \{\theta\}$ .

Let of possible  $U \cap W$  contains a non-zero vector  $v$ . Then  $v \in U$  and  $v \in W$  and  $v = v + \theta \in U + W$  with  $v \in U$  and  $\theta \in W$ .

Also  $v = \theta + v \in U + W$  with  $\theta \in U$  and  $v \in W$ .

This shows that  $v$  has two representations in  $U + W$ . This contradicts our hypothesis that any vector of  $U + W$  has unique representation. So  $U \cap W$  contains no non-zero vector. Hence  $U \cap W = \{\theta\}$  and  $Z = U \oplus W$ .

### 3.5.3 Definition (Parallel) :

If  $U$  is a subspace of a vector space  $V$  and  $v$  a vector of  $V$ , then  $\{v\} + U$ , also written as  $v + U$ , is called a translate of  $U$  (by  $v$ ) or a parallel of  $U$  (through  $v$ ) or a linear variety.

$U$  is called the base space of the linear variety and  $v$  a leader.

**Example 3.5.7 :**

Let  $U = \{(x, y) \in V_2 \mid y = x\}$ , a line through origin in  $V_2$ .

Clearly  $U$  is a subspace of  $V_2$ .

Consider the point  $v = (1, 0)$

Then  $u + U = \{(x + 1, y) \in V_2 \mid y = x\}$

$= \{(x, y) \in V_2 \mid y = x - 1\}$

$= \{(x, y) \in V_2 \mid y = x - 1\}$

This represents the line  $y = x - 1$  which is parallel to the line  $y = x$  passing through  $(1, 0)$ .



**Example 3.5.8 :**

Let  $U = \{(x, 0, z) \in V_3 \mid x, z \in \mathbb{R}\}$

This is  $y = 0$  plane.

Consider the point

$$v = (1, 1, 1) \in V_3$$

$$(1, 1, 1) + U$$

$$= \{(x+1, z+1) \in V_3 \mid x, z \in \mathbb{R}\}$$

$$= \{(x, 1, 2) \in V_3 \mid x, z \in \mathbb{R}\}$$

$$= \{(x, 1, 2) \in V_3 \mid x, z \in \mathbb{R}\}$$

This represents the plane  $y = 1$  which is parallel to the plane  $y = 0$ .

**Example 3.5.9 :**

Describe  $A + B$  for the given subsets  $A$  and  $B$  for  $V_2$  and determine whether it is a subspace or a parallel or just a subset of  $V_2$ .

(a)  $A = \{(1, 2), (0, 1)\}$ ,  $B = \{(1, 0), (3, -1)\}$

(b)  $A = \{(2, 4)\}$ ,  $B = \{(x, y) \mid 2x + 3y = 1\}$

(c)  $A = \{t(1, 0) \mid t \text{ a scalar}\}$

$$B = [(1, 2)]$$

**Solution :**

(a)  $A + B = \{(2, 2), (1, 1), (4, 1), (3, 0)\}$  clearly it is a subset of  $V_2$ .

This is also a non-trivial finite subset. hence it cannot be a subspace. Neither  $A$  nor  $B$  is a subspace. Thus it cannot be a parallel.

So  $A + B$  is just a subset.

(b)  $A + B = \{(x+2, y+4) \mid 2x+3y=1\}$

$$= \{(x, y) \mid 2(x-2) + 3(y-4) = 1\} \quad [x = x+2, y = y+4]$$

$$= \{(x, y) \mid 2x + 3y = 17\}$$

Since the lines  $2x + 3y = 1$  and  $2x + 3y = 17$  are parallel,  $A + B$  is a parallel with base  $B$  and leader  $A$ .

(c)  $A = \{t(1, 0) \mid t \text{ a scalar}\}$

$$B = [(1, 2)] = \{t(1, 2) \mid t \text{ a scalar}\}$$

$$A + B = \{t_1(t, 0) + t_2(1, 2) \mid t_1, t_2 \text{ are scalars}\}$$

$$= \{(t_1 + t_2, 2t_2) \mid t_1, t_2 \text{ are scalars}\}$$

For  $u, v \in A + B$ ,

$$u = (t_1' + t_2', 2t_2')$$

$$v = (t_1'' + t_2'', 2t_2'')$$

$$u + v = ((t_1' + t_1'') + (t_2' + t_2''), 2(t_2' + t_2''))$$

$$= (t_1 + t_2, 2t_2)$$

$$[t_1 = t_1' + t_1'', t_2 = t_2' + t_2'']$$

Thus  $u + v \in A + B$

If  $\alpha$  be a scalar,

$$\begin{aligned}\alpha u &= (\alpha(t_1' + t_2'), 2\alpha t_2') \\ &= (\alpha t_1' + \alpha t_2', 2\alpha t_2') \quad [t_1 = \alpha t_1', \quad t_2 = \alpha t_2'] \\ &= (t_1 + t_2, 2t_2).\end{aligned}$$

Thus  $\alpha u \in A + B$

Hence  $A + B$  is a subspace of  $V_2$ . Since  $x$  and  $y$  co-ordinates of  $A + B$  are arbitrary  $A + B = V_2$ .

**Example 3.5.10 :** Describe  $A + B$  for given subsets  $A$  and  $B$  of  $V_3$ . Determine whether  $A + B$  is a subspace or a parallel or just a subset of  $V_3$ .

(a)  $A = \{(1, 2, 1)\}, B = \{t(1, 2, 0) \mid t \text{ a scalar}\}$

(b)  $A = [(1, 2, 3)], B = [(3, 1, 0)]$

**Solution :**

(a)  $A + B = \{(1 + t, 2 + 2t, 1) \mid t \text{ a scalar}\}$

Taking  $x = 1 + t, y = 2 + 2t, z = 1$ , we have

$$2(x - 1) = y - 2, z = 1 \text{ (Eliminating } t\text{)}$$

This represents a straight line on  $z = 1$  plane.

$$B = \{t(1, 2, 0) \mid t \text{ a scalar}\}$$

$$= \{(t, 2t, 0) \mid t \text{ a scalar}\}$$

Taking  $x = t, y = 2t, z = 0$ , and eliminating  $t$  we get

$$2x - y = 0, z = 0.$$

This represents a straight line on  $z = 0$  plane.

Clearly  $A + B$  is a parallel with base  $B$  and leader  $A$ .

(b)  $A = [(1, 2, 3)]$

$$= [t(1, 2, 3) \mid t_1 \text{ a scalar}]$$

$$B = [(3, 1, 0)] = [t_2(3, 1, 0) \mid t_2 \text{ a scalar}]$$

$$A + B = \{t_1(1, 2, 3) + t_2(3, 1, 0) \mid t_1 \text{ and } t_2 \text{ are scalars}\}$$

$$= \{(t_1 + 3t_2, 2t_1 + t_2, 3t_1) \mid t_1, t_2 \text{ are scalars}\}$$

Let  $u, v \in A + B$

Then  $u = (t_1' + 3t_2', 2t_1' + t_2', 3t_1')$  for some scalars  $t_1', t_2'$ .

$$v = (t_1'' + 3t_2'', 2t_1'' + t_2'', 3t_1'') \text{ for some scalars } t_1'', t_2''.$$

$$\begin{aligned}u + v &= (t_1' + 3t_2' + t_1'' + 3t_2'', 2t_1' + t_2' + 2t_1'' + t_2'', 3t_1' + 3t_1'') \\ &= ((t_1' + t_1'') + 3(t_2' + t_2''), 2(t_1' + t_1'') + (t_2' + t_2''), 3(t_1' + t_1'')) \\ &= (t_1 + 3t_2, 2t_1 + t_2, 3t_1) \quad [t_1 = t_1' + t_1'', \quad t_2 = t_2' + t_2'']\end{aligned}$$

Thus  $u + v \in A + B$

Again for some scalar  $\alpha$ ,

$$\begin{aligned}\alpha u &= (\alpha(t_1' + 3t_2'), \alpha(2t_1' + t_2'), 3\alpha t_1') \\ &= (\alpha t_1' + 3\alpha t_2', 2\alpha t_1' + \alpha t_2', 3\alpha t_1') \\ &= (t_1 + 3t_2, 2t_1 + t_2, 3t_1) \quad [t_1 = \alpha t_1', \quad t_2 = \alpha t_2']\end{aligned}$$

Thus  $\alpha u \in A + B$

Hence  $A + B$  is a subspace of  $V_3$ .

Since  $x, y$  and  $z$  coordinates of elements of  $A + B$  are arbitrary,  $A + B = V_3$ .

### Problem Set 3 (D)

1. Describe  $A + B$  for the given subsets  $A$  and  $B$  of  $V_2$  and determine in each case whether it is a subspace or a parallel or just a subset of  $V_2$ .
  - (a)  $A = \{(3, 4), (1, 1)\}$ ,  $B = \{(1, -1), (2, 1)\}$
  - (b)  $A = \{(1, -2), (5, 1)\}$ ,  $B = \{(3, 5), \left(\frac{1}{2}, 3\right), (\sqrt{2}, \pi)\}$
  - (c)  $A = \left\{\left(\frac{1}{2}, \frac{2}{3}\right)\right\}$ ,  $B = \text{Segment joining } (1, 1) \text{ and } (2, 3)$
  - (d)  $A = \{(2, 3)\}$ ,  $B = \{t(3, 4) \mid 1 \leq t \leq 2\}$
  - (e)  $A = \{(3, 7)\}$ ,  $B = \{t(-1, 2) \mid 0 \leq t \leq 1\}$
  - (f)  $A = \left\{\left(\frac{1}{2}, 2\right)\right\}$ ,  $B = \{t(3, 0) \mid t \geq 0\}$
  - (g)  $A = \{(1, 5)\}$ ,  $B = \{(x, y) \mid x^2 + y^2 = 1\}$
  - (h)  $A = \{t(3, 4) \mid 0 \leq t \leq 1\}$ ,  $B = \{t(2, 5) \mid 1 \leq t \leq 2\}$
  - (i)  $A = \{t(1, 0) \mid 0 \leq t \leq 1\}$ ,  $B = \{t(0, 1) \mid 2 \leq t \leq 4\}$
  - (j)  $A = \text{line } x = 3t, y = 4t$ ,  $B = \text{line } 2x + 5y = 0$
2. Describe  $A + B$  for the given subsets  $A$  and  $B$  of  $V_3$ . Determine in each case whether  $A + B$  is a sub space or a parallel or just a subset of  $V_3$ .
  - (a)  $A = \{3, 1, -1\}$ ,  $B = \{(x, y, z) \mid x + y + z = 0\}$
  - (b)  $A = \{(1, -3, 4)\}$ ,  $B = [(1, 2, 3), (0, 0, 1)]$
  - (c)  $A = \left[\left(\frac{1}{2}, \frac{2}{3}, 1\right)\right]$ ,  $B = \text{Plane } 2x + 3y + z = 0$
  - (d)  $A = [(5, 0, 2)]$ ,  $B = [(1, 2, 3), (0, 1, 2)]$
  - (e)  $A = [(1, 0, -1)]$ ,  $B = [(2, 5, 8), (2, 3, 4)]$

### 3.6 Linear Dependence and Independence

#### 3.6.1 Definition (Linear Dependence)

A finite set of vectors  $\{u_1, u_2, \dots, u_n\}$  is said to be linearly dependent (L.D.) if there exists scalars  $\alpha_1, \alpha_2, \dots, \alpha_n$  not all zero such that

$$\alpha_1 u_1 + \alpha_2 u_2 + \dots + \alpha_n u_n = 0$$

**Example 3.6.1 :** Prove that the vectors  $(1, 0, 1)$ ,  $(1, 1, 0)$  and  $(-1, 0, -1)$  are LD.

**Proof :** Let  $\alpha, \beta, \gamma$  be scalars such that

$$\alpha(1, 0, 1) + \beta(1, 1, 0) + \gamma(-1, 0, -1) = (0, 0, 0)$$

$$\Rightarrow (\alpha + \beta - \gamma, \beta, \alpha - \gamma) = (0, 0, 0)$$

$$\Rightarrow \alpha + \beta - \gamma = 0, \beta = 0, \alpha - \gamma = 0$$

$$\Rightarrow \alpha = \gamma, \beta = 0$$

If  $\alpha = \gamma = 1$ , then

$$\alpha(1, 0, 1) + \beta(1, 1, 0) + \gamma(-1, 0, -1) = (0, 0, 0)$$

So the given vectors are L.D. since the scalars  $\alpha, \gamma$  are non-zero.

**Alternative Method –**

For scalars  $\alpha, \beta, \gamma$

$$\alpha(1, 0, 1) + \beta(1, 1, 0) + \gamma(-1, 0, -1) = (0, 0, 0)$$

$$\Rightarrow (\alpha + \beta + \gamma, \beta, \alpha - \gamma) = (0, 0, 0)$$

$$\Rightarrow \alpha + \beta + \gamma = 0, \beta = 0, \alpha - \gamma = 0 \quad \dots(1)$$

The determinant of co-efficients of  $\alpha, \beta, \gamma$  is

$$\begin{vmatrix} 1 & 1 & -1 \\ 0 & 1 & 0 \\ 1 & 0 & -1 \end{vmatrix} = 0$$

So the system of equating (1) has non-zero solution.

Hence the given set of vectors is LD.

**Example 3.6.2 :** Prove that the set of vectors  $u_1 = (0, 2, -4)$ ,  $u_2 = (1, -2, -1)$ ,  $u_3 = (1, -4, 3)$  of  $V_3$  are LD.

**Proof :** Let  $\alpha, \beta, \gamma$  be scalars such that  $\alpha u_1 + \beta u_2 + \gamma u_3 = \theta$

$$\Rightarrow \alpha(0, 2, -4) + \beta(1, -2, -1) + \gamma(1, -4, 3) = (0, 0, 0)$$

$$\Rightarrow (\beta + \gamma, 2\alpha - 2\beta - 4\gamma, -4\alpha - \beta + 3\gamma) = (0, 0, 0)$$

$$\Rightarrow \beta + \gamma = 0, 2\alpha - 2\beta - 4\gamma = 0, -4\alpha - \beta + 3\gamma = 0$$

$$\Rightarrow \alpha = -\beta = \gamma$$

Taking a non zero value 1 for  $\gamma$ ,

$$\text{i.e., taking } \alpha = 1, \beta = -1, \gamma = 1, \alpha u_1 + \beta u_2 + \gamma u_3 = \theta$$

So the set of vectors  $\{u_1, u_2, u_3\}$  is LD.

### 3.6.2 Definition (Linear Independence)

A finite set of vectors  $\{u_1, u_2, \dots, u_n\}$  is said to be linearly independent (LI) if relation of the form,

$$\alpha_1 u_1 + \alpha_2 u_2 + \dots + \alpha_n u_n = \theta \\ \Rightarrow \alpha_1 = \alpha_2 = \dots = \alpha_n = 0$$

where  $\alpha_1, \alpha_2, \dots, \alpha_n$  are scalars.

An infinite set is LI if every finite subset if it is LI.

By convention, the empty set is considered to be LI.

**Example 3.6.3 :** Prove that the vectors  $(1, 0, 1)$ ,  $(1, 1, 0)$  and  $(1, 1, -1)$  are LI.

**Proof :** Consider a relation of the form

$$\alpha(1, 0, 1) + \beta(1, 1, 0) + \gamma(1, 1, -1) = (0, 0, 0)$$

where  $\alpha, \beta, \gamma$  are scalars.

$$\text{Then } (\alpha + \beta + \gamma, \beta + \gamma, \alpha - \gamma) = (0, 0, 0)$$

$$\Rightarrow \alpha + \beta + \gamma = 0, \beta + \gamma = 0, \alpha - \gamma = 0$$

$$\Rightarrow \alpha = \beta = \gamma = 0$$

Hence by definition, the given vectors are LI.

**Alternative Method :**

For scalars  $\alpha, \beta, \gamma$ ,

$$\alpha(1, 0, 1) + \beta(1, 1, 0) + \gamma(1, 1, -1) = (0, 0, 0)$$

$$\Rightarrow (\alpha + \beta + \gamma, \beta + \gamma, \alpha - \gamma) = (0, 0, 0)$$

$$\Rightarrow \alpha + \beta + \gamma = 0, \beta + \gamma = 0, \alpha - \gamma = 0$$

The determinant of the coefficients of  $\alpha, \beta, \gamma$

$$D = \begin{vmatrix} 1 & 1 & 1 \\ 0 & 1 & 1 \\ 1 & 0 & -1 \end{vmatrix} = -1 \neq 0$$

$$\text{So } \alpha = \beta = \gamma = 0$$

Hence given vectors are L.I.

**Example 3.6.4 :** Prove that the system of vectors

$$u_1 = (0, 1, -2), u_2 = (1, -1, 1) \text{ and } u_3 = (1, 2, 1) \text{ are L.I.}$$

**Proof :** For some scalars  $\alpha, \beta, \gamma$

$$\text{Let } \alpha u_1 + \beta u_2 + \gamma u_3 = \theta$$

$$\Rightarrow \alpha(0, 1, -2) + \beta(1, -1, 1) + \gamma(1, 2, 1) = (0, 0, 0)$$

$$\Rightarrow (\beta + \gamma, \alpha - \beta + 2\gamma, -2\alpha + \beta + \gamma) = (0, 0, 0)$$

$$\Rightarrow \beta + \gamma = 0, \alpha - \beta + 2\gamma = 0, -2\alpha + \beta + \gamma = 0 \quad \dots(1)$$

$$\Rightarrow \alpha = \beta = \gamma = 0$$

So  $u_1, u_2, u_3$  are L.I.

Alternatively, the system equations (1) has unique (trivial) solution since the determinant of coefficients of  $\alpha, \beta, \gamma$  is

$$\begin{vmatrix} 0 & 1 & 1 \\ .1 & -1 & 2 \\ -2 & 1 & 1 \end{vmatrix} = -6 \neq 0$$

Hence  $\alpha = \beta = \gamma = 0$

So the given vectors are L.I.

**Example 3.6.5 :** Prove that the vectors  $(x_1, y_1), (x_2, y_2)$  in  $V_2$  are linearly dependent iff  $x_1y_2 - x_2y_1 = 0$ .

**Proof :** For some scalars  $\alpha, \beta$ ,

$$\begin{aligned} \alpha(x_1, y_1) + \beta(x_2, y_2) &= (0, 0) \\ \Rightarrow (\alpha x_1 + \beta x_2, \alpha y_1 + \beta y_2) &= (0, 0) \\ \Rightarrow \alpha x_1 + \beta x_2 &= 0 \\ \alpha y_1 + \beta y_2 &= 0 \end{aligned}$$

This system has non-zero solution iff the determinant of the coefficients of  $\alpha, \beta$ ,

$$\begin{vmatrix} x_1 & x_2 \\ y_1 & y_2 \end{vmatrix} = 0$$

$$\Rightarrow x_1y_2 - x_2y_1 = 0$$

Hence proved.

**Example 3.6.6 :** Check the linear dependence or linear independence of the following set of vectors.

$$\{(1, 0, 1), (1, 1, 0), (1, -1, 1), (1, 2, -3)\}$$

**Solution :** From some scalars  $\alpha, \beta, \gamma, \delta$ ,

$$\begin{aligned} \text{let } \alpha(1, 0, 1) + \beta(1, 1, 0) + \gamma(1, -1, 1) + \delta(1, 2, -3) &= (0, 0, 0) \\ \Rightarrow (\alpha + \beta + \gamma + \delta, \beta - \gamma + 2\delta, \alpha + \gamma - 3\delta) &= (0, 0, 0) \\ \Rightarrow \alpha + \beta + \gamma + \delta = 0, \beta - \gamma + 2\delta = 0, \alpha + \gamma - 3\delta &= 0 \\ \Rightarrow \alpha = 5\delta, \beta = -4\delta, \gamma = -2\delta &\text{ for each choice } \delta. \end{aligned}$$

Taking  $\delta = 1$ , we obtain nonzero values of  $\alpha, \beta, \gamma$  such as  $\alpha = 5, \beta = -4, \gamma = -2$

$$\text{so that } 5(1, 0, 1) - 4(1, 1, 0) - 2(1, -1, 1) + 1(1, 2, -3) = (0, 0, 0)$$

Hence the given set of vectors is L.D.

**Note :** A set of more than 3 vectors of  $V_3$  is always L.D.

**Example 3.6.7** Check whether the set

$$\{e^x, e^{2x}\} \text{ in } C^{(\infty)}(-\infty, \infty) \text{ is L.D. or L.I.}$$

**Solution :**

$$\text{Suppose } \alpha e^x + \beta e^{2x} = 0 \quad (x) = 0 \quad \forall x \in C^{(\infty)}(-\infty, \infty)$$

$$\text{on differentiation,} \quad \dots (A)$$

$$\alpha e^x + 2\beta e^{2x} = 0 \quad \dots (B)$$

Subtracting (A) from (B), we get

$$\beta e^{2x} = 0$$

$$\Rightarrow \beta = 0 \quad (\because e^{2x} \neq 0)$$

Putting  $\beta = 0$  in (A), we get

$$\alpha e^x = 0$$

$$\Rightarrow \alpha = 0$$

$$\therefore \alpha = \beta = 0.$$

Hence the given set is L.I.

**Example 3.6.8 :** Check the linear dependence or linear independence of the set  $\{x, |x|\}$  in  $C(-1, 1)$

**Solution :** Suppose  $\alpha x + \beta |x| = 0$

Since  $|x|$  is not differentiable at zero, we cannot use the method used in Example 3.5.7.  $\alpha x + \beta |x| = 0$  holds for all  $x$  in  $(-1, 1)$  choosing two different values of  $x$ ,

say  $x = \frac{1}{2}$ ,  $x = -\frac{1}{2}$ , we get

$$\frac{\alpha}{2} + \frac{\beta}{2} = 0 \text{ and } -\frac{\alpha}{2} + \frac{\beta}{2} = 0.$$

Solving these two equations we get  $\alpha = \beta = 0$ .

Hence the given set is LI.

**3.6.3 Definition :** Given a vector  $v \neq \theta$ , the set of all scalar multiples of  $v$  is called a line through  $v$ .

Geometrically, in the cases of  $V_1$ ,  $V_2$  and  $V_3$  it is nothing but the straight line through origin and  $v$ .

**Example 3.6.9 :**  $S = \{t(1, 2, 3) \mid t \text{ any scalar}\}$

Here  $(x, y, z) = t(1, 2, 3)$

$$\Rightarrow x = t, y = 2t, z = 3t$$

$$\Rightarrow \frac{x}{1} = \frac{y}{2} = \frac{z}{3}.$$

This represents a straight line in  $V_3$ .

So  $S$  represents a straight line in  $V_3$  passing through origin.

**Example 3.6.10 :**

$$S = \{v \mid v = (t, t) \text{ and } t \text{ any scalar}\}.$$

$$= \{(t, t) \mid t \text{ is any scalar}\}$$

Here  $(x, y) = (t, t)$

$$\Rightarrow x = y = t$$

$$\Rightarrow x = y$$

This represents equation of a straight line in  $V_2$  through origin.

Hence  $S$  is a straight line through origin.

**3.6.4 Definition : (Collinearity)**

Two vectors  $v_1$  and  $v_2$  are **collinear** if one of them lies on the line through the other.

**Example 3.6.11 :** The vectors  $v$  and  $2v$  of a vector space  $V$  are collinear.

$\sin x, 2 \sin x$  are collinear.

The facts  $\sin x$  and  $\cos x$  are not collinear since one is not a scalar multiple of other.

**3.6.5 Definition :** Given two vectors  $v_1$  and  $v_2$  which are not collinear, their span, namely,  $[v_1, v_2]$ , is called the plane through  $v_1$  and  $v_2$ .

Geometrically, in the cases of  $V_2$  and  $V_3$  it is nothing but the plane passing through origin,  $v_1$  and  $v_2$ .

**Example 3.6.12 :** The functions  $\sin x$ ,  $\cos x$  in  $F(I)$  are not collinear, because neither of the two lies in the line through the other. Their span, namely

$$[\sin x, \cos x] = \{\alpha \sin x + \beta \cos x \mid \alpha, \beta \text{ any scalars}\}$$

is a plane through the vectors  $\sin x$  and  $\cos x$ .

**3.6.6 Definition :** Three vectors  $v_1$ ,  $v_2$  and  $v_3$  are **co-planar** if one of them lies in the plane through the other two.

Clearly,  $0$  is coplanar with every pair of non-collinear vectors.

**Example 3.6.13 :** The functions  $\sin x$ ,  $\cos x$ ,  $\tan x$  in  $F(I)$  are obviously not coplanar, because none of them lies in the plane through the other two. In other words, none of them can be expressed as a linear combinations of the other two.

**Example 3.6.14 :** The functions  $\sin^2 x$ ,  $\cos^2 x$ ,  $\cos 2x$  are coplanar. Because  $\sin^2 x = -\cos 2x + \cos^2 x$ , a linear combination of  $\cos 2x$  and  $\cos^2 x$ .

(i.e.  $\sin^2 x$  lies in the plane containing  $\cos 2x$  and  $\cos^2 x$ ).

**Theorem 3.6.1 :** Let  $V$  be a vector space. Then

- The set  $\{v\}$  is LD iff  $v = \theta$ .
- The set  $\{v_1, v_2\}$  is LD iff  $v_1$  and  $v_2$  are collinear, i.e. one of them is a scalar multiple of the other.
- The set  $\{v_1, v_2, v_3\}$  is LD iff  $v_1, v_2, v_3$  are coplanar, i.e. one of them is a linear combination of the other two.

**Proof :**

- $\{v\}$  is LD iff there exists a non zero scalar  $\alpha$  such that  $\alpha v = 0$ . This is possible if  $v = \theta$ .
- Suppose  $\{v_1, v_2\}$  is LD.

Then there exists scalars  $\alpha$  and  $\beta$  not both zero such that

$$\alpha v_1 + \beta v_2 = \theta.$$

$$\text{Suppose } \alpha \neq 0. \text{ then } v_1 = -\frac{\beta}{\alpha} v_2.$$

This means  $v_1$  is a scalar multiple of  $v_2$ , i.e.,  $v_1$  lies on the line through  $v_2$ .

Hence  $v_1$  and  $v_2$  are collinear.

Conversely, if  $v_1$  and  $v_2$  are collinear, then  $v_1 = \alpha v_2$  for some scalar  $\alpha$ , i.e.,  $1 \cdot v_1 - \alpha v_2 = \theta$ .

Hence  $v_1$  and  $v_2$  are LD.

- Let  $\{v_1, v_2, v_3\}$  is LD.

$$\Rightarrow \alpha v_1 + \beta v_2 + \gamma v_3 = \theta$$

where  $\alpha, \beta, \gamma$  are not all zero.



$$\Rightarrow v_1 = -\frac{\beta}{\alpha} v_2 - \frac{\gamma}{\alpha} v_3 \quad (\text{considering } \alpha \neq 0)$$

$$\Rightarrow v_1 \in [v_2, v_3]$$

$$\Rightarrow v_1 \text{ lies in the plane through } v_2 \text{ and } v_3.$$

$$\Rightarrow v_1, v_2, v_3 \text{ are coplanar.}$$

Conversely, let  $v_1, v_2, v_3$  are coplanar one of them, say  $v_1 \in [v_2, v_3]$ .

$$\Rightarrow v_1 = \alpha_2 v_2 + \alpha_3 v_3 \text{ for some scalars } \alpha_2 \text{ and } \alpha_3.$$

$$\Rightarrow 1.v_1 - \alpha_2 v_2 - \alpha_3 v_3 = \theta$$

$$\Rightarrow v_1, v_2, v_3 \text{ are LD } (\because 1 \neq 0)$$

**Example 3.6.15 :** Consider three vectors  $(1, 1, 1)$ ,  $(1, -1, 1)$  and  $(3, -1, 3)$ . It can be verified that they are LD and  $1(1, 1, 1) + 2(1, -1, 1) - 1(3, -1, 3) = \theta$ .

Hence by Theorem 3.6.1, the plane through  $(1, 1, 1)$  and  $(3, -1, 3)$  contains the point  $(1, -1, 1)$

The plane through  $(1, 1, 1)$  and  $(3, -1, 3)$  is

$$[(1, 1, 1), (3, -1, 3)]$$

$$= \{\alpha(1, 1, 1) + \beta(3, -1, 3) \mid \alpha, \beta \text{ any scalars}\}$$

$$= \{(\alpha + 3\beta, \alpha - \beta, \alpha + 3\beta) \mid \alpha, \beta \text{ any scalars}\}$$

This set contains  $(1, -1, 1)$  if  $\alpha + 3\beta = 1$ ,  $\alpha - \beta = -1$ ,  $\alpha + 3\beta = 1$

$$\Rightarrow \alpha = -\frac{1}{2}, \beta = \frac{1}{2}$$

We could have proved this fact from the relation

$$1(1, 1, 1) + 2(1, -1, 1) - 1(3, -1, 3) = \theta$$

$$\Rightarrow (1, -1, 1) = -\frac{1}{2}(1, 1, 1) + \frac{1}{2}(3, -1, 3)$$

$$\Rightarrow (1, -1, 1) \in [(1, 1, 1), (3, -1, 3)]$$

**Theorem 3.6.2 :** In a vector space  $V$ ,

- (a) any set of vectors containing the zero vector is LD
- (b) if  $v \in [v_1, v_2, \dots, v_n]$ , then  $\{v_1, v_2, \dots, v_n, v\}$  is L.D.
- (c) If the set  $\{v_1, v_2, \dots, v_n\}$  is L.I.  
and  $v \notin [v_1, v_2, \dots, v_n]$ , then the set  $\{v, v_1, v_2, \dots, v_n\}$  is L.I.

**Proof :** (a) Let  $\{v, v_1, \dots, v_n\}$  be a set of vectors with  $v_i = \theta$

$$\text{Then } 0.v_1 + 0.v_2 + 0.v_{i-1} + 1.v_i + 0.v_{i+1} \dots + 0.v_n = \theta$$

Since one of the scalars of the left hand side is different from zero ( $\because 1 \neq 0$ ), the set is LD.

(b) let  $v \in [v_1, v_2, \dots, v_n]$

$$\Rightarrow v = \alpha_1 v_1 + \alpha_2 v_2 + \dots + \alpha_n v_n \text{ for some scalars } \alpha_1, \alpha_2, \dots, \alpha_n.$$

$$\Rightarrow 1.v - \alpha_1 v_1 - \alpha_2 v_2 \dots - \alpha_n v_n = \theta$$

$$\Rightarrow \{v, v_1, v_2, \dots, v_n\} \text{ is L.D. } (\because \text{the scalars } 1 \neq 0)$$

(c) The set  $\{v_1, v_2, \dots, v_n\}$  is LI and  $v \notin [v_1, v_2, \dots, v_n]$

Let us show that  $\{v, v_1, v_2, \dots, v_n\}$  is LI.

Now suppose  $\alpha v + \alpha_1 v_1 + \alpha_2 v_2 + \dots + \alpha_n v_n = \theta$  ... (1)

for some scalars  $\alpha, \alpha_1, \alpha_2, \dots, \alpha_n$ .

If  $\alpha \neq 0$ , then

$$v = \left(-\frac{\alpha_1}{\alpha}\right)v_1 + \left(-\frac{\alpha_2}{\alpha}\right)v_2 + \dots + \left(-\frac{\alpha_n}{\alpha}\right)v_n$$

$$\Rightarrow v \in [v_1, v_2, \dots, v_n]$$

This contradicts our assumption.

Thus  $\alpha = 0$ . Putting this in (1) we get  $\alpha_1 v_1 + \alpha_2 v_2 + \dots + \alpha_n v_n = \theta$

$$\Rightarrow \alpha_1 = \alpha_2 = \dots = \alpha_n = 0 \quad (\because v_1, v_2, \dots, v_n \text{ are LI})$$

Thus  $\alpha = 0$  and  $\alpha_1 = \alpha_2 = \dots = \alpha_n = 0$

This proves  $\{v, v_1, \dots, v_n\}$  is LI.

**Theorem 3.6.3 :** (a) If a set is LI, then any subset of it is LI

(b) If a set is LD, then any superset of it is LD.

**Proof :** (a) Let  $A = \{v_1, v_2, \dots, v_n\}$  be LI.

Let  $S = \{v_1, v_2, \dots, v_i\} \quad (i \leq n)$

So that  $S \subset A$ .

Suppose for some scalars  $\alpha_1, \alpha_2, \dots, \alpha_i, \alpha_1 v_1 + \alpha_2 v_2 + \dots + \alpha_i v_i = \theta$

$$\Rightarrow \alpha_1 v_1 + \alpha_2 v_2 + \dots + \alpha_i v_i + 0 \cdot v_{i+1} + \dots + 0 \cdot v_n = \theta$$

$$\Rightarrow \alpha_1 = \alpha_2 = \dots = \alpha_i = 0 \quad (\because A \text{ is LI}).$$

Hence S is LI.

(b) Let  $A = \{v_1, v_2, \dots, v_n\}$  be LD set.

Suppose  $S = \{v_1, v_2, \dots, v_m\} \quad (n \leq m)$  be show that S is LD.

Since A is LD, there exists scalars  $\alpha_1, \alpha_2, \dots, \alpha_n$  not all zero such that

$$\alpha_1 v_1 + \alpha_2 v_2 + \dots + \alpha_n v_n = \theta.$$

$$\Rightarrow \alpha_1 v_1 + \alpha_2 v_2 + \dots + \alpha_n v_n + \alpha_{n+1} v_{n+1} + \dots + \alpha_m v_m = \theta$$

$$[\text{taking } \alpha_{n+1} = \dots = \alpha_m = 0]$$

Now since  $\alpha_1, \alpha_2, \dots, \alpha_{n+1}, \dots, \alpha_m$  are not all zero, the set S is LD.

**Theorem 3.6.4 :** The set of non-zero vectors  $\{v_1, v_2, \dots, v_n\}$  of a vector space V is L.D. iff one of them say  $v_k, 2 \leq k \leq n$  can be expressed as a linear combination of the vectors which precede it. i.e.,  $v_k \in [v_1, v_2, \dots, v_{k-1}]$ .

**Proof : Condition necessary –**

Let the set of n vectors  $\{v_1, v_2, \dots, v_n\}$  is LD.

Then there exists scalars  $\alpha_1, \alpha_2, \dots, \alpha_n$  not all zero such that

$$\alpha_1 v_1 + \alpha_2 v_2 + \dots + \alpha_n v_n = \theta \quad \dots (1)$$

Let  $\alpha_k$  be the last non-zero co-efficient.

If  $k = 1$ , then  $\alpha_1 v_1 = \theta$ . But  $\alpha_1 \neq 0$ .

$$\therefore v_1 = \theta$$

This contradicts the fact that  $v_1$ 's are non zero vectors.

Thus  $2 \leq k \leq n$ .

By assumption of  $k$ ,  $\alpha_1 v_1 + \alpha_2 v_2 + \dots + \alpha_k v_k = \theta$ .

$$\Rightarrow v_k = \left( -\frac{\alpha_1}{\alpha_k} \right) v_1 + \left( -\frac{\alpha_2}{\alpha_k} \right) v_2 + \dots + \left( -\frac{\alpha_{k-1}}{\alpha_k} \right) v_{k-1}$$

$$\Rightarrow v_k \in [v_1, v_2, \dots, v_{k-1}]$$

**Condition sufficient :**

Let for some  $k$  with  $2 \leq k \leq n$ ,

$$v_k \in [v_1, v_2, \dots, v_{k-1}]$$

$$\Rightarrow v_k = \alpha_1 v_1 + \alpha_2 v_2 + \dots + \alpha_{k-1} v_{k-1}$$

$$\Rightarrow \alpha_1 v_1 + \alpha_2 v_2 + \dots + \alpha_{k-1} v_{k-1} + (-1) v_k = \theta$$

$$\Rightarrow \{v_1, v_2, \dots, v_k\} \text{ is L.D. } (\because -1 \neq 0)$$

$$\Rightarrow \{v_1, v_1, \dots, v_n\} \text{ is L.D. } [\because \text{any superset of LD set is LD}]$$

**Corollary 3.6.5 :** A finite subset  $S = \{v_1, v_2, \dots, v_n\}$  of a vector space  $V$  containing a non-zero vector has a linearly independent subset  $A$  such that  $[A] = [S]$ .

**Proof :** We assume  $v_1 \neq 0$ . If  $S$  is L.I, then there is nothing to prove, as we have  $A = S$ . If not, then by Theorem 3.6.4, there exists a vector  $v_k$  such that

$$v_k \in [v_1, v_2, \dots, v_{k-1}].$$

Discard  $v_k$ . Then we get the set  $S_1 = \{v_1, v_2, \dots, v_{k-1}, v_{k+1}, \dots, v_n\}$

We claim that  $[S_1] = [S]$ .

Let us justify this as follows.

Since  $S_1 \subset S$ ,

$$[S_1] \subset [S]$$

Let  $v \in [S]$ .

$$\Rightarrow v = \alpha_1 v_1 + \alpha_2 v_2 + \dots + \alpha_k v_k + \dots + \alpha_n v_n \quad \dots(1)$$

$$\text{Since } v_k = \beta_1 v_1 + \beta_2 v_2 + \dots + \beta_{k-1} v_{k-1} \quad \dots(2)$$

From (1) and (2) we get

$$\begin{aligned} v &= \alpha_1 v_1 + \dots + \alpha_{k-1} v_{k-1} + \alpha_k (\beta_1 v_1 + \beta_2 v_2 + \dots + \beta_{k-1} v_{k-1}) \\ &\quad + \alpha_{k+1} v_{k+1} + \dots + \alpha_n v_n \\ &= (\alpha_1 + \alpha_k \beta_1) v_1 + \dots + (\alpha_{k-1} + \alpha_k \beta_{k-1}) v_{k-1} + \alpha_{k+1} v_{k+1} + \dots + \alpha_n v_n \end{aligned}$$

$$\Rightarrow v \in [S_1].$$

$$\text{So } [S] \subset [S_1]$$

$$\text{Hence } [S_1] = [S]$$

If  $S_1$  is LI, then can take  $A = S_1$ . So that  $[A] = [S]$ .

If not, then repeat the foregoing process. Ultimately we get a linearly independent subset  $A$  of  $S$  such that  $[A] = [S]$ .

**Example 3.6.16 :** Show that the ordered set  $\{(1, 1, 0), (0, 1, 1), (1, 0, -1), (1, 1, 1)\}$  is LD and locate one of this vectors that belongs to the span of the previous ones.

**Solution :** Consider the sets

$$S_1 = \{(1, 1, 0)\}$$

$$S_2 = \{(1, 1, 0), (0, 1, 1)\}$$

$$S_3 = \{(1, 1, 0), (0, 1, 1), (1, 0, -1)\}$$

$$S_4 = \{(1, 1, 0), (0, 1, 1), (1, 0, -1), (1, 1, 1)\}$$

Clearly  $S_1$  is LI since

a singleton set having a non-zero vector is LI. (Theorem 3.6.1)

$$\text{Now } \alpha(1, 1, 0) + \beta(0, 1, 1) = (0, 0, 0)$$

$$\Rightarrow (\alpha, \alpha + \beta, \beta) = (0, 0, 0)$$

$$\Rightarrow \alpha = \beta = 0$$

So  $S_2$  is also LI.

$$\text{Again } \alpha(1, 1, 0) + \beta(0, 1, 1) + \gamma(1, 0, -1) = (0, 0, 0)$$

$$\Rightarrow (\alpha + \gamma, \alpha + \beta, \beta - \gamma) = (0, 0, 0)$$

$$\Rightarrow \alpha + \gamma = 0, \alpha + \beta = 0, \beta - \gamma = 0$$

$$\Rightarrow \beta = \gamma = -\alpha$$

Taking  $\alpha = 1$ , we have  $\beta = \gamma = -1$ ,

We get

$$1(1, 1, 0) - 1(0, 1, 1) - 1(1, 0, -1) = (0, 0, 0)$$

So  $S_3$  is LD.

$$\text{Also } (1, 0, -1) = 1(1, 1, 0) - 1(0, 1, 1)$$

$$\Rightarrow (1, 0, -1) \in [(1, 1, 0), (0, 1, 1)]$$

If we take

$$S_4 = \{(1, 1, 0), (0, 1, 1), (1, 0, -1), (1, 1, 1)\},$$

Then  $S_4$  is LD since  $S_4 \supset S_3$ . (Theorem 3.6.3)

**Example 3.6.17 :** Find the largest linearly independent subset whose span is  $S_4$  in Example 3.6.16

**Solution :** In Example 3.6.16 we have shown that  $(1, 0, -1) \in [(1, 1, 0), (0, 1, 1)]$

$$\Rightarrow (1, 0, -1) \in [(1, 1, 0), (0, 1, 1), (1, 1, 1)]$$

Discard  $(1, 0, -1)$ .

Then the span of the remaining set  $A = \{(1, 1, 0), (0, 1, 1), (1, 1, 1)\}$  is same as  $[S_4]$ .

Let us check for the linear independence of  $A$ .

$$\begin{aligned}
&\text{Suppose } \alpha(1, 1, 0) + \beta(0, 1, 1) + \gamma(1, 1, 1) = (0, 0, 0) \\
&\Rightarrow (\alpha + \gamma, \alpha + \beta + \gamma, \beta + \gamma) = (0, 0, 0) \\
&\Rightarrow \alpha + \gamma = 0, \alpha + \beta + \gamma = 0, \beta + \gamma = 0 \\
&\Rightarrow \alpha = \beta = \gamma = 0.
\end{aligned}$$

Hence A is the largest LI subset of  $S_4$  such that  $[A] = [S_4]$

**3.6.7 Definition :** An infinite subset S of a vector space V is said to be linearly independent (LI) if every finite subset of S is LI.

S is said to be linearly dependent (LD) if it is not LI.

**Example 3.6.18 :** The subset  $S = \{1, x, x^2, \dots\}$  of P is L.I. where P is the set of all polynomials with real co-efficients.

**Proof :** Suppose  $\alpha_1 x^{k_1} + \alpha_2 x^{k_2} + \dots + \alpha_n x^{k_n} = \theta$  with  $k_1, k_2, \dots, k_n$  being distinct non-negative integers.

This equality is an algebraic identity since the right hand side is the zero polynomial. So either by giving various values to x or by repeated differentiation of both sides of the identity, we get

$$\alpha_1 = \alpha_2 = \dots = \alpha_n = 0.$$

**Example 3.6.19 :** If  $u_1, u_2$  are vectors of a vector space V and a, b are scalars, then the set  $\{u_1, u_2, au_1 + bu_2\}$  is L.D.

**Proof :** We can take  $\alpha_1 = -a,$

$$\alpha_2 = -b$$

$$\alpha_3 = 1$$

So that  $\alpha_1 u_1 + \alpha_2 u_2 + 1.(a u_1 + b u_2)$

$$= -au_1 - bu_2 + au_1 + bu_2 = \theta.$$

Since  $\alpha_1, \alpha_2, \alpha_3$  are not all zero the set  $\{u_1, u_2, au_1 + bu_2\}$  is LD.

**Example 3.6.20 :** Test whether the set  $\left\{x^2 - 4, x + 2, x - 2, \frac{x^2}{3}\right\}$  in P is L.D.

**Solution :** Taking  $\alpha = 1, \beta = 1, \gamma = -1$  and  $\delta = -3$ , we get

$$\alpha(x^2 - 4) + \beta(x + 2) + \gamma(x - 2) + \delta \frac{x^2}{3} = 0$$

Since  $\alpha, \beta, \gamma, \delta$  are non-zero the given set is L.D.

### Problem Set 3 (E)

- Which of the following set of vectors of  $V_3$  are L.I ?
  - $\{1, 2, 1\}, (-1, 1, 0), (5, -1, 2)\}$
  - $\{(1, 0, 0), (1, 1, 1), (1, 2, 3)\}$
  - $\{(1, 1, 2), (-3, 1, 0), (1, -1, 1), (1, 2, -3)\}$
  - $\{(1, 5, 2), (0, 0, 1), (1, 1, 0)\}$

2. Which of the following sets of vectors of  $V_4$  are L.D ?

- (a)  $\{(1, 0, 0, 0), (1, 1, 0, 0), (1, 1, 1, 1), (0, 0, 0, 1), (2, 1, -1, 0)\}$
- (b)  $\{(1, -1, 2, 0), (1, 1, 2, 0), (3, 0, 0, 1), (2, 1, -1, 0)\}$
- (c)  $\{(1, 1, 1, 0), (3, 2, 2, 1), (1, 1, 3, -2), (1, 2, 6, -5), (1, 1, 2, 1)\}$
- (d)  $\{(1, 2, 3, 0), (-1, 7, 3, 3), (1, -1, 1, -1)\}$

3. Which of the following subsets of  $S$  of  $P$  are L.I ?

- (a)  $S = \{x^2 - 1, x + 1, x - 1\}$
- (b)  $S = \{1, x + x^2, x - x^2, 3x\}$
- (c)  $S = \{x, x^3 - x, x - x^2, x + x^2 + x^4 + \frac{1}{2}\}$
- (d)  $S = \{x^2, x^3 + 1, x^4\}$

4. Which of the following subsets  $S$  of  $C(0, \infty)$  are L.I ?

- (a)  $S = \{x, \sin x, \cos x\}$
- (b)  $S = \{\sin^2 x, \cos 2x, 1\}$
- (c)  $S = \{\sin x, \cos x, \sin(x + 1)\}$
- (d)  $S = \{\ln x, \ln x^2, \ln x^3\}$
- (e)  $\{n^2 e^n, n e^x, (x^2 + x - 1) e^x\}$

5. Show that the set  $S = \{\sin x, \sin 2x, \dots, \sin nx\}$  is a linearly independent subset of  $C[-\pi, \pi]$  for every positive integer  $n$ .

6. If  $u, v, w$  are three linearly independent vectors of a vector space  $V$ , then prove that  $u + v, v + w$  and  $w + u$  are also L.I.

### 3.7. Dimension and Basis

**3.7.1. Definition :** (Basis) A subset  $B$  of a vector space  $V$  is said to be a basis for  $v$  if

- (a)  $B$  is L.I and
- (b)  $[B] = V$ , i.e.  $B$  generates  $V$ .

**Example 3.7.1 :** Let  $V = V_3$ ,  $B = \{i, j, k\}$

where  $i = (1, 0, 0)$ ,  $j = (0, 1, 0)$  and  $k = (0, 0, 1)$

Now  $\alpha i + \beta j + \gamma k = (0, 0, 0)$

$$\Rightarrow \alpha(1, 0, 0) + \beta(0, 1, 0) + \gamma(0, 0, 1) = (0, 0, 0)$$

$$\Rightarrow (\alpha, \beta, \gamma) = (0, 0, 0)$$

$$\Rightarrow \alpha = \beta = \gamma = 0$$

So  $B$  is L.I.

Clearly  $[B] \subset V$

Let  $u = (x_1, x_2, x_3) \in V$ .

$$\Rightarrow u = x_1 i + x_2 j + x_3 k$$

$$\Rightarrow u \in [B].$$

So  $V \subset [B]$

Hence  $[B] = V$ .

By definition  $B$  is a basis of  $V_3$ .

**Note :** It can be shown that  $B = \{i, j\}$  where  $i = (1, 0)$ ,  $j = (0, 1)$  forms a basis of  $V_2$ .

**Example 3.7.2 :** Show that  $B_1 = \{(1, 1, 0), (1, 0, 1), (0, 1, 1)\}$  is a basis of  $V_3$ .

**Proof :** Now  $\alpha(1, 1, 0) + \beta(1, 0, 1) + \gamma(0, 1, 1) = (0, 0, 0)$

$$\Rightarrow (\alpha + \beta, \alpha + \gamma, \beta + \gamma) = (0, 0, 0)$$

$$\Rightarrow \alpha + \beta = 0, \alpha + \gamma = 0, \beta + \gamma = 0$$

$$\Rightarrow \alpha = \beta = \gamma = 0$$

So  $B_1$  is L.I.

Let  $u = (x_1, x_2, x_3) \in V_3$ .

Let  $u = \alpha(1, 1, 0) + \beta(1, 0, 1) + \gamma(0, 1, 1) = (\alpha + \beta, \alpha + \gamma, \beta + \gamma)$

$$\Rightarrow (x_1, x_2, x_3) = (\alpha + \beta, \alpha + \gamma, \beta + \gamma)$$

$$\Rightarrow \alpha + \beta = x_1, \alpha + \gamma = x_2, \beta + \gamma = x_3$$

$$\Rightarrow \alpha = \frac{x_1 + x_2 - x_3}{2}, \beta = \frac{x_1 + x_3 - x_2}{2}, \gamma = \frac{x_2 + x_3 - x_1}{2}$$

$$\text{Thus } u = (x_1, x_2, x_3) = \left(\frac{x_1 + x_2 - x_3}{2}\right)(1, 1, 0) + \left(\frac{x_1 + x_3 - x_2}{2}\right)(1, 0, 1) + \left(\frac{x_2 + x_3 - x_1}{2}\right)(0, 1, 1)$$

Hence  $[B_1] = V_3$ .

So  $B_1$  is a basis of  $V_3$ .

**Note :** Examples 3.7.1. and Example 3.7.2 show that  $V_3$  has two different bases. There can be more than that. From this we infer that the basis of a vector space  $V$  need not be unique.

**Example 3.7.3 :** Prove that the set  $S = \{(1, 0, 0), (1, 1, 0), (1, 1, 1), (0, 1, 0)\}$  spans  $V_3$  but is not a basis.

**Proof :** Let  $(x_1, x_2, x_3) \in V_3$  and that

$$(x_1, x_2, x_3) = \alpha(1, 0, 0) + \beta(1, 1, 0) + \gamma(1, 1, 1) + \delta(0, 1, 0)$$

$$\Rightarrow (x_1, x_2, x_3) = (\alpha + \beta + \gamma, \beta + \gamma + \delta, \gamma)$$

$$\Rightarrow x_3 = \gamma, \alpha + \beta + \gamma = x_1, \beta + \gamma + \delta = x_2$$

Choosing  $\delta = 0$ , we get  $\beta = x_2 - x_3, \gamma = x_3, \alpha = x_1 - x_2$

$$\text{Thus } (x_1, x_2, x_3) = (x_1 - x_2)(1, 0, 0) + (x_2 - x_3)(1, 1, 0) + x_3(1, 1, 1) + 0(0, 1, 0)$$

Hence  $V_3 \subset [S]$

But  $[S] \subset V_3$ . Since  $V_3$  is a vector space.

So  $V_3 = [S]$ .

Again  $\alpha(1, 0, 0) + \beta(1, 1, 0) + \gamma(1, 1, 1) + \delta(0, 1, 0) = (0, 0, 0)$

$$\Rightarrow (\alpha + \beta + \gamma, \beta + \gamma + \delta, \gamma) = (0, 0, 0)$$

$$\Rightarrow \alpha + \beta + \gamma = 0, \beta + \gamma + \delta = 0, \gamma = 0$$

$$\Rightarrow \alpha = \beta = \delta, \gamma = 0$$

Putting  $\delta = 1$ , we get  $\alpha = 1, \beta = -1$

$$\text{Thus } 1(1, 0, 0) + (-1)(1, 1, 0) + 0(1, 1, 1) + 1(0, 1, 0) = (0, 0, 0)$$

So  $S$  is L.D.

So  $S$  is not a basis.

### 3.7.2. Definition (Dimension)

If a vector space  $V$  has a basis consisting of a finite number of elements, the space is said to be finite dimensional. The number of elements in a basis is called the dimension of the space and is written as  $\dim V$ .

If  $\dim V = n$ , then  $V$  is said to be  $n$ -dimensional.

If  $V$  is not finite-dimensional, it is called infinite-dimensional.

If  $V = V_0 = \{\emptyset\}$ , its dimension is said to be zero.

The following theorem shows that if a set  $B$  of  $n$  elements generates  $V$ , then no linearly independent set can have more than  $n$  vectors.

**Theorem 3.7.1 :** In a vector space  $V$  if  $\{v_1, v_2, \dots, v_n\}$  generates  $V$  and if  $\{w_1, w_2, \dots, w_m\}$  is L.I, then  $m \leq n$ .

**Proof :** Let us construct the set  $S_1 = \{w_m, v_1, v_2, \dots, v_n\}$ .

$S_1$  has following properties.

- (i)  $[S_1] = V$  since  $\{v_1, v_2, \dots, v_n\}$  spans  $V$  and  $w_m \in V$ .
- (ii)  $S_1$  is LD since  $w_m \in V = [v_1, v_2, \dots, v_n]$ .
- (iii)  $w_m \neq 0$  ( $\because$  no vector of a LI set is zero) By Theorem 3.6.4 there exists a vector  $v_i$  with  $2 \leq i \leq n$  such that  $v_i \in [w_m, v_1, v_2, \dots, v_{i-1}]$ .

Let  $S_1' = S_1 - v_i = \{w_m, v_1, v_2, \dots, v_{i-1}\}$

Also  $[S_1'] = V$

Now consider the set

$S_2 = w_{m-1} S_1' = \{w_{m-1}, w_m, v_1, v_2, \dots, v_{i-1}\}$

since  $[S_1'] = V$ ,  $[S_2] = V$ .

Further,  $S_2$  is LD,

since  $w_{m-1} \in V = [S_1']$  and  $w_{m-1} \neq 0$ .

Therefore, by another application of Theorem 3.5.10, we form  $S_2'$  like  $S_1'$ . Then construct the set  $S_3 = w_{m-2} S_2'$  and continue the process of constructing new sets  $S$  and  $S'$ . Since the set of  $w$ 's is LI and every time the discarded element must be a  $v$ .

If all the  $w$ 's are used up in this process, then  $m \leq n$ . Otherwise the set  $\{w_{m-n}, w_{m-n+1}, \dots, w_{m-1}, w_m\}$  would be LD. This contradicts the linear independence of  $w$ 's.

**Carollary 3.7.2 :** If  $V$  has a basis of  $n$  elements, then every set of  $p$  vectors with  $p > n$ , is LD.

**Proof :** Let  $B = \{v_1, v_2, \dots, v_n\}$  be a basis of  $V$ . Let  $A = \{u_1, u_2, \dots, u_p\}$  be a set of  $p$  vectors with  $p > n$ . If  $A$  is LI, then  $p \leq n$  by Theorem 3.7.1. Hence  $A$  is LD.

**Corollary 3.7.3 :** If  $V$  has a basis of  $n$  elements, then every other basis for  $V$  also has  $n$  elements.

**Proof :** Let  $B_1 = \{v_1, v_2, \dots, v_n\}$  and  $B_2 = \{w_1, w_2, \dots, w_m\}$  be two bases of  $V$ .

Then  $B_1$  and  $B_2$  are LI and  $[B_1] = [B_2] = V$ .

Some  $[B_1] = V$  and  $B_2$  is LI, then by Theorem 3.7.1.,  $m \leq n$ . Since  $[B_2] = V$  and  $B_1$  is LI, by the same theorem,  $n \leq m$ .

Thus  $m = n$ .



**Note :** It follows from Carollary 3.7.3 that, if a vector space  $V$  is  $n$ -dimensional, (a) there exists  $n$  linearly independent vectors in  $V$  and (b) every set of  $n + 1$  vectors in  $V$  is LD.

**Example 3.7.4 :**  $V_2$  is two dimensional since  $B_1 = \{(1, 0), (0, 1)\}$  is a basis of  $V_2$ .  $V_3$  is three dimensional since  $B_2 = \{i, j, k\}$  where  $i = (1, 0, 0)$ ,  $j = (0, 1, 0)$ , and  $k = (0, 0, 1)$  is a basis of  $V_3$ .

Consider the vectors

$$e_1 = (1, 0, 0, \dots, 0)$$

$$e_2 = (0, 1, 0, \dots, 0)$$

.

.

.

$$e_n = (0, 0, 0, \dots, 1)$$

With  $e_i$  as the vector, all of whose co-ordinates are zero except the  $i$ -th, which is 1. It is easy to see that  $e_1, e_2, \dots, e_n$  are LI and every  $n$ -tuple is a linear combination of  $e_1, e_2, \dots, e_n$ . Thus the set  $\{e_1, e_2, \dots, e_n\}$  is a basis of  $V_n$ . This basis is called the standard basis of  $V_n$ . In particular the standard basis of  $V_3$  is  $\{e_1, e_2, e_3\}$

where  $e_1 = (1, 0, 0) = i$

$$e_2 = (0, 1, 0) = j$$

$$e_3 = (0, 0, 1) = k$$

**Example 3.7.5 :** For the 3-dimensional space  $V_3$  over the field of real numbers  $R$ , determine if the set  $\{(2, -1, 0), (3, 5, 1), (1, 1, 2)\}$  is a basis.

**Solution :** For  $\alpha, \beta, \gamma \in R$ .

$$\alpha(2, -1, 0) + \beta(3, 5, 1) + \gamma(1, 1, 2) = (0, 0, 0)$$

$$\Rightarrow (2\alpha + 3\beta + \gamma, -\alpha + 3\beta + \gamma, \beta + 2\gamma) = (0, 0, 0)$$

$$\Rightarrow 2\alpha + 3\beta + \gamma = 0, -\alpha + 3\beta + \gamma = 0, \beta + 2\gamma = 0 \quad \dots(1)$$

Now the determinant of the co-efficients

$$\begin{vmatrix} 2 & 3 & 1 \\ -1 & 5 & 1 \\ 0 & 1 & 2 \end{vmatrix} = 23 \neq 0$$

So there exists unique (zero) solution of the system of equations (1).

i.e.  $\alpha = \beta = \gamma = 0$

Hence the given set is LI.

Again for  $(x_1, x_2, x_3) \in V_3$ .

$$(x_1, x_2, x_3) = \alpha(2, -1, 0) + \beta(3, 5, 1) + \gamma(1, 1, 2)$$

$$\Rightarrow (x_1, x_2, x_3) = (2\alpha + 3\beta + \gamma, -\alpha + 5\beta + \gamma, \beta + 2\gamma)$$

$$\Rightarrow 2\alpha + 3\beta + \gamma = x_1, -\alpha + 5\beta + \gamma = x_2, \beta + 2\gamma = x_3$$

$$\Rightarrow \alpha = \frac{9}{23}x_1 - \frac{5}{23}x_2 - \frac{2}{23}x_3$$

$$\beta = \frac{2}{23}x_1 + \frac{4}{23}x_2 + \frac{3}{23}x_3$$

$$\gamma = \frac{-1}{23}x_1 - \frac{2}{23}x_2 + \frac{13}{23}x_3$$

Thus  $(x_1, x_2, x_3) \in [(2, -1, 0), (3, 5, 1), (1, 1, 2)]$

Hence  $V_3 = [(2, -1, 0), (3, 5, 1), (1, 1, 2)]$

So the given set is a basis of  $V_3$ .

**Example 3.7.6 :** Find the dimension of  $P_n$ , the set of all polynomials of degree  $\leq n$ .

**Solution :** We know that every polynomial of  $P_n$  is a linear combination of the functions  $1, x, x^2, \dots, x^n$

$$\text{Again } \alpha_1 + \alpha_2 x + \alpha_3 x^2 + \dots + \alpha_{n+1} x^n = 0$$

This being an identity,

$$\alpha_1 = \alpha_2 = \dots = \alpha_{n+1} = 0.$$

So  $\{1, x, x^2, \dots, x^n\}$  is L.I.

Again for  $p(x) \in P_n$ ,

$p(x) = a_0 + a_1 x + \dots + a_n x^n$ , a linear combination of

$$\{1, x, x^2, \dots, x^n\}$$

$$\text{So } [1, x, x^2, \dots, x^n] = P_n$$

Thus this set is a basis of  $P_n$ .

The basis of  $P_n$  is having  $n + 1$  elements.

So  $\dim P_n = n + 1$ .

**Theorem 3.7.4 :** In an  $n$ -dimensional vector space  $V$ , any set of  $n$  linearly independent vectors is a basis.

**Proof :** Suppose  $B = \{v_1, v_2, \dots, v_n\}$  is a set of  $n$  linearly independent vectors.

To prove that  $B$  is a basis we have only to show that  $[B] = V$ .

Take  $v \in V$ . The set  $B' = \{v_1, v_2, \dots, v_n, v\}$  is LD since  $V$  is  $n$ -dimensional. Hence by Theorem 3.6.4, one of the vectors of  $B'$  say  $u$  is in the span of its predecessors. But this  $u$  cannot be any one of  $v_1, v_2, \dots, v_n$ . Because if so, it will contradict the linear independence of  $v_1, v_2, \dots, v_n$ . Thus  $v \in [v_1, v_2, \dots, v_n]$ .

$$\text{Therefore } [B] = V$$

Hence  $B$  is a basis of  $V$ .

**Note :** If three vectors of  $V_3$  are L.I, then the set consisting of these vectors is a basis of  $V_3$ . Using this principle, after showing  $B$  to be LI in Example 3.6.2, we can declare that  $B$  is a basis of  $V_3$ .

**Example 3.7.7 :** Prove that the set  $\{(1, 1, 1), (1, -1, 1), (0, 1, 1)\}$  is a basis of  $V_3$ .

**Proof :** Suppose  $\alpha(1, 1, 1) + \beta(1, -1, 1) + \gamma(0, 1, 1) = (0, 0, 0)$   
 $\Rightarrow (\alpha + \beta, \alpha - \beta + \gamma, \alpha + \beta + \gamma) = (0, 0, 0)$   
 $\Rightarrow \alpha = \beta = \gamma = 0$

So the given set is LI and it consists of three elements of  $V_3$ , by Theorem 3.7.4., the set forms a basis.

**Theorem 3.7.5 :** In a vector space  $V$  let  $B = \{v_1, v_2, \dots, v_n\}$  spans  $V$ . Then the following two conditions are equivalent.

- (a)  $\{v_1, v_2, v_3, \dots, v_n\}$  is a LI set.
- (b) If  $v \in V$ , then the expression  $v = \alpha_1 v_1 + \alpha_2 v_2 + \dots + \alpha_n v_n$  is unique

**Proof :** Let us first prove (a)  $\rightarrow$  (b) given that  $B = \{v_1, v_2, \dots, v_n\}$  spans  $V$ . If  $B$  is LI, then  $B$  is a basis of  $V$ .

We shall prove that any expression for  $v$  in terms of  $v_1, v_2, \dots, v_n$  is unique.

$$\text{If } v = \alpha_1 v_1 + \alpha_2 v_2 + \dots + \alpha_n v_n \quad \dots (1)$$

$$\text{and also } v = \beta_1 v_1 + \beta_2 v_2 + \dots + \beta_n v_n \quad \dots (2)$$

$$\text{Then } \alpha_1 v_1 + \alpha_2 v_2 + \dots + \alpha_n v_n = \beta_1 v_1 + \beta_2 v_2 + \dots + \beta_n v_n$$

$$\Rightarrow (\alpha_1 - \beta_1) v_1 + (\alpha_2 - \beta_2) v_2 + \dots + (\alpha_n - \beta_n) v_n = \theta$$

Since  $B$  is L.I.,

$$\alpha_1 - \beta_1 = 0 = \alpha_2 - \beta_2 = \dots = \alpha_n - \beta_n$$

$$\Rightarrow \alpha_i - \beta_i = 0, i = 1, 2, \dots, n.$$

$$\Rightarrow \alpha_i = \beta_i \text{ for all } i.$$

Hence the expression (1) is unique.

Let us prove (b)  $\rightarrow$  (a)

Let  $v \in V$  has unique expression  $v = \alpha_1 v_1 + \alpha_2 v_2 + \dots + \alpha_n v_n$ .

We shall prove that the set  $B$  is L.I.

$$\text{Let } \beta_1 v_1 + \beta_2 v_2 + \dots + \beta_n v_n = \theta$$

$$\text{Again } \theta = 0v_1 + 0v_2 + \dots + 0v_n.$$

Since the expression of  $\theta$ , a linear combination of elements of  $B$  is unique, we must have

$$\beta_1 = 0 = \beta_2 = \dots = \beta_n.$$

Hence  $B = \{v_1, v_2, \dots, v_n\}$  is L.I.

**Note :** The Theorem 3.7.5 can be restated as 'A set  $B$  is a basis for a vector space  $V$  iff  $[B] = V$  and the expression for any  $v \in V$  in terms of elements of  $B$  is unique.'

### 3.7.3 Definition (Co-ordinate)

Let  $B = \{v_1, v_2, \dots, v_n\}$  be an basis (ordered) for  $V$ . then a vector  $v \in V$  can be written as  $v = \alpha_1 v_1 + \alpha_2 v_2 + \dots + \alpha_n v_n$ . The vector  $(\alpha_1, \alpha_2, \dots, \alpha_n)$  is called the co-ordinate vector of  $v$  relative to the ordered basis  $B$ . It is denoted by  $[v]_B$ .  $\alpha_1, \alpha_2, \dots, \alpha_n$  are called co-ordinates of the vector  $v$  relative to the ordered basis  $B$ .

The co-ordinates of a vector relative to the standard basis are simply called co-ordinates of the vector.

**Example 3.7.8 :** Find the co-ordinates of the vector  $(1, 2, 3, 4)$  of  $V_4$  relative to the standard basis of  $V_4$ .

**Solution :** The standard basis of  $V_4$  is  $\{e_1, e_2, e_3, e_4\}$  where  $e_1 = (1, 0, 0, 0)$ ,  $e_2 = (0, 1, 0, 0)$ ,  $e_3 = (0, 0, 1, 0)$ ,  $e_4 = (0, 0, 0, 1)$ . Since  $(1, 2, 3, 4) = 1.e_1 + 2.e_2 + 3.e_3 + 4.e_4$  the co-ordinate vector of  $(1, 2, 3, 4)$  relative to the standard basis is  $(1, 2, 3, 4)$ . Therefore 1, 2, 3 and 4 are co-ordinates of the vector  $(1, 2, 3, 4)$ .

**Exampe 3.7. 9 :** Find the co-ordinates of  $(1, 2, 3, 4)$  relative to the ordered basis  $B = \{(0, 0, 0, 1), (0, 0, 1, 1), (0, 1, 1, 1), (1, 1, 1, 1)\}$

**Solution :** Let

$$\begin{aligned}(1, 2, 3, 4) &= \alpha(0, 0, 0, 1) + \beta(0, 0, 1, 1) + \gamma(0, 1, 1, 1) + \delta(1, 1, 1, 1) \\ \Rightarrow (1, 2, 3, 4) &= (\delta, \gamma + \delta, \beta + \gamma + \delta, \alpha + \beta + \gamma + \delta) \\ \Rightarrow \delta &= 1, \gamma + \delta = 2, \beta + \gamma + \delta = 3, \alpha + \beta + \gamma + \delta = 4 \\ \Rightarrow \alpha &= \beta = \gamma = \delta = 1.\end{aligned}$$

Hence the co-ordinates of  $(1, 2, 3, 4)$  relative to the basis  $B$  are 1, 1, 1, 1. Also  $[(1, 2, 3, 4)]_B = (1, 1, 1, 1)$ .

In the following theorem we shall show how a linearly independent set of a vector space is extended to its basis.

**Theorem 3.7.6 :** Let the set  $\{v_1, v_2, \dots, v_k\}$  be a linearly independent subset of an  $n$ -dimensional vector space  $V$ . Then we can find vectors  $v_{k+1}, \dots, v_n$  in  $V$  such that the set  $\{v_1, \dots, v_k, v_{k+1}, \dots, v_n\}$  is a basis of  $V$ .

**Proof :** By Theorem 3.6.3,  $k \leq n$ . If  $k = n$ , then by Theorem 3.7.4,  $\{v_1, v_2, \dots, v_{k(=n)}\}$  is a basis for  $V$ . If  $k < n$ , then  $\{v_1, v_2, \dots, v_k\}$  is not a basis (corollary 3.7.2). But the set  $\{v_1, v_2, \dots, v_k\}$  is L.I.

Therefore  $[v_1, v_2, \dots, v_k] \neq V$ .

Hence  $[v_1, v_2, \dots, v_k]$  is a proper subset of  $V$ . Thus there exists a non-zero vector  $v_{k+1}$  in  $V$  such that  $v_{k+1} \notin [v_1, v_2, \dots, v_k]$ .

Hence  $\{v_1, v_2, \dots, v_k, v_{k+1}\}$  is L.I (Theorem 3.6.2).

Now if  $k+1 = n$ , we are done.

If not, we repeat the foregoing process until we get  $n$ -linearly independent vectors  $\{v_1, v_2, \dots, v_k, v_{k+1}, \dots, v_n\}$ . This forms a basis for  $V$  by Theorem 3.7.4.

**Note :** Starting from any non-zero vector, any number of bases can be formed extending it.

**Example 3.7.10 :** Extend the set  $\{(3, -1, 2)\}$  to two different bases for  $V_3$ .

**Solution :** Clearly  $\{(3, -1, 2)\}$  is L.I.

Consider  $[(3, -1, 2)] = \{(3\alpha, -\alpha, 2\alpha) \mid \alpha \text{ scalar}\}$

Let us search for a vector which is not in the above span.

We see that for each  $\alpha$ , the first co-ordinate of any vector of the span is  $3\alpha$ .

Let us choose a vector in which this is not true. Taking  $\alpha = 1$ , we can choose such a vector as  $(1, -1, 2)$

Now by Theorem 3.6.2, the set  $\{(3, -1, 2), (1, -1, 2)\}$  is L.I.

Again consider

$$\begin{aligned} & [(3, -1, 2), (1, -1, 2)] \\ & = \{(3\alpha + \beta, -\alpha - \beta, 2\alpha + 2\beta) \mid \alpha, \beta \text{ scalars}\} \dots (1) \end{aligned}$$

Let us now search for a vector which is not in the current span. We see that for any choice of  $\alpha, \beta$ , the 3rd co-ordinate of a vector in the span is always  $2(\alpha + \beta)$ . Let us choose a vector in  $V_3$  not having this property. Taking  $\alpha = \beta = 1$ , we can choose such a vector  $(4, -2, 1)$ .

Since  $(4, -2, 1) \notin [(3, -1, 2), (1, -1, 2)]$ , by Theorem 3.6.2.

$$\{(3, -1, 2), (1, -1, 2), (4, -2, 1)\} \text{ is L.I.}$$

By Theorem 3.7.4,  $\{(3, -1, 2), (1, -1, 2), (4, -2, 1)\}$  is a basis of  $V_3$ .

Let us form another basis of  $V_3$ .

After getting a LI set  $\{(3, -1, 2), (1, -1, 2)\}$ , let us find a vector which is not in its span.

We see in (1) that for every choice of  $\alpha, \beta$ , the 2nd co-ordinate of a vector in the span is always  $-(\alpha + \beta)$ . Let us choose a vector which is not having the property. Taking  $\alpha = \beta = 1$ ,  $(4, 1, 4)$  is such a vector.

Thus  $\{(3, -1, 2), (1, -1, 2), (4, 1, 4)\}$  is LI and it is another basis of  $V_3$ .

**Example 3.7.11 :** Let  $\{(1, 1, 1, 1), (1, 2, 1, 2)\}$  be a linearly independent subset of a vector space  $V_4$ . Extend it to a basis for  $V_4$ .

**Solution :** We have

$$\begin{aligned} & [(1, 1, 1, 1), (1, 2, 1, 2)] = \{\alpha(1, 1, 1, 1) + \beta(1, 2, 1, 2) \mid \alpha, \beta \text{ are scalars}\} \\ & = \{(\alpha + \beta, \alpha + 2\beta, \alpha + \beta, \alpha + 2\beta) \mid \alpha, \beta \text{ are scalars}\} \end{aligned}$$

Since first and third co-ordinates are equal for all vectors of the span, we find that  $(0, 3, 2, 3)$  is not in the span. Thus by hypothesis,  $\{(1, 1, 1, 1), (1, 2, 1, 2), (0, 3, 2, 3)\}$  is L.I.

Now  $[(1, 1, 1, 1), (1, 2, 1, 2), (0, 3, 2, 3)]$

$$\begin{aligned} & = \{\alpha(1, 1, 1, 1) + \beta(1, 2, 1, 2) + \gamma(0, 3, 2, 3) \mid \alpha, \beta, \gamma \text{ are scalars}\} \\ & = \{(\alpha + \beta, \alpha + 2\beta + 3\gamma, \alpha + \beta + 2\gamma, \alpha + 2\beta + 3\gamma) \mid \alpha, \beta, \gamma \text{ are scalars}\} \end{aligned}$$

Taking  $\alpha = \beta = \gamma = 1$ ,  $(2, 6, 4, 6)$  is in the span

but  $(2, 6, 4, 5)$  is not in the span.

Hence the set  $\{(1, 1, 1, 1), (1, 2, 1, 2), (0, 3, 2, 3), (2, 6, 4, 5)\}$  is L.I.

Thus by Theorem 3.7.4 it is a basis of  $V_4$ .

**Theorem 3.7.7 :** Let  $U$  be a subspace of a finite-dimensional vector space  $V$ . Then  $\dim U \leq \dim V$ . Equality holds only when  $U = V$ .

**Proof :** Let  $B = \{v_1, v_2, \dots, v_n\}$  be a basis for  $V$ . This generates  $V$  and having  $n$  elements. Any set of linearly independent vectors in  $V$  and therefore any set of linearly independent vectors in  $U$  cannot have more than  $n$  vectors. Therefore  $\dim U \leq \dim V$ .

When  $\dim U = \dim V$ , a basis  $B_1$  of  $U$ , is a set of  $n$  linearly independent vectors of  $V$  whose dimension is also  $n$ . By Theorem 3.7.4., it follows that  $B_1$  is a basis for  $V$ . This means  $V = [B_1] = U$ .

**Theorem 3.7.8 :** If  $U$  and  $W$  are two subspaces of a finite dimensional vector space  $V$ , then

$$\dim(U+W) = \dim U + \dim W - \dim(U \cap W).$$

**Proof :** Let  $\dim U = m$ ,  $\dim W = p$ ,  $\dim(U \cap W) = r$  and  $\dim V = n$

By Theorem 3.7.7,  $m \leq n$ ,  $p \leq n$  and  $r \leq n$ .

Let  $B_1 = \{v_1, v_2, \dots, v_r\}$  be a basis of  $U \cap W$ . This is a linearly independent set in  $U \cap W$ . Since  $U \cap W \subset U$  and  $U \cap W \subset W$ ,  $B_1$  is also a linearly independent set in  $U$  and  $W$ . So it can be extended to a basis of  $U$ , say  $B_2 = \{v_1, v_2, \dots, v_r, u_{r+1}, \dots, u_m\}$  and to a basis of  $W$ , say

$$B_3 = \{v_1, v_2, \dots, v_r, w_{r+1}, \dots, w_p\}$$

Let us construct the set

$$B = \{v_1, v_2, \dots, v_r, u_{r+1}, \dots, u_m, w_{r+1}, \dots, w_p\}.$$

Let us prove that this is a basis of  $U + W$ .

For this purpose, let us show that (a)  $B$  is L.I in  $U + W$  and (b)  $[B] = U + W$ .

To prove (a), assume that

$$\sum_{i=1}^r \alpha_i v_i + \sum_{i=r+1}^m \beta_i u_i + \sum_{i=r+1}^p \gamma_i w_i = \theta \quad \dots(1)$$

$$\Rightarrow \sum_{i=1}^r \alpha_i v_i + \sum_{i=r+1}^m \beta_i u_i = - \sum_{i=r+1}^p \gamma_i w_i = v \text{ say} \quad \dots(2)$$

The vector  $v \in U$  since the left hand side of Equation (2) is in  $U$ .

Also  $v \in W$ , since the right hand side of Equation (2) is in  $W$ .

Thus  $v \in U \cap W$ . Therefore,  $v$  can be expressed uniquely in terms of vectors of its basis  $B_1$ .

$$\text{Thus } v = \sum_{i=1}^r \delta_i v_i \text{ for some scalar } \delta_i.$$

$$\text{Hence } \sum_{i=1}^r \delta_i v_i + \sum_{i=r+1}^p \gamma_i w_i = \theta \quad \dots(3)$$

But  $B_3$  is L.I.

So each of the  $\delta_i$ 's and  $\gamma_i$ 's is zero.

Putting  $\gamma_{r+1} = \gamma_{r+2} = \dots = \gamma_p = 0$  in the equation (2), we find that

$$\sum_{i=1}^r \alpha_i v_i + \sum_{i=r+1}^m \beta_i u_i = \theta$$

Again  $B_2$  is L.I.

So each of  $\alpha_i$ 's and  $\beta_i$ 's is zero.

Thus the equation (1) implies that each scalar involved is zero. Hence  $B$  is L.I. This proves

(a).

To prove (b), let  $z \in U + W$ .

Then  $z = u + w$ , for some  $u \in U$  and  $w \in W$ .

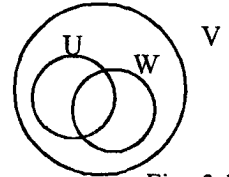


Fig. 3.1

This gives

$$z = \sum_{i=1}^r \alpha_i v_i + \sum_{i=r+1}^m \beta_i u_i + \sum_{i=1}^r \alpha_i' v_i + \sum_{i=r+1}^p \beta_i' w_i \quad \dots(4)$$

For some scalars  $\alpha_i$ 's,  $\beta_i$ 's,  $\alpha_i'$ 's and  $\beta_i'$ 's.

Simplifying the expression (4), we see that  $z \in [B]$ . Hence  $U + W \subset [B]$ .

Again for  $z \in [B]$

$$z = \sum_{i=1}^r \alpha_i v_i + \sum_{i=r+1}^m \beta_i u_i + \sum_{i=r+1}^p \gamma_i w_i = u + w \in U + W$$

where  $u = \sum_{i=1}^r \alpha_i v_i + \sum_{i=r+1}^m \beta_i u_i \in U$

$$w = \sum_{i=r+1}^p \gamma_i w_i \in W$$

So  $[B] \subset U + W$ .

Hence  $[B] = U + W$ .

This shows that  $B$  is a basis of  $U + W$ .

Therefore,  $\dim(U + W)$

$$= |B|$$

$$= r + (m - r) + (p - r)$$

$$= m + p - r$$

$$= \dim U + \dim W - \dim(U \cap W).$$

**Corollary 3.7.9 :** If  $U$  and  $W$  are subspaces of a finite dimensional vector space  $V$  such that  $U \cap W = \{\theta\}$ , then  $\dim(U \oplus W) = \dim U + \dim W$ .

**Proof :**  $U \cap W = \{\theta\}$ .

$$\Rightarrow \dim(U \cap W) = 0.$$

From this result and Theorem 3.7.8, the proof of the corollary follows.

**Example 3.7.12 :** Verify Theorem 3.7.8 and Corollary 3.7.9 with the help of a suitable example.

**Solution :** Take  $U = xy$ -plane, and

$$W = yz\text{-plane in } V_3.$$

Then  $U \cap W = y$ -axis

Clearly  $U$ ,  $W$  and  $U \cap W$  are subspaces of  $V_3$  with  $\dim U = \dim W = 2$  and  $\dim U \cap W = 1$ .

We have  $U + W = V_3$

so  $\dim(U + W) = 3$

$$\dim U + \dim W - \dim U \cap W = 2 + 2 - 1 = 3.$$

Hence Theorem 3.7.8 is verified.

On the other hand if we take  $U = xy$ -plane and

$W = z$ -axis, then  $U \cap W = \{\theta\}$  and  $U + W = V_3$ .

$$\dim(U \oplus W) = 3$$

$$\dim U + \dim W = 2 + 1 = 3.$$

Hence Corollary 3.7.9 is verified.

**Example 3.7.13 :** Construct two subspaces A and B of  $V_4$  such that  $\dim A = 2$ ,  $\dim B = 3$  and  $\dim (A \cap B) = 1$

**Solution :** Let  $A = [(1, 0, 0, 0) (0, 1, 0, 0)]$   
 $B = [(1, 0, 0, 0), (0, 0, 1, 0), (0, 0, 0, 1)]$   
 Let  $(p, q, r, s) \in A \cap B$   
 $\Rightarrow (p, q, r, s) \in A \wedge (p, q, r, s) \in B$   
 $\Rightarrow (p, q, r, s) = a(1, 0, 0, 0) + b(0, 1, 0, 0)$   
 and  $(p, q, r, s) = \alpha(1, 0, 0, 0) + \beta(0, 0, 1, 0) + \gamma(0, 0, 0, 1)$   
 $\Rightarrow a = p, \quad b = q, \quad r = 0, \quad s = 0$   
 and  $\alpha = p, \quad \beta = r, \quad \gamma = s, \quad q = 0$   
 $\Rightarrow (p, q, r, s) = p(1, 0, 0, 0)$   
 $\Rightarrow (p, q, r, s) \in [(1, 0, 0, 0)]$   
 $\therefore A \cap B \subset [(1, 0, 0, 0)]$   
 Further,  $[(1, 0, 0, 0)] \subset A$  and  $[(1, 0, 0, 0)] \subset B$   
 $\Rightarrow [(1, 0, 0, 0)] \subset A \cap B$   
 Hence  $A \cap B = [(1, 0, 0, 0)]$   
 Thus  $\dim A = 2$ ,  $\dim B = 3$  and  $\dim A \cap B = 1$

**Example 3.7.14 :** Given  $S_1 = \{(1, 2, 3), (0, 1, 2), (3, 2, 1)\}$   
 and  $S_2 = \{(1, -2, 3), (-1, 1, -2), (1, -3, 4)\}$   
 determine the dimension and basis for

- (a)  $[S_1] \cap [S_2]$   
 (b)  $[S_1] + [S_2]$

**Solution :** (a) Let  $\alpha(1, 2, 3) + \alpha(0, 1, 2) + \gamma(3, 2, 1) = 0$   
 for some scalars  $\alpha, \beta$  and  $\gamma$ .  
 $\Rightarrow (\alpha + 3\gamma, 2\alpha + \beta + 2\gamma, 3\alpha + 2\beta + \gamma) = (0, 0, 0)$   
 $\Rightarrow \alpha + 3\gamma = 0, \quad 2\alpha + \beta + 2\gamma = 0, \quad 3\alpha + 2\beta + \gamma = 0$   
 $\Rightarrow \alpha = -3\gamma, \quad \beta = 4\gamma, \quad \gamma \text{ is arbitrary.}$

In particular, take  $\gamma = -1$ , then  $\alpha = 3, \beta = -4$

$$\begin{aligned} \text{Thus } 3(1, 2, 3) - 4(0, 1, 2) - (3, 2, 1) &= (0, 0, 0) \\ \Rightarrow (1, 2, 3) &= \frac{4}{3}(0, 1, 2) + \frac{1}{3}(3, 2, 1) \\ \Rightarrow (1, 2, 3) &\in [(0, 1, 2), (3, 2, 1)] \end{aligned}$$

$$\therefore [S_1] = [(0, 1, 2), (3, 2, 1)]$$

$$\begin{aligned} \text{Let } a(1, -2, 3) + b(-1, 1, -2) + c(1, -3, 4) &= (0, 0, 0) \\ \Rightarrow (a - b + c, -2a + b - 3c, 3a - 2b + 4c) &= (0, 0, 0) \\ \Rightarrow a - b + c = 0, \quad -2a + b - 3c = 0, \quad 3a - 2b + 4c &= 0 \\ \Rightarrow a = -2c, \quad b = -c, \quad c \text{ is arbitrary.} \end{aligned}$$



Putting  $c = 1$ ,  $a = -2$ ,  $b = -1$

Thus  $-2(1, -2, 3) - (-1, 1, -2) + (1, -3, 4) = (0, 0, 0)$

$$\Rightarrow (1, -3, 4) = 2(1, -2, 3) + (-1, 1, -2)$$

$$\Rightarrow (1, -3, 4) \in [(1, -2, 3), (-1, 1, -2)]$$

$$\therefore [S_2] = [(1, -2, 3), (-1, 1, -2)]$$

Let  $x \in [S_1] \cap [S_2]$

$$\Rightarrow x = \alpha(0, 1, 2) + \beta(3, 2, 1)$$

$$= \gamma(1, -2, 3) + \delta(-1, 1, -2)$$

$$\Rightarrow (3\beta, \alpha + 2\beta, 2\alpha + \beta) = (\gamma - \delta, -2\gamma + \delta, 3\gamma - 2\delta)$$

$$\Rightarrow 3\beta = \gamma - \delta, \alpha + 2\beta = -2\gamma + \delta, 2\alpha + \beta = 3\gamma - 2\delta$$

$$\Rightarrow (\alpha + 2\beta) + (2\alpha + \beta) - 3\beta = (-2\gamma + \delta) + (3\gamma - 2\delta) - \gamma + \delta$$

$$\Rightarrow 3\alpha = 0$$

$$\Rightarrow \alpha = 0$$

$$\therefore x = \beta(3, 2, 1) \in [(3, 2, 1)]$$

$$\therefore [S_1] \cap [S_2] = [(3, 2, 1)]$$

Hence the basis of  $[S_1] \cap [S_2]$  is  $\{(3, 2, 1)\}$ .

$$\begin{aligned} \text{(b)} \quad \dim \{[S_1] + [S_2]\} &= \dim [S_1] + \dim [S_2] - \dim \{[S_1] \cap [S_2]\} \\ &= 2 + 2 - 1 \\ &= 3 \end{aligned}$$

$$\therefore [S_1] + [S_2] = V_3.$$

Let  $y \in [S_1] + [S_2]$

$$\therefore y = \alpha(0, 1, 2) + \beta(3, 2, 1) + \gamma(1, -2, 3) + \delta(-1, 1, -2)$$

$\alpha, \beta, \gamma, \delta$  are scalars.

Putting  $\beta = \gamma = \delta = 0$ , and  $\alpha = 1$ ,

we get  $(0, 1, 2) \in [S_1] + [S_2]$ .

Similarly taking  $\alpha = \gamma = \delta = 0$  and  $\beta = 1$ ,

we get  $(3, 2, 1) \in [S_1] + [S_2]$

Also  $(1, -2, 3) \in [S_1] + [S_2]$

$\{(0, 1, 2), (3, 2, 1), (1, -2, 3)\}$  is L.I.

$$\text{Because } \begin{vmatrix} 0 & 1 & 2 \\ 3 & 2 & 1 \\ 1 & -2 & 3 \end{vmatrix} = -24 \neq 0.$$

So  $B = \{(0, 1, 2), (3, 2, 1), (1, -2, 3)\}$  is a basis of  $[S_1] + [S_2]$ .

**Example 3.7.15** Let  $B_1 = \{u_1, u_2, \dots, u_n\}$  and  $B_2 = \{v_1, v_2, \dots, v_n\}$  be ordered bases for an  $n$ -dimensional vector space  $V$  such that  $\{u_1 - v_1, u_2 - v_2, \dots, u_n - v_n\}$  is L.D. Then prove that there exists a non-zero vector  $u \in V$  such that  $[u]_{B_1} = [u]_{B_2}$ .

**Solution :** Since  $\{u_1 - v_1, u_2 - v_2, \dots, u_n - v_n\}$  is L.D., therefore there exists scalars

$c_1, c_2, \dots, c_n$  not all zero such that

$$c_1(u_1 - v_1) + c_2(u_2 - v_2) + \dots + c_n(u_n - v_n) = 0 \quad \dots(1)$$

Suppose  $c_1 \neq 0$

From (1),

$$\begin{aligned} c_1 u_1 + c_2 u_2 + \dots + c_n u_n \\ = c_1 v_1 + c_2 v_2 + \dots + c_n v_n \end{aligned}$$

$$\begin{aligned} \text{Let } u &= c_1 u_1 + c_2 u_2 + \dots + c_n u_n \\ &= c_1 v_1 + c_2 v_2 + \dots + c_n v_n \end{aligned}$$

$\therefore u$  is a non-zero vector since  $c_1 \neq 0$  and  $u_1 \in B_1$ , a basis.

$$\therefore [u]_{B_1} = (c_1, c_2, \dots, c_n) = [u]_{B_2}.$$

Hence proved.

### Problem Set 3 (F)

1. Which of the following subsets of  $S$  form a basis for  $V_2$  ?

- (a)  $S = \{(1, 2)\}$
- (b)  $S = \{(1, 2), (0, 1)\}$
- (c)  $S = \{(1, 2), (1, 1), (2, 1)\}$
- (d)  $S = \{(1, 3), (3, 1)\}$

2. Which of the following subsets of  $S$  form a basis for  $V_3$  ?

- (a)  $S = \{(1, 2, 3)\}$
- (b)  $S = \{(1, 2, 3), (3, 1, 0), (-2, 1, 3)\}$
- (c)  $S = \{(3, 2, 1), (1, 2, 3), (-1, 0, 1)\}$
- (d)  $S = \{(1, 1, 1), (1, 2, 3), (-1, 0, 1)\}$
- (e)  $S = \{(0, 0, 1), (1, 0, 1), (1, -1, 1), (3, 0, 1)\}$

$$(f) S = \left\{ \left( 1, \frac{2}{5}, -1 \right), (0, 1, 2), \left( \frac{3}{4}, -1, 1 \right) \right\}$$

3. Which of the following subsets  $S$  form a basis for the given vector space  $V$  ?

- (a)  $S = \{(1, -1, 0, 1), (0, 0, 0, 1), (2, -1, 0, 1), (3, 2, 1, 0)\}$ ,  $V = V_4$
- (b)  $S = \{(0, 1, 2, 1), (1, 2, -1, 1), (2, -3, 1, 0), (4, -2, -7, -5)\}$ ,  $V = V_4$
- (c)  $S = \{x-1, x^2 + x - 1, x^2 - x + 1\}$ ,  $V = P_2$
- (d)  $S = \{1, x, (x-1)x, x(x-1)(x-2)\}$ ,  $V = P_3$
- (e)  $S = \{1, \sin x, \sin^2 x, \cos 2x\}$ ,  $V = C[-\pi, \pi]$

4. Determine the dimension of the subspace  $[S]$  of  $V_2$  for each  $S$  in Problem 1.

5. Determine the dimension of the subspace  $[S]$  of  $V_3$  for each  $S$  in Problem 2.

6. Determine the dimension of the subspace  $[S]$  of  $V_3$  for each  $S$  in Problem 3.

7. Find the co-ordinates of the following vectors of  $V_3$  relative to the ordered basis  $B = \{(2, 1, 0), (2, 1, 1), (2, 2, 1)\}$
- (a)  $(1, 2, 1)$  (b)  $(-1, 3, 1)$   
 (c)  $(x_1, x_2, x_3)$  (d)  $(-\sqrt{2}, \pi, e)$   
 (e)  $\left(-\frac{1}{2}, \frac{11}{3}, 5\right)$  (f)  $(2, 0, -1)$
8. Find the co-ordinates of the following polynomials relative to the ordered basis  $\{1 - x, 1 + x, 1 - x^2\}$  of  $P_2$ .
- (a)  $3 + 7x + 2x^2$  (b)  $x - 3x^2$  (c)  $x^2 + 2x - 1$
9. Find an ordered basis for  $V_4$  relative to which the vector  $(-1, 3, 2, 1)$  has the co-ordinates  $4, 1, -2$  and  $7$ .
10. Let  $U$  and  $W$  be two distinct  $(n-1)$  dimensional subspaces of an  $n$ -dimensional vector space  $V$ . Then prove that  $\dim (U \cap W) = n - 2$ .
11. Extend the following subsets  $S$  of a vector space  $V$  to its basis
- (a)  $S = \{(1, 2)\}$ ,  $V = V_2$   
 (b)  $S = \{(1, -1, 1)\}$ ,  $V = V_3$   
 (c)  $S = \{(1, 3, 2)\}$ ,  $V = V_3$   
 (d)  $S = \{(1, 5, 1), (1, -1, 2)\}$ ,  $V = V_3$   
 (e)  $S = \{(1, 1, -1, 2), (1, 2, 1, -1)\}$ ,  $V = V_4$   
 (f)  $S = \{(-1, 2, -1, 3), (1, -2, 3, 2), (1, 2, 4, 1)\}$ ,  $V = V_4$

