

第 11 讲: 有穷与无穷

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评分: _____ 评阅: _____

2021 年 12 月 16 日

请独立完成作业, 不得抄袭。
若得到他人帮助, 请致谢。
若参考了其它资料, 请给出引用。
鼓励讨论, 但需独立书写解题过程。

- “Veniet tempus, quo ista que nunc latent, in lucem dies extrahat et longioris avi diligentia.”

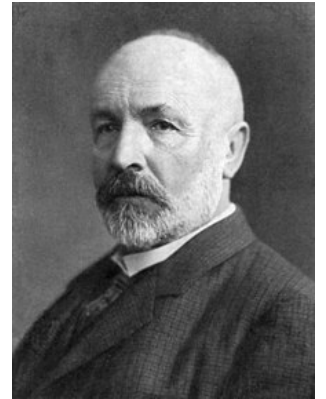


图 1: Georg Cantor (1845 ~ 1918)

1 作业 (必做部分)

题目 1 (UD Problem 21.6)

解答:

(a) Let $f(x) = \tan(\pi x - \frac{\pi}{2})$, $x \in (0, 1)$, $\text{ran}(f) = \mathbb{R}$.

(b) Let $f(x) = |x|$, $x \in (\mathbb{R}/0)$,

$f(0) = 1$

then f is the bijection from \mathbb{R} to \mathbb{R}^+ .

题目 2 (UD Problem 21.10)

证明:

According to Problem 16.17, let $f : A \rightarrow B$ and $g : C \rightarrow D$ be functions. Then $H : A \times C \rightarrow B \times D$ is a one-to-one function.

Similarly, $A \approx C$ and $B \approx D$ (it means there exists bijections, $f : A \rightarrow C$, $g : B \rightarrow D$), so it is easy to know that $H : A \times B \rightarrow C \times D$, that is $A \times B \approx C \times D$.

题目 3 (UD Problem 22.10)

解答:

According to Corollary 21.10, let S be a finite set. Then every subset of S is finite. B is an infinite subset of A , so A can't be a finite set, that is A is an infinite set.

题目 4 (UD Problem 22.11)
解答:

If there doesn't exist $b \in B$ such that $A_b = f^{-1}(\{b\})$ is infinite, then $\text{ran}(f^{-1}) = \cup A_b$ is finite, while actually $\text{ran}(f^{-1}) = A$ is infinite.

Thus there exists $b \in B$ such that $f^{-1}(\{b\})$ is infinite.

题目 5 (UD Problem 22.18)**解答:**

(a)

Let the cardinality of A to be n , the cardinality of B be m .

Suppose, to the contrary, $|B| > |A|$, which means $m > n$.

According to the pigeonhole principle, we can get that $f : B \rightarrow A$ is not a one-to-one function.

But actually $B \subseteq A$, we can easily get that $g : B \rightarrow A$ by $g(x) = x$. It is contradict with our assumption.

So $|B| \leq |A|$.

(b)

According to (a), A is a finite set and $B \subseteq A$, then $|B| \leq |A|$. We suppose that $|B| = n$, $|A| = m$.

If $|B| = |A|$. And $B \subseteq A$, then $\forall b \in B, \exists a \in A$, that $a = b$.

Because there is m elements in B , so there is at least n elements which satisfy $a = b$ in A . And $m = n$, so all elements in A satisfy $a = b$, that is $A = B$, which is contradict with $B \neq A$.

So $|B| = |A|$ is wrong.

Since $|B| \leq |A|$ and $|B| \neq |A|$, we can know $|B| < |A|$.

(c)

According to (a) if $B \subseteq A$ then $|B| \leq |A|$.

So if in the same time $|B| \geq |A|$ then $|B| = |A|$.

According to (b), if $|B| = |A|$ then $A = B$.

题目 6 (UD Problem 22.21)**解答:**

1) Suppose to the contrary that if f is one-to-one then f is not onto.

Let $f(x) = x, x \in A$. We can easily know f is a one-to-one function.

Meanwhile f is onto.

So the assumption is false.

2) Suppose to the contrary that if f is onto then f is not one-to-one.

Put forward the same example, $f(x) = x, x \in A$, Since f is a bijection, which means f is onto and f is one-to-one.

So the assumption is false.

Judging from (1) and (2), we can know $f : A \rightarrow A$ is one-to-one if and only if it is onto.

Using the same example $f(x) = x, x \in A$, we can also reach the same conclusion when A is infinite.

题目 7 (UD Problem 23.1)

解答:

(a) $\{k\mathbb{N}\}$, k is a prime number.

(b) Not possible.

(c) Not possible.

题目 8 (UD Problem 23.3 (a, d))

解答:

(a) It's countable.

Define the set of all lines with rational slopes to be denoted by A .

Let $f : A \rightarrow \mathbb{Q}$, for the line $l : y = kx + b$, $f(l) = k \in \mathbb{Q}$. So $A \approx \mathbb{Q}$.

Since \mathbb{Q} is countable, A is also countable.

(d) It's uncountable. Let $f : \mathbb{R} \rightarrow \mathbb{R}, y = f(x) = 1 - x$. Since f is a rigidly monotonically increasing function, f is bijection.

So $\{(x, y) \in \mathbb{R} \times \mathbb{R} : x + y = 1\} \approx \mathbb{R}$. Since \mathbb{R} is infinity, $\{(x, y) \in \mathbb{R} \times \mathbb{R} : x + y = 1\}$ is also infinity.

题目 9 (UD Problem 23.4)

解答:

It's uncountable.

We will suppose, to the contrary, that the sequences are countable. Let the sequences be denoted by A . We can know that $\{0, 1\}$ is finite, there exists a bijection function $f : \{0, 1\} \rightarrow A$. We will list the values of f . So

$$f(1) = a_{11}a_{12}a_{13}\dots$$

$$f(2) = a_{21}a_{22}a_{23}\dots$$

$$f(3) = a_{31}a_{32}a_{33}\dots$$

.

.

.

where each $a_{i,j}$ represents 0 or 1. Since f is onto, each sequence in A appears in this list.

The odd thing is this: we can construct a number $b = b_1b_2\dots$ not in this list (hence showing that our function cannot possibly be onto) by describing it as follows. We constructed b so that $b_n \neq a_{nn}$ and therefore $b \neq f(n)$ for every n . Then b can not be in our list. So this contradiction must mean that we have assumed falsely that the sequence are countable.

题目 10 (UD Problem 23.9)

证明:

First, if the set A is finite, which means it's countable, then its subset is also finite, so its subset is also countable.

If the set A is countably infinite. There can be a bijection $f : A \rightarrow \mathbb{N}$.

Define a restriction $f|_B : B \rightarrow \mathbb{N}$. Then $f|_B$ is a one-to-one function.

And according to Exercise 23.5, B is countable.

题目 11 (UD Problem 23.12)

解答:

$A_i = \{\frac{x}{i} | x \in \mathbb{N}^+\}$ Define a function $f_i : A_i \rightarrow \mathbb{N}^+$ by $f_i(x) = x \times i$.

$\forall x_1, x_2 \in A_i$, if $f_i(x_1) = f_i(x_2)$, then $x_1 = x_2 = \frac{n}{i}$.

So f_i is one-to-one.

$\Rightarrow A_i$ is countable. We will prove $\cup_{i \in \mathbb{N}^+} A_i$ is countable by induction on n .

(i) (The base step) ($n = 1$), $\cup_{i \in \mathbb{N}^+}^1 A_i = A_1$ is obviously countable, and

(ii) (The induction step) for the positive integer n , $\cup_{i \in \mathbb{N}^+}^n A_i$ is countable.

Then $\cup_{i \in \mathbb{N}^+}^{n+1} A_i = (\cup_{i \in \mathbb{N}^+}^n A_i) \cup A_{n+1}$.

According to Theorem 23.6, $\cup_{i \in \mathbb{N}^+}^{n+1} A_i$ is countable.

So, $\cup_{i \in \mathbb{N}^+} A_i$ is countable. So \mathbb{Q}_+ is countable.

So $\mathbb{Q} = \mathbb{Q}_+ \cup \mathbb{Q}_- \cup \{0\}$ is countable.

题目 12 (UD Problem 24.16)

解答:

In the proof of Theorem 23.12. We got that $(0, 1) \approx \mathbb{R}$.

According to Theorem 21.13, $(0, 1) \times (0, 1) \approx \mathbb{R} \times \mathbb{R}$

Define $f : (0, 1) \rightarrow (0, 1) \times (0, 1)$, f is bijection. So $(0, 1) \approx (0, 1) \times (0, 1)$ Since $(0, 1) \times (0, 1) \approx \mathbb{R} \times \mathbb{R}$, $(0, 1) \approx \mathbb{R} \times \mathbb{R}$

So $\mathbb{R} \approx \mathbb{R} \times \mathbb{R}$

Define $g : \mathbb{R} \times \mathbb{R} \rightarrow \mathbb{C}$ by $g(a, b) = a + bi$, $a, b \in \mathbb{R}$.

Since g is a bijection, $\mathbb{R} \times \mathbb{R} \approx \mathbb{C}$

Since $\mathbb{R} \times \mathbb{R} \approx \mathbb{R}$, $\mathbb{R} \approx \mathbb{C}$.

So $|\mathbb{R}| = |\mathbb{C}|$

2 作业 (选做部分)

题目 1 (UD Problem 24.15)

解答:

3 Open Topics

注: 基数与序数比较难以理解。你可以选择介绍一些相对容易的部分。

Open Topics 1 (基数)

请介绍基数 (Cardinal number), 如 (不限于):

- 定义
- 运算
- 你认为有意思的相关内容

参考资料:

- [Cardinal number @ wiki](#)

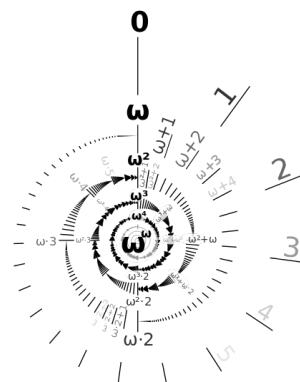
Open Topics 2 (序数)

请介绍序数 (Ordinal number), 如 (不限于):

- 定义
- 运算
- 你认为有意思的相关内容

参考资料:

- [Ordinal number@ wiki](#)



4 订正

8-1

(f) $(B \cap C) \setminus A$

(g) $(A \cup B \cup C) \setminus (A \cap B \cap C)$

8-2

(d)

(i)

If $A \subseteq B$ then $\forall x \in A, \rightarrow x \in B$, so $\forall x \notin B \rightarrow x \notin A$. That is $\forall x \in (X \setminus B) \rightarrow x \in (X \setminus A)$.

So $(X \setminus B) \subseteq (X \setminus A)$

(ii)

If $(X \setminus B) \subseteq (X \setminus A)$, then $\forall x \in (X \setminus B) \rightarrow x \in (X \setminus A)$, that is $\forall x \notin B \rightarrow x \notin A$. So $\forall x \in A, \rightarrow x \in B$.
So $A \subseteq B$.

(f)

(i)

If $A \cap B = B$

$\Rightarrow \forall x \in B, \rightarrow x \in A \cap B$, that is $x \in A$.

So $B \subseteq A$

(ii)

If $B \subseteq A$, then $\forall x \in B \rightarrow x \in A$. So $x \in A \cap B$.

In other words, $\forall x \in B \rightarrow x \in A \cap B$.

So $B = A \cap B$.

8-7

(a)

$$\bigcup_{n=1}^{\infty} A_n = [0, 1]$$

$$\bigcup_{n=1}^{\infty} B_n = [0, 1]$$

$$\bigcup_{n=1}^{\infty} C_n = (0, 1)$$

(b)

$$\bigcap_{n=1}^{\infty} A_n = \{0\}$$

$$\bigcap_{n=1}^{\infty} B_n = \{0\}$$

$$\bigcap_{n=1}^{\infty} C_n = \emptyset$$

8-11

Let $E \in \mathcal{P}(A_\alpha)$

then $E \in \mathcal{P}(A_{\alpha_1}) \cup \mathcal{P}(A_{\alpha_2}) \cup \mathcal{P}(A_{\alpha_3}) \dots \cup \mathcal{P}(A_{\alpha_n}), \alpha_1, \alpha_2 \dots \in I$

then $E \in \mathcal{P}(A_{\alpha_1}) \cup \mathcal{P}(A_{\alpha_2}) \dots \cup \mathcal{P}(A_{\alpha_n})$

So, $E \in \mathcal{P}(A_{\alpha_1} \cup A_{\alpha_2} \dots \cup A_{\alpha_n})$

8-12

(i) prove $\bigcap_{\alpha \in I} \mathcal{P}(A_\alpha) \subseteq \mathcal{P}(\bigcap_{\alpha \in I} A_\alpha)$:

$E \in \bigcap_{\alpha \in I} \mathcal{P}(A_\alpha)$

then $E \in \mathcal{P}(A_{\alpha_1}) \cap \mathcal{P}(A_{\alpha_2}) \dots \cap \mathcal{P}(A_{\alpha_n})$

then $E \in \mathcal{P}(A_{\alpha_1} \cap A_{\alpha_2} \dots \cap A_{\alpha_n})$

so $E \in \mathcal{P}(\bigcap_{\alpha \in I} A_\alpha)$

(ii) prove $\mathcal{P}(\bigcap_{\alpha \in I} A_\alpha) \subseteq \bigcap_{\alpha \in I} \mathcal{P}(A_\alpha)$

$E \in \mathcal{P}(\bigcap_{\alpha \in I} A_\alpha)$

then $E \in \mathcal{P}(A_{\alpha_1} \cap A_{\alpha_2} \dots \cap A_{\alpha_n})$

then $E \in \mathcal{P}(A_{\alpha_1}) \cap \mathcal{P}(A_{\alpha_2}) \dots \cap \mathcal{P}(A_{\alpha_n})$

then $E \in \mathcal{P}(A_\alpha)$

SO $\bigcap_{\alpha \in I} \mathcal{P}(A_\alpha) = \mathcal{P}(\bigcap_{\alpha \in I} A_\alpha)$

3-4 第一类数学归纳法证明第二类数学归纳法

要证第二类数学归纳法, 也即任给一个命题 F , 若满足 $F(1)$ 及 $(F(1) \wedge F(2) \wedge \dots \wedge F(n)) \Rightarrow F(n+1)$, 则有 $\forall k \in \mathbb{N}, F(k)$ 成立。

构造命题 $G(n) = F(1) \wedge F(2) \wedge \dots \wedge F(n)$

显然, $G(n) \Rightarrow F(n+1)$, 又 $G(n) \Rightarrow G(n)$ 所以 $G(n) \Rightarrow G(n) \wedge F(n+1) = G(n+1)$
 所以 G 满足第一类数学归纳法的条件, 所以 $\forall k \in \mathbb{N}, G(k)$ 成立。而 $G(n) \Rightarrow F(n)$,
 故 $\forall k \in \mathbb{N}, F(k)$ 成立, 也即第二类数学归纳法成立。

第二类数学归纳法证明第一类数学归纳法要证第一类数学归纳法, 也即任给一个
 命题 F , 若满足 $F(1)$ 及 $F(n) \rightarrow F(n+1)$, 则有 $\forall k \in \mathbb{N}, F(k)$ 成立。

因为 1 的条件比 2 强, 所以 F 一定满足第二类数学归纳法. 故根据第二类数学归纳法
 $F(k)$ 对所有正整数 k 都成立, 也即第一类数学归纳法成立。

显然, [公式] 是满足第二类数学归纳法的条件的 (因为 1 的条件比 2 强), 故根据
 第二类数学归纳法, [公式] 对所有正整数 [公式] 成立, 也即第一类数学归纳法成立。

致谢: 鄢振宇学长 (zhihu)

5 反馈