# Three 40 to 50 Million Year Old Mini-Neptunes from Kepler, TESS, and Gaia

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**ABSTRACT** 

Stellar positions and velocities from Gaia are yielding a new and refined view of open cluster dispersal. Here we present an analysis of a group of  $\approx$ 40 million year old stars spanning Cepheus ( $l = 100^{\circ}$ ) to Hercules  $(l = 40^{\circ})$ , hereafter the Cep-Her complex. The group includes four known Kepler Objects of Interest: Kepler-1627 Ab  $(R_p = 3.85 \pm 0.11 R_{\oplus}, P = 7.2 \text{ days})$ , Kepler-1643 b  $(R_p = 2.32 \pm 0.14 R_{\oplus}, P = 5.3 \text{ days})$ , KOI-7368 b  $(R_p = 2.22 \pm 0.12 R_{\oplus}, P = 6.8 \text{ days})$ , and KOI-7913 Ab  $(R_p = 2.34 \pm 0.18 R_{\oplus}, P = 24.2 \text{ days})$ . Kepler-1627 is a Neptune-sized planet in a sub-component of the complex called the  $\delta$  Lyr cluster (Bouma et al. 2022). Here we focus on the latter three systems, which are in other sub-components of the complex (RSG-5 and CH-2). Based on kinematic evidence from Gaia, stellar rotation periods from TESS, and spectroscopy, these three systems are also  $\approx$ 40 million years old. More specifically, we find that Kepler-1643 (in RSG-5) is  $46^{+9}_{-7}$  Myr old, while KOI-7368 and KOI-7913 (in CH-2) are 36<sup>+10</sup><sub>-8</sub> Myr old. Based on the transit shapes and high resolution imaging, they are all most likely planets, with false positive probabilities of  $6 \times 10^{-9}$ ,  $4 \times 10^{-3}$ , and  $1 \times 10^{-4}$  for Kepler-1643, KOI-7368, and KOI-7913 respectively. These planets therefore empirically demonstrate that mini-Neptunes with sizes of  $\approx$ 2 Earth radii exist at ages of  $\approx$ 40 million years.

Keywords: exoplanet evolution (491), open star clusters (1160), stellar ages (1581)

## 1. INTRODUCTION

The discovery and characterization of transiting planets younger than a billion years is a major frontier in current exoplanet research. The reason is that the properties of young planets provide benchmarks for studies of planetary evolution. For instance, there are the questions of when hot Jupiters arrive on their close-in orbits (Dawson & Johnson 2018), how the sizes of planets with massive gaseous envelopes evolve (Rizzuto et al. 2020), when and if closemultiplanet systems fall out of resonance (Arevalo et al. 2022; Goldberg & Batygin 2022), and whether and how

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mass-loss explains the radius valley (Lopez et al. 2012; Owen & Wu 2013; Fulton et al. 2017; Ginzburg et al. 2018; Lee & Connors 2021).

The discovery of a young planet requires two claims to be 42 fulfilled: the planet must exist, and its age must be secured. Spaced-based photometry from K2 and TESS has yielded a <sub>45</sub> number of young planets for which the planetary evidence comes from transits, and the age evidence is based on either cluster membership (Mann et al. 2017; David et al. 2019; Newton et al. 2019; Bouma et al. 2020; Nardiello et al. 2020) or else on correlates of youth such as stellar rotation, photospheric lithium abundances, x-ray activity, or emission line strength (Zhou et al. 2021; Hedges et al. 2021).

In this work, we leverage recent analyses of the Gaia data, which have greatly expanded our knowledge of stellar group memberships (e.g., Cantat-Gaudin et al. 2018; Kounkel & Covey 2019; Kerr et al. 2021). To date these analyses have

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56 mostly clustered on the stellar positions and on-sky velocities measured by Gaia, with varying degrees of filtering and 57 supervision. One important result is the identification of diffuse streams and tidal tails comparable in stellar mass to the previously known cores of nearby open clusters (Meingast 60 et al. 2019; Meingast et al. 2021; Gagné et al. 2021). These 61 spatially diffuse groups typically have velocity dispersions of  $\approx 1 \text{ km s}^{-1}$ , though they can be much higher due to both projection effects and internal dynamics. As an extreme example, in the Hyades the velocities of stars in the tidal tails are thought to span up to  $\pm 40 \,\mathrm{km \, s^{-1}}$  relative to the cluster center (Jerabkova et al. 2021). The stars in such diffuse re-67 gions can be verified to be the same age as the core members (i.e., coeval) through analyses of color-absolute magnitude diagrams, stellar rotation periods (Curtis et al. 2019; 70 Bouma et al. 2021), and chemical abundances (Hawkins et al. 71 2020). While there are many implications for our understanding of star formation and cluster evolution (Dinnbier & Kroupa 2020), a more immediate consequence is that we 74 now know the ages of many more stars, including previously 75 known planet hosts.

The prime Kepler mission (Borucki et al. 2010) found most of the currently known transiting exoplanets, and it was conducted before Gaia. It therefore seems sensible to revisit the Kepler field, given our new knowlege of the stellar ages.

In this work, we expand on our previous study of a  $38^{+7}_{-6}$ million year old Neptune-sized planet in the Kepler field (Kepler-1627 Ab; Bouma et al. 2022). The age of this planet was derived based on its host star's membership in the  $\delta$  Lyr cluster. Our analysis of the cluster focused on the immediate spatial and kinematic vicinity of Kepler-1627 A in order to confirm the age of the planet. However it became clear that the  $\delta$  Lyr cluster seems to also be part of a much larger group of similarly aged stars. This group, which is at a distance of ~330 parsecs from the Sun, appears to span Cepheus to Hercules (galactic longitudes, l, between  $40^{\circ}$  and  $100^{\circ}$ ), at galactic latitudes roughly between 0° and 20°. We therefore refer to it as the Cep-Her complex. It exhibits significant substructure over its  $\approx$ 250 parsec length, and a detailed analysis of its memberships, kinematics, and possible origin is currently being prepared by R. Kerr and collaborators.

Here, our focus is on the intersection of the Cep-Her complex with the Kepler field. Cross-matching the full set of candidate Cep-Her members against known Kepler Objects of Interest (KOIs) (Thompson et al. 2018) yielded four candidate cluster members: Kepler-1627, Kepler-1643, KOI-7368, and KOI-7913. Given our previous analysis of Kepler-1627, we will mostly focus on the latter three. After analyzing the relevant properties of Cep-Her (Section 2), we derive the stellar properties (Section 3) and validate the planetary nature of each system using a combination of the Kepler photometry and high-resolution imaging (Section 4). We conclude with

a few caveats, and discuss implications for the size-evolution of close-in mini-Neptunes (Section 5).

## 2. THE CEP-HER COMPLEX

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#### 2.1. Previous Related Work

Our focus is on a region of the Galaxy approximately 200 to 500 parsecs from the Sun, above the galactic plane, and spanning galactic longitudes of roughly 40° to 100° degrees. Two rich clusters in this region are the  $\delta$  Lyra cluster (Stephenson 1959) and RSG-5 (Röser et al. 2016). Each of these clusters was known before Gaia. They have reported ages between  $\approx 30$  and  $\approx 60$  million years. Early empirical evidence that these two clusters could be part of a large and more diffuse population was apparent in the Gaia-based photometric analysis of pre-main-sequence stars by Zari et al. (2018, see their Figures 11 and 13). Further kinematic connections and complexity were highlighted by Kounkel & Covey (2019), who included these previously known groups in the larger structures dubbed "Theia 73" and "Theia 96". The connection made by Kounkel & Covey (2019) between the previously known open clusters and the other groups in the region was made as part of an unsupervised clustering analysis of the Gaia DR2 positions and tangential velocities with a subsequent manual "stitching" step, and generally supports the idea that there is an overdensity of  $\approx 30$  and  $\approx 60$ million year old stars in this region of the Galaxy. Kerr et al. (2021), in a volume-limited analysis of the Gaia DR2 pointsource catalog out to one third of a kiloparsec, identified three of the nearest sub-populations, dubbed "Cepheus-Cygnus", "Lyra", and "Cerberus". Kerr et al. (2021) reported ages for each of these subgroups between 30 and 35 million years.

# 2.2. Member Selection

The possibility that the  $\delta$  Lyr cluster, RSG-5, and the subpopulations identified by Kerr et al. (2021) share a common origin has yet to be fully substantiated, and will be the subject of the upcoming study by R. Kerr and collaborators. Our primary interest in the region stems from the fact that a portion of it was observed by Kepler (Figure 1, top panel). To further explore the population of stars that were observed, we select candidate Cep-Her members through four steps, the first three being identical to those described in Section 3 of Kerr et al. (2021). We briefly summarize them here.

The first step is to select stars that are photometrically distinct from the field star population based on Gaia EDR3 magnitudes  $\{G, G_{RP}, G_{BP}\}$ , parallaxes and auxiliary reddening estimates (Lallement et al. 2019). This step yielded 1097 stars with high-quality photometric and astrometry, which are either pre-main-sequence K and M dwarfs due to their

<sup>&</sup>lt;sup>1</sup> See their visualization online at http://mkounkel.com/mw3d/mw2d.html (accessed 15 March 2022)

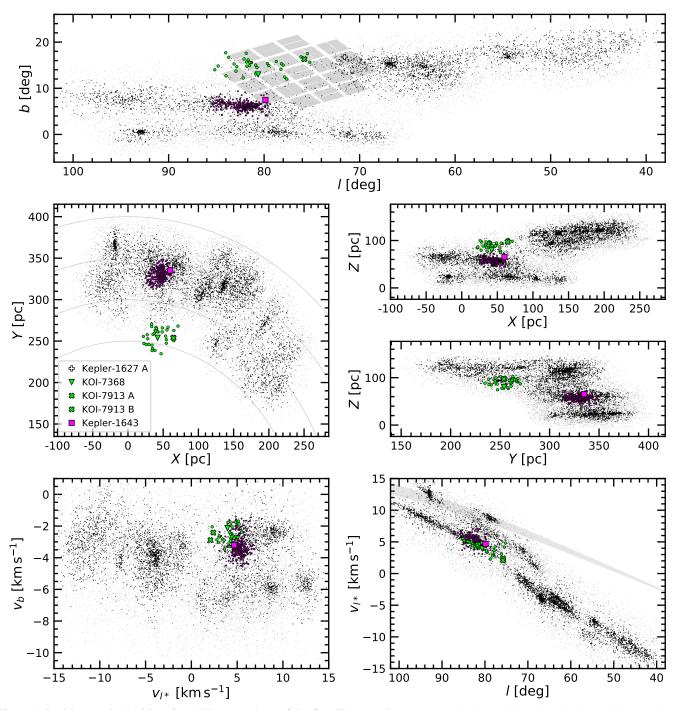


Figure 1. Positions and velocities of candidate members of the Cep-Her complex. *Top row*: On-sky positions in galactic coordinates. Black points are stars for which group membership is more secure than for gray points (see Section 2.2). Kepler-1627 is in the outskirts of the  $\delta$  Lyr cluster (Bouma et al. 2022), which is centered at  $\{l,b\} \approx \{66^{\circ},12^{\circ}\}$ . *Middle row*: Galactic positions. The Sun is at  $\{X,Y,Z\} = \{0,0,20.8\}$  pc; lines of constant heliocentric distance are shown between 250 and 400 pc, spaced by 50 pc. *Bottom row*: Galactic tangential velocities (left) and longitudinal tangential velocity versus galactic latitude (right). The gray band in the lower-right shows the  $\pm 1$ - $\sigma$  projection of the Solar velocity with respect to the local standard of rest. There is a strong spatial and kinematic overlap between Kepler-1643 and RSG-5 ( $\{l,b\} \approx \{82^{\circ},6^{\circ}\}$ ;  $\{X,Y,Z\} \approx \{60,330,50\}$  pc). The local population of candidate young stars around KOI-7368 and KOI-7913 is more diffuse – we call this region "CH-2". The **interactive figure** enables a few different cuts to be shown.

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long contraction timescales, or massive stars near the zeroage main sequence due to their rapid evolutionary timescales.

The second step is to perform in an unsupervised HDB-Scan clustering on the photometrically selected population Campello et al. 2015; McInnes et al. 2017). The parameters we use in this clustering analysis are  $\{X, Y, Z, cv_h, cv_{l^*}\}$ , where c is the size-velocity corrective factor, which is taken as  $c = 6 \text{pc/km s}^{-1}$  to ensure that the spatial and velocity scales have identical standard deviations. Positions are computed assuming the astropy v4.0 coordinate standard (Astropy Collaboration et al. 2018), which places the Sun 8122 pc from the galactic center, and assumes the solar velocity with respect to the local standard of rest from Schönrich et al. (2010). As input parameters to HDBScan, we set the minimum  $\epsilon$  threshold past which clusters cannot be fragmented as 25 parsecs in physical space, and  $c \text{ km s}^{-1}$  in velocity. The minimum cluster size N is set to 10, as is k, the parameter used to define the "core distance" density metric.

This unsupervised clustering in our case yielded 8 distinct groups. These groups are then used as the "seed" populations for the third step, which is to search for objects at least as close to the 10<sup>th</sup> nearest HDBSCAN-identified member in space-velocity coordinates. This third step yields stars that are spatially and kinematically close to the photometrically-young stars, but which cannot be identified as young based on their positions in color versus absolute magnitude.

The outcome of the analysis up to the point of the third step is shown in Figure 1. To enable a selection cut that filters out field-star contaminants, we also compute a weight metric, defined such that the group member with the smallest core distance has a weight of 1, the group member with the greatest core distance has a weight of 0, and weights for the other group members are log-normally distributed between these two extremes. In Figure 1, we show 12,436 objects with weight exceeding 0.02 as gray points, and overplot 4,763 objects with weights exceeding 0.10 as black points.<sup>2</sup> The previously known  $\delta$  Lyr cluster ( $l, b = 68^{\circ}, 15^{\circ}$ ;  $v_{l'}, v_b = -4.5 \,\mathrm{km \, s^{-1}}, -4 \,\mathrm{km \, s^{-1}})$  is visible, as is RSG-5 (l, b = $83^{\circ}, 6^{\circ}; v_{l'}, v_b = 5.5 \,\mathrm{km \, s^{-1}}, -3.5 \,\mathrm{km \, s^{-1}})$ . Most of the other subclusters, including in Cep-Cyg  $(l, b = 90^{\circ}, 7^{\circ})$  and Cerberus  $(l, b = 48^{\circ}, 18^{\circ})$  are too small or dispersed to have previously been analyzed in great detail.

The fourth and final step was to cross-match our candidate Cep-Her member list against all known Kepler Objects of Interest. We used the Cumulative KOI table from the NASA Exoplanet Archive from 27 March 2022, and also compared against the q1\_q17\_dr25 table (Thompson et al. 2018). From the candidate members with weights exceeding 0.02,

this yielded yielded 25 matches, of which 11 were known false positives, 6 were designated "confirmed", and 8 were designated "candidates". Inspection of the Kepler data validation summaries and Robovetter classifications for these objects then showed whether they were potentially consistent with being i) planets, and ii)  $\lesssim 10^8$  years old, based on the presence of rotational modulation at the expected period and amplitude (e.g., Rebull et al. 2020, Figure 9). Four objects remained after this inspection: Kepler-1627, Kepler-1643, KOI-7368, and KOI-7913.

Figure 1 shows the positions of the KOIs along various projections. Kepler-1643 is near the core RSG-5 population both spatially and kinematically. KOI-7368 and KOI-7913 are in a diffuse region  $\approx$ 40 parsecs above RSG-5 in Z and  $\approx$ 100 parsecs closer to the Sun in Y. In tangential galactic velocity space, there may be some kinematic overlap between the region the latter two KOIs are in, and the main RSG-5 group.

We define two sets of stars in the local vicinity of our objects of interest. For candidate RSG-5 members, we require:

$$X/\text{pc} \in [45,75]$$
  
 $Y/\text{pc} \in [320,350]$   
 $Z/\text{pc} \in [40,70]$   
 $v_b/\text{km s}^{-1} \in [-4,-3]$   
 $v_{l^*}/\text{km s}^{-1} \in [4,6]$ 

For the diffuse stars near KOI-7368 and KOI-7913, we require

$$X/\text{pc} \in [20, 70]$$
  
 $Y/\text{pc} \in [230, 270]$   
 $Z/\text{pc} \in [75, 105]$   
 $v_b/\text{km s}^{-1} \in [-3.5, -1.5]$   
 $v_{l^*}/\text{km s}^{-1} \in [2, 6]$ 

and we call this latter set of stars "CH-2". These cuts yield 141 candidate RSG-5 members, and 37 candidate CH-2 members. An important consideration, especially for CH-2, is the contamination rate by field stars. We assess this in the following section.

# 2.3. The Cluster's Age 2.3.1. Color-Absolute Magnitude Diagram

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Color-absolute magnitude diagrams (CAMDs) of the candidate RSG-5 and CH-2 members are shown in the upper row of Figure 2. The stars from the  $\delta$  Lyr cluster are from Bouma et al. (2022), and the field stars are from the Gaia EDR3 Catalog of Nearby Stars (Gaia Collaboration et al. 2021b). To make these diagrams, we imposed the data filtering criteria from Gaia Collaboration et al. (2018a, Appendix B), which are designed to include binaries while omitting instrumental

<sup>&</sup>lt;sup>2</sup> These counts only include objects with reliable astrometry and photometry:  $\varpi/\sigma_{\varpi} > 5$ ;  $G/\sigma_{G} > 50$ ;  $G_{RP}/\sigma_{GRP} > 20$ ;  $G_{BP}/\sigma_{GRP} > 20$ .

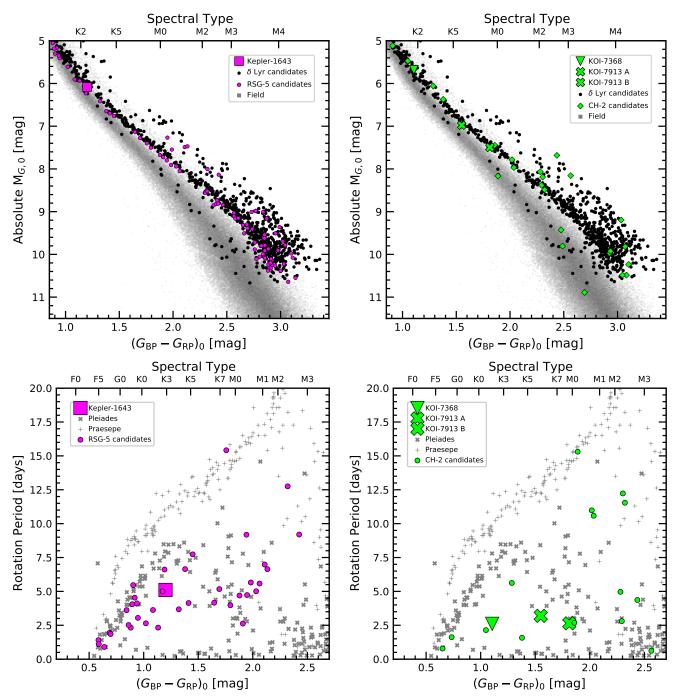


Figure 2. The stellar groups near KOI-7368, KOI-7913, and Kepler-1643 are 40 to 50 million years old. *Top row*: Color-absolute magnitude diagram of candidate Cep-Her members, in addition to candidate members of the  $\delta$  Lyr cluster ( $\approx$  38 Myr; Bouma et al. 2022) and and the Gaia EDR3 Catalog of Nearby Stars (gray background). The left and right columns shows stars in RSG-5 and CH-2, respectively. The range of colors is truncated to emphasize the pre-main-sequence. *Bottom row*: TESS and ZTF-derived stellar rotation periods, with the Pleiades ( $\approx$  112 Myr) and Praesepe ( $\approx$  650 Myr) shown for reference (Rebull et al. 2016; Douglas et al. 2017). The detection efficiency for reliable rotation periods falls off beyond ( $G_{BP} - G_{RP}$ )<sub>0</sub>  $\gtrsim$  2.6.

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artifacts from for instance low photometric signal to noise, or a small number of visibility periods. We then corrected for extinction using the Lallement et al.  $(2018)^3$  dust maps and the extinction coefficients  $k_X \equiv A_X/A_0$  from Gaia Collaboration et al. (2018a), assuming that  $A_0 = 3.1E(B-V)$ . This yielded a mean and standard deviation for the reddening of  $E(B-V) = 0.036 \pm 0.002$  for RSG-5, and  $E(B-V) = 0.017 \pm 0.001$  for CH-2. By way of comparison, in Bouma et al. (2022) the same query for the  $\delta$  Lyr cluster yielded  $E(B-V) = 0.032 \pm 0.006$ . Finally, for the plots we set the  $(G_{\rm BP}-G_{\rm RP})_0$  color range to best visualize the region of maximal age information content: the pre-main-sequence.

The CAMDs show that for RSG-5, our membership selection gives stars that photometrically seem to almost all be on a tight pre-main-sequence locus. This implies a false positive rate of a few percent, at most. By comparison, our control sample (the  $\delta$  Lyr candidates) has a false positive rate of  $\approx$ 12%, based on the number of stars that photometrically appear to be more consistent with the field population than the bulk cluster population. For CH-2, our membership selection gives 27 objects in the color range displayed, and 23 of them appear to be consistent with being on the pre-main-sequence. This implies a false positive rate of  $\approx$ 15%.

In addition, Figure 2 shows that the candidate RSG-5 and CH-2 members overlap with the  $\delta$  Lyr cluster, and are therefore roughly the same age. To quantify this, we use the empirical method introduced by Gagné et al. (2020, see their Section 6.3). This idea of the method is to fit the pre-mainsequence loci of a set of reference clusters, and to then model the locus of the target cluster as a linear combination of these reference cluster loci. For our reference clusters, we used UCL, IC 2602, and the Pleiades, from the memberships reported by Damiani et al. (2019) and Cantat-Gaudin et al. (2018) respectively. We adopted ages of 16 Myr for UCL (Pecaut & Mamajek 2016), 38 Myr for IC 2602<sup>4</sup>, and 112 Myr for the Pleiades (Dahm 2015). These assumptions and the consequent processing steps taken to exclude field stars as well as photometric and astrometric binaries were identical to those described in Bouma et al. (2022). The mean and standard deviation of the resulting age posterior are  $46^{+9}_{-7}$  Myr for RSG-5, and  $36^{+10}_{-8}$  Myr for CH-2. For comparison, the this procedure yields an age for the  $\delta$  Lyr cluster of 38<sup>+6</sup><sub>-5</sub> Myr. The slightly older isochronal age of RSG-5 is expected given that its locus is slightly bluer and less luminous in the upper left panel of Figure 2 relative to the  $\delta$  Lyr cluster.

## 3 https://stilism.obspm.fr/

#### 2.3.2. Stellar Rotation Periods

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An independent way to assess the age of the candidate cluster members is to measure their stellar rotation periods. This approach can be achieved using surveys such as TESS (Ricker et al. 2015) and ZTF (Bellm et al. 2019), and it leverages a storied tradition of rotation period measurement for benchmark open clusters (see *e.g.*, Skumanich 1972; Curtis et al. 2020). The TESS data in our case are especially useful, since they provide 3 to 5 lunar months of photometry for all of our candidate CH-2 and RSG-5 members.

We selected stars suitable for gyrochronology by requiring  $(G_{\rm BP}-G_{\rm RP})_0 \geq 0.5$  and G<16. The latter cut corresponds to  $(G_{\rm BP}-G_{\rm RP})_0 \lesssim 2.6$ , at the relevant distances. These cuts gave 19 stars in CH-2 and 42 stars in RSG-5. We extracted light curves from the TESS images for these stars using the unpopular package (Hattori et al. 2021), and regressed them against systematics with its causal pixel model. For the ZTF light curves, we used the default photometry? Ran aperture photometry on the image cutouts?. We then measured candidate rotation periods using a Lomb-Scargle periodogram, and visually inspected them following the methods discussed in Curtis et al. (2020).

The lower panels of Figure 2 show the results. In RSG-5, 36/42 stars have rotation periods faster than the Pleiades (86%). This numerator omits the two stars with periods > 12 days visible in the lower-left panel of Figure 2. The age interpretation for these latter stars, particularly the  $\approx$ M2.5 dwarf, is not obvious. Rebull et al. (2018) for instance have found numerous M-dwarfs with 10-12 day rotation periods at ages of USco ( $\sim$  8 Myr), and some may still exist at ages of LCC ( $\sim$  16 Myr; L. Rebull in preparation). Regardless, given that nearly no field star outliers seem to be present on the RSG-5 CAMD, the fact that we do not detect rotation periods for  $\approx$ 14% of stars should perhaps be taken as an indication for the fraction of stars for rotation periods might not be detectable, due to *e.g.*, pole-on stars having lower amplitude starspot modulation.

For CH-2, 13/19 stars have rotation periods that are obviously faster than their counterparts in the Pleiades (68%). 4 stars, not included in the preceding numerator, are M-dwarfs with rotation periods between 10 and 12.5 days. The age interpretation for these M-dwarfs is, as just discussed, not obvious. Regardless, the  $\approx 15\%$  false positive rate determined from the CAMD seems consistent with our fraction of detected rotation periods, given that RSG-5 was also missing rotation period detections for  $\approx 15\%$  of its candidate members, which all seemed photometrically consistent with being part of a single pre-main-sequence locus.

It is challenging to convert these stellar rotation periods to a precise age estimate, since on the pre-main-sequence the stars are spinning up due to thermal contraction rather than down due to magnetized braking. Regardless, the rotation

Ages for IC 2602 vary from 40 to 46 Myr based on lithium-depletion-boundary (LDB) measurements (Dobbie et al. 2010; Randich et al. 2018), and from 30 to 46 Myr based on isochronal analyses (Stauffer et al. 1997; David & Hillenbrand 2015; Bossini et al. 2019).

period distributions of both CH-2 and RSG-5 seem consistent with other 30 Myr to 50 Myr clusters (*e.g.*, IC 2602 and IC 2391; Douglas et al. 2021). They also seem consistent with the false positive rate estimates determined from the color-absolute magnitude diagrams.

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#### 3. THE STARS

Some salient stellar parameters of the KOIs in Cep-Her can be gleaned by inspecting Figure 2. They span spectral types of G8V (Kepler-1627) to K8V (KOI-7913 A). The secondary in the KOI-7913 system is only marginally cooler than the primary. And since a Solar-mass star with solar metallicity arrives at the zero-age main sequence at  $t \approx 40$  million years (Choi et al. 2016), these stars are all in the late stages of their pre-main-sequence contraction. Their heliocentric distances are  $\approx 260$  pc (KOI-7913 and KOI-7368, in CH-2) and  $\approx 340$  pc (Kepler-1643, in RSG-5).

The adopted stellar parameters are listed in Table 1. The stellar surface gravity, radius, mass, and density are found by interpolating against the MIST isochrones (Choi et al. 2016). The statistical uncertainties from this technique mostly originate from the parallax uncertaintes; the systematic uncertainties are taken to be the absolute difference between the PAR-SEC (Bressan et al. 2012) and MIST isochrones. Reported uncertainties are a quadrature sum of the statistical and systematic components.

To verify these parameters and to also analyze spectroscopic youth proxies such as the Li I 6708 Å doublet and  $H\alpha$ , we acquired spectra. We also acquired high resolution imaging for each system, to constrain the existence of visual companions, including possible bound binaries. The system-by-system details follow, and the the relevant results are also summarized in Table 1.

## 3.1. Kepler 1643

Spectra—For Kepler-1643, we acquired two iodine-free spectra from Keck/HIRES on the nights of 2020 August 16 and 2021 October 25. The acquisition and analysis followed the standard reduction techniques of the California Planet Survey (Howard et al. 2010). We derived the stellar parameters  $(T_{\rm eff}, \log g, R_{\star})$  using SpecMatch-Emp (Yee et al. 2017), which yielded values in  $< 1-\sigma$  agreement with those from the cluster-isochrone approach. This approach also yielded [Fe/H] =  $0.13 \pm 0.09$ . Using the broadened synthetic templates from SpecMatch-Synth (Petigura et al. 2017), we found  $v \sin i = 9.3 \pm 1.0 \text{ km s}^{-1}$ . The systemic radial velocity at the two sequence epochs was  $-9.1 \pm 1.9 \,\mathrm{km \, s^{-1}}$ and  $-7.8 \pm 1.2 \,\mathrm{km \, s^{-1}}$  respectively. To infer the equivalent width of the Li I 6708 Å doublet, we followed the procedure described by Bouma et al. (2021). This yielded a strong detection: EW<sub>Li</sub> =  $126^{+8}_{-4}$  mÅ, with values consistent at  $< 1-\sigma$ between the two epochs. The quoted value does not correct for the Fe I blend at 6707.44Å.

**Table 1.** Selected system parameters of Kepler-1643, KOI-7368, and KOI-7913.

| Parameter                               | Value  | 68% Confidence Interval | Comment |
|---|--------|-------------------------|---------|
|   | K      | epler-1643              |         |
| Stellar parameters:                     |        |                         |         |
| Gaia G [mag]                            | 13.836 | $\pm 0.003$             | A       |
| $T_{\rm eff}$ [K]                       | 4916   | $\pm 110$               | В       |
| $\log g_{\star} [\text{cgs}]$           | 4.502  | $\pm 0.035$             | C       |
| <i>R</i> <sub>⋆</sub> [R <sub>☉</sub> ] | 0.855  | $\pm 0.044$             | C       |
| $M_{\star} [\mathrm{M}_{\odot}]$        | 0.845  | $\pm 0.025$             | C       |
| $\rho_{\star}$ [g cm <sup>-3</sup> ]    | 1.910  | $\pm 0.271$             | C       |
| $P_{\text{rot}}$ [days]                 | 5.106  | $\pm 0.044$             | D       |
| Li EW [mÅ]                              | 126    | +8, -4                  | E       |
| Transit parameters:                     |        |                         |         |
| P [days]                                | X      | X                       | D       |
| $R_{\rm p}/R_{\star}$                   | 0.X    | +0.X, -0.X              | D       |
| b                                       | X      | X                       | D       |
| $R_{\rm p} [{\rm R}_{\oplus}]$          | X      | $\pm 0.X$               | D       |
| t <sub>14</sub> [hours]                 | X      | X                       | D       |
|   | i      | KOI-7368                |         |
| Stellar parameters:                     |        |                         |         |
| Gaia G [mag]                            | 12.831 | $\pm 0.004$             | A       |
| $T_{\rm eff}$ [K]                       | 5241   | ±50                     | F       |
| $\log g_{\star} [\text{cgs}]$           | 4.499  | $\pm 0.030$             | C       |
| $R_{\star} [R_{\odot}]$                 | 0.876  | $\pm 0.035$             | C       |
| $M_{\star} [\mathrm{M}_{\odot}]$        | 0.879  | $\pm 0.018$             | C       |
| $\rho_{\star}$ [g cm <sup>-3</sup> ]    | 1.840  | 0.225                   | C       |
| $P_{\text{rot}}$ [days]                 | 2.606  | 0.038                   | D       |
| Li EW [mÅ]                              | X      | X                       | В       |
| Transit parameters:                     |        |                         |         |
| P [days]                                | X      | X                       | D       |
| $R_{\rm p}/R_{\star}$                   | 0.X    | +0.X, -0.X              | D       |
| b                                       | X      | X                       | D       |
| $R_{\rm p} [{\rm R}_{\oplus}]$          | X      | $\pm 0.X$               | D       |
| $t_{14}$ [hours]                        | X      | X                       | D       |
|   | K      | OI-7913 A               |         |
| Stellar parameters:                     |        |                         |         |
| Gaia G [mag]                            | 14.200 | $\pm 0.003$             | A       |
| $T_{\rm eff}$ [K]                       | 4324   | ±70                     | В       |
| $\log g_{\star} [\cos]$                 | 4.523  | $\pm 0.043$             | C       |
| $R_{\star} [R_{\odot}]$                 | 0.790  | $\pm 0.049$             | C       |
| $M_{\star}$ [M $_{\odot}$ ]             | 0.760  | $\pm 0.025$             | C       |
| $\rho_{\star}$ [g cm <sup>-3</sup> ]    | 2.172  | $\pm 0.379$             | C       |
| $P_{\rm rot}$ [days]                    | 3.387  | 0.016                   | D       |
| Li EW [mÅ]                              | X      | X                       | В       |
| $\Delta G_{\mathrm{AB}}$ [mag]          | 0.51   | 0.01                    | F       |
| Apparent sep. [au]                      | 959.4  | 1.9                     | F       |
| Transit parameters:                     |        |                         |         |
| P [days]                                | X      | X                       | D       |
| $R_{\rm p}/R_{\star}$                   | 0.X    | +0.X, -0.X              | D       |
| b                                       | X      | X                       | D       |
| $R_{\rm p} [{\rm R}_{\oplus}]$          | X      | ±0.X                    | D       |
| $t_{14}$ [hours]                        | X      | X                       | D       |

NOTE— (A) Gaia Collaboration et al. (2021a). (B) HIRES SpecMatch-Emp (Yee et al. 2017). (C) Cluster isochrone (Choi et al. 2016; Bressan et al. 2012). (D) Kepler light curve. The full set of transit parameters is given in CITE APPENDIX TABLE. (E) HIRES; this work. (F) TRES SPC (Buchave et al. 2010; Bieryla et al. 2021). (G) Magnitude difference and physical distance between primary and secondary; from Gaia EDR3. (H) HIRES SpecMatch-Synth (Petigura et al. 2017).

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High-Resolution Imaging —We acquired adaptive optics imaging of Kepler-1643 on the night of 2019 June 28 using the 385 NIRC2 imager on Keck-II (PI: E. Petigura). Using the narrow camera (FOV = 10.2''), we obtained 4 images in the K'387 filter ( $\lambda = 2.12 \,\mu\text{m}$ ) with a total exposure time of 320 s. We 388 analyzed these data following Kraus et al. (2016), and deter-389 mined the detection limits to visual companions by analyzing the residuals after subtracting an empirical PSF template. 391 This procedure yielded contrast limits of  $\Delta K' = 4.1$  mag at 392 = 150 mas,  $\Delta K'$  = 5.8 mag at  $\rho$  = 300 mas, and  $\Delta K'$  = 8.3 mag at  $\rho > 1000$  mas.

## 3.2. KOI-7368

Spectra—For KOI-7368, we acquired a spectrum on 2015 June 1 using the echelle spectrograph (TRES; Fűrész et al. 2008) mounted at the Tillinghast 1.5m at the Fred Lawrence Whipple Observatory on Mt. Hopkins, AZ. The stellar pa-399 rameter classification pipeline for the TRES spectra has been 400 described by Bieryla et al. (2021). It is based on the synthetic template library originally constructed by Buchhave et al. (2010). The resulting stellar parameters  $(T_{\rm eff}, \log g, R_{\star})$ 403 agreed with those from the cluster-isochrone method within 404  $1-\sigma$ , though the effective temperature is more precise by a factor of three. Auxiliary spectroscopic parameters included 406 the metallicity [Fe/H] =  $-0.02 \pm 0.08$ , the equatorial velocity  $v \sin i = 20.21 \pm 0.50 \,\mathrm{km \, s^{-1}}$ , and the systemic velocity  $RV_{sys} = -10.9 \pm 0.2 \text{ km s}^{-1}$ . The Li 6708Å EW measurement procedure yielded  $EW_{Li} = 236^{+16}_{-14} \text{ mÅ}$ .

High-Resolution Imaging —We acquired adaptive optics imag-411 ing of KOI-7368 on the night of 2019 June 12 using the 412 NIRC2 imager on Keck-II (PI: D. Huber). The observational configuration and reduction was identical as for Kepler-1643. 414 No companions were detected, and the analysis of the image 415 residuals yielded contrast limits of  $\Delta K' = 5.2$  mag at  $\rho = 150$ 416 mas,  $\Delta K' = 6.7$  mag at  $\rho = 300$  mas, and  $\Delta K' = 8.7$  mag at  $\rho > 1000 \text{ mas}.$ 418

## 3.3. KOI-7913

Binarity—KOI-7913 is almost certainly a binary. 5 The north-420 west primary is  $\approx 0.5$  magnitudes brighter than the south-421 east secondary in optical passbands. The two stars are sep-422 arated in Gaia EDR3 by 3."54 on-sky, and have parallaxes 423 consistent within 1- $\sigma$  (with an average  $\varpi = 3.66 \pm 0.01$  mas). 424 This corresponds to an apparent on-sky separation of  $959 \pm 2$ au. Gaia EDR3 quotes  $(\mu_{\alpha'}, \mu_{\delta}) = (2.439 \pm 0.015, 1.009 \pm$ 0.016) mas yr<sup>-1</sup> for the primary, and  $(\mu_{\alpha'}, \mu_{\delta}) = (2.696 \pm$ 427  $0.020, 0.650 \pm 0.021)$  mas yr<sup>-1</sup> for the secondary. Since two stars were resolved in the Kepler Input Catalog and are roughly one Kepler pixel apart, an accurate crowding metric has already been applied in the NASA Ames data products to correct the mean flux level (Morris et al. 2017). This is important for deriving accurate transit depths.

Spectra—We acquired Keck/HIRES spectra for KOI-7913 A on the night of 2021 Nov 13, and KOI-7913 B on the night of 2021 Oct 26. The usual SpecMatch-Emp (Yee et al. 2017) machinery yielded  $T_{\rm eff,A} = 4324 \pm 70 \, \rm K$ ,  $T_{\rm eff,B} = 4038 \pm 70 \, \rm K$ . The remaining parameters were in agreement with those from the cluster isochrone. For the primary, we also found  $[Fe/H] = -0.06 \pm 0.09$ ,  $v \sin i = 13.3 \pm 1.0 \text{ km s}^{-1}$ , and  $RV_{sys} =$  $-17.8 \pm 1.1 \text{ km s}^{-1}$ . These same parameters for the secondary were  $[Fe/H] = -0.01 \pm 0.09$ ,  $v \sin i = 10.7 \pm 1.0 \text{ km s}^{-1}$ , and  $RV_{sys} = -18.8 \pm 1.1 \text{ km s}^{-1}$ .

Regarding indicators of youth, the primary has a spectral type  $\approx$ K6V, while the secondary is  $\approx$ M0V. At  $\approx$ 40 Myr, lithium 6708 Å absorption is not expected for the secondary, but might be present in the primary (e.g., Soderblom et al. 2014, Figure 8). Conversely, H $\alpha$  is expected to be in emission for for all M-dwarfs younger than  $\approx 150 \, \text{Myr}$  (Kiman et al. 2021). At  $\approx$ M0V, if the secondary were in older than  $\approx$  500 Myr, it would be more common for its H $\alpha$  component to be observed in absorption (Kiman et al. 2021, Figure 4).  $H\alpha$  emission in late K-dwarfs is rarer still. Based on the data from K. Rocio et al's analysis<sup>6</sup>, a  $\approx$ K6V star should be emitting in H $\alpha$  at 40 Myr, but not typically past  $\approx$  200 Myr. This presents a fairly direct test for the star's age.

Turning to the actual data, we find **X** for lithium. For  $H\alpha$ , we see Y.

High-Resolution Imaging —We acquired adaptive optics imaging of KOI-7913 on the night of 2020 Aug 27 using the NIRC2 imager on Keck-II (PI: D. Huber). The observational configuration and reduction was identical as before. The images showed KOI-7913 A, KOI-7913 B, and an additional faint star  $\approx 0.^{\prime\prime}99$  due East of KOI-7913 B. Applying the PSFfitting routines from Kraus et al. (2016), the tertiary star has a separation  $\rho = 4397 \pm 3$  mas from the primary, at a position angle 231.17°  $\pm 0.02^{\circ}$ , with a  $\Delta K' = 6.97 \pm 0.04$ . While it is too faint to affect the interpretation of the KOI itself, it would be amusing if the faint neighbor were also comoving and young - at the age of the system, it would have a mass roughly between 10 and 15 M<sub>Jup</sub>. Additional imaging epochs will be required to tell.

## 4. THE PLANETS

# 4.1. Kepler Data

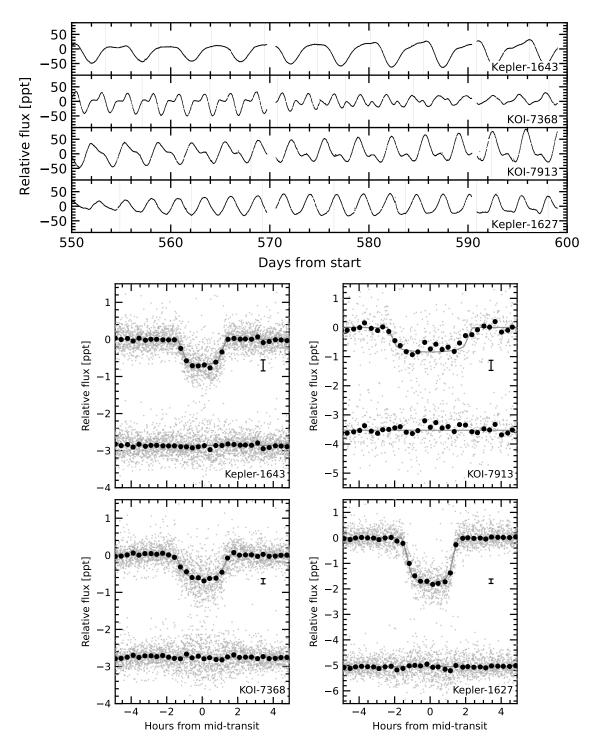
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The Kepler space telescope observed Kepler-1643, KOI-7913, and KOI-7368 at a 30-minute cadence between May

<sup>&</sup>lt;sup>5</sup> Relevant identifiers for the primary are KIC 8873450 = Gaia DR2/EDR3 2106235301785454208. For the secondary, they are KIC 8873448 = Gaia DR2/EDR3 2106235301785453824.

<sup>&</sup>lt;sup>6</sup> Available at https://zenodo.org/record/4659293#.YkuKh27MKDV



**Figure 3. Raw and processed light curves for the Kepler Objects of Interest in Cep-Her.** *Top*: 50 day light curve segment from the 3.9 years of Kepler data. The ordinate shows the PDCSAP median-subtracted flux in units of parts-per-thousand ( $\times 10^{-3}$ ). The dominant signal is induced by starspots. *Bottom*: Phase-folded transits of Kepler-1643, KOI-7913, KOI-7368, and Kepler-1627 with stellar variability removed. The maximum *a posteriori* transit model is shown with the gray line, and the residual after subtracting the transit model is vertially displaced. Windows over 10 hours are shown. Gray points are individual flux measurements; black points are binned to 20 minute intervals, and have a representative 1- $\sigma$  error bar in the center-right of each panel.

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2009 and April 2013. For all three systems quarters 1 through 17 were observed without any data gaps other than the usual quarterly roll. The top panel of Figure 3 shows a 50-day slice of the PDCSAP light curves for the three new Cep-Her candidates, along with Kepler-1627. In PDCSAP, nonastrophysical variability is removed through a cotrending approach that uses a set of basis vectors derived using singular value decomposition of a set of systematics-dominated stellar light curves (Smith et al. 2017). In our analysis, we used the PDCSAP light curves with the default optimal aperture (Smith et al. 2016). Cadences with non-zero quality flags were omitted. In all cases, the stars are dominated by spotinduced modulation with peak-to-peak variability between 2% and 10%. These signals are much larger than the transits, which have depth  $\approx 0.1\%$ . To quantify the stellar rotation periods, we calculated the Lomb-Scargle periodogram for each Kepler quarter independently. The resulting means and standard deviations are quoted in Table 1.

# 4.2. Transit and Stellar Variability Model

Our goals in fitting the Kepler light curves are twofold. First, we want to derive accurate planetary sizes and orbital properties. Second, we want to remove the stellar variability signal to enable a statistical assessment of the probability that the transit signals are planetary.

Our adopted approach was as follows. Given an initial guess for the transit ephemeris from the  $q1\_q17\_dr25$  analysis (Thompson et al. 2018), the light curve was first trimmed to a local window around each transit of duration  $\pm 3 \times t_{14}$ . The local out-of-transit points were then fitted with a fourth-order polynomial, which was then divided out from the light curve. The resulting flattened transits were then fitted with a planetary transit under the assumption of quadratic limb darkening. Our model therefore included 8 free parameters for the transit ( $\{P,t_0,\log R_p/R_\star,b,u_1,u_2,R_\star,\log g\}$ ), 2 free parameters for the light curve normalization and a white noise jitter ( $\{\langle f \rangle, \sigma_f\}$ ), and an additional 5 implicit parameters per transit through the polynomial.

We fitted the models using exoplanet (Foreman-Mackey et al. 2020). We assumed a Gaussian likelihood, and sampled using PyMC3's No-U-Turn Sampler (Hoffman & Gelman 2014), after having initialized using the maximum a posteriori (MAP) model. We used the Gelman & Rubin (1992) statistic  $(\hat{R})$  as our convergence diagnostic. The results are shown in the lower panels of Figure 3, and the important derived parameters are in Table 1. The set of full parameters with their priors are given in Appendix B.

One weakness of our fitting approach is that we have fixed 5 implicit parameters per individual transit to their MAP values to remove the stellar variability signal. An alternative could be to fit the planetary transits simultaneously with the starspot-induced variability using, say, a quasiperiodic Gaus-

sian process (GP). We explored this approach, but found that it required careful fine-tuning of the hyperparameter priors, otherwise the GP would tend to incorporate any variability that was not part of the transit, even if it was short-term variability attributable to flares, or simply instrumental noise. This failure mode is somewhat pernicious, in that it yields an ill-founded sense of confidence in an "overly clean" transit model fit. Although our model is simpler, it has the benefit that the white noise jitter never trades off with any parameter equivalent to a damping timescale for the coherence of the GP. It is also computationally efficient, and it captures the planetary parameters that we actually care about.

## 4.3. Planet Validation

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In the future, it may be possible to obtain independent evi-541 dence for the Cep-Her planets to directly confirm their planetary nature. The most likely path would be through transit observations using a high-resolution spectrograph (see Bouma et al. 2022). In the interim, it is of interest whether the transit signals could be astrophysical false positives, or whether they can be argued to be planetary on a statistical basis. We adopt the Bayesian framework implemented in VESPA for this purpose (Morton 2012, 2015). Briefly summarized, the priors in VESPA take as given the binary star occurrence rate from Raghavan et al. (2010), direction-specific star counts from Girardi et al. (2005), and planet occurrence rates as described by Morton (2012, Section 3.4). The likelihoods are then evaluated by forward-modeling a synthetic population of eclipsing bodies for each possible astrophysical model class, in which each population member has a particular trapezoidal eclipse depth, total duration, and ingress duration. These summary statistics are then compared against the actual photometric data to evaluate the probabilities of false positive scenarios such as foreground eclipsing binaries, hierarchical eclipsing binaries, and background eclipsing binaries.

*Kepler-1643* — Kepler-1643 b (KOI-6186.01) was already validated as a transiting planet by Morton et al. (2016). Based on the Q1-Q17 DR25 False Positive Probability table hosted at the NASA Exoplanet Archive, they found a probability for any of the considered false positive scenarios of  $9 \times 10^{-6}$ . Repeating the calculation with our own stellar-variability correction and the new NIRC2 imaging constraints, we find FPP =  $6 \times 10^{-9}$ , where the improvement is presumably due to the new NIRC2 contrast curves. Figure 3 shows the intuitive justification for the strong statistical result: the transit is flat and has a reasonably high S/N ( $\approx 47$ ), which is difficult to reproduce with eclipsing binary models.

An interesting aside on Kepler-1643 is that it formally failed the centroid shift test in the  $q1_q17_dr25_koi$  data release, with the angular distance between the target star position and the location of the transiting source being reported as  $0.99 (4.4-\sigma)$ . This seems to be mostly due to two outly-

ing quarters (Q2 and Q6) driving the offset - the centroid locations from the other Kepler quarters are all consistent within  $\leq 0.75$ . Nonetheless, this is an instructive exercise in how variable stars can complicate centroid-based vetting 582 tests. The centroid shifts measured by these tests are de-583 termined from the in- and out-of-transit flux-weighted cen-584 troids, but for our stars there is no static baseline in either inor out-of-transit phase.

KOI-7368—KOI-7368.01 is listed on the NASA Exoplanet Archive as a "CANDIDATE" planet. The Q1-Q17 DR25 False Positive Probability table however lists its probability of being an astrophysical false positive as "1.0". However, given that none of the other columns in this table are populated, this is likely a database entry error (ask Jessie). Performing the validation calculation using our new NIRC2 imaging constraints, we find FPP  $\approx 4 \times 10^{-3}$ . While not as convincing as Kepler-1643, this formally clears the usual threshold for calling the planet statistically validated (Morton 2012). The S/N of the transit itself is  $\approx$  32, which indicates that it is unlikely to be caused by systematic noise in the light curve. Visually, one can also inspect each individual transit window in the light curve itself. This exercise shows a weak notch in each case; over the 198 observed transits, the resulting signal seems sensible.

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Why was KOI-7368 not previously validated? One reason could be the high stellar variability. Another reason could be that it also formally fails the centroid shift test, similar to Kepler-1643. In the case of KOI-7368, the reported offset is marginally less significant (0"22; 3.0- $\sigma$ ). Again, inspection of the data validation reports shows that this is caused by a few outlying quarters: in this case Q4, Q5, Q8, and Q12. The fact that the remaining quarters show a more consistent scatter suggests that these outliers are again caused by stellar variability local to the transits, rather than by an astrophysical offset. Our imaging also shows that there are no neighboring sources that could cause an offset of the observed amplitude.

KOI-7913—KOI-7913.01 is listed on the NASA Exoplanet Archive as a "CANDIDATE" planet. The Q1-Q17 DR25 616 False Positive Probability table lists its probability of being 617 an astrophysical false positive as  $1.4 \times 10^{-4}$ , with the most likely false positive scenario being a blended eclipsing bi-619 nary. Repeating the calculation with our new detrending and 620 contrast curves, we find a similar result: FPP =  $1.3 \times 10^{-4}$ . While the transit has the lowest S/N of any of the objects 622 discussed (S/N = 14), its lower FPP relative to KOI-7368 623 can be understood through its relatively flat-bottomed shape, combined with its long transit duration relative to plausible eclipsing binary models (Figure 3).

The disposition of KOI-7913.01 has previously been 627 debated: in q1\_q17\_dr25\_koi the source was flagged as a "FALSE POSITIVE", with the comment

"CENT\_KIC\_POS—HALO\_GHOST". This comment and disposition seem to have been removed in the q1\_q17\_dr25\_sup\_koi data release, which renamed it a "CANDIDATE", without any flag given. As described by Thompson et al. (2018), the "CENT\_KIC\_POS" flag is an indication that the measured source centroid is offset from its expected location in the Kepler Input Catalog. The final Data Validation Reports, generated 2016 Jan 30, do not seem to show this. Moreover, the statistical significance of any centroid offset seems to be lower than for KOI-7368 and Kepler-1643 (which, as we have argued, both show centroid offsets that seem to be explained by the stellar variability).

What of the "HALO\_GHOST" flag? This test measures the transit strength for the pixels inside the aperture, and compares it to that measured in the ring of pixels around said aperture (the "halo"). Generally one expects the transit signal to be strongest in the central aperture, rather than the halo. Two types of false positive scenarios can change this and trigger the flag: the first is when optical ghosts from bright eclipsing binaries reflect off the CCD, and contaminate the target star. The second is when the PRF of nearby stars directly overlaps with the PRF of the target star (see Thompson et al. 2018, Section A.5.2).

The most obvious explanation for KOI-7913 is the latter case, since KOI-7913 B is  $\approx 0.9$  Kepler pixels away from Kepler-7913 A. Due to the on-sky orientation of KOI-7913 A and KOI-7913 B, the default "optimal aperture" selected in Q3, Q7, Q11, and Q15 in fact included both stars, while for the remaining quarters KOI-7913 B was (correctly) excluded from the optimal aperture (see pages 35 through 71 of the Data Validation Report.)

As a test, we opted to re-extract the light curves from the target pixel files for these particular cases. Comparing the pixels on-target, and found We found...

# 5. DISCUSSION & CONCLUSION

# 5.1. Is CH-2 really a star cluster?

RSG-5, and Kepler-1643's membership inside it, clearly meet the typical expectations of a star claimed to be in an open cluster. RSG-5 shows an obvious overdensity relative to the local field population (e.g., Figure 1), and our membership selection easily produces a clean pre-main-sequence locus in color-absolute magnitude space (Figure 2). CH-2. and KOI-7913 and KOI-7368's membership inside it, do not meet those expectations in as obvious a manner. This is because this association of stars is diffuse.

To quantify the density discrepancy, we can compare the spatial and velocity volumes searched to select candidate members of each cluster. For RSG-5, we drew 141 candidate members from a  $30 \,\mathrm{pc} \times 30 \,\mathrm{pc} \times 30 \,\mathrm{pc}$  spatial cube, given a  $1 \,\mathrm{km}\,\mathrm{s}^{-1} \times 2 \,\mathrm{km}\,\mathrm{s}^{-1}$  rectangle in apparent galactic velocity. For CH-2, our 37 candidate members came from a spatial

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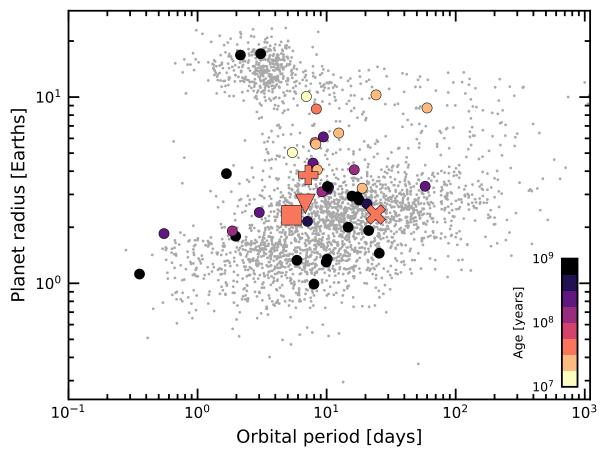


Figure 4. Radii, orbital periods, and ages of transiting exoplanets. Planets younger than a gigayear with  $\tau/\sigma_{\tau} > 3$  are emphasized, where  $\tau$  is the age and  $\sigma_{\tau}$  is its uncertainty. Kepler-1627 (+), KOI-7368 (down-triangle), KOI-7913 (X), Kepler-1643 (diamond). The large sizes of the youngest transiting planets could be explained by their primordial atmospheres not yet having evaporated; direct measurements of the atmospheric outflows or planetary masses would help to confirm this expectation. Selection effects may also be important. Parameters are from the NASA Exoplanet Archive (2022 Feb 27).

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cube of dimension  $50 \, \text{pc} \times 40 \, \text{pc} \times 30 \, \text{pc}$ , and the velocity rectangle of  $2 \, \text{km s}^{-1} \times 4 \, \text{km s}^{-1}$ . If we define the "searched volume" in units of  $\text{pc}^3 (\text{km s}^{-1})^2$ , then the volume ratio of CH-2:RSG-5 is  $\approx 9:1$ . The density (number of stars per unit searched volume) within RSG-5 relative to CH-2 similarly comes out to 34 to 1.

So what makes a star cluster? Historic answers to this question have recently by reviewed by Krumholz et al. (2019): some definitions that have been offered include criteria such as being gravitationally bound, and having a mass density that significantly exceeds the mean in a cluster's galactic neighborhood. We prefer a modified version of the definition adopted by Krumholz et al. (2019): for our purposes a star cluster is a group of at least 12 stars that was physically associated at its time of formation. The somewhat arbitrary "12" is set to distinguish clusters from high-order multiple star systems. We therefore explicitly include dissolved clusters and their tidal tails in our concept of clusters. We also explicitly exclude the idea that a particular number of stars per unit spatial volume is required to define a cluster.

The latter point acknowledges the fact that an important factor in cluster identification is now also the number per unit velocity volume, whether in 2-dimensional tangential velocity, or when including the third radial component. Perhaps once stellar rotation periods and chemical abundances reach the same level of ubiquity as stellar proper motions, they might enable further refinement of our ability in cluster discovery.

From a data-driven perspective, how do we demonstrate that a star is in a cluster, *i.e.*, that it is part of a group of stars that was physically associated at its time of formation? Back-integrating the orbits is one convincing approach, but it does not always work (CITE). The relatively minimal approach suggested by Tofflemire et al. (2021) is intriguing: search for coeval, phase-space neighbors, measure their ages, and determine if they share a common age. This approach is more accurately be described as a method for determining whether a star is currently associated with a set of coeval stars, which is much easier to determine than what the association looked like in the past. From this standard, our analysis thus far

of CH-2 has already demonstrated the existence of such an assocation.

A crucial logical step in this method however is to ensure that the (automated) search process for coeval phase-space neighbors in fact produces neighbors at a rate different from what it would for field stars.

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And so we ask: what is the density of field stars in the CH-2 region? If we had applied Ronan's pre-main-sequence selection, + HDBScan, + local neighbors... what would we have gotten? Similarly... if you just applied Adam Kraus' Comove What is the likelihood we are fooling ourselves?

# 5.2. Normal-Sized Mini-Neptunes Exist at 40 Myr

Perhaps the most significant point about Kepler-1643 b, KOI-7368.01, and KOI-7913 is that they have normal sizes. **TODO for LGB: write more about this** 

# 5.3. Summary

We have argued that Kepler-1643 b, KOI-7368.01, and KOI-7913 i) are all  $\approx$ 40 million years old, and ii) that each signal is most likely planetary. The evidence for the planetary interpretation comes from an application of VESPA to the Kepler data, alongside new adaptive optics imaging from NIRC2. The validity of the VESPA framework rests on the premise that non-astrophysical false positives, including false alarms and known neighboring eclipsing binaries, can be rejected. Kepler-1643 and KOI-7368 both pass all but one of the canonical vetting checks: the check for centroid offsets between the in and out-of-transit phases. For both cases, we have argued the weak observed shifts are most likely caused by starspot-induced variability in specific quarters shifting the stellar center-of-light.

Each system has multiple indicators of youth that support their reported ages. For Kepler-1643, perhaps the strongest youth indicator is its physical and kinematic association with RSG-5. Based on the color-absolute magnitude diagram, we seem to be able to select members of this cluster with a false positive rate of  $\ll 5\%$  (Figure 2), and Kepler-1643 is one such member. Its rotation period period agrees with this assessment, and **its lithium is a little low**.

The spatial and kinematic arguments for the youth of KOI-7368 and KOI-7913 are necessarily weaker because they are in an association of stars, CH-2, that is much more tenuous. For KOI-7913 this is not of particular concern, since it happens to be a binary. Both components have isochronal ages consistent with 40 Myr, but the measurement for the secondary is more constraining since it is less massive. Both components also show H $\alpha$  in emission, which for the  $\approx$ K6V primary is a good indicator that the star is  $\lesssim$ 100 Myr old. For KOI-7368, which is slightly more massive, the Li 6708Å EW measurement and stellar rotation period are more constraining, and provide independent verification of the stellar youth.

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Software: astrobase (Bhatti et al. 2018), astropy (Astropy Collaboration et al. 2018), astroquery (Ginsburg et al. 2018), exoplanet (Foreman-Mackey et al. 2020), and its dependencies (Agol et al. 2020; Kipping 2013; Luger et al. 2019; Theano Development Team 2016), PyMC3 (Salvatier et al. 2016), scipy (Jones et al. 2001), VESPA (Morton 2012, 2015),

Facilities: Astrometry: Gaia (Gaia Collaboration et al. 2018b, 2021a). Imaging: Second Generation Digitized Sky Survey. Keck:II (NIRC2; www2.keck.hawaii.edu/inst/nirc2).

Spectroscopy: Tillinghast:1.5m (TRES; Fűrész et al. 2008).

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**Table 1.** Priors and posteriors for Kepler-1643 transit model with local polynomials removed.

| Param.                     | Unit               | Prior  | Median      | Mean        | Std. Dev. | 3% HDI      | 97% HDI     | ESS  | $\hat{R}-1$ |
|----------------------------|--------------------|--|-------------|-------------|-----------|-------------|-------------|------|-------------|
| P                          | d                  | N(5.34264; 0.01000)                              | 5.3426257   | 5.3426258   | 0.0000101 | 5.3426071   | 5.3426454   | 7884 | 1.1e-03     |
| $t_0^{(1)}$                | d                  | $\mathcal{N}(134.38100; 0.02000)$                | 134.3820412 | 134.3820408 | 0.0011172 | 134.3798834 | 134.3840798 | 7390 | 3.7e-04     |
| $\log R_{\rm p}/R_{\star}$ | _                  | $\mathcal{U}(-6.215; 0.000)$                     | -3.68806    | -3.68934    | 0.02072   | -3.72788    | -3.65275    | 4449 | -7.8e-05    |
| b                          | -                  | $\mathcal{U}(0; 1 + R_{\rm p}/R_{\star})$        | 0.5825      | 0.5781      | 0.0514    | 0.4848      | 0.6734      | 4705 | 1.9e-04     |
| $u_1$                      | _                  | Kipping 2013                                     | 0.257       | 0.294       | 0.214     | 0.000       | 0.678       | 5324 | 7.9e-04     |
| $u_2$                      | _                  | Kipping 2013                                     | 0.324       | 0.314       | 0.324     | -0.257      | 0.880       | 4908 | 8.4e-04     |
| $R_{\star}$                | $R_{\odot}$        | $\mathcal{N}(0.855; 0.044)$                      | 0.851       | 0.851       | 0.045     | 0.766       | 0.933       | 7473 | 7.2e-04     |
| $\log g$                   | cgs                | $\mathcal{N}(4.502; 0.035)$                      | 4.507       | 4.507       | 0.035     | 4.442       | 4.576       | 6530 | -1.4e-04    |
| $\log \sigma_f$            | _                  | $\mathcal{N}(\log\langle\sigma_f\rangle; 2.000)$ | -8.520      | -8.520      | 0.019     | -8.556      | -8.486      | 7966 | 2.1e-04     |
| $\langle f \rangle$        | _                  | $\mathcal{N}(1.000; 0.100)$                      | 1.0000      | 1.0000      | 0.0000    | 1.0000      | 1.0000      | 7488 | 3.2e-04     |
| $R_{\rm p}/R_{\star}$      | _                  | _  | 0.025       | 0.025       | 0.001     | 0.024       | 0.026       | 4449 | -7.8e-05    |
| $\rho_{\star}$             | $\rm g \ cm^{-3}$  | _  | 1.943       | 1.953       | 0.191     | 1.603       | 2.313       | 6081 | 9.4e-05     |
| $R_{\rm p}$                | $R_{\mathrm{Jup}}$ | _  | 0.207       | 0.207       | 0.012     | 0.184       | 0.231       | 6326 | 2.5e-04     |
| $R_{\rm p}$                | $R_{ m Earth}$     | _  | 2.32        | 2.32        | 0.135     | 2.062       | 2.589       | 6326 | 2.5e-04     |
| $a/R_{\star}$              | _                  | _  | 14.312      | 14.322      | 0.465     | 13.487      | 15.228      | 6081 | 8.2e-05     |
| cos i                      | _                  | _  | 0.041       | 0.040       | 0.005     | 0.032       | 0.049       | 4929 | 2.4e-04     |
| $T_{14}$                   | hr                 | _  | 2.408       | 2.409       | 0.061     | 2.304       | 2.527       | 4774 | 5.3e-04     |
| $T_{13}$                   | hr                 | _  | 2.232       | 2.233       | 0.070     | 2.109       | 2.362       | 4561 | 6.2e-04     |

NOTE— ESS refers to the number of effective samples.  $\hat{R}$  is the Gelman-Rubin convergence diagnostic. Logarithms in this table are base-e.  $\mathcal{U}$  denotes a uniform distribution, and  $\mathcal{N}$  a normal distribution. Many of the  $T_{13}$  statistics may be nan in the event of a grazing transit. (1) The ephemeris is in units of BJKD (BJDTDB-2454833).

936 APPENDIX

# A. TABLE OF CANDIDATE RSG-5 AND CH-2 MEMBERS

Gaia source lists and abbreviated info. Include rotation periods.

# B. TABLE OF TRANSIT FIT PARAMETERS

Tables 1, 2, 3 give the full set of fitted and derived parameters from the model described in Section 4.2. Priors and convergence statistics are also listed.

**Table 2.** Priors and posteriors for KOI-7368 transit model with local polynomials removed.

| Param.                     | Unit               | Prior  | Median      | Mean        | Std. Dev. | 3% HDI      | 97% HDI     | ESS   | $\hat{R}-1$ |
|----------------------------|--------------------|--|-------------|-------------|-----------|-------------|-------------|-------|-------------|
| P                          | d                  | N(6.84294; 0.01000)                              | 6.8430344   | 6.8430341   | 0.0000125 | 6.8430107   | 6.8430574   | 10045 | 6.5e-05     |
| $t_0^{(1)}$                | d                  | $\mathcal{N}(137.06000; 0.02000)$                | 137.0463023 | 137.0463315 | 0.0014043 | 137.0436532 | 137.0489229 | 10303 | 9.2e-05     |
| $\log R_{\rm p}/R_{\star}$ | -                  | $\mathcal{U}(-4.605; 0.000)$                     | -3.76025    | -3.76299    | 0.03062   | -3.81932    | -3.70774    | 4043  | 6.3e-04     |
| b                          | -                  | $\mathcal{U}(0; 1+R_{\mathrm{p}}/R_{\star})$     | 0.5077      | 0.4999      | 0.0641    | 0.3797      | 0.6115      | 4434  | 3.5e-04     |
| $u_1$                      | -                  | Kipping 2013                                     | 0.976       | 0.953       | 0.267     | 0.425       | 1.422       | 5809  | -5.6e-05    |
| $u_2$                      | -                  | Kipping 2013                                     | -0.191      | -0.158      | 0.308     | -0.661      | 0.421       | 4387  | 2.6e-04     |
| $R_{\star}$                | $R_{\odot}$        | $\mathcal{N}(0.876; 0.035)$                      | 0.874       | 0.874       | 0.036     | 0.804       | 0.938       | 9902  | 7.3e-04     |
| $\log g$                   | cgs                | $\mathcal{N}(4.499; 0.030)$                      | 4.503       | 4.502       | 0.030     | 4.445       | 4.557       | 7527  | 2.7e-05     |
| $\log \sigma_f$            | -                  | $\mathcal{N}(\log\langle\sigma_f\rangle; 2.000)$ | -8.314      | -8.314      | 0.012     | -8.337      | -8.292      | 10636 | 1.3e-03     |
| $\langle f \rangle$        | -                  | $\mathcal{N}(1.000; 0.100)$                      | 1.0000      | 1.0000      | 0.0000    | 1.0000      | 1.0000      | 9742  | -2.9e-04    |
| $R_{ m p}/R_{\star}$       | -                  | _  | 0.023       | 0.023       | 0.001     | 0.022       | 0.025       | 4043  | 6.3e-04     |
| $ ho_{\star}$              | $g cm^{-3}$        | _  | 1.872       | 1.878       | 0.151     | 1.593       | 2.159       | 6829  | 3.4e-04     |
| $R_{\rm p}$                | $R_{\mathrm{Jup}}$ | _  | 0.198       | 0.198       | 0.011     | 0.177       | 0.218       | 5676  | 2.8e-04     |
| $R_{\rm p}$                | $R_{\rm Earth}$    | _  | 2.219       | 2.219       | 0.123     | 1.984       | 2.444       | 5676  | 2.8e-04     |
| $a/R_{\star}$              | -                  | _  | 16.671      | 16.677      | 0.447     | 15.863      | 17.542      | 6829  | 3.3e-04     |
| $\cos i$                   | -                  | _  | 0.030       | 0.030       | 0.004     | 0.022       | 0.038       | 4518  | 5.4e-04     |
| $T_{14}$                   | hr                 | _  | 2.789       | 2.792       | 0.075     | 2.651       | 2.928       | 4845  | 5.0e-04     |
| $T_{13}$                   | hr                 | _  | 2.619       | 2.622       | 0.085     | 2.470       | 2.779       | 4575  | 3.1e-04     |

NOTE— ESS refers to the number of effective samples.  $\hat{R}$  is the Gelman-Rubin convergence diagnostic. Logarithms in this table are base-e.  $\mathcal{U}$  denotes a uniform distribution, and  $\mathcal{N}$  a normal distribution. Many of the  $T_{13}$  statistics may be nan in the event of a grazing transit. (1) The ephemeris is in units of BJKD (BJDTDB-2454833).

**Table 3.** Priors and posteriors for KOI-7913 transit model with local polynomials removed.

| Param.                     | Unit               | Prior  | Median      | Mean        | Std. Dev. | 3% HDI      | 97% HDI     | ESS  | $\hat{R}-1$ |
|----------------------------|--------------------|--|-------------|-------------|-----------|-------------|-------------|------|-------------|
| P                          | d                  | $\mathcal{N}(24.27838; 0.01000)$                 | 24.2785532  | 24.2785706  | 0.0002630 | 24.2781117  | 24.2790852  | 4413 | 1.5e-03     |
| $t_0^{(1)}$                | d                  | $\mathcal{N}(154.51300; 0.05000)$                | 154.5121202 | 154.5124405 | 0.0062825 | 154.4998424 | 154.5237474 | 5612 | 6.0e-04     |
| $\log R_{\rm p}/R_{\star}$ | _                  | $\mathcal{U}(-5.298; 0.000)$                     | -3.59884    | -3.60184    | 0.04605   | -3.68852    | -3.51878    | 4290 | 5.6e-04     |
| b                          | _                  | $\mathcal{U}(0; 1+R_{\rm p}/R_{\star})$          | 0.3120      | 0.2985      | 0.1526    | 0.0045      | 0.5232      | 2373 | 1.8e-03     |
| $u_1$                      | _                  | Kipping 2013                                     | 0.267       | 0.337       | 0.281     | 0.000       | 0.862       | 4491 | -6.1e-05    |
| $u_2$                      | -                  | Kipping 2013                                     | 0.209       | 0.229       | 0.325     | -0.309      | 0.860       | 5935 | 7.0e-04     |
| $R_{\star}$                | $R_{\odot}$        | $\mathcal{N}(0.790; 0.049)$                      | 0.788       | 0.788       | 0.049     | 0.699       | 0.881       | 6847 | 2.8e-04     |
| $\log g$                   | cgs                | $\mathcal{N}(4.523; 0.043)$                      | 4.526       | 4.527       | 0.042     | 4.450       | 4.606       | 5714 | 6.6e-04     |
| $\log \sigma_f$            | _                  | $\mathcal{N}(\log\langle\sigma_f\rangle; 2.000)$ | -7.197      | -7.197      | 0.019     | -7.230      | -7.161      | 6976 | 1.4e-04     |
| $\langle f \rangle$        | _                  | $\mathcal{N}(1.000; 0.100)$                      | 1.0000      | 1.0000      | 0.0000    | 1.0000      | 1.0000      | 6998 | 2.8e-04     |
| $R_{\rm p}/R_{\star}$      | _                  | _  | 0.027       | 0.027       | 0.001     | 0.025       | 0.030       | 4290 | 5.6e-04     |
| $ ho_{\star}$              | $g cm^{-3}$        | _  | 2.199       | 2.213       | 0.250     | 1.781       | 2.705       | 5357 | 5.6e-04     |
| $R_{\rm p}$                | $R_{\mathrm{Jup}}$ | _  | 0.209       | 0.209       | 0.016     | 0.179       | 0.238       | 4882 | 1.3e-03     |
| $R_{\rm p}$                | $R_{\rm Earth}$    | _  | 2.343       | 2.343       | 0.179     | 2.006       | 2.668       | 4882 | 1.3e-03     |
| $a/R_{\star}$              | -                  | _  | 40.920      | 40.949      | 1.539     | 38.143      | 43.845      | 5357 | 6.6e-04     |
| $\cos i$                   | -                  | _  | 0.008       | 0.007       | 0.004     | 0.000       | 0.013       | 2344 | 1.9e-03     |
| $T_{14}$                   | hr                 | _  | 4.394       | 4.396       | 0.207     | 3.980       | 4.758       | 3952 | 5.6e-04     |
| $T_{13}$                   | hr                 | _  | 4.133       | 4.132       | 0.222     | 3.715       | 4.548       | 3632 | 7.6e-04     |

NOTE— ESS refers to the number of effective samples.  $\hat{R}$  is the Gelman-Rubin convergence diagnostic. Logarithms in this table are base-e.  $\mathcal{U}$  denotes a uniform distribution, and  $\mathcal{N}$  a normal distribution. Many of the  $T_{13}$  statistics may be nan in the event of a grazing transit. (1) The ephemeris is in units of BJKD (BJDTDB-2454833).