### The title of my thesis

Any short subtitle

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Thesis submitted for the degree of Master in Computational Science (Imaging and Biomedical Computing) 60 credits

Departement of Informatics

Departement of Physics

Faculty of mathematics and natural sciences

**UNIVERSITY OF OSLO** 

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Lucas Charpentier

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Lucas Charpentier

9th July 2020

### **Abstract**

### **Contents**

1	Intr	oduction	1
	1.1	Background and Motivation	1
	1.2	Problem Statement	1
	1.3	Thesis Outline	1
2	Plar	nning the project	3
	2.1	Machine Learning	4
		2.1.1 Supervised Learning	4
		2.1.2 Unsupervised Learning	4
	2.2	Artificial Neural Networks	4
		2.2.1 Perceptron	4
		2.2.2 Multilayer Perceptron	4
		2.2.3 Training a Neural Network	4
	2.3	Convolutional Neural Network	4
		2.3.1 Convolutional Layers	4
		2.3.2 Pooling Layers	4
	2.4	Neural Network Training Optimization	4
		2.4.1 Weight Initialization	4
		2.4.2 Training Batch Size	4
		2.4.3 Dropout	4
	2.5	Network Pruning	4
	2.6	Datasets	4
		2.6.1 MNIST	4
		2.6.2 Fashion MNIST	4
		2.6.3 CIFAR-10	4
	2.7	Architectures	4
		2.7.1 VGG-16	4
3	Sing	gle Layer ANN	5
	3.1	Pruning Nodes at Random	5
		3.1.1 MNIST	5
		3.1.2 Fashion MNIST	9
	3.2	Estimating Node Importance based on Loss	10
	3.3	Pruning network with pre-calculated importance	12
	3.4	Pruning Nodes based on the Loss	12
	3.5	Greedy approach to pruning instead of Exhaustive approach	12

4	Mu	lti-Layer Perceptron	13
	4.1	Effects of Changing Training Batch Size on Node Importance	13
	4.2	Effects of Using Dropout	13
		Iterative weight initialization using Node importance	13
5	Con	volutional Neural Network	15
	5.1	Looking at effects of per class accuracy after pruning	15
	5.2	Pruning based on class accuracy	15
6	Cas	e study: Reducing a VGG-16 model trained on X dataset	17
7	Con	aclusion	19
	7.1	Summary	19
		Future Works	19

# **List of Figures**

3.1	Short title.										•					7
3.2	Short title.															8
3.3	Short title.															8
3.4	Short title.															9
3.5	Short title.															9
3.6	Short title.															10
3.7	Short title.															11
3.8	Short title.															11
3.9	Short title.															12
3.10	Short title.															12

## **List of Tables**

3.1	Short																	7
3.2	Short																	7
3.3	Short																	8
3.4	Short																	10
3.5	Short																	10
3.6	Short																	11

### **Preface**

## Introduction

- 1.1 Background and Motivation
- 1.2 Problem Statement
- 1.3 Thesis Outline

### Planning the project

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2.1	Machine	Learning

- 2.1.1 Supervised Learning
- 2.1.2 Unsupervised Learning
- 2.2 Artificial Neural Networks
- 2.2.1 Perceptron
- 2.2.2 Multilayer Perceptron
- 2.2.3 Training a Neural Network
- 2.3 Convolutional Neural Network
- 2.3.1 Convolutional Layers
- 2.3.2 Pooling Layers
- 2.4 Neural Network Training Optimization
- 2.4.1 Weight Initialization
- 2.4.2 Training Batch Size
- 2.4.3 Dropout
- 2.5 Network Pruning
- 2.6 Datasets
- 2.6.1 MNIST
- 2.6.2 Fashion MNIST
- 2.6.3 CIFAR-10
- 2.7 Architectures
- 2.7.1 VGG-16

### Single Layer ANN

In this chapter we will start by analyzing how effective removing nodes at random is. For this section we will only consider a single hidden layer ANN. We will then try estimating the importance of each node by using the loss function and classifying them as important, zero or worse. An important node is one that when removed would increase the loss of the model. A zero node will not significantly affect the loss of the model when removed. Lastly, a worse node will improve the loss of the model when removed. At this point we will also consider a 3 hidden layer MLP and a CNN with 4 convolutional layers followed by a dense layer in addition to the single layer ANN. By using these estimated node importance's, we still prune the models. We will start by pruning the models based on the precalculated node importance's, this will be slightly too extreme. Therefore, we will prune the networks by re-calculating the node importance's after removing a node till no node improves the model when removed. Initially, we will do this exhaustively by finding the node when removed will improve the model the most and then removing it. This is very timeconsuming and we will therefore consider a "greedy" method that removes the first node that improves or does not affect the model, we will also start ignoring nodes that are quite important for the model in subsequent removals. For the sections on the pruning.

TODO (Describe the datasets used, and the model we consider. Talk about the what we will keep the same during the whole project and what is kept the same for this chapter)

### 3.1 Pruning Nodes at Random

TODO - Describe the algorithms used to remove the nodes randomly

#### 3.1.1 **MNIST**

Artificial Neural Network with single hidden layer of 128 nodes, using ADAM as optimizer with a learning rate of 0.001 trained on 5 epochs, batch size of 32. Final Accuracy and Loss on test set are: Loss: 0.0879 Accuracy: 0.9724

#### Algorithm 1: Removing a user defined number of random nodes

1 def removeRandomNodes(n, weights, to\_consider):

#### Input:

*n* is the number of nodes removed; *weights* are the weights of the model;

*to\_consider* is an array containing the nodes to consider in the random choosing

**Output:** The weights with the nodes removed (set to zero) and the positions of the nodes removed

```
/* Start of the code
                                                                     */
     to\_drop \leftarrow choose \ n \ from \ to\_consider \ without \ replacement
2
     for i in to_drop:
3
         weights[0][:,i] = 0;
                                 /* weights going to the node */
4
         weights[1][i] = 0;
                                     /* bias going to the node */
5
        weights[2][i,:] = 0;
                                 /* weights outgoing the node */
6
     return weights, to_drop
7
```

#### Algorithm 2: Shrinking the model by removing nodes randomly

#### Input:

*model* is the TensorFlow model of the neural network used; *acc* is the accuracy of the original model;

loss is the loss of the original model;

weights are the weights of the model;

*n* is the number of nodes removed at each step;

*to\_test* is an array containing the nodes to consider in the random choosing;

*x\_train* is the training dataset used;

*y\_train* is the labels of the training dataset used;

*v* defines whether are output should be verbose or not;

**Output:** The weights with the nodes removed (set to zero) and the number of nodes removed

```
/* Start of the code
                                                                          */
      best\ loss \leftarrow loss
2
      best\_acc \leftarrow acc
3
      best\_weights \leftarrow copy(weights)
4
      num\ removed \leftarrow 0
5
      to consider \leftarrow list from 0 to the number of nodes
6
      for i in to_drop:
7
          weights[0][:,i] = 0;
                                    /* weights going to the node */
8
          weights[1][i] = 0;
                                        /* bias going to the node */
9
                                    /* weights outgoing the node */
10
          weights[2][i,:] = 0;
      return best_weights, num_removed
11
```

	1	2	4	8	16	32	64
mean	0.9720	0.9714	0.9704	0.9681	0.9619	0.9427	0.8485
std	0.0009	0.0013	0.0020	0.0032	0.0056	0.0130	0.0417
min	0.9687	0.9624	0.9602	0.9523	0.9270	0.8861	0.6831
25%	0.9715	0.9708	0.9694	0.9664	0.9588	0.9364	0.8215
50%	0.9721	0.9717	0.9708	0.9685	0.9628	0.9448	0.8546
<b>75%</b>	0.9726	0.9724	0.9719	0.9704	0.9660	0.9526	0.8803
max	0.9736	0.9743	0.9744	0.9749	0.9724	0.9662	0.9328

Table 3.1: Long

#### Trial text

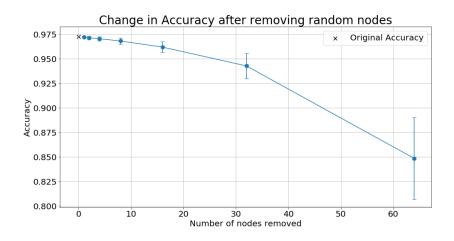


Figure 3.1: Testing

Trial text

	1	2	4	8	16	32	64
mean	0.0895	0.0914	0.0948	0.1024	0.1216	0.1794	0.4466
std	0.0026	0.0038	0.0058	0.0095	0.0164	0.0361	0.1074
min	0.0848	0.0839	0.0838	0.0844	0.0901	0.1127	0.2306
25%	0.0877	0.0887	0.0907	0.0957	0.1101	0.1516	0.3662
<b>50%</b>	0.0890	0.0907	0.0937	0.1012	0.1186	0.1738	0.4313
<b>75%</b>	0.0908	0.0932	0.0981	0.1069	0.1305	0.1980	0.5112
max	0.0997	0.1245	0.1292	0.1489	0.2233	0.3409	0.9883

Table 3.2: Long

Trial text

Trial text

Trial text

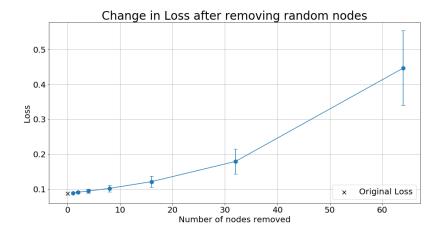


Figure 3.2: Testing

	1	2	3	4	8
mean	7.4000	8.9000	8.2500	5.8000	2.4000
std	1.6983	2.7891	2.7314	3.3023	3.7613
min	5.0000	4.0000	3.0000	0.0000	0.0000
25%	6.0000	7.5000	6.0000	4.0000	0.0000
<b>50%</b>	7.0000	8.0000	9.0000	4.0000	0.0000
<b>75%</b>	8.2500	10.0000	9.7500	8.0000	8.0000
max	11.0000	16.0000	12.0000	12.0000	8.0000

Table 3.3: Long

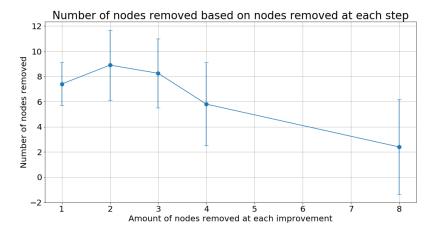


Figure 3.3: Testing

#### Trial text

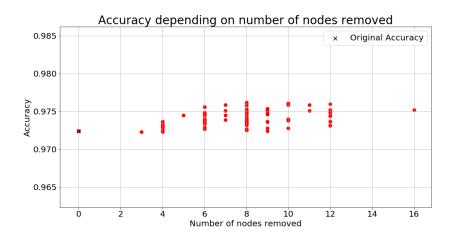


Figure 3.4: Testing

#### Trial text

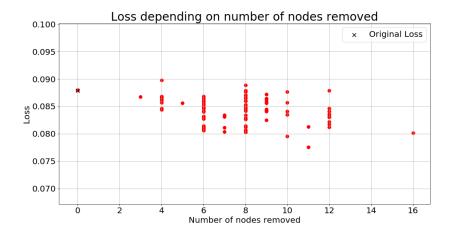


Figure 3.5: Testing

Trial text

#### 3.1.2 Fashion MNIST

Trial text

Trial text

Trial text

Trial text

There is trial text here

Trial text

Trial text

Trial text

	1	2	4	8	16	32	64
mean	0.8677	0.8667	0.8652	0.8618	0.8543	0.8295	0.7394
std	0.0021	0.0030	0.0042	0.0059	0.0096	0.0200	0.0443
min	0.8578	0.8470	0.8470	0.8405	0.8050	0.7382	0.5493
25%	0.8670	0.8653	0.8632	0.8582	0.8491	0.8190	0.7171
50%	0.8684	0.8674	0.8659	0.8626	0.8558	0.8336	0.7437
<b>75%</b>	0.8686	0.8685	0.8681	0.8663	0.8611	0.8441	0.7698
max	0.8726	0.8735	0.8745	0.8767	0.8747	0.8656	0.8307

Table 3.4: Long

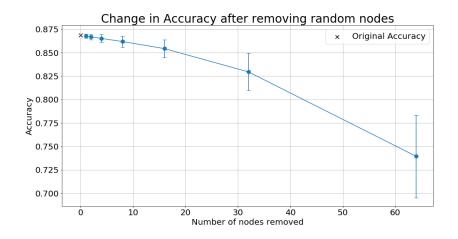


Figure 3.6: Testing

	1	2	4	8	16	32	64
mean	0.3578	0.3601	0.3643	0.3730	0.3939	0.4607	0.7062
std	0.0049	0.0070	0.0098	0.0137	0.0228	0.0495	0.1182
min	0.3459	0.3452	0.3434	0.3448	0.3515	0.3725	0.4730
25%	0.3557	0.3559	0.3576	0.3629	0.3772	0.4245	0.6235
50%	0.3564	0.3584	0.3625	0.3711	0.3900	0.4504	0.6867
<b>75%</b>	0.3591	0.3632	0.3693	0.3811	0.4060	0.4857	0.7619
max	0.3791	0.4055	0.4035	0.4297	0.5106	0.7079	1.3649

Table 3.5: Long

Trial text

### 3.2 Estimating Node Importance based on Loss

TODO - Describe the algorithms used

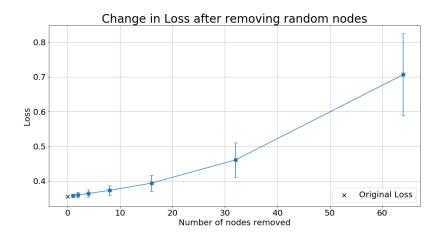


Figure 3.7: Testing

	1	2	3	4	8
mean	5.5000	8.6000	10.6500	8.400	5.6000
std	1.5728	1.8468	3.1502	3.409	3.7613
min	2.0000	6.0000	3.0000	4.000	0.0000
25%	5.0000	8.0000	9.0000	7.000	0.0000
50%	5.5000	8.0000	12.0000	8.000	8.0000
<b>75%</b>	6.2500	10.0000	12.0000	12.000	8.0000
max	8.0000	12.0000	18.0000	16.000	8.0000

Table 3.6: Long

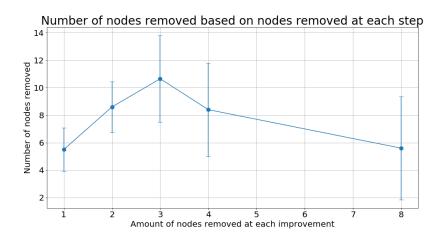


Figure 3.8: Testing

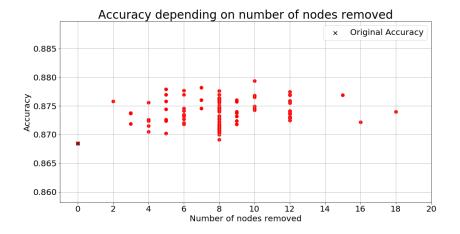


Figure 3.9: Testing

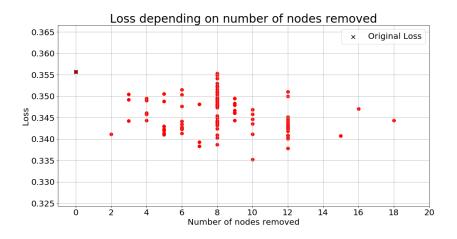


Figure 3.10: Testing

### 3.3 Pruning network with pre-calculated importance

TODO - Describe the algorithms used

### 3.4 Pruning Nodes based on the Loss

TODO - Describe the algorithms used

# 3.5 Greedy approach to pruning instead of Exhaustive approach

TODO - Describe the algorithms used

## **Multi-Layer Perceptron**

- 4.1 Effects of Changing Training Batch Size on Node Importance
- 4.2 Effects of Using Dropout
- 4.3 Iterative weight initialization using Node importance

### **Convolutional Neural Network**

- 5.1 Looking at effects of per class accuracy after pruning
- 5.2 Pruning based on class accuracy

Case study: Reducing a VGG-16 model trained on X dataset

## Conclusion

- 7.1 Summary
- 7.2 Future Works