

Anomaly Detection Through Explanations

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Agenda

Motivate problem: Systems are imperfect

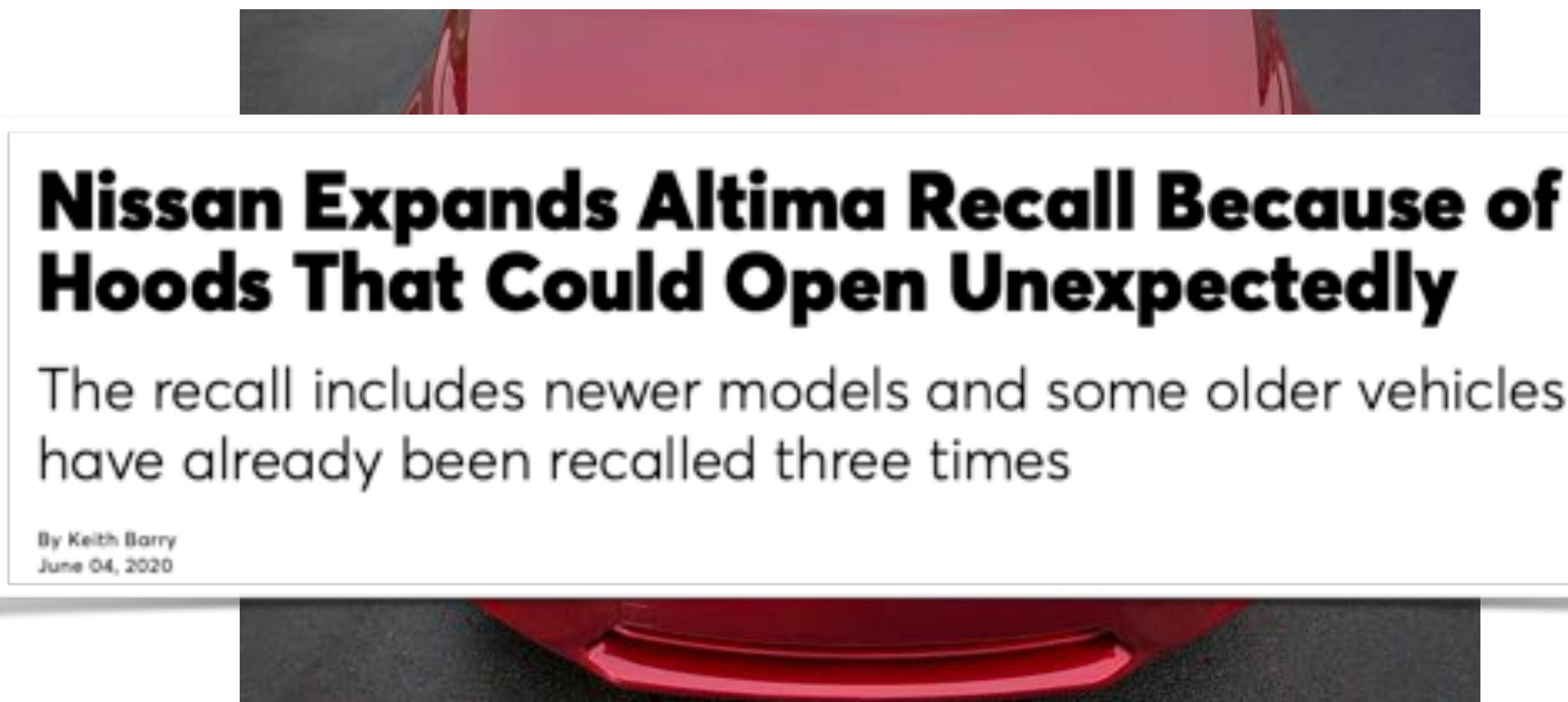
Local sanity checks

System-architecture for failure detection.

Vision: Articulate systems by design.

Question: How to develop self-explaining architectures that more adaptable, more robust, and interpretable?

Complex Systems Fail in Complex Ways



Nissan Expands Altima Recall Because of Hoods That Could Open Unexpectedly

The recall includes newer models and some older vehicles that have already been recalled three times

By Keith Barry
June 04, 2020

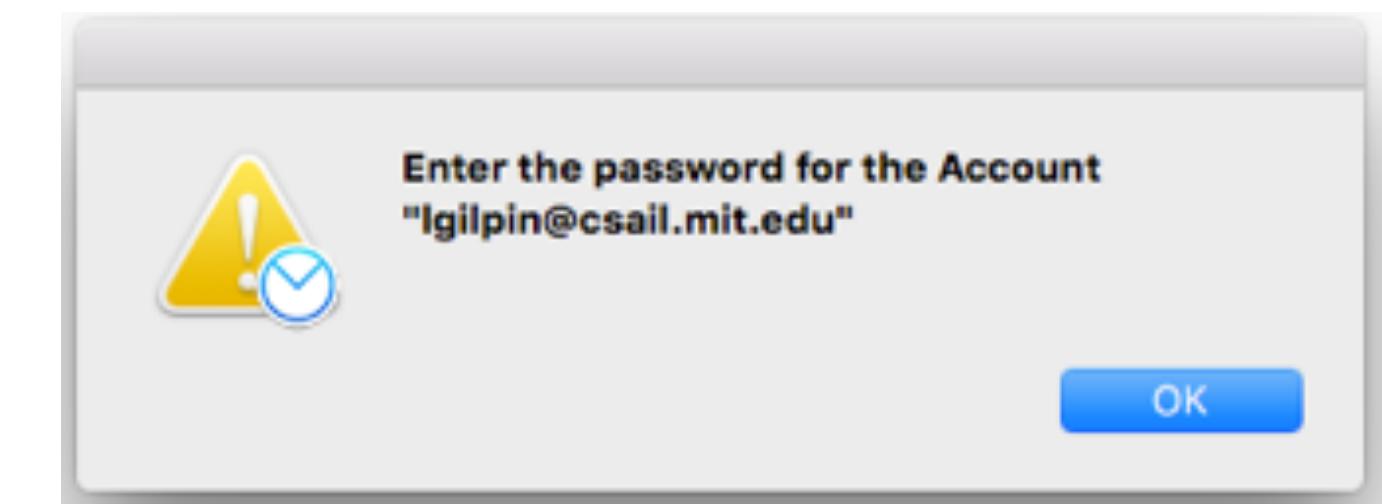
AI Mistakes Bus-Side Ad for Famous CEO, Charges Her With Jaywalking

By Tang Ziyi / Nov 22, 2018 04:17 PM / Society & Culture



```
Last login: Tue Feb  7 15:37:57 on ttys000
30-9-198:~ lgilpin$ sudo mkdir /usr/bin/jemdoc
Password:
mkdir: /usr/bin/jemdoc: Operation not permitted
30-9-198:~ lgilpin$
```

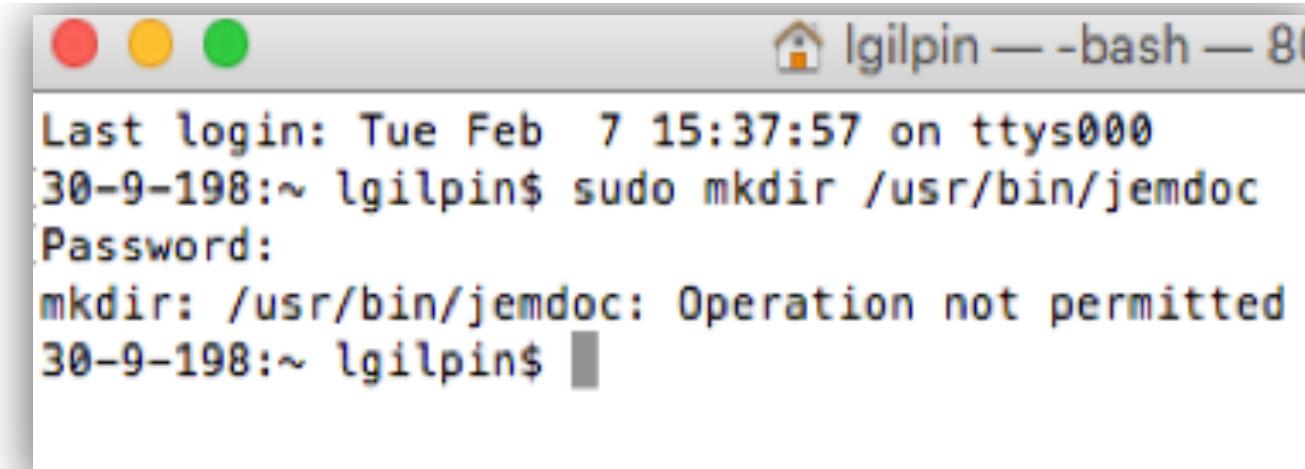
OS Upgrade (Version Skew)



Imprecise (Certificate Missing)

Existing Software Solutions are Rigid

Verification, Unit Testing, Diagnostics

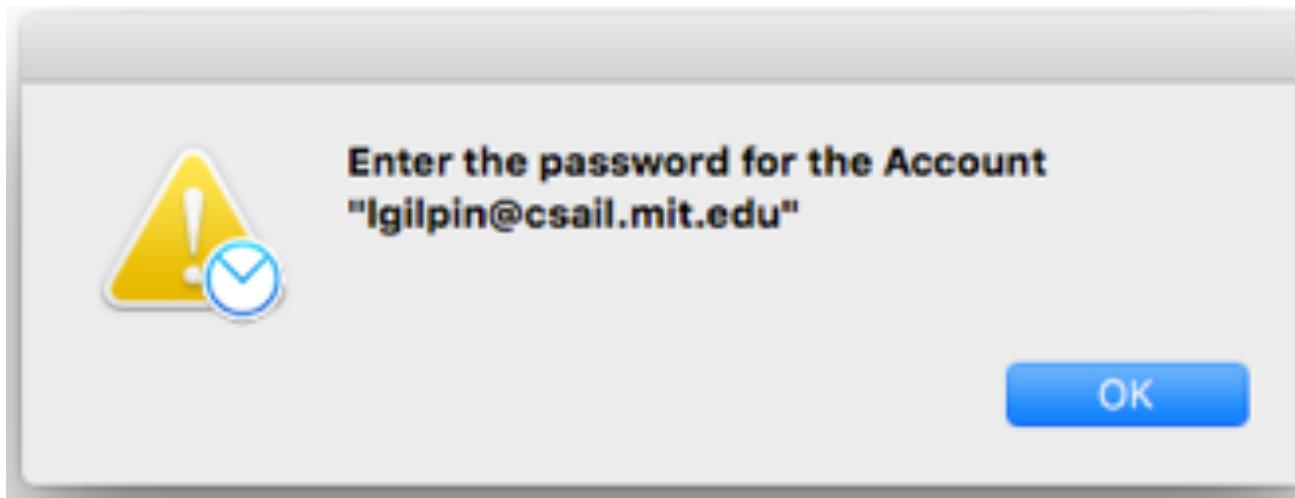


```
lgilpin — bash — 80
Last login: Tue Feb  7 15:37:57 on ttys000
30-9-198:~ lgilpin$ sudo mkdir /usr/bin/jemdoc
Password:
mkdir: /usr/bin/jemdoc: Operation not permitted
30-9-198:~ lgilpin$
```

OS Upgrade (Version Skew)

Result: Strong guarantees
and provable properties

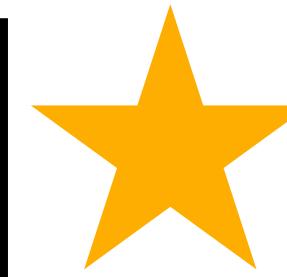
Problem: Impossible to
test all failure modes in
open environments



Imprecise (Certificate Missing)

Autonomous Vehicle Solutions are at Two Extremes

Very comfortable



Serious safety lapses led to Uber's fatal self-driving crash, new documents suggest

Comfort

Problem: Need better common sense and reasoning

Not comfortable

My Herky-Jerky Ride in General Motors' Ultra-Cautious Self Driving Car

GM and Cruise are testing vehicles in a chaotic city, and the tech still has a ways to go.

Not cautious

Cautious



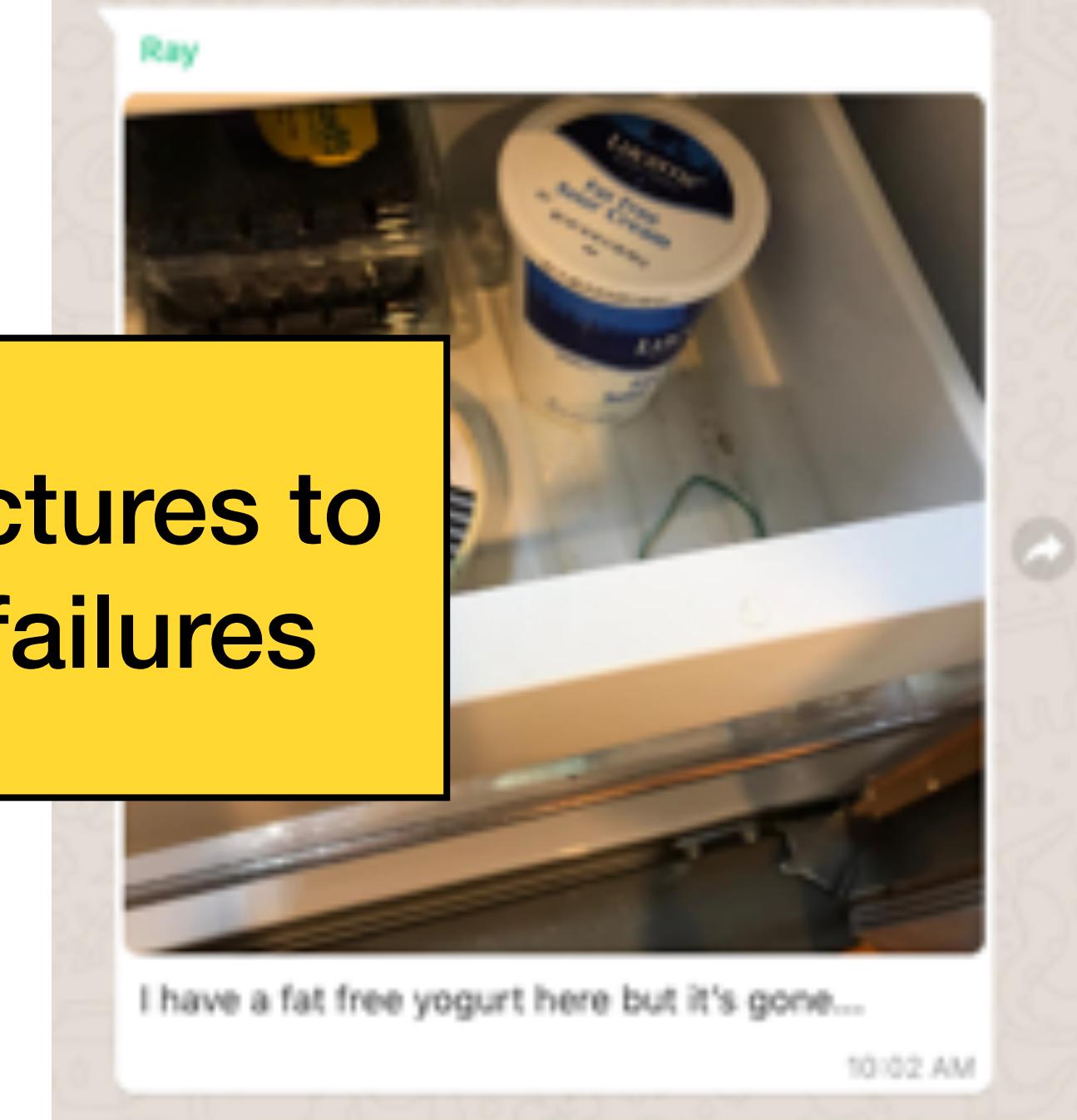
Very cautious

Complex Systems Include People

Misalignment of Expectations



Lack of communication

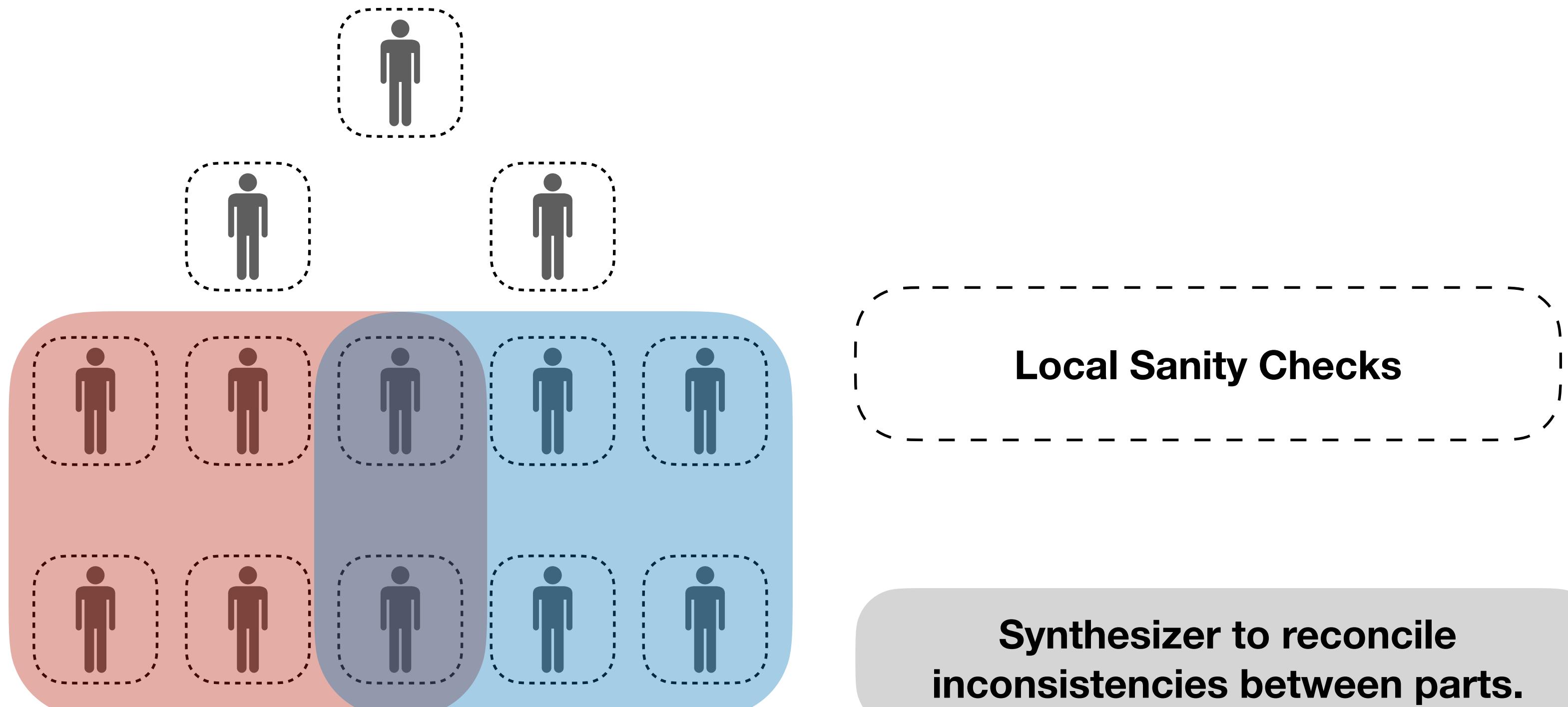


Expectation

Solution: Built-in structures to deal with flaws and failures

Architecture Inspired by Human Organizations

Communication and Sanity Checks



1. Hierarchy of overlapping committees.
2. Continuous interaction and communication.
3. When failure occurs, a story can be made, combining the members' observations.

An Architecture to Mitigate Common Problems

Synthesizer to reconcile
inconsistencies between parts.



Local Sanity Checks



Reconcile conflicting reasons.

Justify new examples.

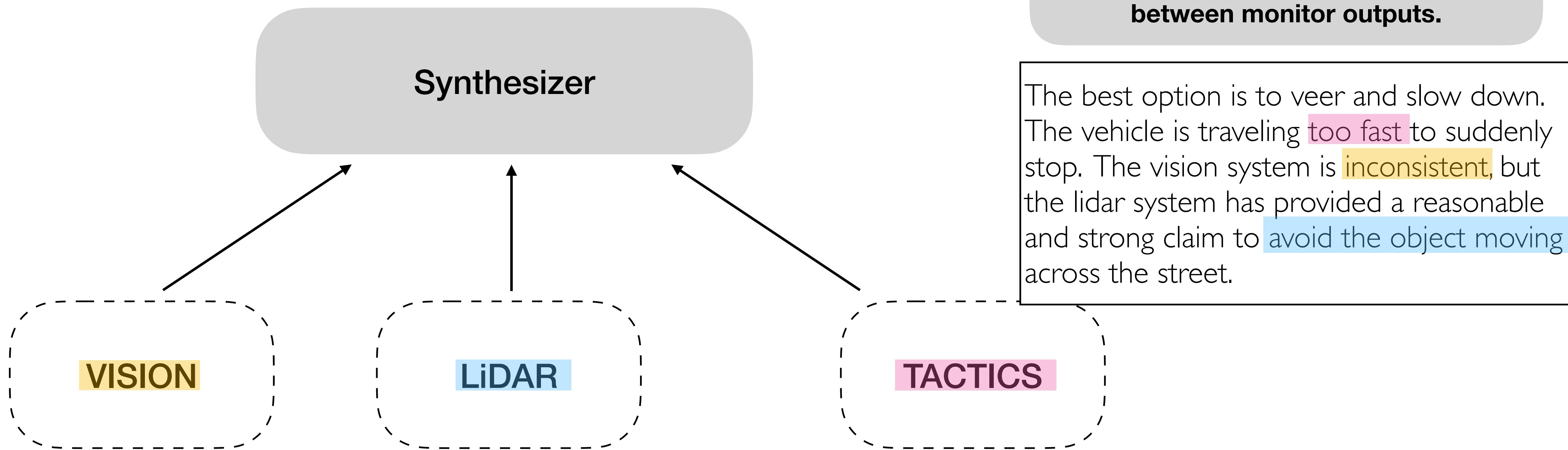
An Existing Problem

The Uber Accident

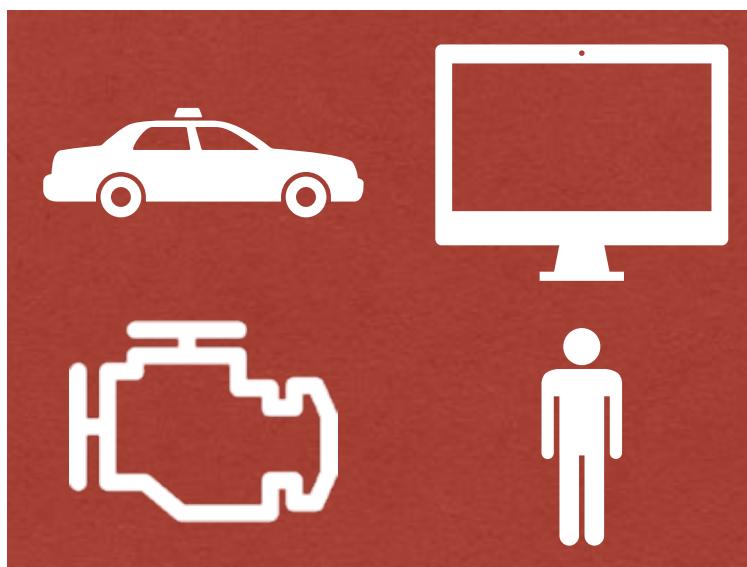


Solution: Internal Communication

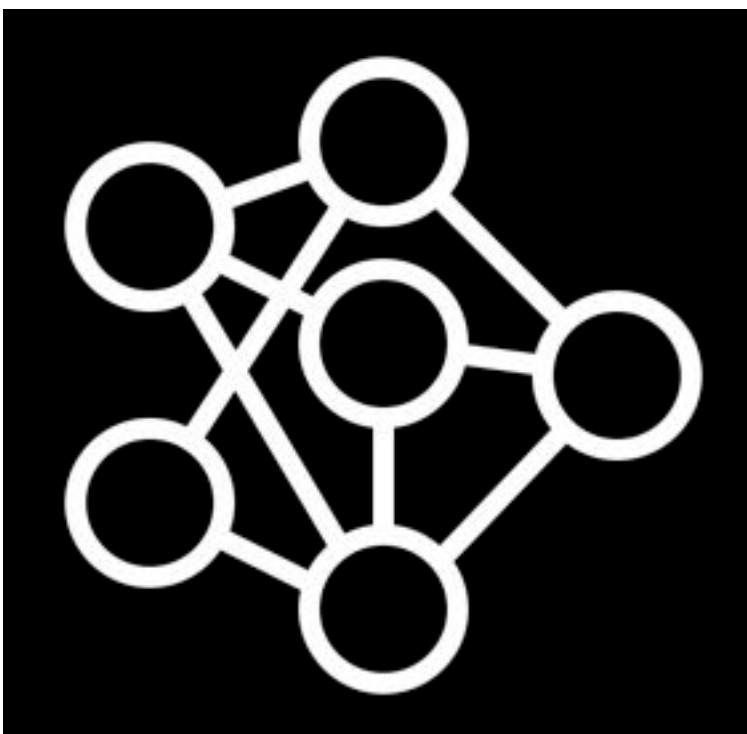
Anomaly Detection through Explanations



Defense Outline



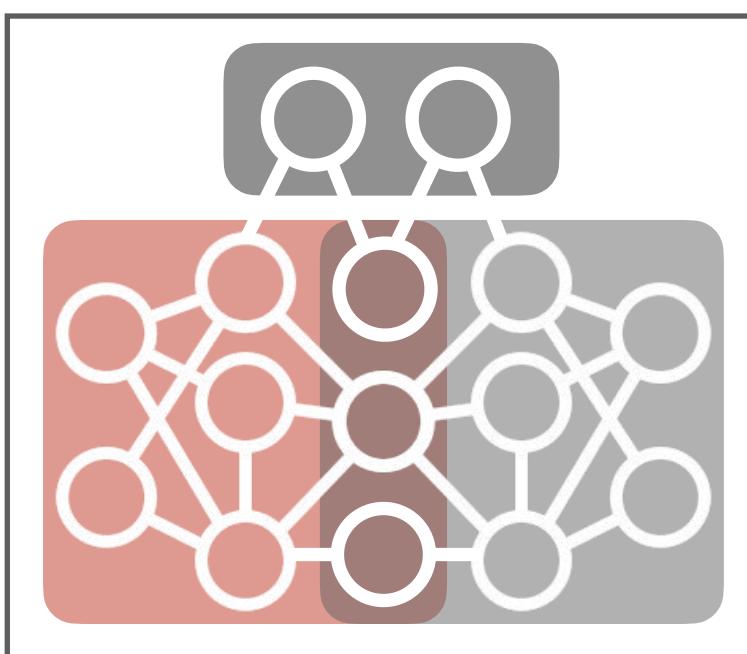
Problem: Complex systems are imperfect.



Error detection for local subsystems.

Opaque subsystems.

Sensor subsystem interpretation.



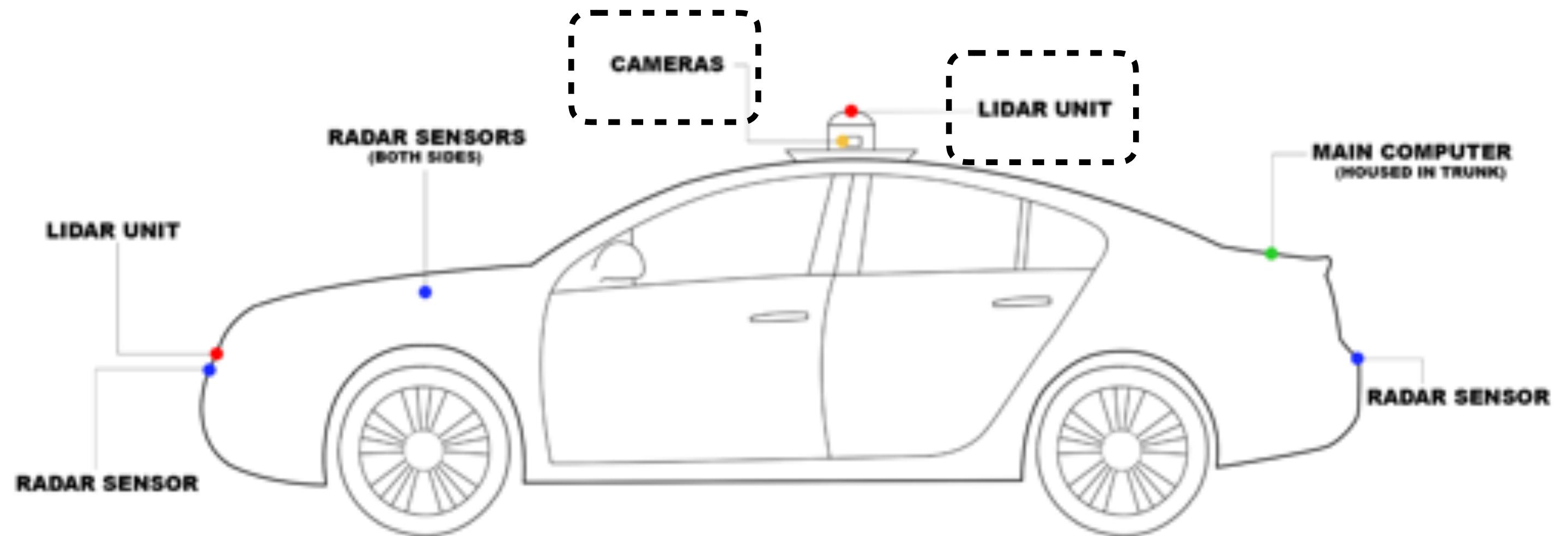
System-wide failure detection.

Vision: Articulate systems by design.

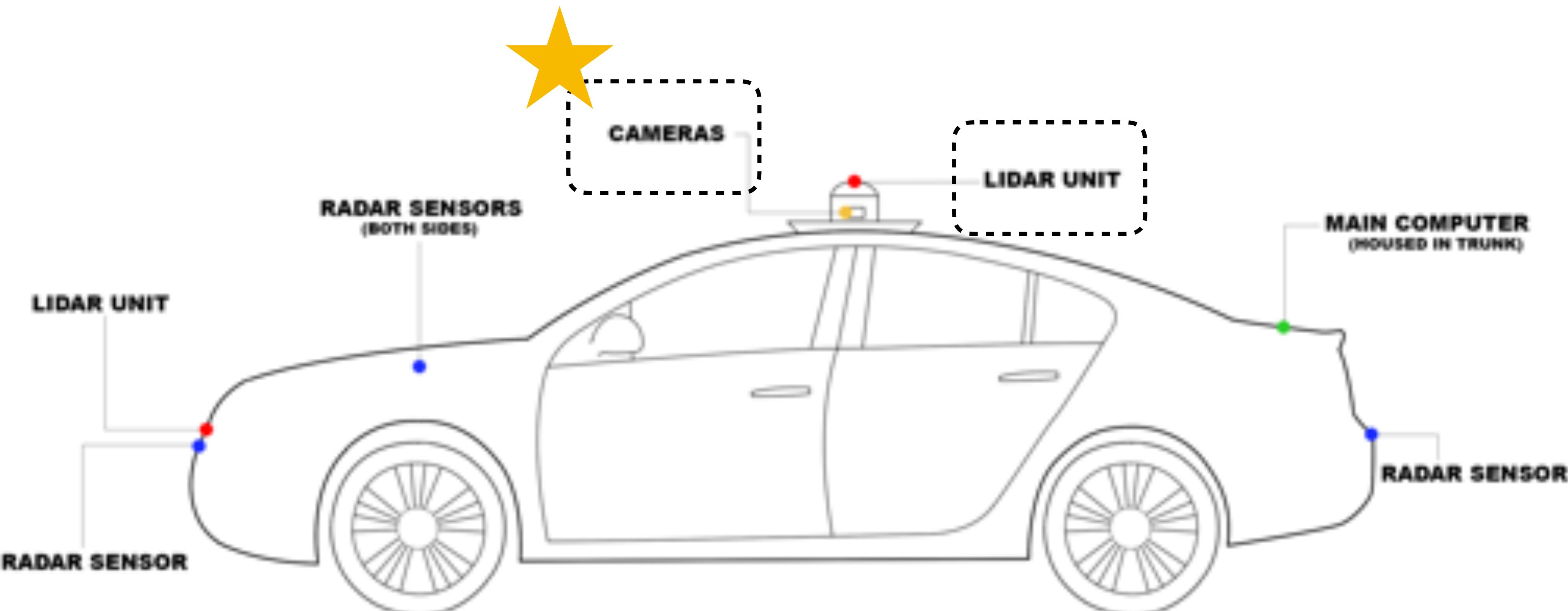
Complex Systems Fail in Two Ways



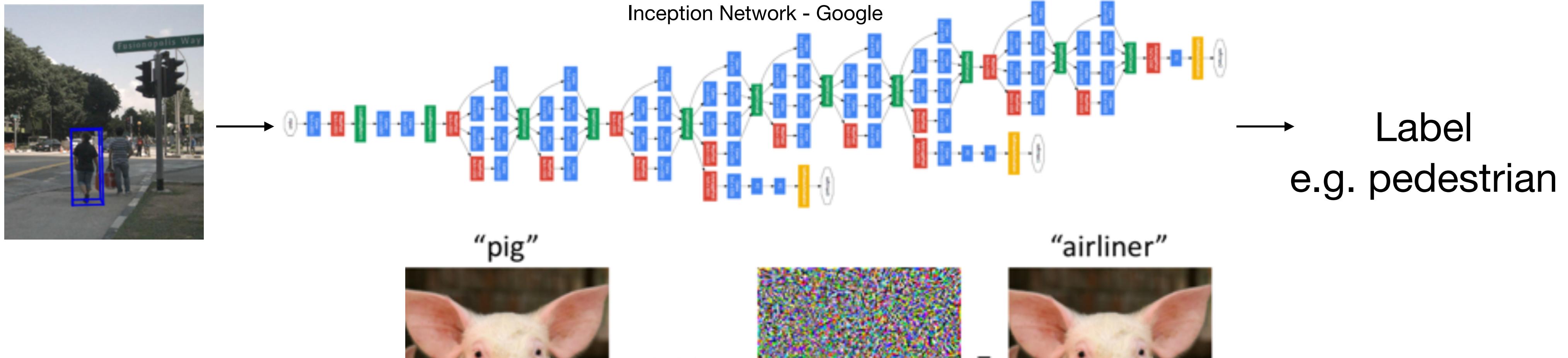
1. Failure *local* to a specific subsystem.
2. A failed *cooperation* amongst subsystems.



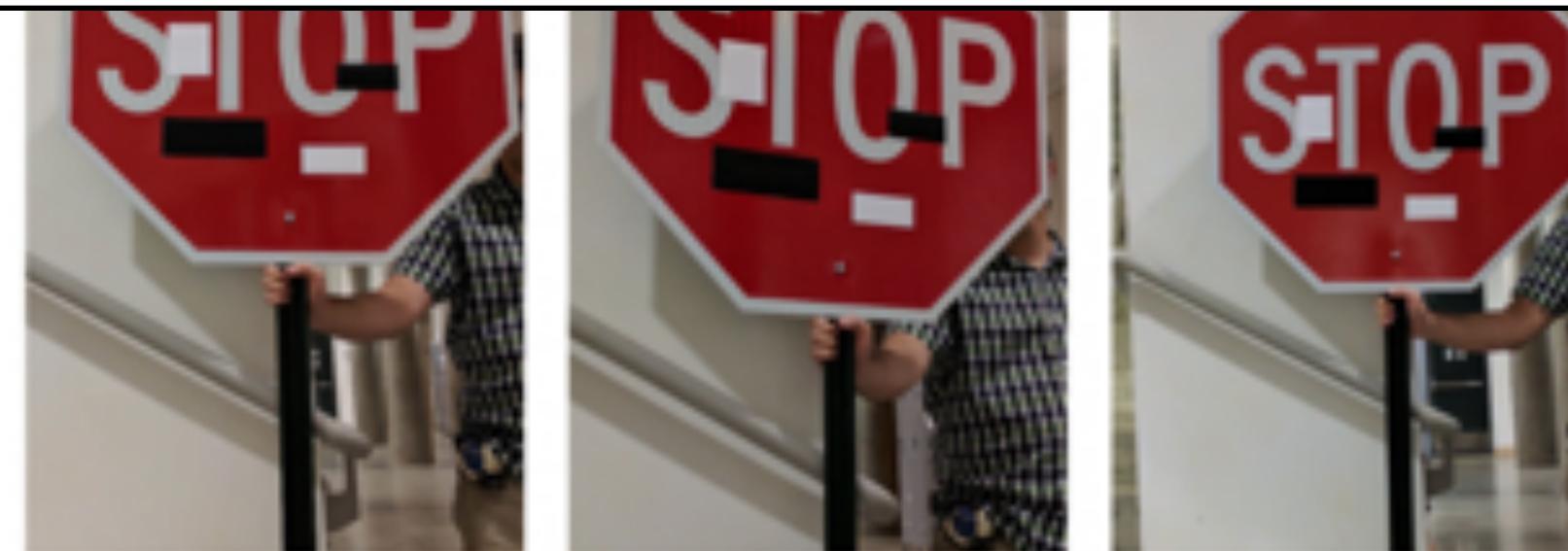
A Neural Network Labels Camera Data



Problem: Neural Networks are Brittle



For self-driving, and other mission-critical, safety-critical applications, these mistakes have CONSEQUENCES.

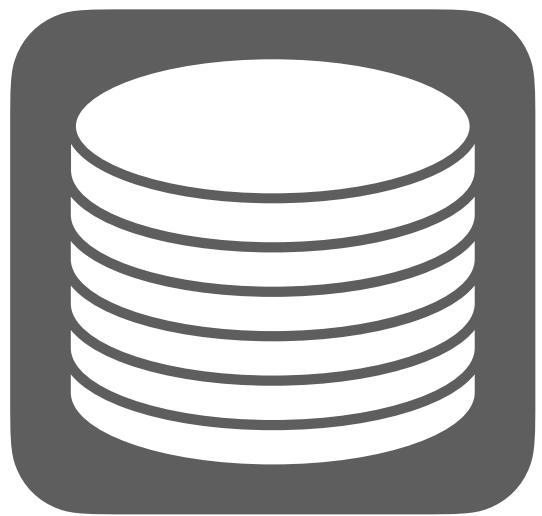


K. Eykholt et al. "Robust Physical-World Attacks on Deep Learning Visual Classification."

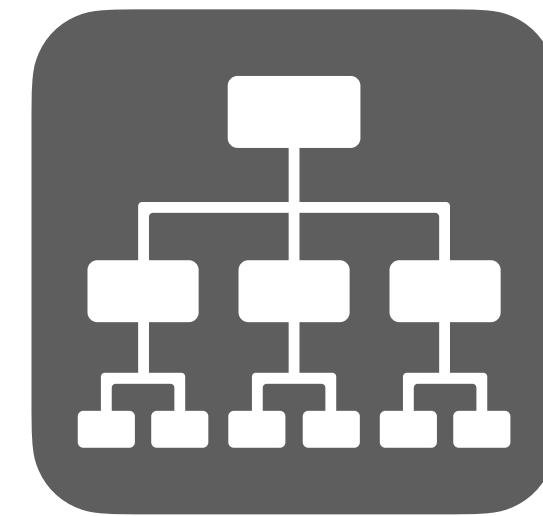
Monitor Opaque Subsystems for Reasonableness



Opaque
Mechanism



Commonsense
Knowledge Base



Flexible
Representation



Identify
(Un)reasonability



Justify
(Un)reasonability

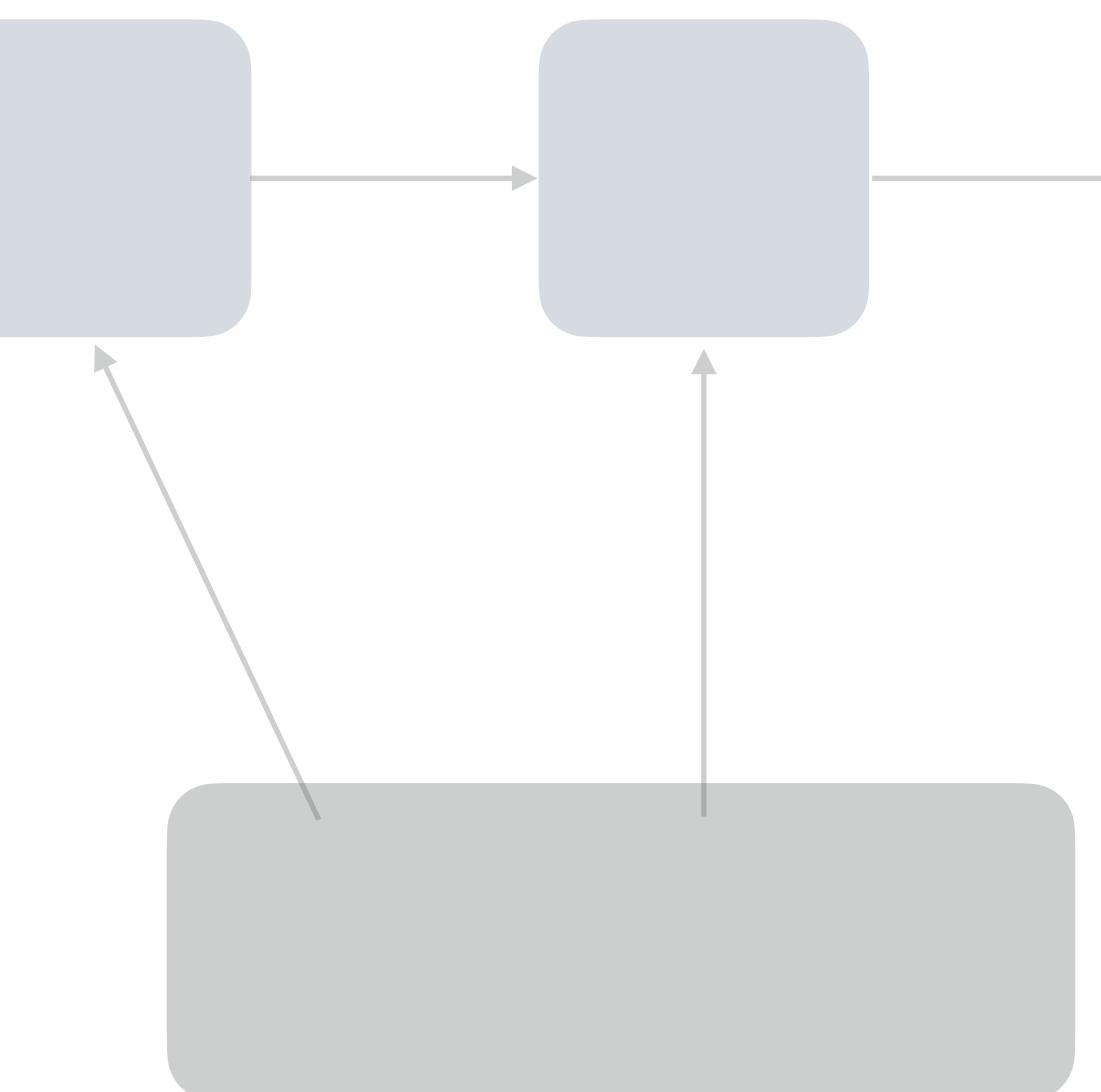
1. Judgement of reasonableness
2. Justification of reasonableness

Flexible Representation

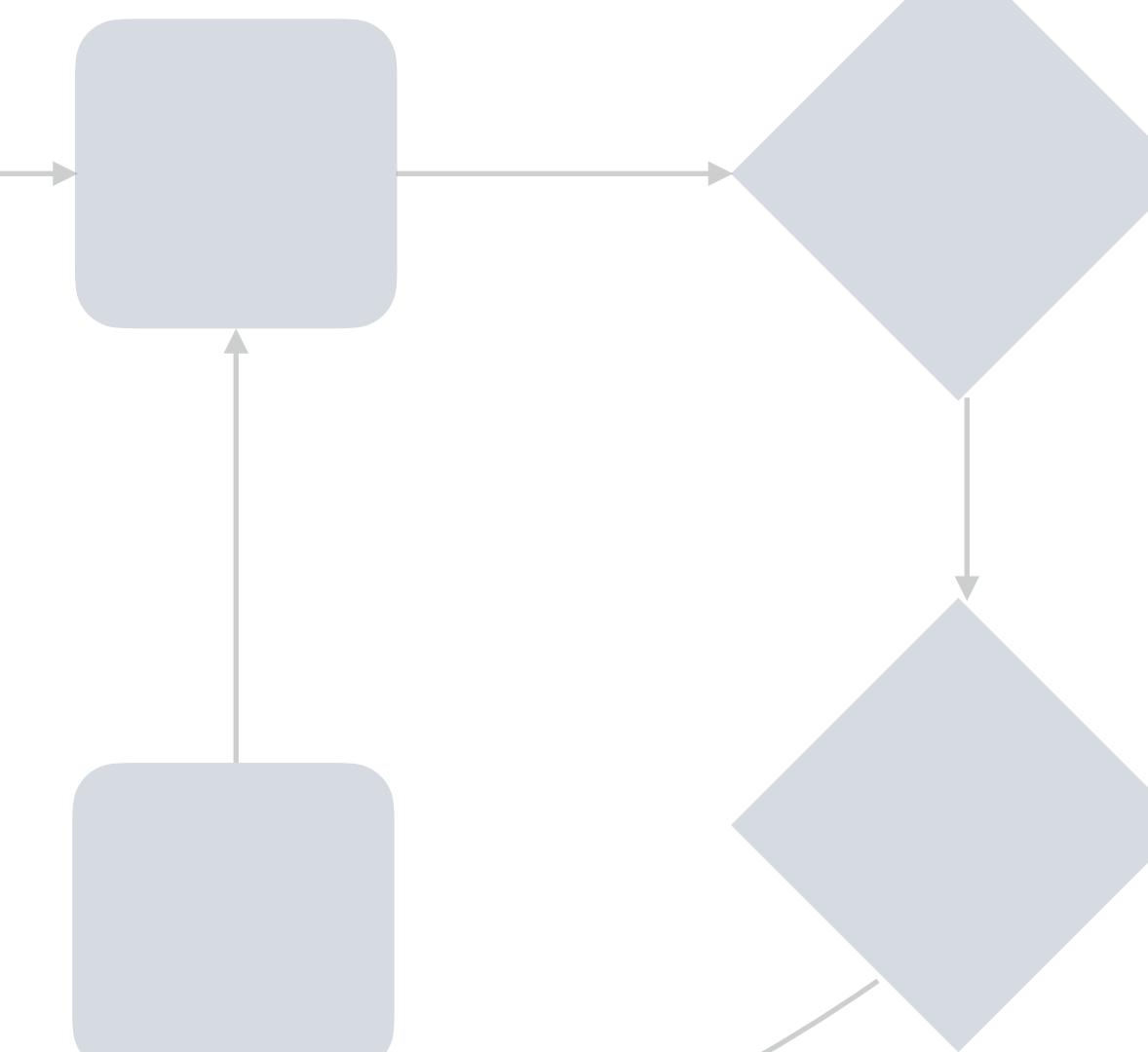
Identify (Un)reasonability

Justify (Un)reasonability

Opaque Mechanism



Supplement with Commonsense Knowledge Base



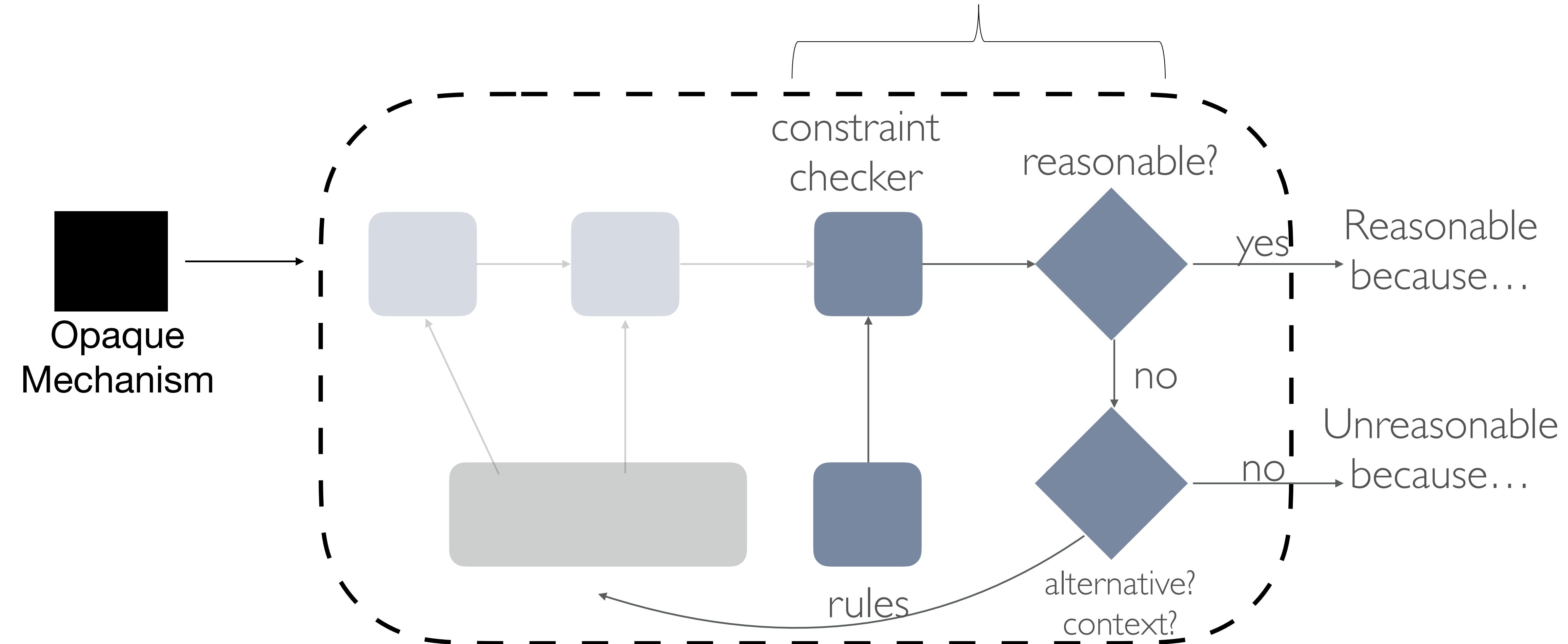
Reasonable because...

Unreasonable because...

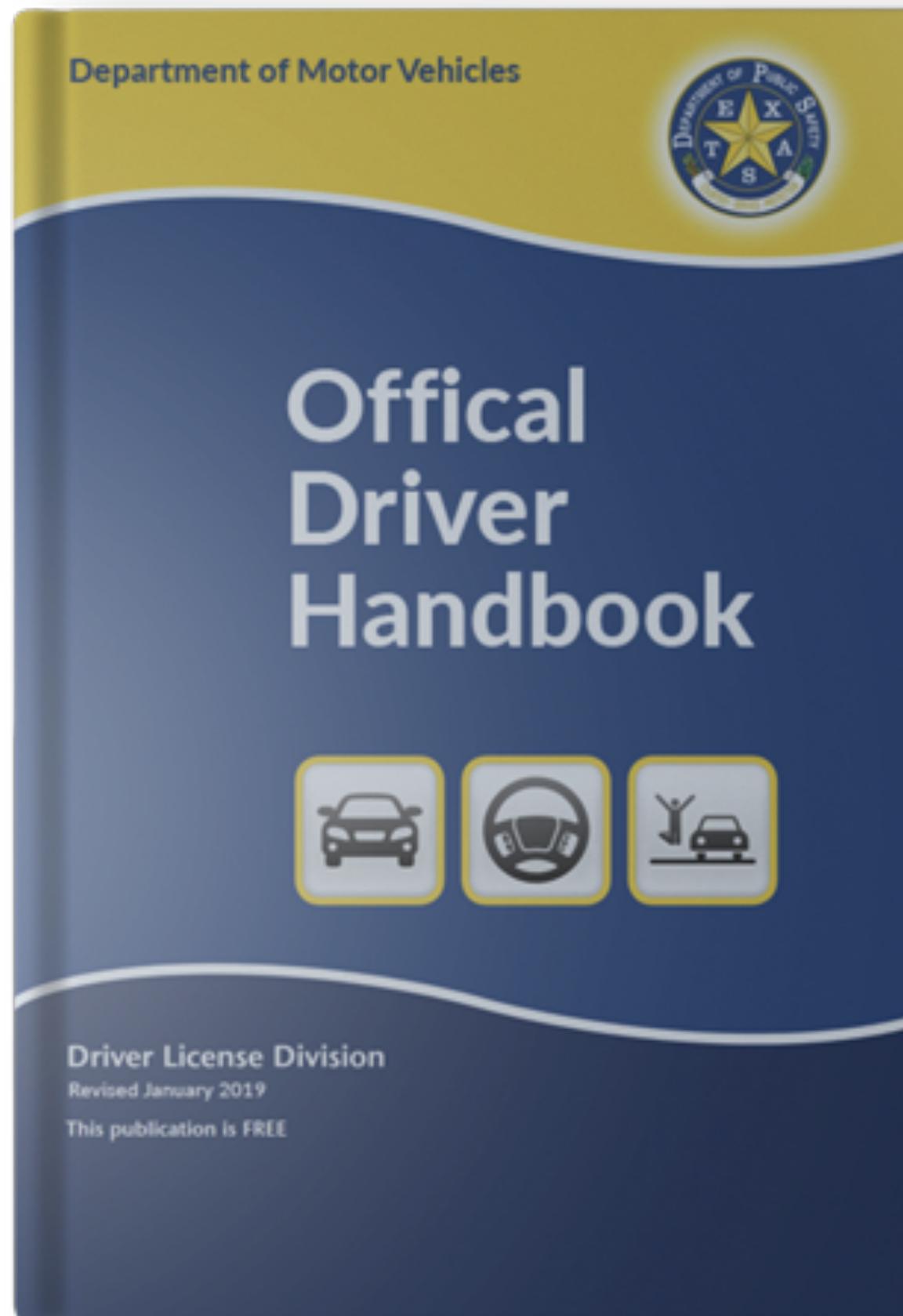
Reasonable because...

Unreasonable because...

Identify (Un)reasonability



Identify (Un)reasonability

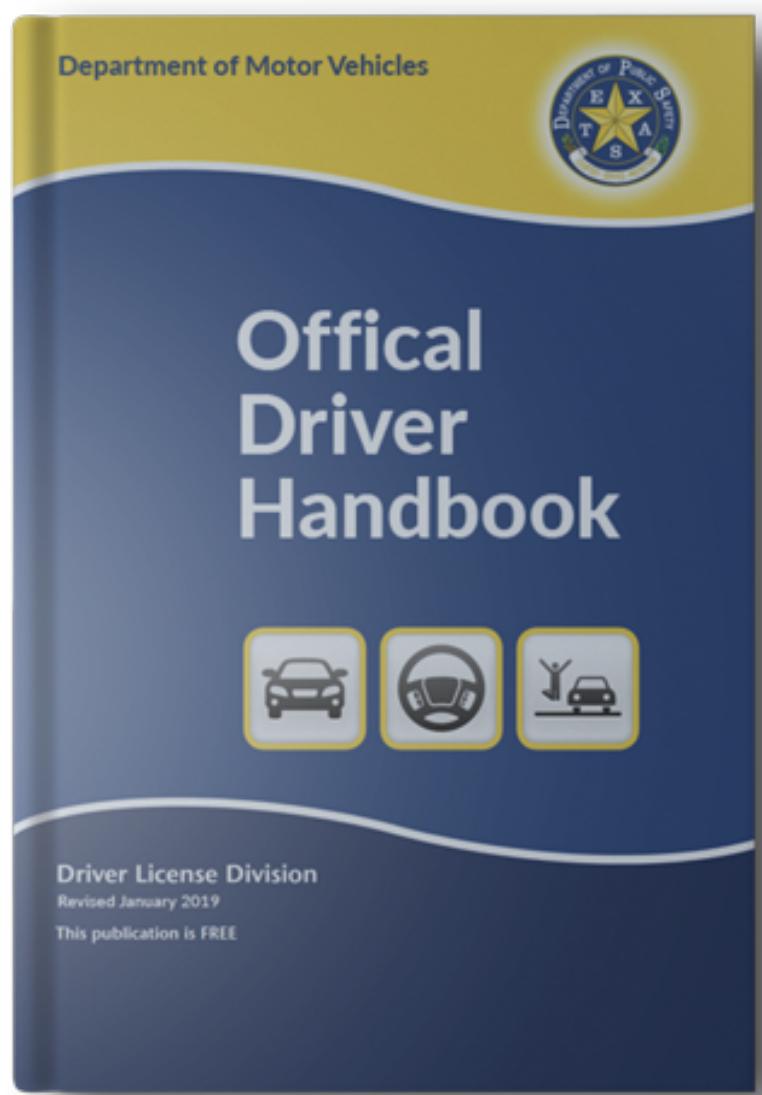


Start with Baseline Rules

1. Automatically parsed pdf text.
 1. Searched for key concepts.
 2. Generated rules.
2. I manually validated the generated rules.

Identify (Un)reasonability

Start with Baseline Rules



```
:safe_car_policy a air:Policy;
    air:rule :light-rule;
    air:rule :pedestrian-rule;
    air:rule :speed-rule;
    rdfs:comment "Safe driving tactics";
    rdfs:label "Safe driving tactics by the state of MA."

:pedestrian-rule a air:Belief-rule;
    rdfs:comment "Ensure that pedestrians are safe.";
    air:if {
        :EVENT a :V;
        car_ont:InPathOf :V.
    };
    air:then [
        air:description ("There is a pedestrian");
        air:assert [air:statement{:Event
            air:compliant-with :safe_car_policy .}]] .
    air:else [
        air:description ("There is not a pedestrian");
        air:assert [air:statement{:Event
            air:non-compliant-with :safe_car_policy .}]] .
```

+ reasoner

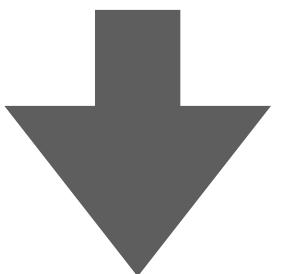
<http://dig.csail.mit.edu/2009/AIR/>

Identify (Un)reasonability

Baseline rule

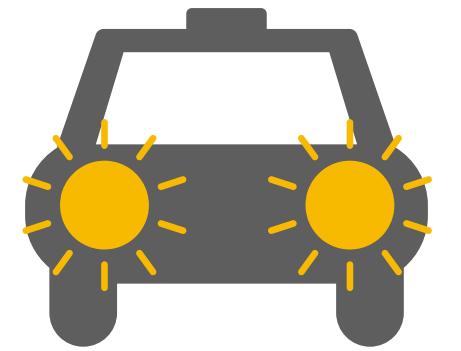


Flashing high beams

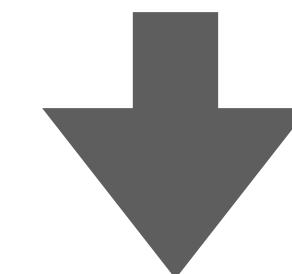


Turn on lights

New rule



Flashing high beams



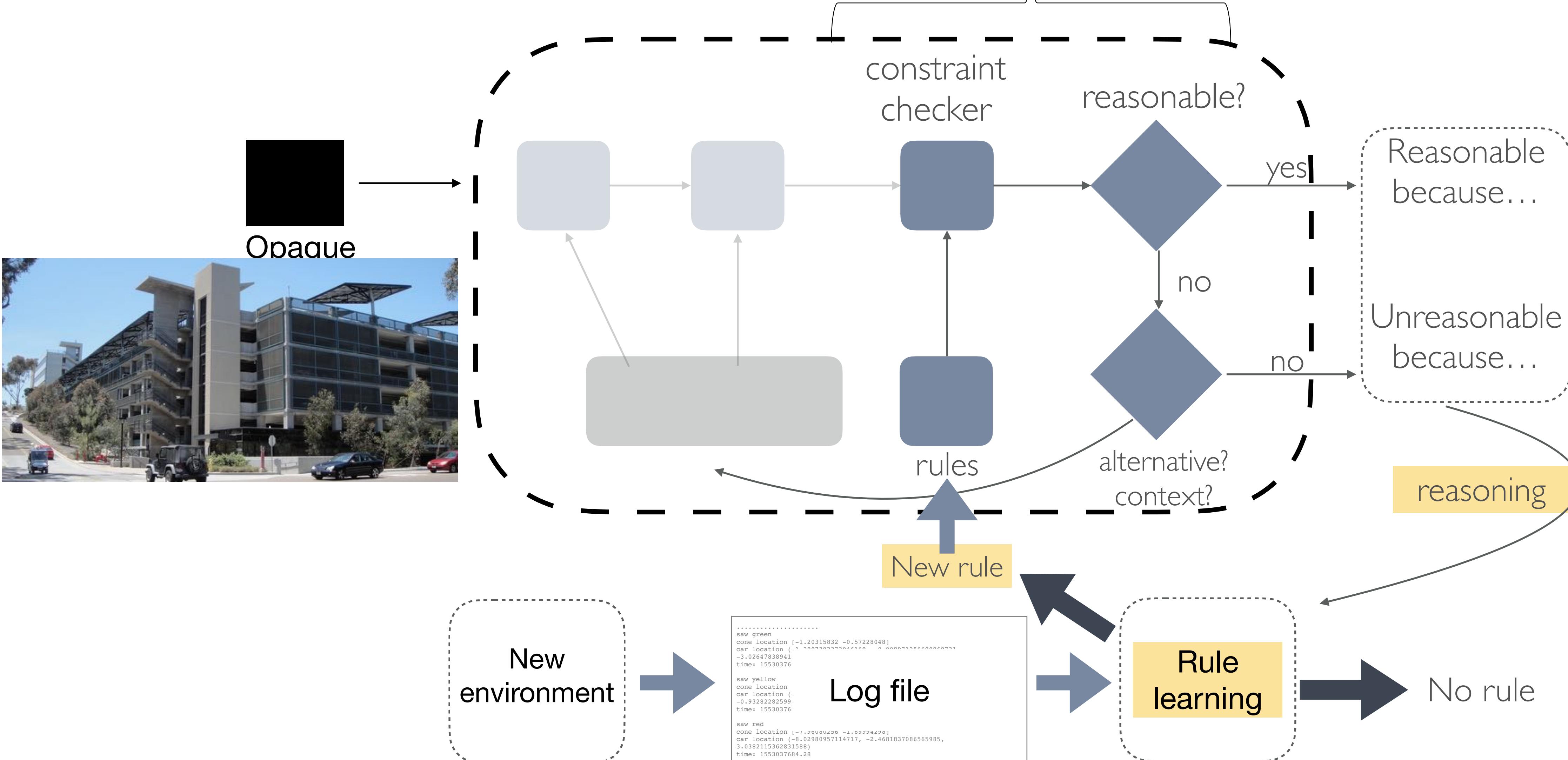
Warning signal



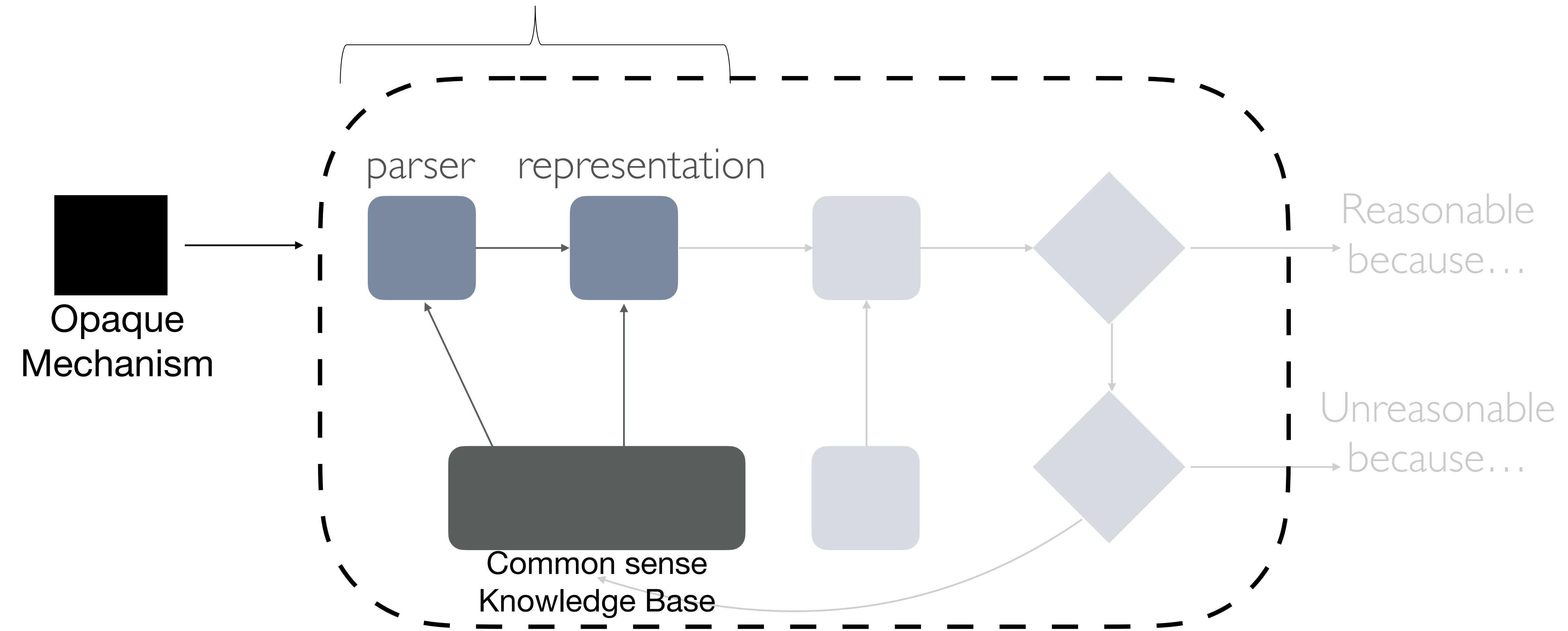
Learn Rules

Learn Rules

Identify (Un)reasonability



Flexible Representation



Primitive Representations

Encode Understanding

*Conceptual Dependency Theory
(CD), Schank 1975*

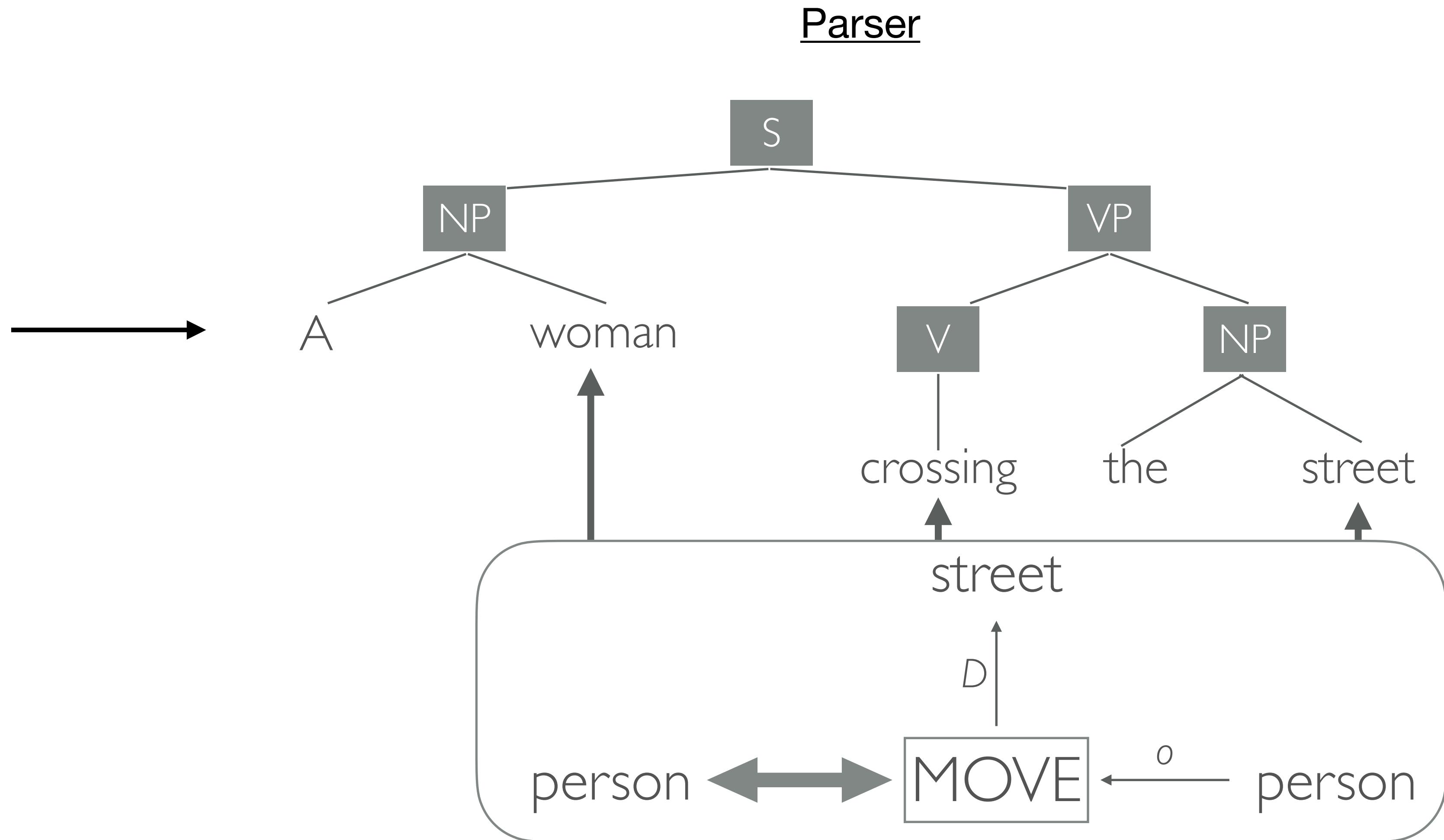
11 primitives to account for *most* actions:

ATRANS
ATTEND
INGEST
EXPEL
GRASP
MBUILD
MTRANS
MOVE
PROPEL
PTRANS
SPEAK

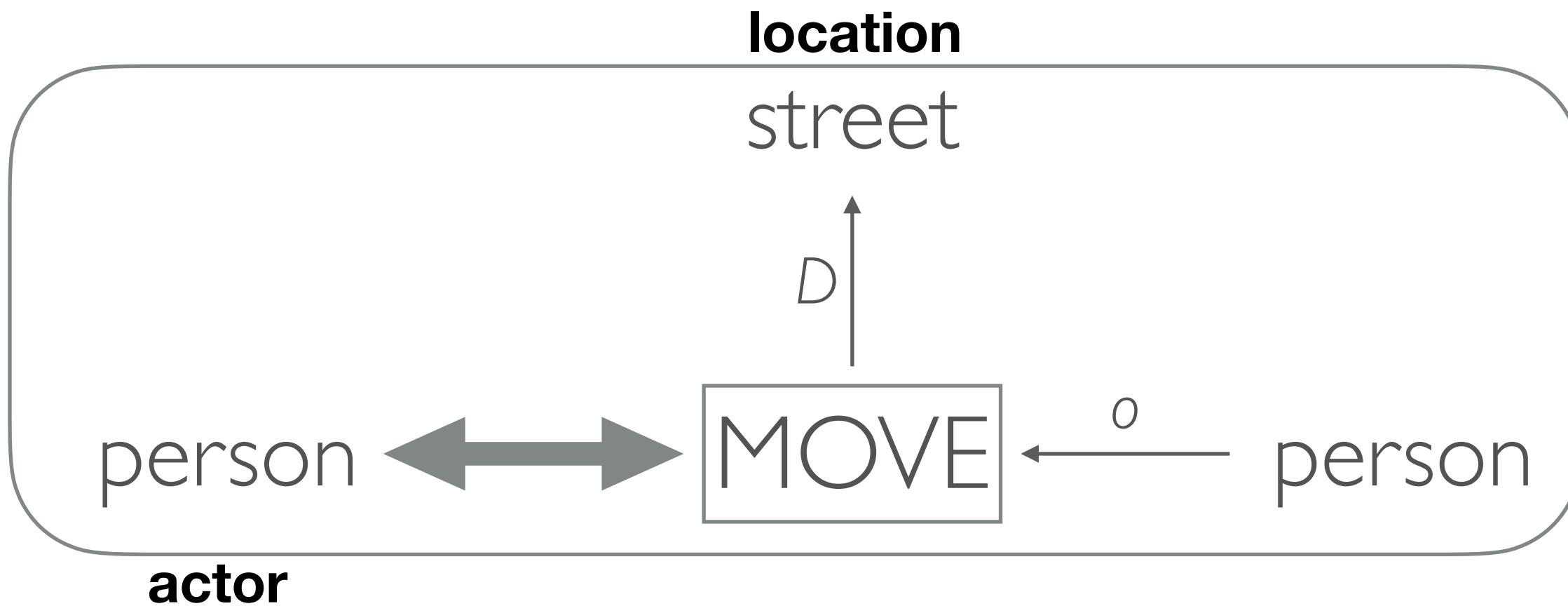
5 for physical actions

Extended to vehicle primitives

Parse Natural Language into Representation



Representations with Implicit Rules



A perceived frame is
REASONABLE

$$\begin{aligned} & ((x_1, p_1, y_1), \mathbf{isA}, \mathbf{REASONABLE}) \wedge \\ & ((x_2, p_2, y_2), \mathbf{isA}, \mathbf{REASONABLE}) \wedge \\ & \dots \wedge \\ & ((x_n, p_n, y_n), \mathbf{isA}, \mathbf{REASONABLE}) \end{aligned}$$

Move Primitive Reasonability

$$(x, hasProperty, animate) \wedge (x, locatedNear, y) \Rightarrow ((x, MOVE, y) \text{ isA, REASONABLE})$$

actor location

Implementing Reasonableness Monitors For Real-world Error Detection

- End-to-end prototype
 - Machine perception
 - Represented with Schank conceptual dependency primitives.
- Generalized framework
 - Reusable web standards
 - Extended Schank representations

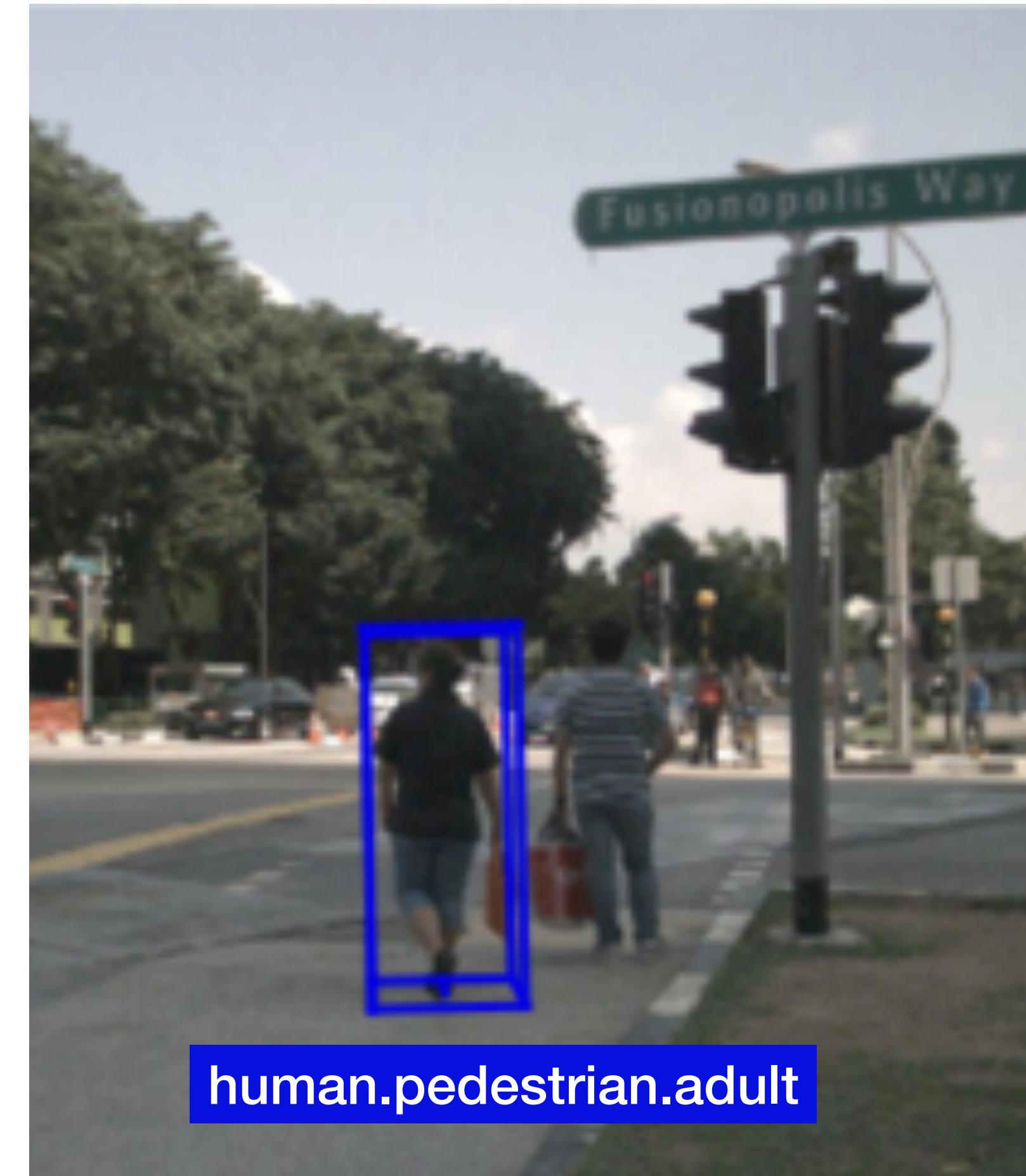
L.H. Gilpin, J.C. Macbeth and E. Florentine. “Monitoring scene understanders with conceptual primitive decomposition and commonsense knowledge.” ACS 2018.

L.H. Gilpin and L. Kagal. “An Adaptable Self-Monitoring Framework for Opaque Machines.” AAMAS 2019.

Reasonableness Monitoring on Real Data

NuScenes

```
{'token': '70aecbe9b64f4722ab3c230391a3beb8',
'sample_token': 'cd21dbfc3bd749c7b10a5c42562e0c42',
'instance_token': '6dd2cbf4c24b4caeb625035869bca7b5',
'vesibility_token': '4',
'attribute_tokens': ['4d8821270b4a47e3a8a300cbec48188e'],
'translation': [373.214, 1130.48, 1.25],
'size': [0.621, 0.669, 1.642],
'rotation': [0.9831098797903927, 0.0, 0.0, -0.18301629506281616],
'prev': 'a1721876c0944cdd92ebc3c75d55d693',
'next': '1e8e35d365a441a18dd5503a0ee1c208',
'num_lidar_pts': 5,
'num_radar_pts': 0,
'category_name': 'human.pedestrian.adult'}
```



Data from NuScenes

Commonsense is Unorganized

ConceptNet

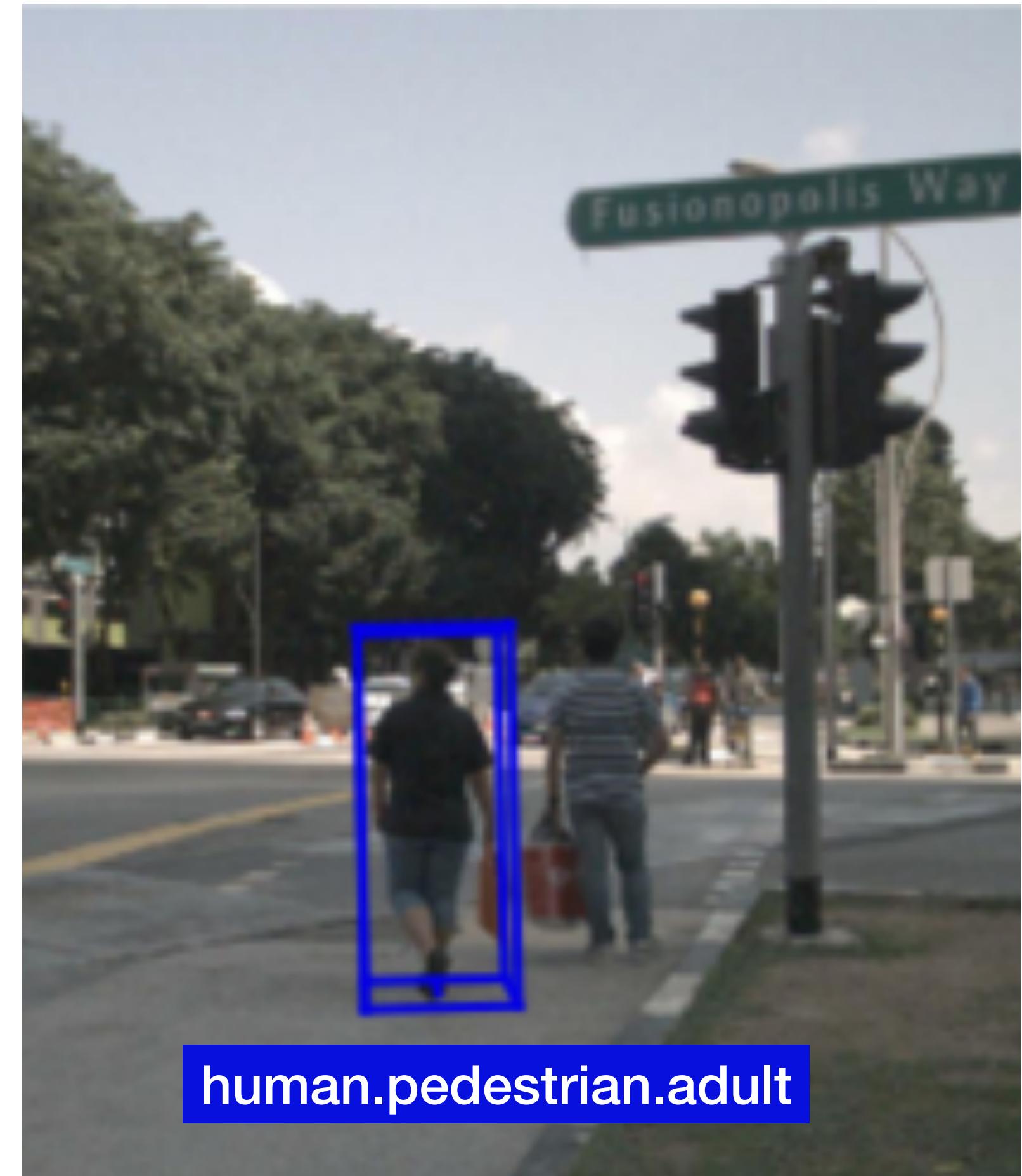
adult is a type of...

- [en] animal (n, wn) →
- [en] person (n, wn) →
- [en] animal (n) →

```
('adult', 'typeOf', 'animal')
('adult', 'isA', 'bigger than a child')
...  
)
```

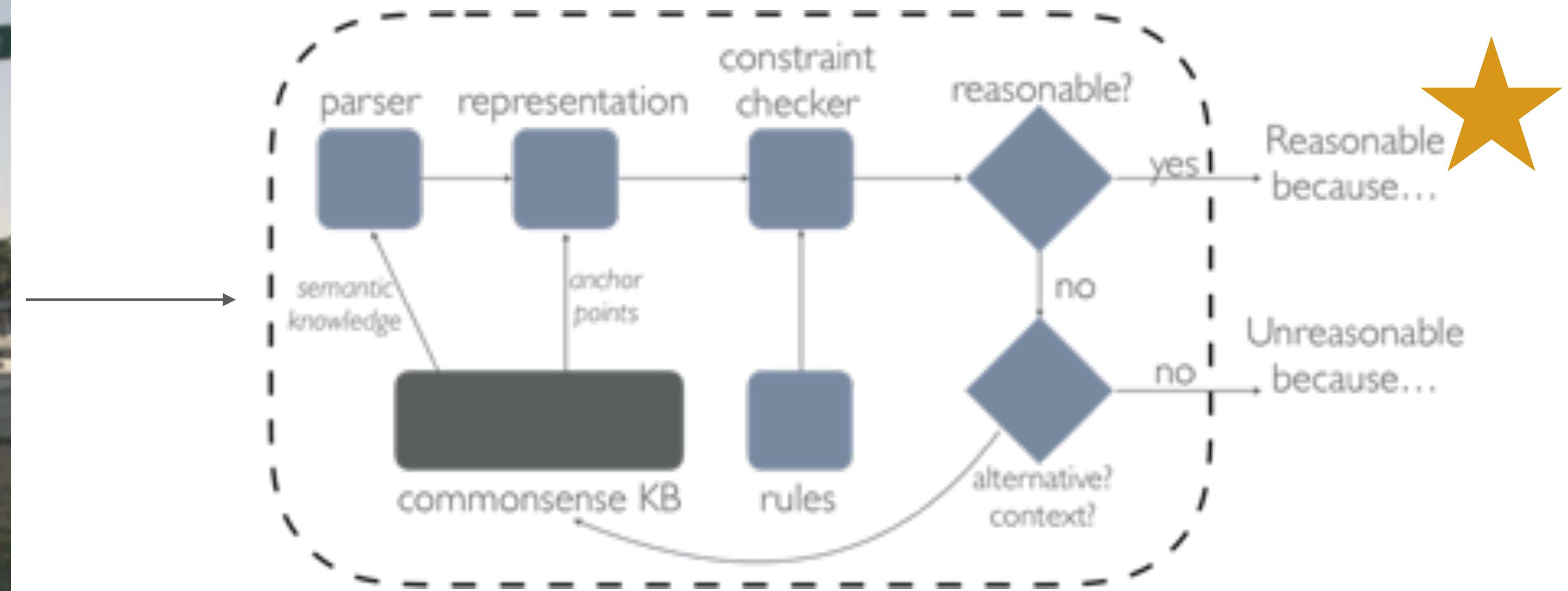
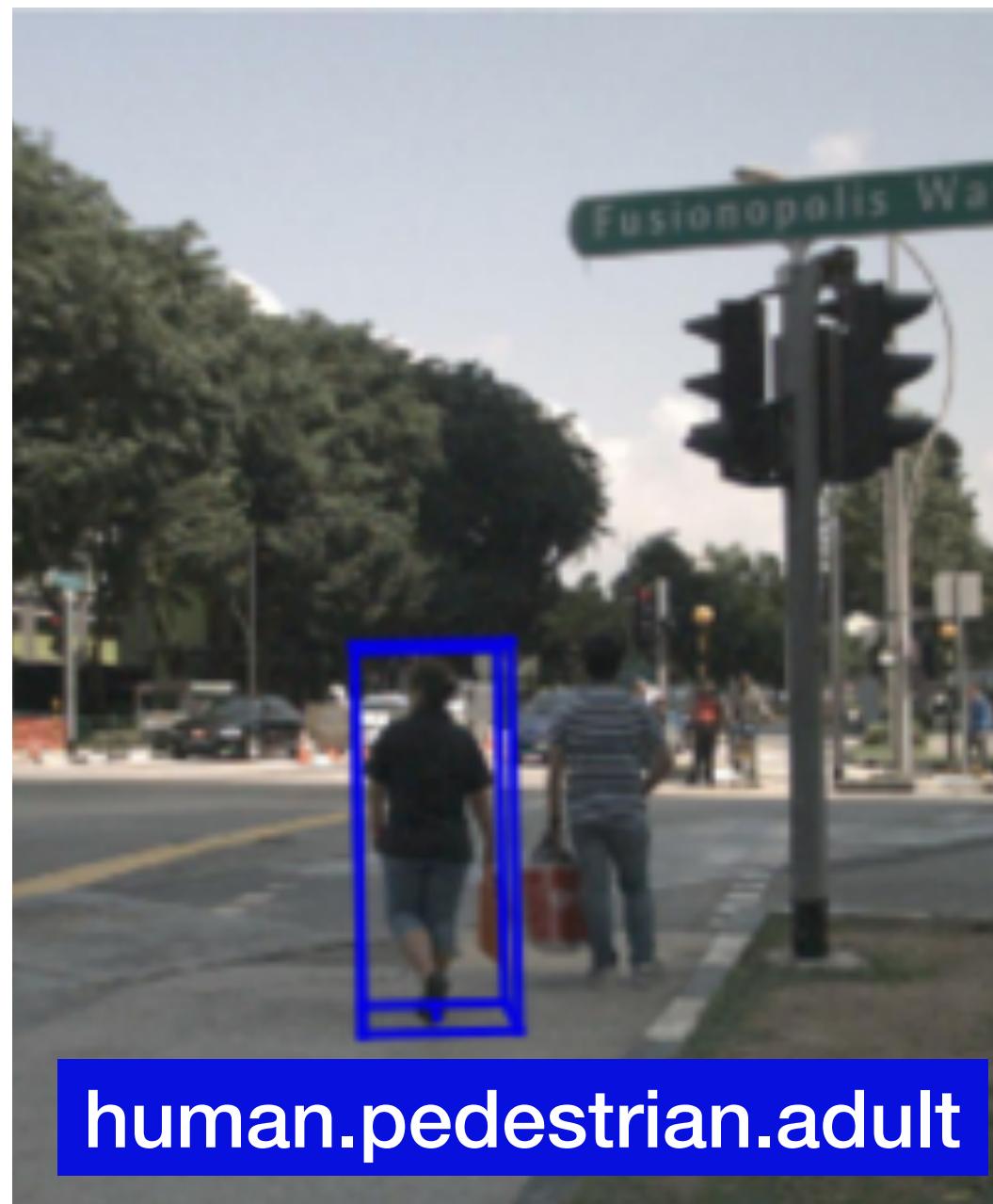
adult is capable of...

- [en] help a child →
- [en] dress herself →
- [en] sign a contract →
- [en] drink beer →
- [en] work →
- [en] act like a child →
- [en] dress himself →
- [en] drive a car →
- [en] drive a train →
- [en] explain the rules to a child



Data from NuScenes

Monitor Outputs a Judgement and Justification



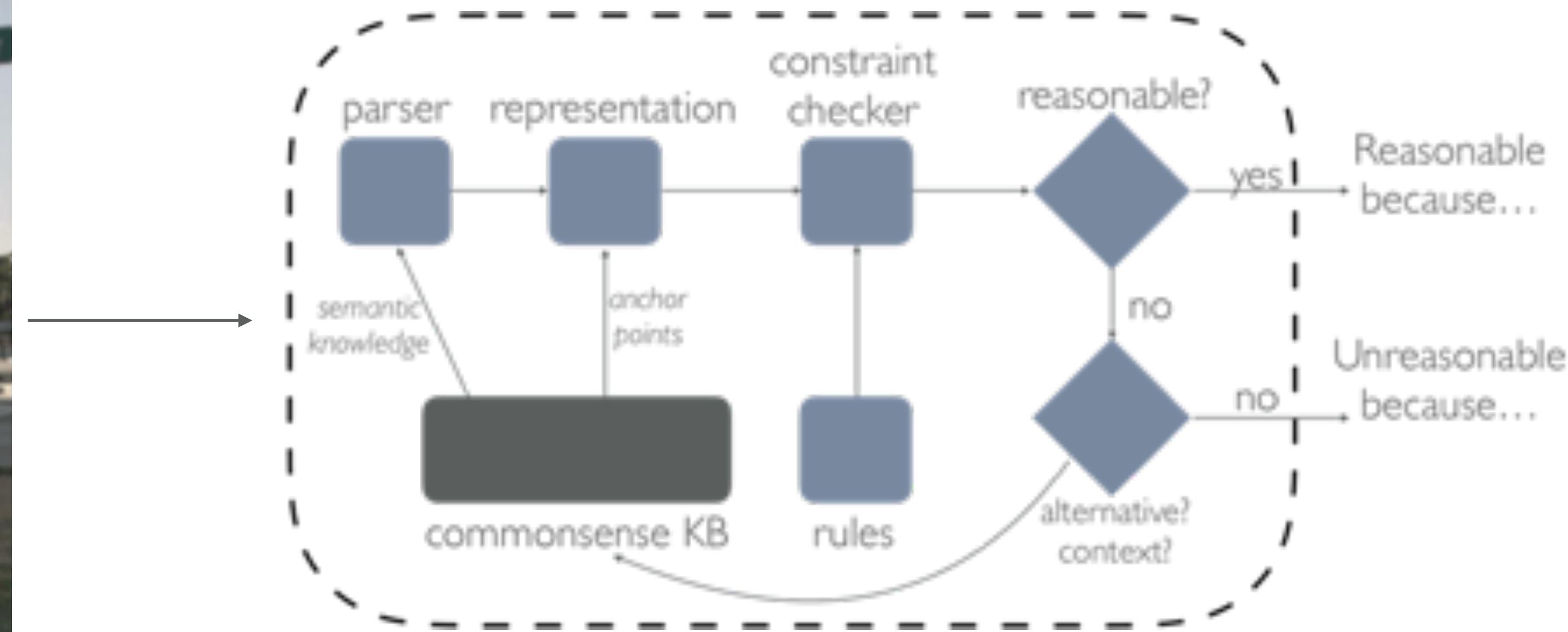
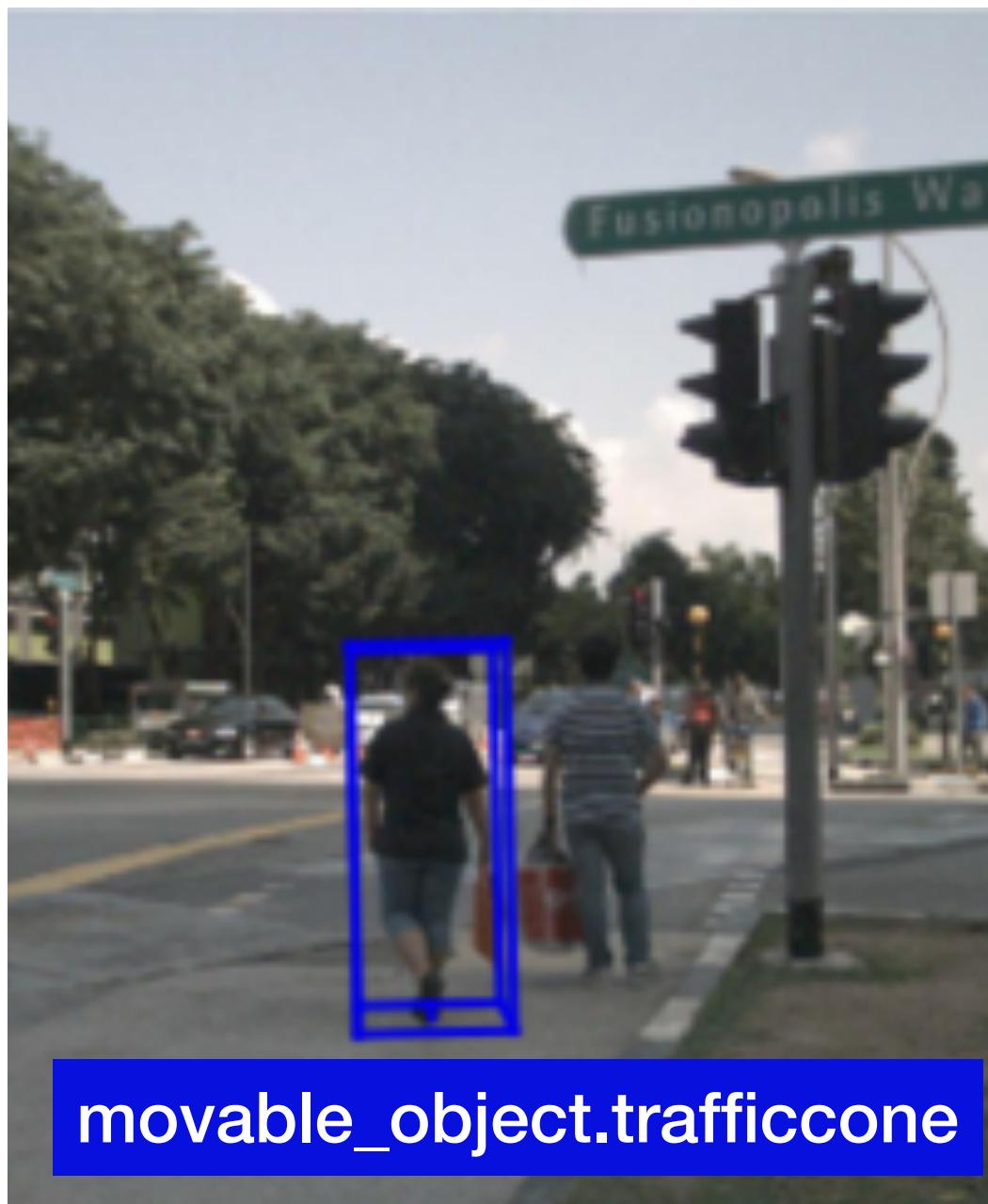
This perception is reasonable. An adult is typically a large person. They are usually located walking on the street. Its approximate dimensions of [0.621, 0.669, 1.642] is approximately the correct size in meters.

Evaluating Reasonableness Monitors

Building Errors

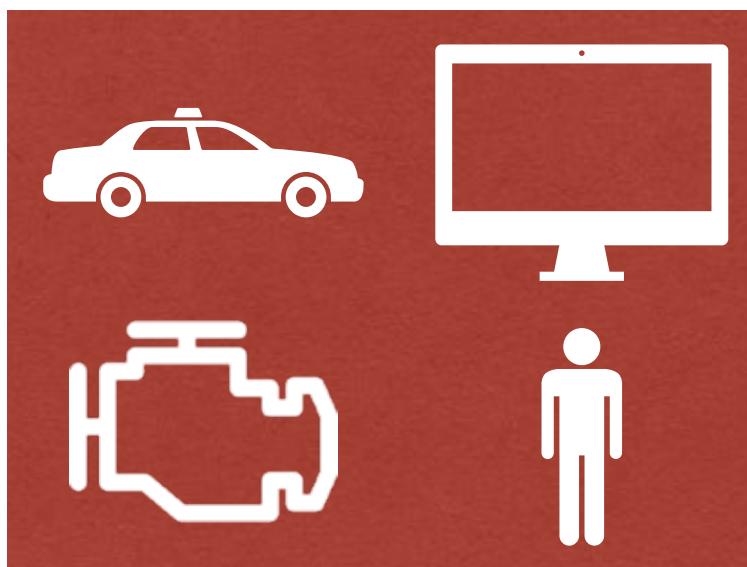
- Built an “unreasonable” image description dataset.
 - 100 descriptions.
 - Average of 4.47 words, with 57 unique words.
 - 14 verbs, 35 nouns, 8 articles/auxiliary verbs, prepositions.
 - 23 of the 100 had prepositional phrases.
- Self-driving image processing errors:
 - Real-time evaluation with Carla.
 - Added errors on existing datasets (NuScenes).
 - Examining errors on the validation dataset of NuScenes leaderboard.
 - Building challenge problems and scenarios.

Adding and Validating Errors

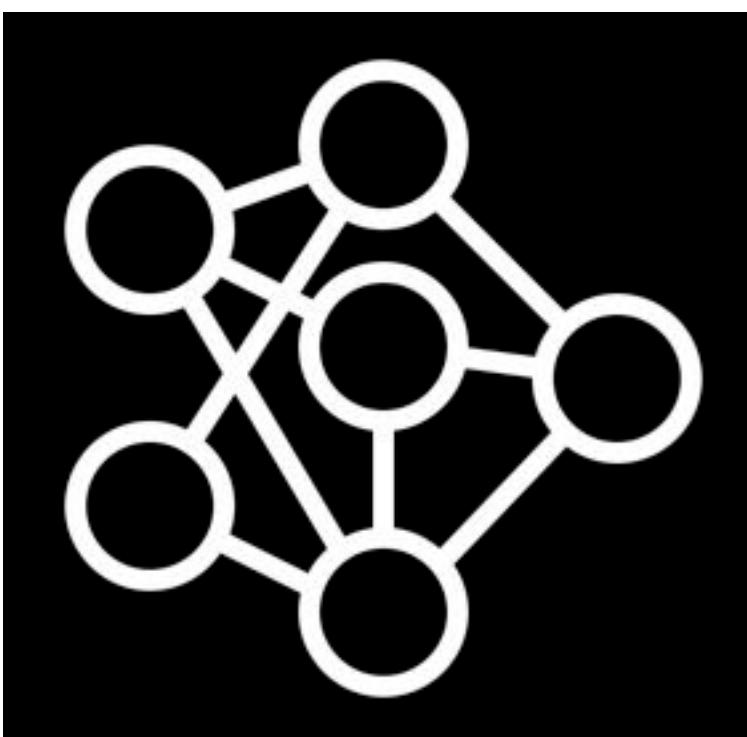


This perception is unreasonable. The movable_object.trafficcone located in the center region is not a reasonable size: it is too tall. There is no common sense supporting this judgement. Discounting objects detected in the same region.

Defense Outline

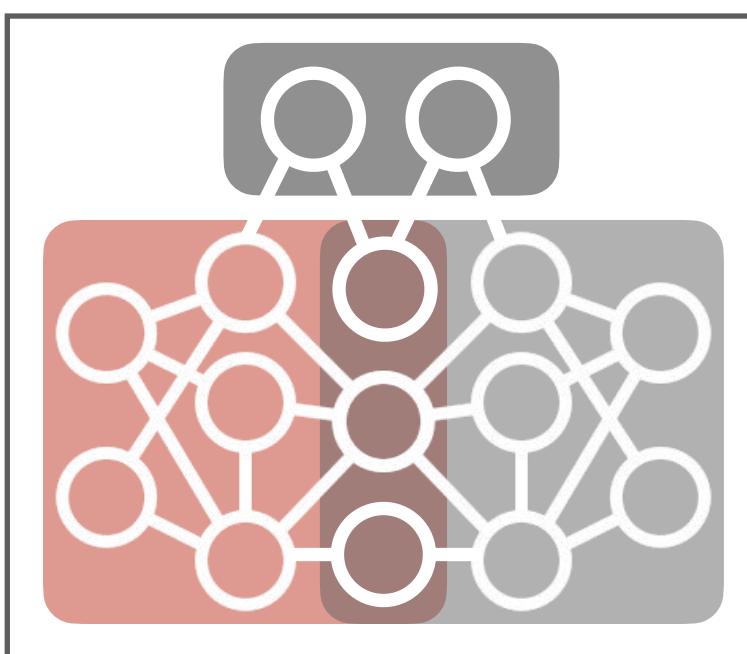


Problem: Complex systems are imperfect.



Opaque subsystems.

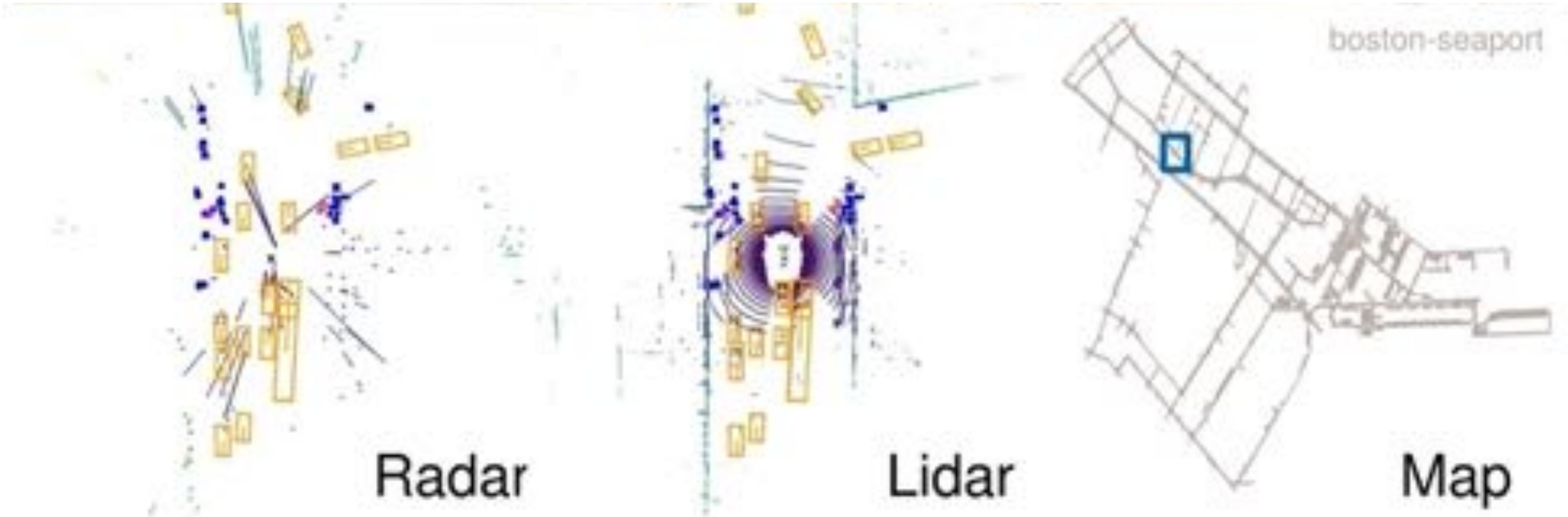
Sensor subsystem interpretation.



System-wide failure detection.

Vision: Articulate systems by design.

Sensor Data is Difficult to Understand

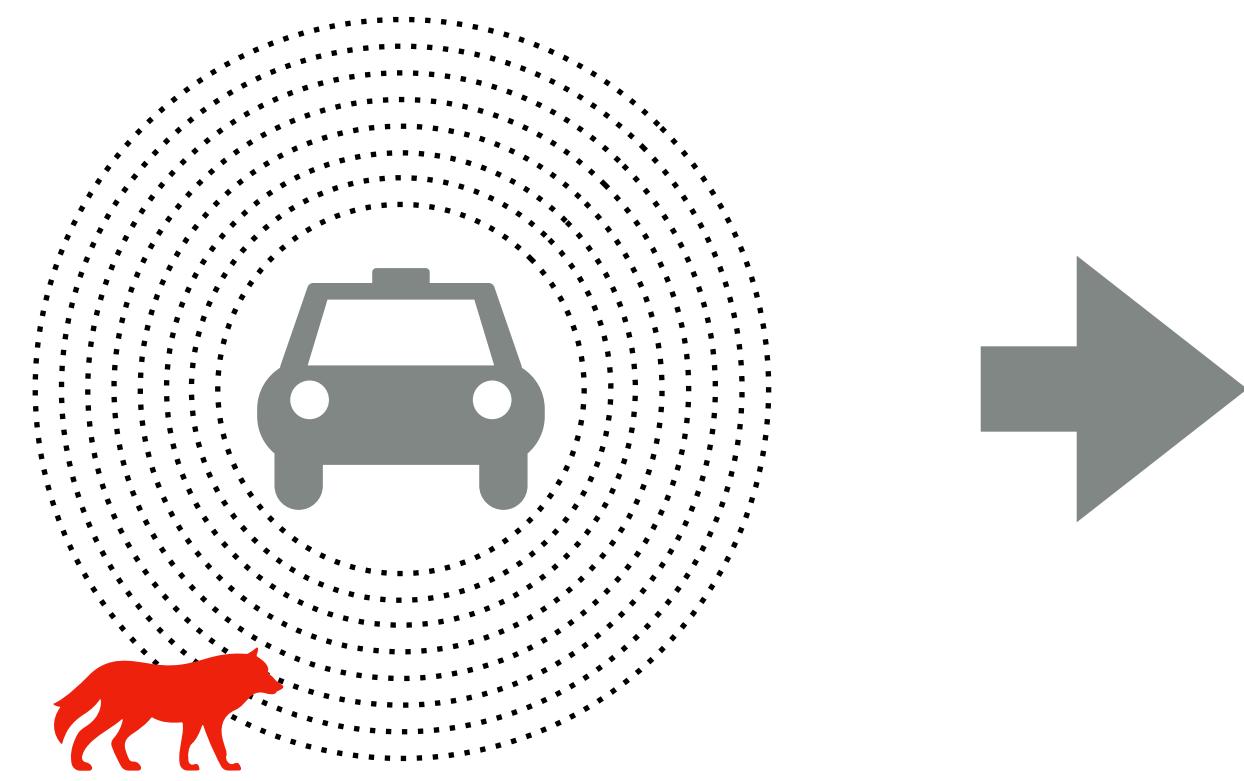


Labeled output: “Pedestrian with a pet, bicycle, car making a u-turn, lane changes, pedestrian crossing in a crosswalk.”

Solution: Sensor Data Interpreter

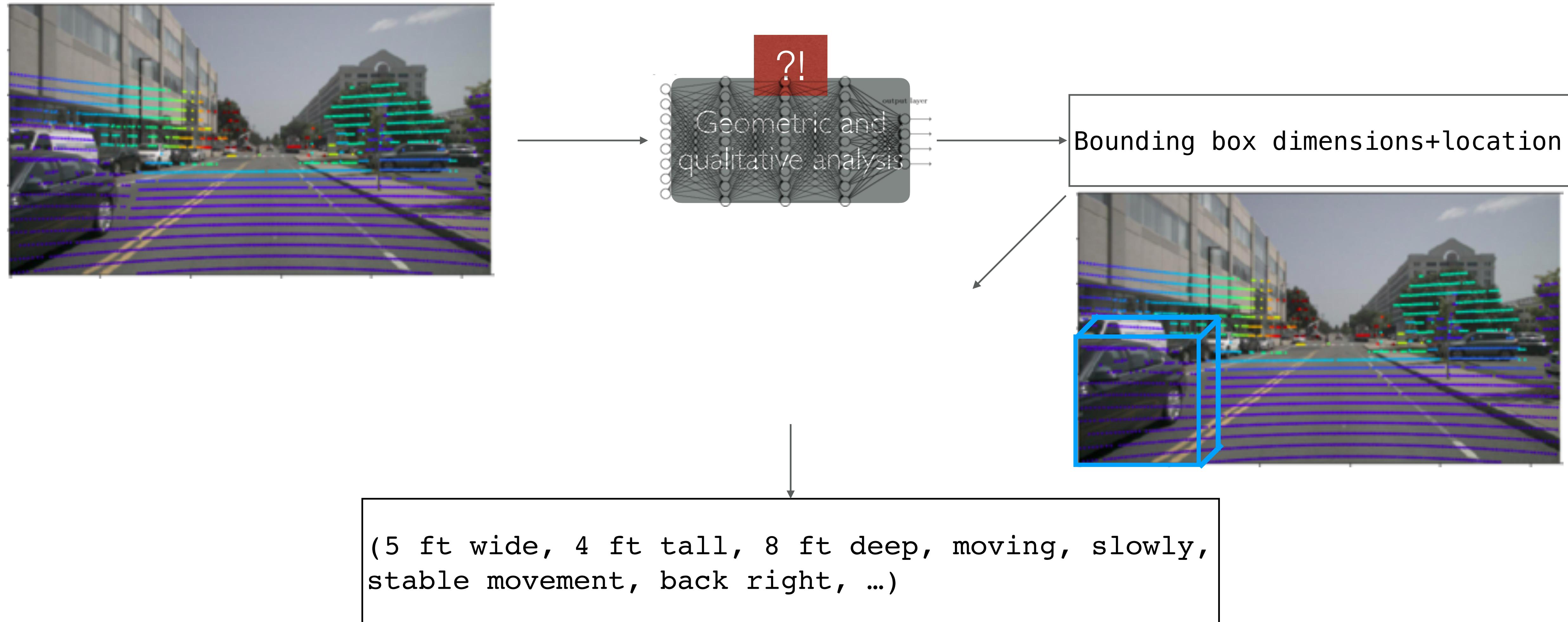
Qualitatively Describe Point Clouds

- Interprets low-level sensor data in qualitative descriptions.
 - Edge detection.
 - Geometric analysis for tracking.
- Qualitative description can be input into a reasonableness monitor for additional reasoning and justifications.

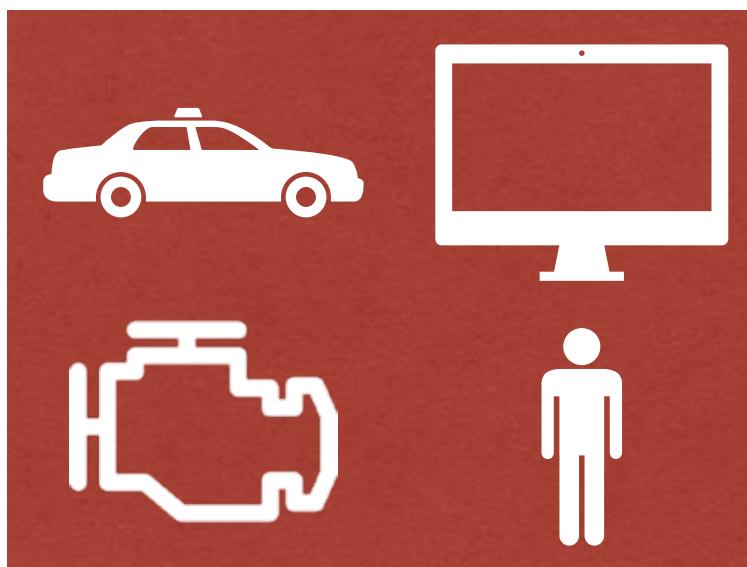


('4 ft, 2 ft, 'moving')

Solution: Process LiDAR Similar to Images



Defense Outline

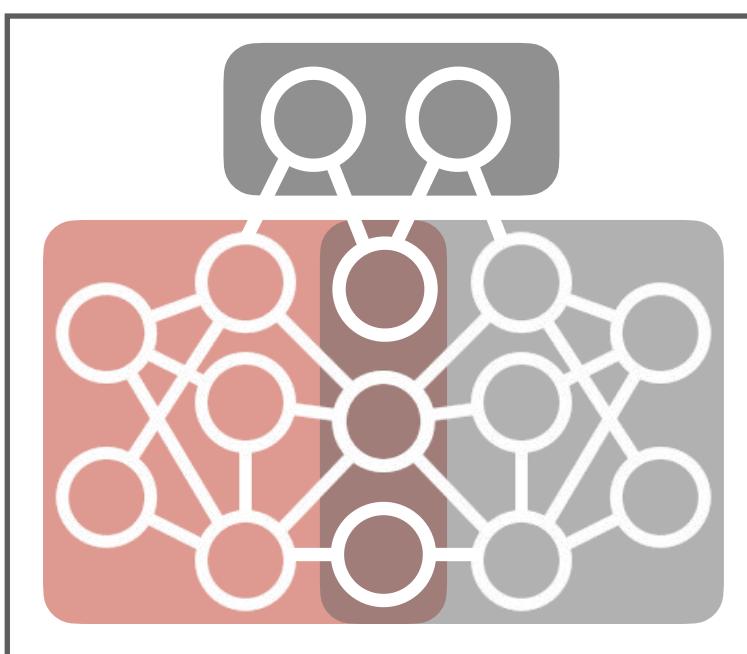


Problem: Complex systems are imperfect.



Opaque subsystems.

Sensor subsystem interpretation.



System-wide failure detection.

Vision: Articulate systems by design.

A Deadly Crash



Limited Internal Reasoning

A Google self-driving car caused a crash for the first time

A bad assumption led to a minor fender-bender

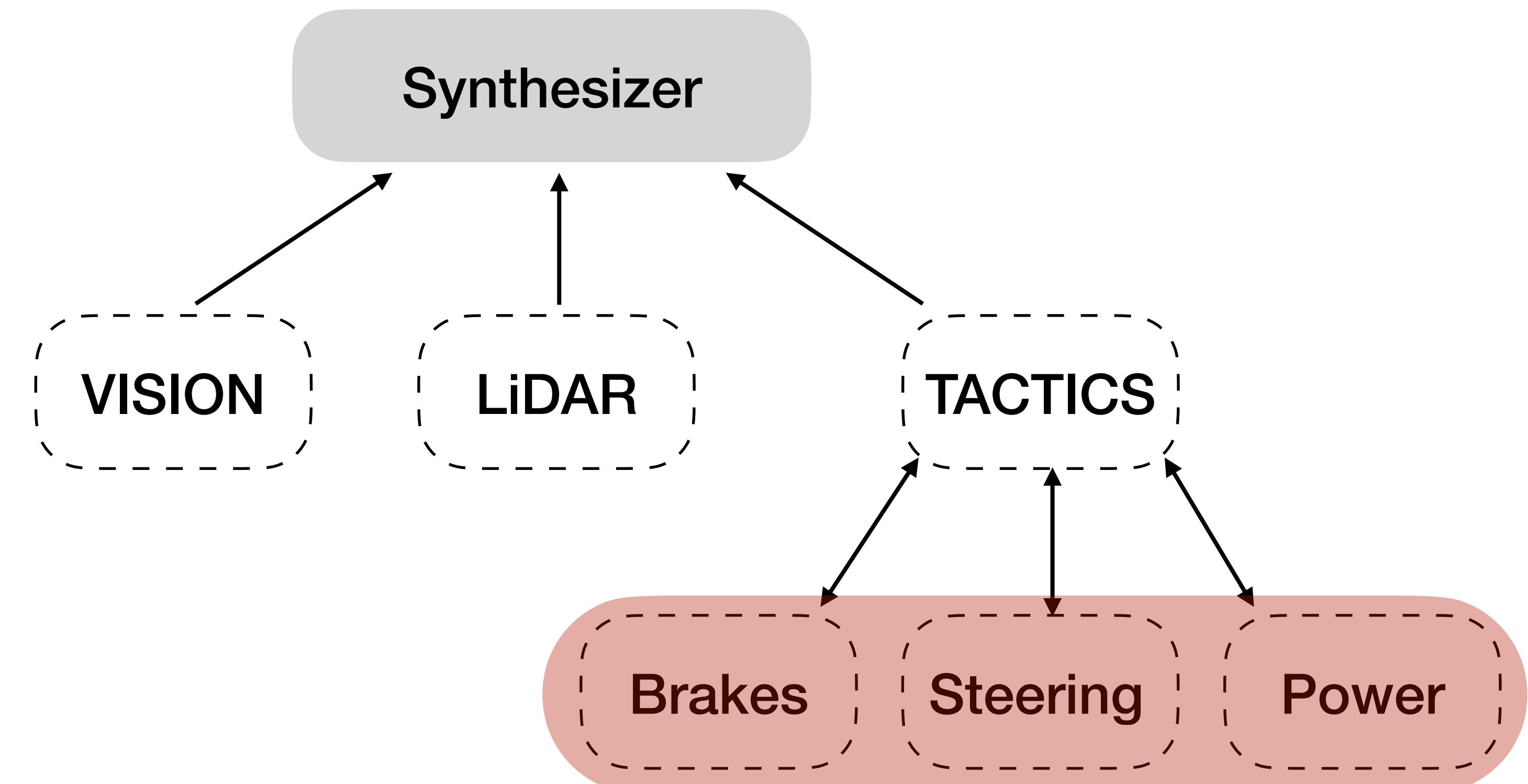
Serious safety lapses led to Uber's fatal self-driving crash, new documents suggest

My Herky-Jerky Ride in General Motors' Ultra-Cautious Self Driving Car

GM and Cruise are testing vehicles in a chaotic city, and the tech still has a ways to go.

Reconciling Internal Disagreements With an Organizational Architecture

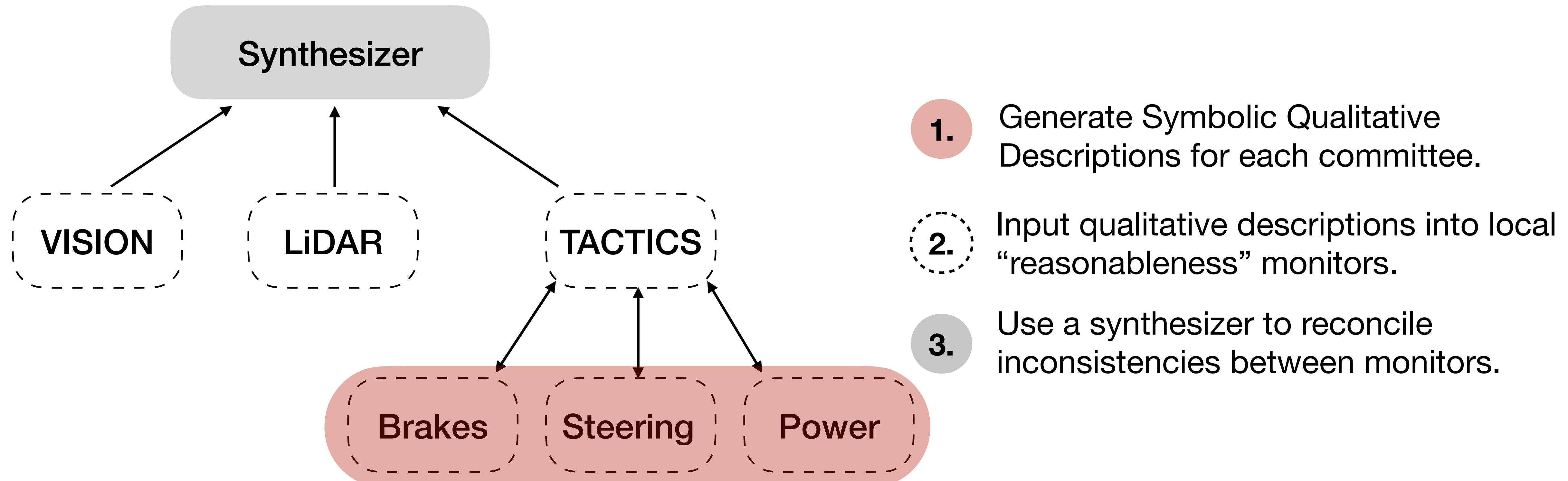
- Monitored subsystems combine into a system architecture.
- Explanation synthesizer to deal with *inconsistencies*.
 - Argument tree.
 - Queried for support or counterfactuals.



Anomaly Detection Through
Explanations

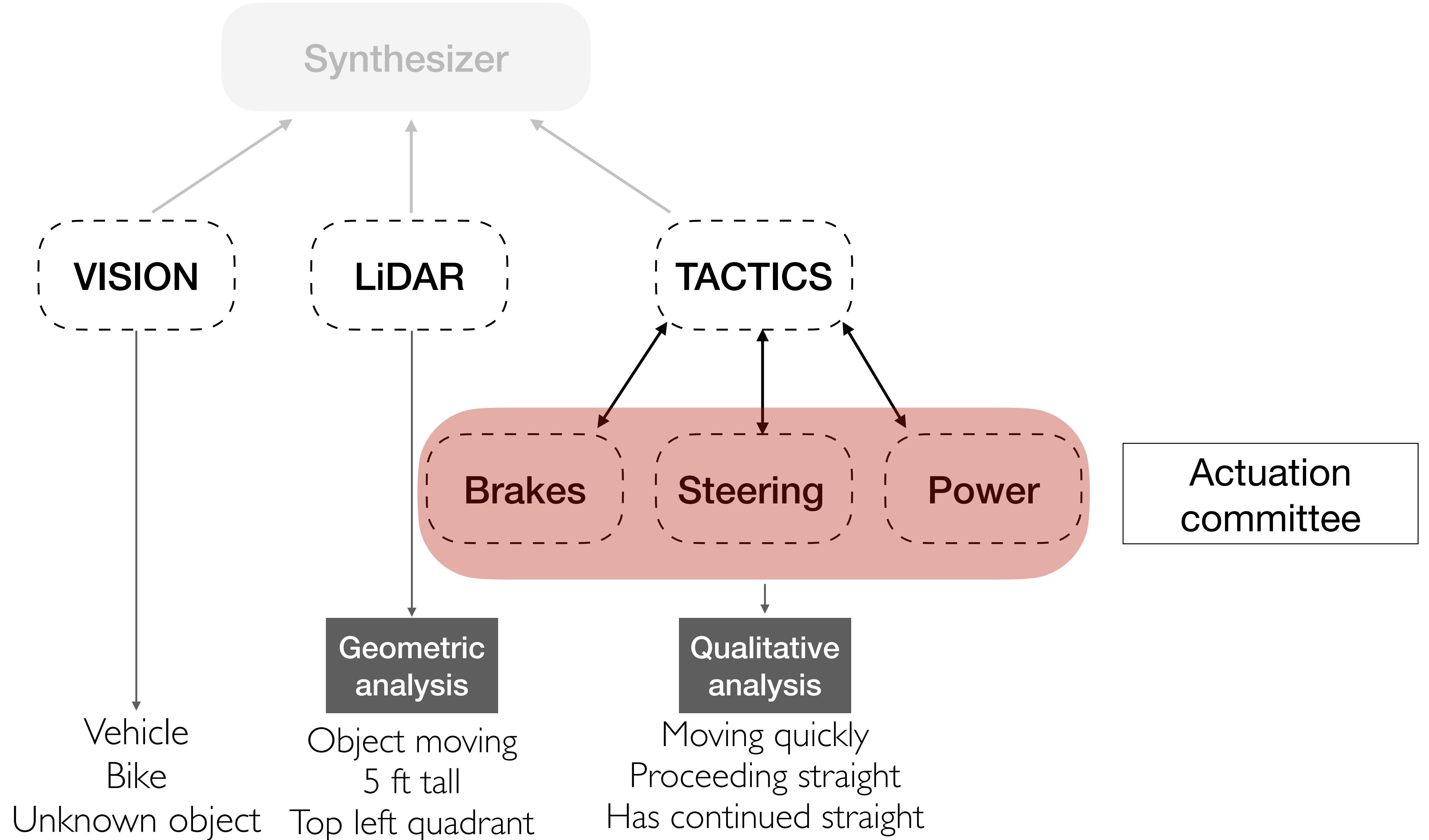
Anomaly Detection through Explanations

Reasoning in Three Steps



1.

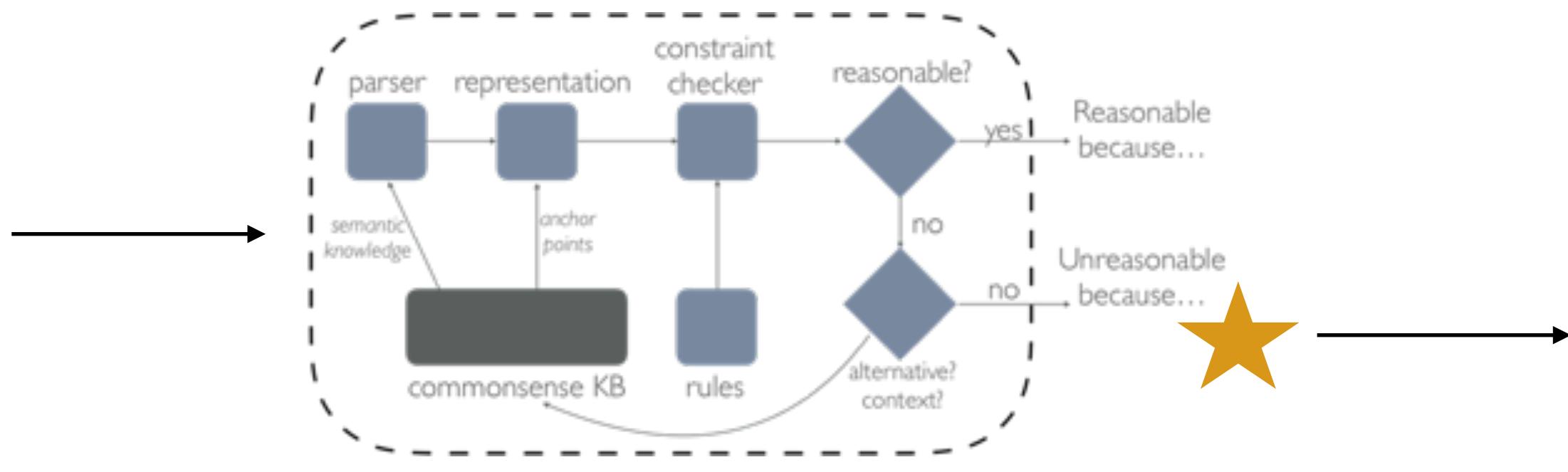
Generate Symbolic Qualitative Descriptions for each committee.



2.

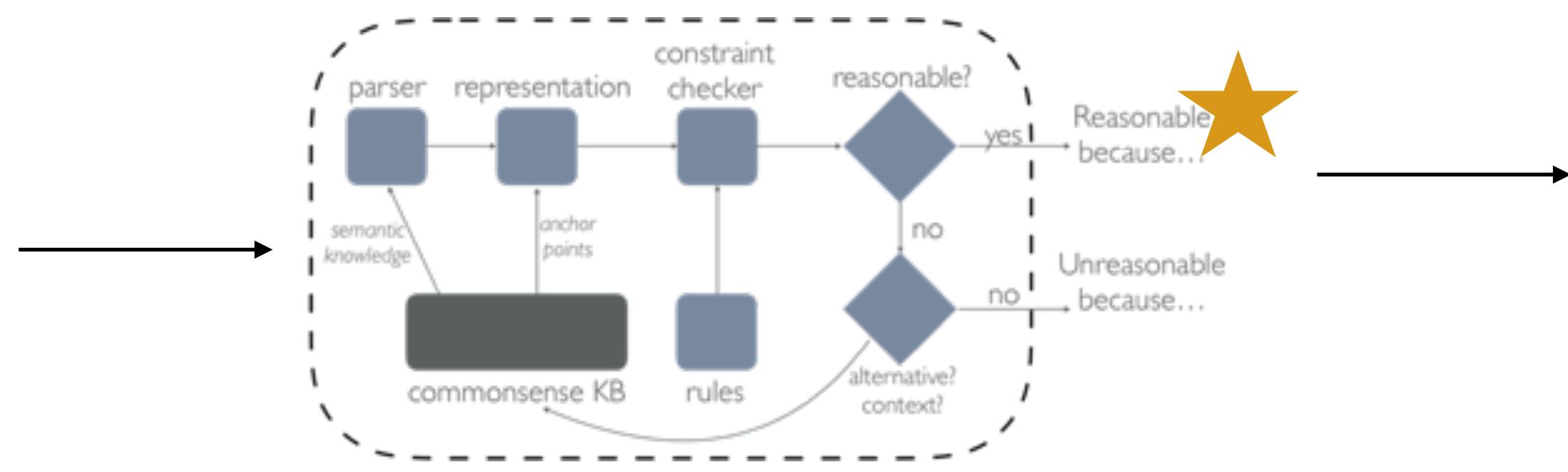
Input qualitative descriptions into local “reasonableness” monitors.

Vehicle
Bike
Unknown object



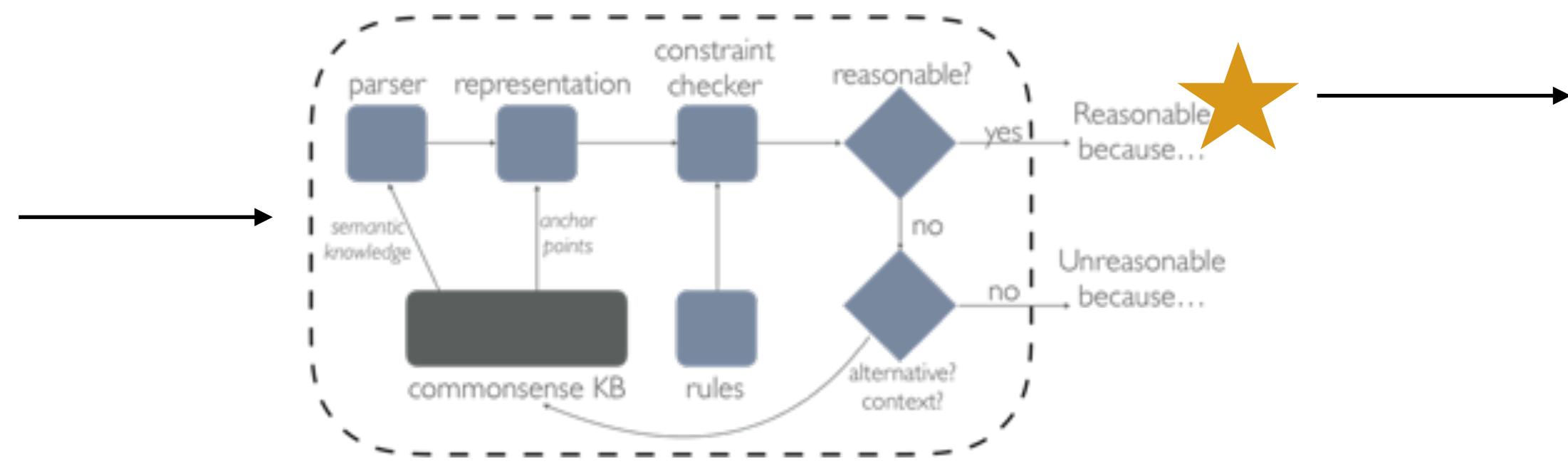
This vision perception is unreasonable. There is no commonsense data supporting the similarity between a vehicle, bike and unknown object except that they can be located at the same location. This component's output should be discounted.

Object moving
5 ft tall
Top left quadrant



This lidar perception is reasonable. An object moving of this size is a large moving object that should be avoided.

Moving quickly
Proceeding straight
Has continued straight



This system state is reasonable given that the vehicle has been moving quickly and proceeding straight for the last 10 second history.

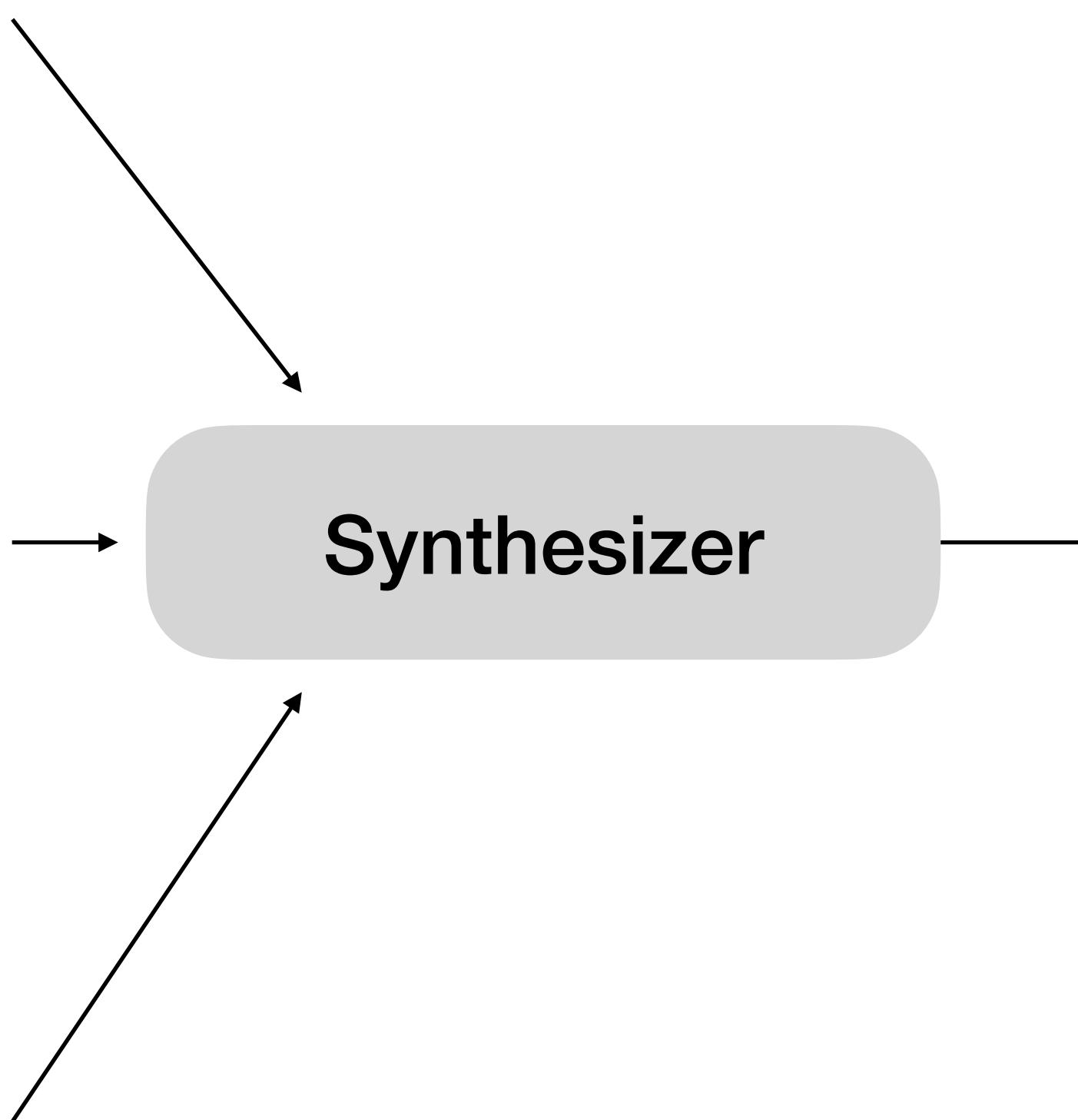
3.

Use a synthesizer to reconcile inconsistencies between monitors.

This vision perception is unreasonable. There is no commonsense data supporting the similarity between a vehicle, bike and unknown object except that they can be located at the same location. This component's output should be discounted.

This lidar perception is reasonable. An object moving of this size is a large moving object that should be avoided.

This system state is reasonable given that the vehicle has been moving quickly and proceeding straight for the last 10 second history.



3.

Use a synthesizer to reconcile inconsistencies between monitors.

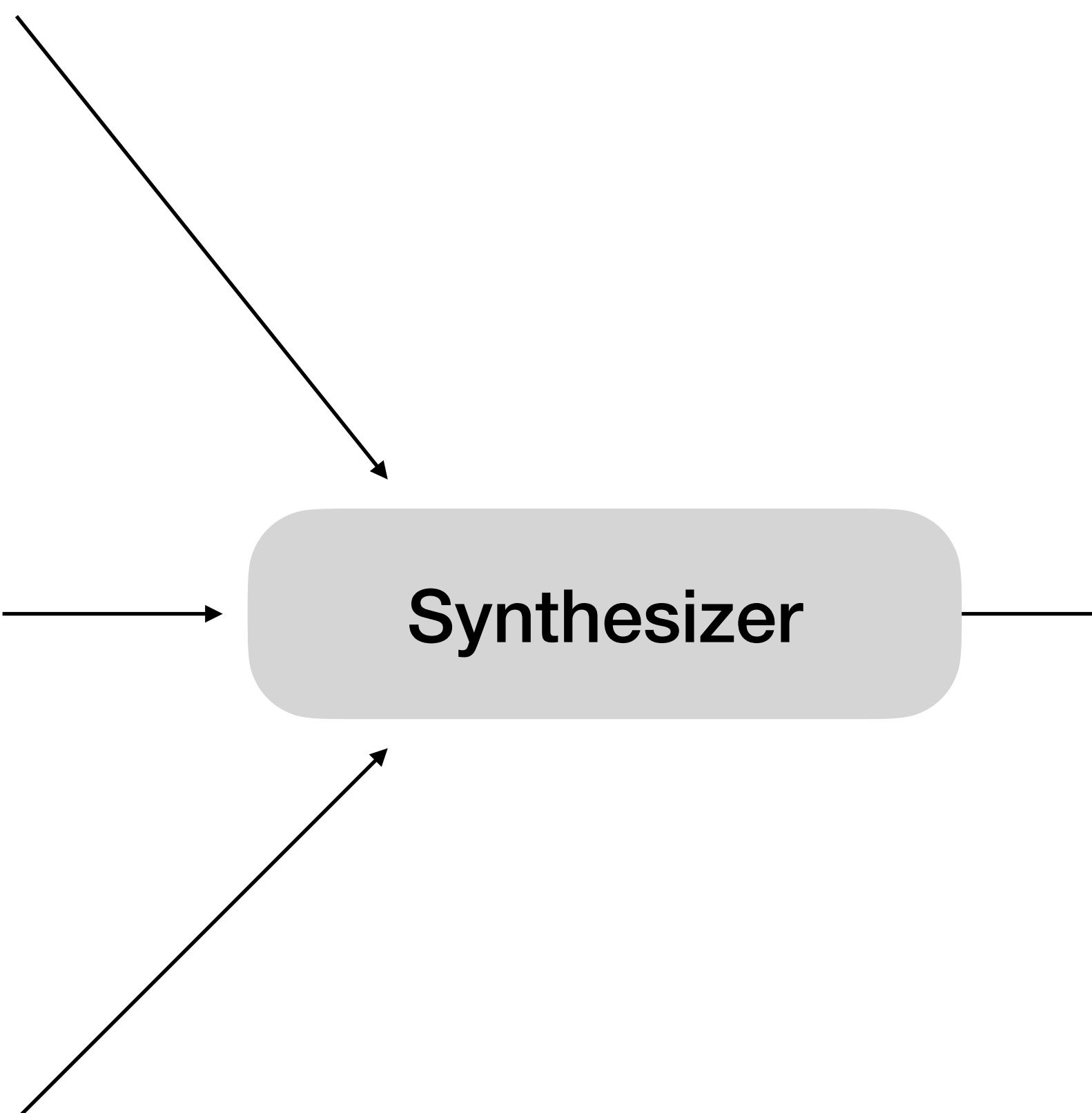
Symbolic reasons

```
(monitor, judgement, unreasonable)
(input, isType, labels)
(all_labels, inconsistent, negRel)
(isA, hasProperty, negRel)

...
(all_labels, notProperty, nearMiss)
(all_labels, locatedAt, consistent)
(monitors, recommend, discount)
```

```
(monitor, judgement, reasonable)
(input_data, isType, sensor)
...
(input_data[4], hasSize, large)
(input_data[4], IsA, large_object)
(input_data[4], moving, True)
(input_data[4], hasProperty, avoid)
```

```
(monitor, judgement, reasonable)
(input, isType, history)
(input_data, moving, True)
(input_data, direction, forward)
(input_data, speed, fast)
(input_data, consistent, True)
(monitors, recommend, proceed)
```



The best option is to veer and slow down. The vehicle is traveling **too fast** to suddenly stop. The vision system is **inconsistent**, but the lidar system has provided a reasonable and strong claim to **avoid the object moving** across the street.

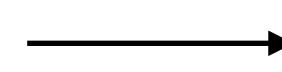
3.

Use a synthesizer to reconcile inconsistencies between monitors.

Synthesizer

+

Priority Hierarchy



Abstract Goals

- Explanation synthesizer to deal with *inconsistencies*.
 - Argument tree.
 - Queried for support or counterfactuals.

1. Passenger Safety
2. Passenger Perceived Safety
3. Passenger Comfort
4. Efficiency (e.g. Route efficiency)



A passenger is safe if:

- The vehicle proceeds at the same speed and direction.
- The vehicle avoids threatening objects.

3.

Use a synthesizer to reconcile inconsistencies between monitors.

$$\begin{aligned}
 & (\forall s, t \in STATE, v \in VELOCITY \\
 & ((self, moving, v), \mathbf{state}, s) \wedge \\
 & (t, \mathbf{isSuccessorState}, s) \wedge \\
 & ((self, moving, v), \mathbf{state}, t) \wedge \\
 & (\exists x \in OBJECTS \text{ s.t.} \\
 & ((x, isA, threat), \mathbf{state}, s) \vee \\
 & ((x, isA, threat), \mathbf{state}, t)))
 \end{aligned}$$

$\Rightarrow (\mathbf{passenger}, \mathbf{hasProperty}, \mathbf{safe})$

A passenger is safe if:

- The vehicle proceeds at the same speed and direction.
- The vehicle avoids threatening objects.

$$\begin{aligned}
 & (\forall s \in STATE, x \in OBJECT, v \in VELOCITY \\
 & ((x, moving, v), \mathbf{state}, s) \wedge \\
 & ((x, locatedNear, self), \mathbf{state}, s) \wedge \\
 & ((x, isA, large_object), \mathbf{state}, s) \\
 & \Leftrightarrow ((x, isA, threat), \mathbf{state}, s)
 \end{aligned}$$

3.

Use a synthesizer to reconcile inconsistencies between monitors.

$(\forall s, t \in STATE, v \in VELOCITY$

$((self, moving, v), \mathbf{state}, s) \wedge$

$(t, \mathbf{isSuccessorState}, s) \wedge$

$((self, moving, v), \mathbf{state}, t) \wedge$

$(\exists x \in OBJECTS \text{ s.t.}$

$((x, isA, threat), \mathbf{state}, s) \vee$

$((x, isA, threat), \mathbf{state}, t)))$

$\Rightarrow (\mathbf{passenger}, \mathbf{hasProperty}, \mathbf{safe})$

Abstract Goal Tree

'passenger is safe',
 AND(
'safe transitions',
 NOT('threatening objects'))

3.

Use a synthesizer to reconcile inconsistencies between monitors.

Abstract Goal Tree

```
'passenger is safe',
AND(
  'safe transitions',
  NOT('threatening objects'))
```

List of Rules

Backwards Chain

AND/OR TREE

```
IF ( AND('moving (?v) at state (?y)',
      '(?z) succeeds (?y)',
      'moving (?v) at state (?z)'),
  THEN('safe driving at (?v) during (?y) and (?z)'))
IF (OR('obj is not moving',
      'obj is not located near',
      'obj is not a large object'),
  THEN('obj not a threat at (?x)')
IF (AND('obj not a threat at (?y',
      'obj not a threat at (?z',
      '(?z) succeeds (?z',
  THEN('obj is not a threat between (?y) and (?z)'))
```

```
passenger is safe at V between s and t
AND (AND (moving V at state s
           t succeeds s
           moving V at state t )
      AND (
          OR ( obj is not moving at s
              obj is not locatedNear at s
              obj is not a large object at s )
          OR ( obj is not moving at t
              obj is not locatedNear at t
              obj is not a large object at t ) ) )
```

3.

Use a synthesizer to reconcile inconsistencies between monitors.

```
(monitor, judgement, unreasonable)
(input, isType, labels)
(all_labels, inconsistent, negRel)
(isA, hasProperty, negRel)

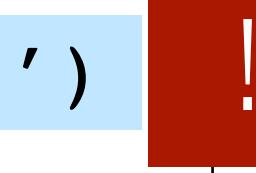
...
(all_labels, notProperty, nearMiss)
(all_labels, locatedAt, consistent)
(monitor, recommend, discount)

(monitor, judgement, reasonable)
(input, isType, sensor)
...
(input_data[4], hasSize, large)
(input_data[4], IsA, large_object) !
(input_data[4], moving, True) !
(input_data[4], hasProperty, avoid)
...
(monitor, recommend, avoid)

(monitor, judgement, reasonable)
(input, isType, history)
(input_data, moving, True)
(input_data, direction, forward)
(input_data, speed, fast)
(input_data, consistent, True)
(monitor, recommend, proceed)
```

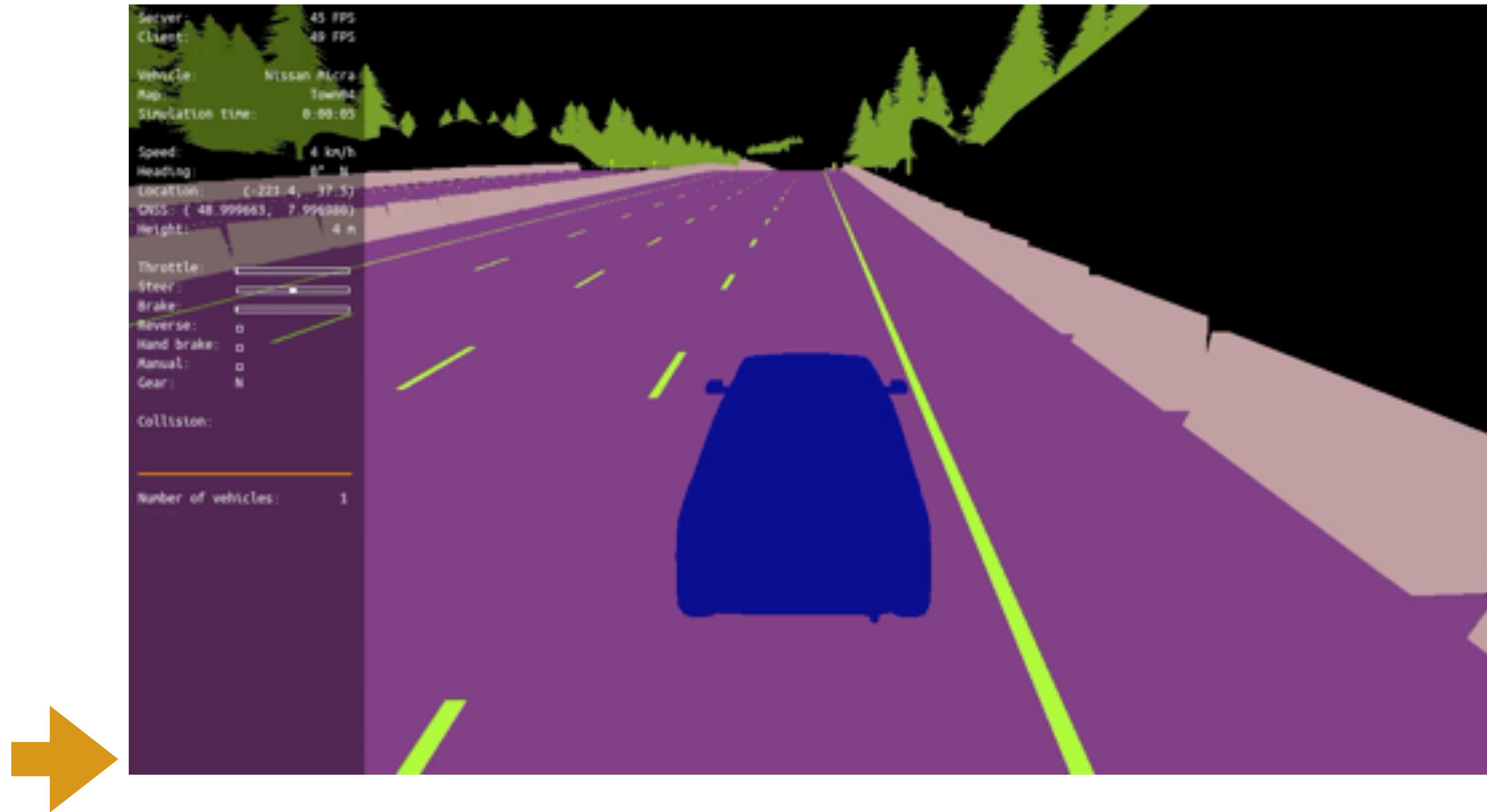
Abstract Goal Tree

'passenger is safe',
AND(
 'safe transitions',
 NOT('threatening objects')) !



The best option is to veer and slow down.
The vehicle is traveling **too fast** to suddenly stop. The vision system is **inconsistent**, but the lidar system has provided a reasonable and strong claim to **avoid the object moving across the street**.

Evaluation in Simulation



Evaluation

Real-world Inspired Scenarios

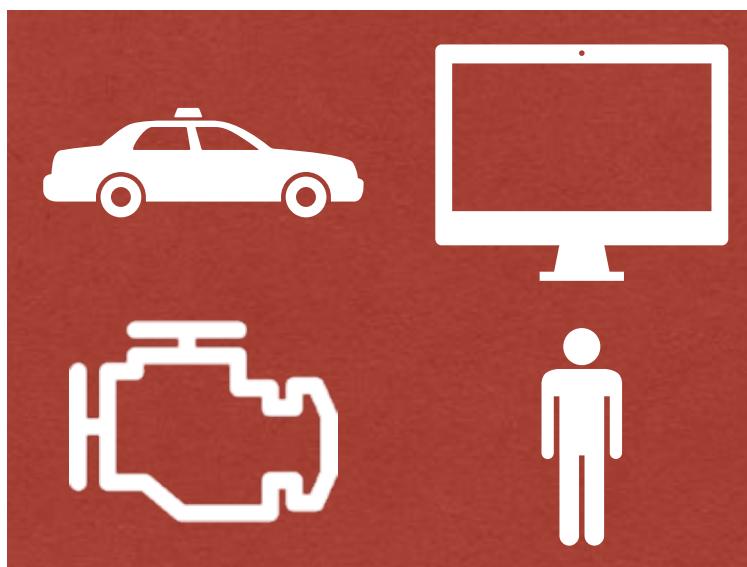


Reconcile Inconsistencies

- Detection: Generate logs from scenarios to detect failures.
- Insert errors: Scrambling *multiple* labels on existing datasets.
- Real errors: Examining errors on the validation dataset of NuScenes leaderboard.

Priority	Correctness	False Positives	False Negatives
No synthesizer	85.6%	7.1%	7.3%
Single subsystem	88.9%	7.9%	3.2%
Safety	93.5%	4.8%	1.7%

Defense Outline

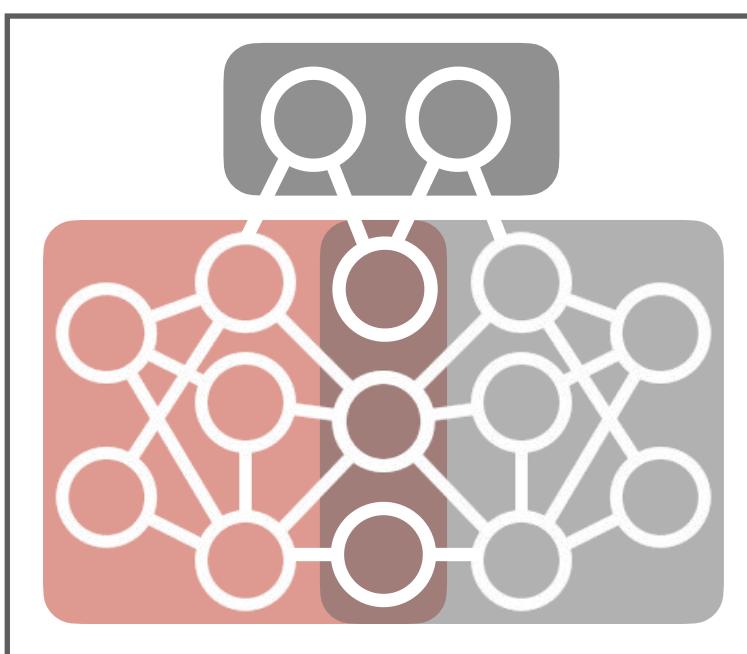


Problem: Complex systems are imperfect.



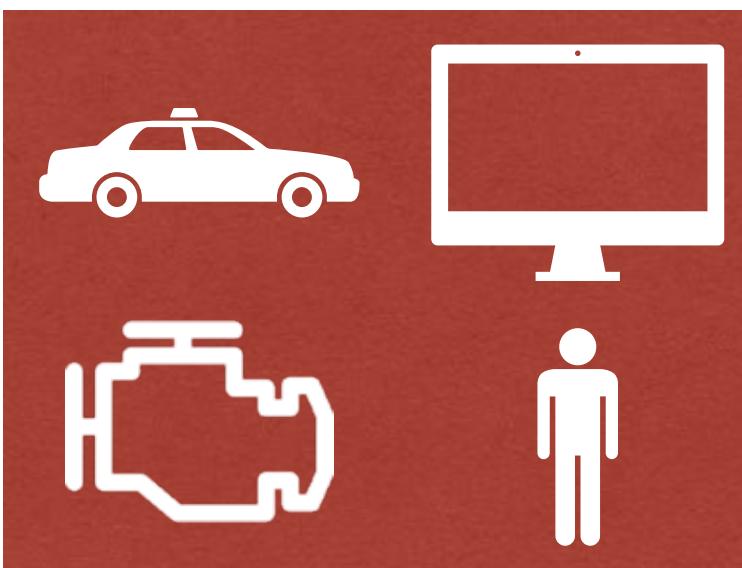
Opaque subsystems.

Sensor subsystem interpretation.

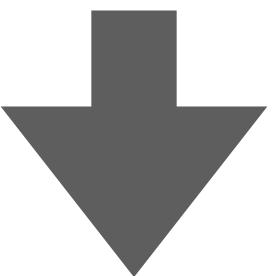


System-wide failure detection.

Vision: Articulate systems by design.



Problem: Complex mechanisms are imperfect.



Explanation

Explaining Explanations: An Approach to Evaluating Interpretability of Machine Learning

Leilani H. Gilpin, David Bau, Ben Z. Yuan, Ayesha Bajwa, Michael Specter and Lalana Kagal

Computer Science and Artificial Intelligence Laboratory

Massachusetts Institute of Technology

Cambridge, MA 02139

{lgilpin, davidbau, bzy, abajwa, specter, lkagal}@mit.edu

Dynamic explanations, under uncertainty

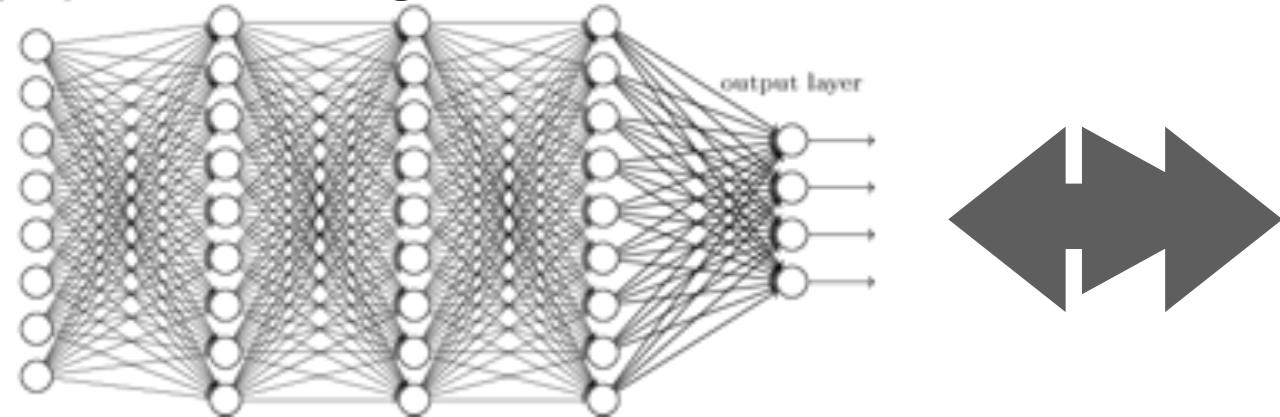
Self-explaining architectures

Vision: Articulate Machines

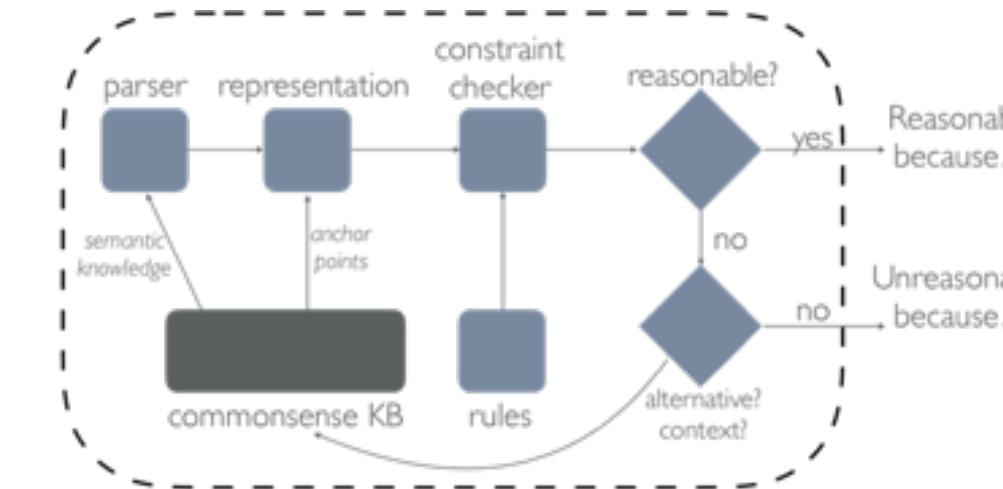
Coherent Communication

With Other Systems

Learning system



Symbolic system



Common language to complete tasks.

With Humans

humans



complex system



Explanations are a debugging language.

- Redundancy: systems solve problems in multiple ways.
- Hybrid processes: systems that learn from each other.

- Debugging: humans can improve complex systems
- Education: complex systems can “improve” or teach humans.

Impact

Confidence and Integrity of Systems

Society



Systems that articulately communicate with humans on shared tasks.

Liability



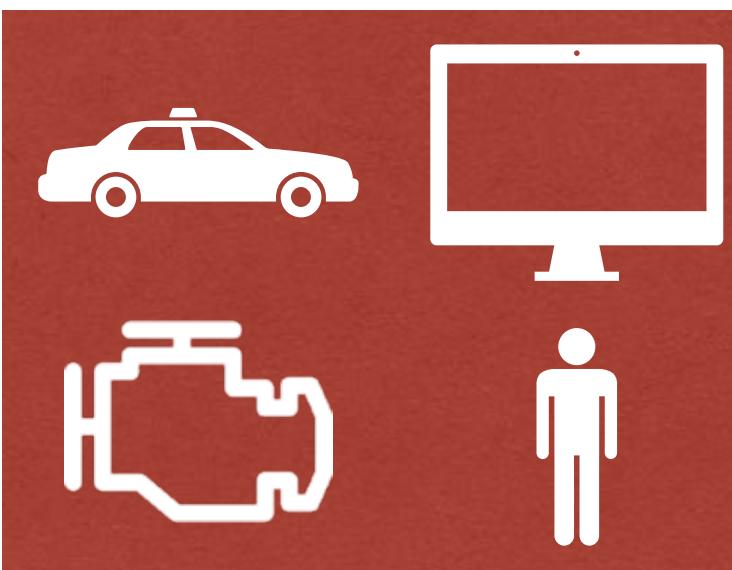
Systems that can testify, answer questions, and provide insights.

Robustness

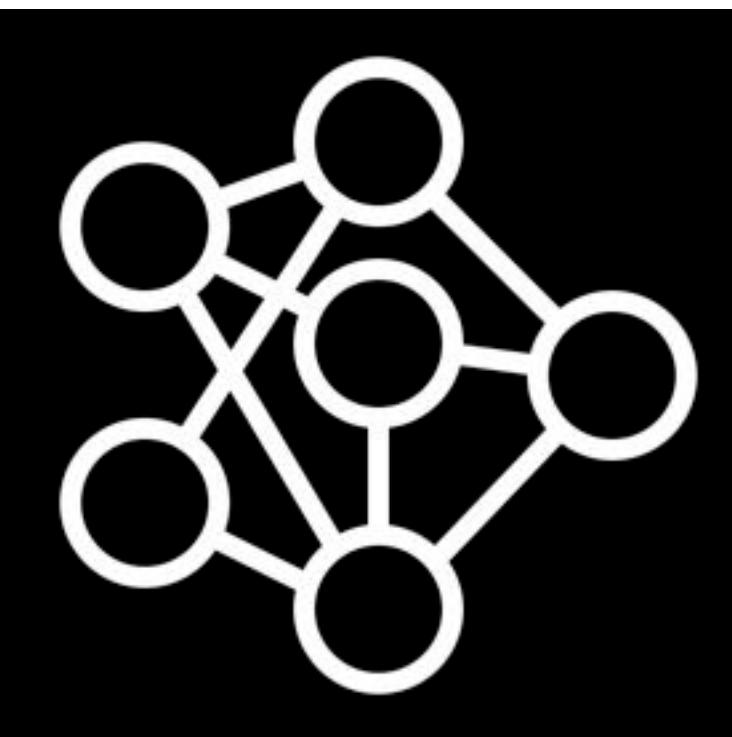


Dynamic detection of failure and intrusion with precise mitigation.

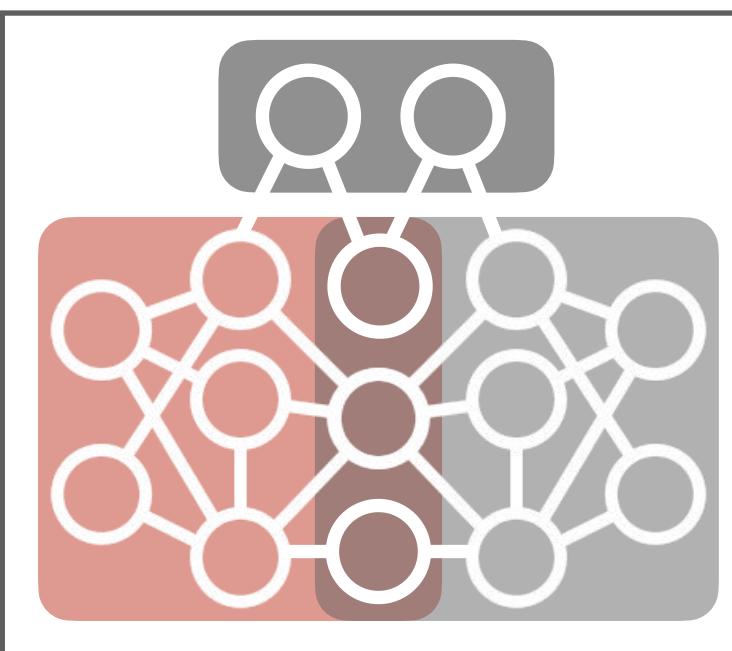
Thesis Contributions



Complex systems need better communication and sanity checks.



Reasonableness monitor for opaque subsystems.



An architecture to reason about unreliable parts.

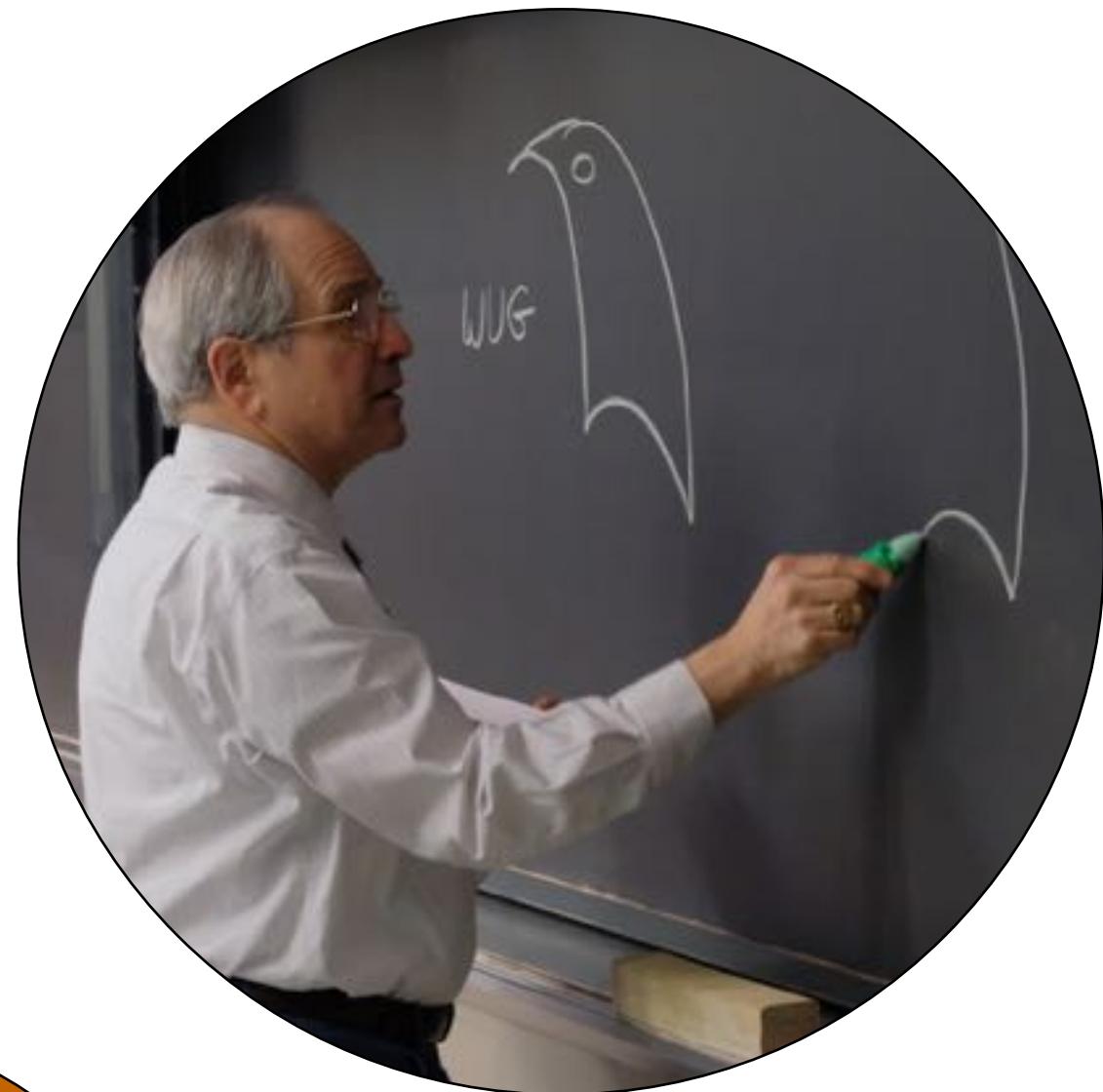
Explanations as a common language.

**“You can do it, only you can do it, you
can't do it alone.”**

Patrick Henry Winston

My committee

Gerald Jay Sussman, Lalana Kagal, Jacob Andreas, Julie Shah, and Howard Shrobe



Funding

Toyota Research Institute (TRI), Sloan

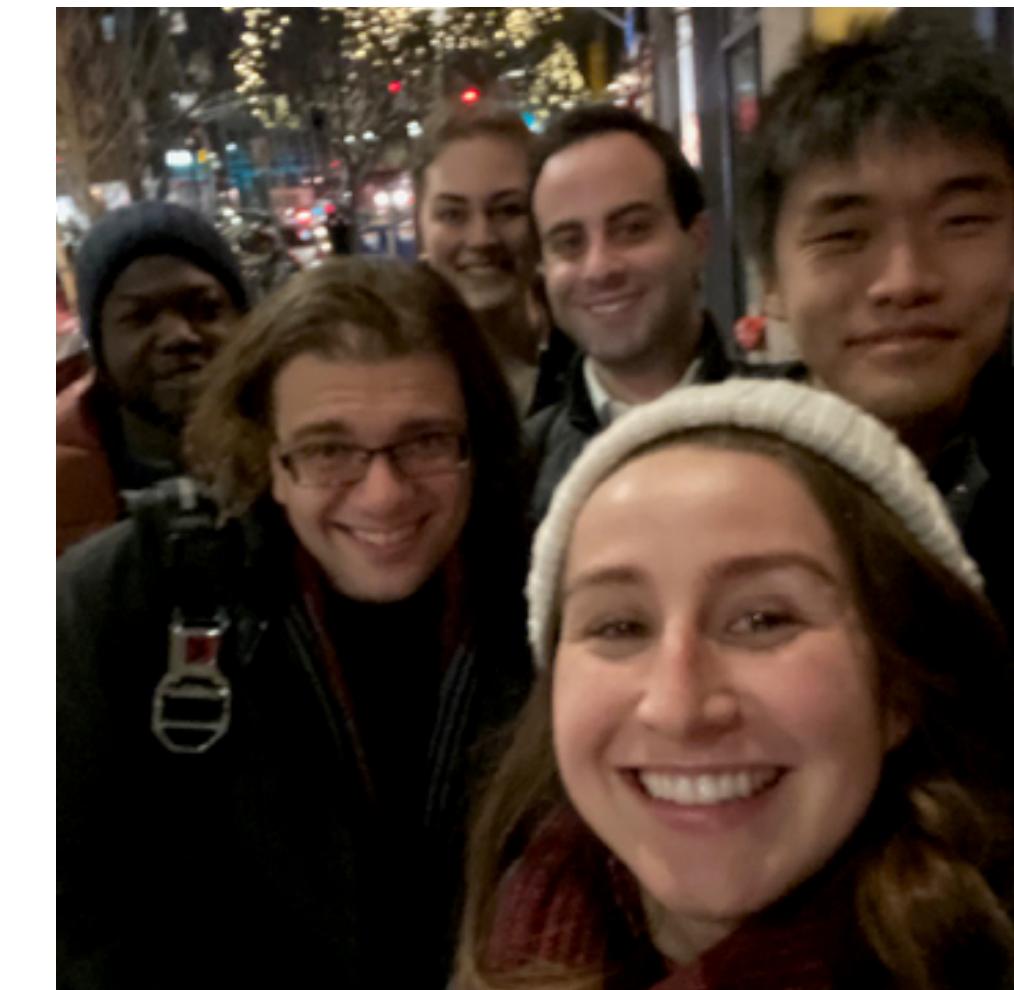
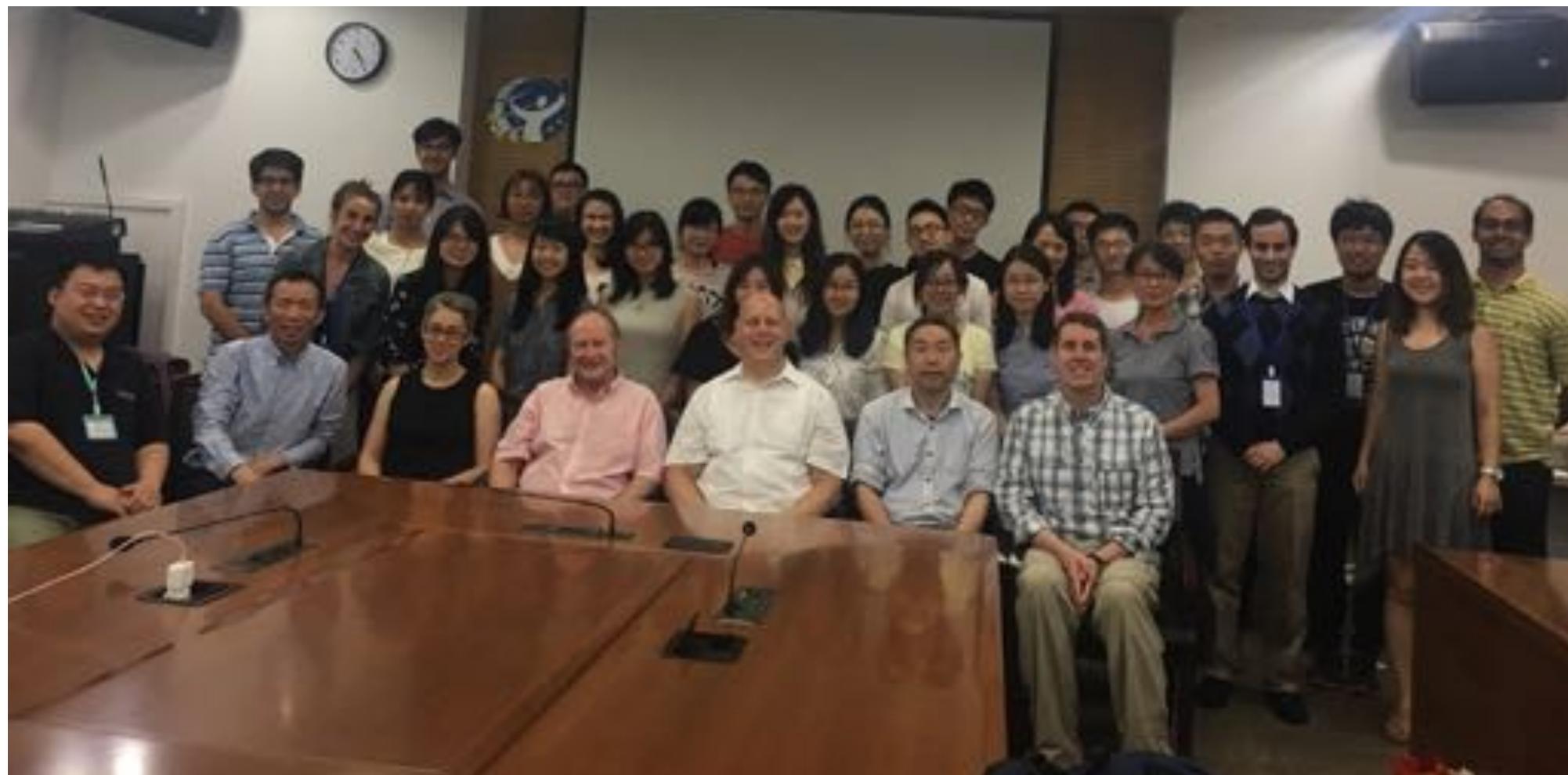


Alfred P. Sloan
FOUNDATION



MIT Academic Community

IPRI, the Genesis Group, EECS / CSAIL



Collaborators

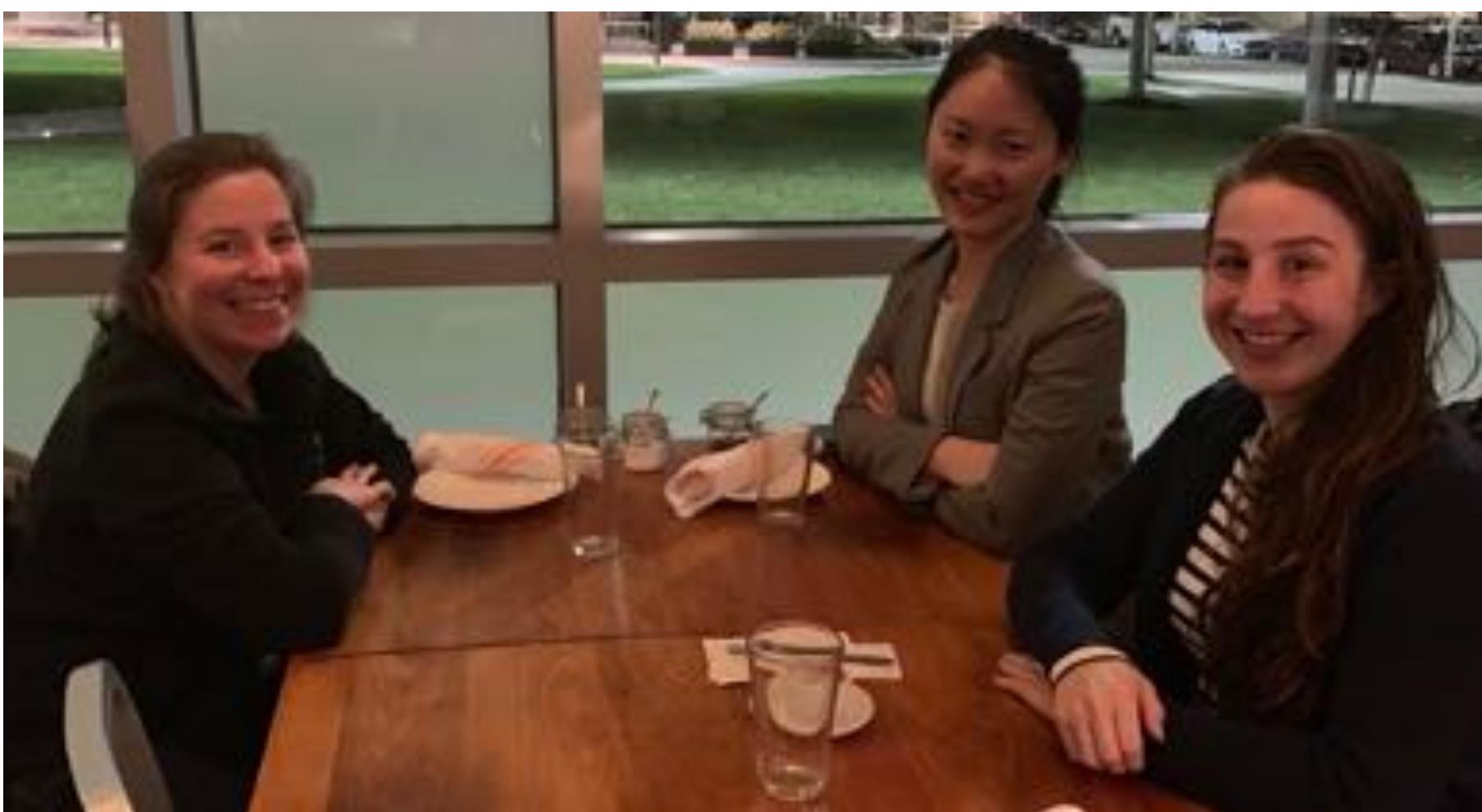
“Fellow Travelers”

- Elizabeth Han
- Evelyn Florentine
- Ishan Pakuwal
- Marla E. Odell
- Matthew Kalinowski
- Michal Reda
- Obada Alkhatib
- Tianye Chen
- Vishnu S. Penubarthi
- Zoe Lu
- Ayesha Bajwa
- Jamie C. Macbeth
- Cagri H. Zaman
- Danielle M. Olson
- Ben Z. Yuan
- Mike Specter
- David Bau
- Tarfah Alrashed
- Cecilia Testart
- Nathania Frutcher
- Julius Adebayo

And many more from
PARC, INRIA, Stanford,
UCSD, DIMACS

Previous Academic Pursuits

PARC Colleagues, Stanford iCME, and UCSD



Family

Brian, Patty (parents) and Cory Gilpin (brother)

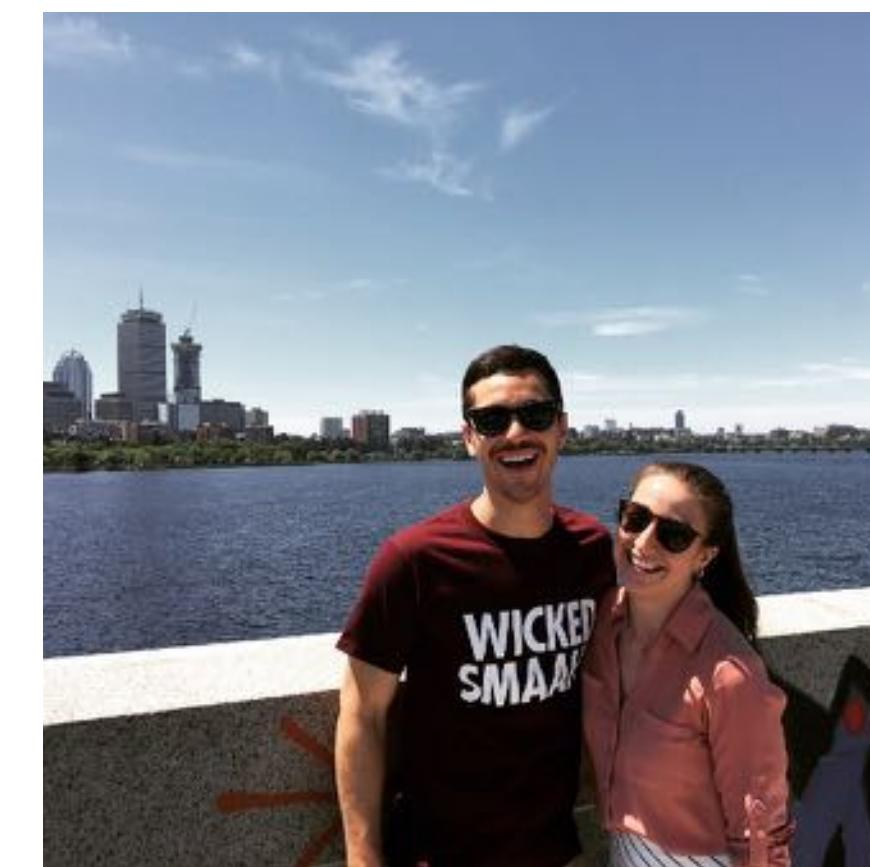


Social, Living, and Athletic Communities

Burton-Conner, Club sports, Roommates



Friends

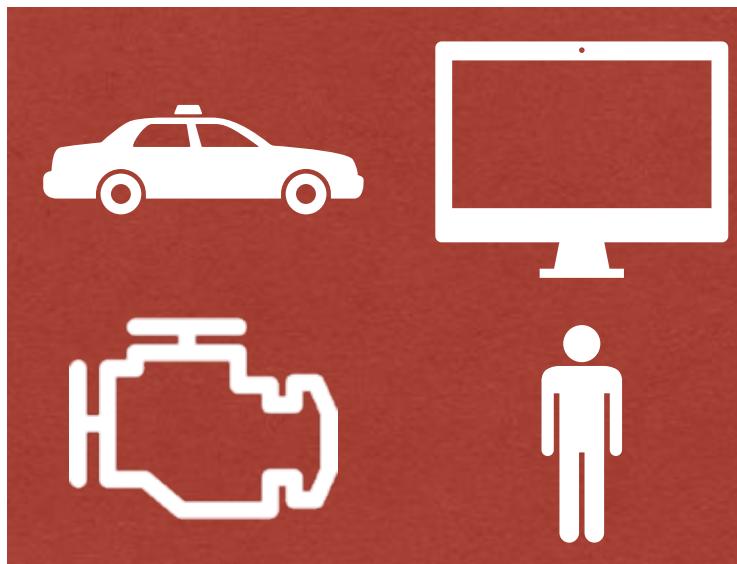


A remembrance

Patrick Henry Winston



Thesis Contributions



Complex systems need better communication and sanity checks.

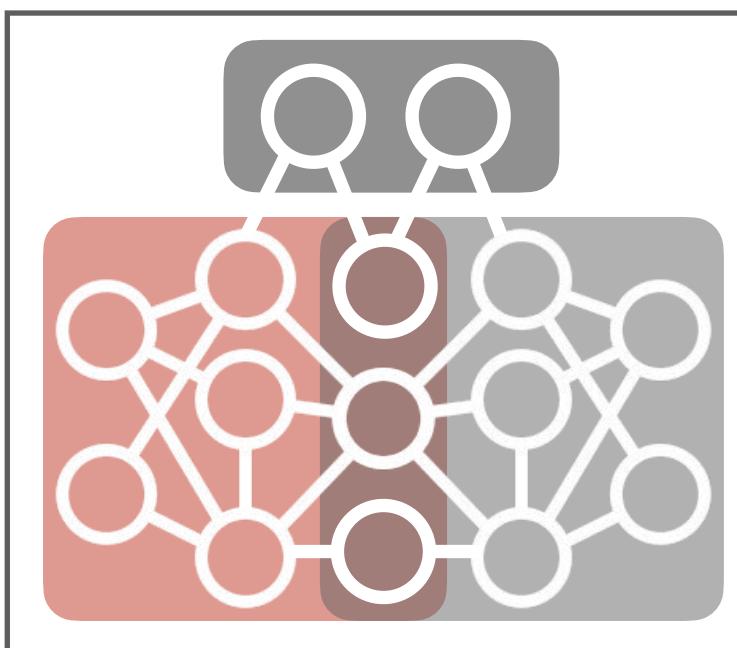


Reasonableness monitor for opaque subsystems.

AAMAS 2019
ACS 2018
AAAI 2018
ICLR Workshop 2019

Qualitative representations of sensor data.

AAAI SS 2016



An architecture to reason about unreliable parts.

AAAI FS 2019

Explanations as a common language.

NeurIPS Workshop 2018
DSAA 2018.