# ${\it PAgIoT-Privacy-preserving\ Aggregation\ protocol\ for} \\ {\it Internet\ of\ Things}$

L. González-Manzano $^{a*}$ , José M. de Fuentes $^a$ , Sergio Pastrana $^a$ , Pedro Peris-Lopez $^a$ , Luis Hernández-Encinas $^b$ 

 <sup>a</sup> Computer Security Lab (COSEC). Computer Science Department, Universidad Carlos III de Madrid. Avda. Universidad, 30, 28911 Leganes, Madrid, Spain
 <sup>b</sup> Institute of Physical and Information Technologies. Spanish National Research Council (CSIC). C/ Serrano, 144. 28006 Madrid, Spain

#### Abstract

Modern society highly relies on the use of cyberspace to perform a huge variety of activities, such as social networking or e-commerce, and new technologies are continuously emerging. As such, computer systems may store a huge amount of information, which makes data analysis and storage a challenge. Information aggregation and correlation are two basic mechanisms to reduce the problem size, for example by filtering out redundant data or grouping similar one. These processes require high processing capabilities, and thus their application in Internet of Things (IoT) scenarios is not straightforward due to resource constraints. Furthermore, privacy issues may arise when the data at stake is personal. In this paper we propose PAgIoT, a Privacy-preserving Aggregation protocol suitable for IoT settings. It enables multi-attribute aggregation for groups of entities while allowing for privacy-preserving value correlation. Results show that PAgIoT is resis-

<sup>\*</sup>Corresponding author

 $Email\ addresses:\ lgmanzan@inf.uc3m.es\ (L.\ González-Manzano^{a*}\ ),\ jfuentes@inf.uc3m.es\ (José\ M.\ de\ Fuentes^a),\ spastran@inf.uc3m.es\ (Sergio\ Pastrana^a),\ pperis@inf.uc3m.es\ (\ Pedro\ Peris-Lopez^a),\ luis@iec.csic.es\ (Luis\ Hernández-Encinas^b)$ 

tant to security attacks, it outperforms existing proposals that provide with the same security features, and it is feasible in resource-constrained devices and for aggregation of up to 10 attributes in big networks.

Keywords: Aggregation, Privacy preservation, Cryptography, Internet-of-things (IoT)

## 1. Introduction

Nowadays, information technologies are rapidly evolving and the amount of electronic information shared in networks is increasing. Moreover, large volumes of data are continuously being exchanged. In this sense, data analysis and data aggregation are valuable activities, but their implementation in distributed and untrusted networks is challenging [1].

In many scenarios the aggregation of information is not straightforward. Consider for example a football stadium with a capacity of 120,000 people. How to determine how many people are more likely to receive medical attention? This would allow a better calculation of the required emergency personnel. One straightforward approach would be to retrieve information (say heart rate) from attendees' personal devices (such as smart bracelets/watches or even Implantable Medical Devices). However, privacy issues may arise since personal information is at stake. Data should be exclusively accessible to authorized users preventing eavesdropping [2, 3, 4]. Moreover, data should remain unlinkable to owners [2, 3, 5]. It is needed to have a privacy-preserving mechanism that aggregates the information to provide with a general view of the situation.

Even more, an aggregate view cannot be enough. For example, a fast heart rate may be less dangerous in younger people than in elderly ones. It is then necessary to enable an aggregation that allows answering questions such as how many people have fast heart rate? And how many of them are older than 50? We coin the term correlatable aggregation to refer to this need, as it involves not only having the global value, but also identifying how the different variables relate each other. Previous attempts have focused on data aggregation [6, 7, 8, 9, 10] among collected data. Data security (mainly integrity) and privacy has also been considered, e.g. [2, 5]. However, to the best of the authors' knowledge, no proposal addresses the need of correlatable aggregation.

The Internet of Things (IoT) in a broad sense is a network of physical objects with the capacity to collect and exchange data. The involved objects are very diverse; cars, fridges and buildings are common examples but opportunities are immense, including food, clothes, and all the variety of living things (i.e., plants, animals and even ourselves). The objects are embedded with electronic, software and network connectivity. Wireless Sensor Networks (WSNs) is only a part of the IoT [11] and the two principal differences with other IoT objects (e.g., smartphones or smart meters) are the computing capabilities and the ability to install applications of third-parties. On the other hand, at the beginning, WSNs were considered as isolated networks and the Internet connectivity has been recently added, which brings some challenges [12].

Having considered the distinction between WSNs and IoT, in IoT aggregation mechanisms are an issue due to resource limitations [13] and scalability concerns [14]. Furthermore, several attacks (such as fabrication or manipulation of data) may be carried out to frustrate this action [6]. Considering these issues, in this paper we propose PAgIoT, a Privacy-preserving Aggregation protocol for IoT. In PAgIoT, data is decomposed into a set of

attributes which are aggregated separately. A central node (sink) queries for the value of certain attributes, and remainder nodes respond depending on whether they possess or not these attributes. As many nodes may have the same attribute, intermediate nodes perform aggregation to save network resources. In this way, PAgIoT enables gathering data concerning several attributes of each entity in a single operation ensuring data authenticity and privacy. PAgIoT leverages on clustering to deal with large-scale scenarios. Going back to the stadium setting, it allows zoning responses so that a better personnel placement could be achieved. Furthermore, it also leverages on the Paillier cryptosystem, which enables collecting information in a privacy-preserving way. PAgIoT also enables detecting malicious manipulation of aggregated information.

The remainder of this paper is as follows. Section 2 gives the background of the Paillier cryptosystem. Section 3 introduces the model considered in PAgIoT. Section 4 describes PAgIoT, whereas Section 5 shows its evaluation. Related works are described in Section 6. Finally, Section 7 outlines conclusions and future research directions.

# 2. Background: Paillier cryptosystem

In order to deal with privacy-preserving aggregation, PAgIoT uses the Paillier cryptosystem [15]. Note that there are other homomorphic encryption schemes which could be similarly applied but Paillier's is chosen as a successful alternative. In particular, Benaloh scheme [16] is a feasible choice. However, it is appropriate for long blocks of input data, which is not the case herein, and it involves higher computation costs in comparison with Paillier cryptosystem [17]. Therefore, this Section gives the reader a brief

description of Paillier cryptosystem.

Paillier system is a public key cryptosystem based on the decisional composite residuosity assumption. Let p and q be prime numbers,  $n=p\cdot q$ , and the Euler's totient function  $\phi(n)=(p-1)\cdot (q-1)$ . Given  $\gcd(n,\phi(n))=1$ , the hardness of this problem is to decide whether an element in  $\mathbb{Z}_{n^2}^*$  is an n-th power of an element in  $\mathbb{Z}_{n^2}^*$ , that is, if there exists a number  $y\in\mathbb{Z}_{n^2}^*$  such that  $z=y^n\pmod{n^2}$  [18].

Paillier system is an additive homomorphic cryptosystem, that is, the addition of two plaintext messages,  $m_1$  and  $m_2$ , can be obtained as the decryption of the multiplication of the corresponding encrypted messages,  $\mathcal{E}(m_1)$  and  $\mathcal{E}(m_2)$ . In other words, it is verified that

$$\mathcal{D}\left(\mathcal{E}(m_1)\times\mathcal{E}(m_2)\right)=m_1+m_2.$$

This homomorphic property is paramount in PAgIoT, as it allows intermediate nodes to aggregate data without having access to the actual information.

The following subsections describe the encryption/decryption procedures and the digital signature scheme defined by Paillier cryptosystem.

## 2.1. Encryption/Decryption procedures

The encryption and decryption operations involve the following steps:

• **Key generation**: let k be a security parameter. Choose k-bit prime numbers p and q, compute  $n = p \cdot q$ , and determine the Carmichael's function  $\lambda(n) = \text{lcm}(p-1, q-1)$ . Moreover, let  $B_{\alpha} \subset \mathbb{Z}_{n^2}^*$  be the set of elements of order  $n \cdot \alpha$ ,  $B \subset \mathbb{Z}_{n^2}^*$  the disjoint union of the sets  $B_{\alpha}$  for  $\alpha = 1, \ldots \lambda$ , and generate  $g \in B$ , at random. This can be done

efficiently by checking whether  $\gcd\left(L(g^{\lambda} \pmod{n^2}), n\right) = 1$ , where the function  $L(\cdot)$  is defined as  $L(u) = \frac{u-1}{n}$  [15].

Then the public key is the pair (n, g), whereas the private key is the pair (p, q), or equivalently  $\lambda$ .

• Encryption,  $\mathcal{E}(m)$ : to encrypt a message m < n, choose at random w < n, and determine the encrypted message c as follows:

$$\mathcal{E}(m) = c = g^m \cdot w^n \pmod{n^2}.$$

• **Decryption**,  $\mathcal{D}(c)$ : to decrypt c, verifying that  $c < n^2$ , the plaintext message is computed as follows:

$$\mathcal{D}(c) = \frac{L(c^{\lambda} \pmod{n^2})}{L(g^{\lambda} \pmod{n^2})} \pmod{n} = m.$$

# 2.2. Digital signature scheme

The digital signature scheme defined by Paillier system has two steps: generation of the signature and its corresponding verification. Note that key generation is done as described for encryption/decryption.

• Signature generation, S(m): let  $h: \mathbb{N} \to \{0,1\}^* \subset \mathbb{Z}_{n^2}^*$  be a hash function seen as a random oracle. The signature of the message m < n is composed of two parts,  $S(m) = \{s_1, s_2\}$ , where

$$s_1 = \frac{L(h(m)^{\lambda} \pmod{n^2})}{L(g^{\lambda} \pmod{n^2})} \pmod{n},$$
  
$$s_2 = (h(m)g^{-s_1})^{\frac{1}{n} \pmod{\lambda}} \pmod{n}.$$

• Signature verification, V(m): to verify the signature,  $(s_1, s_2)$ , of the message m, the following formula has to be checked:

$$h(m) \stackrel{?}{=} g^{s_1} \cdot s_2^n \pmod{n^2}.$$

## 3. Model

This Section introduces the underlying model of the proposed protocol. Particularly, §3.1 describes the participant entities, whereas §3.2 and §3.3 introduce the trust and adversarial models, respectively. §3.4 introduces the working assumptions and §3.5 presents the goals of PAgIoT.

## 3.1. Entities

PAgIoT is an aggregation protocol that preserves authenticity of the data at stake, as well as the privacy of the participants. A central node S, referred to as sink, is responsible for gathering and analysing all the aggregated data. PAgIoT is performed on the assumption that nodes are grouped into clusters. In each cluster, we identify two type of nodes: Master nodes, denoted as  $M^j$ , which act as cluster heads and aggregate data from General nodes,  $G_i^j \in M^j$ , for each j, which are the actual data sources. The information managed in PAgIoT is related to one or more of  $G_i^j$  attributes (e.g. height, heart rate). Next, we explain these types of entities and give a high-level overview of their functions within the protocol:

• Sink node, S. It gathers all data in order to perform a posterior analysis. Thus, S broadcasts queries and receives responses from the  $G_i^j$  nodes in the network. As a result, S gets an aggregated vision of the values of  $G_i^j$  attributes. Furthermore, S is able to correlate aggregated values. As an example, consider attributes height and heart rate. By querying on these attributes (we detail the querying process in Section §4.3) S may know that there are 47 people being [150,160] cm. tall (aggregation), and also that 23 of them have a heart rate among [51,90]

beats per minute (correlation). S has one public/private key pair,  $\{K_S, k_S\}$ , for both encryption and signature purposes.

- Master nodes,  $M^j$ . We assume that the network where PAgIoT operates is divided into several clusters. In each cluster j, there is one master node  $M^j$  that receives queries Q and forwards them to the remainder nodes of the cluster  $G_i^j$ . Then, it receives responses from these nodes, aggregates them and sends the result to S.
- General nodes,  $G_i^j$ . These are the actual sources of information. They receive the queries from their cluster head,  $M^j$ . After processing the query, they generate the corresponding response and send it to their master node  $M^j$ . They are assumed to know S public key  $K_S$ .

## 3.2. Trust model

We consider that S is a trusted party, whereas both  $G_i^j$  and  $M^j$  are partially trusted. Particularly  $G_i^j$  are honest-but-curious, which means that they can eavesdrop others data, but they cannot lie nor refuse to give information to S when asked. Regarding  $M^j$ , besides eavesdropping, we assume that they may pollute the results by omitting responses, creating new ones or modifying their content.

# 3.3. Adversarial model

The proposed adversarial model considers the following attacks:

- Eavesdropping: any node may intercept communications trying to know attributes of another.
- Collusion attack:  $M^j$  nodes may collude to discover attributes of  $G_i^j$  nodes.

It must be noted that the collusion of S and  $M^j$  is out of scope since it would unavoidably lead to a privacy violation —it could be possible for S to get each response of every node  $G_i^j$ .

• Pollution attack:  $M^j$  may create artificial responses to deceive S. Likewise,  $M^j$  may alter queries to request  $G_i^j$  chosen attributes and may alter the amount of received responses, deleting some of them.

# 3.4. Working assumptions

Following we enumerate the assumptions of the working scenario of PAgIoT:

- 1. Resilient communications. We assume that transmission control mechanisms (such as [19] [20]) are implemented by all participants. Thanks to these techniques, the communication channel becomes resilient, meaning that data between nodes (i.e. between  $G_i^j$  and  $M^j$  or between  $M^j$  and S) is never lost. In practical terms, it means that the channel is available and that transmission control mechanisms are implemented by all participants.
- 2. Node resources. We assume that S has enough computational resources to decrypt and process the received responses. Regarding  $M^j$  and  $G_i^j$ , we consider they can be resource-constrained they can be implemented in modern smart devices (such as smartwatches or smartphones). This way, it allows the protocol to be run in open and wireless scenarios. Moreover,  $G_i^j$  nodes are assumed to have a pseudo-random number generator.
- 3. Clustered network. We assume that nodes are divided into clusters before the execution of PAgIoT. This could be the case of static scenarios or dynamic scenarios where a clustering algorithm is previously

run. While research on clustering algorithms for dynamic and mobile scenarios is large, the insights of such a clustering process are out of scope of this paper.

## 3.5. Goals

The main goal of PAgIoT is to provide a secure aggregation protocol for IoT scenarios, where a large amount of nodes want to share information with a central sink. Specifically, the goals of the protocol PAgIoT are:

- Privacy preservation:  $G_i^j$  nodes deliver requested data preserving their anonymity. Confidentiality has to be guaranteed as well, such that S is the only node which accesses to all delivered data after being aggregated.
- Collusion resistance: nodes  $M^j$  and  $G_i^j$  may collude but data from other nodes must remain inaccessible.
- Verifiable aggregation: each  $M^j$  aggregates data and S verifies the proper aggregation. S checks that aggregated data belongs to nodes  $G_i^j$  involved in the protocol, thus it simultaneously tests the existence of malicious  $M^j$  which corrupt aggregated data.
- Correlatable aggregation: S must be able to get not only the aggregated results for several attributes, but also to know how attribute values correlate each other.

# 4. Protocol description

The main idea of PAgIoT is that it allows for aggregation of pieces of information (attributes of a set of entities), enabling the correlation between

Table 1: Notation

Element	Meaning
S	Sink node
$M^{j}$	Head of cluster $j$
$G_i^j$	Node $i$ belonging to cluster $j$
$K_S / k_S$	Paillier Public/private key of $S$
$\mathcal{E}_K(\cdot) \ / \ \mathcal{D}_K(\cdot)$	Encryption/Decryption procedures using key $K$
$\mathcal{S}_K(\cdot) \ / \ \mathcal{V}_K(\cdot)$	Generation/Verification procedures of a signature using key $K$
$Q_i$	Query $i$ broadcasted by $S$
$a_i$	Attribute i
$I_i$	Interval of values for attribute $a_i$
$R_i^j$	Response of $G_i^j$ node
$\mathcal{E}_K(R_i^j) = \overline{R_i^j}$	Encrypted response of $G_i^j$ node using key $K$
l	Bitlength required to express the possession of an attribute
x	Bitlength of the value $x$
A	Number of elements of set $A$

attribute values. A key feature is that no node is able to reveal information of the aggregated data from any other node. Indeed, even the sink S, which recovers aggregated data and may correlate the attribute values, cannot link this information to particular nodes, thus preserving their privacy. The notation in use throughout the paper is shown in Table 1.

The protocol overview is presented in §4.1. Afterwards, all protocol phases are described. PAgIoT consists of five phases depicted in Figure 1: the system setup (§4.2), query broadcasting (§4.3), response creation (§4.4), response processing and final computation (§4.5). Moreover, the algorithm to detect malicious alterations of responses by  $M^j$ , is presented in §4.6.

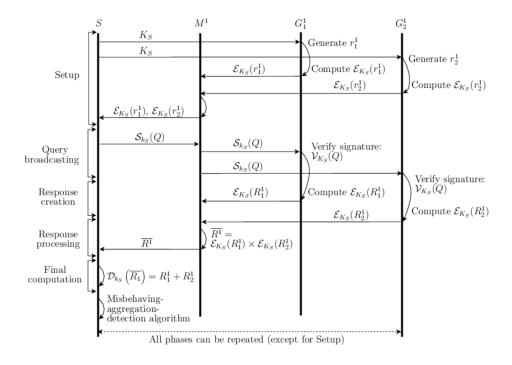


Figure 1: Protocol phases.

## 4.1. Protocol overview

PAgIoT works in a query-response way (Figure 1). When the sink node S wants to get information from nodes  $G_i^j$ , it sends them a signed query  $S_{k_S}(Q)$ . As nodes are organized in clusters, this communication is performed through cluster heads  $M^j$  which directly forwards the query to their cluster nodes. Each  $G_i^j$  constructs its response  $R_i^j$  and encrypts it applying Paillier cryptosystem,  $\mathcal{E}_{K_S}(R_i^j)$ . This response is again sent through  $M^j$ , which performs aggregation prior to forwarding back to S. Accordingly, once  $M^j$  has gathered all responses to the query from its cluster members, it aggregates all of them leveraging on the homomorphic feature of Paillier and generates the aggregated response  $\overline{R^j}$ . The result is sent to S, which

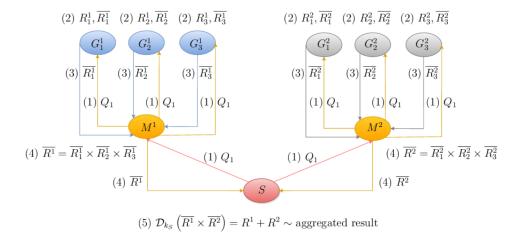


Figure 2: Execution of PAgIoT in the football stadium example: S receives the heart rate and gender of each node.

again aggregates all the responses from received from master nodes. Then, S can decrypt the overall response and get the aggregated vision of the required data.

One important aspect is that  $M^j$  could attempt to counterfeit the aggregation. For example, it could try to inject an artificial response to deceive S. To address this issue, each node  $G_i^j$  introduces a unique, incremental random number into each response. Based on this number, S can determine if any  $M^j$  has polluted its results by applying a Misbehaving aggregator detection algorithm, which is further explained in Section §4.6.

# 4.1.1. Supporting example

Let us consider that S is the emergency manager of a football stadium, which is divided into zones or clusters as in Figure 2 (without loss of generality, in the example we use 2 clusters for simplicity). S defines three heart rate intervals (e.g. [0,50], [51,90] and [91,200]) and two gender types (male,

female) and launches the first query  $(Q_1)$  to discover the heart rate and gender of each person (each one carrying a node  $G_i^j$ ).

Once the aggregated response has been retrieved, S launches a second query which is only devoted to females as the prevalence of heart disease is higher on them<sup>1</sup>. Particularly, this second query  $(Q_2)$  consists of discovering the age of women. Three intervals are defined concerning age, namely, [0,30], [31,60] and [61,100].

Based on these settings, the following subsections will show how PAgIoT works to address this need.

# 4.2. Setup

At the beginning of the protocol, each node  $G_i^j$  that takes part in the protocol receives the public key from  $S, K_S$ . Besides, each node  $G_i^j$  generates an unique random number  $r_i^j$ . This number may be much bigger than the amount of  $G_i^j$  nodes to minimize collisions. The number is encrypted and sent to S through  $M^j$ . Thus, preventing S from linking a given  $r_i^j$  to any particular node.

# 4.3. Query broadcasting

Whenever S desires, it broadcasts queries Q to all cluster heads  $M^j$ . The query asks for a set of attribute values from the nodes  $G_i^j$  that S wants to know. A query Q is formally defined as:

$$Q = \mathcal{S}_{k_S}(\{A, I, l\}),$$

 $<sup>^1 \</sup>rm https://www.heart.org/idc/groups/heart-public/@wcm/@sop/@smd/documents/downloadable/ucm_449846.pdf, last accessed in October, 20th, 2015.$ 

where the set  $A = \{a_1, ..., a_n\}$  contains all attributes  $a_i$  that are at stake in the current query, the set  $I = \{I_1, ..., I_n\}$  contains the intervals of values for each attribute, and l is the amount of bits required to express the possession of an attribute.

Recalling the supporting example (§4.1.1), the two queries  $Q_1$  and  $Q_2$  are formed as follows:

$$\begin{array}{lcl} Q_1 & = & \mathcal{S}_{k_S}(\{\{\text{heart rate, gender}\}, \{\{[0,50], [51,90], [91,200]\}, \\ & & [\text{male, female}]\}, 3\}), \\ \\ Q_2 & = & \mathcal{S}_{k_S}(\{\{\text{age, gender}\}, \{\{[0,30], [31,60], [61,100]\}, [\text{female}]\}, 3\}), \end{array}$$

One parameter that deserves attention is the value l=3. The rationale behind this is as follows. The aggregation process counts how many nodes have an attribute value that matches each interval. Accordingly, the maximum number of matches for a given interval is the total number of nodes in the network, say |G|. Thus, the number of required bits to express the possession can be calculated as shown in Equation (1):

$$l = \lceil \log_2(|G|) \rceil. \tag{1}$$

In the example (recall Figure 2),  $l = \lceil \log_2(6) \rceil = 3$ .

Last but not least, queries are signed by S to prevent them from malicious manipulations. Particularly, as they are sent through  $M^j$ , the signature guarantees that queries are not altered by any  $M^j$ .

## 4.4. Response creation

Each node  $G_i^j$  verifies the signature of the received query, and constructs the response,  $R_i^j$ , based on the query. In a nutshell,  $R_i^j$  contains a set of

bits for each combination of values of the queried attributes. For the sake of brevity, each one of these sets is referred to as a property  $P_i$ . Each node  $G_i^j$  puts  $P_i = 1$  if it meets the property, and  $P_i = 0$  otherwise.

The total amount of properties,  $N_p$ , is calculated as the amount of possible combinations of intervals  $I_i$  of the requested attributes  $a_i$ , i.e.:

$$N_p = \prod_i |I_i|$$

The node  $G_i^j$  inserts into  $R_i^j$  its random number,  $r_i^j$ , to be used in the misbehaving-aggregator-detection algorithm (§4.6). Thus, the final structure of responses  $R_i^j$  is as follows, being  $\parallel$  the concatenation operator:

$$R_i^j = \{P_1 \parallel \cdots \parallel P_{N_p} \parallel r_i^j\}.$$

The order of the properties within the response is assumed to be agreed among all nodes in a given execution. For the sake of simplicity, a *pre-order* combination of attributes is assumed in this paper. Thus, if attribute  $a_1$  has three values and  $a_2$  has two, property  $P_1$  is formed by the first values of  $a_1$  and  $a_2$ ,  $P_2$  is composed by the first value of  $a_1$  and the second one of  $a_2$ ,  $a_3$  comes from the second value of  $a_1$  and the first one of  $a_2$ , and so on.

Responses  $R_i^j$  are encrypted for S by  $G_i^j$  using the Paillier cryptosystem and the encryption public key of S,  $\mathcal{E}_{K_S}(R_i^j) = \overline{R_i^j}$ . Afterwards,  $\overline{R_i^j}$  is sent to  $M^j$ .

One critical aspect for performance is the length of  $R_i^j$ . This length,  $l_R$ , depends on the length of each property  $P_i$  and the number of bits of the maximum  $r_i^j$ ,  $l_r$ . The length of this last parameter has to prevent collusion among nodes (studied in §4.6). In order to allow aggregation, each property must be long enough to allow *all* nodes having that property. For this

reason, each property must be l bits long (recall §4.3). Equation (2) shows the formal expression for  $l_R$ .

$$l_R = N_p \cdot l + l_r. \tag{2}$$

# 4.4.1. Response creation example

Following the supporting example (recall §4.1.1) and regarding  $Q_1$ , six different properties (i.e. combinations of attributes) are noticed: to be female with [0,50], [51,90] or [91,200] beats per minute of heart rate or to be male with [0,50], [51,90] or [91,200] beats per minutes of heart rate. Accordingly:

 $\begin{array}{lll} P_1 &=& 1 \text{ if } \{[0,50], \text{female}\}, \\ P_2 &=& 1 \text{ if } \{[51,90], \text{female}\}, \\ P_3 &=& 1 \text{ if } \{[91,200], \text{female}\}, \\ P_4 &=& 1 \text{ if } \{[0,50], \text{male}\}, \\ P_5 &=& 1 \text{ if } \{[51,90], \text{male}\}, \\ P_6 &=& 1 \text{ if } \{[91,200], \text{male}\}. \end{array}$ 

Assuming a node  $G_1^1$  whose owner is female and whose heart rate is 85, it would belong to  $P_2$  from the above. Considering  $l_r = 7$ , the generated random number is represented as  $r_1^1 = 23_{10} = 0010111_2$ . Last but not least, recall that l = 3. With all these elements, the response remains as follows:

$$R_1^1 = \{000 \parallel 001 \parallel 000 \parallel 000 \parallel 000 \parallel 000 \parallel 0010111\}.$$

# 4.4.2. Preventing eavesdroppers

Depending on the queried values, a given node  $G_i^j$  may or may not reply to them. Again applying the supporting example but concerning  $Q_2$ , node  $G_2^2$  could be male and then, it is not forced to send an answer. However, in such a case the remaining cluster nodes  $(G_1^2, G_3^2 \text{ and } M^2, \text{ see Figure 2})$  would learn its gender. Thus, defending against eavesdroppers is critical.

To address this issue, it is important to note that  $G_i^j$  nodes are honest (recall Section 3). Thus, they cannot send false responses. However,  $G_i^j$  nodes can build an empty response (i.e. all properties  $P_i$  set to zero, using the right value of  $r_i^j$ ) to defeat eavesdroppers. This decision (i.e. giving an empty response or avoiding to reply) may be based on internal  $G_i^j$  parameters, such as reducing battery consumption.

# 4.5. Response processing

Intermediate nodes  $M^j$  receive  $\overline{R_i^j}$  from their cluster members,  $G_i^j$ . These intermediate nodes aggregate the information by computing the multiplication of all encrypted responses, thus building their response  $\overline{R^j}$ , that is,

$$\overline{R^j} = \prod_i \overline{R_i^j}.$$

This is the main advantage of using the homomorphic features of Paillier cryptosystem —the multiplication of  $\overline{R_i^j}$  leads to the addition of  $R_i^j$  (after decryption). Given the encoding proposed in the responses, each different property,  $P_i$ , will be added (i.e. aggregated) with others, but the node  $M^j$  cannot reveal information as data is encrypted.

Afterwards each  $M^j$  concatenates  $\overline{R^j}$  with a number  $N_r$ , that expresses the amount of multiplied  $\overline{R_i^j}$ . The use of  $N_r$  is associated with the misbehaving-aggregator-detection algorithm described in §4.6. Once  $\overline{R^j}$  is created, it

is sent to S. Later, S multiplies all received  $\overline{R}^j$  to have all data aggregated, and then decrypts it using  $k_S$ .

## 4.5.1. Response processing example

Regarding  $Q_1$  of the supporting example and detailed in the upper part of Figure 3, it is supposed that  $M^1$  and  $M^2$  have received information from all  $G_i^j$  nodes,  $1 \le j \le 2$  and  $1 \le i \le 3$ , in their respective clusters each of one having the following properties:

$$G_1^1 \sim \{[51, 90], \text{female}\} \approx P_2, \text{ with } r_1^1 = 23_{10},$$
 $G_2^1 \sim \{[91, 200], \text{male}\} \approx P_6, \text{ with } r_2^1 = 9_{10},$ 
 $G_3^1 \sim \{[51, 90], \text{male}\} \approx P_5, \text{ with } r_3^1 = 6_{10},$ 
 $G_1^2 \sim \{[51, 90], \text{female}\} \approx P_2, \text{ with } r_1^2 = 17_{10},$ 
 $G_2^2 \sim \{[91, 200], \text{male}\} \approx P_6, \text{ with } r_2^2 = 11_{10},$ 
 $G_3^2 \sim \{[51, 90], \text{female}\} \approx P_2, \text{ with } r_3^2 = 3_{10}.$ 

When S decrypts  $\overline{R^1}$  and  $\overline{R^2}$ , the aggregation of all responses of each cluster would be as shown in Table 2. It can be concluded that there are three nodes female with heart rate between [51,90] (one of them belonging to the cluster 1 and the other two to the cluster 2), two nodes male with a heart rate between [91,200] (one of each node) and a node male with a heart rate between [51,90] (from the cluster 1).

Finally, S has to verify if any  $M^j$  has altered or dropped responses. To do so, the algorithm presented in the following Section is developed.

#### 4.6. Misbehaving aggregator detection algorithm

This algorithm helps to detect malicious  $M^j$  which lie about the amount of received responses. It leverages on the random number  $r_i^j$  introduced in

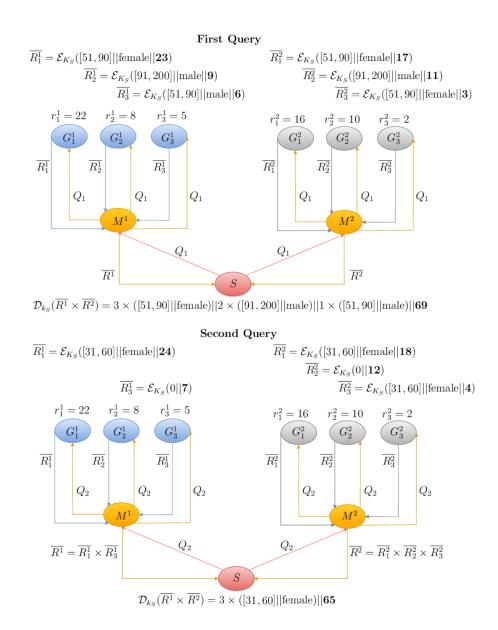


Figure 3: Misbehaving-aggregator-detection algorithm applied over the football stadium example.

each response. The algorithm works as follows:

1. Each  $G_i^j$  creates a random number  $r_i^j$  and sends it, encrypted, to  $M^j$ ,

Table 2: Sample aggregation of decrypted responses  $\mathbb{R}^1$  and  $\mathbb{R}^2$  by S

$M^1$	$R_1^1 = \{000 \parallel 001 \parallel 000 \parallel 000 \parallel 000 \parallel 000 \parallel 0010111\}$
	$R_2^1 = \{000 \parallel 000 \parallel 000 \parallel 000 \parallel 000 \parallel 001 \parallel 0001001\}$
	$R_3^1 = \{000 \parallel 000 \parallel 000 \parallel 000 \parallel 001 \parallel 000 \parallel 0000110\}$
$M^2$	$R_1^2 = \{000 \parallel 001 \parallel 000 \parallel 000 \parallel 000 \parallel 000 \parallel 0010001\}$
	$R_2^2 = \{000 \parallel 000 \parallel 000 \parallel 000 \parallel 000 \parallel 001 \parallel 0001011\}$
	$R_3^2 = \{000 \parallel 001 \parallel 000 \parallel 000 \parallel 000 \parallel 000 \parallel 0000011\}$
S	$R^{1} = \{000 \parallel 001 \parallel 000 \parallel 000 \parallel 001 \parallel 001 \parallel 0100110\}$
	$R^2 = \{000 \parallel 010 \parallel 000 \parallel 000 \parallel 000 \parallel 001 \parallel 00111111\}$

thus avoiding S linking  $r_i^j$  with  $G_i^j$ . Each of such values should be  $r_i^j < L_r + N_Q$ , where  $L_r$  is an upper limit of  $r_i^j$  and  $N_Q$  an upper limit of the expected number of queries. Accordingly, the number of bits to define  $r_i^j$ , denoted as  $l_r$ , is calculated from the number of nodes  $G_i^j$  involved in the protocol, |G|, and  $(L_r + N_Q)$ , see Equation (3).

$$l_r = \lceil \log_2(L_r + N_Q) \rceil \cdot \lceil \log_2(|G|) \rceil. \tag{3}$$

- 2. Response creation and Response processing (recall §4.4 and §4.5) are executed.
- 3. Once S decrypts multiplied responses, it verifies that the last concatenated value,  $N_r$ , is the sum of received  $r_i^j + 1$  in the setup phase of all received responses. The amount of operations to perform is equivalent to the calculus of combinations of  $G_i^j$  nodes involved in the protocol, |G|, choosing  $N_r$  of them, see Equation (4).

$$\binom{|G|}{N_r} = \frac{|G|!}{N_r! (|G| - N_r)!}$$
(4)

Then, S just has to calculate the sum of all  $r_i^j + 1$  because all nodes have answered. Thus, S checks if

$$\sum_{i,j} \left( r_i^j + 1 \right)$$

is equivalent to the last part of the concatenated values of

$$\mathcal{D}\left(\prod_{j} \overline{R^{j}}\right) = \mathcal{D}\left(\prod_{j} \mathcal{E}\left(R^{j}\right)\right) = \sum_{j} R^{j}.$$
 (5)

When this process finishes, S updates values of all  $r_i^j$  to be ready for the following query.

According to  $Q_1$  of the supporting example (upper part of Figure 3), S stores the values of all  $r_i^j$ :  $r_1^1 = 22$ ,  $r_2^1 = 8$ ,  $r_3^1 = 5$ ,  $r_1^2 = 16$ ,  $r_2^2 = 10$ , and  $r_3^2 = 2$ . Later, S computes the sum of all such values, obtaining

$$\sum_{1 \le i \le 3, 1 \le j \le 2} \left( r_i^j + 1 \right) = 23 + 9 + 6 + 17 + 11 + 3 = 69,$$

which coincides with the last part of the decrypted value obtained by S (see Equation (5)). Finally, S updates the values of  $r_i^j$ , increasing each of them in 1:  $r_1^1 = 23$ ,  $r_2^1 = 9$ ,  $r_3^1 = 6$ ,  $r_1^2 = 17$ ,  $r_2^2 = 11$ , and  $r_3^2 = 3$ .

4. Assuming that S sends a second query, the process is repeated with the exception that each  $G_i^j$  increments  $r_i^j$  by one. It must be noted that all nodes update their value of  $r_i^j$ , no matter if they answer to a query or not.

Again on the bases of the supporting example (lower part of Figure 3), assuming that  $G_1^1$ ,  $G_1^2$  and  $G_3^2$  are women and  $G_1^3$  and  $G_2^2$  answer to preserve their anonymity, S performs

$$\binom{6}{5} = \frac{6!}{5!(6-5)!} = 6$$

operations to verify the correct reception of responses. In particular, it calculates all possible combinations and if

$$r_1^1 + 1 + r_3^1 + 1 + r_1^2 + 1 + r_2^2 + 1 + r_3^2 + 1 = 24 + 7 + 18 + 12 + 4 = 65$$

is reached, it means that all  $M^j$  have behaved properly. Finally, S updates  $r_i^j$ .

It is noteworthy that if a set of x additions get the same value, the verification of the next query would multiply by a factor of x the amount of operations. However, operations do not exponentially increase with the number of queries because after each query, S knows values of  $r_i^j$  applied for the following verification. Moreover, note that  $r_i^j$  are random and kept secret (through the use of encryption), to avoid malicious nodes from the inference or injection of well-formed responses.

## 5. Evaluation

This Section presents a theoretical and an empirical evaluation. Firstly, the assessment of established goals is studied (§5.1). Secondly, privacy preservation and, particularly, the data that may be inadvertently guessed by  $M^j$  is analyzed (§5.2). Thirdly, a performance analysis is presented (§5.3). Lastly, PAgIoT is compared against the two works that provide with the same set of security properties, as it is discussed in Section 6. This analytical comparison is shown (§5.4).

# 5.1. Goals assessment

• **Privacy preservation**. Data sent from every  $G_i^j$  is encrypted with the public key  $K_S$ , which belongs to S. It ensures confidentiality and

avoids malicious eavesdroppers to access the private data. Besides, since S only receives aggregated data, individual anonymity of nodes  $G_i^j$  is preserved. In particular, S is unable to explicitly identify  $G_i^j$  by analyzing received attributes. In §5.2 we conduct a further analysis of the capabilities of  $M^j$  nodes to infer data from the received responses withing their clusters.

- Collusion resistance. Each node  $G_i^j$  encrypts data and sends it to  $M^j$ . Neither  $M^j$  nor  $G_i^j$  know the decryption key and then even colluding, no data of other nodes is accessible. A force brute attack can be also considered. However, as Paillier cryptosystem produces different cyphertext from the same clear text, the attack would be impractical. Due to these considerations the collusion among multiple  $M^j$  or an  $M^j$  and several  $G_i^j$  do not compromise the system.
- Verifiable aggregation. The inclusion of incremental random numbers  $r_i^j$  in each response (applied in the misbehaving-aggregator-detection algorithm) helps to verify the correctness of the aggregation guaranteeing that  $M^j$  properly aggregate all received responses.
- Correlatable aggregation: The design of responses, based on combining attribute values, allows S to correlate such values without additional computation.

Summarized in Figure 4, encryption prevents from eavesdropping as data is inaccessible. Likewise, encryption together with the fact that S is the only one which owns the decryption key, avoids collusion attacks (recall that or proposal is based on asymmetric cryptography). Conversely, the introduction of random numbers within responses allows the identification

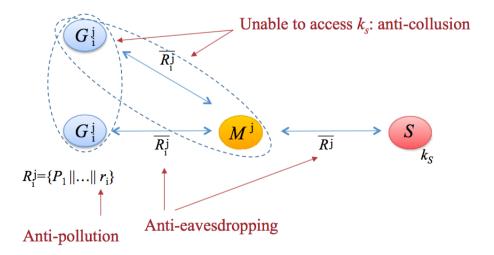


Figure 4: Prevented attacks

of malicious behaviours. S can detect, based on the received sum of random numbers, if the aggregation is or not polluted.

# 5.2. Characterizing the privacy preservation

One of the key aspects of PAgIoT is that it prevents S from linking the answers to particular  $G_i^j$ . This statement is also true for  $M^j$ , since answers are encrypted for S. There is, however, a room for  $M^j$  to gain information about a given  $G_i^j$ . This Section focuses on how this undesired result may happen.

In a nutshell, information gain by  $M^j$  depends on two main factors: the set of queries and the context knowledge of  $M^j$ . To illustrate this issue, the supporting example is considered (recall §4.1). As the first query  $Q_1$  is general, all nodes will give an answer. However, query  $Q_2$  is only focused on women. As a result, a given male node (say  $G_2^1$ ) is not forced to give an answer.

Considering this issue, the information gain that  $M^1$  achieves from  $G_2^1$  is clear: his gender. The capacity of giving a void response for these cases is critical for privacy preservation. In such a case, thanks to the use of encryption,  $M^1$  cannot discover that  $G_2^1$  is giving a void response and thus, gender leakage is prevented.

One obvious consequence of this is that  $G_2^1$  must keep a coherent participation pattern. Let us consider that S sends a third query,  $Q_3$  that asks all women for their heart rate, using all possible intervals.  $G_2^1$  must also give a void answer because otherwise  $M^1$  would discover that the answer to  $Q_2$  was useless and that, in the end,  $G_2^1$  is a male.

Apart from this issue, context knowledge of  $M^j$  also plays an important role. If the example was done in a football-related supermarket (say an official store for a given football club),  $M^j$  could predict in advance the most probable profile of any  $G_i^j$ . Particularly, the *expected* gender of  $G_i^j$  would be male (say 75% of them). Therefore, if  $Q_2$  is answered by 40%, a given  $M^j$  would know that a portion of answers (an estimated amount of 15% of them) are void. Although it could not lead to a precise identification, this could be combined with the remaining queries.

Considering the previous facts, privacy preservation in PAgIoT is achieved as long as S carefully designs its queries in such a way that they do not lead to a data leakage, either individually or by combination. Furthermore, how  $G_i^j$  determines when to participate in unforced queries may also contribute to this issue.

# 5.3. Performance analysis

The performance analysis focuses on the study of the computation cost to test the feasibility of developing PAgIoT, as well as the size of responses to analyze channel bandwidth.

# 5.3.1. Computation time

In order to measure consumed resources by the protocol in a real setting, the functionality of both  $G_i^j$  nodes and S have been implemented.  $M^j$  nodes are less challenging, since they only forward queries and responses, and perform linear additions of the received encrypted responses. Thus, they are assumed to have enough resources to cope with the amount of nodes in their cluster.

 $G_i^j$  is emulated by an Android application running in smartphones with constrained resources (i.e., battery and power). Regarding S, it is implemented as an Ubuntu server. We conduct the experiments using a Samsung Galaxy S3 (processor ARMv7 and 832 MB of RAM) and an Intel Core 2 Processor (3.00 Ghz) with 4 GB of RAM.

In the experiments the size of the Paillier security parameter k is 256 (i.e., |n| = 512). We have experimented with constant values for requested attributes (note that in a real scenario, these values will be either stored in the node or requested to wearable or other devices from the user). Moreover, different network sizes are tested, namely  $|G| \in \{2^8, 2^{16}, 2^{32}, 2^{64}\}$ , as well as |A| from 2 to 10 and  $|I_i| = 3$  for all attributes. Under these assumptions the computation time of each protocol phase and operation have been measured. Nonetheless, findings show that the encryption of responses is by far the most costly operation and it is the only one which is worth studying. The computation cost of remaining operations can be considered negligible in the proposed scenario.

Under the previous setting, Figure 5 shows encryption time. It is noticed that requesting up to 6 attributes can be performed in less than half of a

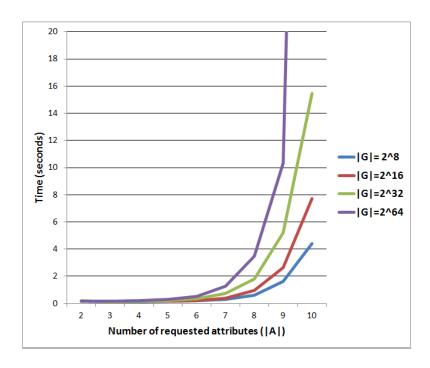


Figure 5: Response encryption time measured in a smartphone.

second with any size of the network. Indeed, in a network with, e.g.,  $2^{16}$  nodes which can be considered a large scale network, encrypting a response when 7 attributes are requested still requires less than a second of computation. Indeed, in a worst case scenario when 9 attributes are requested, for  $|G| \in \{2^8, 2^{16}, 2^{32}, 2^{64}\}$ , the encryption time is 1.6; 2.6; 5.2 and 10.3 s., respectively. These results show that, even with such amount of requested attributes, the protocol is particularly feasible for networks with  $2^8$  and  $2^{16}$  nodes.

The experimental results show that the protocol PAgIoT is suitable to be implemented in a large scale network composed by one central server, running in a non-constrained system (like a high performance computing server) and a large number of nodes running an application which consumes few resources.

# 5.3.2. Responses size analysis

This analysis focuses on studying the size of the responses,  $l_R$ , which is a critical parameter for the overall performance of the network and particularly related to the channel bandwidth. The aim of this analysis is to determine the most appropriate values for the size of the network, |G|, the size of intervals of each attribute,  $|I_i|$ , and the amount of requested attributes, |A|, in a query. Besides, three thresholds are considered, namely, the maximum amount of bytes that can be sent in a long text message, TH1, that is 480 bytes [21]; the maximum size of a TCP packet, TH2, that is 64 kbytes; and the average size of a WhatsApp message, TH3, that is 225 kbytes [22]. Note that TH1 and TH2 are chosen for being units of information transmission in networks and TH3 for illustrative purposes as WhatsApp is a widespread application.

In the proposed experiment different values of network sizes and attributes are applied, namely  $|G| \in \{2^8, 2^{16}, 2^{32}, 2^{64}\}$  and  $|A_i| \in \{2, 6, 10\}$ . For simplicity, it is assumed that all requested attributes have the same interval size, that is,  $|I_i| \in \{3, 5, 10\}$ . The size of the random number  $l_r$  is established in regard to  $L_r = 10^6$  and the number of expected queries  $N_Q$  is set to 5000. Note that  $l_r$ , identified from Equation (3), does not significantly affect  $l_R$  and then, a high value for  $l_r$  is chosen as a representative example. Results are depicted in Table 3 where values under **TH1**, TH2 and TH3 are bold, italic and underline style, respectively.

The worst results (and unacceptable under the established thresholds) occur when  $|I_i| = 10$  and |A| > 2. However, challenging results are achieved in the remaining cases. Under TH1 for |A| = 2 and  $|I_i| = \{3, 5\}$ , all  $l_R$ 

Table 3:  $l_R$  for different values of  $|G|,\,|I_i|,$  and |A|

	$ G  = 2^8$	$ G  = 2^{16}$	$ G  = 2^{32}$	$ G  = 2^{64}$				
$ I_i =3$								
$ A_i  = 2$	223.5	447.0	894.0	1788.1				
$ A_i  = 6$	1887.5	3775.0	7550.0	15100.1				
$ A_i  = 10$	8159.5	16000.0	32000.0	65276.1				
	$ I_i  = 5$							
$ A_i =2$	415.51	831.02	1662.04	3324.08				
$ A_i  = 6$	62367.51	124735.02	249470.04	498940.08				
$ A_i  = 10$	800159.51	<u>1600000.00</u>	3200000.00	6401276.08				
		$ I_i  = 10$						
$ A_i =2$	8351.51	16703.02	33406.04	66812.08				
$ A_i  = 6$	483729567.51	967459135.02	1934918270.04	3869836540.08				
$ A_i  = 10$	80000000159.51	1600000000000.00	3200000000000.00	640000001276.08				
TH1: 3840								
TH2: 524280								
TH3: 1800000								

are accepted except for  $|G| = \{2^{32}, 2^{64}\}$  when |A| = 6. In the case of TH2, the only rejected results are those in which |A| = 10 and  $|I_i| = \{5, 10\}$ . Similarly, for TH3 all values are accepted except for those in which |A| = 10,  $|I_i| = 5$  and  $|G| = \{2^{32}, 2^{64}\}$  and in which |A| = 10 and  $|I_i| = 10$ . Moreover, |G| also affects  $l_R$  but not as much as the remaining elements. Indeed,  $|G| = \{2^{32}, 2^{64}\}$  are the only values which affect  $l_R$  negatively. For instance, for  $|I_i| = 5$  and |A| = 6,  $l_R = 124735$  when  $|G| = 2^{16}$  and  $l_R = 249470$  when  $|G| = 2^{32}$ . It means that  $l_R$  increments 50% when |G| increases  $2^{16}$ %.

In sum,  $l_R$  remains between established thresholds once choosing many |A| (about 10) with small amount of  $|I_i|$  (about 5) or few |A| (about 2) with high amount of  $|I_i|$  (about 10). On the contrary, the total number of nodes in the network, |G|, is not a distinguishing factor because  $l_R$  is much lesser affected by this element than by the number of requested attributes, |A|, or the requested intervals for each attribute,  $|I_i|$ .

The above results show that PAgIoT is suitable for large scale networks, composed by a great number of nodes. However, a pair of issues should be considered concerning attributes and intervals. On the one hand, these elements have to be chosen in regard to each particular scenario to prevent from performance problems. On the other hand, attributes and intervals particularly affect the channel bandwidth. It is worth recalling that due to the query/response approach used in PAgIoT, as well as the use of clustering, the amount of traffic is limited and distributed. Each query must arrive to every  $G_i^j$  node through  $M^j$  nodes, and at least |G| packets are transmitted. However, nodes can decide to respond to a query, and thus the response process generate less than |G| packets or |G| packets at most. While this may be object of further research when implementing PAgIoT in a real scenario, current mobile technologies such as 802.11 or 3G, can deal with

such large scale settings provided that enough access points are placed within the scenario [23, 24].

# 5.4. Analytical comparison

An analytical comparison between PAgIoT, HDA [25] and iCPDA [26] is performed herein. The last two proposals have been chosen for being the ones whose security requirements are similar to those set for PAgIoT (see Section 6). For the sake of clarity, we refer the reader to Section 6 to get a brief description of these works.

The comparison is performed based on two aspects that are relevant for measuring the performance of the proposal, namely the amount of keys at stake and the amount of transmitted messages in the setup, aggregation and aggregation verification phases within a single cluster. Thus, it can be easily extrapolated to any number of clusters. To perform a fair comparison it is assumed that clusters are already created, that each cluster is composed of n nodes  $G_i^j$  and that aggregated data is sent from the head of the cluster  $M^j$  to the sink S.

Table 4 presents results of the comparison. The number of keys is particularly noticeable in HDA and iCPDA. In the former proposal each  $G_i^j$  shares a key with  $M^j$  and this latter with S. In iCPDA a protocol is enforced in which each  $G_i^j$  shares a key with all other nodes in the cluster as well as with  $M^j$ . Conversely, in PAgIoT a public key cryptosystem is applied in which just a keypair (public/private) is used for encryption and aggregation purposes.

Concerning sent messages, we analyse each phase separately. In the Setup phase results are quite similar in PAgIoT and HDA. In HDA, nodes, including  $M^j$  with S, create the key to apply in the remaining parts of

the protocol. The process is compared to a Diffie-Hellman protocol but in the context of elliptic curves. In PAgIoT a message composed of the sink public key  $K_S$  is sent to each  $G_i^j$ . Subsequently, each  $G_i^j$  creates a random number and sends it encrypted to  $M^j$  which redirects them to S. A more costly process is performed in iCPDA. A key establishment protocol is executed such that each  $G_i^j$  performs a broadcast communication with all the remaining G and lastly  $M^j$  shares keys with S.

Significant differences are identified comparing the Aggregation phase. HDA requires computing Hilbert curve points and a sum of them to be sent the result to  $M^j$  and redirected to S subsequently. In iCPDA the aggregation can be divided in three parts: firstly each  $G_i^j$  broadcasts a random number to all G in the cluster (2(n+1) messages); secondly each  $G_i^j$  computes a polynomial per other G and sends results to each of them (2(n+1) messages); and finally, each  $G_i^j$  performs a final addition of polynomials and sends the result to  $M^j$  which redirects it to S (n+1 messages). By contrast, in PAgIoT S sends a query and each  $G_i^j$  responses accordingly to  $M^j$  and finally the result is received by S.

The amount of messages interchanged for aggregation verification is specially significant in HDA. A Privacy Information Retrieval (PIR) technique is applied. Each  $G_i^j$ , also  $M^j$  from S, receives an integrity request message and G response accordingly. The protocol proposed in iCPDA consists of sending a message from  $M^j$  to other M disclosing received information to detect malicious behaviours. A different approach proposes PAgIoT, the verification is performed using data within the received aggregated message and thus, no extra messages are needed.

Results drawn from this comparison show that PAgIoT is specially appropriate in terms of key management and aggregation verification. Moreover, the amount of messages in the setup phase is analogous to HDA and outperforms iCPDA. Concerning aggregation, HDA involves less amount of messages than PAgIoT because an initial request query is not required. However, iCPDA is by far the proposal with worse results producing a significant workload in the network. In sum, considering that PAIoT outperforms iCPDA in all cases, it can also be considered a better alternative than HDA because no messages are required for aggregation verification and just one key is managed.

Table 4: Comparison HDA [25], iCPDA [26] and PAgIoT, where n is the amount of nodes.

	Number of	Number of interchanged messages			
keys		Setup	Aggregation	Aggregation	
				verification	
HDA	n+1	2n+2	n+1	2n+2	
iCPDA	$\frac{n(n+1)}{2}$	$n^2 + 2$	5n+5	1	
PagIoT	1 pair	2n+1	2n+2	0	

# 6. Related work

Aggregation has been a challenging topic so far [1, 27]. Multiple proposals have been developed, from the aggregation of values [5, 6, 7, 28, 29, 30, 31, 32, 33, 34, 35, 36], to additions [2, 3, 26, 37, 38, 39, 40, 41, 42, 43], calculus of minimums or maximums [10, 44, 45] or even more complex tasks such as averages [9, 10, 30, 46], variances [9, 46], range queries [6] or logic operations [8].

Over the years security requirements have been introduced in this process [47]. Anonymity is specially remarkable because aggregators should not

learn particular data. Some proposals focus on the anonymity of messages passed through aggregator nodes [6, 29, 44] or on identity preservation of such nodes [7] or nodes providing information [2, 3, 5, 26, 38]. Anonymity is generally achieved by the use of cryptographic schemes, e.g. symmetric encryption [2, 6, 7, 30] or more sophisticated schemes like homomorphic encryption [8, 37, 38, 39, 31, 40, 41, 42, 43, 46], homomorphic aggregation of signatures [36] and homomorphic encryption with re-randomization applying a proxy [5]. By contrast, Groat et al. [44] propose the use of randomized arrays instead of a cryptographic system. Each node sends an array of values to the root of a subtree until reaching the root of the tree (i.e. the overall aggregator). Values are in plain text but the position of the real values in the array is only known by the node which is root of the tree. Likewise, Honrey et al. [29] do not use cryptography but negative surveys. Negative values are transmitted from nodes providing information to the overall aggregator, which reconstructs the final histogram of data. Much simpler aggregation techniques are proposed by [32, 33, 34]. They just mention the aggregation of plain text data by a central entity.

Privacy preservation against observers is considered in [2, 3, 5, 7, 8, 29, 26, 44, 31, 41, 42, 46, 36, 25, 48]. The use of cryptography is demanding to manage this issue [2, 3, 5, 7, 8, 28, 29, 26, 38, 46, 36, 25, 48], as well as randomized arrays or negative surveys [44].

Collusion resistant is another security requirement [2, 5, 26, 38, 39, 25]. Particularly, [2, 26] present collusion resistant proposals as long as clusters are big enough. In [5] an aggregation scheme is proposed in which nodes, a proxy and a data base (DB) are involved. Messages are sent through the proxy to finally reach the DB. Collusion resistant is guaranteed when the proxy and the DB do not collude. [38] applies multiple keys for de-

crypting values such that colluding parties are not able to infer all required keys. A different approach is taken in [39], collusion is avoided by trusting users whose values are aggregated. Conversely, in [25] authors mention the protection against collusion but it is not particularly described how it is managed.

Verifying that aggregation is correctly performed without polluted results is also addressed by several proposals [6, 30, 26, 28, 31, 41, 35, 36, 43, 25, 48]. A monitoring neighbour scheme is proposed in [26], where neighbours of intermediate aggregator nodes monitor their behaviour. Paper [6] presents an integrity-verification algorithm based on identifying unauthentic, superfluous or incomplete data, as well as empty results. In [3] redundancy checks are applied and in [30] a proof of correctness based on hash functions is presented. On the other hand, [28] focuses on data pollution against malicious intermediate aggregator nodes. Authors propose that each leaf node verifies its parent's aggregation, considering that nodes are organized as a tree. [41] applies digital signatures to provide message integrity apart from confidentiality. With the same purpose [36, 31] apply elliptic curve digital signatures. [35] addresses this issue from the point of view of data lost, twin-keys are applied to obfuscate real values sent to the sink. In [48] the imaginary part of a complex number is used to verify integrity by aggregators and the sink node. A query-response technique called PIR proposes [25] to verify messages integrity.

Table 5 presents a summary of related works identifying the management of anonymity of nodes, privacy preservation against observers, collusion resistant, verifiable aggregation, correlatable aggregation, aggregation purpose and encryption scheme. Indeed, these features are the goals addressed by PAgIoT. Here,  $\sqrt{}$  means that the property is fulfilled, whereas X represents

that the property is not satisfied. For the sake of comparison, PAgIoT is included as well.

HDA [25] and iCPDA [26] are the proposals whose security requirements are analogous to the ones proposed in PAgIoT and then, they are briefly described.

In particular, iCPDA proposes a clustering algorithm to enforce data integrity and privacy. It bases on PDA [2] to perform the clustering and the aggregation. After clustering and the enforcement of a key establishment protocol the aggregation starts. Aggregation focuses on addictive properties of polynomials. Nodes within a cluster compute a value of a polynomial for the remaining nodes in the cluster and send values to each appropriate node. Once values from all nodes are received, each of them sums received values and the result is sent to the cluster leader. This latter node computes a final polynomial addition to create an aggregated message. iCPDA proposes other phase in which each cluster leader when receiving messages (of aggregated data) from downstream leaders, makes results accessible to all of them. If some leader identifies that the received result is incorrect, a misbehaviour is detected.

HDA proposes an aggregation scheme to also enforce data privacy and integrity. Hilbert-curve algorithm is applied to transform one-dimensional data into two-dimensional data. Once clusters are created and pairs of nodes have computed and shared keys based on an elliptic curve Diffie-Hellman algorithm (ECDH), the aggregation is performed. Data sent from one node to another is encrypted through a Hilbert-curve algorithm and sent to the aggregator which adds received values to create the aggregated result. Then, another phase starts to verify data integrity. Parent nodes send to all child nodes a PIR message, that is a message to request children the computation

Table 5: Related work analysis

	Security properties			Correlatable ag-	Aggregation	Encryption	
				gregation	purpose	scheme	
	Anonymity of	Privacy preser-	Collusion resis-	Verifiable aggre-			
	sending nodes	vation against	tance	gation			
		observers					
[44]	1	√	/	х	x	Min, max	х
[2]	√	√	√	x	x	Sum	Symmetric
[37]	√	√	x	x	x	Sum	Homomorphic
[28]	×	x	x	√	x	Sum	Asymmetric
[3]	√	√	x	x	x	Sum	not defined
[10]	×	x	x	х	x	Count, min, max,	х
						sum, average	
[45]	×	x	x	x	x	Count, min, max,	x
						sum	
[9]	×	x	x	x	x	Count, min, max,	x
						sum, average,	
						stdev, variance	
[5]	√	√	√	х	х	Sum	Asymmetric
[6]	x	х	х	√	х	Count	Symmetric
[7]	×	✓	x	x	x	Generic aggrega-	Symmetric
						tion	
[29]	x	✓	x	х	х	Count	х
[38]	√	√	√	х	х	Sum	Homomorphic
[30]	×	х	x	√	х	Count, min, max,	Symmetric
						average, median	
[8]	x	√	х	х	х	Not defined	Homomorphic
[39]	$\checkmark$	x	√	x	x	Sum	Homomorphic
[32]	x	х	х	х	х	Not defined	х
[31]	x	√	х	√	х	Not defined	Homomorphic
[40]	x	х	х	х	х	Sum	Homomorphic
[41]	x	√	x	√	х	Sum	Homomorphic
[33]	x	х	x	х	х	Not defined	х
[42]	x	√	x	х	х	Sum	Homomorphic
[43]	√	х	х	√	х	Sum	Homomorphic
[46]	√	√	х	х	х	Sum, average, vari-	Homomorphic
						ance	
[35]	√	х	x	√	х	Not defined	Asymmetric
[34]	×	х	x	х	х	Not defined	х
[36]	×	√	x	√	х	Not defined	Not defined
[48]	×	√	х	✓	х	Sum	Symmetric
[25]	√	✓	√	√	х	Sum	Symmetric
[26]	√	√	√	√	х	Sum	Symmetric
PAgIoT	√	√	√	√	✓	Count	Homomorphic

of a value of their Hilbert curve. Nodes response and parents verify if the computation is correct and thus integrity holds.

In the light of this study some weaknesses, addressed by PAgIoT, are not considered by current proposals. In particular, PAgIoT proposes the privacy-preserving aggregation of data enabling correlation of original values while also mananing anonymity of sending nodes, collusion resistant and verifiable aggregation.

# 7. Conclusions

The huge amount of data spread worldwide provides the need for data analysis, being aggregation a challenging activity in this regard. Security cannot be taken for granted, being data authenticity and users privacy major issues. This paper presents PAgIoT, a privacy-preserving aggregation protocol for Internet of Things (IoT) scenarios. It contributes to the aggregation of data based on attribute-based queries and the homomorphic Paillier cryptosystem. In fact, PAgIoT achieves correlatable aggregation, enabling the sink to get not only the overall aggregated value, but also the correlation between attribute values. A misbehaving aggregator detection algorithm is also introduced to avoid malicious aggregators. Results of the evaluation show that PAgIoT is feasible for resource-constrained environments (such as IoT). Furthermore, it is resistant to eavesdropping, collusion and pollution attacks, and it is practical using a wide set of attributes and in relative large networks (e.g. using queries composed of 10 attributes in networks of 2<sup>16</sup> nodes). Moreover, PAgIoT has been compared against two previous works (referred to as HDA and iCPDA) that provide with the same security properties. Results show that PAgIoT outperforms both proposals

considering the amount of keys at stake and the number of messages sent.

Future work will be focused on the use of the proposed protocol in the cybersecurity context which involves, among other issues, risks, threats and vulnerabilities management. The use of other cryptographic schemes (particularly lightweight ones) will be assessed as well.

## Acknowledgments

This work was partially supported by the MINECO grant TIN2013-46469-R (SPINY: Security and Privacy in the Internet of You) and the CAM grant S2013/ICE-3095 (CIBERDINE: Cybersecurity, Data, and Risks).

- [1] R. Rajagopalan, P. Varshney, Data aggregation techniques in sensor networks: A survey, no. paper 22, 2006.
- [2] W. He, X. Liu, H. Nguyen, K. Nahrstedt, T. Abdelzaher, PDA: Privacy-preserving data aggregation in wireless sensor networks, in: INFOCOM 2007. 26th IEEE International Conference on Computer Communications, 2007, pp. 2045–2053.
- [3] H. Wenbo, N. Hoang, L. Xue, K. Nahrstedt, T. Abdelzaher, iPDA: An integrity-protecting private data aggregation scheme for wireless sensor networks, in: MILCOM 2008. Military Communications Conference, 2008, pp. 1–7.
- [4] H. Chen, W. Lou, On protecting end-to-end location privacy against local eavesdropper in wireless sensor networks, Pervasive and Mobile Computing 16 (2015) 36–50.

- [5] B. Applebaum, H. Ringberg, M. Freedman, M. Caesar, J. Rexford, Collaborative, privacy-preserving data aggregation at scale, in: Privacy Enhancing Technologies, 2010, pp. 56–74.
- [6] Z. Xiaoying, D. Lei, P. Hui, C. Hong, L. Deying, L. Cuiping, Achieving efficient and secure range query in two-tiered wireless sensor networks, in: IWQoS 2014. IEEE 22nd International Symposium ofQuality of Service, 2014, pp. 380–388.
- [7] L. Buttyán, T. Holczer, Perfectly anonymous data aggregation in wireless sensor networks, in: MASS 2010. IEEE 7th International Conference on Mobile Adhoc and Sensor Systems, 2010, pp. 513–518.
- [8] M. Kumar, S. Verma, K. Lata, Secure data aggregation in wireless sensor networks using homomorphic encryption, International Journal of Electronics 102 (4) (2015) 690–702.
- [9] F. Ren, J. Zhang, Y. Wu, T. He, C. Chen, C. Lin, Attribute-aware data aggregation using potential-based dynamic routing in wireless sensor networks, IEEE Transactions on Parallel and Distributed Systems 24 (5) (2013) 881–892.
- [10] S. Madden, M. Franklin, J. Hellerstein, W. Hong, TAG: A tiny aggregation service for ad-hoc sensor networks, SIGOPS Operating System Review 36 (2002) 131–146.
- [11] C. Alcaraz, P. Najera, J. Lopez, R. Roman, Wireless sensor networks and the internet of things: Do we need a complete integration?, in: 1st International Workshop on the Security of the Internet of Things (SecIoT10), 2010.

- [12] D. Christin, A. Reinhardt, P. S. Mogre, R. Steinmetz, Wireless sensor networks and the internet of things: selected challenges, 2009, pp. 31– 34.
- [13] J. Gubbi, R. Buyya, S. Marusic, M. Palaniswami, Internet of Things (IoT): A vision, architectural elements, and future directions, Future Generation Computer Systems 29 (7) (2013) 1645–1660.
- [14] J. Hai, F. Shen, S. Chen, K. Li, Y. Jeong, A secure and scalable storage system for aggregate data in IoT, Future Generation Computer Systems 49 (2015) 133–141.
- [15] P. Paillier, Public-key cryptosystems based on composite degree residuosity classes, in: Advances in Cryptology, Proc. EUROCRYPT 99, Springer, 1999, pp. 223–238.
- [16] J. C. Benaloh, Secret sharing homomorphisms: Keeping shares of a secret secret, in: Advances in CryptologyCRYPTO86, Springer, 1987, pp. 251–260.
- [17] V. K. Singh, M. Dutta, Analyzing cryptographic algorithms for secure cloud network, CoRR 2014.
- [18] J. Stern, Advances in Cryptology, Proc. EUROCRYPT 99, Vol. 1592, Springer, 1999.
- [19] S. Chouikhi, I. El Korbi, Y. Ghamri-Doudane, L. A. Saidane, A survey on fault tolerance in small and large scale wireless sensor networks, Computer Communications 69 (2015) 22–37.
- [20] B. Kusy, D. Abbott, C. Richter, C. Huynh, M. Afanasyev, W. Hu, M. Brünig, D. Ostry, R. Jurdak, Radio diversity for reliable commu-

- nication in sensor networks, ACM Transactions on Sensor Networks (TOSN) 10 (2) (2014) 32.
- [21] L. Sheets, F. Callaghan, A. Gavino, F. Liu, P. Fontelo, Usability of selected databases for low-resource clinical decision support, Applied Clinical Informatics 3 (3) (2012) 326–333.
- [22] P. Fiadino, M. Schiavone, P. Casas, Vivisecting WhatsApp in cellular networks: Servers, flows, and quality of experience, in: Traffic Monitoring and Analysis, Springer, 2015, pp. 49–63.
- [23] H. Riiser, P. Vigmostad, C. Griwodz, P. Halvorsen, Commute path bandwidth traces from 3G networks: Analysis and applications, in: Proceedings of the 4th ACM Multimedia Systems Conference, MMSys 13, ACM, 2013, pp. 114–118.
- [24] Y. Chen, E. Nahum, R. Gibbens, D. Towsley, Y. Lim, Characterizing 4G and 3G networks: Supporting mobility with multi-path TCP, Tech. rep., University of Massachusetts Amherst, Technical Report: UM-CS-2012-022 (2012).
- [25] Y.-K. Kim, H. Lee, M. Yoon, J.-W. Chang, Hilbert-curve based data aggregation scheme to enforce data privacy and data integrity for wireless sensor networks, International Journal of Distributed Sensor Networks 2013.
- [26] W. He, X. Liu, H. Nguyen, K. Nahrstedt, A cluster-based protocol to enforce integrity and preserve privacy in data aggregation, in: ICDCS Workshops09. 29th IEEE International Conference on Distributed Computing Systems Workshops, 2009, pp. 14–19.

- [27] J. Heidemann, F. Silva, C. Intanagonwiwat, R. Govindan, D. Estrin, D. Ganesan, Building efficient wireless sensor networks with low-level naming, in: SOSP '01. Eighteenth ACM symposium on Operating systems principles, 2001, pp. 146–159.
- [28] H. Li, K. Li, W. Qu, I. Stojmenovic, Secure and energy-efficient data aggregation with malicious aggregator identification in wireless sensor networks, Future Generation Computer Systems 37 (2014) 108–116.
- [29] J. Horey, M. M. Groat, S. Forrest, F. Esponda, Anonymous data collection in sensor networks, in: MobiQuitous 2007. Fourth Annual International Conference on Mobile and Ubiquitous Systems: Networking Services, 2007, pp. 1–8.
- [30] H. Chan, A. Perrig, B. Przydatek, D. Song, SIA: Secure information aggregation in sensor networks, Journal of Computer Security 15 (1) (2007) 69–102.
- [31] S. B. Othman, A. A. Bahattab, A. Trad, H. Youssef, Confidentiality and integrity for data aggregation in wsn using homomorphic encryption, Wireless Personal Communications 80 (2) (2015) 867–889.
- [32] T. Ma, Y. Liu, Z.-j. Zhang, An energy-efficient reliable trust-based data aggregation protocol for wireless sensor networks, Int. J. Control Autom 8 (3) (2015) 305–318.
- [33] R. Manni, M. Rai, L. Kansal, Edrina: Enhanced data routing for innetwork aggregation algorithm for wsns, Asian Journal of Information Technology 14 (8-12) (2015) 276–280.

- [34] L. A. Villas, A. Boukerche, H. S. Ramos, H. A. de Oliveira, R. B. de Araujo, A. A. Loureiro, Drina: A lightweight and reliable routing approach for in-network aggregation in wireless sensor networks, Computers, IEEE Transactions on 62 (4) (2013) 676–689.
- [35] M. Conti, L. Zhang, S. Roy, R. Di Pietro, S. Jajodia, L. V. Mancini, Privacy-preserving robust data aggregation in wireless sensor networks, Security and Communication Networks 2 (2) (2009) 195–213.
- [36] S. Fu, J. Ma, H. Li, Q. Jiang, A robust and privacy-preserving aggregation scheme for secure smart grid communications in digital communities, Wiley Online Library, 2015.
- [37] M. Bae, K. Kim, H. Kim, Preserving privacy and efficiency in data communication and aggregation for ami network, in: Journal of Network and Computer Applications, Elsevier, 2015.
- [38] E. Rieffel, J. Biehl, A. Lee, W. van Melle, Private aggregation for presence streams, Future Generation Computer Systems 31 (2014) 169–181.
- [39] V. Rastogi, S. Nath, Differentially private aggregation of distributed time-series with transformation and encryption, in: Proceedings of the 2010 ACM SIGMOD International Conference on Management of data, ACM, 2010, pp. 735–746.
- [40] G. Raj, M. Thanjaivadivel, M. Viswanathan, N. Bindhu, Efficient sensing of data when aggregated with integrity and authenticity, Indian Journal of Science and Technology 9 (3).
- [41] D. He, N. Kumar, J.-H. Lee, Privacy-preserving data aggregation

- scheme against internal attackers in smart grids, Wireless Networks 22 (2) (2016) 491–502.
- [42] C.-I. Fan, S.-Y. Huang, Y.-L. Lai, Privacy-enhanced data aggregation scheme against internal attackers in smart grid, Industrial Informatics, IEEE Transactions on 10 (1) (2014) 666–675.
- [43] K. Grining, M. Klonowski, P. Syga, Practical fault-tolerant data aggregation, 2016.
- [44] M. Groat, W. H., S. Forrest, KIPDA: k-indistinguishable privacypreserving data aggregation in wireless sensor networks, in: INFOCOM, 2011 Proceedings IEEE, 2011, pp. 2024–2032.
- [45] I. Rodero, F. Guim, J. Corbalan, L. Fong, S. Sadjadi, Grid broker selection strategies using aggregated resource information, Future Generation Computer Systems 26 (1) (2010) 72–86.
- [46] C. Castelluccia, E. Mykletun, G. Tsudik, Efficient aggregation of encrypted data in wireless sensor networks, in: Mobile and Ubiquitous Systems: Networking and Services, 2005. MobiQuitous 2005. The Second Annual International Conference on, IEEE, 2005, pp. 109–117.
- [47] O. Cheikhrouhou, Secure group communication in wireless sensor networks: A survey, Elsevier, 2015.
- [48] M. Yoon, M. Jang, H.-I. Kim, J.-W. Chang, A signature-based data security technique for energy-efficient data aggregation in wireless sensor networks, International Journal of Distributed Sensor Networks 2014.