

## Last time

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An algorithm is efficient if it does qualitatively better than brute force on every input.

↓  
 $2^n$  or worse ,

$an^b$  (polynomial)  
= efficient

Poll:

Does every computational problem have a polynomial time algorithm?

1. yes

2. no

432

$P = NP$

$P \neq NP$

Are we satisfied? No

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How to define runtime:

① level of detail

→ big O notation

② which inputs?

best case X

average case

worst case

→ one bad input = unusable

→ probability distribution over inputs

→ "for all inputs"

# How many primitive operations does the algorithm take?

## Algorithm 1

Input: integer array A of length n

for  $i = 1, 2, \dots, n$  : assign  $i$  1 prim op

total = 0 assign total 1 p.o.

inner loop [ for  $j = 1, 2, \dots, n$  : ——— assign  $j$  1 prim op  
total = total + A[i] ——— retrieve total, +, assign total  
B[i] = total retrieve total, assign B[i] 2 p.o. ]  
5 prim ops n times  
2 1 1

2 + 5n + 2 per outer loop

Assume the following

- 1 operation for basic arithmetic operations
- 1 operation for variable assignment
- 1 operation for variable retrieval

runtime = # primitive ops for input of size n  
 $T(n) = n(5n + 4) = 5n^2 + 4n$

# Big O notation

upper bound

**Definition of big O:**  $f(n)$  is  $O(g(n))$  if there exist constants  $c > 0$  and  $n_0 \geq 0$  such that  $f(n) \leq c \cdot g(n)$  for all  $n \geq n_0$ .

ex  $5n^2 + 4n$  is  $O(n^2)$ .

We need to show that there exist  $c$  and  $n_0$  s.t.

$$5n^2 + 4n \leq c n^2 \text{ for all } n \geq n_0.$$

how about  $c = 10$  and  $n_0 = 0$ ?

Show that  $5n^2 + 4n \leq 10n^2$  for  $n \geq 0$ .

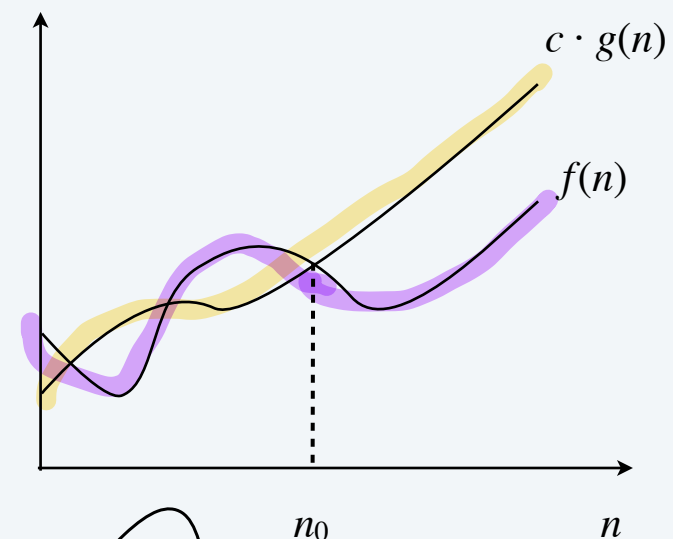
Note that for all  $n \geq 0$ ,  $n^2 \geq n$ .

$$\text{So } 5n^2 + 4n \leq 5n^2 + 4n^2 = 9n^2 \leq 10n^2$$

could I have chosen  $c = 4$ ,  $n_0 = 0$ ?

$$\text{is } 5n^2 + 4n \leq 4n^2 \text{ for all } n \geq 0?$$

Could I have chosen  $c = 9$ ,  $n_0 = 100$ ?



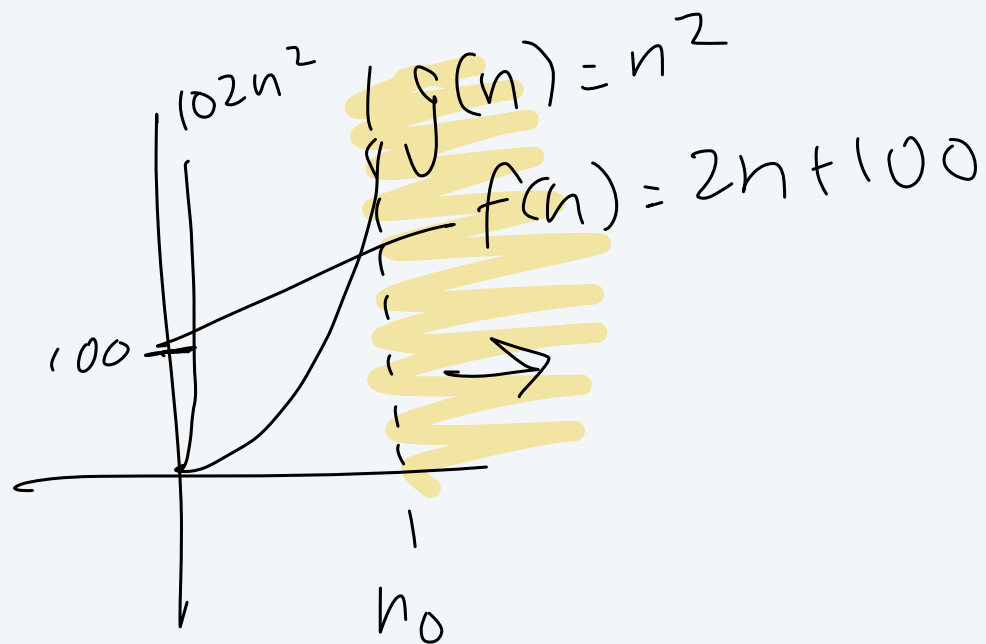
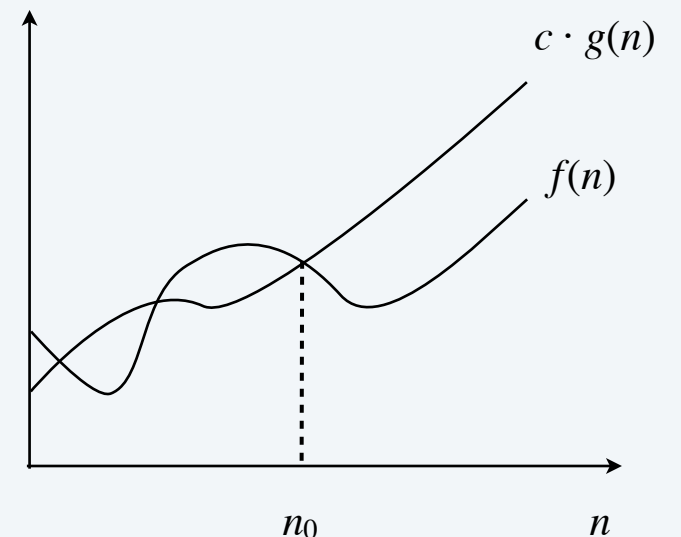
$$5n^2 + 4n \leq 9n^2 \text{ for all } n \geq 100.$$

# Big O notation: another example

**Definition of big O:**  $f(n)$  is  $O(g(n))$  if there exist constants  $c > 0$  and  $n_0 \geq 0$  such that  $f(n) \leq c \cdot g(n)$  for all  $n \geq n_0$ .

**Claim:**  $2n + 100$  is  $O(n^2)$ .

To show  $2n + 100$  is  $O(n^2)$ , we have to show that there exist constants  $c > 0$  and  $n_0 \geq 0$  s.t.  $2n + 100 \leq cn^2$  for all  $n \geq n_0$ .



try  $c = 102$   
 $n_0 = 1$

need to show:

$$2n + 100 \leq 102n^2 \text{ for all } n \geq 1$$

when  $n = 1$ :  
 $2 + 100 \leq 102 \cdot 1^2 \quad \checkmark$

$c = 1$  ?  
need  $2n + 100 \leq n^2$   
for all  $n \geq 20$

# Big O notation: another example



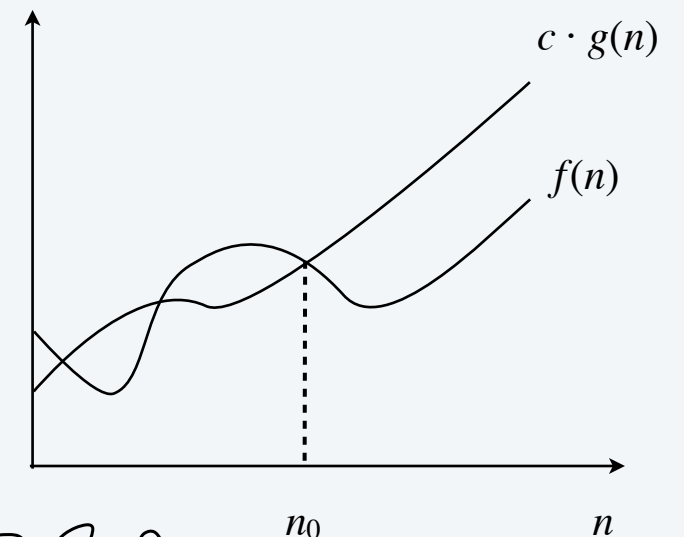
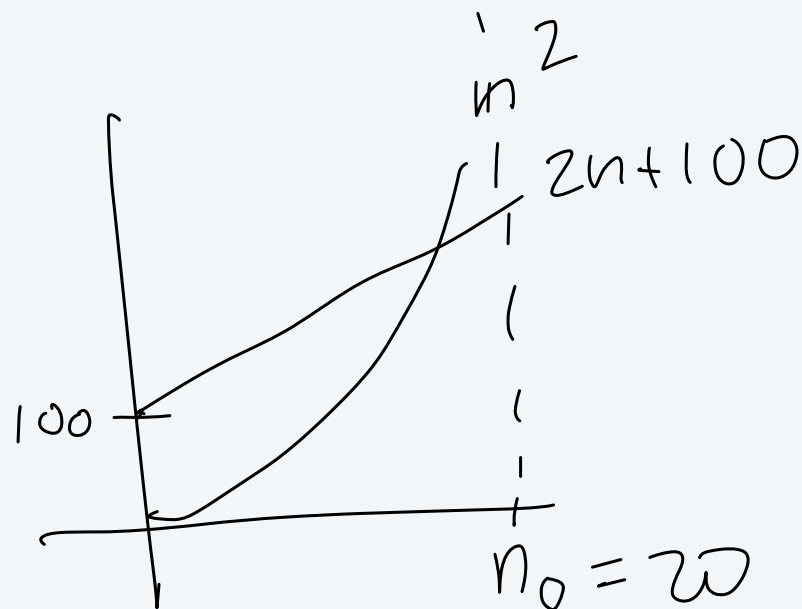
**Definition of big O:**  $f(n)$  is  $O(g(n))$  if there exist constants  $c > 0$  and  $n_0 \geq 0$  such that  $f(n) \leq c \cdot g(n)$  for all  $n \geq n_0$ .

Claim:  $2n + 100$  is  $O(n^2)$ .

Proof:

choose  $c=1$  and  $n_0=20$ . Then,  
we have  $2n + 100 \leq n^2$  for all  $n \geq 20$ .

see picture.



Claim:  $10$  is  $O(2^n)$ .

how do I prove that  $5n^2 + 4$  is  $O(n)$ ?

$5n^2 + 4$  is not  $O(n)$ ?

To prove true: give  $c > 0, n_0 \geq 0$  s.t.

$5n^2 + 4 \leq cn$  for all  $n \geq n_0$ .

To prove false ( $5n^2 + 4$  is not  $O(n)$ ):

show that there are no  $c > 0, n_0 \geq 0$   
s.t.  $5n^2 + 4 \leq cn$  for all  $n \geq n_0$ .

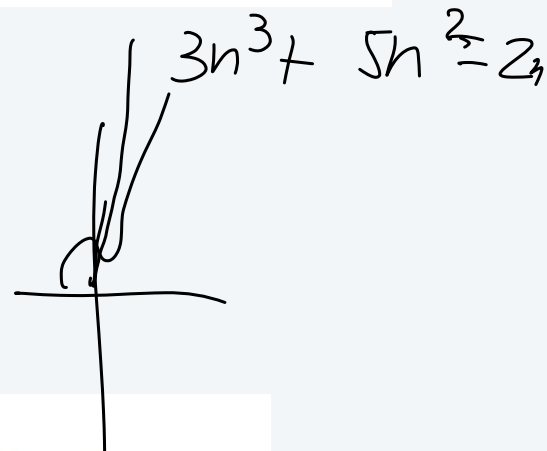
## Let's do some examples together

1. Prove that  $3n^3 + 5n^2 - 2n = O(n^3)$  using the definition of big O. That is, you must give a  $c$  and an  $n_0$  and show that they fit the definition, either by reasoning in words or by drawing a graph.

$$3n^3 + 5n^2 - 2n \leq cn^3 \text{ for all } n \geq n_0$$

$c = 20, n_0 = 0.$

$$3n^3 + 5n^2 - 2n \leq 20n^3 \text{ for all } n \geq 0.$$



2. How would you prove that  $3n^3 + 5n^2 - 2n \neq O(n^2)$ ? Don't do it, just tell me how you would.

Def of big O:  $f(n)$  is  $O(g(n))$  if there exist  $c > 0, n_0 \geq 0$  s.t.  $f(n) \leq cg(n)$  for all  $n \geq n_0$ .



Back at  
9:45

1. (8 points) Suppose you have algorithms with the following runtimes. (Assume these are exact running times as a function of the input size  $n$ , not a asymptotic running times.) How much slower do these algorithms get when you double the input size?

(a)  $n$   $\frac{\text{time for double}}{\text{time}} = \frac{(2n)}{n} = 2$

(b)  $n \log n$   $\frac{(2n \log (2n))}{n \log n} = \frac{2n \log 2 + 2n \log n}{n \log n}$

$= \frac{2n \log 2}{n \log n} + \frac{2n \log n}{n \log n} = \frac{2}{\log n} + 2$   
 for large  $n$ , 2  
 $\log_2 2 = 1$

2. (7 points) Recall the definition of big O:

$f(n)$  is  $O(g(n))$  if there exist positive constants  $n_0, c$  such that  $f(n) \leq c \cdot g(n)$  for all  $n \geq n_0$ .

$$f(n) \leftarrow g(n)$$

Using this definition, prove that  $2n + 5$  is  $O(n^2)$ .

Give a  $c, n_0$

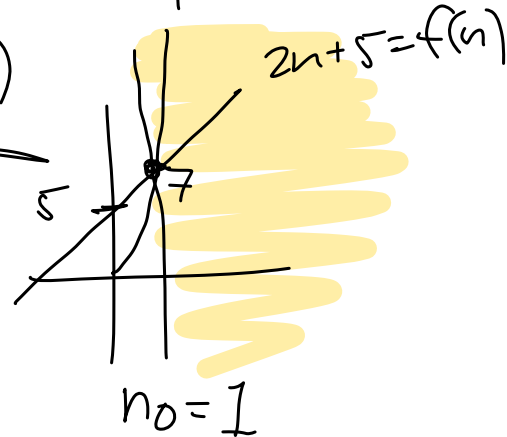
$$c = 7, n_0 = 1$$

Notice that

$$2(1) + 5 = 7 \quad \text{and} \quad 7 \cdot (1)^2 = 7 = n^2$$

$$\text{So we have } f(n_0) \leq c \cdot g(n_0)$$

$$\forall n \geq n_0$$



Consider  $c = 7$ ,  $n_0 = 1$ .

Notice that  $2n + 5 \leq 7 \cdot n^2$   
for all  $n \geq 1$ .

# Summary

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When we talk about the runtime of an algorithm, we always mean:

- ① there is a  $f(n)$  expressing the # of primitive operations an alg. takes on an input of size  $n$  (ex  $5n \log n + 2n + 25$ )
- ② give  $g(n)$  such that  $f(n)$  is  $O(g(n))$ .  
ex (not exactly what we want:  $5n \log n + 2n + 25$  is  $O(2^n)$ )  
ex  $5n \log n + 2n + 25$  is  $O(n \log n)$

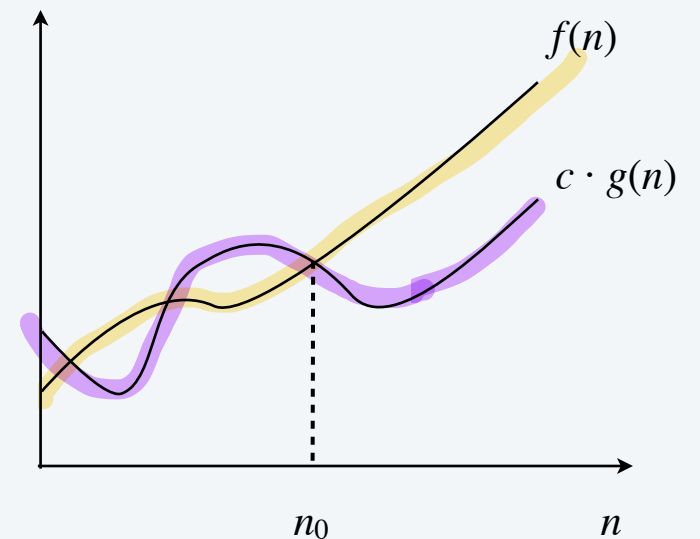
```
For  $i = 1, 2, \dots, n$ 
  For  $j = i + 1, i + 3, \dots, n$ 
    Add up array entries  $A[i]$  through  $A[j]$ 
    Store the result in  $B[i, j]$ 
  Endfor
Endfor
```

# Big Omega notation $\Omega$

**Lower bounds.**  $f(n)$  is  $\Omega(g(n))$  if there exist constants  $c > 0$  and  $n_0 \geq 0$  such that  $f(n) \geq c \cdot g(n)$  for all  $n \geq n_0$ .

**Ex.**  $f(n) = 32n^2 + 17n + 1$ .

- $f(n)$  is both  $\Omega(n^2)$  and  $\Omega(n)$ .
- $f(n)$  is not  $\Omega(n^3)$ .



how would I prove  
 $32n^2 + 17n + 1$  is  $\Omega(n^2)$ ?

give                       
 $c, n_0$  s.t.  $32n^2 + 17n + 1 \geq cn^2$  for all  $n \geq n_0$ .

$32n^2 + 17n + 1$  is not  $\Omega(n^3)$

to prove:

argue that there are no  $c, n_0$  s.t.  
 $32n^2 + 17n + 1 \geq cn^3$  for all  $n \geq n_0$ .

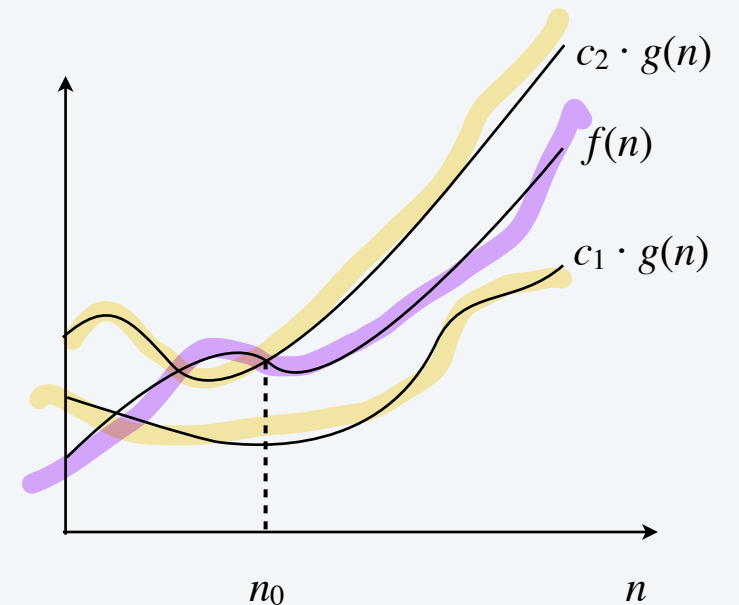
# Big Theta notation =

**Tight bounds.**  $f(n)$  is  $\Theta(g(n))$  if there exist constants  $c_1 > 0$ ,  $c_2 > 0$ , and  $n_0 \geq 0$  such that  $0 \leq \underline{c_1 \cdot g(n)} \leq \textcircled{f(n)} \leq \underline{c_2 \cdot g(n)}$  for all  $n \geq n_0$ .

**Ex.**  $f(n) = 32n^2 + 17n + 1$ .

- $f(n)$  is  $\Theta(n^2)$ .
- $f(n)$  is neither  $\Theta(n)$  nor  $\Theta(n^3)$ .

$f(n)$  is  $\Theta(g(n))$  if  
 $f(n)$  is  $O(g(n))$  and  $f(n)$  is  $\Omega(g(n))$



Is  $f(n) = 32n^2 + 17n + 5 \dots$

1.  $\Theta(n)$

2.  $\Theta(n^2)$

3.  $\Theta(n^3)$

4. All three

5.  $\Theta(n^2)$  and  $\Theta(n^3)$

$f(n)$  is  $\Theta(g(n))$  if  
both  $\Omega(g(n))$  and  $O(g(n))$

$g(n)$	$\geq O(g(n))?$	$\leq \Omega(g(n))?$
$n$	no	yes
$n^2$	yes	yes
$n^3$	yes	no



## Multiplication by a constant

back at : 20

Suppose I have runtime  $f(n)$  and I know it is  $O(g(n))$ .

$$\text{ex } f(n) = 3n^2$$

$$g(n) = n^3$$

for all  $b$ ,

Is  $b \cdot f(n) = O(g(n))$  for all constants  $b$ ?

(1) yes

(2) no

no? to prove, give a counter example

$$f(n) = 3n^2$$

$$g(n) = n^3$$

$$b = 2$$

$b \cdot 3n^2$  is

$$O(n^3)?$$

$$b3n^2 \text{ not } O(n^3).$$

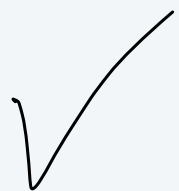
$$6n^2 \text{ not } O(n^3).$$

yes?

there is some  $c, n_0$  s.t.  $6n^2 \leq cn^3$  for all  $n \geq n_0$

+

F



is  $2^{n+1}$   $O(2^n)$ ?

Notice that  $2^{n+1} = 2^n \cdot 2$  so  $2^{n+1}$  is  $O(2^n)$

Is  $2^{2n}$   $O(2^n)$ ?

hint:  $2^{2n} = 2^{n+n} = 2^n \cdot 2^n$   
 $\text{non-constant}$

# Asymptotically different functions

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$c$  — constant  
 $\log n$  — logarithmic  
 $n$  — linear  
 $n \log n$  — linearithmic  
 $n^2$  — quadratic  
 $n^3$  — cubic

...  
 $n^a$  — polynomial

$2^n$   
 $3^n$  — exponential  
in efficient

...  
 $b^n$   
 $n!$  —  $2^n$  is not  $O(n!)$

where does  $\sqrt{n}$   
fall?

$$\sqrt{n} = n^{1/2}$$

$$n^{\log n}$$

# Summary

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Big O is \_\_\_\_\_ for functions

Big Omega is \_\_\_\_\_ for functions

Big Theta is \_\_\_\_\_ for functions

We use Big O/Big Omega/Big Theta in order to:

## Is there a worst-case input here? (Or best case?)

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### Algorithm 1

Input: integer array A of length n

for  $i = 1, 2, \dots, n$  :

    total = 0

    for  $j = 1, 2, \dots, n$ :

        total = total + A[i]

    B[i] = total

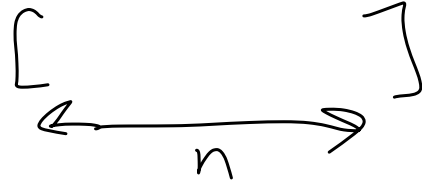
$[1, 2, 3, 4, 5]$

$[5, 4, 3, 2, 8]$

Worst-case: worst case for given n.

Insertion sort:

for size  $n$  input



if sorted:  $\Theta(n)$

if reverse-  
sorted:  $\Theta(n^2)$

Worst-case runtime:

$f(n)$  such that alg takes  $f(n)$   
steps on worst-case input of size  $n$ .

# Summary

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When we talk about the runtime of an algorithm, we always mean:

# Runtime of Gale-Shapley?

GALE-SHAPLEY (*preference lists for hospitals and students*)

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INITIALIZE  $M$  to empty matching.

WHILE (some hospital  $h$  is unmatched and hasn't proposed to every student)

$s \leftarrow$  first student on  $h$ 's list to whom  $h$  has not yet proposed.

    IF ( $s$  is unmatched)

        Add  $h-s$  to matching  $M$ .

    ELSE IF ( $s$  prefers  $h$  to current partner  $h'$ )

        Replace  $h'-s$  with  $h-s$  in matching  $M$ .

    ELSE

$s$  rejects  $h$ .

RETURN stable matching  $M$ .

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### hospitals' preference lists

	1 <sup>st</sup>	2 <sup>nd</sup>	3 <sup>rd</sup>	4 <sup>th</sup>	5 <sup>th</sup>
Atlanta	Wayne	Val	Yolanda	Zeus	Xavier
Boston	Yolanda	Wayne	Val	Xavier	Zeus
Chicago	Wayne	Zeus	Xavier	Yolanda	Val
Detroit	Val	Yolanda	Xavier	Wayne	Zeus
El Paso	Wayne	Yolanda	Val	Zeus	Xavier

### students' preference lists

	1 <sup>st</sup>	2 <sup>nd</sup>	3 <sup>rd</sup>	4 <sup>th</sup>	5 <sup>th</sup>
Val	El Paso	Atlanta	Boston	Detroit	Chicago
Wayne	Chicago	Boston	Detroit	Atlanta	El Paso
Xavier	Boston	Chicago	Detroit	El Paso	Atlanta
Yolanda	Atlanta	El Paso	Detroit	Chicago	Boston
Zeus	Detroit	Boston	El Paso	Chicago	Atlanta