

Name _____

Problem 1 (20 points (10 each))

The following are proposed proofs of the given claims. Below each one, write whether the proof is valid or not. If it is *not* valid, explain why. Only a sentence or so should be needed to explain why a proof does not work.

(a) *Claim.* $n^2 - 56$ is not $O(n)$.

Proof. In order to show that $n^2 - 56$ is not $O(n)$, we need to show that there do not exist real numbers $c > 0$, $n_0 \geq 0$ such that $\forall n \geq n_0 : n^2 - 56 \leq c \cdot n$. Notice that $n^2 - 56$ and n intersect at $n = 8$, so consider $n_0 = 9$ and $c = 1$. When $n = 10$, $n^2 - 56 = 100 - 56 = 44$, which is greater than 10. So $\forall n \geq 9 : n^2 - 56 \leq 1 \cdot n$ does not hold, so $n^2 - 56$ is not $O(n)$. \square

(b) *Claim.* The best-case runtime of recursive binary search (below) is $O(1)$.

Algorithm 1 `binarySearch(A[1...n], x)`

```
1: if  $|A| = 0$  then
2:   return False
3: else
4:    $middle = \lfloor \frac{|A|}{2} \rfloor$ 
5:   if  $A[middle] = x$  then
6:     return True
7:   else if  $A[middle] > x$  then
8:     binarySearch(A[1..middle - 1], x)
9:   else
10:    binarySearch(A[middle + 1...1], x)
```

Proof. Note that the **if** statement on lines 1-2 uses a constant c number of primitive operations and that the **else** on lines 3-10 uses a constant d operations. In the best case, $|A|$ is 0, so only the **if** statement is executed and the runtime is $f(n) = c$. Since any degree k polynomial is $O(n^k)$ and $f(n) = c$ is a degree zero polynomial, this algorithm has a best-case runtime of $O(1)$. \square

Problem 2 (20 points)

In this problem, you will prove that $2n^2 + 3 = O(n^3)$ using the definition of big O. Follow the three steps carefully.

(5 points) Write down the definition of big O.

(10 points) Give a c and a n_0 that can be used to prove that $2n^2 + 3 = O(n^3)$.

(5 points) Explain what it would mean for this c and n_0 to work in a proof that $2n^2 + 3 = O(n^3)$, and *very briefly* explain why they do (write one sentence, draw a graph, etc).

Problem 3 (20 points)

- (a) For the following algorithm give a proposed function representing the number of primitive operations for the algorithm in terms of the input size, addressing each line and/or loop of the algorithm. You do not need to be precise counting constant numbers of primitive operations (e.g., figuring out exactly how many primitive operations a single line does). However, you should try to be precise about how many times a loop runs.

Algorithm 2

```
1: for  $i = 1$  to  $2n$  do  
2:    $j = n$ ;  
3:   while  $j > 1$  do  
4:      $j = j/3$ ;
```

Algorithm 1 takes $f(n) =$ _____ primitive operations.

- (b) For the $f(n)$ you gave in (a), give the “tightest” (aka asymptotically smallest) $g(n)$ such that $f(n) = O(g(n))$ (That is, give g such that $f(n) = \Theta(g)$).

$f(n) = O($ _____ $)$

Problem 4 (20 points)

Recall the recursive binary search algorithm from Problem 1:

Algorithm 3 `binarySearch(A[1..n], x)`

```
1: if  $|A| = 0$  then
2:   return False
3: else
4:    $middle = \lfloor \frac{|A|}{2} \rfloor$ 
5:   if  $A[middle] = x$  then
6:     return True
7:   else if  $A[middle] > x$  then
8:     binarySearch(A[1.. $middle - 1$ ], x)
9:   else
10:    binarySearch(A[ $middle + 1$ .. $1$ ], x)
```

Notice that on line 4, `binarySearch` calculates $middle$ as $\lfloor \frac{|A|}{2} \rfloor$, meaning that if $\frac{|A|}{2}$ is non-integer, it is rounded down to the nearest integer.. To give a rigorous analysis of the worst-case runtime of `binarySearch`, we should account for the fact that the algorithm behaves slightly differently when n is odd versus when n is even.

In this problem you will give a recurrence relation for the worst-case runtime of `binarySearch`. You may assume that the `if` statement on lines 1-2 uses a constant c number of primitive operations, and that the `else` on lines 3-10 uses a constant d operations.

- (a) Describe the worst-case input for `binarySearch` on an array of size n .

- (b) Give the base case of the recurrence relation. Make sure you use the smallest possible input to the algorithm.

- (c) Give the recursive case of the recurrence relation. Hint: your recurrence relation should address both the case where n is even and n is odd. That is, fill in the blanks below.

$$T(n) = \begin{cases} & , \text{ if } n \text{ is even} \\ & , \text{ if } n \text{ is odd} \end{cases}$$

Problem 5 (20 points)

Each is worth 5 points.

- (a) True or false: if $f(n) = \begin{cases} 6n^2 + 2n + 3 & \text{if } n \text{ is odd,} \\ 6 & \text{otherwise} \end{cases}$, then $f(n) = O(n^2)$.
- (b) Recall that big O forms a relation on the set of functions $f : \mathbb{R}^{\geq 0} \rightarrow \mathbb{R}^{\geq 0}$ where function f_1 is related to function f_2 if $f_1 = O(f_2)$. The claim “the big O relation on the set of functions $f : \mathbb{R}^{\geq 0} \rightarrow \mathbb{R}^{\geq 0}$ is comparable” is false. Recalling that a relation R on set S is comparable if $\forall x, y \in S : xRy$ or yRx , how would you go about disproving the claim? (You don’t need to actually disprove it here, just explain how you would.)
- (c) Give a disproof to the claim from (b).
- (d) Iterate the following recurrence relation for $n = 0$ through $n = 4$: $T(0) = 3$; $T(n) = T(n - 1) + n$.
- $T(0) =$
 - $T(1) =$
 - $T(2) =$
 - $T(3) =$
 - $T(4) =$
- (e) What is $\log_2 16$?