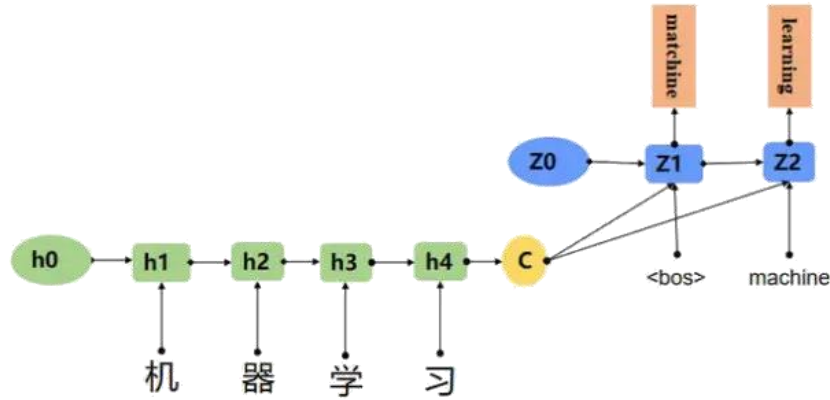




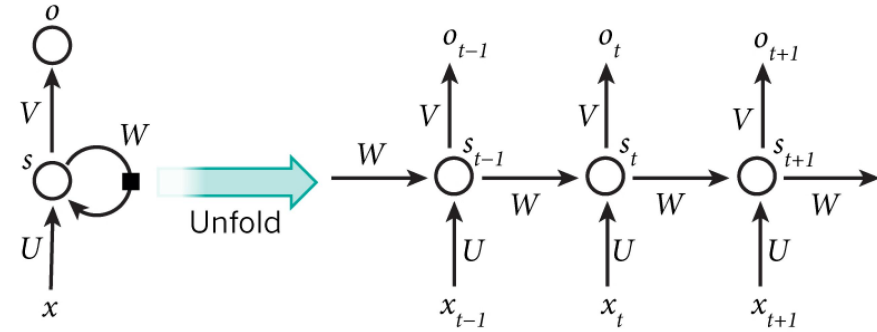
# Attention Is All You Need 论文 导读 & Transformer详解

# Why Transformer ?

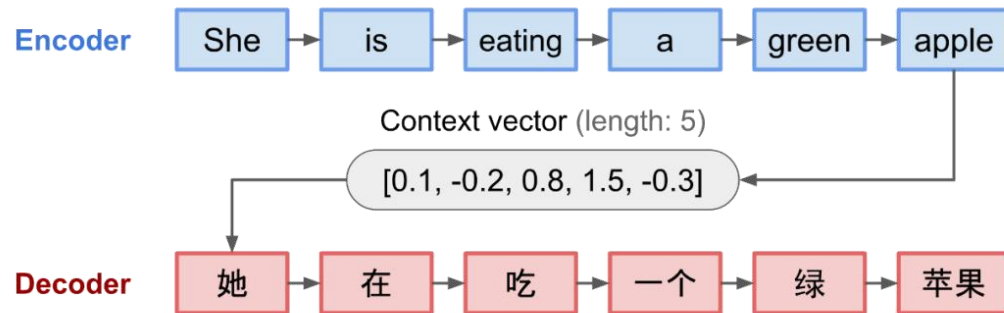
## Seq2Seq 任务示例——NMT (Neural Machine Translation)



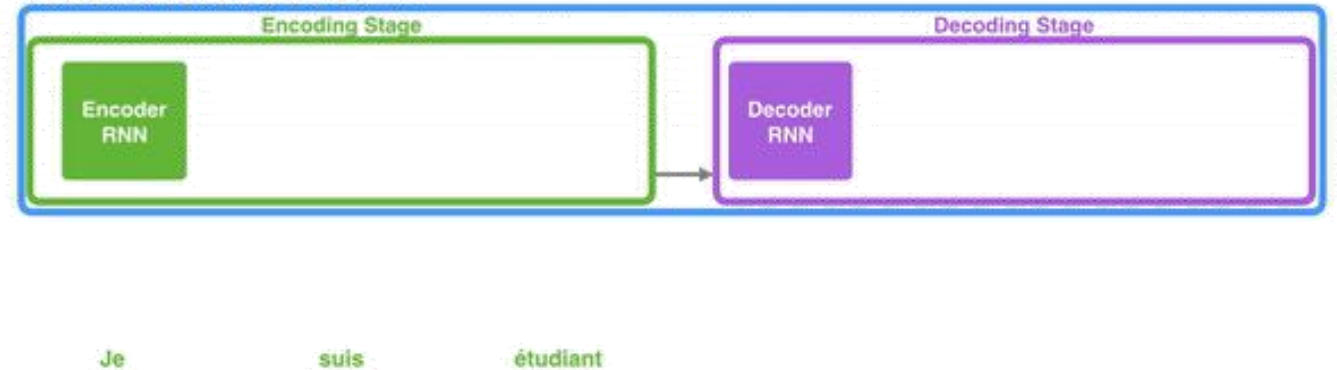
## Seq2Seq模型示例——RNN (Recurrent Neural Network)



## Encoder-Decoder 框架 (编码器+解码器)



### Neural Machine Translation SEQUENCE TO SEQUENCE MODEL

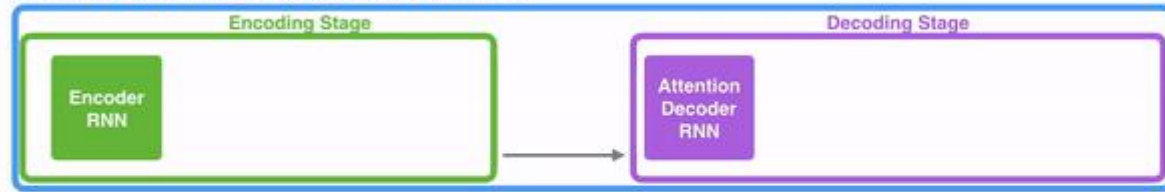


# Why Transformer ?

## Seq2Seq model with Attention

### Neural Machine Translation

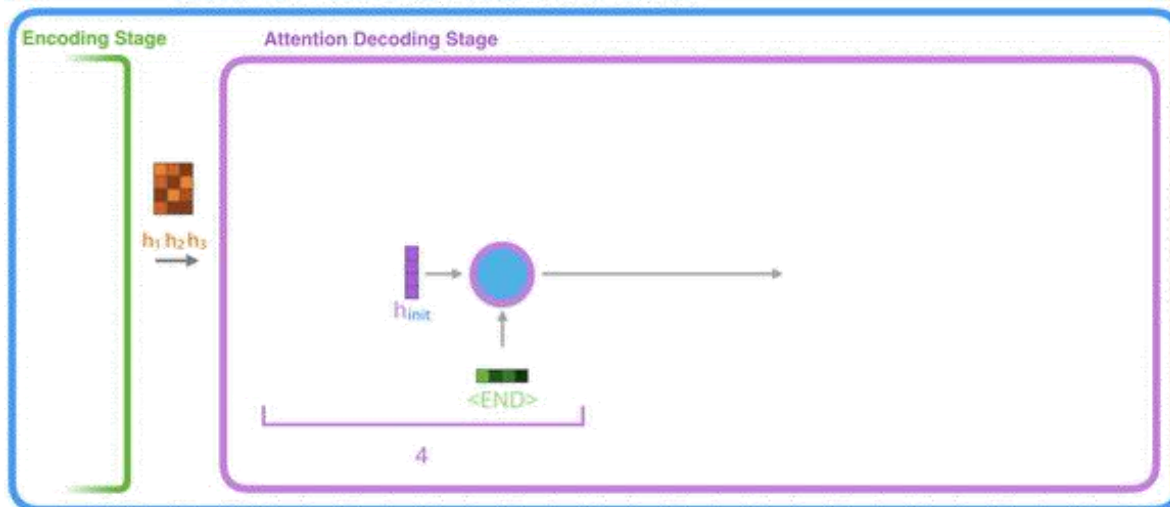
SEQUENCE TO SEQUENCE MODEL WITH ATTENTION



Je suis étudiant

### Neural Machine Translation

SEQUENCE TO SEQUENCE MODEL WITH ATTENTION



Attention at time step 4



**RNN**及其变体模型无法并行计算，模型效率低下！

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## Attention Is All You Need

---

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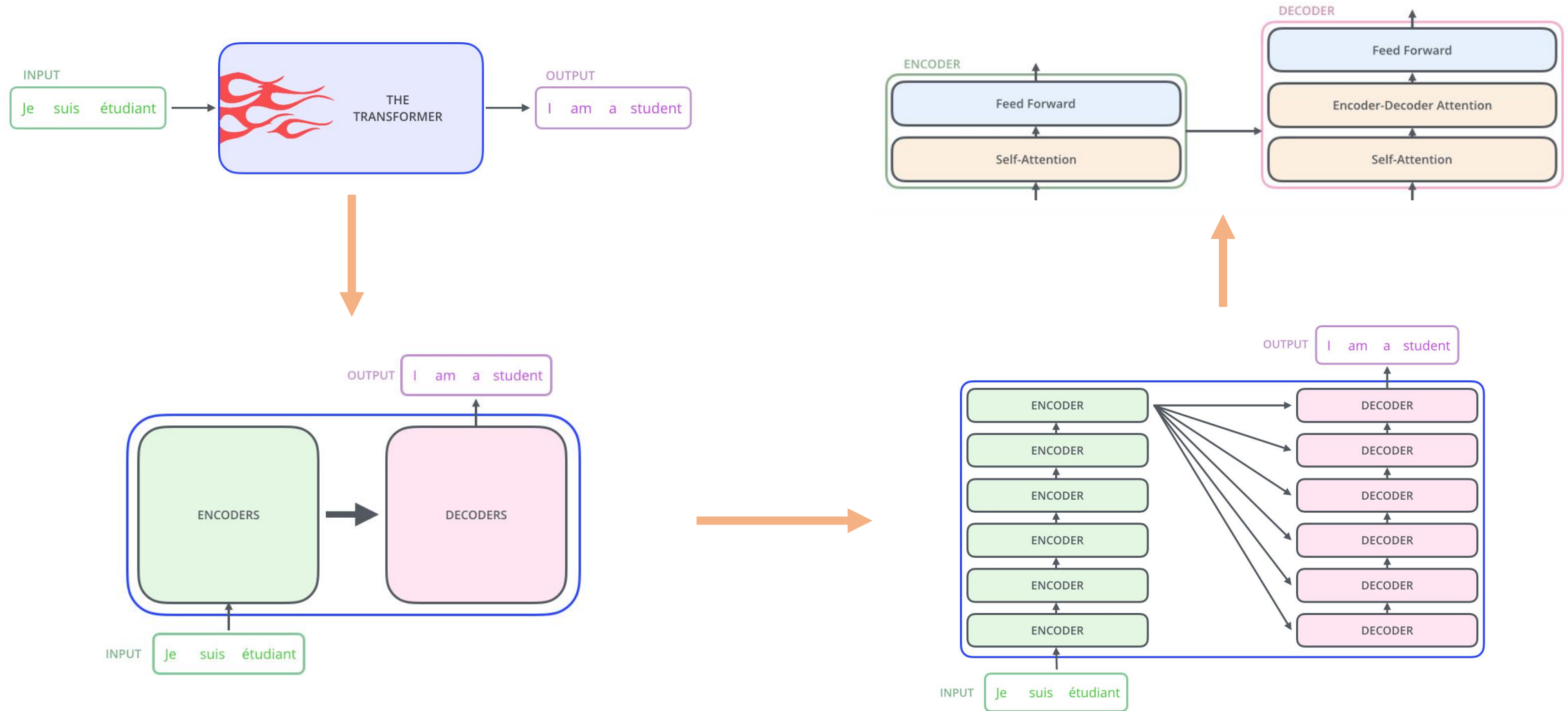
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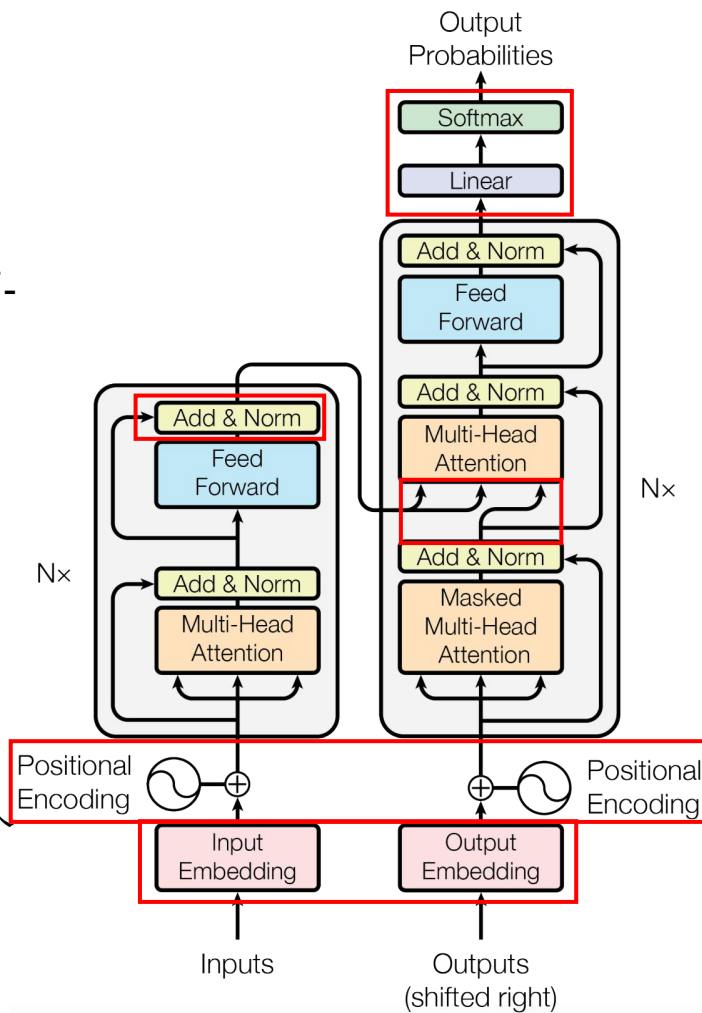
# Transformer 架构



# Transformer 架构

## 编码器

- 由N个block堆叠而成;
- 每个block有两层:
  - Multi-Head Attention (Self-Attention)
    - + Add (Residual Connection)
    - + Norm (LayerNorm);
  - Feed Forward
    - + Add (Residual Connection)
    - + Norm (LayerNorm);
- $\text{Block}_1 \sim \text{Block}_{N-1}$  的输出: 输入到下个Block;
- $\text{Block}_N$  的输出: 输入到解码器的各层中。

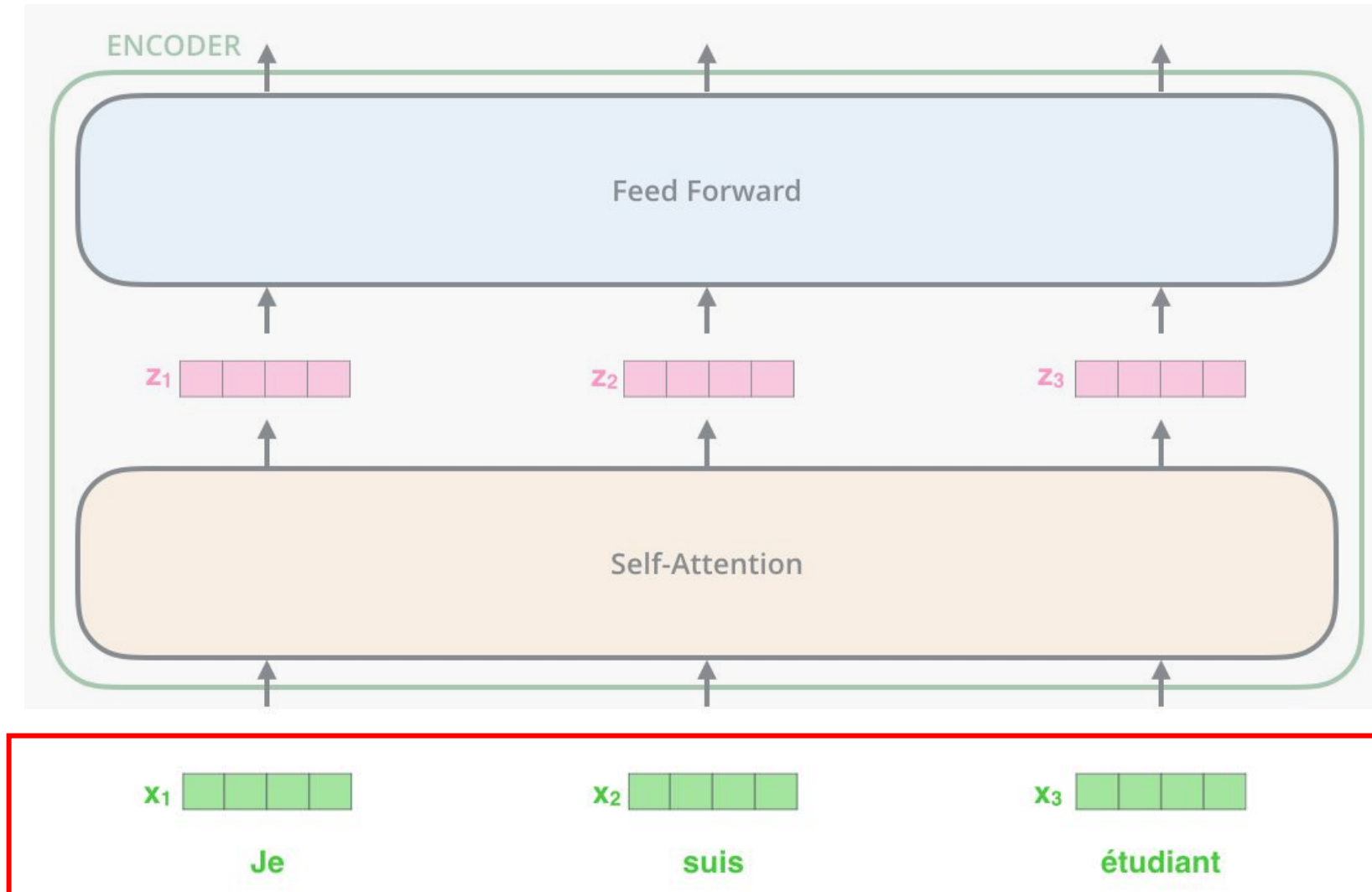


## 解码器

- 由N个block堆叠而成;
- 每个block有三层:
  - Masked Multi-Head Attention (Self-Attention)
    - + Add (Residual Connection)
    - + Norm (LayerNorm);
  - Multi-Head Attention (Co-Attention)
    - + Add (Residual Connection)
    - + Norm (LayerNorm);
  - Feed Forward
    - + Add (Residual Connection)
    - + Norm (LayerNorm);
- $\text{Block}_1 \sim \text{Block}_{N-1}$  的输出: 输入到下个Block;
- $\text{Block}_N$  的输出: 输入到后续的Linear层中。

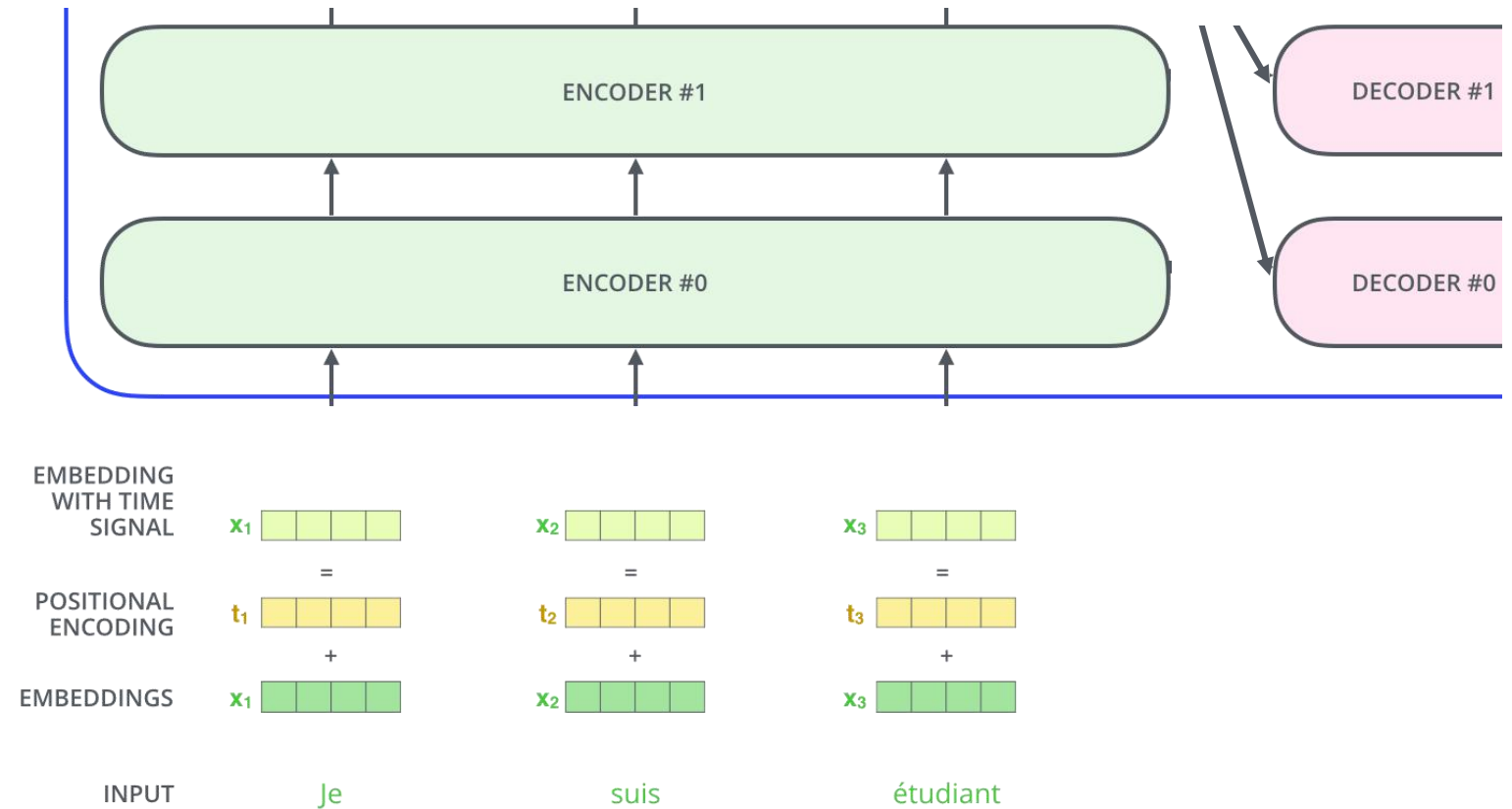
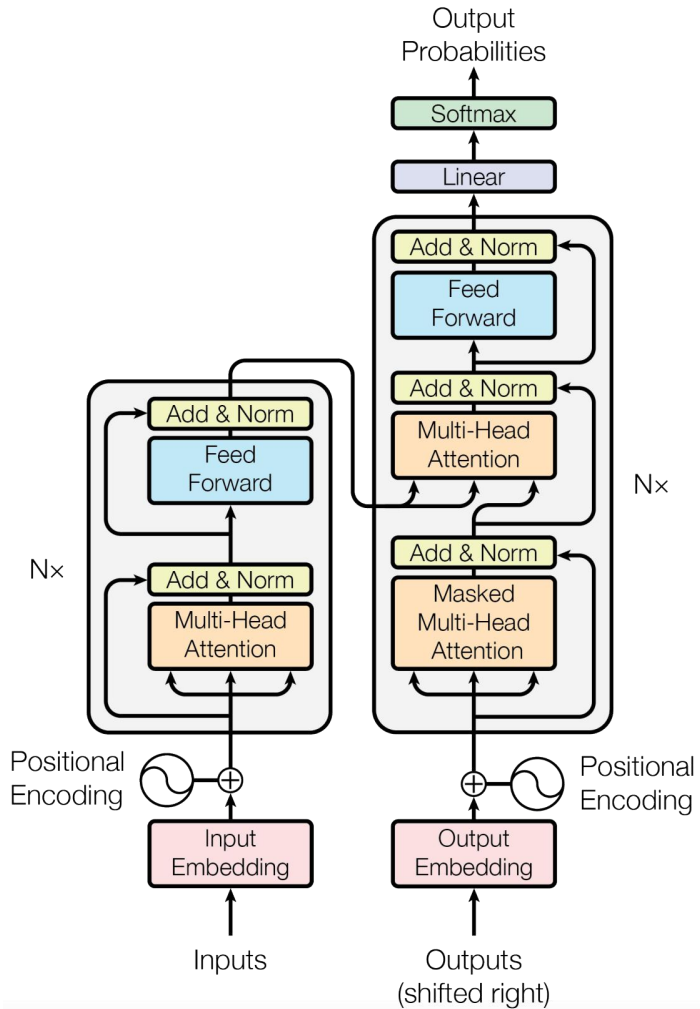
# Transformer 工作流程

## Word Embedding



# Transformer 工作流程

## 位置编码 (Positional Encoding)





# Transformer 工作流程

## 位置编码 (Positional Encoding)

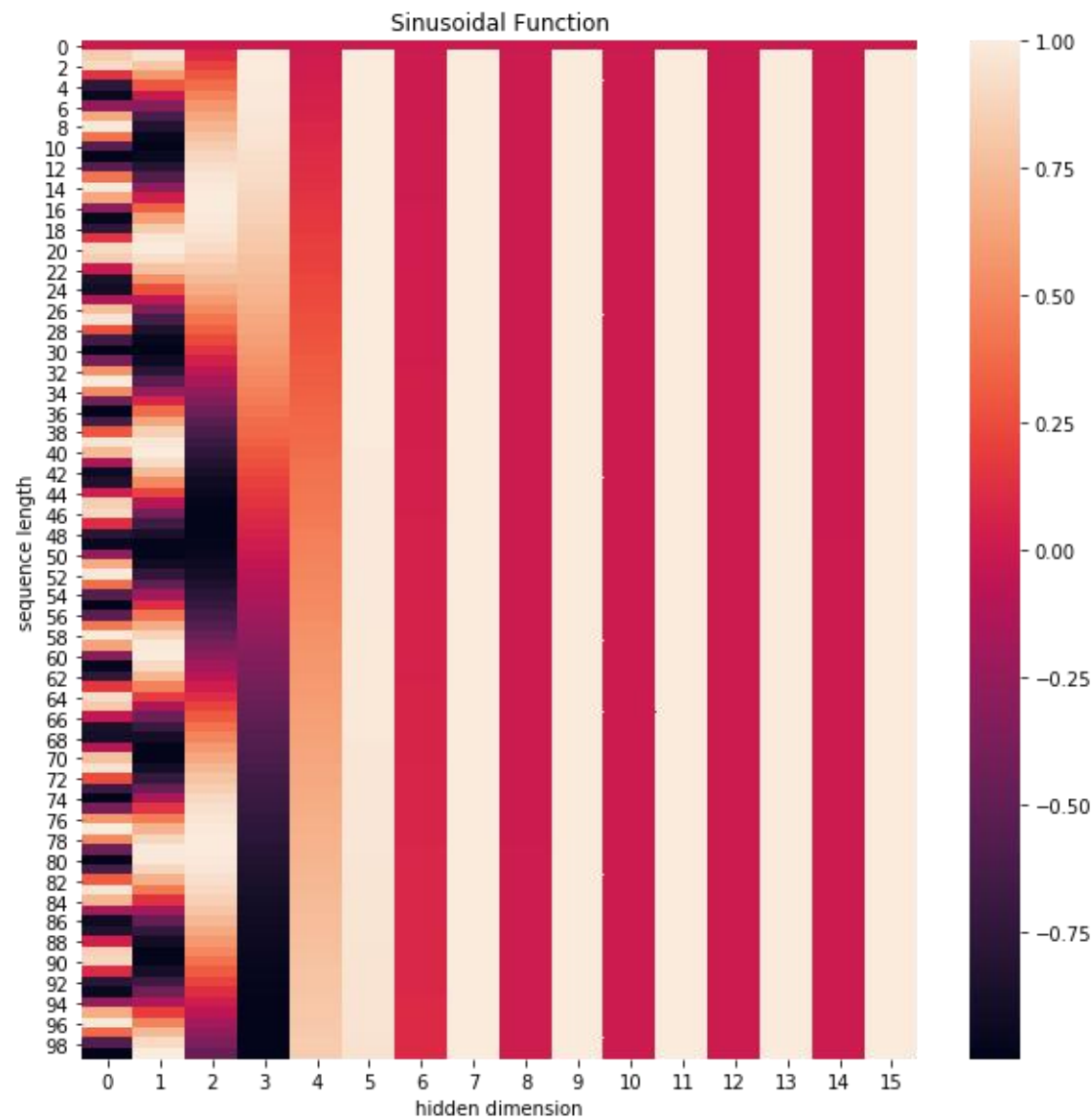
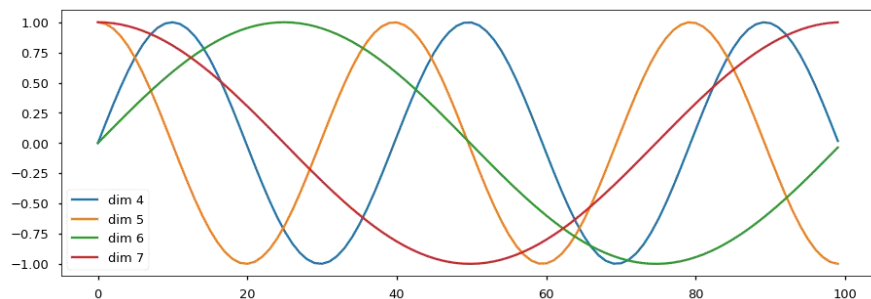
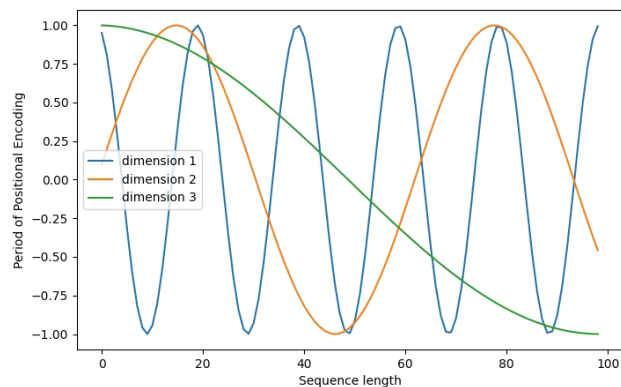
$$PE(pos, 2i) = \sin(pos/10000^{2i/d_{model}})$$

$$PE(pos, 2i + 1) = \cos(pos/10000^{2i/d_{model}})$$

$pos \in [0, \text{max\_sequence\_length})$

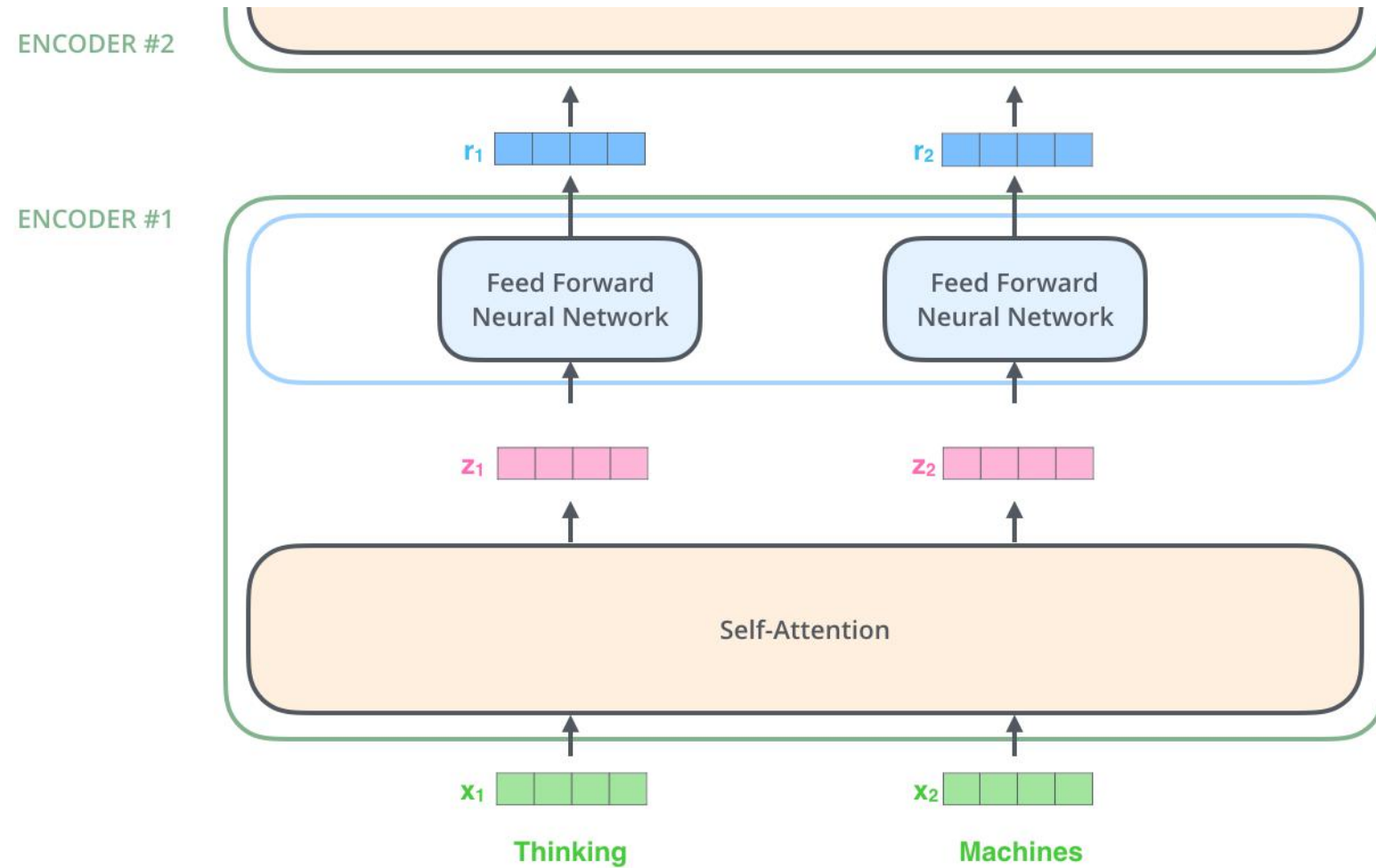
$i \in [0, \frac{d_{model}}{2})$

$$T = \frac{2\pi}{\omega} = 2\pi * 10000^{\frac{2i}{d_{model}}}$$



# Transformer 工作流程

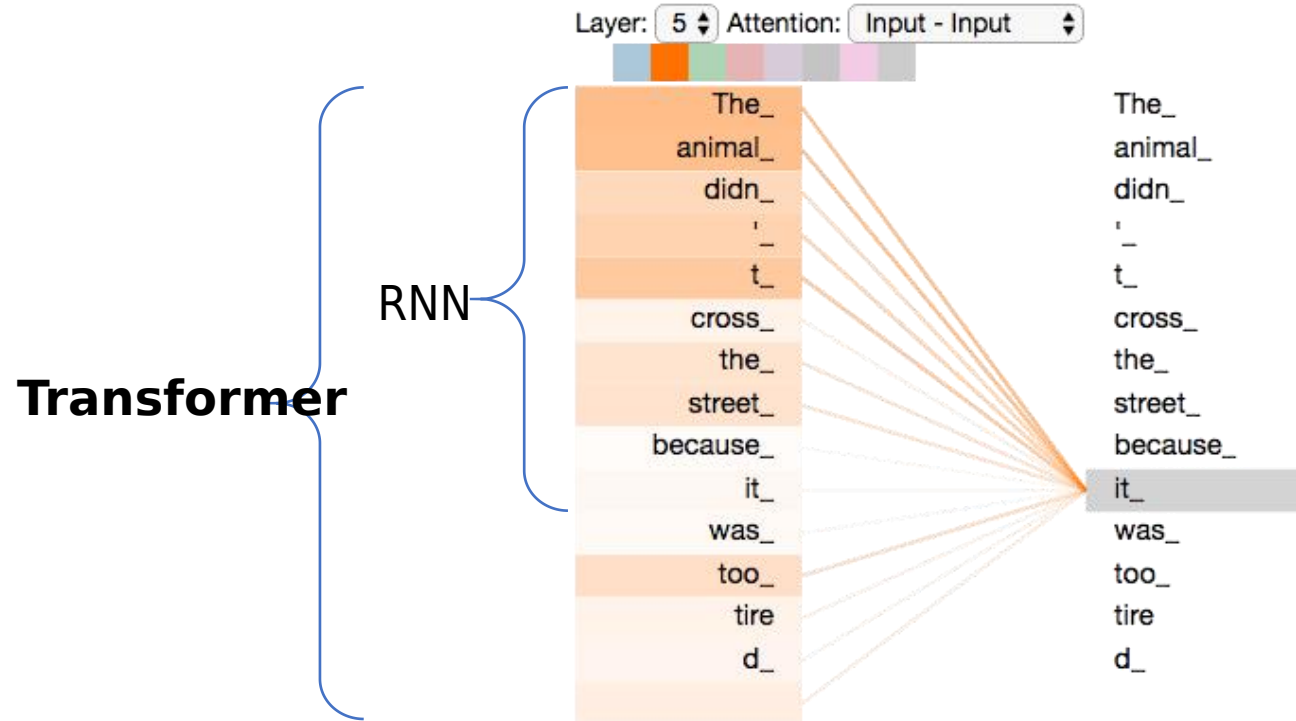
## 编码过程



# Transformer 工作流程

## 编码过程 —— Self-Attention (宏观)

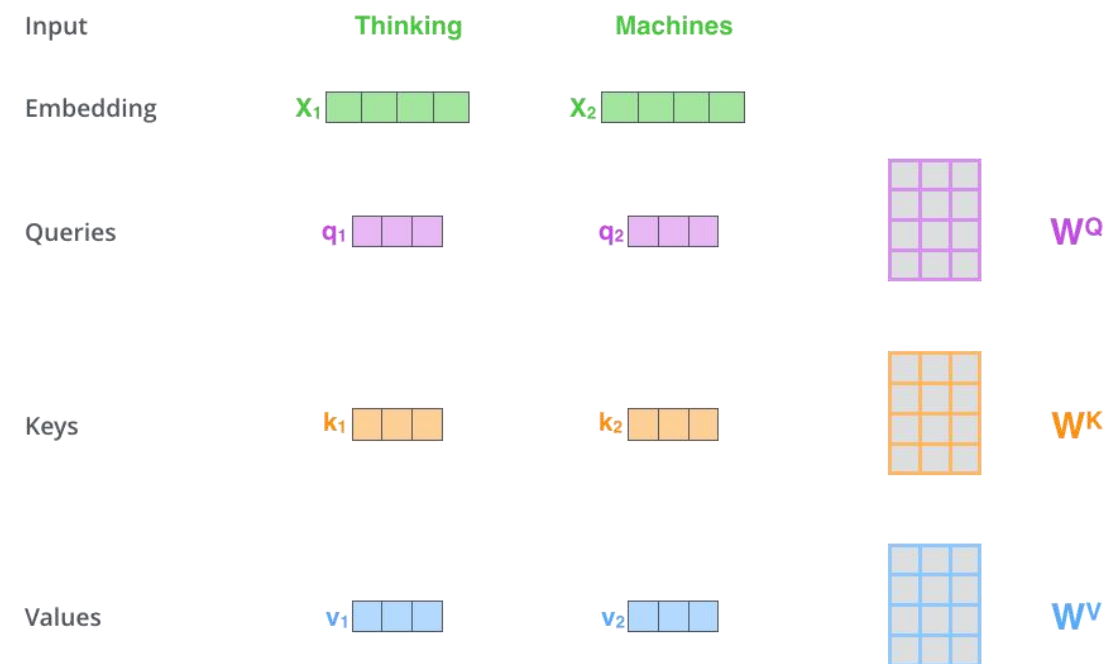
The animal didn't cross the street because it was too tired.



# Transformer 工作流程

## 编码过程 —— Self-Attention (微

观) 第一步: 生成 **Q**、**K**、**V**, 辅助计算注意力机制



$X_1$  与  $W^Q$  权重矩阵相乘得到  $q_1$ , 就是与这个单词相关的查询向量。通过这种方式, 为输入序列的每个单词都创建一个查询向量 **Q**、一个键向量 **K** 和一个值向量 **V**。

# Transformer 工作流程

## 编码过程 —— Self-Attention (微观)

核心公式:  $Attention(Q, K, V)$

$$= softmax \left( \frac{QK^T}{\sqrt{d_k}} \right) V$$

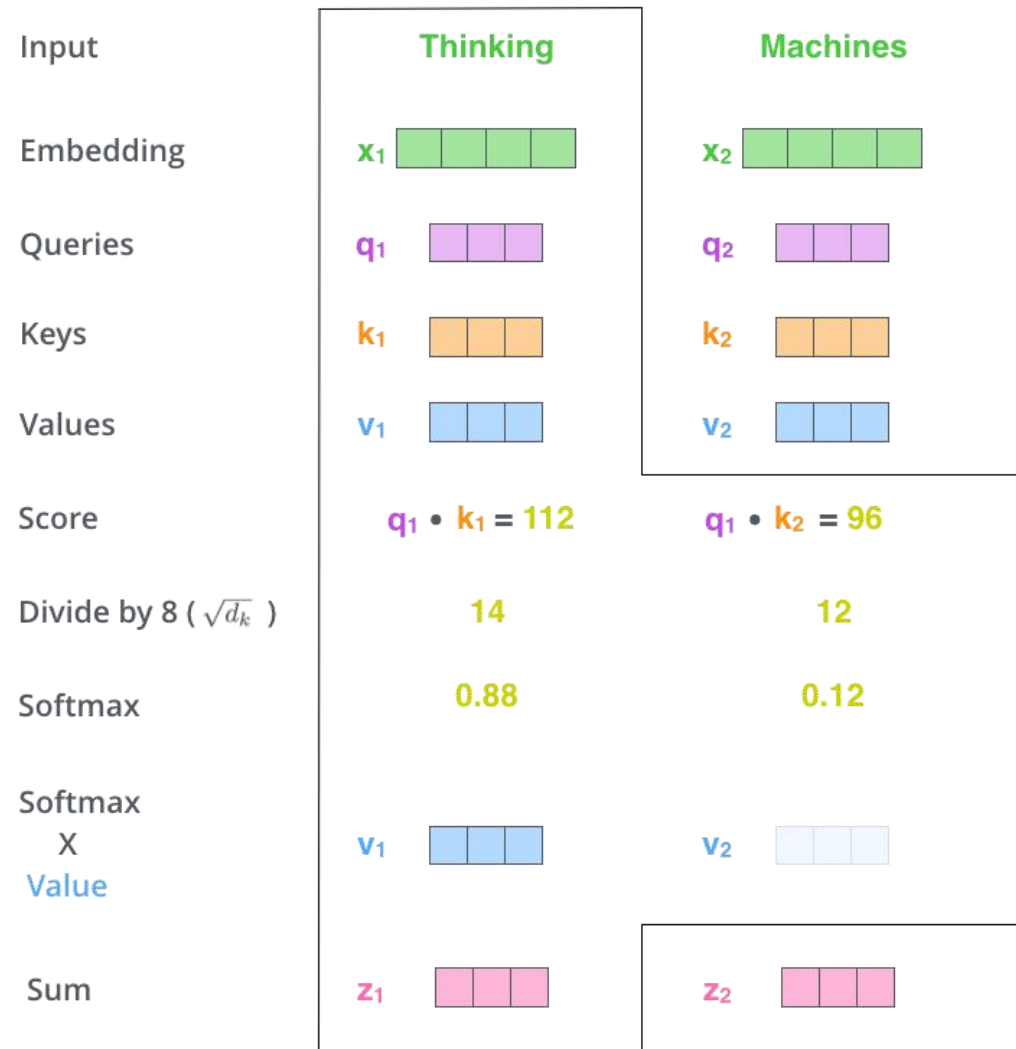
第二步: 计算当前单词的Q与候选单词的K的点积:

第三步: 将上一步结果除以维度的平方根:

第四步: 将上一步结果通过softmax函数转换:

第五步: 将候选单词的每个值向量乘以softmax分数:

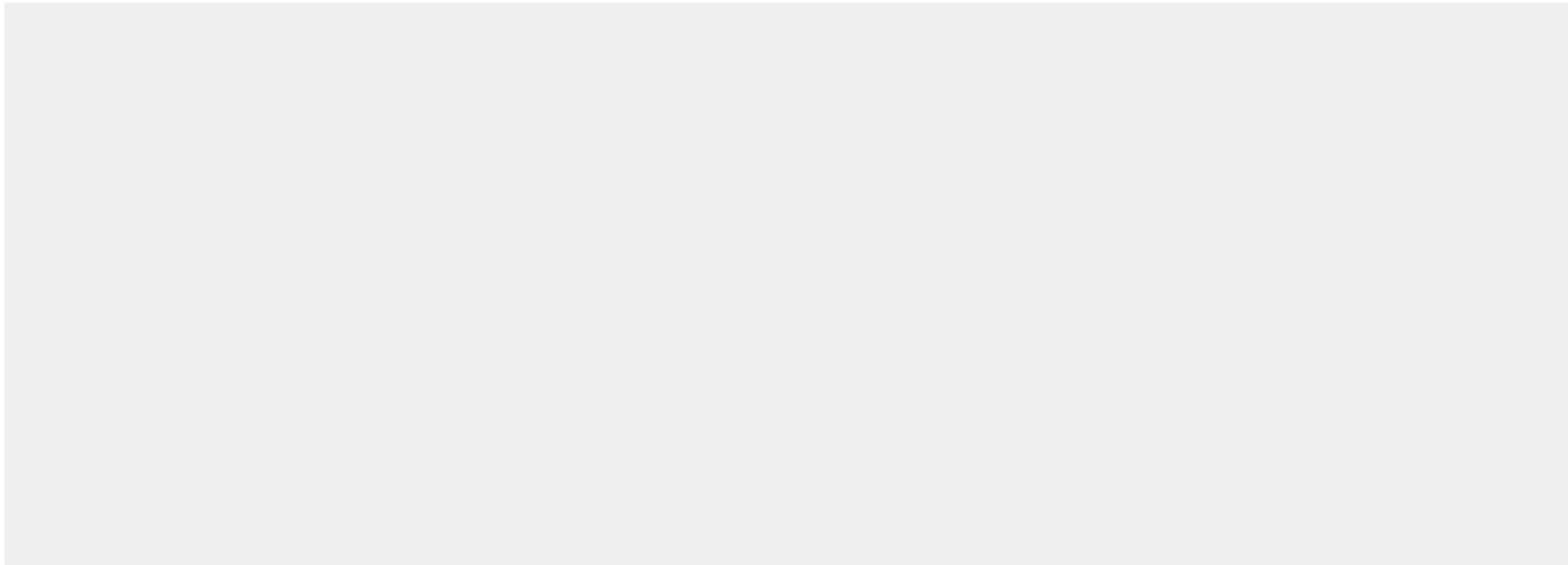
第六步: 对加权后的值向量求和,  
即得到自注意力层在该位置的输出:



# Transformer 工作流程

## 编码过程 —— Self-Attention（微观）

Self-attention



input #1

1	0	1	0
---	---	---	---

input #2

0	2	0	2
---	---	---	---

input #3

1	1	1	1
---	---	---	---

# Transformer 工作流程

## 编码过程 —— Self-Attention (微

观)  
通过矩阵运算实现自注意力机制

$$\begin{array}{c}
 \text{X} \\
 \begin{array}{|c|c|c|c|} \hline \square & \square & \square & \square \\ \hline \square & \square & \square & \square \\ \hline \end{array}
 \end{array}
 \times
 \begin{array}{c}
 \text{W}^{\text{Q}} \\
 \begin{array}{|c|c|c|c|} \hline \square & \square & \square & \square \\ \hline \square & \square & \square & \square \\ \hline \square & \square & \square & \square \\ \hline \end{array}
 \end{array}
 =
 \begin{array}{c}
 \text{Q} \\
 \begin{array}{|c|c|c|} \hline \square & \square & \square \\ \hline \square & \square & \square \\ \hline \end{array}
 \end{array}$$

$$\begin{array}{c}
 \text{X} \\
 \begin{array}{|c|c|c|c|} \hline \square & \square & \square & \square \\ \hline \square & \square & \square & \square \\ \hline \end{array}
 \end{array}
 \times
 \begin{array}{c}
 \text{W}^{\text{K}} \\
 \begin{array}{|c|c|c|c|} \hline \square & \square & \square & \square \\ \hline \square & \square & \square & \square \\ \hline \square & \square & \square & \square \\ \hline \end{array}
 \end{array}
 =
 \begin{array}{c}
 \text{K} \\
 \begin{array}{|c|c|c|} \hline \square & \square & \square \\ \hline \square & \square & \square \\ \hline \end{array}
 \end{array}$$

$$\begin{array}{c}
 \text{X} \\
 \begin{array}{|c|c|c|c|} \hline \square & \square & \square & \square \\ \hline \square & \square & \square & \square \\ \hline \end{array}
 \end{array}
 \times
 \begin{array}{c}
 \text{W}^{\text{V}} \\
 \begin{array}{|c|c|c|c|} \hline \square & \square & \square & \square \\ \hline \square & \square & \square & \square \\ \hline \square & \square & \square & \square \\ \hline \end{array}
 \end{array}
 =
 \begin{array}{c}
 \text{V} \\
 \begin{array}{|c|c|c|} \hline \square & \square & \square \\ \hline \square & \square & \square \\ \hline \end{array}
 \end{array}$$



$$\begin{array}{c}
 \text{Q} \\
 \begin{array}{|c|c|c|} \hline \square & \square & \square \\ \hline \square & \square & \square \\ \hline \end{array}
 \end{array}
 \times
 \begin{array}{c}
 \text{K}^{\text{T}} \\
 \begin{array}{|c|c|c|} \hline \square & \square & \square \\ \hline \square & \square & \square \\ \hline \end{array}
 \end{array}$$

$$\text{softmax} \left( \frac{\quad}{\sqrt{d_k}} \right)$$

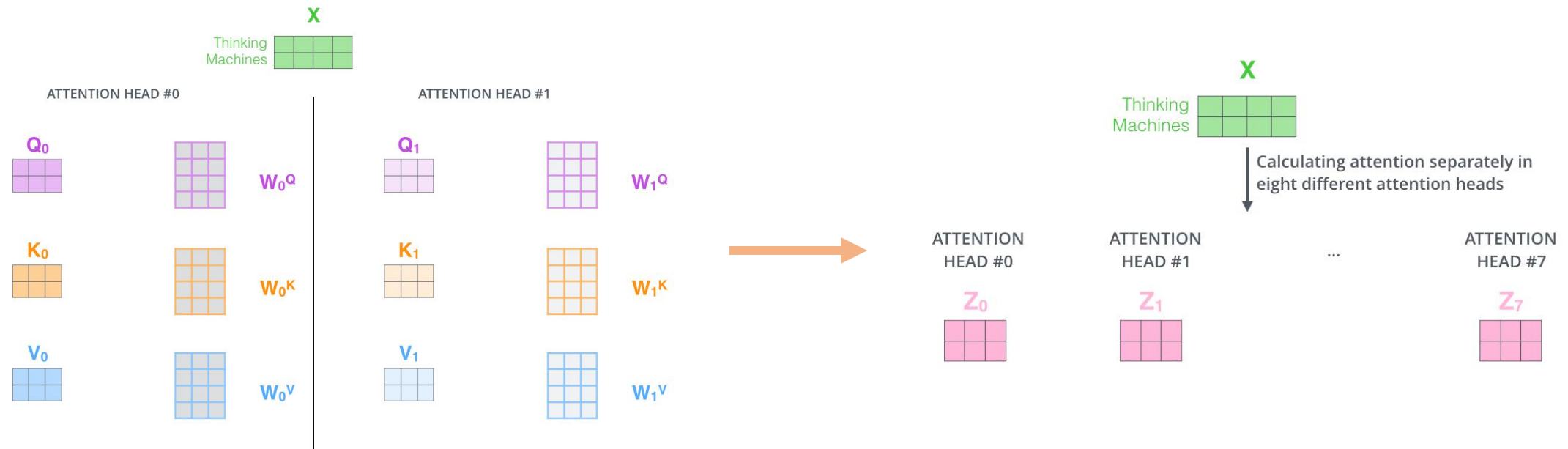
$$= \begin{array}{c}
 \text{Z} \\
 \begin{array}{|c|c|c|} \hline \square & \square & \square \\ \hline \square & \square & \square \\ \hline \end{array}
 \end{array}$$

$$\begin{array}{c}
 \text{V} \\
 \begin{array}{|c|c|c|} \hline \square & \square & \square \\ \hline \square & \square & \square \\ \hline \end{array}
 \end{array}$$

# Transformer 工作流程

## 编码过程 —— Multi-Head

### Attention





# Transformer 工作流程

## 编码过程 —— Multi-Head Attention

1) Concatenate all the attention heads

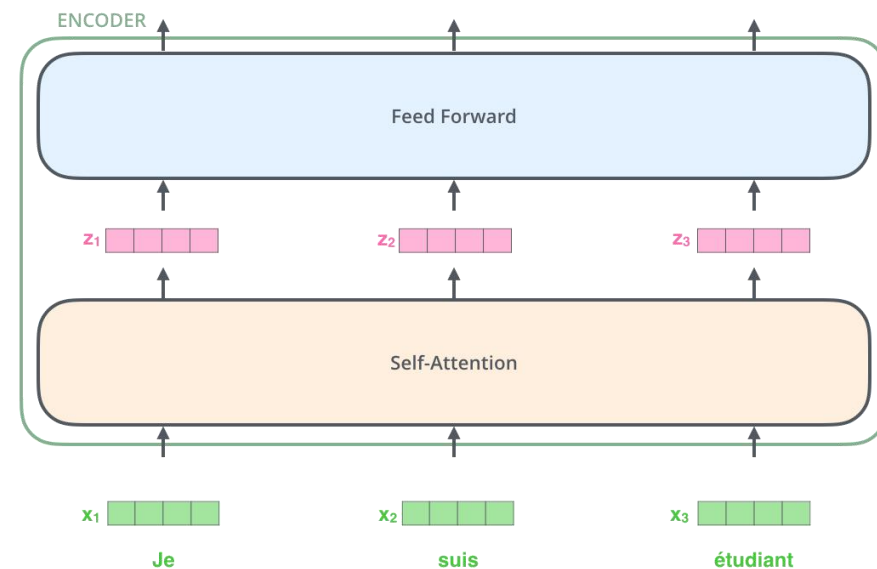
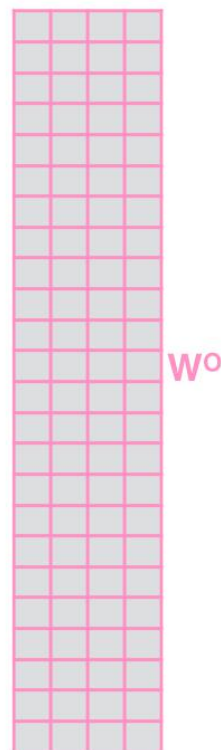


3) The result would be the  $Z$  matrix that captures information from all the attention heads. We can send this forward to the FFNN



2) Multiply with a weight matrix  $W^O$  that was trained jointly with the model

$\times$





# Transformer 工作流程

## 编码过程 —— Multi-Head Attention

1) This is our  
input sentence\*

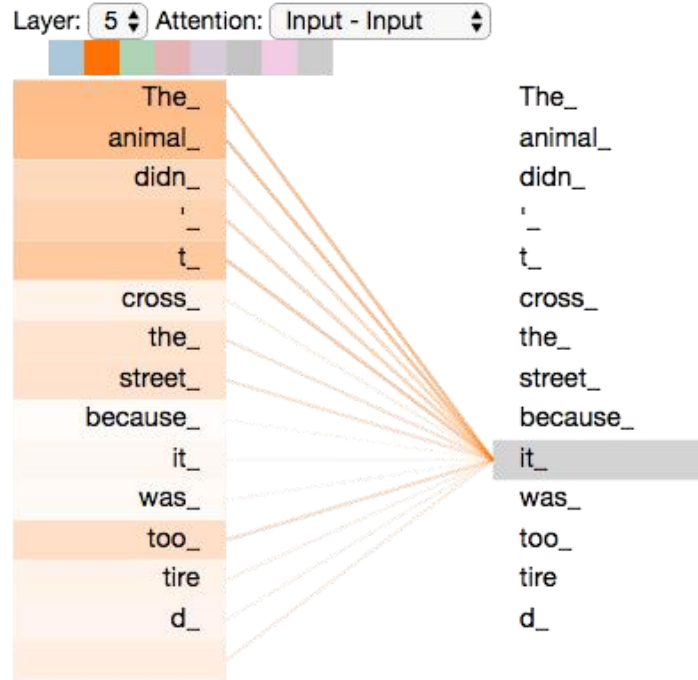
Thinking  
Machines

# Transformer 工作流程

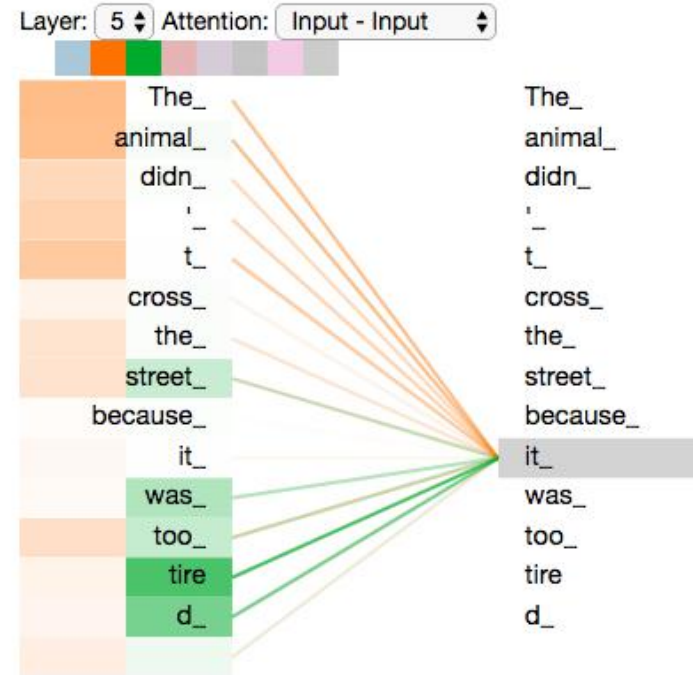
## 编码过程 —— Multi-Head

### Attention

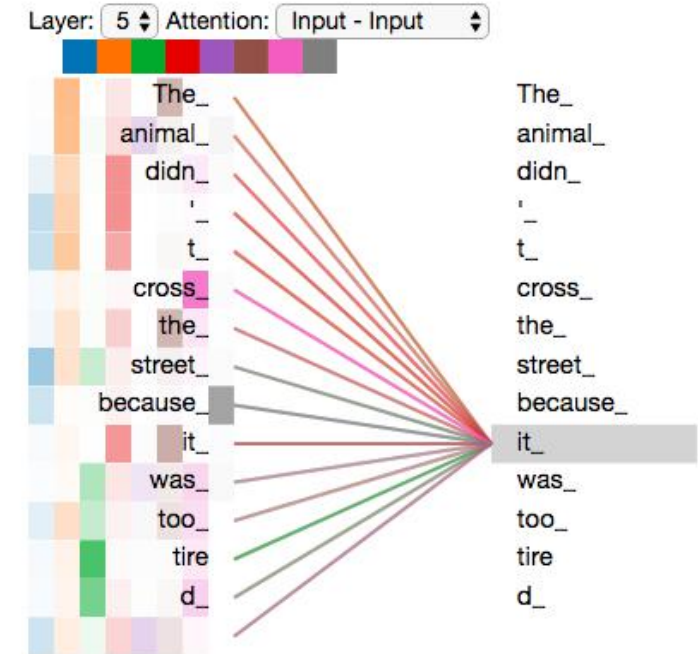
One-Head



Two-Heads



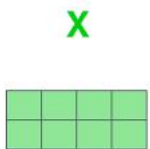
All-Heads



# Transformer 工作流程

## Padding 操作

**X:** Thinking Machines

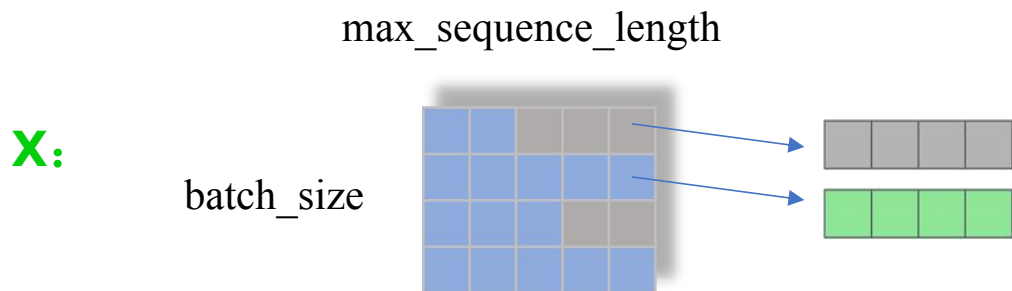


**X** 的维度: [sequence\_length, embedding\_dimension]

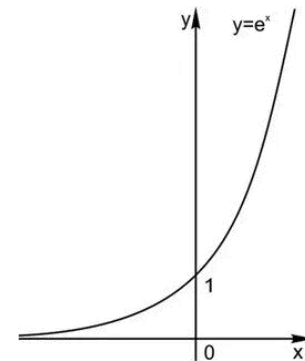
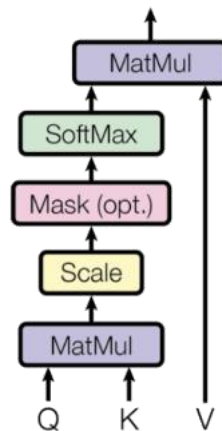


**X:** Thinking Machines (seq\_len: 2)  
A Tale of Two Cities (seq\_len: 5)  
Science and Art (seq\_len: 3)  
the Art of Motorcycle Maintenance (seq\_len: 5)

**X** 的维度: [batch\_size, max\_sequence\_length, embedding\_dimension]



## Scaled dot-product attention



$$\text{Softmax函数: } \sigma(z_i) = \frac{e^{z_i}}{\sum_{j=1}^K e^{z_j}} \quad \begin{matrix} e^0 = 1 \\ e^{-\infty} \rightarrow 0 \end{matrix}$$

## Padding

### Mask

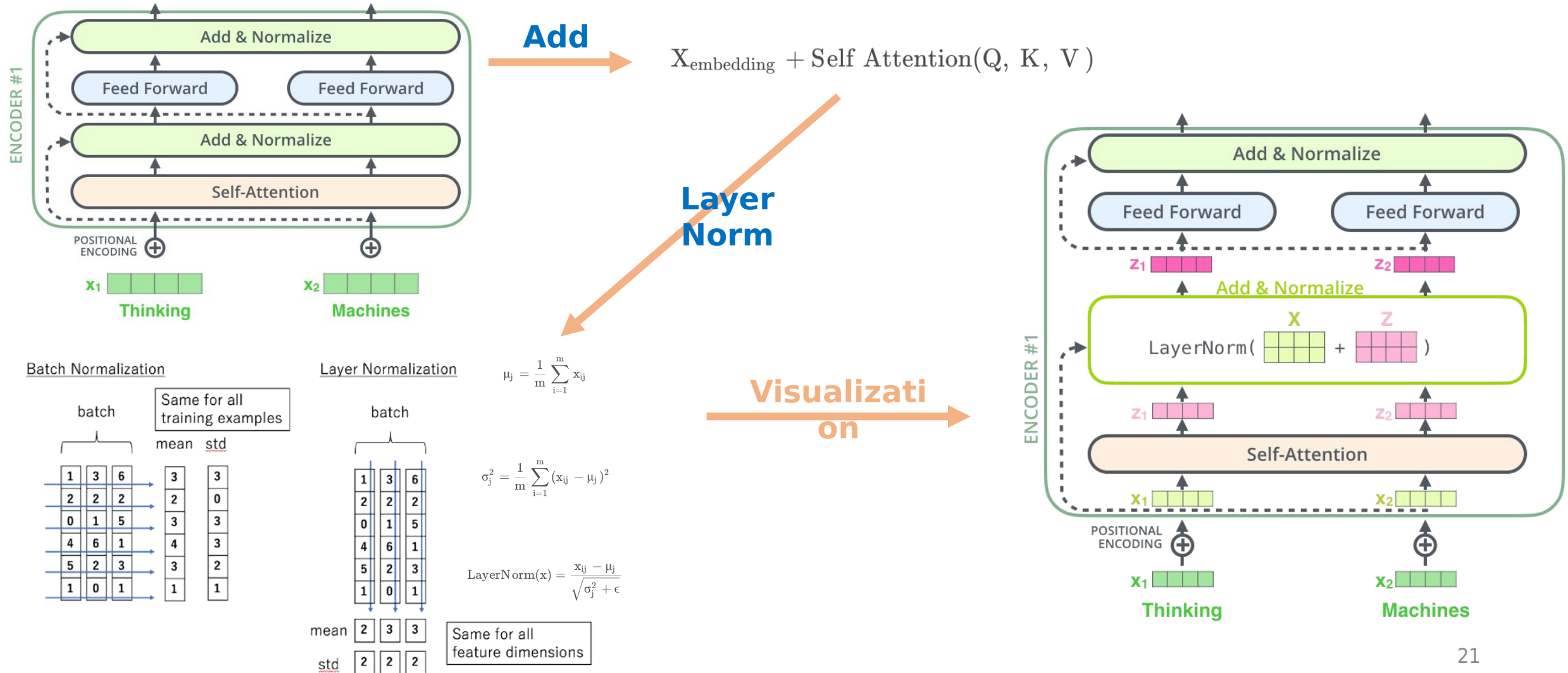
0	0	1	1	1
0	0	0	0	0
0	0	0	1	1
0	0	0	0	0



0	0	-inf	-inf	-inf
0	0	0	0	0
0	0	0	-inf	-inf
0	0	0	0	0

# Transformer 工作流程

## Add & Norm



# Transformer 工作流程

## 编码过程

$$X_{\text{hidden}} = X_{\text{attention}} + X_{\text{hidden}}$$

$$X_{\text{hidden}} = \text{LayerNorm}(X_{\text{hidden}})$$

$$X_{\text{hidden}} = \text{Linear}(\text{ReLU}(\text{Linear}(X_{\text{attention}})))$$

$$X_{\text{attention}} = X + X_{\text{attention}}$$

$$X_{\text{attention}} = \text{LayerNorm}(X_{\text{attention}})$$

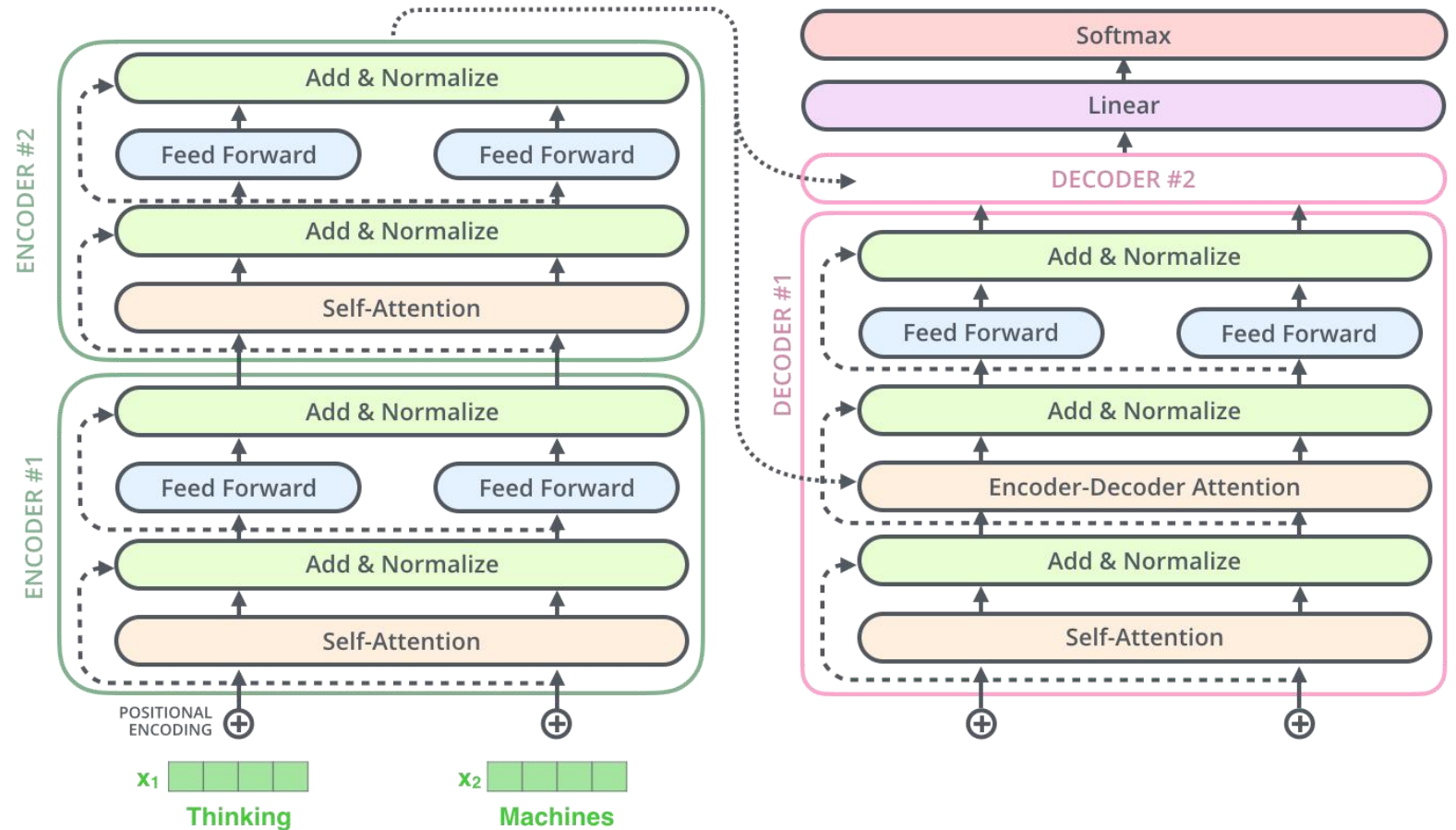
$$Q = \text{Linear}(X) = XW_Q$$

$$K = \text{Linear}(X) = XW_K$$

$$V = \text{Linear}(X) = XW_V$$

$$X_{\text{attention}} = \text{SelfAttention}(Q, K, V)$$

$$X = \text{Embedding Lookup}(X) + \text{Positional Encoding}$$

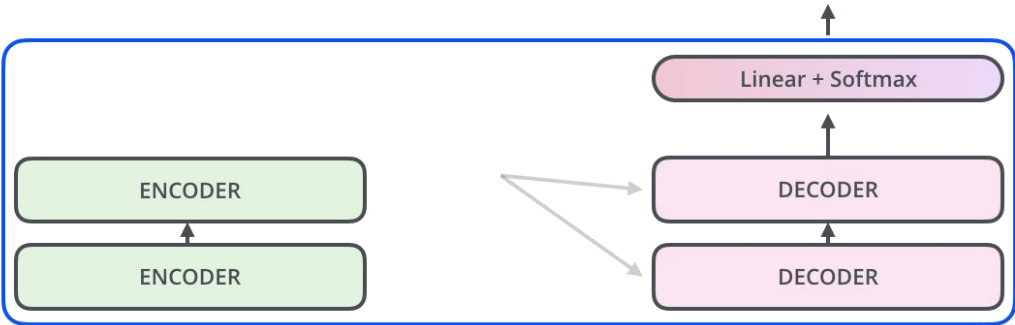


# Transformer 工作流程

## 编码过程&解码过程

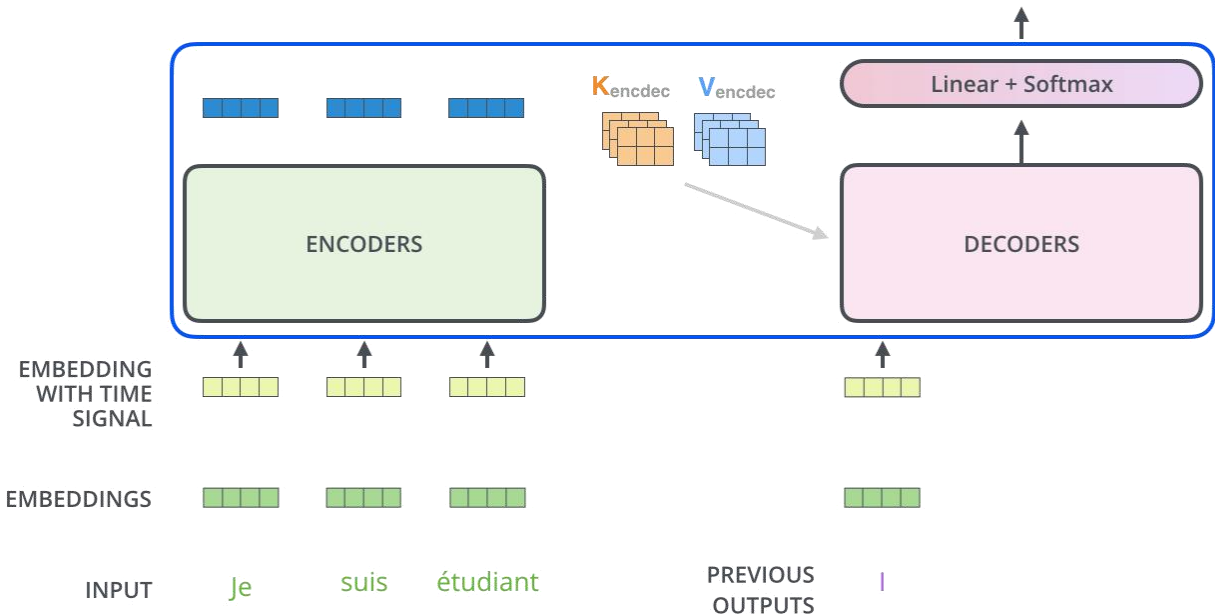
Decoding time step: 1 2 3 4 5 6

OUTPUT



Decoding time step: 1 2 3 4 5 6

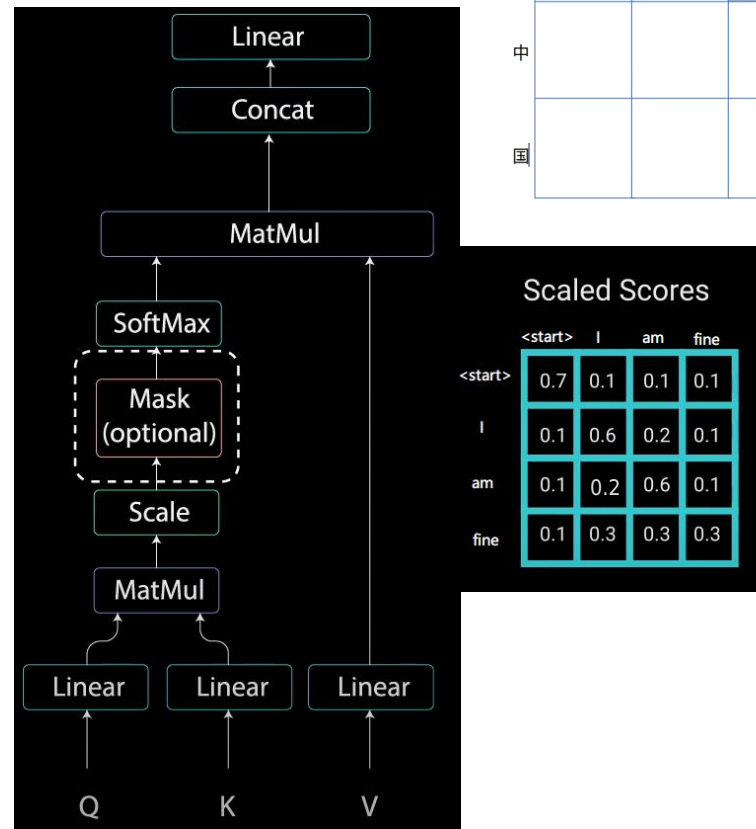
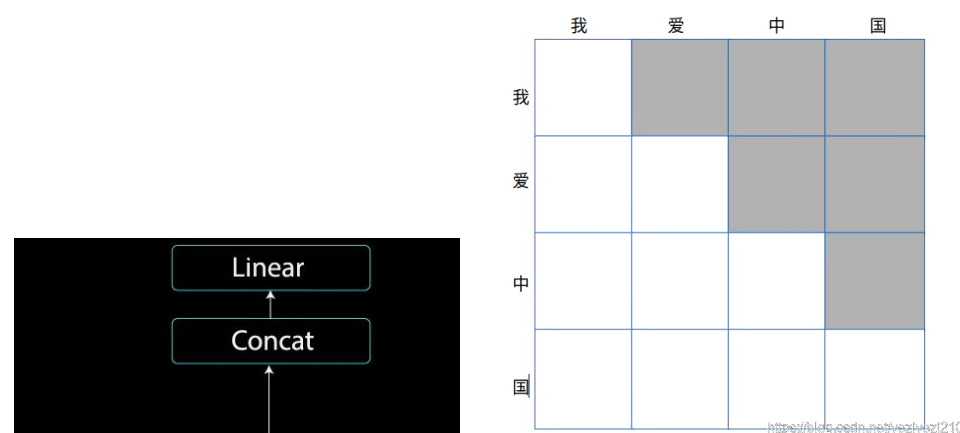
OUTPUT



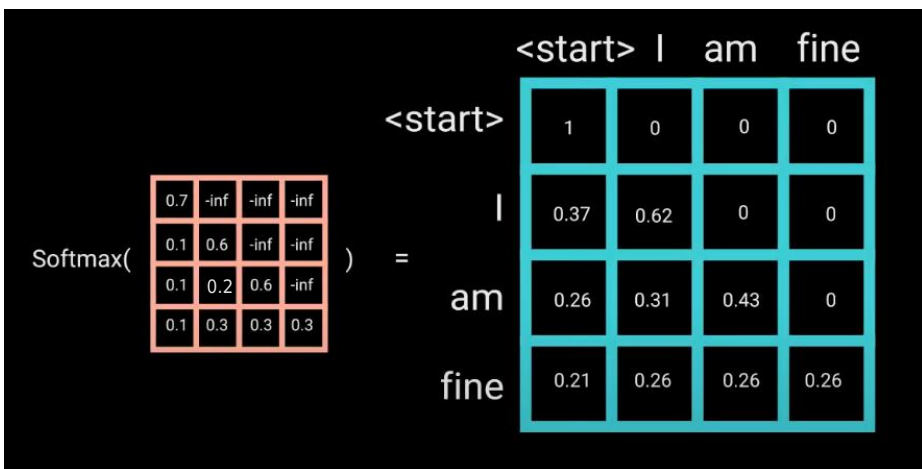
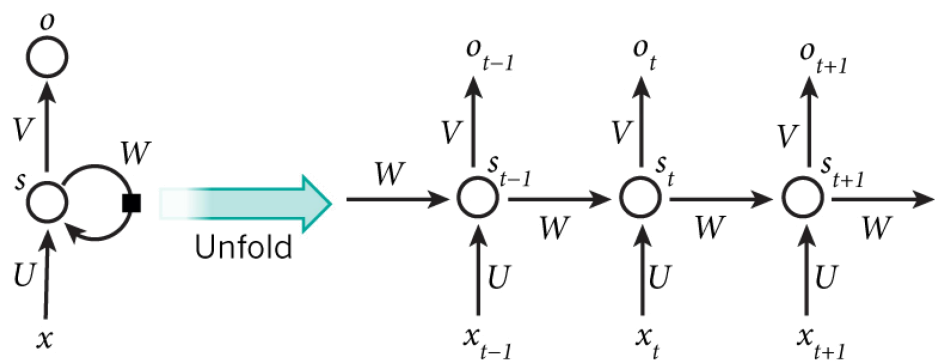


# Transformer 工作流程

## 解码过程 —— Masked Self-Attention



RNN模型:

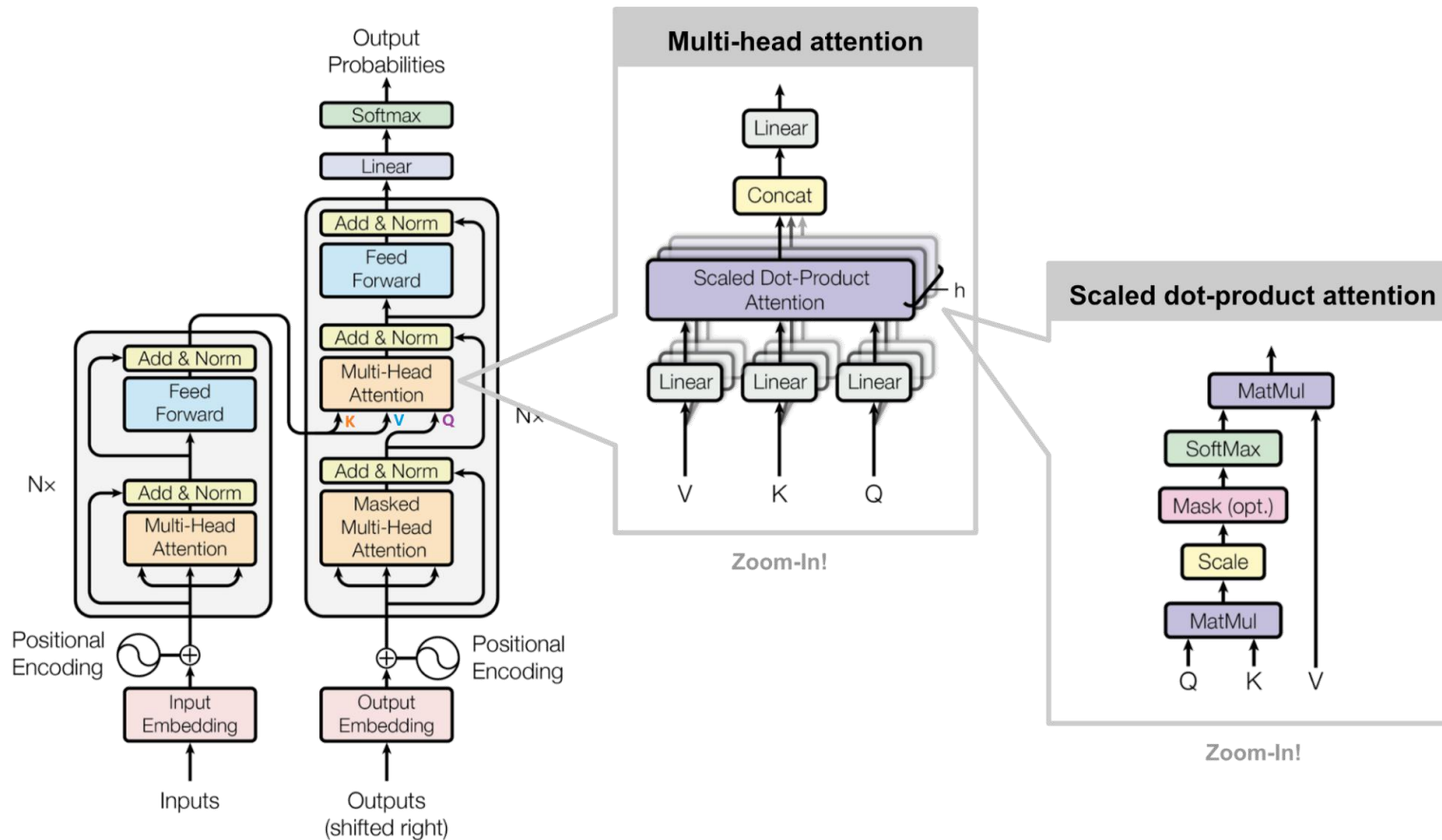




# Transformer 工作流程

## 解码过程 —— Masked Encoder-Decoder

### Attention



- **Encoder Multi-Head Attention:**
  - $Q, K, V$  all from Encoders
- **Decoder Masked Multi-Head Attention:**
  - $Q, K, V$  all from Decoders
- **Decoder Multi-Head Attention:**
  - $Q$  from Decoders
  - $K, V$  from Encoders

# Transformer 工作流程

## 解码过程 —— Linear & Softmax

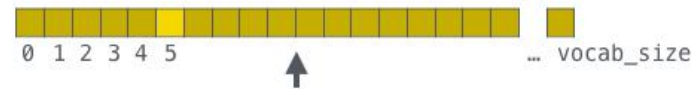
Which word in our vocabulary  
is associated with this index?

am

Get the index of the cell  
with the highest value  
(argmax)

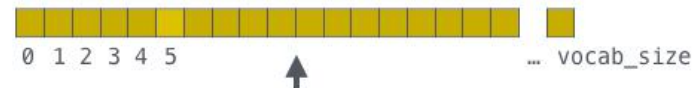
5

log\_probs



Softmax

logits



Linear

Decoder stack output



# Transformer 训练

Output Vocabulary

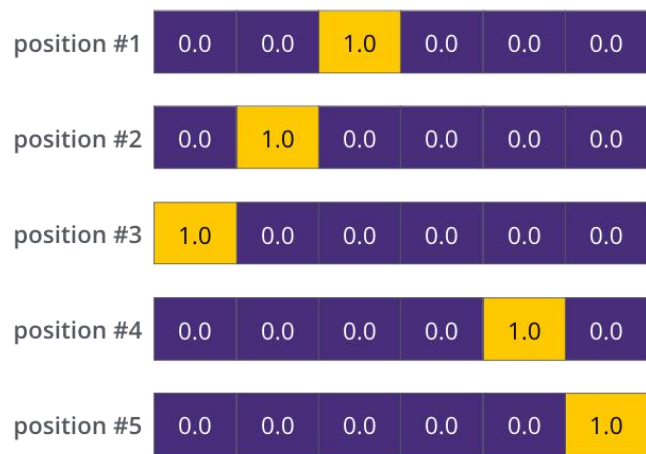
WORD	a	am	I	thanks	student	<eos>
INDEX	0	1	2	3	4	5

→ eos: end of sentence的缩写形式

输入: “je suis étudiant”, 期望输出: “i am a student”

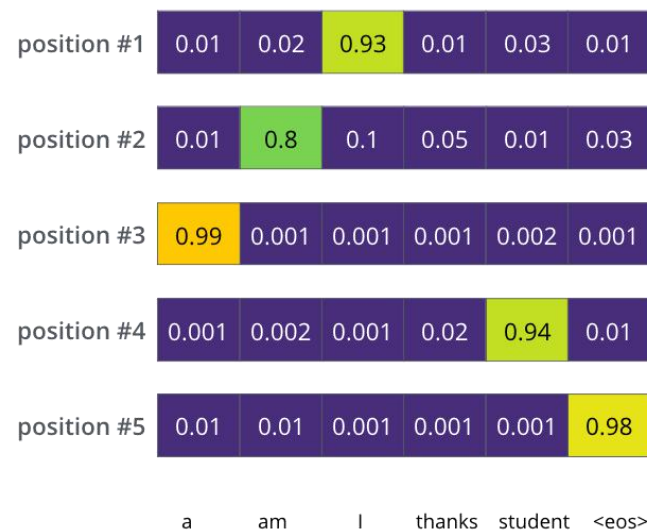
Target Model Outputs

Output Vocabulary: a am I thanks student <eos>



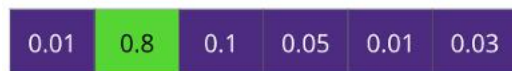
Trained Model Outputs

Output Vocabulary: a am I thanks student <eos>



# Transformer 预测

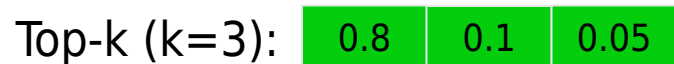
## 解码/采样策略



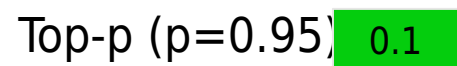
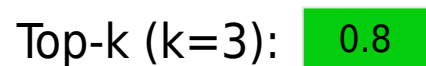
概率降序排列



缩小采样范围



随机采样



Timestep	1	2	3	4
A	0.5	0.1	0.2	0.0
B	0.2	0.4	0.2	0.2
C	0.2	0.3	0.4	0.2
<eos>	0.1	0.2	0.2	0.6

Argmax Decoding (贪婪采样)

Greedy Search (贪心搜索)

Beam Search (束束搜索)

Stochastic Decoding (随机采样)

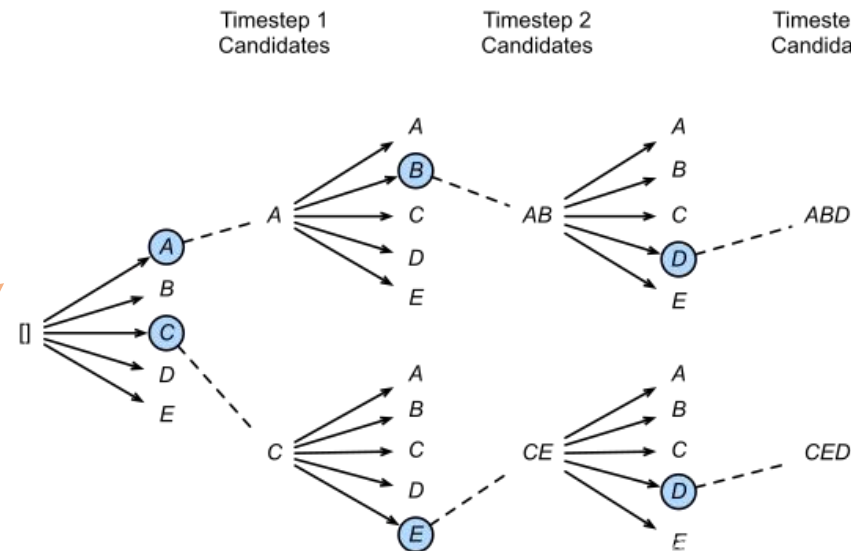
Temperature-controlled Stochastic Sampling

Top-k Sampling

Top-p Sampling (Nucleus Sampling)

混合采样

CTRL: 
$$p_i = \frac{\exp(x_i / (T \cdot I(i \in g)))}{\sum_j \exp(x_j / (T \cdot I(j \in g)))}$$
 
$$I(c) = \theta \text{ if } c \text{ is True else } 1$$



$$P(x|x_{1:t-1}) = \frac{\exp(u_t/t)}{\sum_{t'} \exp(u_{t'}/t)}, \text{ 其中 } t \in [0, 1)$$

# 作业

实现 Transformer 架构，通过单步调试理解数据流动过程及维度变化。

参考代码: <https://github.com/wmathor/nlp-tutorial>

## 5. Model based on Transformer

- 5-1. [The Transformer - Translate](#)
  - Paper - [Attention Is All You Need\(2017\)](#)
  - Colab - [Transformer\\_Torch.ipynb](#)
  - bilibili - <https://www.bilibili.com/video/BV1mk4y1q7eK>

Model	Example
NNLM	Predict Next Word
Word2Vec(Softmax)	Embedding Words and Show Graph
TextCNN	Sentence Classification
TextRNN	Predict Next Step
TextLSTM	Autocomplete
Bi-LSTM	Predict Next Word in Long Sentence
Seq2Seq	Change Word
Seq2Seq with Attention	Translate
Bi-LSTM with Attention	Binary Sentiment Classification
Transformer	Translate
Greedy Decoder Transformer	Translate
BERT	how to train

# 参考资料

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- [Attention is All you Need \(acm.org\)](https://arxiv.org/abs/1609.08144)
- [The Illustrated Transformer – Jay Alammar – Visualizing machine learning one concept at a time. \(jalammar.github.io\)](https://jalammar.github.io/)
- [The Annotated Transformer \(harvard.edu\)](https://nlp.seas.harvard.edu/2018/04/03/attention.html)
- [wmathor.com](https://wmathor.com/)
- [Transformer详解\\_数学家是我理想的博客-CSDN博客](#)
- [图解Transformer（完整版）\\_龙心尘的博客-CSDN博客](#)
- [如何优雅地编码文本中的位置信息？三种positionl encoding方法简述 \(qq.com\)](#)
- [NLP基础模型和注意力机制\\_开始King的博客-CSDN博客](#)
- [文本生成自回归解码策略总结\\_自回归解码器\\_Meilinger\\_的博客-CSDN博客](#)

Q & A