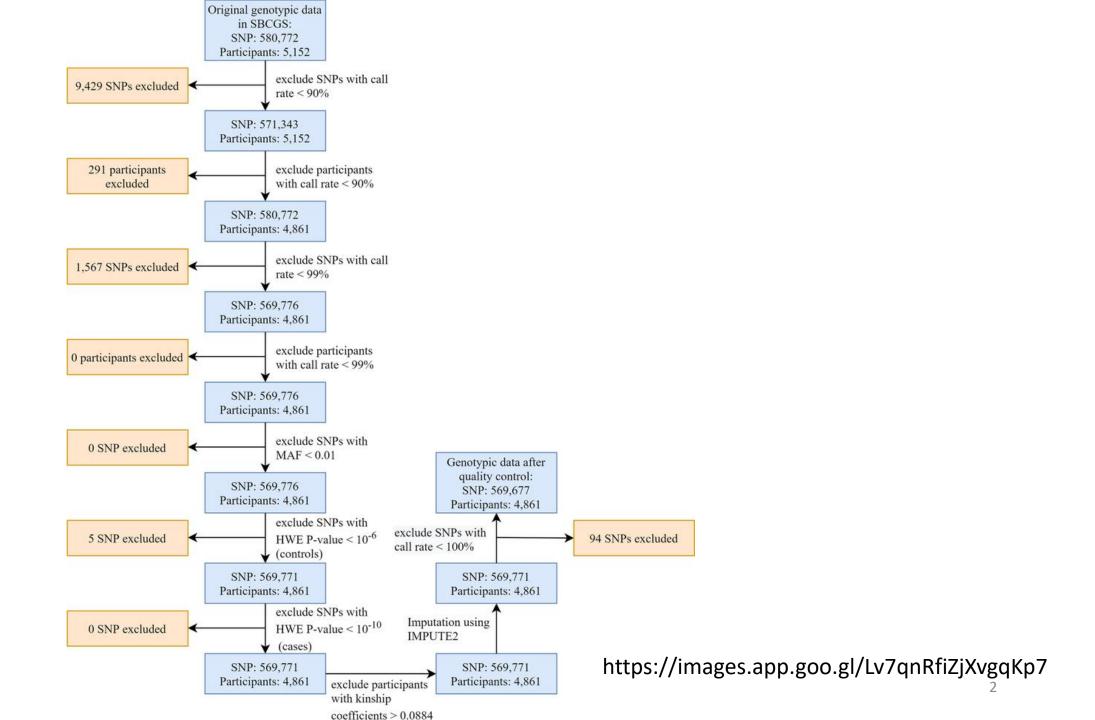
# Week 7-GWAS QC



### QC steps

- 1. Missingness (call rate)
- 2. Sex discrepancy
- 3. MAF
- 4. HWE
- 5. Heterozygosity
- 6. Relatedness
- 7. Population stratification

## 1. Missingness/Call rate

Identification of individuals with poor genotype quality

Proportion of sample missing for this SNP

F MISS

#### Missing genotypes To generate a list genotyping/missingness rate statistics: plink --file data --missing This option creates two files: plink.imiss plink.lmiss which detail missingness by individual and by SNP (locus), respectively. For individuals, the format is: FID Family ID IID Individual ID Missing phenotype? (Y/N) MISS PHENO --mind 0.05 個人 genotyping 成功率 > 95% N MISS Number of missing SNPs Number of non-obligatory missing genotypes N GENO F MISS Proportion of missing SNPs For each SNP, the format is: SNP identifier SNP CHR Chromosome number Number of individuals missing this SNP N MISS Number of non-obligatory missing genotypes N GENO

#### Inclusion thresholds

This secion describes options that can be used to filter out individuals or SNPs on the basis of the summary statistic measures described in the previous summary statistics page.

Summary statistics versus inclusion criteria

The following table summarizes the relationship between the commands to generate summary statistics (as described on the previous page, versus the commands to exclude individuals and/or markers, which are described on this page.

Feature	As summary statistic	As inclusion criteria
Missingness per individual	missing	mind N
Missingness per marker	missing	geno N
Allele frequency	freq	maf N
Hardy-Weinberg equilibrium	hardy	hwe N
Mendel error rates	mendel	me N M

#### Default threshold values

By default, PLINK does not impose any filters on minor allele frequency or genotyping rate. (Note that versions prior to 1.04 use to have thresholds of 0.01 for frequency and 0.1 for individual and SNP missing rate -- this is no longer the case, i.e. it is as if the --all keyword is always specified).

To perform an analysis, or generate a new dataset, with filters applied, add the --mind, --geno or --maf options are to the command line, for example, when the --remove command is given.

### 2. Sex discrepancy

#### Sex check

This option uses X chromosome data to determine sex (i.e. based on heterozygosity rates) and flags individuals for whom the reported sex in the PED file does not match the estimated sex (given genomic data). To run this analysis, use the flag:

```
which generates a file

plink.sexcheck

which contains the fields

FID Family ID

IID Individual ID

PEDSEX Sex as determined in pedigree file (1=male, 2=female)

SNPSEX Sex as determined by X chromosome

STATUS Displays "PROBLEM" or "OK" for each individual

F The actual X chromosome inbreeding (homozygosity) estimate
```

A PROBLEM arises if the two sexes do not match, or if the SNP data or pedigree data are ambiguous with regard to sex. A male call is made if F is more than 0.8; a femle call is made if F is less than 0.2.

The command

```
plink --bfile data --impute-sex --make-bed --out newfile
```

will impute the sex codes based on the SNP data, and create a new file with the revised assignments, in this case a new binary fileset.

### 5. Heterozygosity

#### Inbreeding

```
--het ['small-sample'] ['gz']
--ibc
```

--het computes observed and expected autosomal homozygous genotype counts for each sample, and reports method-of-moments F coefficient estimates (i.e. (<observed hom. count> - <expected count>) / (<total observations> - <expected count>)) to plink.het. (The 'gz' modifier has the usual effect.)

Expected counts are based on loaded (via --read-freq) or imputed MAFs; if there are very few samples in your immediate fileset, --read-freq is practically mandatory since imputed MAFs are wildly inaccurate in that case. Also, due to the use of allele frequencies, if your dataset has a highly imbalanced ancestry distribution (e.g. >90% EUR but a few samples with ancestry primarily from other continents), you may need to process the rare-ancestry samples separately.

By default, the **n**/(**n**-1) multiplier in Nei's expected homozygosity formula is now omitted, since **n** may be unknown when using --read-freq. The '**small-sample**' modifier causes the multiplier to be included, while forcing --het to use imputed MAFs (and known **n**s) from founders in the immediate dataset. (**--maf-succ** is not applied here.)

#### .het (method-of-moments F coefficient estimates)

Produced by --het.

A text file with a header line, and one line per sample with the following six fields:

FID Family ID

IID Within-family ID

O(HOM) Observed number of homozygotes E(HOM) Expected number of homozygotes

N(NM) Number of (nonmissing, non-monomorphic) autosomal genotype observations

F Method-of-moments F coefficient estimate

#### Merge files: https://zzz.bwh.harvard.edu/plink/dataman.shtml#mergelist

#### Merge multiple filesets

To merge more than two standard and/or binary filesets, it is often more convenient to specify a single file that contains a list of PED/MAP and/or BED/BIM/FAM files and use the --merge-list option. Consider, for an extreme example, the case where each fileset contains only a single SNP, and that there are thousands of these files -- this option would help build a single fileset, in this case.

For example, consider we had 4 PED/MAP filesets (labelled fA.\* through fD.\*) and 4 binary filesets, labelled fE.\* through fH.\*). Then using the command

```
plink --file fA --merge-list allfiles.txt --make-bed --out mynewdata
```

would create the binary fileset

mynewdata.bed mynewdata.bim mynewdata.fam

(alternatively, the --recode option could have been used instead of --make-bed to generate a standard ASCII PED/MAP fileset). In this case, the file allfiles.txt was a list of the to-be-merged files, one set per row:

fB.ped fB.map fC.ped fC.map fD.ped fD.map fE.bed fE.bim fE.fam fF.bed fF.bim fF.fam fG.bed fG.bim fG.fam fH.bed fH.bim fH.fam

Important Each fileset must be on a line by itself: lines with two files are interpreted as PED/MAP filesets; lines with three files are interpreted as binary BED/BIM/FAM filesets. The files on a line must always be in this order (PED then MAP; BED then BIM then FAM)

Note In this case the first of the 8 files must be the starting file, i.e. associated with --file on the command line; this file only contains the 8-1 remaining files therefore. The final mynewdata.\* files will contain information from all 8 files.

The --merge-mode option can also be used with the --merge-list option, as described above; however, it is not possible to specify the "diff" features (i.e. modes 6 and 7).

### Ref.

- https://zzz.bwh.harvard.edu/plink/
- https://lsl.sinica.edu.tw/Activities/class/files/202404021007.pdf