CHAPTER

# 7

# Neural Networks and Neural Language Models

"[M]achines of this character can behave in a very complicated manner when the number of units is large."

Alan Turing (1948) "Intelligent Machines", page 6

Neural networks are a fundamental computational tool for language processing, and a very old one. They are called neural because their origins lie in the **McCulloch-Pitts neuron** (McCulloch and Pitts, 1943), a simplified model of the biological neuron as a kind of computing element that could be described in terms of propositional logic. But the modern use in language processing no longer draws on these early biological inspirations.

Instead, a modern neural network is a network of small computing units, each of which takes a vector of input values and produces a single output value. In this chapter we introduce the neural net applied to classification. The architecture we introduce is called a **feedforward network** because the computation proceeds iteratively from one layer of units to the next. The use of modern neural nets is often called **deep learning**, because modern networks are often **deep** (have many layers).

Neural networks share much of the same mathematics as logistic regression. But neural networks are a more powerful classifier than logistic regression, and indeed a minimal neural network (technically one with a single 'hidden layer') can be shown to learn any function.

Neural net classifiers are different from logistic regression in another way. With logistic regression, we applied the regression classifier to many different tasks by developing many rich kinds of feature templates based on domain knowledge. When working with neural networks, it is more common to avoid most uses of rich hand-derived features, instead building neural networks that take raw words as inputs and learn to induce features as part of the process of learning to classify. We saw examples of this kind of representation learning for embeddings in Chapter 6. Nets that are very deep are particularly good at representation learning. For that reason deep neural nets are the right tool for tasks that offer sufficient data to learn features automatically.

In this chapter we'll introduce feedforward networks as classifiers, and also apply them to the simple task of language modeling: assigning probabilities to word sequences and predicting upcoming words. In subsequent chapters we'll introduce many other aspects of neural models, such as **recurrent neural networks** (Chapter 9), the **Transformer** (Chapter 10), and masked language modeling (Chapter 11).

feedforward

deep learning

# 7.1 Units

The building block of a neural network is a single computational unit. A unit takes a set of real valued numbers as input, performs some computation on them, and produces an output.

bias term

At its heart, a neural unit is taking a weighted sum of its inputs, with one additional term in the sum called a **bias term**. Given a set of inputs  $x_1...x_n$ , a unit has a set of corresponding weights  $w_1...w_n$  and a bias b, so the weighted sum z can be represented as:

$$z = b + \sum_{i} w_i x_i \tag{7.1}$$

vector

Often it's more convenient to express this weighted sum using vector notation; recall from linear algebra that a **vector** is, at heart, just a list or array of numbers. Thus we'll talk about z in terms of a weight vector w, a scalar bias b, and an input vector x, and we'll replace the sum with the convenient **dot product**:

$$z = \mathbf{w} \cdot \mathbf{x} + b \tag{7.2}$$

As defined in Eq. 7.2, z is just a real valued number.

Finally, instead of using z, a linear function of x, as the output, neural units apply a non-linear function f to z. We will refer to the output of this function as the **activation** value for the unit, a. Since we are just modeling a single unit, the activation for the node is in fact the final output of the network, which we'll generally call y. So the value y is defined as:

$$y = a = f(z)$$

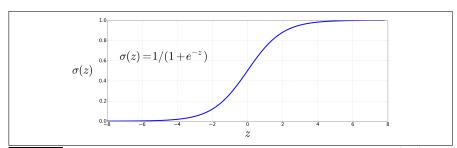
We'll discuss three popular non-linear functions f() below (the sigmoid, the tanh, and the rectified linear unit or ReLU) but it's pedagogically convenient to start with the **sigmoid** function since we saw it in Chapter 5:

sigmoid

activation

$$y = \sigma(z) = \frac{1}{1 + e^{-z}} \tag{7.3}$$

The sigmoid (shown in Fig. 7.1) has a number of advantages; it maps the output into the range (0,1), which is useful in squashing outliers toward 0 or 1. And it's differentiable, which as we saw in Section 5.10 will be handy for learning.

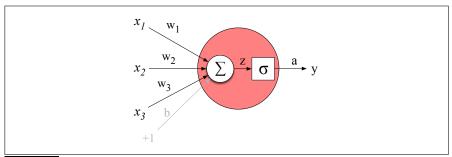


**Figure 7.1** The sigmoid function takes a real value and maps it to the range (0,1). It is nearly linear around 0 but outlier values get squashed toward 0 or 1.

Substituting Eq. 7.2 into Eq. 7.3 gives us the output of a neural unit:

$$y = \sigma(\mathbf{w} \cdot \mathbf{x} + b) = \frac{1}{1 + \exp(-(\mathbf{w} \cdot \mathbf{x} + b))}$$
(7.4)

Fig. 7.2 shows a final schematic of a basic neural unit. In this example the unit takes 3 input values  $x_1, x_2$ , and  $x_3$ , and computes a weighted sum, multiplying each value by a weight ( $w_1, w_2$ , and  $w_3$ , respectively), adds them to a bias term b, and then passes the resulting sum through a sigmoid function to result in a number between 0 and 1.



**Figure 7.2** A neural unit, taking 3 inputs  $x_1$ ,  $x_2$ , and  $x_3$  (and a bias b that we represent as a weight for an input clamped at +1) and producing an output y. We include some convenient intermediate variables: the output of the summation, z, and the output of the sigmoid, a. In this case the output of the unit y is the same as a, but in deeper networks we'll reserve y to mean the final output of the entire network, leaving a as the activation of an individual node.

Let's walk through an example just to get an intuition. Let's suppose we have a unit with the following weight vector and bias:

$$\mathbf{w} = [0.2, 0.3, 0.9]$$
$$b = 0.5$$

What would this unit do with the following input vector:

$$\mathbf{x} = [0.5, 0.6, 0.1]$$

The resulting output y would be:

$$y = \sigma(\mathbf{w} \cdot \mathbf{x} + b) = \frac{1}{1 + e^{-(\mathbf{w} \cdot \mathbf{x} + b)}} = \frac{1}{1 + e^{-(.5*.2 + .6*.3 + .1*.9 + .5)}} = \frac{1}{1 + e^{-0.87}} = .70$$

In practice, the sigmoid is not commonly used as an activation function. A function that is very similar but almost always better is the **tanh** function shown in Fig. 7.3a; tanh is a variant of the sigmoid that ranges from -1 to +1:

$$y = \tanh(z) = \frac{e^z - e^{-z}}{e^z + e^{-z}}$$
 (7.5)

The simplest activation function, and perhaps the most commonly used, is the rectified linear unit, also called the **ReLU**, shown in Fig. 7.3b. It's just the same as z when z is positive, and 0 otherwise:

$$y = ReLU(z) = max(z, 0)$$
(7.6)

These activation functions have different properties that make them useful for different language applications or network architectures. For example, the tanh function has the nice properties of being smoothly differentiable and mapping outlier values toward the mean. The rectifier function, on the other hand, has nice properties that

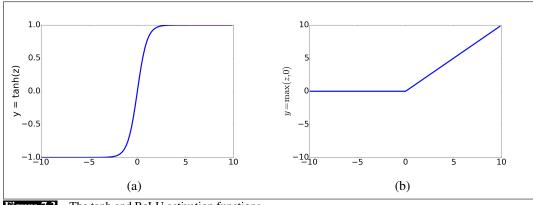


Figure 7.3 The tanh and ReLU activation functions.

saturated

result from it being very close to linear. In the sigmoid or tanh functions, very high values of z result in values of y that are **saturated**, i.e., extremely close to 1, and have derivatives very close to 0. Zero derivatives cause problems for learning, because as we'll see in Section 7.5, we'll train networks by propagating an error signal backwards, multiplying gradients (partial derivatives) from each layer of the network; gradients that are almost 0 cause the error signal to get smaller and smaller until it is too small to be used for training, a problem called the **vanishing gradient** problem. Rectifiers don't have this problem, since the derivative of ReLU for high values of z is 1 rather than very close to 0.

vanishing gradient

# 7.2 The XOR problem

Early in the history of neural networks it was realized that the power of neural networks, as with the real neurons that inspired them, comes from combining these units into larger networks.

One of the most clever demonstrations of the need for multi-layer networks was the proof by Minsky and Papert (1969) that a single neural unit cannot compute some very simple functions of its input. Consider the task of computing elementary logical functions of two inputs, like AND, OR, and XOR. As a reminder, here are the truth tables for those functions:

AND				OR			XOR		
<b>x</b> 1	x2	у	x1	x2	у	x1	x2	у	
0	0	0	0	0	0	0	0	0	
0	1	0	0	1	1	0	1	1	
1	0	0	1	0	1	1	0	1	
1	1	1	1	1	1	1	1	0	

perceptron

This example was first shown for the **perceptron**, which is a very simple neural unit that has a binary output and does **not** have a non-linear activation function. The output y of a perceptron is 0 or 1, and is computed as follows (using the same weight  $\mathbf{w}$ , input  $\mathbf{x}$ , and bias b as in Eq. 7.2):

$$y = \begin{cases} 0, & \text{if } \mathbf{w} \cdot \mathbf{x} + b \le 0 \\ 1, & \text{if } \mathbf{w} \cdot \mathbf{x} + b > 0 \end{cases}$$
 (7.7)

It's very easy to build a perceptron that can compute the logical AND and OR functions of its binary inputs; Fig. 7.4 shows the necessary weights.

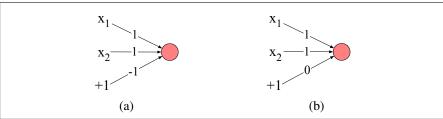


Figure 7.4 The weights w and bias b for perceptrons for computing logical functions. The inputs are shown as  $x_1$  and  $x_2$  and the bias as a special node with value +1 which is multiplied with the bias weight b. (a) logical AND, with weights  $w_1 = 1$  and  $w_2 = 1$  and bias weight b = -1. (b) logical OR, with weights  $w_1 = 1$  and  $w_2 = 1$  and bias weight b = 0. These weights/biases are just one from an infinite number of possible sets of weights and biases that would implement the functions.

It turns out, however, that it's not possible to build a perceptron to compute logical XOR! (It's worth spending a moment to give it a try!)

The intuition behind this important result relies on understanding that a perceptron is a linear classifier. For a two-dimensional input  $x_1$  and  $x_2$ , the perceptron equation,  $w_1x_1 + w_2x_2 + b = 0$  is the equation of a line. (We can see this by putting it in the standard linear format:  $x_2 = (-w_1/w_2)x_1 + (-b/w_2)$ .) This line acts as a **decision boundary** in two-dimensional space in which the output 0 is assigned to all inputs lying on one side of the line, and the output 1 to all input points lying on the other side of the line. If we had more than 2 inputs, the decision boundary becomes a hyperplane instead of a line, but the idea is the same, separating the space into two categories.

Fig. 7.5 shows the possible logical inputs (00, 01, 10, and 11) and the line drawn by one possible set of parameters for an AND and an OR classifier. Notice that there is simply no way to draw a line that separates the positive cases of XOR (01 and 10) from the negative cases (00 and 11). We say that XOR is not a **linearly separable** function. Of course we could draw a boundary with a curve, or some other function, but not a single line.

linearly separable

decision boundary

#### 7.2.1 The solution: neural networks

While the XOR function cannot be calculated by a single perceptron, it can be calculated by a layered network of perceptron units. Rather than see this with networks of simple perceptrons, however, let's see how to compute XOR using two layers of ReLU-based units following Goodfellow et al. (2016). Fig. 7.6 shows a figure with the input being processed by two layers of neural units. The middle layer (called h) has two units, and the output layer (called y) has one unit. A set of weights and biases are shown that allows the network to correctly compute the XOR function.

Let's walk through what happens with the input  $\mathbf{x} = [0, 0]$ . If we multiply each input value by the appropriate weight, sum, and then add the bias b, we get the vector [0, -1], and we then apply the rectified linear transformation to give the output of the  $\mathbf{h}$  layer as [0, 0]. Now we once again multiply by the weights, sum, and add the bias (0) in this case) resulting in the value (0). The reader should work through the computation of the remaining 3 possible input pairs to see that the resulting y values are 1 for the inputs [0, 1] and [1, 0] and 0 for [0, 0] and [1, 1].

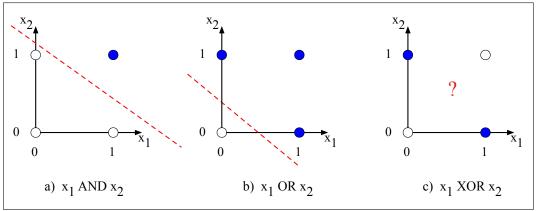
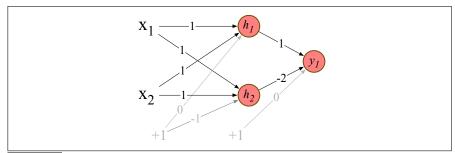


Figure 7.5 The functions AND, OR, and XOR, represented with input  $x_1$  on the x-axis and input  $x_2$  on the y-axis. Filled circles represent perceptron outputs of 1, and white circles perceptron outputs of 0. There is no way to draw a line that correctly separates the two categories for XOR. Figure styled after Russell and Norvig (2002).



**Figure 7.6** XOR solution after Goodfellow et al. (2016). There are three ReLU units, in two layers; we've called them  $h_1$ ,  $h_2$  (h for "hidden layer") and  $y_1$ . As before, the numbers on the arrows represent the weights w for each unit, and we represent the bias b as a weight on a unit clamped to +1, with the bias weights/units in gray.

It's also instructive to look at the intermediate results, the outputs of the two hidden nodes  $h_1$  and  $h_2$ . We showed in the previous paragraph that the **h** vector for the inputs  $\mathbf{x} = [0, 0]$  was [0, 0]. Fig. 7.7b shows the values of the **h** layer for all 4 inputs. Notice that hidden representations of the two input points  $\mathbf{x} = [0, 1]$  and  $\mathbf{x} = [1, 0]$  (the two cases with XOR output = 1) are merged to the single point  $\mathbf{h} = [1, 0]$ . The merger makes it easy to linearly separate the positive and negative cases of XOR. In other words, we can view the hidden layer of the network as forming a representation of the input.

In this example we just stipulated the weights in Fig. 7.6. But for real examples the weights for neural networks are learned automatically using the error backpropagation algorithm to be introduced in Section 7.5. That means the hidden layers will learn to form useful representations. This intuition, that neural networks can automatically learn useful representations of the input, is one of their key advantages, and one that we will return to again and again in later chapters.

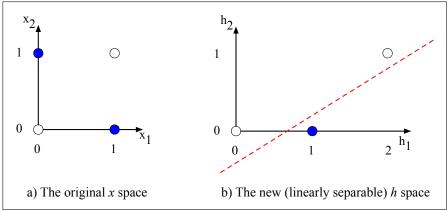


Figure 7.7 The hidden layer forming a new representation of the input. (b) shows the representation of the hidden layer,  $\mathbf{h}$ , compared to the original input representation  $\mathbf{x}$  in (a). Notice that the input point [0, 1] has been collapsed with the input point [1, 0], making it possible to linearly separate the positive and negative cases of XOR. After Goodfellow et al. (2016).

# 7.3 Feedforward Neural Networks

feedforward network Let's now walk through a slightly more formal presentation of the simplest kind of neural network, the **feedforward network**. A feedforward network is a multilayer network in which the units are connected with no cycles; the outputs from units in each layer are passed to units in the next higher layer, and no outputs are passed back to lower layers. (In Chapter 9 we'll introduce networks with cycles, called **recurrent neural networks**.)

multi-layer perceptrons MLP For historical reasons multilayer networks, especially feedforward networks, are sometimes called **multi-layer perceptrons** (or **MLPs**); this is a technical misnomer, since the units in modern multilayer networks aren't perceptrons (perceptrons are purely linear, but modern networks are made up of units with non-linearities like sigmoids), but at some point the name stuck.

Simple feedforward networks have three kinds of nodes: input units, hidden units, and output units.

Fig. 7.8 shows a picture. The input layer  $\mathbf{x}$  is a vector of simple scalar values just as we saw in Fig. 7.2.

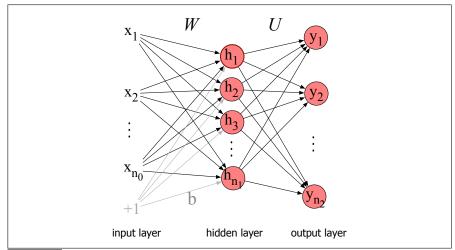
hidden layer

fully-connected

The core of the neural network is the **hidden layer h** formed of **hidden units h**<sub>i</sub>, each of which is a neural unit as described in Section 7.1, taking a weighted sum of its inputs and then applying a non-linearity. In the standard architecture, each layer is **fully-connected**, meaning that each unit in each layer takes as input the outputs from all the units in the previous layer, and there is a link between every pair of units from two adjacent layers. Thus each hidden unit sums over all the input units.

Recall that a single hidden unit has as parameters a weight vector and a bias. We represent the parameters for the entire hidden layer by combining the weight vector and bias for each unit i into a single weight matrix  $\mathbf{W}$  and a single bias vector b for the whole layer (see Fig. 7.8). Each element  $\mathbf{W}_{ji}$  of the weight matrix  $\mathbf{W}$  represents the weight of the connection from the ith input unit  $x_i$  to the jth hidden unit  $h_j$ .

The advantage of using a single matrix  $\mathbf{W}$  for the weights of the entire layer is that now the hidden layer computation for a feedforward network can be done very efficiently with simple matrix operations. In fact, the computation only has three



**Figure 7.8** A simple 2-layer feedforward network, with one hidden layer, one output layer, and one input layer (the input layer is usually not counted when enumerating layers).

steps: multiplying the weight matrix by the input vector  $\mathbf{x}$ , adding the bias vector  $\mathbf{b}$ , and applying the activation function g (such as the sigmoid, tanh, or ReLU activation function defined above).

The output of the hidden layer, the vector  $\mathbf{h}$ , is thus the following (for this example we'll use the sigmoid function  $\sigma$  as our activation function):

$$\mathbf{h} = \sigma(\mathbf{W}\mathbf{x} + \mathbf{b}) \tag{7.8}$$

Notice that we're applying the  $\sigma$  function here to a vector, while in Eq. 7.3 it was applied to a scalar. We're thus allowing  $\sigma(\cdot)$ , and indeed any activation function  $g(\cdot)$ , to apply to a vector element-wise, so  $g[z_1, z_2, z_3] = [g(z_1), g(z_2), g(z_3)]$ .

Let's introduce some constants to represent the dimensionalities of these vectors and matrices. We'll refer to the input layer as layer 0 of the network, and have  $n_0$  represent the number of inputs, so  $\mathbf{x}$  is a vector of real numbers of dimension  $n_0$ , or more formally  $\mathbf{x} \in \mathbb{R}^{n_0}$ , a column vector of dimensionality  $[n_0, 1]$ . Let's call the hidden layer layer 1 and the output layer layer 2. The hidden layer has dimensionality  $n_1$ , so  $\mathbf{h} \in \mathbb{R}^{n_1}$  and also  $\mathbf{b} \in \mathbb{R}^{n_1}$  (since each hidden unit can take a different bias value). And the weight matrix  $\mathbf{W}$  has dimensionality  $\mathbf{W} \in \mathbb{R}^{n_1 \times n_0}$ , i.e.  $[n_1, n_0]$ .

Take a moment to convince yourself that the matrix multiplication in Eq. 7.8 will compute the value of each  $\mathbf{h}_j$  as  $\sigma\left(\sum_{i=1}^{n_0} \mathbf{W}_{ji}\mathbf{x}_i + \mathbf{b}_j\right)$ .

As we saw in Section 7.2, the resulting value **h** (for *hidden* but also for *hypothesis*) forms a *representation* of the input. The role of the output layer is to take this new representation **h** and compute a final output. This output could be a real-valued number, but in many cases the goal of the network is to make some sort of classification decision, and so we will focus on the case of classification.

If we are doing a binary task like sentiment classification, we might have a single output node, and its scalar value *y* is the probability of positive versus negative sentiment. If we are doing multinomial classification, such as assigning a part-of-speech tag, we might have one output node for each potential part-of-speech, whose output value is the probability of that part-of-speech, and the values of all the output nodes must sum to one. The output layer is thus a vector **y** that gives a probability distribution across the output nodes.

Let's see how this happens. Like the hidden layer, the output layer has a weight matrix (let's call it  $\mathbf{U}$ ), but some models don't include a bias vector  $\mathbf{b}$  in the output

layer, so we'll simplify by eliminating the bias vector in this example. The weight matrix is multiplied by its input vector ( $\mathbf{h}$ ) to produce the intermediate output  $\mathbf{z}$ :

$$z = Uh$$

There are  $n_2$  output nodes, so  $\mathbf{z} \in \mathbb{R}^{n_2}$ , weight matrix  $\mathbf{U}$  has dimensionality  $\mathbf{U} \in \mathbb{R}^{n_2 \times n_1}$ , and element  $\mathbf{U}_{ij}$  is the weight from unit j in the hidden layer to unit i in the output layer.

However,  $\mathbf{z}$  can't be the output of the classifier, since it's a vector of real-valued numbers, while what we need for classification is a vector of probabilities. There is a convenient function for **normalizing** a vector of real values, by which we mean converting it to a vector that encodes a probability distribution (all the numbers lie between 0 and 1 and sum to 1): the **softmax** function that we saw on page 89 of Chapter 5. More generally for any vector  $\mathbf{z}$  of dimensionality d, the softmax is defined as:

$$\operatorname{softmax}(\mathbf{z}_i) = \frac{\exp(\mathbf{z}_i)}{\sum_{j=1}^d \exp(\mathbf{z}_j)} \quad 1 \le i \le d$$
 (7.9)

Thus for example given a vector

$$\mathbf{z} = [0.6, 1.1, -1.5, 1.2, 3.2, -1.1], \tag{7.10}$$

the softmax function will normalize it to a probability distribution (shown rounded):

$$softmax(\mathbf{z}) = [0.055, 0.090, 0.0067, 0.10, 0.74, 0.010] \tag{7.11}$$

You may recall that we used softmax to create a probability distribution from a vector of real-valued numbers (computed from summing weights times features) in the multinomial version of logistic regression in Chapter 5.

That means we can think of a neural network classifier with one hidden layer as building a vector  $\mathbf{h}$  which is a hidden layer representation of the input, and then running standard multinomial logistic regression on the features that the network develops in  $\mathbf{h}$ . By contrast, in Chapter 5 the features were mainly designed by hand via feature templates. So a neural network is like multinomial logistic regression, but (a) with many layers, since a deep neural network is like layer after layer of logistic regression classifiers; (b) with those intermediate layers having many possible activation functions (tanh, ReLU, sigmoid) instead of just sigmoid (although we'll continue to use  $\sigma$  for convenience to mean any activation function); (c) rather than forming the features by feature templates, the prior layers of the network induce the feature representations themselves.

Here are the final equations for a feedforward network with a single hidden layer, which takes an input vector  $\mathbf{x}$ , outputs a probability distribution  $\mathbf{y}$ , and is parameterized by weight matrices  $\mathbf{W}$  and  $\mathbf{U}$  and a bias vector  $\mathbf{b}$ :

$$\mathbf{h} = \sigma(\mathbf{W}\mathbf{x} + \mathbf{b})$$

$$\mathbf{z} = \mathbf{U}\mathbf{h}$$

$$\mathbf{y} = \operatorname{softmax}(\mathbf{z})$$
(7.12)

And just to remember the shapes of all our variables,  $\mathbf{x} \in \mathbb{R}^{n_0}$ ,  $\mathbf{h} \in \mathbb{R}^{n_1}$ ,  $\mathbf{b} \in \mathbb{R}^{n_1}$ ,  $\mathbf{W} \in \mathbb{R}^{n_1 \times n_0}$ ,  $\mathbf{U} \in \mathbb{R}^{n_2 \times n_1}$ , and the output vector  $\mathbf{y} \in \mathbb{R}^{n_2}$ . We'll call this network a 2-layer network (we traditionally don't count the input layer when numbering layers, but do count the output layer). So by this terminology logistic regression is a 1-layer network.

normalizing

softmax

### 7.3.1 More details on feedforward networks

Let's now set up some notation to make it easier to talk about deeper networks of depth more than 2. We'll use superscripts in square brackets to mean layer numbers, starting at 0 for the input layer. So  $\mathbf{W}^{[1]}$  will mean the weight matrix for the (first) hidden layer, and  $\mathbf{b}^{[1]}$  will mean the bias vector for the (first) hidden layer.  $n_j$  will mean the number of units at layer j. We'll use  $g(\cdot)$  to stand for the activation function, which will tend to be ReLU or tanh for intermediate layers and softmax for output layers. We'll use  $\mathbf{a}^{[i]}$  to mean the output from layer i, and  $\mathbf{z}^{[i]}$  to mean the combination of weights and biases  $\mathbf{W}^{[i]}\mathbf{a}^{[i-1]}+\mathbf{b}^{[i]}$ . The 0th layer is for inputs, so we'll refer to the inputs  $\mathbf{x}$  more generally as  $\mathbf{a}^{[0]}$ .

Thus we can re-represent our 2-layer net from Eq. 7.12 as follows:

$$\mathbf{z}^{[1]} = \mathbf{W}^{[1]} \mathbf{a}^{[0]} + \mathbf{b}^{[1]} 
\mathbf{a}^{[1]} = g^{[1]} (\mathbf{z}^{[1]}) 
\mathbf{z}^{[2]} = \mathbf{W}^{[2]} \mathbf{a}^{[1]} + \mathbf{b}^{[2]} 
\mathbf{a}^{[2]} = g^{[2]} (\mathbf{z}^{[2]}) 
\hat{\mathbf{y}} = \mathbf{a}^{[2]}$$
(7.13)

Note that with this notation, the equations for the computation done at each layer are the same. The algorithm for computing the forward step in an n-layer feedforward network, given the input vector  $a^{[0]}$  is thus simply:

$$\begin{array}{ll} & \textbf{for } i \textbf{ in } 1, \dots, \mathbf{n} \\ & \mathbf{z}^{[i]} = \mathbf{W}^{[i]} \mathbf{a}^{[i-1]} + \mathbf{b}^{[i]} \\ & \mathbf{a}^{[i]} = g^{[i]}(\mathbf{z}^{[i]}) \\ & \hat{\mathbf{y}} = \mathbf{a}^{[n]} \end{array}$$

The activation functions  $g(\cdot)$  are generally different at the final layer. Thus  $g^{[2]}$  might be softmax for multinomial classification or sigmoid for binary classification, while ReLU or tanh might be the activation function  $g(\cdot)$  at the internal layers.

It's often useful to have a name for the final set of activations right before the final softmax. So however many layers we have, we'll generally call the unnormalized values in the final vector  $\mathbf{z}^{[n]}$ , the vector of scores right before the final softmax, the **logits** (see (5.7).

logits

The need for non-linear activation functions One of the reasons we use non-linear activation functions for each layer in a neural network is that if we did not, the resulting network is exactly equivalent to a single-layer network. Let's see why this is true. Imagine the first two layers of such a network of purely linear layers:

$$\mathbf{z}^{[1]} = \mathbf{W}^{[1]}\mathbf{x} + \mathbf{b}^{[1]}$$
  
 $\mathbf{z}^{[2]} = \mathbf{W}^{[2]}\mathbf{z}^{[1]} + \mathbf{b}^{[2]}$ 

We can rewrite the function that the network is computing as:

$$\mathbf{z}^{[2]} = \mathbf{W}^{[2]} \mathbf{z}^{[1]} + \mathbf{b}^{[2]} 
= \mathbf{W}^{[2]} (\mathbf{W}^{[1]} \mathbf{x} + \mathbf{b}^{[1]}) + \mathbf{b}^{[2]} 
= \mathbf{W}^{[2]} \mathbf{W}^{[1]} \mathbf{x} + \mathbf{W}^{[2]} \mathbf{b}^{[1]} + \mathbf{b}^{[2]} 
= \mathbf{W}' \mathbf{x} + \mathbf{b}'$$
(7.14)

This generalizes to any number of layers. So without non-linear activation functions, a multilayer network is just a notational variant of a single layer network with a

different set of weights, and we lose all the representational power of multilayer networks.

**Replacing the bias unit** In describing networks, we will often use a slightly simplified notation that represents exactly the same function without referring to an explicit bias node b. Instead, we add a dummy node  $\mathbf{a}_0$  to each layer whose value will always be 1. Thus layer 0, the input layer, will have a dummy node  $\mathbf{a}_0^{[0]} = 1$ , layer 1 will have  $\mathbf{a}_0^{[1]} = 1$ , and so on. This dummy node still has an associated weight, and that weight represents the bias value b. For example instead of an equation like

$$\mathbf{h} = \sigma(\mathbf{W}\mathbf{x} + \mathbf{b}) \tag{7.15}$$

we'll use:

$$\mathbf{h} = \sigma(\mathbf{W}\mathbf{x}) \tag{7.16}$$

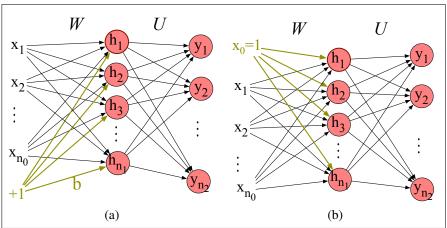
But now instead of our vector  $\mathbf{x}$  having  $n_0$  values:  $\mathbf{x} = \mathbf{x}_1, \dots, \mathbf{x}_{n_0}$ , it will have  $n_0 + 1$  values, with a new 0th dummy value  $\mathbf{x}_0 = 1$ :  $\mathbf{x} = \mathbf{x}_0, \dots, \mathbf{x}_{n_0}$ . And instead of computing each  $\mathbf{h}_i$  as follows:

$$\mathbf{h}_{j} = \sigma \left( \sum_{i=1}^{n_0} \mathbf{W}_{ji} \mathbf{x}_i + \mathbf{b}_j \right), \tag{7.17}$$

we'll instead use:

$$\mathbf{h}_{j} = \sigma \left( \sum_{i=0}^{n_0} \mathbf{W}_{ji} \mathbf{x}_i \right), \tag{7.18}$$

where the value  $\mathbf{W}_{j0}$  replaces what had been  $\mathbf{b}_{j}$ . Fig. 7.9 shows a visualization.



**Figure 7.9** Replacing the bias node (shown in a) with  $x_0$  (b).

We'll continue showing the bias as b when we go over the learning algorithm in Section 7.5, but then we'll switch to this simplified notation without explicit bias terms for the rest of the book.