

Unit Commitment

1. **States**(S_t)

- (a) Forecast demand ($d_n^f(t+1)$) at n th bus [Belief state]
- (b) Sequence of on/off status $[u_i(t), u_i^{old}(t)]$ of i th generator with a length of $\max(UT_i, DT_i) + 1$ [Physical state]
- (c) Power output $p_i(t)$ at i th generator [Physical state]
- (d) Voltage angle $\theta_n(t)$ at n th bus [Physical state] [UC-v1 env only]

2. **Actions** (A_t)

- (a) On/off status $u_i(t+1)$ at i th generator
- (b) Power output $p_i(t+1)$ at i th generator
- (c) Voltage angle $\theta_n(t+1)$ at n th bus (except the 1st bus) [UC-v1 env only]

3. **Transition function**

- (a) Forecast net load

$$d_n^f(t+2) = \text{forecast}(d_n^f(t+1))$$

- (b) On-off & Start-up & Shut-down status

$$u_i(t+1) = \text{Repair}(u_i(t+1))$$

$$v_i(t+1) = \max(0, u_i(t+1) - u_i(t+1))$$

$$w_i(t+1) = -\min(0, u_i(t+1) - u_i(t+1))$$

- (c) Sequence of On-off & Start-up & Shut-down status

$$\mathbf{u}_i(t+1) = [u_i(t+1), u_i^{old}(t)[-1]]$$

$$\mathbf{v}_i(t+1) = [v_i(t+1), v_i^{old}(t)[-1]]$$

$$\mathbf{w}_i(t+1) = [w_i(t+1), w_i^{old}(t)[-1]]$$

- (d) Power output

$$p_i(t+1) = u_i(t+1)\text{Repair}(\text{clip}(p_i(t+1)))$$

- (e) Voltage angle

$$\theta_n(t+1) = \text{clip}(\theta_n(t+1))$$

4. Action Correction and Cost

- (a) Minimum Up-time & Down-time Constraints are enforced by $\text{Repair}(\cdot)$ on u which uses the inequality $\sum_k^{UT} v(k) \leq u(t+1)$ and $\sum_k^{DT} w(k) \leq 1 - u(t+1)$ to find out the generators that must be kept on & off. The violation results in a cost, but does not directly lead to “truncated”.
- (b) Generation Limit Constraints are enforced by a clip function and the corrected on/off status.
- (c) Ramping Constraints are evaluated through $p(t+1) - p(t) \leq RUu(t) + SUv(t+1)$ and $p(t) - p(t+1) \leq RDu(t+1) + SDw(t+1)$. Minimum Up-time & Down-time Constraints give a pair of must-on & must-off that does not contradict with each other. However, Ramping down & Minimum Down-time Constraints give a pair of must-on & must-off that may contradict with each other. If contradicted, then it results in a cost and “truncated” without good correction method. If not, then it results in a cost, but does not directly lead to “truncated”. Ramping Constraints are then enforced by $\text{Repair}(\cdot)$ on p , which imposes a tighter bound.

5. Reward

- (a) Network Constraints are relaxed through slacks that represent overflow and underflow. The energy waste or unfulfillment is then explicitly computed using a load shedding cost in the reward.
- (b) Reserve Requirement Constraints are relaxed through slacks that represent the unfulfillment $r_i + s \geq R_i$. The intermediate variable r_i represents the reserve at i th generator. To compute r_i , first evaluate $\min(u(t+1)P_{max} - p(t+1), RUu(t) + SUv(t+1) + p(t) - p(t+1))$, then evaluate $\max(\cdot, 0)$. This finds the best reserve that can be achieved without violating both power limit and ramping-up constraints. The unfulfillment is then explicitly computed using a reserve penalty cost in the reward.