

# Lecture Notes

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**Definition.** Given a TM  $M$ , let  $\hat{M}$  be a TM that does the following:

input  $\pi \in \Sigma^*$ , dovetail computation of  $M(\sigma)$  for all  $\sigma \in \Sigma^*$  (in a fixed dovetailing order that does not depend on  $\pi$ ) with  $M(\sigma) \downarrow$  for some  $\sigma$  that  $\sigma \sqsubseteq \pi$  or  $\pi \sqsubseteq \sigma$ . If  $\sigma = \pi$  then halt, else run forever.

**Observation.** This construction has the following two properties:

1.  $\hat{M}$  is a prefix TM.
2. If  $M$  is a prefix TM, then for all  $\pi \in \Sigma^*$ ,  $\hat{M}(\pi) \cong M(\pi)$

Hence the sequence of TMs  $\hat{M}_0, \hat{M}_1, \dots$  is an enumeration of all prefix TMs.

We call this the standard enumeration of prefix TMs.

**Definition.** A universal prefix TM is a prefix TM  $\hat{U}$  such that for all  $n \in \mathbb{N}$  and for all  $\pi \in \Sigma^*$ ,  $\hat{U}(0^n|\pi) \cong \hat{M}_n(\pi)$ .

**Definition.** Let  $M$  be a TM,

1. for each  $x \in \Sigma^*$ , the set of programs for  $n$  on  $M$  is

$$PROG_M(x) = \{\pi \in \Sigma^* | M(\pi) = x\}$$

2. The set of valid programs on  $M$  is

$$PROG_M = \{\pi \in \Sigma^* | M(\pi) \downarrow\}$$

**Observation.** For every TM  $M$ ,  $PROG_M = \cup_{x \in \Sigma^*} PROG_M(x)$  and this is a union of disjoint sets  $PROG_M(x)$ .

A prefix TM is a TM  $M$  for which  $PROG_M$  is a prefix set.

**Definition.** 1. The Kolmogorov complexity of a string  $x \in \Sigma^*$  is

$$K_M(x) = \min\{|\pi| | \pi \in PROG_M(x)\}$$

where  $\min \emptyset = \infty$ .

2. The Kolmogorov complexity of a string  $x \in \Sigma^*$  is

$$K(x) = K_{\hat{U}}(x)$$

**Notation.**  $PROG(x) = PROG_{\hat{U}}(x)$  and  $PROG = PROG_{\hat{U}}$  Note, then, that  $K(x) = \min\{|\pi| | \pi \in PROG(x)\}$

**Theorem 0.1.** (*Optimality Theorem*): For each prefix TM  $M$ , there is a optimality constant  $c_M$  such that for all  $x \in \Sigma^*$ ,  $K(x) \leq K_M(x) + c_M$ .