

Understanding, Detecting and Localizing Partial Failures in Large System Software¹

November 7, 2020

¹Chang Lou, Peng Huang, and Scott Smith. "Understanding, Detecting and Localizing Partial Failures in Large System Software". In: *17th {USENIX} Symposium on Networked Systems Design and Implementation ({NSDI} 20)*. 2020, pp. 559–574.

Overview

Problem definition

Case Study
Findings

Motivation

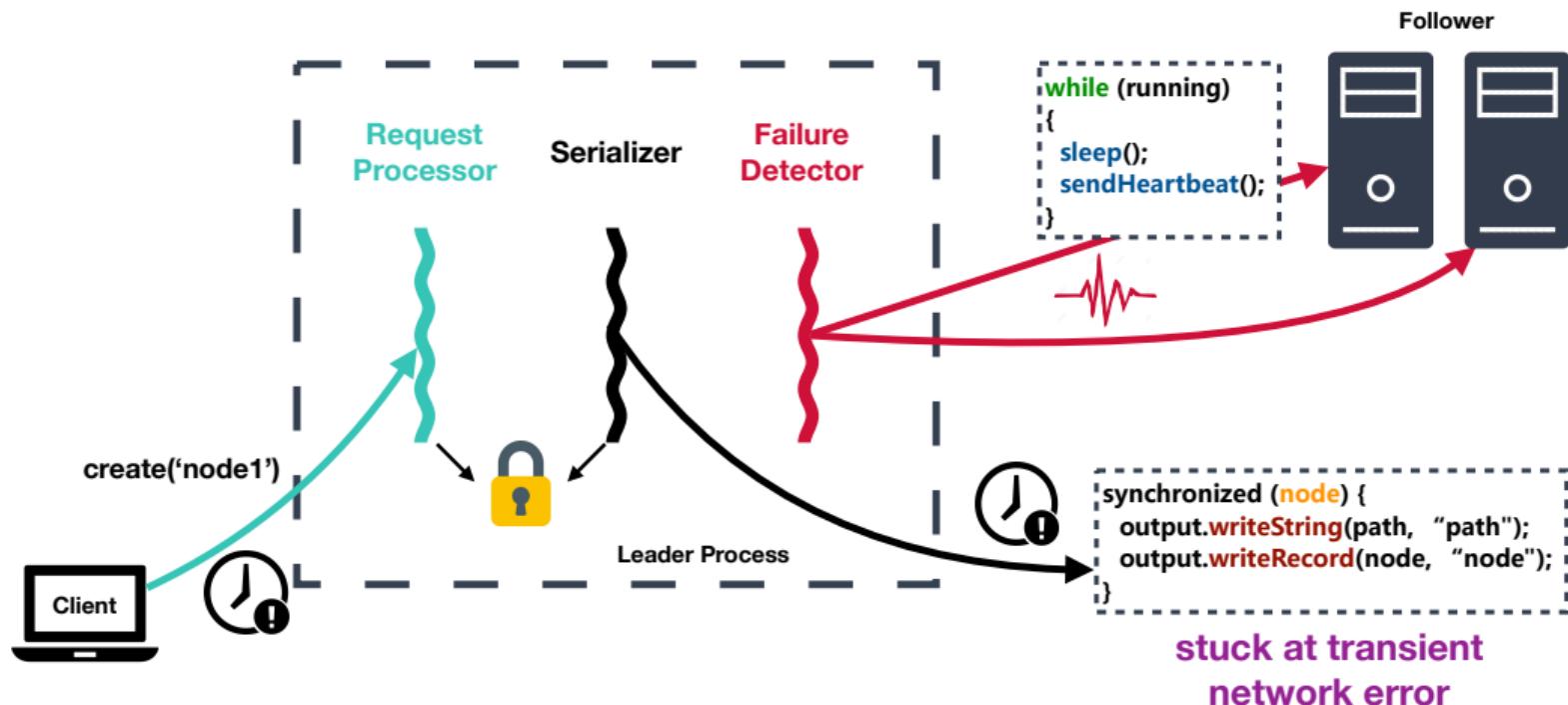
Proposed Design
Ideas
Implementation

Evaluation

Summary

What is a Partial Failure?

An Example

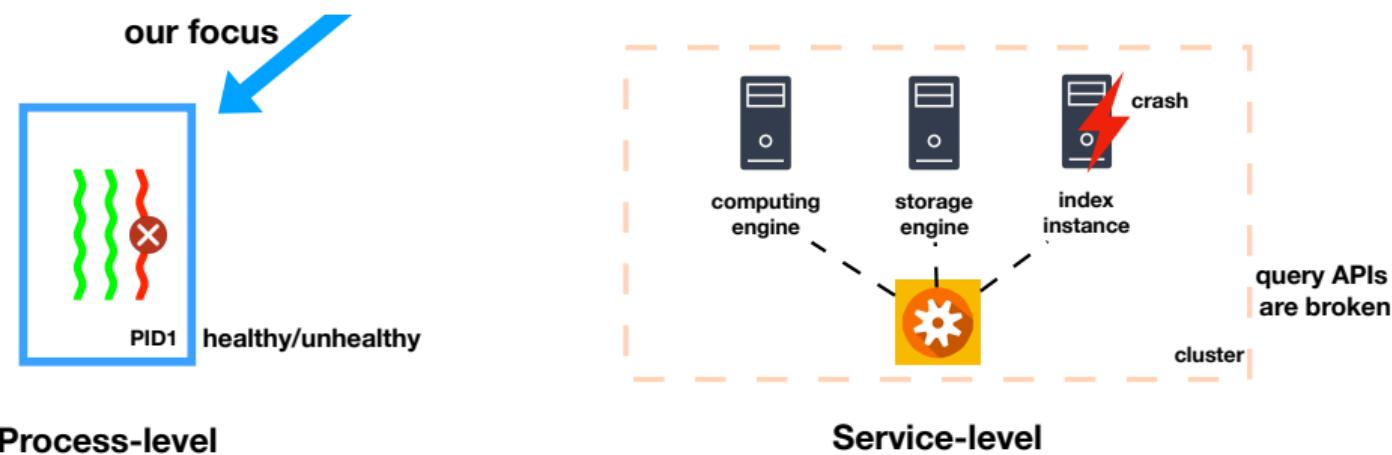


What is a Partial Failure?

Definition

A partial failure is, in a process π to be when a fault **does not** crash π but causes safety or liveness violation or severe slowness for some functionality $R_f \subsetneq R$

Scope: In this paper, we will specify the partial failure at the **process** granularity instead of **service**.



Study methodology

100 partial failure cases from five large, widely-used software systems

- ▶ Crawl all bug tickets tagged with critical priorities in the official bug trackers
- ▶ Filter tickets from testing and randomly sample the remaining failures tickets.

Interestingly, 54% of them occur in the most recent three years' software releases
(average lifespan of all systems is 9 years)

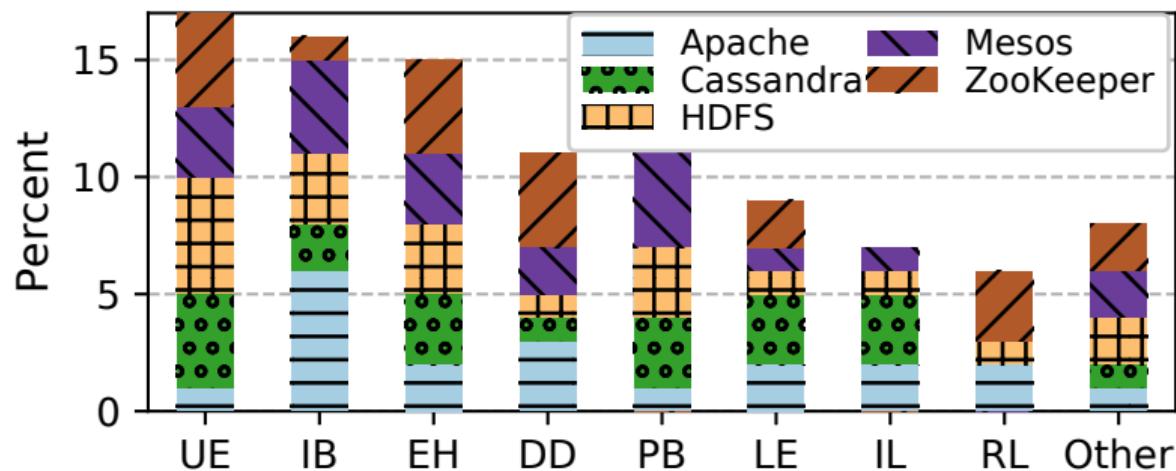
| Software | Language | Cases | Versions | Date Range |
|-----------|----------|-------|--------------------|-----------------------|
| ZooKeeper | Java | 20 | 17 (3.2.1–3.5.3) | 12/01/2009–08/28/2018 |
| Cassandra | Java | 20 | 19 (0.7.4–3.0.13) | 04/22/2011–08/31/2017 |
| HDFS | Java | 20 | 14 (0.20.1–3.1.0) | 10/29/2009–08/06/2018 |
| Apache | C | 20 | 16 (2.0.40–2.4.29) | 08/02/2002–03/20/2018 |
| Mesos | C++ | 20 | 11 (0.11.0–1.7.0) | 04/08/2013–12/28/2018 |

Finding 1: Root Causes are Diverse

Root cause distribution

No single uniformed or dominating root cause²

Top three (total 48%) root cause types are uncaught errors, indefinite blocking, and buggy error handling

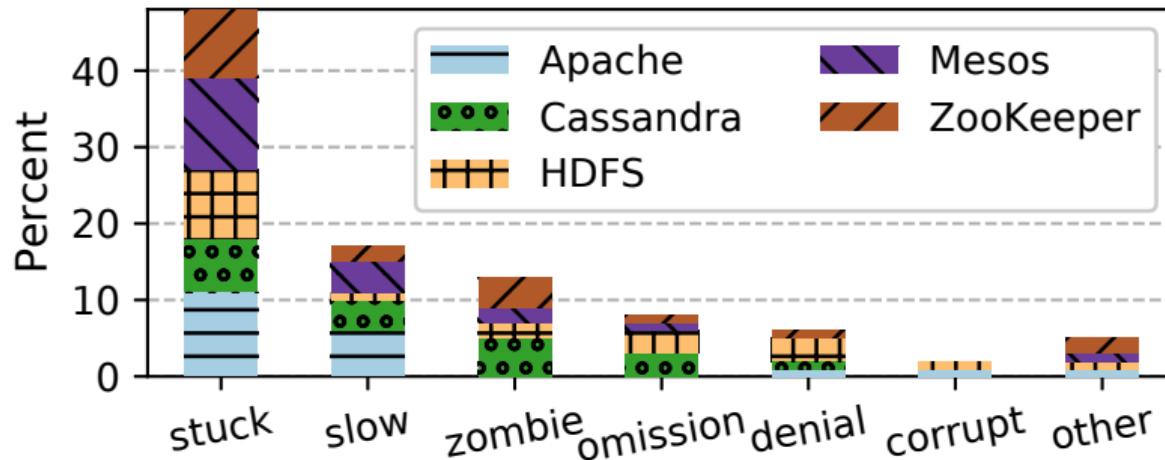


²UE: uncaught error; IB: indefinite blocking; EH: buggy error handling; DD: deadlock; PB: performance bug; LE: logic error; IL: infinite loop; RL: resource leak.

Finding 2: Nearly Half Cases Cause **Stuck** Issues

Consequence

Nearly half (**48%**) of the partial failures cause some functionality to be **stuck**.



17% of the partial failures cause certain operations to take a long time to complete.
(i.e. **slow**)

Other Findings: Partial Failures are Hard to Detect

15% of the partial failures are silent

Including data loss, corruption, inconsistency, and wrong results

Most cases are triggered by unique production workload or environment

71% of the partial failures are triggered by some **specific environment condition, or special input in the production.**

Debugging time is long

The median diagnosis time is 6 days and 5 hours

The majority (68%) of the failures are “sticky”

The process will not recover from the faults by itself. The faulty process needs to be restarted or repaired to function again.

Motivation

So how to detect and localize a partial failure in a big software?

What if we simply apply static or dynamic analysis?

Static Analysis?

- ▶ no unique production env/workload
- ▶ unable to detect run-time problem

Dynamic Analysis?

- ▶ existing detectors are too shallow
- ▶ unable to localize failures

Ask developers to manually add defensive checks?

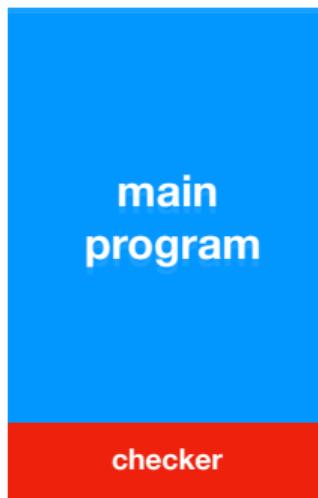
Manual vs generated checkers

Systematically generated checkers to ease developers' burden

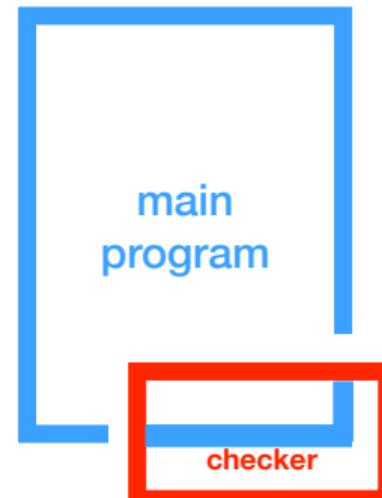
- ▶ challenge: difficult to automate for all cases
- ▶ opportunity: most of partial failures do not rely on deep semantic understanding to detect, such checkers can potentially be automatically constructed

Intersection Principle

Construct customized checkers that **intersect** with the execution of a monitored process:



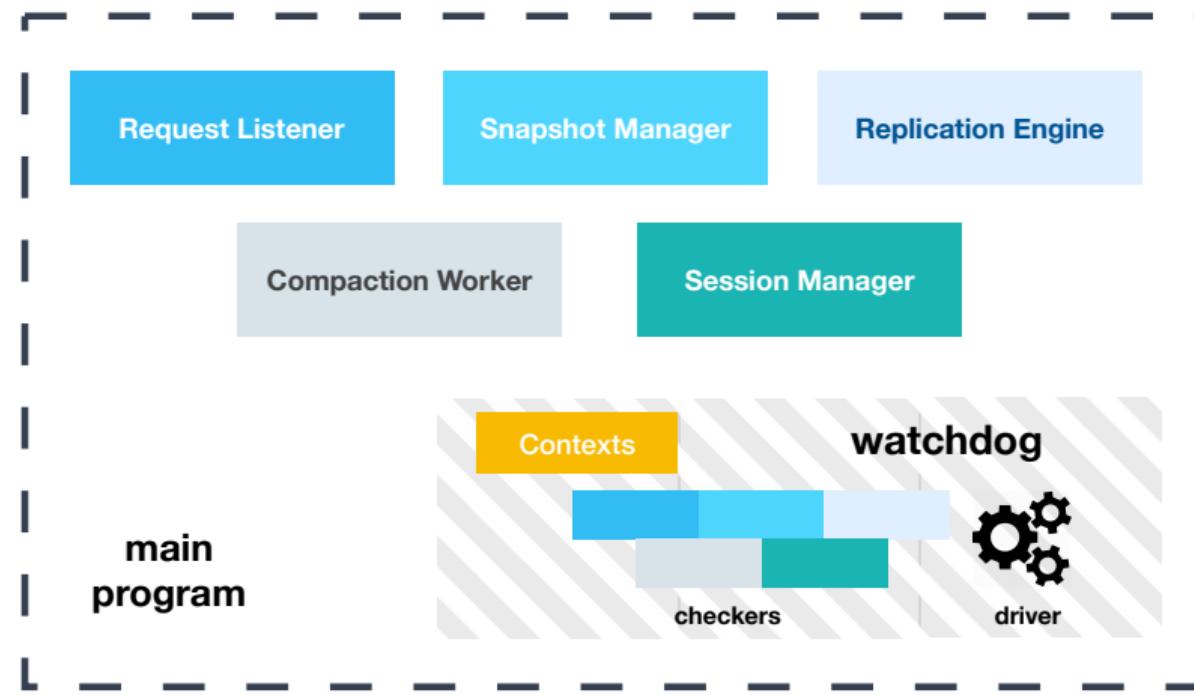
existing approach



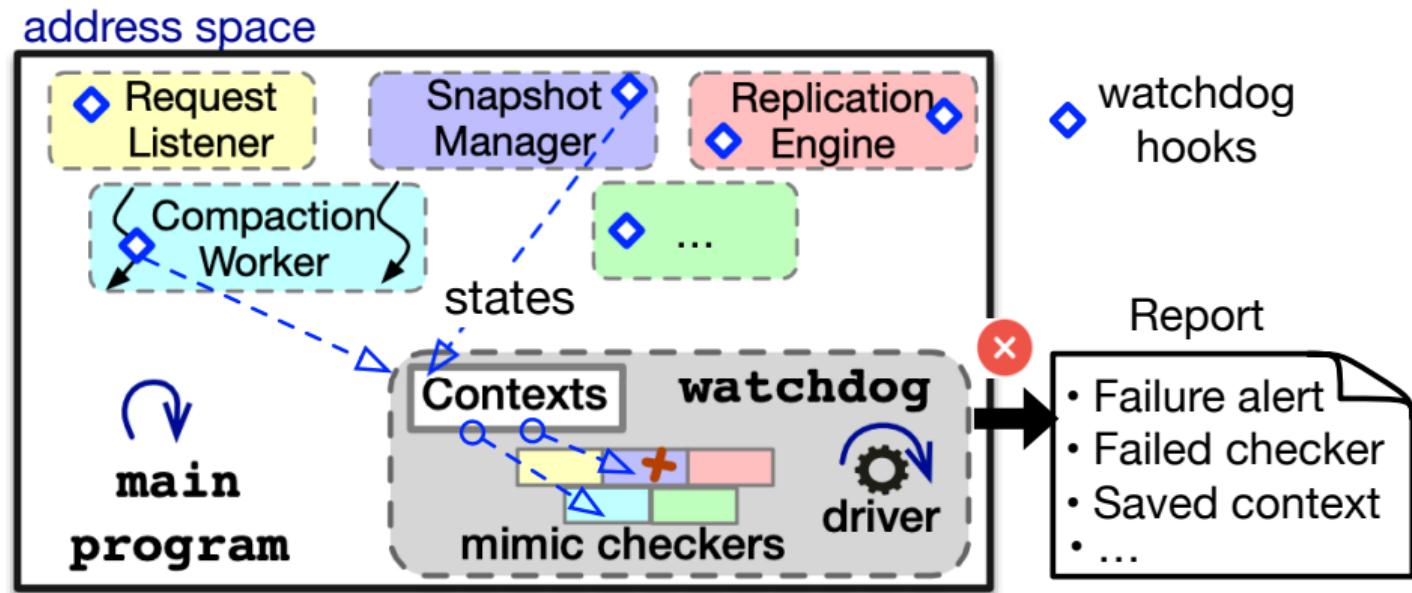
our approach

Intrinsic watchdog: Runtime

An intrinsic watchdog is a dedicated monitoring extension for a process

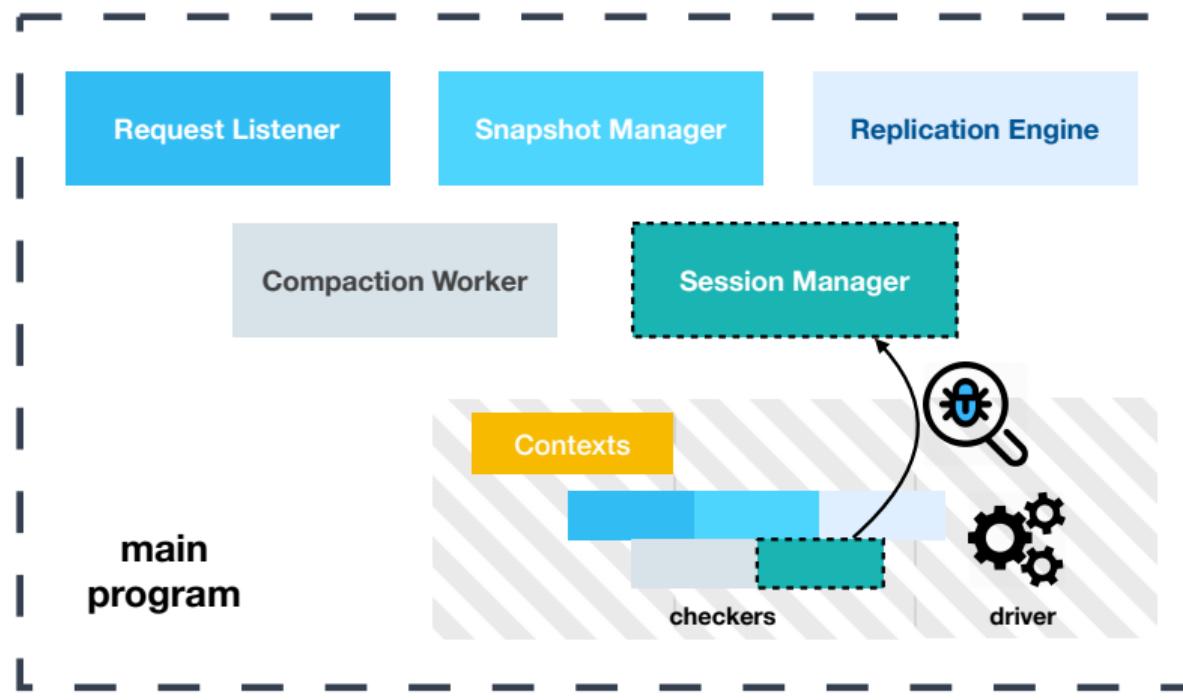


Intrinsic watchdog: How it works?



Characteristic I: Customized

- ▶ Regularly executes a set of checkers tailored to different modules
- ▶ Selects some representative operations from each module

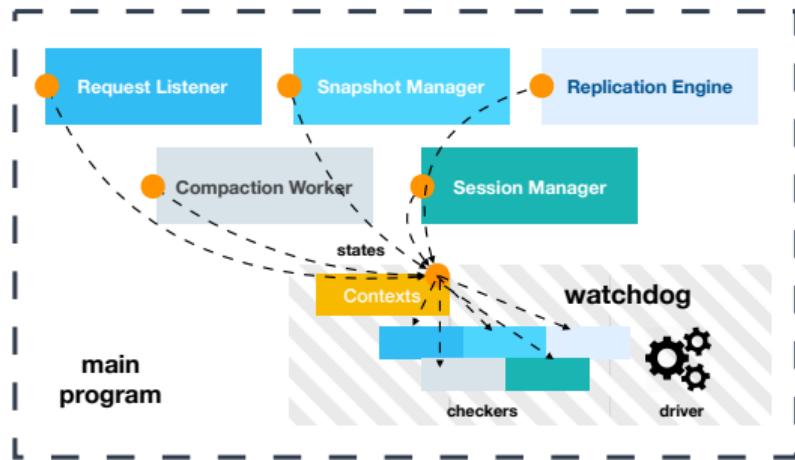


Characteristic II: Stateful

To synchronized states, introduce

Context

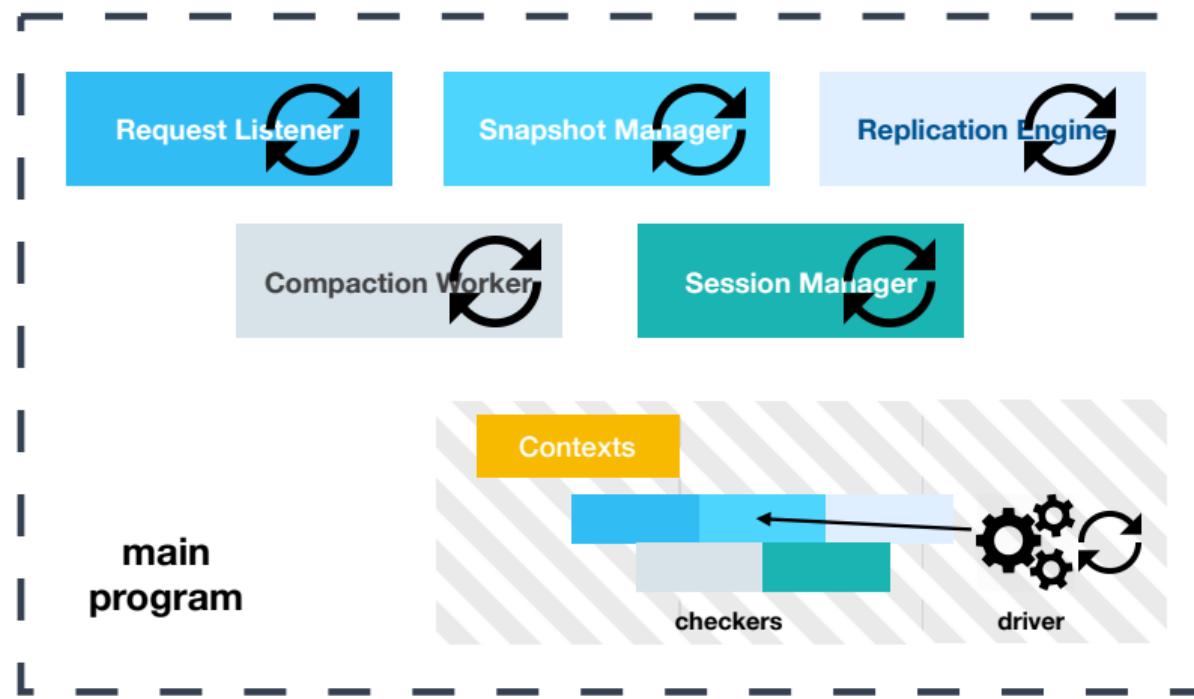
- ▶ bound to each checker
- ▶ holds all the arguments needed for the checker execution
- ▶ synchronized with the program state through hooks in the main program
- ▶ update with current state when hooks reached



Note: The watchdog driver will not execute a checker unless its context is ready.

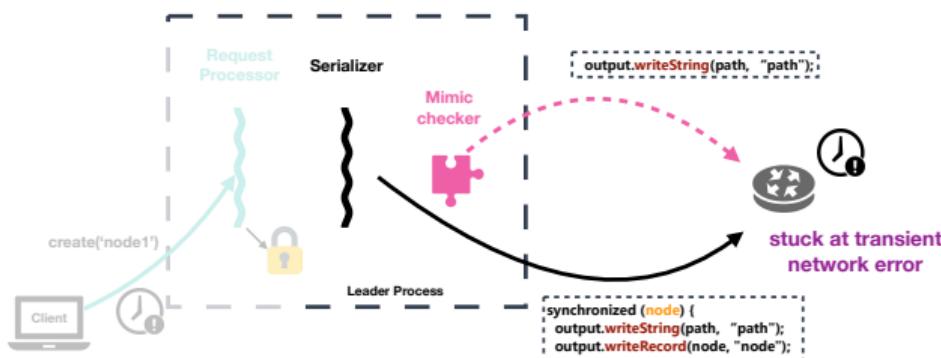
Characteristic III: Concurrent

Run watchdog **concurrently** with the main program instead of **in-place** checking with **inserted checkers**



Core Idea: Mimic Checking

Imitates some representative operations



Exmaple: Perform a similar operation (snapshot) and also get stuck at the same location

Accuracy

- ▶ exercises code logic similar to the main program
- ▶ share execution environment in runtime
- ▶ increases coverage of checking targets
- ▶ can pinpoint the faulty module and failing instruction

Implementation: OmegaGen

Tool Overview

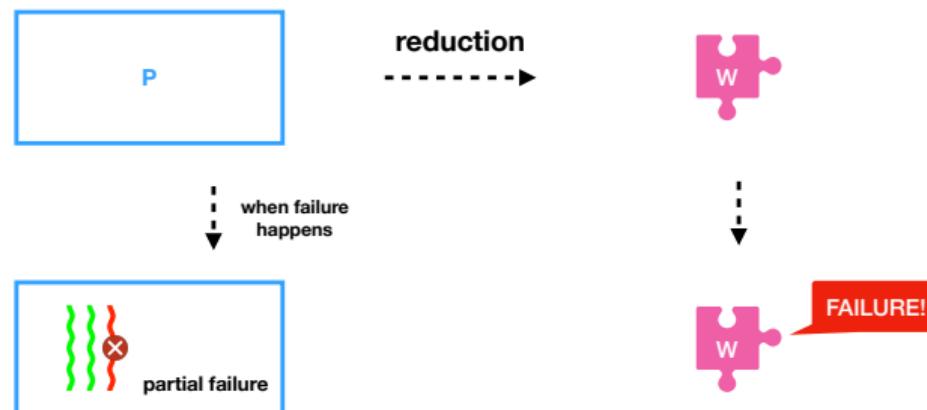
- ▶ a prototype that systematically generates mimic-type watchdogs for system softwares
- ▶ in Java with 8,100 SLOC, using Soot analysis framework
- ▶ **core technique:** program reduction

```
1 $ ./omegagen -jar zookeeper-3.4.6.jar -m zookeeper.manifest
2 analyzing..
3 generating..
4 instrumenting..
5 repackaging..
6 done. Total 1min 6s.
7 $ ls output/
8 zookeeper-3.4.6-with-wd.jar
```

What is Program Reduction?

Definition

Given a program P , create a watchdog W that can detect partial failures in P without imposing on P 's execution.



Reduce: We need not put everything into checkers, because a lot of operations are logically **deterministic** and should be checked before production. Only some of them are more **vulnerable** in the production environment.

Program Reduction

For the source code of a given program, the process will go through five steps:

1. locate long-running regions
2. reduce the program
3. locate vulnerable operations
4. encapsulate watchdog checkers
5. insert watchdog hooks

Step 1: Locate Long-running Regions

Identifies potentially long-running loops in the function body

e.g. `while(true), while(flag)`

However, an identified **long-running** loop may turn out to be **short-lived** in an actual run.

predicate-based algorithm

a runtime property associated with a method which tracks whether a call site of this method is in fact reached

- ▶ insert a hook before the loop → sets its predicate
- ▶ insert a hook after the loop → unset its predicate
- ▶ pass caller's predicate set to callees

Runtime:

- ▶ activate or activates or deactivates the associated watchdog based on assigned predicate

Step 1: Locate Long-running Regions

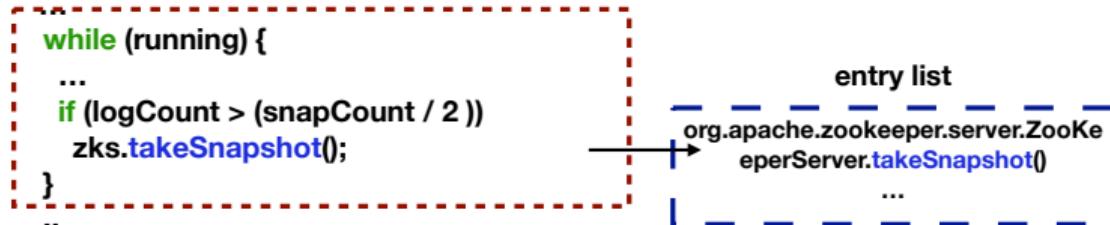
An example

initialization
stage

long-running
stage

cleanup
stage

```
public class SyncRequestProcessor {  
    public void run() {  
        int logCount = 0;  
  
        setRandRoll(r.nextInt(snapCount/2));  
  
        while (running) {  
            ...  
            if (logCount > (snapCount / 2 ))  
                zks.takeSnapshot();  
        }  
        ...  
        LOG.info("SyncRequestProcessor exited!");  
    }  
}
```



entry list

org.apache.zookeeper.server.ZooKeeperServer.takeSnapshot()

Step 2: Reduce the Program

Recursively analyze each function to find out **vulnerable** operations (in the next step)

```
public class SyncRequestProcessor {  
    public static void serializeSnapshot(DataTree dt, ...) {  
  
        ...  
        dt.serialize(oa, "tree");  
    }  
}  
  
public class DataTree{  
    public void serialize(OutputArchive oa, String tag) {  
        scout = 0;  
        serializeNode(oa, new StringBuilder(""));  
    }  
    ...  
}
```

keep reducing

keep reducing



Step 3: Locate Vulnerable Operations

Looks for potentially **vulnerable** operations in the control flow of those long-running methods.

- ▶ Heuristics (default):
 - ▶ synchronization
 - ▶ resource allocation
 - ▶ event polling
 - ▶ async waiting
 - ▶ invocations using external arguments
 - ▶ file or network I/
 - ▶ complex while loop conditional
- ▶ Customize rule table in configuration
- ▶ Developers can also explicitly annotate an operation as @vulnerable in source codes

Step 4: Encapsulate Watchdog Checkers

Construct reduced method for each **vulnerable** method in main program

```
public class SyncRequestProcessor$Checker {  
    public static void serializeNode_reduced(OutputArchive arg0, DataNode arg1) {  
        try{  
            arg0.writeRecord(arg1, "node");  
        } catch (Throwable ex)  
        ...  
    }  
    public static Status checkTargetFunction0 {  
        ...  
        Context ctx = ContextFactory.serializeNode_reduced_context();  
        if (ctx.status == READY) {  
            OutputArchive arg0 = ctx.args_getter(0);  
            DataNode arg1 = ctx.args_getter(1);  
            executor.runAsyncWithTimeout(serializeSnapshot_reduced(arg0, arg1), TIMEOUT);  
        }  
        else  
            LOG.debug("checker context not ready");  
        ...  
    }  
}
```

extracted vulnerable operations

Step 5: Insert Watchdog Hooks

To capture the real state of the main program in runtime and pass it to the checker

```
void serializeNode(OutputArchive oa, StringBuilder path) throws IOException {
    String pathString = path.toString();
    DataNode node = getNode(pathString);
    String children[] = null;
    synchronized (node) {
        oa.writeRecord(node, "node");
        Set<String> childNodes = node.getChildren();
        if (childNodes != null)
            children = childNodes.toArray(new String[childNodes.size()]);
    }
    path.append('/');
    int off = path.length();
    ...
}
```

+ ContextFactory.serializeNode_context_setter(oa, node);

insert context hook before
vulnerable operation

An overview example

```
1 public class SyncRequestProcessor {
2     public void run() {
3         while (running) { ❶ identify long-running region
4             if (logCount > (snapCount / 2))
5                 zks.takeSnapshot(); ❷
6             ...
7         }
8     }
9 }
10 public class DataTree { ❸ reduce
11     public void serializeNode(OutputArchive oa, ...) {
12     ...
13     String children[] = null;
14     synchronized (node) { ❹ locate vulnerable operations
15         scount++;
16         oa.writeRecord(node, "node");
17         children = node.getChildren();
18     }
19     ...
20 } + ContextManger.serializeNode_reduced
21     _args_setter(oa, node);
22 } ❺ insert context hooks
```

(a) A module in main program

```
1 public class SyncRequestProcessor$Checker {
2     public static void serializeNode_reduced(
3         OutputArchive arg0, DataNode arg1) {
4         arg0.writeRecord(arg1, "node");
5     }
6     public static void serializeNode_invoke() {
7         Context ctx = ContextManger.❻ generate
8             serializeNode_reduced_context(); context
9         if (ctx.status == READY) {
10             OutputArchive arg0 = ctx.args_getter(0);
11             DataNode arg1 = ctx.args_getter(1);
12             serializeNode_reduced(arg0, arg1);
13         }
14     }
15     public static void takeSnapshot_reduced() {
16         serializeList_invoke();
17         serializeNode_invoke();
18     }
19     public static Status checkTargetFunction0() {
20         ... ❼ add fault signal checks
21         takeSnapshot_reduced();
22     }
23 }
```

(b) Generated checker

Validate Impact of Caught Faults

Transient or tolerable

- ▶ e.g. transient network delay that caused no damage

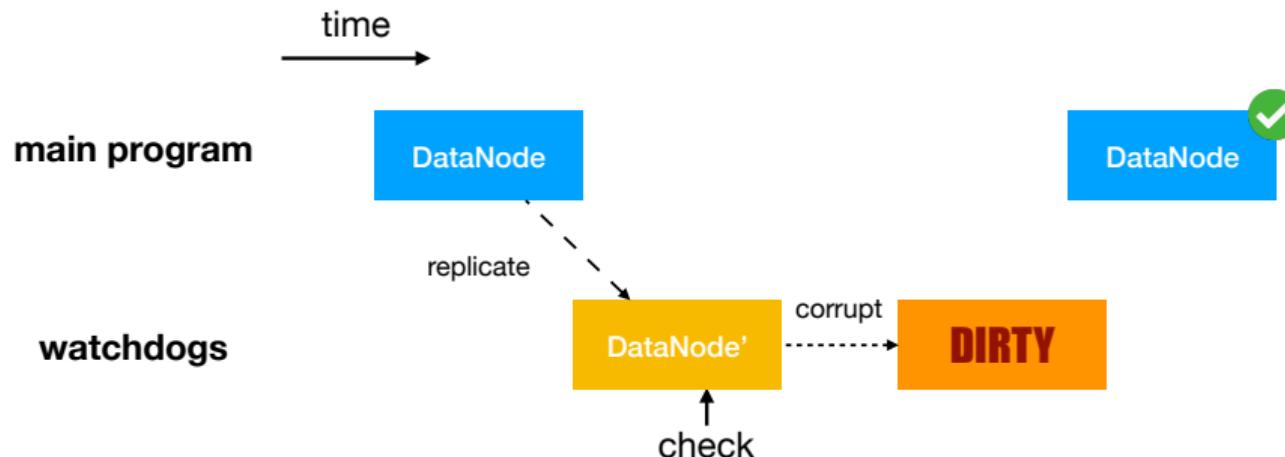
Default: simply re-executes the checker and compare for transient errors

- ▶ allows developers write their own user-defined validation tasks to check some entry functions, e.g., `processRequest(req)`
- ▶ automate the part of deciding which validation task to invoke depending on which checker failed

Prevent Side Effects

Context Replication (memory isolation)

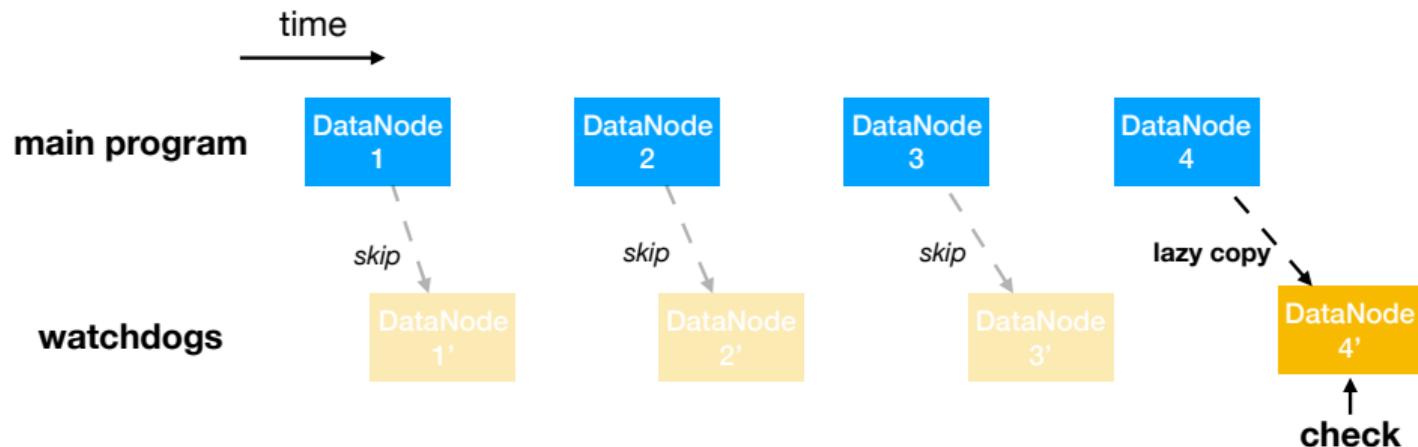
Context manager will replicate the checker context so that any modifications are contained in the watchdog's state



Prevent Side Effects

Context Replication (memory isolation)

To reduce performance overhead: immutability analysis + lazy copy



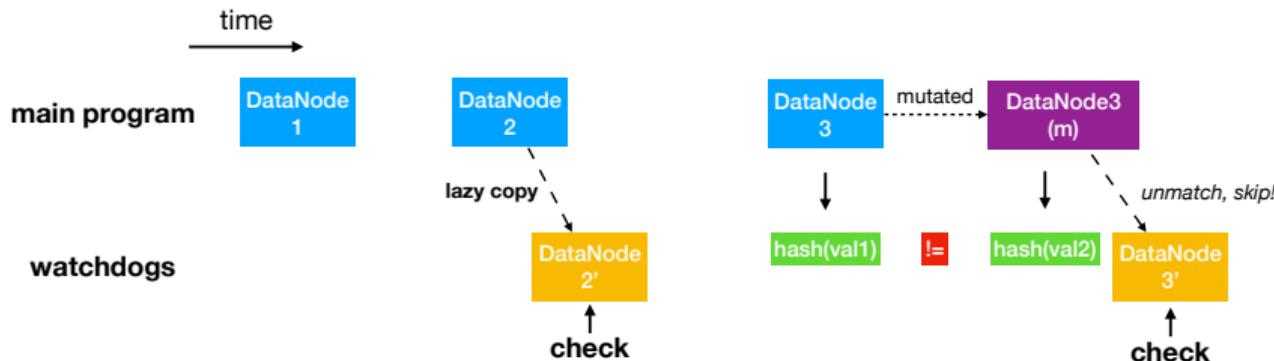
Prevent Side Effects

Context Replication (memory isolation)

Check consistency before copying and invocation with hashCode and versioning

Context attributes: `version`, `weak_ref` and `hash`

- The lazy setter only sets these attributes without replicate the context.
 - If getter invoked, check `weak_ref != null`
 - Check if hash code of the referent's value matches `hash`

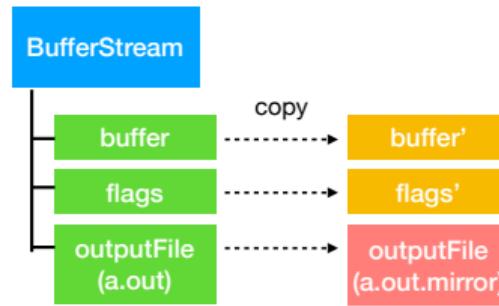


Prevent Side Effects

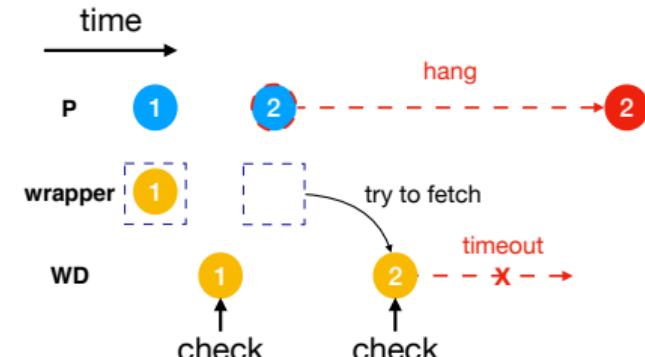
I/O Redirection and Idempotent Wrappers (I/O isolation)

write: file-related resource replicated with target path changed to test file

read: let watchdogs pre-read contexts and cache



write-redirection



read-redirection

Experiments

Scale & Generated watchdog

| | ZooKeeper | Cassandra | HDFS | HBase | MapReduce | Yarn |
|------------|-----------|-----------|--------|---------|-----------|--------|
| SLOC | 28K | 102K | 219K | 728K | 191K | 229K |
| Methods | 3,562 | 12,919 | 79,584 | 179,821 | 16,633 | 10,432 |
| Watchdogs | 96 | 190 | 174 | 358 | 161 | 88 |
| Methods | 118 | 464 | 482 | 795 | 371 | 222 |
| Operations | 488 | 2,112 | 3,416 | 9,557 | 6,116 | 752 |

22 Real-world Partial Failures Reproduced for Evaluation I

| JIRA Id. | Id. | Root Cause | Conseq. | Sticky? | Study? |
|----------------|-----|---------------------|-----------|---------|--------|
| ZooKeeper-2201 | ZK1 | Bad Synch. | Stuck | No | Yes |
| ZooKeeper-602 | ZK2 | Uncaught Error | Zombie | Yes | Yes |
| ZooKeeper-2325 | ZK3 | Logic Error | Inconsist | Yes | No |
| ZooKeeper-3131 | ZK4 | Resource Leak | Slow | Yes | Yes |
| Cassandra-6364 | CS1 | Uncaught Error | Zombie | Yes | Yes |
| Cassandra-6415 | CS2 | Indefinite Blocking | Stuck | No | Yes |
| Cassandra-9549 | CS3 | Resource Leak | Slow | Yes | No |
| Cassandra-9486 | CS4 | Performance Bug | Slow | Yes | No |
| HDFS-8429 | HF1 | Uncaught Error | Stuck | Yes | Yes |
| HDFS-11377 | HF2 | Indefinite Blocking | Stuck | No | Yes |
| HDFS-11352 | HF3 | Deadlock | Stuck | Yes | No |

22 Real-world Partial Failures Reproduced for Evaluation II

| HDFS-4233 | HF4 | Uncaught Error | Data Loss | Yes | No |
|----------------|-----|-----------------------|-----------|-----|----|
| HBase-18137 | HB1 | Infinite Loop | Stuck | Yes | No |
| HBase-16429 | HB2 | Deadlock | Stuck | Yes | No |
| HBase-21464 | HB3 | Logic Error | Stuck | Yes | No |
| HBase-21357 | HB4 | Uncaught Error | Denial | Yes | No |
| HBase-16081 | HB5 | Indefinite Blocking | Silent | Yes | No |
| MapReduce-6351 | MR1 | Deadlock | Stuck | Yes | No |
| MapReduce-6190 | MR2 | Infinite Loop | Stuck | Yes | No |
| MapReduce-6957 | MR3 | Improper Err Handling | Stuck | Yes | No |
| MapReduce-3634 | MR4 | Uncaught Error | Zombie | Yes | No |
| Yarn-4254 | YN1 | Improper Err Handling | Stuck | Yes | No |

Baseline

Client Panorama³: instrument and monitor client responses

Probe Falcon⁴: daemon thread in the process that periodically invokes internal functions with synthetic requests

Signal script that scans logs and checks JMX metrics

Resource daemon thread that monitors memory usage, disk and I/O health, and active thread count

³Peng Huang et al. "Capturing and enhancing in situ system observability for failure detection". In: *13th {USENIX} Symposium on Operating Systems Design and Implementation ({OSDI} 18)*. 2018, pp. 1–16.

⁴Joshua B Leners et al. "Detecting failures in distributed systems with the falcon spy network". In: *Proceedings of the Twenty-Third ACM Symposium on Operating Systems Principles*. 2011, pp. 279–294.

Detection Time

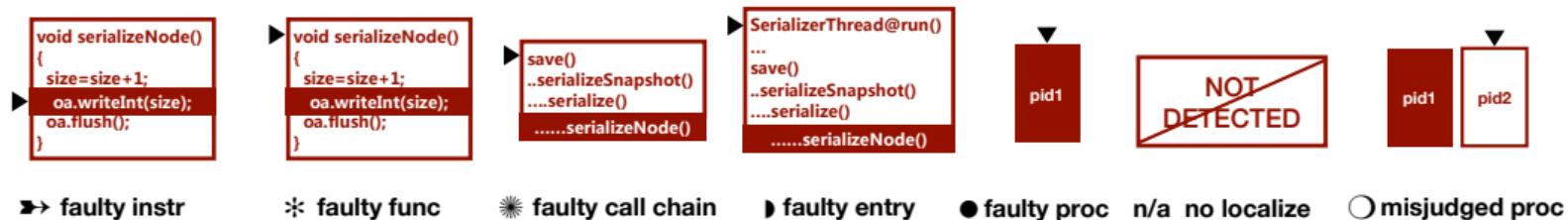
- median detection time: 4.2s
- 12 by default vulnerable operation rules
- 8 by system-specific rules
- effective for liveness issues like deadlock, indefinite blocking, and safe issues
- less effective for silent correctness errors

| | ZK1 | ZK2 | ZK3 | ZK4 | CS1 | CS2 | CS3 | CS4 | HF1 | HF2 | HF3 | HF4 | HB1 | HB2 | HB3 | HB4 | HB5 | MR1 | MR2 | MR3 | MR4 | YN1 |
|--------|------|-------|------|-------|-------|------|--------|-------|-------|------|------|------|-------|------|------|------|------|------|------|------|------|------|
| Client | x | 2.47 | 2.27 | x | 441 | x | x | x | x | x | x | x | x | 4.81 | x | 6.62 | x | x | x | x | 8.54 | 7.38 |
| Probe | x | x | x | x | 15.84 | x | x | x | x | x | x | x | x | 4.71 | x | 7.76 | x | x | x | x | x | x |
| Signal | 12.2 | 0.63 | 1.59 | 0.4 | 5.31 | x | x | x | x | x | x | 0.77 | 0.619 | x | 0.62 | 61.0 | x | x | x | x | 0.60 | 1.16 |
| Res. | 5.33 | 0.56 | 0.72 | 17.17 | 209.5 | x | -19.65 | x | -3.13 | x | x | 0.83 | x | x | x | 0.60 | x | x | x | x | x | x |
| Watch | 4.28 | -5.89 | 3.00 | 41.19 | -3.73 | 4.63 | 46.56 | 38.72 | 1.10 | 6.20 | 3.17 | 2.11 | 5.41 | 7.89 | x | 0.80 | 5.89 | 1.01 | 4.07 | 1.46 | 4.68 | x |

Detection Localization

| | ZK1 | ZK2 | ZK3 | ZK4 | CS1 | CS2 | CS3 | CS4 | HF1 | HF2 | HF3 | HF4 | HB1 | HB2 | HB3 | HB4 | HB5 | MR1 | MR2 | MR3 | MR4 | YN1 | |
|--------|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|
| Client | n/a | • | • | n/a | • | n/a | • | n/a | ○ | n/a | n/a | n/a | n/a | • | • | |
| Probe | n/a | n/a | n/a | n/a | ▶ | n/a | ▶ | n/a | ▶ | n/a | n/a | n/a | n/a | n/a | n/a | |
| Signal | • | ▶ | • | • | ▶ | n/a | n/a | n/a | n/a | ▶ | ▶ | n/a | ● | ● | n/a | n/a | n/a | n/a | ▶ | ▶ | | | |
| Res. | • | • | • | • | • | n/a | • | n/a | • | n/a | n/a | • | n/a | • | n/a | n/a | • | n/a | n/a | n/a | n/a | n/a | |
| Watch | ▶ | ▶ | • | * | ▶ | * | • | * | * | ● | ▶ | ▶ | ▶ | ▶ | ▶ | n/a | ▶ | * | ▶ | ▶ | * | ▶ | n/a |

Failure localization for the real-world cases.



False Alarms

False alarm ratios of all detectors for six systems under various setups

- validator → the watchdog false alarm ratios decrease

| | ZooKeeper | Cassandra | HDFS | HBase | MapReduce | Yarn |
|----------|-----------|-----------|------------|----------|-------------|----------|
| probe | 0% | 0% | 0% | 0% | 0% | 0% |
| resource | 0%-3.4% | 0%-6.3% | 0.05%-3.5% | 0%-3.72% | 0.33%-0.67% | 0%-6.1% |
| signal | 3.2%-9.6% | 0% | 0%-0.05% | 0%-0.67% | 0% | 0% |
| watch. | 0%-0.73% | 0%-1.2% | 0% | 0%-0.39% | 0% | 0%-0.31% |
| watch_v. | 0%-0.01% | 0% | 0% | 0%-0.07% | 0% | 0% |

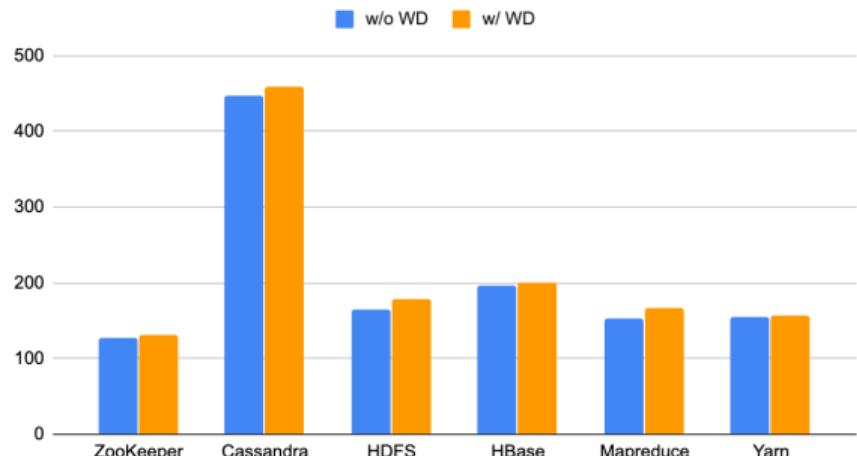
System Overhead

Time to generate watchdogs: 17 min for HBase, < 5 min for the others

Throughput (op/s) w/ different checkers

| | ZooKeeper | Cassandra | HDFS | HBase | MapReduce | Yarn |
|-----------------|-----------|-----------|------|-------|-----------|------|
| Base | 428.0 | 3174.9 | 90.6 | 387.1 | 45.0 | 45.0 |
| w/ Probe. | 417.6 | 3128.2 | 89.4 | 374.3 | 44.9 | 44.9 |
| w/ Resource. | 424.8 | 3145.4 | 89.9 | 385.6 | 44.9 | 44.6 |
| w/ Watch. | 399.8 | 3014.7 | 85.1 | 366.4 | 42.1 | 42.3 |

Heap memory usage w/ and w/o watchdogs



5.0%-6.6% throughput overhead w/
watchdog

4.3% (avg) memory overhead w/ watchdog

By-product: Discovering A New Partial Failure Bug⁵

Watchdogs report the failure in 4.7 seconds occasionally during experiments

```
[...] Start to check for watchdog[ 66/96 ]
[...] Ready to run checker:
org.apache.zookeeper.server.DataTree@void
serializeAcls(org.apache.jute.OutputArchive)
[...] Start to check for interfaceinvoke
a_.<org.apache.jute.OutputArchive: void
writeInt(int,java.lang.String)>($r0, "map")
[...] Try to clone for writeInt $1, writeInt $2
[...] Status: TIMEOUT. Description: Execution time
exceeds threshold.
[...] Context Index: 11763
[...] Start to validate captured error..
```

```
public void serializeAcls()
{
    ...
    synchronized(this)
    {
        oa.writeInt(longKeyMap.size(), "map");
        for (Map.Entry<Long, List<ACL>> val :
            longKeyMap.entrySet()) {
            oa.writeLong(val.getKey(), "long");
        }
    }
}
```

⁵Detailed report available at <https://issues.apache.org/jira/browse/ZOOKEEPER-3531>

Summary

- ▶ **Motivation:** Modern software are increasingly complex and often fail partially
 - ▶ these partial failures cannot be detected by process-level failure detectors
- ▶ **Case study:** On 100 partial failure cases
 - ▶ No main root causes, hard to detect with existing methods
- ▶ **Proposed solution:** OmegaGen: a static analysis tool that automatically generates customized checkers
 - ▶ successfully generate checkers for six systems and checkers can detect& localize 18/22 real-world partial failures
 - ▶ watchdog report helps to quickly discover a new bug in the latest zookeeper

Thanks