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## LISTINGS

## **ACRONYMS**

AGN active galactic nuclei

NLR narrow line region

BLR broad line region

EV<sub>1</sub> eigenvector 1

ICA independent component analysis

PCA pndependent component analysis

SDSS Sloan Digital Sky Survey

BOSS Baryon Oscillation Spectroscopic Survey

UV ultra-violet

EQW equivalent width

S/N signal-to-noise

BH black hole

SED spectral energy distribution

NIR near-infrared

FWHM full-width-at-half-maximum

# A NEAR-INFRARED SPECTROSCOPIC DATABASE OF HIGH-REDSHIFT QUASARS

#### 1.1 INTRODUCTION

With the exception of a handful of very nearby objects, the inner regions of AGN cannot be resolved. Spectroscopic data is therefore invaluable to all AGN-related science. The optical region includes a number of strong emission features, including the broad lines of H $\alpha\lambda$ 6565 and H $\beta\lambda$ 4863 and the narrow [O III] $\lambda\lambda$ 4960,5008 doublet. As we will see in Chapter ??, the low-ionisation Balmer lines are routinely used to derive black hole masses and quasar accretion rates. As the strongest narrow emission line, [O III] is used to measure the systemic redshift, and to probe quasar-driven outflows on galactic scales (see Chapter 2).

Large optical surveys have provided spectra for hundreds of thousands of AGN and quasars. With it's twelfth data release in 2016, the number of quasar spectra in the Sloan Digital Sky Survey (SDSS; York et al., 2000) catalogue alone reached almost 300,000. However, the rest-frame optical region is redshifted beyond the reach of optical spectrographs at redshifts  $z \gtrsim 0.4$  and, at redshifts  $z \sim 2$ , near-infrared spectroscopy is required in order to access the rest-frame optical lines.

The number density of quasars in the Universe rises sharply as a function of redshift, and peaks at redshifts  $2 \lesssim z \lesssim 4$ . The star formation rate follows a similar evolutionary path. Therefore, understanding supermassive black hole accretion over cosmic time and quasar feedback critically depends on the availability of near-infrared spectra for high-redshift quasars. Spectroscopic observations are more challenging at infrared wavelengths than in the optical. The Earth's atmosphere is both bright and highly variable at infrared wavelengths. As a result, the number of high-redshift quasars with near-infrared spectra is limited. Previous investigations of the rest-frame optical spectra of quasars at redshifts  $z \sim 2$  have typically used samples of a few dozen (e.g. Shen and Liu, 2012; Shen, 2016).

In this chapter I will describe how I have constructed a database containing 462 high-redshift quasars. In later chapters, I will describe how I have used this data to derive un-biased virial black hole mass estimates for quasars at redshifts  $z \gtrsim 2$  (Chapter ??) and to study quasar-drive galaxy-wide outflows (Chapter 2). The unprecedented size and quality of this dataset make a number of other exciting investigations possible, some of which are described in Section ??.

Other references? Sulentic?

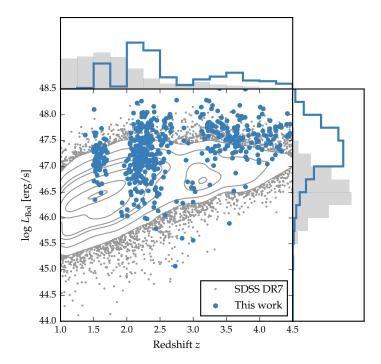


Figure 1.1: The ranges in redshift and luminosity covered by our sample, relative to the redshift-luminosity distribution of the SDSS DR7 quasar catalogue. In regions of high point-density, contours show equally-spaced lines of constant probability density generated using a Gaussian kernel-density estimator. For the SDSS sample we use Hewett and Wild, (2010) redshifts and bolometric luminosities measured by Shen et al., (2011). For the quasars in out sample the redshift is defined using the peak of the  $H\alpha/H\beta$  emission and the luminosity is measured in the continuum at 1350Å and converted to a bolometric quantity using the same conversion factor employed by Shen et al., (2011). Eight objects are missing because we do not have enough information to calculate the bolometric luminosity.

In Fig. 1.1 we show the luminosities and redshifts of the quasar sample relative to the redshift-luminosity distribution for the Seventh Data Release (DR7; Schneider et al., 2010) of the SDSS spectroscopic quasar catalogue. Our sample spans a redshift range 1.5 < z < 4.0 and a bolometric luminosity range  $10^{45.5} - 10^{48}$  erg s<sup>-1</sup>. Spectra were obtained within one or more of the JHK pass-bands and the gaps in our sample coverage at  $z \sim 1.8$  and  $z \sim 3$  are due to the presence of atmospheric absorption. Obtaining near-infrared spectra of adequate resolution and signal-to-noise ratio (S/N) of even moderately bright quasars remains resource intensive. As a consequence, at fixed redshift, the luminosities of the quasars are brighter than the average luminosity of the SDSS sample, although the dynamic range in luminosity is a full 1.5 decades.

Table 1.1: Summary of near-infrared spectroscopic database.

Instrument	Number
FIRE/Magellan	36
GNIRS/Gemini	29
ISAAC/VLT	13
LIRIS/WHT	21
NIRI/Gemini	31
NIRSPEC/Keck	3
SINFONI/VLT	84
SofI/NTT	111
TRIPLESPEC/ARC	38
TRIPLESPEC/Hale	60
XSHOOTER/VLT	36
Total	462

#### 1.2 DATA

The near-infrared spectra in our database are taken from published catalogues, by downloading and reducing archival spectra, and by reducing spectra acquired in programmes led by Prof. J. Hennawi (UCSB) and Prof. X. Prochaska (UCO/LICK). As the P.I. of two programmes, I filled in an under-sampled region of the C IV blueshift parameter space by targeting quasars with the most extreme C IV blueshifts. The telescopes and instruments used to observe these spectra are summarised in Table 1.1 and the information on the individual spectra is provided in Table 1.3. In the remaineder of this chapter I will describe each of these sub-samples in turn.

#### 1.2.1 Coatman et al. (2016) Quasars

#### 1.2.1.1 Defining sample

We selected quasars from the SDSS DR7 spectroscopic quasar catalogue. The sample was restricted to objects with redshifts 2.14 < z < 2.51 (7,258 quasars), to ensure that the H $\beta$  and H $\alpha$  emission lines fall within the H- and K-bands respectively, allowing us to observe both simultaneously with the appropriate grism configuration. Given the limited number of quasars for which near-infrared spectra could be obtained, the quasar sample was further restricted to objects that are radio-quiet (5,980 quasars), show no evidence of broad absorption lines (BALs) in their spectra (5,299 quasars), and are free from significant dust extinction. We removed radio-loud objects from our sample using the same radio-loud classification as Shen et al., (2011), and BAL quasars using the classifications of both Shen et al., (2011) and

Allen et al., (2011). The removal of quasars with significant dust extinction was achieved by identifying quasars with i-K colours redder than a parametric spectral energy distributions (SED) model + SMC-like extinction curve with E(B-V)=0.05 (the SED model is described in Chapter ??).

The K-magnitude was taken from the UKIRT Infrared Deep Sky Survey (UKIDSS; Lawrence et al., 2007) Large Area Survey (ULAS). The requirement to be in the ULAS footprint and have reliable K band photometry reduced our sample of possible targets to 1,683, and the E(B-V) cut left 1,204 in our sample. Finally, a flux-limit of K < 18.5 (AB) was applied to ensure that spectra of sufficient signal-to-noise ratio (S/N) could be obtained (412 quasars).

We were able to obtain new infra-red spectra for 19 quasars from this sample of 412 possible targets. The quasars included in this subsample were selected to have C IV-emission shapes which span the full range observed in the population. Reliably quantifying the distribution of C IV-emission shapes has been made possible thanks to improvements in the estimation of systemic redshifts from ultraviolet spectra. The Allen & Hewett (2017, in preparation) redshift estimation algorithm generates redshifts which are independent of the C IV-emission shape. This has been a crucial factor in allowing us to quantify the distribution of C IV-blueshifts in the observed quasar population as a whole, and thus select a sample of quasars with a range of C IV blueshifts (see Section XX).

This paragraph could be more succinct

#### 1.2.1.2 Observations

Near-infrared spectra were obtained with the Long-slit Intermediate Resolution Infrared Spectrograph (LIRIS; Manchado et al., 1998) mounted on the 4.2m William Herschel Telescope (WHT) at the Observatorio del Roque de los Muchachos (La Palma, Spain). Observations took place over four non-contiguous nights from 2015 March 31 to April 4. Approximately one night was lost due to poor weather and a further half-night was affected by poor transparency due to cloud. A one arcsecond slit-width was employed and the LIRIS H + K low-resolution grism was selected, which covers the spectral ranges 1.53–1.79  $\mu$ m and 2.07–2.44  $\mu$ m with a dispersion of 9.7Å/pixel. The spatial scale of the instrument is 0.25 arcsec/pixel. Observations were divided into 60 s sub-exposures and performed in an ABBA nodding pattern, with the object placed at two positions along the slit 12 arcsec apart. Bright Ao-5V stars were observed at similar air-masses to the targets in order to provide both telluric absorption corrections and a flux calibration of the quasar spectra.

#### 1.2.1.3 Data reduction

The raw LIRIS data frames incorporate a known 'pixel shift' which was first removed from all frames using the LIRIS data reduction package LIRISDR. Subsequent data reduction was undertaken with standard IRAF¹ procedures. The flat-field images, which were taken at the beginning of each night via illumination of the dome, were averaged and normalised to remove any wavelength-dependent signature. Each individual two-dimensional spectrum was then flat-field corrected. Consecutive AB and BA pairs of two-dimensional spectra were subtracted to remove the sky background. All the subtracted AB/BA-pairs for a target were then averaged to give the final two-dimensional spectrum.

The size of the one-dimensional spectrum extraction windows, in the slit direction, varied from 6-10 pixels. To increase the S/N, optimal variance-weighted extraction with sigma clipping was employed. For the fainter objects in our sample we were unable to trace the spectrum across the dispersion axis reliably and the trace from a telluric standard-star observation, observed at a similar air mass and time, was used instead. The wavelength calibration, using argon and xenon lamp exposures, resulted in root mean square errors in the range 1.01–1.71 Å, with a mean of 1.47Å. The telluric standard star observations were reduced using the same steps described above. The stellar continuum was divided out of the standard star spectrum, which was then divided into the quasar spectrum to remove telluric absorption features. The spectral type and magnitude of the standard star were used to flux calibrate the quasar spectrum both in a relative and absolute sense. Variable atmospheric conditions combined with the narrow slit width resulted in a significant level of uncertainty in the absolute flux calibration for the quasar observations. The use of the UKIDSS broadband magnitudes (H and K) to normalise the spectra results in a significantly improved calibration. <sup>2</sup>

#### 1.2.2 Shen & Liu (2012) and Shen (2016) Quasars

Shen, (2016) and Shen and Liu, (2012) obtained near-infrared spectroscopy for a sample of 74 luminous, 1.5 < z < 3.5 quasars selected from the SDSS DR7 quasar catalogue. Targets had to possess good optical spectra covering the C IV line and have redshifts  $z \sim 1.5$ , 2.1, and 3.3 to ensure that the H $\beta$ -[O III] region was covered in one of the near-infrared JHK bands. Thirty-eight of the quasars were observed with TripleSpec (Wilson et al., 2004) on the Astrophysics Research Consortium (ARC) 3.5 m telescope, and 36 with the Folded-port InfraRed

<sup>1</sup> IRAF is distributed by the National Optical Astronomy Observatory, which is operated by the Association of Universities for Research in Astronomy (AURA) under a cooperative agreement with the National Science Foundation.

<sup>2</sup> The data reduction pipeline is available at github.com/liamcoatman/SpectraTools

Echellette (FIRE; Simcoe et al., 2010) on the 6.5 m Magellan-Baade telescope. The reduction of the spectra is described in Shen, (2016) and Shen and Liu, (2012).

## 1.2.3 Quasar Pairs

A large part of our catalogue was observed as part of an ongoing effort to identify quasar pairs at very close projected separations (Quasars Probing Quasars<sup>3</sup> (QPQ); Hennawi et al., 2006a; Hennawi et al., 2010). The primary science driver of this work is to study the circum-galactic medium of the foreground quasars in absorption (Hennawi et al., 2006b). Very accurate systemic redshift measurements are a requirement and a large amount of effort has gone into obtaining near-infrared spectra which cover low-ionisation broad lines or features from the quasar narrow line region (Prochaska and Hennawi, 2009; Lau, Prochaska, and Hennawi, 2015; Hennawi et al., 2015). Twenty-nine quasars were observed with the Gemini Near-Infrared Spectrograph (GNIRS; Elias et al., 2006) on the 8.1 m Gemini North telescope, thirtenn using the Infrared Spectrometer And Array Camera (ISAAC; Moorwood et al., 1998) on the European Southern Observatory (ESO) Very Large Telescope (VLT), thirty-one with the Near InfraRed Imager and Spectrometer (NIRI; Hodapp et al., 2003) also on Gemini North and thirty-six with XSHOOTER (Vernet et al., 2011), again, on the VLT.

The XSHOOTER spectra were reduced with a custom software package developed by George Becker (for details, see Lau, Prochaska, and Hennawi, 2015). The remaining data was processed with algorithms in the LowRedux<sup>4</sup> package (see Prochaska and Hennawi, 2009).

## 1.2.4 VLT SINFONI Quasars

We performed a search of the ESO archive for high-redshift quasars observed with the SINFONI integral field spectrograph (Eisenhauer et al., 2003; Bonnet et al., 2004) at VLT/UT4. We found 79 quasars with redshifts 1.5 < z < 3.7 which have H and/or K SINFONI spectroscopy, covering the H $\beta$  and H $\alpha$  lines respectively. Seventy-two of the quasars are from a large programme led by L. Wisotzki (programme o83.B-o456(A)) to study the mass function and Eddington ratios of active BHs at redshifts  $z \sim 2$  drawn from the Hamburg/ESO survey (Wisotzki et al., 2000). A further seven SINFONI spectra are from a programme led by J. D. Kurk (programme o90.B-o674(B)) to obtain reliable BH mass estimates from H $\alpha$ /H $\beta$  for a sample of radioloud/radio-quiet SDSS quasars.

<sup>3</sup> www.ucolick.org/~xavier/QPQ/Quasars\_Probing\_Quasars

<sup>4</sup> www.ucolick.org/~xavier/LowRedux

The SINFONI spectra were reduced using the package EASYSINF<sup>5</sup>. The package, which is based on the ESO-SINFONI pipeline, is described in Williams et al., (2016).

## 1.2.5 ESO NTT SOFI Quasars

One quarter of the quasar catalogue derives from a large programme (programme 187.A-o645; PI: J. Hennawi) to combine near-infrared spectra from SOFI (Moorwood, Cuby, and Lidman, 1998) on the 3.6 m New Technology Telescope (NTT) with archival high-resolution optical spectra from the UV-Visual Echelle Spectrograph (UVES; Dekker et al., 2000) at VLT/UT2 and the High Resolution Echelle Spectrometer (HIRES; Vogt et al., 1994) at Keck to construct a legacy database of bright, high-redshift (2 < z < 4) quasars with both rest-frame optical spectra, covering the H $\beta$ -[O III] complex, and high-resolution rest-frame ultraviolet spectra. The main science goal is to obtain precise systemic redshifts which are crucial for the study of absorption line systems. Observations were undertaken over 16 nights from September 2011 to March 2013. I reduced these spectra using a custom pipeline using algorithms in the LowRedux package and, in Coatman et al., (2017), published a subset of the data for the first time.

Over five nights from 2015 August 31 to September 4 we obtained near-infrared SOFI spectra for a further 26 quasars (programme 095.B-0644(A); PI: L. Coatman). These quasars were selected from the SDSS DR7 quasar catalogue using criteria very similar to those described above for the WHT sample. In particular, we selected quasars with large C IV blueshifts to improve the statistics in this region of the C IV emission-line parameter space. The spectra were reduced using the same LowRedux pipeline.

#### 1.2.6 Hale TripleSpec Quasars

A further sixty quasars in our catalogue are bright SDSS quasars which were observed with the TRIPLESPEC spectrograph on the Palomar 200-inch Hale telescope (P200). The objects were observed with the same science goals as the SOFI NTT large programme. The spectra were reduced using a custom pipeline, again using algorithms in the LowRedux package.

#### 1.3 INSTRUMENTAL BROADENING

Throughout this theis, reported line-width measures are corrected for instrumental broadening by subtracting the resolution of the spectrograph in quadrature. The spectrograph resolutions, which we estiExpand section?

<sup>5</sup> www.mrao.cam.ac.uk/~rw480/easysinf

Table 1.2: Measured spectral resolutions of the spectrographs used in this thesis.

Spectrograph	$FWHM [km s^{-1}]$
FIRE	59
GNIRS	136
ISAAC	46
LIRIS	477
NIRI	465
NIRSPEC	122
SINFONI	124
SOFI (MR)	323
SOFI (LR)	535
P200 TRIPLESPEC	88
ARC TRIPLESPEC	97
XSHOOTER	25

mate from the line widths in the observed sky spectra, are given in Table 1.2.

Table 1.3: Quasars in our near-infrared spectroscopic database. Only the first 15 entries are shown. The full table (including 462 objects) is available online. Columns are as follows: (1) identifier, (2) unique catalogue name, (3) date near-infrared spectra acquired, (4)-(5) coordinates, (6) instrument/telescope, (7) wavelength coverage, (8) velocity per pixel, (9) S/N per pixel, (10) redshift.

ID	Cat. Name	Date	Ra	Dec	Instr.	Δλ [μm]	$\Delta v  [\mathrm{km}  \mathrm{s}^{-1}]$	S/N	z
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)
J000039-001804	QSO460	2015-09-02	+oohoom39.oos	-00d18m03.90s	SofI/NTT	1.50-2.54	154.0	4.9	2.14
J000345-232353	QSO552	2009-07-07	+00h03m45.00s	-23d23m53.40s	SINFONI/VLT	1.44-1.87	36.0	12.7	2.27
J000345-232353	QSO330	2011-09-18	+00h03m45.00s	-23d23m53.40s	SofI/NTT	1.48-1.83	63.0	36.0	2.26
J000451-084450	QSO290	2013-07-12	+00h04m50.66s	-08d44m49.63s	XSHOOTER/VLT	0.31-2.28	15.0	10.3	3.00
J000451-084452	QSO289	2013-08-08	+00h04m50.91s	-08d44m51.98s	XSHOOTER/VLT	0.31-2.28	15.0	5.4	3.00
J000500-003348	QSO454	2015-09-01	+00h05m00.42s	-00d33m48.20s	SofI/NTT	1.50-2.54	154.0	8.2	2.18
J000501+010221	QSO459	2015-09-02	+00h05m00.53s	+01d02m20.80s	SofI/NTT	1.50-2.54	154.0	6.8	2.13
J001016+001228	QSO475	2015-09-04	+00h10m16.49s	+00d12m27.60s	SofI/NTT	1.50-2.54	154.0	8.9	2.28
J001247+001239	QSO082	2013-06-06	+00h12m47.12s	+00d12m39.49s	ISAAC/VLT	1.52-1.60	15.0	19.1	2.16
Joo1708+813508	QSO107	2012-08-04	+00h17m08.48s	+81d35m08.10s	TRIPLESPEC/Hale	0.94-2.80	39.0	36.5	3.40
J001919+010152	QSO476	2015-09-04	+00h19m19.31s	+01d01m52.20s	SofI/NTT	1.50-2.54	154.0	6.5	2.32
J001955-091316	QSO001	2004-11-26	+00h19m54.67s	-09d13m16.45s	GNIRS/Gemini	0.60-2.61	88.o	9.9	2.12
J002018-233654	QSO553	2009-07-07	+00h20m18.41s	-23d36m53.8os	SINFONI/VLT	1.44-1.87	36.0	16.9	2.30
J002023-414639	QSO <sub>554</sub>	2009-07-08	+00h20m23.38s	-41d46m38.90s	SINFONI/VLT	1.09-1.41	35.0	33.4	1.57
J002111-242247	QSO555	2009-07-16	+00h21m10.90s	-24d22m47.20s	SINFONI/VLT	1.44-1.86	36.0	11.1	2.26

#### 1.4 DESCRIPTION OF CATALOGUE

We have tabulated supplementary information for our spectroscopic catalogue. This information is used extensively throughout this thesis. Here, we describe the format of this extended catalogue.

- 1 ID: Jhhmmss+ddmmss (J2000; truncated coordinates).
- 2-3 ID: RA and DEC (in decimal degrees; J2000.0).
  - 4 Origin of optical spectra, if applicable.
  - 5 BAL flag. -1 = no optical spectrum, o = non-BAL, 1 = BAL. BAL quasars are identified using flags from Shen et al., (2011), Allen et al., (2011) and Pâris et al., (2017), and by visual inspection.
  - 6 Radio flag (-1 = not in FIRST footprint, o=FIRST undetected, 1=core dominant, 2=lobe dominant) from matching out sample to the FIRST radio catalogue (White et al., 1997). Following Shen et al., (2011), we classify quasars with matches within 5 arcseconds as core-dominated, while, if multiple matches were found within 30 arcseconds, quasars are classified as lobedominated.
- 2-11 SDSS DR9 ugriz magnitudes, and their errors.
- 12-14 SuperCosmos bri magnitudes.
- 15-20 2MASS JHK magnitudes, and their errors.
- 21-26 UKIDSS DR10 YJHK magnitudes, and their errors.
- 27-34 VHS YJHK magnitudes, and their errors.
- 35-44 Viking ZYJHK magnitudes, and their errors.
- 45-52 WISE W1W2W3W4 magnitudes.

#### 2.1 INTRODUCTION

X-ray and ultra-violet (UV) spectroscopy reveal high velocity outflows to be nearly ubiquitous on sub-parsec scales in high accretion rate active galactic nuclei (AGN). Models of galaxy evolution that invoke AGN feedback require these outflows to reach galactic scales and quench star formation in the AGN host galaxy. In recent years, a huge amount of resources have been devoted to searching for observational evidence of galaxy-wide, AGN-driven outflows. This has resulted in recent detections of winds in AGN-host galaxies using tracers of atomic, molecular, and ionised gas (e.g. Nesvadba et al., 2006; Arav et al., 2008; Nesvadba et al., 2008; Moe et al., 2009; Dunn et al., 2010; Alexander et al., 2010; Harrison et al., 2012; Harrison et al., 2014; Nesvadba et al., 2010; Rupke and Veilleux, 2013; Veilleux et al., 2013; Nardini et al., 2015; Feruglio et al., 2010; Alatalo et al., 2011; Cimatti et al., 2013; Cicone et al., 2014).

One particularly successful technique has been observing forbidden emission lines, which trace warm ( $T\sim10^4$ K) ionised gas in the narrow line region (NLR). Because of its high equivalent width, [O III] $\lambda$ 5008 is the most studied of the narrow AGN emission lines. In general, the [O III] emission consists of two components: a narrow, 'core' component, with a velocity close to the systemic redshift of the host galaxy, and a broader 'wing' component, which is normally blueshifted. The general consensus is that the core component traces the gravitational potential of the host galaxy, as the width correlates well with the stellar velocity dispersion. On the other hand, the broad, blueshifted wing is tracing outflowing gas. This emission appears blueshifted because the far-side of the outflow - that is, the side which is moving away from the line of sight - is obscured (e.g. Heckman et al., 1981; Vrtilek, 1985).

Observations of broad velocity-widths and blueshifts in narrow emission lines stretch back several decades (e.g. Weedman, 1970; Stockton, 1976; Heckman et al., 1981; Veron, 1981; Feldman et al., 1982; Heckman, Miley, and Green, 1984; Vrtilek, 1985; Whittle, 1985; Boroson and Green, 1992). However, these studies rely on small samples, which are often unrepresentative of the properties of the population. More recently, the advent of large optical spectroscopic surveys (e.g. SDSS) have facilitated studies of the NLR in tens of thousands of AGN (e.g. Boroson, 2005; Greene and Ho, 2005; Zhang et al., 2011; Mullaney et al., 2013; Zakamska and Greene, 2014; Shen and Ho, 2014). This

has provided constraints on the prevalence and drivers of ionised outflows. At the same time, there is strong evidence from spatially resolved spectroscopic observations that these outflows are extended over galaxy scales (e.g. Greene et al., 2009; Greene et al., 2011; Hainline et al., 2013; Harrison et al., 2012; Harrison et al., 2014).

However, these studies do not cover the redshift range when star formation and black hole (BH) accretion peaked, and consequently when feedback is predicted to be strongest. At these redshifts the bright optical emission lines are redshifted to near-infrared wavelengths, where observations are much more challenging. As a consequence, studies at high redshifts have typically relied on relatively small numbers of objects (e.g. Netzer et al., 2004; Sulentic et al., 2004; Shen, 2016). These studies find [O III] to be broader in more luminous AGN, suggesting that AGN efficiency in driving galaxy-wide outflows increases with luminosity (e.g. Netzer et al., 2004; Nesvadba et al., 2008; Kim et al., 2013; Brusa et al., 2015; Carniani et al., 2015; Perna et al., 2015; Bischetti et al., 2016). In addition, [O III] is often very weak, or is missing entirely in luminous AGN (e.g. Netzer et al., 2004).

Other recent studies have looked at the [O III] emission properties of extreme objects - e.g. heavily obscured quasars (Zakamska et al., 2016) and the most luminous quasars (Bischetti et al., 2016) - at redshifts  $z \sim 2$ . The [O III] emission in these objects is very broad and strongly blueshifted. These observations are consistent with galaxy formation models that predict AGN feedback to be strongest in luminous, dust-obscured quasars.

In this chapter we analyse the  $[O\,{\sc III}]$  properties of a sample of 356 high-luminosity, redshift 1.5 < z < 4 quasars. This is the largest study of the narrow line region properties of high redshift quasars ever undertaken. The large sample size will help to put observations of extreme objects in context of the AGN population as a whole. We will analyse the  $[O\,{\sc III}]$  emission properties as a function of key properties of the quasar, e.g. BH mass, luminosity, and accretion rate.

#### 2.2 QUASAR SAMPLE

From our near-infrared (NIR) spectroscopic catalogue (Chapter ??), we have selected 356 quasars which have spectra covering the strong, narrow [O III] doublet. The broad Balmer H $\beta$  line is also observed for all but two of the sample. In 165 the spectra extend to the broad H $\alpha$  emission at 6565Å, and in 260 optical spectra including C IV are also available (mostly from SDSS/Baryon Oscillation Spectroscopic Survey (BOSS)). The sample, which has a redshift range 1.5 < z < 4, is summarised in Table 2.1.

Table 2.1: The numbers of quasars with  $[O\,{\sc iii}]$  line measurements and the spectrographs and telescopes used to obtain the near-infrared spectra.

Spectrograph	Telescope	Number	
FIRE	MAGELLAN	31	
GNIRS	GEMINI-N	28	
ISAAC	VLT	9	
LIRIS	WHT	7	
NIRI	GEMINI-N	29	
NIRSPEC	Keck II	3	
SINFONI	VLT	8o	
SOFI	NTT	76	
TRIPLESPEC	ARC-3.5m	27	
TRIPLESPEC	P200	45	
XSHOOTER	VLT	21	
То	356		

#### 2.3 PARAMETRIC MODEL FITS

In this section we describe how parameters of the  $[O\,III]$  emission are derived. Our approach is to model the spectra using a power-law continuum, an empirical Fe II template and multiple Gaussian components to model the emission from the broad and narrow components of H $\beta$  and the  $[O\,III]$  doublet. This is a model which is commonly adopted in the literature (e.g. Shen et al., 2011). We then derive emission line parameters from the best-fitting models. The model-fitting is procedure is more robust when analysing spectra with limited signal-to-noise (S/N) (in comparison to measuring line properties directly from the data) and allows the emission from different transitions to be isolated.

## 2.3.1 Description of model

Before a spectrum can be modelled, it must first be transformed to the quasar rest-frame. The redshift used in this transformation is either derived from the peak of the broad H $\alpha$  emission (~ 40 per cent of our sample), from the peak of the broad H $\beta$  emission (~ 40 per cent) or from the peak of the narrow [O III] emission (20 per cent). The rest-frame transformation is only required to be accurate to within ~1000km s<sup>-1</sup> for our fitting procedure to work. In later sections, more precise estimates of the systemic redshift will be calculated using our parametric model fits to the [O III] emission.

A handful with different [O III] ratio - check these The continuum and Fe II emission is first modelled and subtracted using the procedure described in Chapter  $\ref{eq:continuous}$ . The H $\beta$  and [O III] emission is then fit, again using the procedure described in Chapter  $\ref{eq:continuous}$ . However, we make a number of modifications to the parametric model employed in the fit, which we now describe.

In general,  $H\beta$  is modelled by two Gaussians with non-negative amplitudes and full-width-at-half-maximum (FWHM) greater than  $1200 \, \mathrm{km \, s^{-1}}$ . In 10 objects  $H\beta$  is modelled with a single Gaussian and in 41 objects  $H\beta$  is modelled with two Gaussians, but the velocity centroids of the two Gaussians are constrained to be equal. These spectra generally have low S/N, and adding extra freedom to the model does not significantly decrease the minimised reduced  $\chi^2$ . In addition there are cases where the blue wing of the  $H\beta$  emission is below the lower wavelength limit of the spectrograph; in these cases models with more freedom are insufficiently constrained by the data.

Contributions to the H $\beta$  emission from the narrow-line region is weak in the vast majority of our sample, and in general we do not include an additional Gaussian component to model this emission. In 9 objects features in the model - data residuals suggest that a narrow emission component is significant, and an additional narrow Gaussian is included for these quasars. It is likely that there is some not insignificant contribution from the narrow line region in other quasars in our sample. If this is the case then measures of the H $\beta$  velocity width will be biased to lower values on average. However, measurements of the [O III] emission (the focus of this chapter) will not be affected by not decomposing H $\beta$  in separate broad and narrow components.

Each component of the [O III] doublet is fit with one or two Gaussians, depending on the fractional reduced  $\chi^2$  difference between the one- and two-component models. Concretely, if the addition of the second Gaussian decreases the reduced  $\chi^2$  by more than 5 per cent then the double-Gaussian model is accepted. One hundred and thirty-one are fit with a single Gaussian and 154 with two Gaussians. When a single Gaussian is used to model each line, the peak flux ratio of the [O III] 4960 Å and 5008Å components are fixed at the expected 1:3 ratio and the width and velocity offsets are set to be equal. In the double Gaussian model, the peak flux ratio of the additional components is again fixed at 1:3, and the width and velocity offsets are again set to be equal. Some example fits are shown in Figure 2.1

#### 2.3.2 Deriving upper limits on the [O III] EQW

In 71 objects [O III] is undetected, or is detected with very low S/N.

Firstly, the best-fitting model comprising the continuum, Fe II, and H $\beta$  emission is subtracted from the spectra, leaving behind only emission due to [O III]. These spectra are then smoothed by convolving

with a Gaussian of width 200km s<sup>-1</sup>. From each of these spectra we generate 100 mock spectra, with the flux at each wavelength randomly drawn from a Normal distribution with a mean equal to the flux and a width equal to the known error.

We then perform an error-weighted linear least-squares regression with a [O III] template. To generate this template we generate a median composite spectrum from the continuum- and Fe II-subtracted spectra of the XX quasars with reliable [O III] line measurements (see below). We then run our line-fitting routine on the composite spectrum, and use the best-fitting [O III] model as a template. The equivalent width of the best-fitting model is recorded for each of the 100 realisations of the spectra. The error in the equivalent width is defined as the root-mean-square of these values. We define the upper limit as the mean plus the standard deviation of these trials.

Ask Paul if this makes sense?

## 2.3.3 Modelling $H\alpha$

There are 217 quasars in our sample with spectra covering the  $H\alpha$  emission line. Below, we adopt the peak of the [O III] emission to measure the quasar systemic redshift. However, as a test of the reliability of these estimates, systemic redshift estimates are also derived from  $H\alpha$ . In this section we describe how the  $H\alpha$  emission was modelled.

We fit a parametric model, which is very similar to the model described in Chapter ??. The continuum emission is first modeled and subtracted using the procedure described in Chapter ??. We then test five different models with increasing degrees of freedom to model the  $H\alpha$  emission. The models are summarised in Table 2.2. They are (1) a single broad Gaussian; (2) two broad Gaussians with identical velocity centroids; (3) two broad Gaussians with different velocity centroids; (4) two broad Gaussians with identical velocity centroids, and additional narrower Gaussians to model narrow Hα emission, and the narrow components of  $[N_{II}]\lambda\lambda6548,6584$  and  $[S_{II}]\lambda\lambda6717,6731$ ; (5) two broad Gaussians with different velocity centroids, and additional narrower Gaussians. If used, the width and velocity of all narrow components are set to be equal in the fit, and the relative flux ratio of the two [N II] components is fixed at the expected value of 2.96. The model we select is the simplest model for which the fractional change in the reduced  $\chi^2$  from the model with the lowest reduced  $\chi^2$  is less than ten per cent.

## 2.3.4 Derived parameters

All  $[O\,{\sc iii}]$  line properties are derived from the  $[O\,{\sc iii}]\lambda5008$  emission, but, as described above, the kinematics of  $[O\,{\sc iii}]\lambda4960$  are constrained by our fitting routine to be identical.

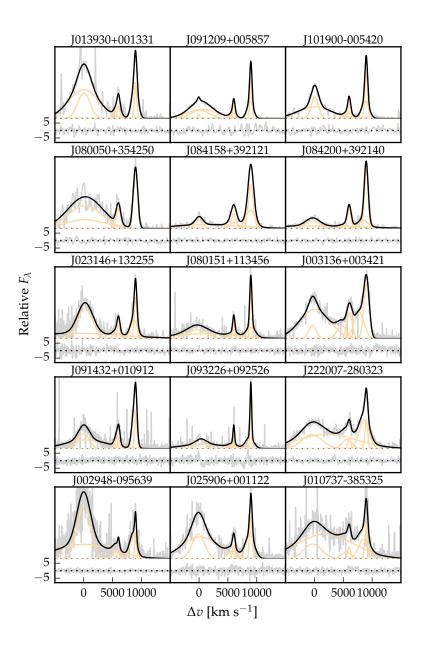


Figure 2.1: Model fits to the continuum- and Fe II-subtracted H $\beta$ /[O III] emission in 15 quasars, chosen at random. The data is shown in grey, the best-fitting model in black, and the individual model components in orange. The peak of the [O III] emission is used to set the redshift, and  $\Delta \nu$  is the velocity shift from the rest-frame transition wavelength of H $\beta$ . Below each spectrum we plot the data minus model residuals, scaled by the errors on the fluxes.

	T T		
Model	Components	Fix centroids?	Number
1	1 broad Gaussian	N/A	10
2	2 broad Gaussians	Yes	71
3	2 broad Gaussians	No	32
4	2 broad Gaussians + narrow Gaussians	Yes	51
5	2 broad Gaussians + narrow Gaussians	No	53

Table 2.2: Summary of models used to fit the  $H\alpha$  emission, and the number of quasars each model is applied to.

Table 2.3: The format of the table containing the emission line properties from our parametric model fits. Copy format of Shen et al. (2011). Give all velocities relative to [O III] peak?

	Units	Description
NAME		Catalogue name
OIII_V10		$v_{10}$

We do not attach any physical meaning to the individual Gaussian components used in the model. Decomposing the [O III] emission in to a narrow component component at the systemic redshift and a lower-amplitude, blueshifted broad component is subject to large uncertainties and is highly dependent on the spectral S/N and resolution. Furthermore, there is no theoretical justification that the broad component should have a Gaussian profile.

We therefore choose to characterize the [O III] line profile using a number of non-parametric measures, which are commonly used in the literature (e.g. Zakamska and Greene, 2014; Zakamska et al., 2016). A normalised cumulative velocity distribution is constructed from the best-fitting model, from which the velocities below which 5, 10, 25, 50, 75, 90, and 95 per cent of the total flux accumulates can be calculated. The width of the emission line can then be defined using either  $w_{50}$  ( $\equiv v_{75} - v_{25}$ ),  $w_{80}$  ( $\equiv v_{90} - v_{10}$ ) or  $w_{90}$  ( $\equiv v_{95} - v_{5}$ ). In terms of the FWHM,  $w_{50} \simeq$  FWHM/1.746,  $w_{80} \simeq$  FWHM/0.919,  $w_{90} \simeq$  FWHM/0.716. Line-width measures are corrected for instrumental broadening by subtracting the resolution of the spectrograph (Table 1.2) in quadrature. Because the line profiles are typically non-Gaussian, this deconvolution procedure is only approximate.

The line asymmetry is measured using the 'blueshift'. The quasar rest-frame is established using the position of the peak of the [O III] emission. The blueshift is then defined as  $v_{10} - v_{peak}$ .

In a later section we examine the dependence of these different line parameters on the S/N of the spectra. This will help motivate which parameters we choose to describe the  $[O\,\textsc{iii}]$  emission. For example,  $w_90$  is relatively more sensitive to the wings of the line profile, whereas  $w_80$  is relatively more sensitive to the core. The low-

This isn't really a measure of the asymmetry. Better to use alternative measure?
Add outline of table of derived properties for this chapter

amplitude wings of the profile are difficult to measure in spectra of only moderate S/N, which will translate in to large uncertainties in  $w_{90}$ . In this case, adopting  $w_{80}$  as the line width would be the more sensible choice.

## 2.3.5 Flux calibration of spectra

We have established the absolute flux scale for each NIR spectrum using a similar methodology to the one described in Chapter ??. When NIR photometric data is available, the absolute flux scale of the spectra may be established by ensuring that broad-band magnitudes measured from the spectra are consistent with the photometry. Because this information is unavailable for a sizeable fraction of our sample, we consider two alternative approaches.

In the first approach, we leverage the excellent flux-calibration of the SDSS/BOSS spectra, which are available for 229 objects in our sample. We use our standard quasar spectral energy distribution (SED) model (Chapter ??) to bridge the gap between the wavelength coverage of the NIR and optical SDSS/BOSS spectra. The quasar SED model is first fit to the SDSS/BOSS spectra, with the normalisation and extinction E(B-V) as free parameters. The NIR spectra are then fit to the normalised SED model. The second approach is identical, except that the SED model is first fit to the available optical (SDSS) and NIR (VHS, Viking, UKIDSS or 2MASS) photometric data.

Check if any missing normalisation / monochromatic luminosities.

Check factor of (1+z) in luminosity calculation

## 2.3.6 Reliability of derived parameters

Our method to estimate realistic uncertainties on emission line properties derived from the best-fitting model is very similar to the one describe in Chapter ??. Very briefly, random simulations of each spectrum are generated. Our fitting-procedure is run on each simulated spectrum, and the errors on the line parameters are estimated by looking at the distribution of values from the ensemble of simulations. In a slight modification of the procedure in Chapter ??, the error is defined using the 68 (84 - 16) percentile spread in the parameter values.

## 2.3.6.1 Removal of Fe II emission

We encountered 23 cases where the relative strengths of the Fe II lines appear to differ significantly from those of I Zw 1 on which the Fe II template we use is based. As a result, significant Fe II flux remained in the spectra after the removal process. This emission is at rest-frame wavelengths very close to the  $[O\,\textsc{III}]$  emission, and so could potentially lead to large errors in the inferred  $[O\,\textsc{III}]$  line parameters. In Figure 2.2 we plot the spectral region around  $[O\,\textsc{III}]$  for these 23 objects.

Currently in the process of re-doing these errors. Once I have done see if I can do a S/N based on just the fractional error in each parameter. For now do fractional error in simulations. Once finished set going again with append errors.

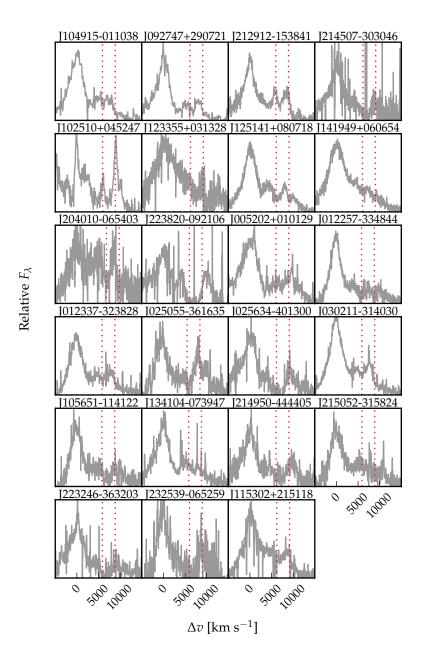


Figure 2.2: Spectra of the 23 objects for which significant Fe II emission is still visible following our Fe II-subtraction procedure. The vertical lines indicate the expected positions of the [O III] doublet (which is generally very weak) with the systemic redshift defined using the peak of the broad H $\beta$  emission. Make bigger and split in two?

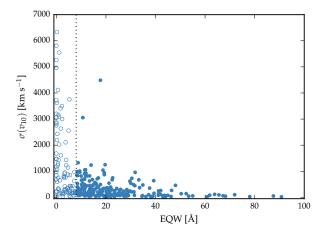


Figure 2.3

The vertical lines indicate the expected positions of the  $[O\,\textsc{iii}]$  doublet, with zero velocity defined using the peak of the broad H $\beta$  emission.  $[O\,\textsc{iii}]$  is generally extremely weak in these objects. As a result, the Fe II emission could be misinterpreted as broad, shifted  $[O\,\textsc{iii}]$ . For example, J125141+080718 was studied by Shen, (2016), and assigned an extremely large  $[O\,\textsc{iii}]$  blueshift. Our analysis suggests that emission which was modelled by Shen, (2016) as  $[O\,\textsc{iii}]$  is more likely to be Fe II which is blueward of the  $[O\,\textsc{iii}]$  laboratory wavelength. Because of the difficulty measuring the  $[O\,\textsc{iii}]$  properties of these objects, they are excluded from subsequent analysis.

## 2.3.6.2 Low EQW [O III]

In Figure ?? we show the distribution of the [O III] rest-frame EQW distribution for the 330 objects in our sample (objects where Fe II emission has been sub-optimally removed are excluded). In many objects [O III] is undetected. In others, [O III] is detected, but is too weak for its shape (i.e. the width and asymmetry) to be measured reliably. We define EQW= 8Å as the limit below which we can no longer reliably determine the shape of the [O III] emission.

reference and explain new figure.

Need to change

#### 2.4 RELIABILITY OF REDSHIFT ESTIMATES

In this section we do a comparison of systemic redshift estimates from [O III], broad H $\beta$  and H $\alpha$ . The wavelength of each of these lines is measured at the peak of the emission. This measurement is done on the best-fitting parameter model. In the case of the Balmer lines, this model includes both broad and narrow emission features.

The redshift comparison is shown in Figure 2.4. We compare systemic redshift estimates based on [O III] and H $\beta$  (Figure 2.4a), [O III] and H $\alpha$  (Figure 2.4b) and H $\beta$  and H $\alpha$  (Figure 2.4c). In (a) and (b) we

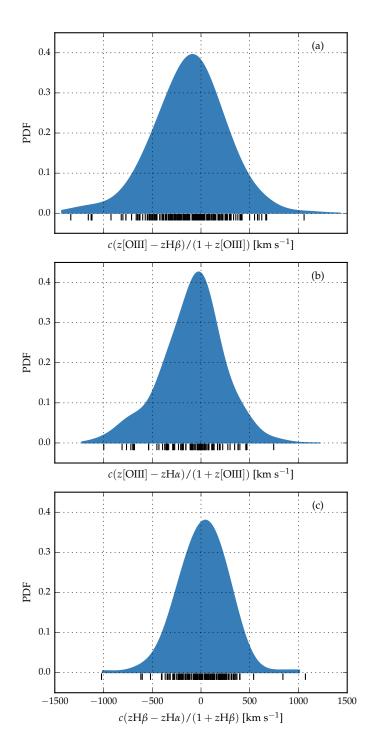


Figure 2.4: Comparison of systemic redshift estimates using [O III], broad H $\beta$  and broad H $\alpha$ . The probability density functions are generated using a Gaussian kernel density estimator with a  $\simeq 150 \text{km s}^{-1} \text{kernel}$  width. The short black lines show the locations of the individual points.

consider only the subset of objects with [O III] detections that do not suffer from poor Fe II subtraction or have extreme [O III] profiles (210 quasars). The available spectroscopic data extends to H $\beta$  and H $\alpha$  for 204 and 99 of these objects respectively. Spectroscopic data that covers both H $\beta$  and H $\alpha$  is available for 219 quasars. We also exclude objects with extremely large peak wavelength uncertainties due to poor spectra S/N. We choose cut-offs of 750, XX and 400 for errors on the H $\beta$ , H $\alpha$  and [O III] peaks respectively. This leaves 187, 93 and 152 in samples (a), (b) and (c) respectively.

Do Ha when simulations finish.

We generate probability density functions using a Gaussian kernel density estimator. The bandwidth, which is optimised using leave-one-out cross-validation, is 170, 140 and 140 km s $^{-1}$  for (a), (b) and (c) respectively. The means (medians) of the distributions shown in (a), (b) and (c) are -120 (-90), -90 (-40) and 20 (40) km s $^{-1}$ . The standard deviations are 360, 300 and 250 km s $^{-1}$ . The scatter in these distributions is consistent with previous studies of redshift uncertainties from broad emission lines (e.g. Shen et al., 2016).

Ask Paul what to say about offset.

#### 2.5 RESULTS

What is the definition of detected?

wereeren

In our sample of 356 quasars, there is a huge diversity in [O III] emission properties (Fig. 2.1). [O III] is detected in XX per cent. [O III] is typically very broad, with a prominent blue wing to the emission.

In Figure 2.5 we show correlations between the  $[O\,III]$   $w_{80}$ , EQW, asymmetry for 119 quasars: objects with low EQW, poor S/N, poor Fe II subtraction are not included. Objects for which  $[O\,III]$  is modelled using a single Gaussian are also excluded, because the asymmetry is by definition zero for these objects.

## 2.5.1 Luminosity/redshift-evolution of [O III] properties

In this section we compare the [O III] properties of our quasar sample with a sample of AGN at lower redshifts with lower luminosities. [O III] is broader, which is consistent with these quasars having more massive BHs. [O III] also shows stronger blue asymmetries, suggesting that outflows are stronger/more prevalent at these higher luminosities/redshifts. The luminous blueshifted broad wing and the extremely broad profile reveals high-velocity outflowing ionized gas. Our results therefore suggest that kilo-parsec-scale outflows in ionized gas are common in this sample of high-luminosity, high-redshift quasars.

## 2.5.1.1 Equivalent width

In Fig. 2.6 we show the [OIII] EQW as a function of the quasar bolometric luminosity. Bolometric luminosity is estimated from the

Again, might want to ditch this S/N flag.

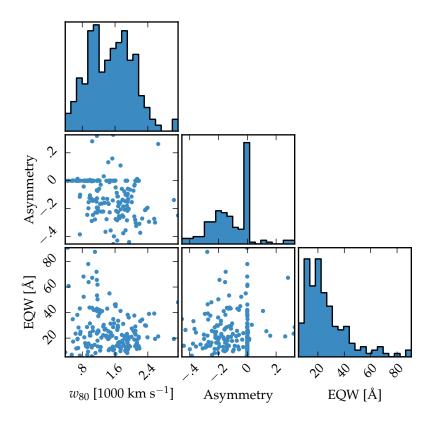


Figure 2.5: Correlations between the line width  $w_{80}$ , asymmetry R and EQW of [O III].

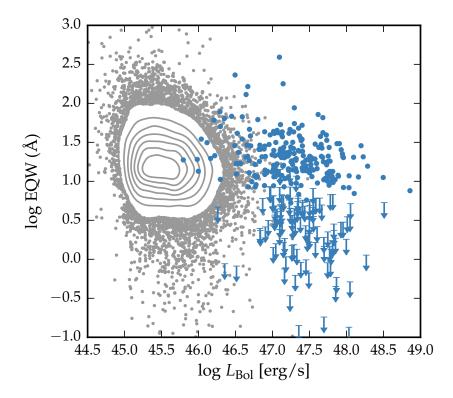


Figure 2.6: The  $[O\,{\sc iii}]$  EQW as a function of the quasar bolometric luminosity for the sample presented in this chapter (blue circles) and the low-z SDSS sample (grey points and contours). Upper limits are denoted by the downward arrows.

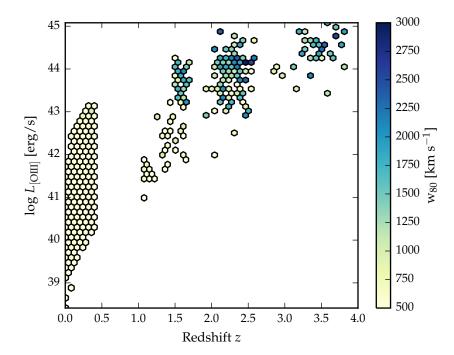


Figure 2.7: The [O III] velocity-width, characterised by  $w_{80}$ , as a function the [O III] luminosity and the quasar redshift. The colour of each hexagon denotes the mean  $w_{80}$  for the objects in that luminosity-redshift bin. We have supplemented our sample with low-z objects from Zakamska and Greene, (2014) and medium ( $z \sim 1.5$ ) redshift objects from Harrison et al., (2016). If I keep this plot make sure its clear which points belong to which sample.

monochromatic continuum luminosity at 5100Å, using the correction factor given by Richards et al., (2006). The 5100Å luminosity was measured by fitting our SED model (Chapter ??) to the available photometry. For comparison, we also show the low-z sample from Shen et al., (2011).

We find that [O III] EQW is fairly constant as a function of quasar luminosity in the objects with prominent [O III] emission. However, the proportion of objects in which [O III] is undetected is much larger in the higher luminosity sample (e.g. Netzer et al., 2004). Netzer et al., (2004) found 1/3 of their high luminosity sample had very weak [O III], whereas quasars with weak [O III] are very rare for nearby AGN. We find that [O III] is undetected/very weak in XX per cent of our sample, which is very similar to the fraction reported by Netzer et al., (2004). As a result, the mean [O III] EQW decreases as a function of luminosity (e.g. Brotherton, 1996; Netzer et al., 2004; Sulentic et al., 2004; Baskin and Laor, 2005).

Other samples?
Manda mentioned
Shen sample?

## 2.5.2 Velocity width

In this section we look for any luminosity/redshift dependent changes in the [O III] line properties. To do this we extend the dynamic range of our samples in terms of both luminosity and redshift by supplementing our sample with quasars presented by Mullaney et al., (2013) and Harrison et al., (2016). They are selected to have [O III] luminosities above  $10^{8.5} L\odot$  and have a median redshift z=0.397. The Mullaney et al., (2013) catalogue contains [O III] line measurements for ~25 000 SDSS spectra. We select only the Type I AGN. The Harrison et al., (2016) sample contains 40 quasars at intermediate redshifts (1.1  $\leq z \leq 1.7$ ).

In Figure 2.7 we show the  $[O\,\textsc{III}]$  velocity width as a function of the  $[O\,\textsc{III}]$  luminosity and the quasar redshift. The lack of any redshift-evolution between z=0 and z=1.5 was reported by Harrison et al., (2016). On the other hand, at fixed redshift, we see a significant correlation between the  $[O\,\textsc{III}]$  velocity width and the luminosity.

## 2.5.3 *Eigenvector 1 correlations*

The FWHM of the broad H $\beta$  emission line and the relative strengths of optical Fe II and H $\beta$  have been identified as the features responsible for the largest variance in the spectra of AGN. These parameters form part of EV1, the first eigenvector in a pndependent component analysis (PCA) which originated from the work of Boroson and Green, (1992). The underlying driver behind EV1 is thought to be the Eddington ratio (e.g. Sulentic et al., 2000; Shen and Ho, 2014).

In Figure 2.8 we show the [O III] EQW as a function of the H $\beta$  FWHM and the optical Fe II strength. The optical Fe II strength is defined as the ratio of the Fe II and H $\beta$  EQW, where the Fe II EQW is measured between 4434 and 4684Å. Measurements of the H $\beta$  line properties are taken from Chapter ??. In our sample, these parameters follow very similar correlations to what is observed at low-z (see also Sulentic et al., 2004; Shen, 2016). In particular, we observer a strong anti-correlation between the [O III] and Fe II EQW. The H $\beta$  FWHM are displaced to higher values, which is consistent with the high-redshift, high-luminosity sample having larger BH masses.

These emission line trends in the optical (for low-z quasars) can be extended to UV emission lines observed at higher redshifts. The C IV blueshift and EQW is a diagnostic that similarly spans the diversity of broad emission line properties in high redshift quasars (dominated by a virialized component at one extreme and a wind driven component at the other Richards et al., 2011; Sulentic et al., 2007). The similarity of the C IV EQW-blueshift parameter space at high redshift to EV1 parameter space at low redshift suggests that these trends are connected.

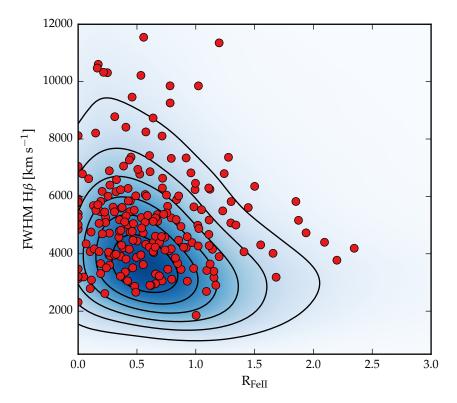


Figure 2.8: EV1 parameter space. The contours and shading show low-redshift, low-luminosity SDSS AGN (with measurements taken from Shen et al., (2011)) and the red circles show the high-redshift, high-luminosity objects presented in this chapter.

Can we calculate a mapping between the two parameter spaces? As a first step we show how the EV<sub>1</sub> parameters change as a function of position in the CIV EQW-blueshift parameter space in Figure 2.9. Optical spectra are available for XXX quasars in our catalogue, and cover the broad C IV doublet. As we described in Chapter ??, C IV is often blueshifted, which almost certainly signal the presence of strong outflows, most likely originating in a disc wind. In Chapter ?? we demonstrated that the quasars in our sample cover the full range of C IV blueshifts seen in the SDSS quasar population, which makes our sample unique in that it allows us to study properties of the quasar across the full parameter range. The CIV blueshift is measured relative to the redshift determined from fitting the ICA components. Two hundred and sixty objects are shown in Figure 2.9. Objects flagged as having significant Fe II residual emission have been removed. Objects for which the H $\beta$  or C IV line properties could not be measured reliably (see Section ??) have also been removed. Finally, we consider only objects for which the C IV EQW exceeds 15Å.

Can't use this now.

Most of the diversity in C IV properties is correlated with the [O III] EQW. On the other hand, the C IV blueshift and EQW cannot be used to predict the H $\beta$  FWHM. This is consistent with what we found in Chapter ??: objects with large C IV blueshifts have narrow Balmer emission lines, but objects with modest C IV blueshifts have a wide range of Balmer line widths.

We see a correlation between the [OIII] velocity width and asymmetry. As the line gets broader it gets more blue-asymmetric. One interpretation of this is that the strength of the narrow core is decreasing, leading to a broader and more blueshifted profile (e.g. Shen and Ho, 2014).

### 2.5.3.1 Extreme [O III] emitters

Extreme [OIII] velocity widths have been extremely red quasars (e.g. Zakamska et al. 2016; Hamman et al. 2016b) and obscured quasars (Brusa et al. 2015).

Figure 2.10 shows the spectra of 18 objects which we visually identified as having exceptionally broad [O III] emission profiles. These objects are defined as having very broad [O III] emission (although not necessarily the broadest in our sample) and heavily blended emission in between the zero-velocity wavelengths of H $\beta$  and [O III]. In Figure we compare the properties of these quasars against the rest of the sample. We see that these objects have high luminosities and large C IV blueshifts.

Move figure from notebook here.

These [O III] emission lines are similar to the lines observed in a sample of four extremely dust-reddened quasars at  $z\sim 2$  recently identified by Zakamska et al., (2016). The extreme nature of the [O III] emission in these objects led Zakamska et al., (2016) to propose that these objects are being observed transitioning from a dust-obscured,

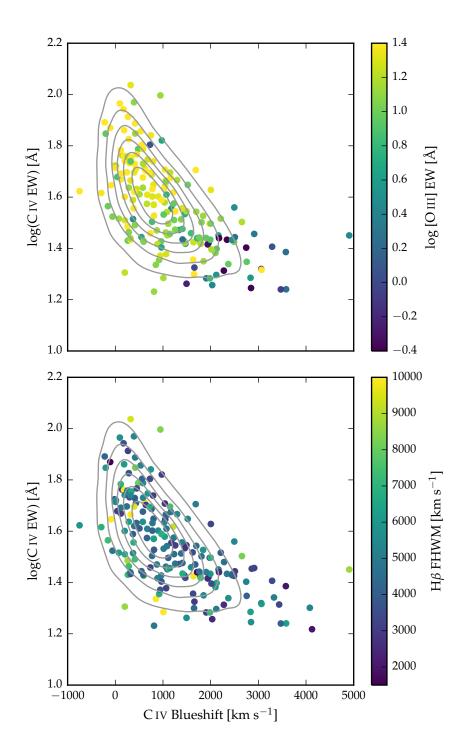


Figure 2.9: The high-redshift EV1 parameter space of C IV blueshift and EQW. Our sample is shown with points, and quasars from the full SDSS catalogue are shown with grey contours. The [O III] EQW varies systematically with position in the C IV blueshift-EQW parameter space (a) but the H $\beta$  FWHM shows significantly less systematic variation (b).

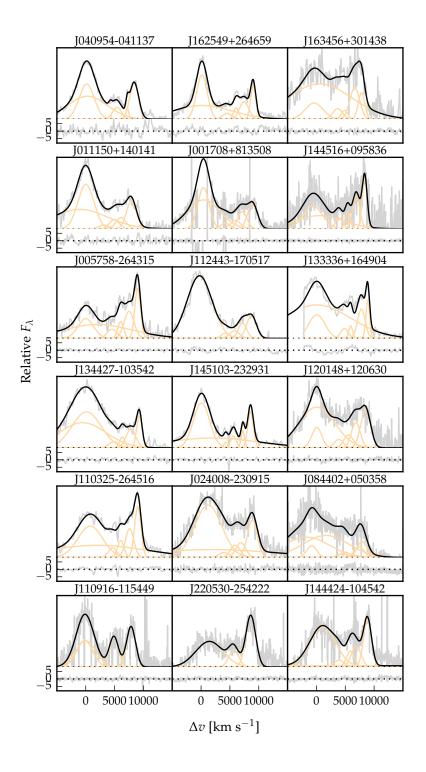


Figure 2.10: Model fits to the continuum- and Fe II-subtracted H $\beta/[O\,{\rm III}]$  emission in 18 quasars with extreme [O\,{\rm III}] emission profiles. The data is shown in grey, the best-fitting model in black, and the individual model components in orange. The peak of the [O\,{\rm III}] emission is used to set the redshift, and  $\Delta \nu$  is the velocity shift from the rest-frame transition wavelength of H $\beta$ . Below each spectrum we plot the data minus model residuals, scaled by the errors on the fluxes.

star-burst phase to a luminous, blue quasar. A similar [O III] emission was also observed in J1201+1206 in a sample of five of the most luminous quasars at redshifts  $2.3 \lesssim z \lesssim 3.5$  observed by Bischetti et al., (2016).

We matched our catalogue to the AllWISE release from WISE with a 5" matching radius. Out of 259 quasars, matches were found for 249. We did a linear interpolation through the WISE SED to find the flux at rest-frame 5 $\mu$ m, which we then convert in to a monochromatic luminosity. The four Zakamska et al., (2016) quasars have 5 $\mu$ m luminosities of ~ 10<sup>47</sup> erg/s, which is comparable to maximum luminosity of our sample. The [O III] velocity widths of the Zakamska et al., (2016) objects are extreme in relation to our sample, matched in 5 micron luminosity. The typical dust reddening in our sample is small (~0.03 by fitting Maddox et al. SED model).

It is impossible to determine unambiguously what combination of H $\beta$  , [O  $\scriptstyle\rm III]$  and Fe  $\scriptstyle\rm II$  is responsible for the unusual plateau-like emission observed in these objects.

## 2.5.4 [O III] and C IV outflows are linked

We have already seen how [O III] is broader and more blueshifted in more luminous quasars. However, at a given luminosity, what else controls the [O III] line properties? It has been known for some time that the [O III] EQW is anti-correlated with the strength of optical Fe II, and this trend is thought to be driven by the Eddington ratio. Shen and Ho, (2014) showed that the amplitude of the core [O III] emission decreases faster than the wing component as the Eddington ratio increases. Therefore, the [O III] emission is weaker and more blueshifted in high accretion rate quasars. In Chapter ?? we found that all quasars with strong broad line region (BLR) outflows have high Eddington ratios. In this section, we show that the C IV and [O III] blueshifts are directly linked. This suggests a direct connection between the gas kinematics in the broad and narrow line regions.

As described above, C IV emission properties are available for XX quasars in our sample. We use the C IV velocity centroid measurements we derived in Chapter ??. We take a subset of quasars with [O III] EQW > 8 Å. We also remove objects where the fractional uncertainty on  $\nu_{10}$  exceeds 50 per cent (XX quasars).

In Figure 2.11 we show the CIV blueshifts against the [OIII] blueshifts. This comparison is done for a sub-sample of 146 objects where we have good measurements of the CIV, [OIII] profiles.

There is a clear and strong correlation. Our EQW cut removes most of the quasars with large C IV blueshifts, since [O III] is on average very weak in these quasars. Similar correlations have been tentatively found in lower redshift quasars and AGN (Zamanov et al., 2002).

Ask Manda about luminosity matching.

Other flags - fe, bad fit - should just be assumed at this point

What is good?

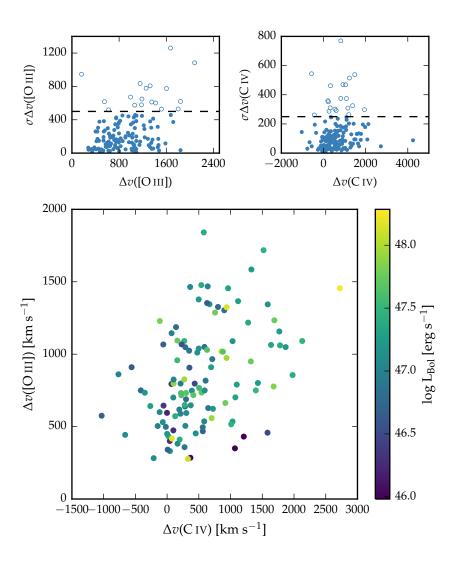


Figure 2.11: The relation between the blueshifts of C  $\mbox{\sc iv}$  and  $\mbox{\sc [O{\sc iii}]}.$ 

The blueshifting of C IV is known to correlate with luminosity (Richards et al., 2011). In [O III], the blueshifted wing becomes relatively more prominent as the luminosity of the quasar increases (Shen and Ho, 2014). Therefore, it is plausible that the correlation between the C IV and [O III] blueshifts is a secondary effect that is driven by the correlation of each with the luminosity. However, no strong luminosity-dependent trends are apparent in Figure 2.11. We find that both the [O III] and C IV blueshifts are correlated with the luminosity, but that these correlations are much weaker than the correlation between the [O III] and C IV blueshifts.

Also, you could put some more text and maybe a figure to explicitly demonstrate that the trend remains even after you have accounted for the trends with luminosity. This is the highlight result for me and personally I think needs a little more fleshing out.

The [O III] 'blueshift' is parametrized using as  $v_{10} - v_{peak}$ . For the C IV blueshift we use  $v_{50}$  as a measure of the line location, and again use the peak of the [O III] emission to define the systemic redshift. This is consistent with the definition we use in Chapter ??. We considered a number of of alternative approaches to parametrising both the [O III] line shape and the systemic redshift. As expected, very similar trends are observed when the [O III] line shape is parametrized using  $v_{25} - v_{peak}$ ,  $v_{50} - v_{peak}$ ,  $w_{80} = v_{90} - v_{10}$ , or the relative asymmetry (skewness), which we define as:

$$R = \frac{(\nu_{90} - \nu_{peak}) - (\nu_{peak} - \nu_{10})}{(\nu_{90} - \nu_{10})}.$$
 (2.1)

The same trend is also observed when the systemic redshift is defined using the peak of the  $H\beta$  emission.

Discuss cuts We don't show extreme objects

#### 2.6 BROAD ABSORPTION LINE QUASARS

19 quasars in our catalogue are classified as broad absorption line (BAL) quasars, using the either the SDSS classification flags or the Allen et al., (2011) catalogue. We find that the BAL quasars have typically broader [O III] than the rest of the sample. Note that in the Zakamska et al., (2016) sample of very red quasars, the incidence of BALs is very high, and these objects have extremely broad [O III] profiles. A two-sided Kolmogorov-Smirnov statistic on the  $w_{80}$  distributions returned a p-value of 0.10. What does this mean? Try with different parameters? Histograms look rubbish so maybe just give the numbers.

Check all of this

#### DISCUSSION 2.7

Looking at the [O III] velocity width as a function of luminosity tells us about the physical drivers of the outflows observed in [OIII]. The correlation with luminosity suggests that the highest velocity outflows are associated with the most luminous AGN. This has been reported for low-redshift AGN, for both ionized and molecular outflows (e.g. Westmoquette et al. 2012; Veilleux et al. 2013; Arribas et al. 2014; Cicone et al. 2014; Hill & Zakamska 2014).

This suggests that the outflows are driven by radiative forces. On the other hand, Mullaney et al., (2013) find that once the correlation between the [O III] luminosity and the radio luminosity has been taken in to account, the [O III] velocity width is more strongly related to the radio luminosity of the AGN.

Is the AGN NLR absent in objects where outflows have reached kiloparsec scales, sweeping up the low-density material responsible for the [O III]-emission? If the BLR outflows can escape, they are very fast and wouldn't need long to clear out the NLR gas. .

2.7.1 Type II quasars

Implications of our findings on searches for high-redshift type II quasars. It could be that type II quasars exist. If you look at CIV/MgII the narrow line components are very weak. So the contribution from the BLR is very weak in luminous quasars, and you just won't see it even if the broad line region is obscured. Findings in this paper seem to suggest that the static NLR is very weak in luminous quasars.

2.8 ICA

Then having presented the main results, I would go on to discuss the limitations of the Gaussian approach - e.g. FeII can't be properly (http://adsabs.harvard.edu/aus/tracted in many/squees and sensitive to S/N - and use this as an intro to the much more flexible ICA method. You could then have a much briefer description of the ICA reconstructions and present this more as work in progress. You could show that your main results (as above) still hold with the ICA (e.g. Figs 1.15, 1.16, 1.17) and that this allows you to solve the FeII problem and push to lower SNR. Finally, you could discuss some of the potential improvements to the ICA components that would allow the derived line properties from the ICA to become even more robust. ICA works better at low S/N because we are effectively putting priors on the model parameters.

> The second model consists of six spectral components derived from an ICA of a large sample of low-redshift AGN with SDSS spectra covering the same spectral region. As we will demonstrate, a linear combi-

Might be useful to estimate a time-scale for how long the NLR would take to be cleared given typical size of galaxy and velocity of outflow

Wasn't too sure about what this section was trying to say... Have you considered the SDSS Type 2 samples from e.g. Alexandroff et

I thought those were pretty luminous, narrow-line objects?

nation of these spectral components is able to reproduce the spectra around  $H\beta/[OIII]$  to a high degree of precision.

### 2.8.1 Model Two: Independent Component Analysis

ICA is a blind source separation technique for separating a signal in to linearly mixed statistically independent subcomponents. Unlike the more widely-used principle component analysis technique, ICA produces non-negative components which allows for a physical interpretation of the components and weights. ICA has been succesfully applied to model the spectra of emission-line galaxies (Allen et al., 2013) and BAL quasars (Allen et al., 2011). The quasar spectra can be thought of as a set of observations, x, which are made up of statistically independent components, c, that are combined by some mixing matrix, W:

$$x = Wc \tag{2.2}$$

ICA reverses this process and describes how the observed data are generated. Both the independent components and the mixing matrix are unknown, but can be found by solving:

$$c = W^{-1}x. (2.3)$$

The components were solved for using a sample of 2,154 SDSS quasars at redshifts XX. At these redshifts the SDSS spectrograph covers the rest-frame region XX-XXÅ where H $\beta$  and [O III] lie. The individual spectra were first adjusted to give the same overall shape as a model quasar template spectrum. Six positive independent components and four additional components that could be negative were found to be sufficient to reconstruct the spectrum, without overfitting. Each quasar spectrum  $x_j$  can then be represented as a linear combination of the independent components:

$$x_{j} = \sum_{i=1}^{10} c_{ij} W_{ij} \tag{2.4}$$

## 2.8.1.1 *Fitting procedure*

Each of the individual ICA components has been adjusted to give the same overall shape as a quasar template spectrum. We approximate the overall shape of this template by fitting a single power-law to emission line free windows at 4200-4230, 4435-4700 and 5100-5535 Å. We then flatten each of the ICA components by dividing by this power-law. An identical process is performed on each spectrum we

Ask Paul for details.

fit, so that both the components and the spectrum to be fitted have essentially zero shape. For each quasar in our sample we perform a variance-weighted least-squares minimisation to determine the optimum value of the components weights. The first six component weights are constrained to be non-negative, and the fit is done in logarithmic wavelength space, so that each pixel corresponds to a fixed velocity width. The relative shift of the ICA components is also allowed to vary in the optimisation procedure, to account for errors in the systemic redshifts used to transform the spectra in to rest-frame wavelengths.

### 2.8.1.2 Quality of fits

Is there some way to demonstrate/quantify this? In general, the ICA components do a remarkably good job at reconstructing the spectra of the objects in our sample. For example, in J125141+080718 (discussed above), it does much better job at modelling the Fe II emission than the Boroson and Green, (1992) template. It is less sensitive to the spectral S/N, and the component weights do not need to be constrained. It is therefore much simpler to apply than fitting multiple Gaussians.

However, it does have its limitations. The components were calculated using a set of lower-redshift, lower-luminosity AGN, and quasar spectra are known to vary systematically as a function of luminosity. For example, the [O III] line is typically broader in more luminous quasars. Because there are so few objects with very broad [O III] in the low-redshift sample, the ICA reconstruction fails to reproduce the broadest [O III] profiles in our sample.

# 2.8.2 Physical interpretation of ICA components

Although the ICA is analysis is not based on any physics, there appears to be a direct correspondence between the individual components and the different emission features which contribute to the spectra (Fig. 2.12). This correspondence is summarised in Table 2.4. The component  $w_1$  seems to correspond to Fe II emission, the components  $w_2$  and  $w_3$  to broad H $\beta$  emission, the components  $w_4$  and  $w_5$  to narrow [O III] emission at the systemic redshift, and the component  $w_6$  to broad, blueshifted [O III] emission.

#### 2.8.2.1 Reconstructing the [O III] profile

In order to measure non-parametric line parameters, e.g.  $v_{10}$ , we must first reconstruct the [O III] emission. It is fortunate that most of the [O III] emission is in just three of the ICA components; the remaining three contribute very little. Therefore, we can set the first three weights to zero to leave only the [O III] emission. The four correction components are also included.

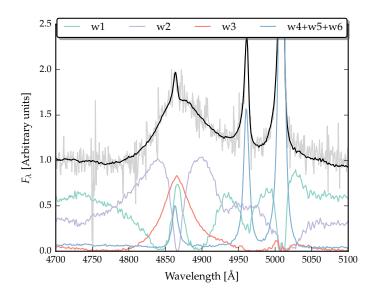


Figure 2.12: H $\beta$ /[O III] emission Joo2952+020607. The ICA reconstruction is shown in black, and the spectrum in grey. The first three components, and the sum of components four, five and six are shown individually.

Table 2.4: Physical interpretation of the ICA components.

Component	Origin
$w_1$	Fe 11
$w_2$	Нβ
$w_3$	Нβ
$w_4$	[O III] core
$w_5$	[O III] core
$w_6$	[O III] wing

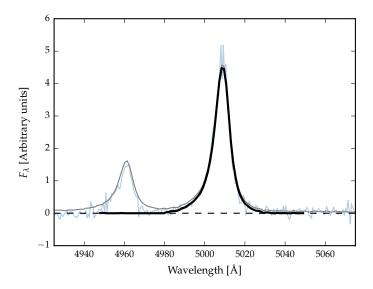


Figure 2.13: [O III] emission in Joo2952+020607. The data is shown in blue, and the ICA spectrum in grey. The first three ICA components have been subtracted from both the ICA composite and the data. The black curve shows the reconstructed [O III] profile.

We define the boundaries of [O III]\(\lambda\)500\(\text{8}\) and 5500\(\text{A}\). The blue limit is close to the peak of the [O III]\(\lambda\)4960 line, and so to recover the intrinsic profile we instead use the blue wing of [O III]\(\lambda\)4960. We use the emission from 4980-5050\(\text{A}\), and from 4900-(4980-(5008.2-4960.3)). The blue window is then shifted by (5008.2-4960.3) to reconstruct the blue wing of the [O III]\(\lambda\)5008 line. We then subtract a constant, because the flux does not always go to zero (suggests that there is probably flux which is not due to [O III] emission in components four to six).

An examples of a reconstructed  $[O\,III]$  emission line is shown in Figure 2.13. At present I am summing the flux all the way from 4950Å. However, this is quite a lot of flux to sum up, and we can't ascribe this flux to the wing of the  $[O\,III]$  emission with any certainty. This is borne out by the fact that there are quite large differences between, for example,  $v_{10}$  measured from the Gaussian fit and  $v_{10}$  measured from the ICA fit.

Unfortunately, there are systematic differences between the linewidth estimates from the Gaussian reconstructions and the ICA reconstructions, particularly for broad-line objects. The current way of doing the ICA reconstruction of the [O III] line ignores any cross-talk between the components and there is potentially flux being ascribed to the line that could be coming from some other component. We can solve this by finding some more representative broad [O III] lines in SDSS from which to derive the components as well as producing a set of components for [O III] only. Therefore we don't use these reconstructions and leave this for future work.

### 2.8.3 *ICA fits*

In Figure 2.14 we show the relative weights of each of the six positive ICA components. Also shown are the same measurements for a sample of low-redshift, low-luminosity AGN. We want to examine whether or not there are systematic differences between these two samples.

We see that [O III] core emission is weaker in the more luminous sample, but the strength of the wing component is similar. Shen and Ho, (2014) showed that the strength of the core [O III] component decreases with quasar luminosity and optical Fe II strength faster than the wing component, leading to overall broader and more blueshifted profiles as luminosity and Fe II strength (or C IV blueshift) increases. Shen and Ho, (2014) suggested that a stable NLR is being removed by the outflowing material. Similarly, Zhang et al., (2011) found that the more the peak of the [O III] line is blueshifted, the more the core component decreases dramatically, while the blue wing changes much less. Therefore, there is an anti-correlation between the strength of the core component and the relative strength of the wing component (Figure 2.16).

To show this phenomenon more clearly, we plot the relative  $[O\,{\sc III}]$  strength and the  $[O\,{\sc III}]$  wing/core ratio in the high/low luminosity samples (Figure 2.16). We see that  $[O\,{\sc III}]$  is weaker in the high luminosity sample, but that the wing component is much stronger relative to the core component. .

#### 2.8.3.1 EV1 correlations

In Figure 2.17 we show how the [O III] strength varies as a function of the C IV blueshift. There is a very well defined relation: when C IV is strongly blueshifted [O III] is very weak. This is very similar to what we found when we used Gaussian functions to model the emission. The correlation between C IV blueshift and [O III] EQW is shown in a different way in Figure 2.18. Here we divide our sample in to four bins according to the C IV blueshift. From the quasars in each C IV blueshift bin we then find then generate an ICA spectrum using the median weights from each quasar. The differences in the spectra as a function of the C IV blueshift are dramatic. [O III] becomes progressively weaker and more blueshifted. The anti-correlation with Fe III and the blue-ward Fe II also clear, but there is no change in the redward Fe II.

#### 2.8.3.2 *Updating EV*1

The ICA can be thought of as update on EV1. The spectral diversity is encapsulated in the EV1 components. Most of the variance in EV1 is the anti-correlation between the strengths of [O III] and Fe II. So at

Similar to behaviour of C IV? Would suggests that the mechanism producing the two correlations is the same

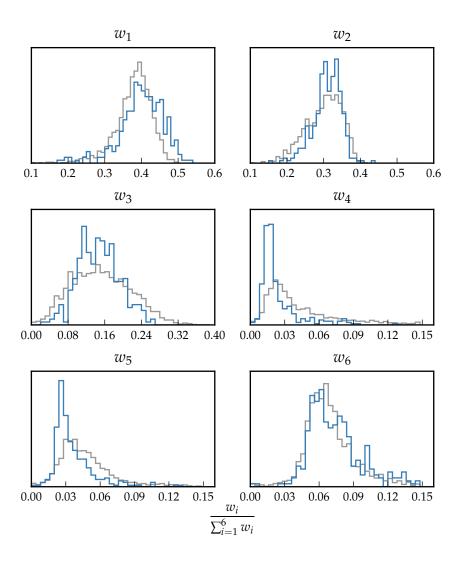


Figure 2.14: The relative weight in each of the six positive ICA components for the high-luminosity (blue) and low luminosity samples (grey). In the high-luminosity sample Fe II emission is stronger (component  $w_1$ ). The core [O III] emission (components  $w_4, w_5$ ) is weaker but the strength of the blueshifted wing ( $w_6$ ) is the same.

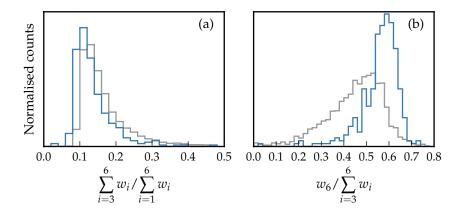


Figure 2.15: The relative weight in the three ICA components corresponding to [O III] emission (*left*) and the relative weight of the component most closely related to blueshifted [O III] emission relative to all three [O III] components (*right*). [O III] emission is weaker in the high-luminosity sample, but the relative contribution from the blueshifted component to the total [O III] emission is higher.

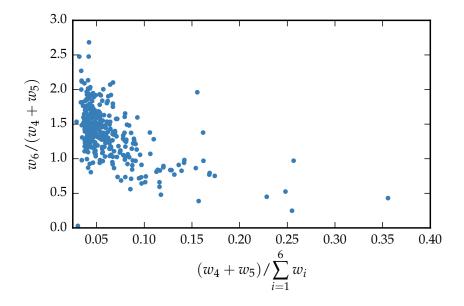


Figure 2.16: Weight in the  $[O\,{\sc iii}]$  wing relative to the weight in the  $[O\,{\sc iii}]$  core emission versus the strength of the core  $[O\,{\sc iii}]$  emission. The blue-asymmetry of the  $[O\,{\sc iii}]$  emission increases as the strength of the core component decreases.

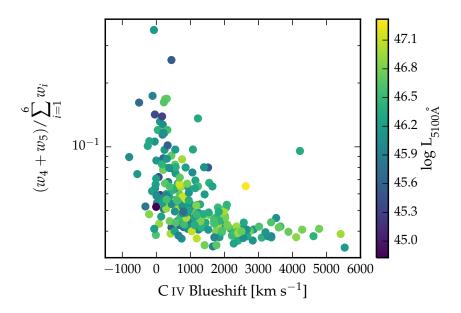


Figure 2.17: The ICA component weight  $w_4$ , which is a proxy for the strength of core [O III], as a function of the C IV blueshift. The C IV blueshift is measured relative to the NIR ICA redshift.

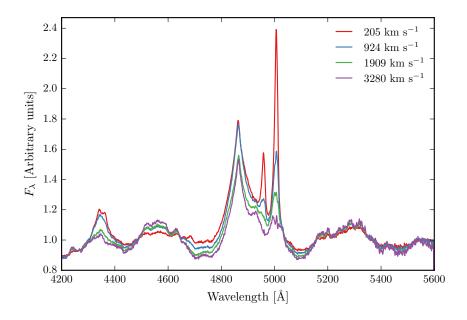


Figure 2.18: Median ICA-reconstructed spectra as a function of the C IV blueshift.

one end we have objects with strong Fe II and weak [O III], and at the other end objects with weak Fe II and strong [O III]. Other properties, including the C IV blueshift and the H $\beta$  FWHM, also change systematically. Our work shows that the ICA component weights change systematically along the EV1 sequence.

Accurate systemic redshift estimates are essential in a number of applications, and researchers have devoted a large amount of telescope time to obtaining near-infrared spectra to access [O III] for this purpose. HI, CO and absorption line measures of the host galaxy rest frame suggest that [O III] usually gives consistent results within 200km s<sup>-1</sup> (de Robertis 1985; Whittle 1985; Wilson & Heckman 1985; Condon et al. 1985; Stripe 1990; Alloin et al. 1992; Evans et al. 2001). However, our work shows that at high luminosities this can result in large errors (profile can be dominated by blueshifted component, Fe II emission can be improperly subtracted, or [O III] might not be detected at all.

The size of the narrow line region is roughly expected to scale as  $L^{0.5}$  (e.g. Netzer et al., 2004). However, for high luminosity quasars with strong [O III] this gives NLR sizes which are unreasonably large (~100 kpc; Netzer et al., 2004).

See extra text from Brotherton paper. I could be confused here, but I think the Netzer argument goes that the nlr size increase with luminosity because there are more ionising photons. but then you run out of nlr to ionise. the luminosity of the quasar keeps increasing but the luminosity of the nlr flattens out. so the eqw starts to decrease. but we see a huge scatter in eqw at high luminosities. we can relate this to the CIV blueshift, which I don't think Netzer will have been able to.

## 2.9 DESCRIPTION OF CATALOGUE

Move table code from OIIIProperties.ipynb to code/. Make sure I'm happy with flags.

- 1 ID: Jhhmmss+ddmmss (J2000; truncated coordinates).
- 2 Unique ID: QSOXXX.
- 3-16  $v_5$ ,  $v_{10}$ ,  $v_{25}$ ,  $v_{50}$ ,  $v_{75}$ ,  $v_{90}$  and  $v_{95}$  velocity of [O III], relative to [O III] peak, and their errors, in km s<sup>-1</sup>.
- 17-18 Systemic redshift measured at [O III] peak wavelength, and its error.
- 19-24  $w_{50} \ (\equiv v_{75} v_{25})$ ,  $w_{80} \ (\equiv v_{90} v_{10})$  and  $w_{90} \ (\equiv v_{95} v_{5})$  velocity width of [O III], and their errors, in km s<sup>-1</sup>.
- 25-26 Dimensionless asymmetry of [O III] ( $A = (v_{90} + v_{10})/(v_{90} v_{10})$ ), and its error.

Just present this as an idea for future work right at the end rather than having this sandwiched in the middle.

- 27-28 Rest-frame [O III] EQW, and its error, in Å.
- 29-30 [OIII] luminosity, and its error, in erg s<sup>-1</sup>.
- 31-32 4434 4684 Årest-frame EQW of Fe II, and its error, in Å.
- 33-34 Velocity of H $\beta$  peak, relative to [O III] peak, in km s<sup>-1</sup>, and its error.
- 35-36 Velocity of H $\alpha$  peak, relative to [O III] peak, in km s<sup>-1</sup>, and its error.
- 37-39 Redshift of  $H\beta$ , and its error.
- 40-41 Redshift of  $H\alpha$  , and its error.
  - 37 Reduced  $\chi$ -squared from [O III]/H $\beta$  fit.
  - $_{38}$  5µm luminosity, in erg s<sup>-1</sup>. Luminosity is derived by linearly interpolating between WISE magnitudes.
  - 39 Fe II flag.
  - 40 [OIII] EQW flag.
  - 41 [O III] bad fit flag (mention in text)
  - 42 Extreme [OIII] flag