

# Confluence: Speeding Up Iterative Distributed Operations by Key-dependency-aware Partitioning

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**Abstract**—A typical shuffle operation randomly partitions data on many computers, thus it generates significant network traffic and often dominates completion time. This traffic is particularly pronounced in iterative distributed operations where each iteration invokes a shuffle operation. Our key observation is that data of different iterations are related according to the transformation logic of distributed operations. If data generated by the current iteration are partitioned to the computers where they will be processed in the next iteration, unnecessary shuffle network traffic can be greatly reduced between the two iterations.

We model general iterative distributed operations as the transform-and-shuffle primitive and define a powerful notion named Confluence key dependency to precisely capture the data relation in the primitive. We further find that by binding key partitions between different iterations based on the Confluence key dependency, the shuffle network traffic can always be reduced by a predictable percentage. We implemented the Confluence system. Confluence provides a simple interface for programmers to express the Confluence key dependency, based on which Confluence automatically generates an efficient key partitioning scheme. Evaluation results on diverse real-life applications show that Confluence can greatly reduce the shuffle network traffic, resulting in as much as 23% job completion time reduction.

**Index Terms**—Spark; Shuffle; Key Dependency; Iterative Distributed Operation; Partitioning

## 1 INTRODUCTION

Distributed applications consisting of iterative distributed operations are pervasive in fields of graph computing [1], [3], database query processing [4], [5], [6] and machine learning [7], [8]. To process big data, distributed frameworks like Hadoop [12] and Dryad [10] are often used. Several distributed paradigms have been developed on top of these frameworks to function various styles of distributed operations on large-scale data [4], [5], [18]. Most distributed paradigms include shuffle operations, which transfer intermediate output data between computer nodes for the following processing.

The problem of heavy shuffle network traffic greatly impacts the performance of distributed operations. The shuffle operation may invoke a large amount of network traffic, and sometimes even dominate the job completion time, especially in shuffle-heavy jobs. A study based on a Yahoo! work trace has revealed as much as 70% of jobs are shuffle-heavy [14]. Though some work claimed that the network for shuffle may be less a bottleneck [2], other studies showed that the shuffle completion time can account for as much as 33% of overall completion time [15], [16].

The heavy shuffle traffic problem is pronounced in iterative distributed operations, where shuffle operations transfer large volumes of data between every two iterations [18]. In the pervasive hashed-by-key partitioning scheme, the shuffle size of each map-and-reduce iteration would be

almost of the same size as the map output data [12].

Our key observation is that we can exploit the relation of data between iterations and partition data to locations where they will be processed in latter iterations to greatly reduce the shuffle network traffic. Keys of data are relevant between different iterations and how data are partitioned between iterations can greatly affect the network traffic in shuffle operations. If data generated by the current iteration are partitioned to the computers where they will be processed in the next iteration, we can greatly reduce or even eliminate unnecessary network traffic of shuffle operations (we call it the “shuffle size” in the rest of this paper).

For instance, the map-and-reduce-style [12] matrix multiplication algorithm is a two-iteration (stage) operation whose shuffle size can be minimized with a “better” key partitioning scheme. Each entry of the matrix product  $C = AB$ , where  $A \in R^{m \times k}$  and  $B \in R^{k \times n}$ , is denoted as  $C_{ij} = \sum_{p=1}^k A_{ip}B_{pj}$ . Stage 1 calculates  $A_{ip}B_{pj}$ ,  $p = 1, 2, \dots, k$ . Stage 2 obtains  $C_{ij}$  by summing  $A_{ip}B_{pj}$ ,  $p = 1, 2, \dots, k$ . Fig. 1 shows an example of  $2 \times 2$  matrix multiplication in a four-node cluster. The data entries are in the key-value format, where the keys are  $(i, j, p)$  or  $(i, j)$ . By using the default hashed key partitioning scheme in both shuffle iterations (Fig. 1(b)), the data entries representing the addends for a particular sum need to be transferred to the same node in the second shuffle iteration. For example, to get  $(1, 1) \rightarrow 19$ , entry  $(1, 1) \rightarrow 14$  in Node 2 needs to be transferred to Node 1 to join entry  $(1, 1) \rightarrow 5$ . However, we know that for any particular  $i$  and  $j$  and different  $p$ 's, all entries with keys  $(i, j, p)$  will be transformed to entries with key  $(i, j)$  in the second iteration (Fig. 1(c)). A better scheme

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should have partitioned all entries with key  $(i, j, p)$  with a different  $p$  to the same node in the first iteration, so that no entry needs to be transferred across nodes in the second shuffle iteration. For example, by assigning  $(1, 1, 1) \rightarrow 5$  and  $(1, 1, 2) \rightarrow 14$  to the same node (Node 1) in the first shuffle iteration, the result entry  $(1, 1) \rightarrow 19$  can be obtained locally in Node 1 in the second iteration without cross-node data transfer.

In the above example, obviously, there is a relation between data with key  $(i, j, p)$  in the first iteration and data with key  $(i, j)$  in the second iteration. Knowing this relation, partitioning data entries to decrease/minimize the shuffle operation becomes possible.

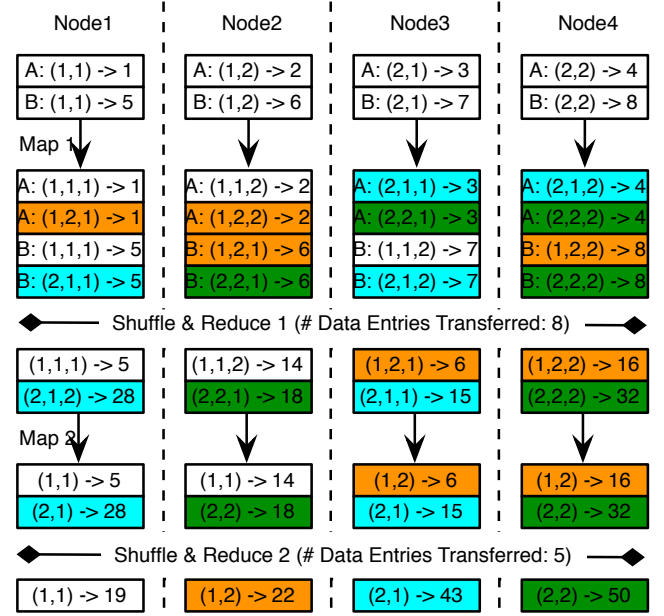
We define the notion of Confluence key dependency to describe this key relation. The Confluence key dependency depicts the logic of distributed application, by specifying how an input dataset is transformed to an output dataset. The Confluence key dependency is simple in form and easy to define in iterative distributed operations. We further find that by using the technique of key partition binding based on the Confluence key dependency, the shuffle size always decreases by a predictable percentage, and sometimes can be even eliminated. Compared to existing partition optimization approaches [27], [28] which support specific types of data exchange patterns, the Confluence key dependency is more general and can be used in arbitrary types of distributed operations.

To exploit the Confluence key dependency in iterative distributed operations, we present the Confluence Key Partitioning (CKP) scheme. Based on a set of Confluence key dependencies, CKP binds key partitions in different iterations and reduces the shuffle size to the maximum extent. Most distributed paradigms, such as Spark [18] and Twister [19], provide an interface for adding a user-defined partitioner for shuffle operations. We implement CKP in Spark and programmers can apply CKP to various kinds of distributed applications by adding a single line of code in the program. We illustrate with analysis and experiment to real-life applications to show that by applying the CKP scheme, the shuffle size of multiple computing iterations reduces significantly by a predictable percentage, e.g., 100% shuffle size reduction in an iteration of the MovieLensALS algorithm. As a result, CKP can reduce the completion time of an iteration by about 59% and the overall completion time of a job by about 23%.

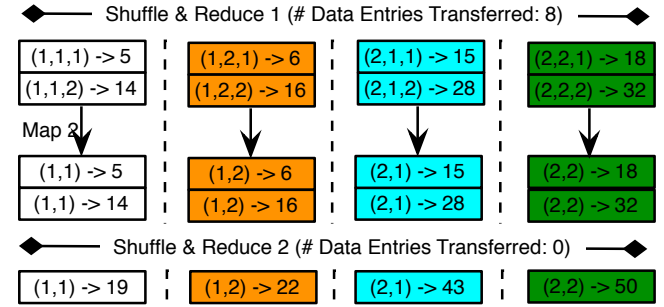
To the best of our knowledge, we are the first to represent the logic of iterative distributed operations by key dependency, and the first to reduce the shuffle size by a predictable percentage by considering the key dependency across multiple iterations in a key partitioning scheme. The technical highlights of Confluence are listed as follows.

- **Precise:** We invented the simple yet powerful notion of Confluence key dependency to precisely capture the logic of data transformation in iterative distributed operations. We defined the transform-and-shuffle primitive that can generally describe all iterative distributed operations.
- **Efficient:** We presented the Confluence Key Partitioning (CKP) scheme to greatly reduce the shuffle size in iterative distributed operations. CKP is effi-

$$\begin{pmatrix} 1 & 2 \\ 3 & 4 \end{pmatrix} \times \begin{pmatrix} 5 & 6 \\ 7 & 8 \end{pmatrix}$$

(a) Matrix A  $\times$  B

(b) Hashed (Random) Key Partitioning Scheme



(c) A "Better" Key Partitioning Scheme

Fig. 1. An Example of the MapReduce-style Matrix Multiplication Algorithm with Different Key Partitioning Schemes

cient and does not introduce extra workload skewness.

- **General:** We showed that CKP is easily applicable to diverse real-life distributed applications. We provided a simple interface in distributed paradigms that allows programmers to apply CKP with one single line of code.

The rest of the paper is organized as follows. In Section 2, we model iterative distributed operations and the iterative shuffle size, give the definition of Confluence key dependency, and analyze the shuffle size of the random key partitioning scheme based on the Confluence key dependency. We present the CKP in Section 3. Section 4 introduces the application of CKP and its limitations, and Section 5 discusses implementation details of CKP in distributed paradigms.

Evaluation results of CKP are presented in Section 6. We discuss the related work in Section 7 and conclude the paper and suggest the future work in Section 8.

## 2 MODEL AND DEFINITION

### 2.1 Iterative Distributed Operations

Datasets of large volumes cannot be stored in a single computer node and are often separated into several partitions, where each partition is distributed to a node in a computer cluster. We refer to a dataset which is separately stored in several nodes as a distributed dataset. A function whose input includes a distributed dataset is called a distributed operation. Each entry of the distributed dataset can be represented as a key-value pair.

For iterative distributed operations, we refer to the general iterative transform-and-shuffle operations. In each iteration of the iterative distributed operation, the “transform” primitive performs one or more transformation operations on input datasets in each local node. The “shuffle” primitive would re-partition the datasets by transferring data entries with the same keys to a designated location and grouping entries of the same key to a key-value pair, as the input for the next iteration. Each iteration of distributed operation is in the form of a series of transformations plus at most one shuffle operation.

Note that the division of distributed operations by transform-and-shuffle is similar to the paradigm of MapReduce [12], which divides the operation into two primitives: map and reduce. They are slightly different but can be equivalent in the context of iterative distributed operations. The shuffle primitive is equivalent to the shuffle operation of the reduce primitive in MapReduce. It does not involve any transformation on data. While the transform primitive is equivalent to the reduce operation (excluding the shuffle operation) in the reduce primitive plus the map primitive of the next iteration of a MapReduce operation. The shuffle primitive is also called the shuffle operation, and the transform primitive is called the transform operation or, simply, transformation.

Most iterative distributed paradigms such as the in-memory paradigm Spark [18] and the distributed database query engine Hive [4] can be equated to iterative transform-and-shuffle operations. The matrix multiplication example in Section 1 follows the traditional MapReduce interpretation. From now on in this paper, we use the transform-and-shuffle interpretation when we describe iterative distributed operations.

### 2.2 Iterative Shuffle Size

We model the overall shuffle size of iterative distributed operations and discuss the time complexity of obtaining the optimal key partitioning scheme that minimizes the overall shuffle size. Table 1 lists some symbols we use in this paper for reference.

For iterative distributed operations, the result of the  $i_{th}$  iteration can be obtained recursively by:

$$\begin{aligned} A'_i &= T_i(A_i) \\ A_{i+1} &= S_i(A'_i) \end{aligned}$$

TABLE 1  
Symbol Reference

Symbol	Description
$A_i$	Input dataset for iteration $i$
$A'_i$	Transformation Output in iteration $i$
$D_k$	Set of input entries of the transform operation (in the specified iteration) that have key $k$
$D'_k$	Outputs of the transform operation with $D_k$ as the input

, where  $A_i$  is the output of iteration  $i - 1$  as well as the input of iteration  $i$ ,  $T_i$  is the transform operation of iteration  $i$  that operates on  $A_i$  locally without changing the partition locality, and  $S_i$  is the shuffle operation of iteration  $i$  that takes the output  $A'_i$  of the transform operation as the input.  $A_i$  and  $A'_i$  define not only key-value pairs of datasets, but also their partition locality. The keys of  $A'_i$  and  $A_{i+1}$  are the same, but their partition localities are different. In the first iteration, when  $i$  equals 1,  $A_1$  represents the raw input datasets before any processing.

Suppose in the shuffle operation of iteration  $i$ , in order to shuffle transformation outputs of an entry  $a \in A_i$  to its partition location, the shuffle size is a function of  $a$  and  $A_{i+1}$ :  $g_i(a, A_{i+1})$ . The returned value of function  $g_i$  can be different in different key partitioning schemes for  $A_{i+1}$ . The shuffle size of iteration  $i$  is:

$$G_i = \sum_{a \in A_i} g_i(a, A_{i+1}).$$

If  $m$  iterations are required to compute the final result, the overall accumulated shuffle size for obtaining the final result is

$$G = \sum_{i=1}^m G_i = \sum_{i=1}^m \sum_{a \in A_i} g_i(a, A_{i+1}). \quad (1)$$

If contents (including key-value pairs and the partition locality) of  $A_i$  ( $i = 1, 2, \dots, m$ ) are already known, to find out the optimal key partitioning scheme that minimizes the overall shuffle size  $G$ , we need to exhaustively explore the possible key partition of all the key-value pairs in  $A_{i+1}$  across all the iterations. By calculating the overall shuffle size of each scheme, the optimal solution is the scheme with the minimal size. The time complexity of the exhaustive method is  $O(n^{\sum_{i=1}^{m+1} |A_i|})$ , where  $n$  is the number of nodes in the cluster and  $|A_i|$  is the number of data entries of  $A_i$ , which indicates it is a complex problem.

In fact, we usually do not know the contents of  $A_i$  and cannot explore the data partition for the next iteration until the program has actually finished the  $i_{th}$  iteration. Considering this limitation and the time complexity, the idea of finding out the optimal partition schemes for all the iterations to minimize the overall data transfer size  $G$  is infeasible.

### 2.3 Confluence Key Dependency

We define the Confluence key dependency, or for convenience, simply called key dependency in the rest of this paper, to represent transformation logic in every iteration of a distributed operation. Given a key-value pair as the input to an iteration of a distributed operation, the transform

operation will generate a (or a set of) new key-value pair(s) based on its logic.

Formally, for a set of input key-value pairs and output key-value pairs in an iteration of a distributed operation, we define their key dependency as

$$k \Rightarrow_{pr} f(k) \quad (2)$$

, where  $k$  is a key,  $f$  is a mapping function of  $k$ , and  $f(k)$  is another key. For now, we do not care about what  $f$  is like, and consider  $f(k)$  as an arbitrary key. The symbol " $\Rightarrow_{pr}$ " indicates the fact that for any data entry whose key is  $k$ , after the transform primitive, the probability of that the key of an output data entry is  $f(k)$  is  $pr$ . By definition, we have:

$$\begin{aligned} k_0 \Rightarrow_{pr} f(k_0) &\equiv \forall \langle k, v \rangle \in \{ \langle k, v \rangle | k = k_0 \} : \\ p(k_{t(\langle k, v \rangle)}) &= f(k) = pr \end{aligned}$$

, where  $t(\langle k, v \rangle)$  is the transform operation on  $\langle k, v \rangle$  and outputs a set of key-value pairs,  $k_d$  is one of the keys in dataset  $d$ , and  $p$  is the probability function.

In the key dependency, we call  $k$  the input key,  $f(k)$  the mapped key, and  $pr$  the dependency probability. This key dependency can be read and grasped as "input key  $k$  has a chance of  $pr$  to map to mapped key  $f(k)$ " after transformation in the corresponding iteration.

A programmer is supposed to be well acquainted with the logic of the transform operation  $t$ . By saying that we know the key dependency for a specific iterative distributed operation, it means that we are able to calculate  $pr$  by the probability function  $p$  for specific  $k$  and  $f(k)$ . In the matrix multiplication example, we can easily deduce the key dependency of the second iteration:  $\forall i, j, p \in domain : (i, j, p) \Rightarrow_1 (i, j)$ . Specially, the key mapping function is  $f((i, j, p)) = (i, j)$ .

## 2.4 Random Key Partitioning

We will show that the random key partitioning scheme (RKP) generates no less shuffle size than almost any other key partitioning schemes. In RKP, a key is assigned a random partition location in the shuffle operation. RKP is widely used in popular distributed paradigms. For example, Spark [18] uses the hash-based key partitioning scheme, which partitions keys based on their hash values.

As mentioned in Section 2.2, the shuffle size  $g_i(a, A_{i+1})$  to shuffle transformation outputs of entry  $a$  is different in different key partitioning schemes. Given a key dependency implicated by a distributed operation, we discuss how to calculate  $g_i(a, A_{i+1})$  in a specific key partitioning scheme. We set off from RKP.

In a cluster of  $n$  nodes, using RKP, the probability of a transformed key-value pair is assigned and partitioned to another node (which indicates a network transfer) is  $(n - 1)/n$ .

In iteration  $i$ , let  $D_k$  denote all transform operation inputs that have key  $k$ ,  $D'_k$  denote transform operation outputs after transforming  $D_k$ , and  $|D|$  and  $|D'|$  denote the volume of dataset  $D$  and  $D'$ . Given a set of key dependen-

cies  $k \Rightarrow_{pr_j} k_j, \sum_j pr_j = 1$ , the expected shuffle size to transfer  $D'_k$  in RKP is:

$$\begin{aligned} \sum_{a \in D_k} g_i(a, A_{i+1}) &= \sum_j \frac{n-1}{n} pr_j |D'_k| = \frac{n-1}{n} |D'_k| \\ &\approx |D'_k|. \end{aligned} \quad (3)$$

In cases that  $n$  is big in a large cluster, the approximately equal sign in Formula 3 can be thought of as an equal sign. Note that the key dependency does not affect the shuffle size of an iteration in RKP at all. For any key partitioning scheme, the shuffle size cannot be larger than the volume of the shuffle operation. That is,  $\sum_{a \in D_k} g_i(a, A_{i+1}) \leq |D'_k|$  for any key partitioning scheme. By summing up the shuffle sizes for all keys in all iterations by Formula 1, we can easily conclude that the overall shuffle size of RKP is no less than almost any other key partitioning scheme.

## 3 CONFLUENCE

Although it is hard to find the optimal partition solution to minimize the overall shuffle size, we discover that if the transformation logic of a specific distributed operation is already known, the shuffle size can be reduced to the maximum extent by exploring the key dependency of different iterations.

The key dependency is to represent the logic of transform operations, while the key partitioning scheme is to indicate the logic of shuffle operations. By the observation that the RKP shuffle size can be deduced from the key dependency, we have the intuition that we can use the key dependency to discover other key partitioning schemes that produce a smaller shuffle size.

In this section, we present the Confluence key partitioning scheme that makes use of the key dependency to reduce the shuffle size by binding key partition locations. We also analyze that the Confluence Key Partitioning scheme does not increase the workload skewness.

### 3.1 Key Partition Binding

We will show that binding partitions of input keys to mapped keys based on the key dependency reduces the shuffle size. Assume that we have a set of key dependencies  $k \Rightarrow_{pr_j} k_j, \sum_j pr_j = 1$  in an arbitrary iteration  $i$ . Now suppose that a key partitioning scheme  $X$  has always partitioned shuffle output data that have key  $k$  in the previous iteration  $i - 1$  to the same computer node where shuffle output data that have key  $k_x$  in this iteration  $i$  will be partitioned. We say that key partitioning scheme  $X$  binds the partition of input key  $k$  to mapped key  $k_x$  in this iteration  $i$ .

By the definition of the key dependency and recalling that the shuffle size only refers to cross-node data traffic, we easily deduct the following theorem.

**Binding Theorem.** Suppose a key dependency  $k \Rightarrow_{pr} f(k)$  in an iteration of a distributed operation, if the partition of key  $k$  was bound to  $f(k)$  in this iteration, the shuffle size for transferring transformation output data that have key  $f(k)$  after transforming  $D_k$  is zero in this iteration.

**Algorithm 1: Enhanced Key Partitioning Scheme X**


---

```

1 In a specific iteration  $i$ , foreach key  $k$  do
  /*  $K$  is a key dependency set */
2    $K = \{k \Rightarrow_{pr_j} f_j(k) | j = 1, 2, \dots, \sum_j pr_j \leq 1\}$ ;
3   Find  $J$  such that  $\forall j, pr_j \leq pr_J$ ;
4   Bind input key  $k$  to mapped key  $f_J(k)$ ;
5 end

```

---

The insight of the binding theorem is that if we know the key dependency for a dataset in an specific iteration, we can prepare the partition of the dataset in the previous iteration by the notion of binding keys so that the dataset will do local shuffling in this iteration and it will incur no cross-node traffic.

In such a scheme X, reusing the symbols in Section 2.4, by the binding theorem, the expected shuffle size to transfer transformation outputs of the  $D_k$  is:  $\sum_{a \in D_k} g_i(a, A_{i+1}) = \frac{n-1}{n}(1 - pr_x)|D'_k|$ . The shuffle size reduction of scheme X compared to scheme RKP is  $\Delta \approx pr_x|D'_k|$ . The value of  $|D'_k|$  is a constant for a given transform operation and input  $D_k$ . The larger  $pr_x$  is, the greater scheme X reduces the shuffle size than RKP does.

There are two constraints when binding key partitions. First, key partition binding can often be used in all but the first iteration of a distributed operation. The reason is that most distributed frameworks do not support specifying the partition locations of input data loaded from a file system, which means binding key partitions in the first iteration is not feasible. But it could be used in the following iterations.

The second constraint is that for a single copy of dataset, any input key can be bound to at most one mapped key, because a key should have a unique partition. This constraint indicates for all key dependencies with the same input key, only one can be selected for key partition binding in an iteration. These two constraints are considered as common sense when we design new key partitioning schemes.

### 3.2 Confluence Key Partitioning

Surprisingly, by the binding theorem, we find that binding key dependency always reduces shuffle size as compared to RKP. Note that by binding key partitions, the shuffle size reduction is linearly proportional to the dependency probability. We can further enhance scheme X by applying key binding based on the key dependency with the maximum dependency probability for each key in an specific iteration (Algorithm 1).

A problem exists in the enhanced scheme X. The key dependency is usually provided by programmers. In just one iteration, programmers need find a distinguished key dependency set for every input key. The key dependency sets of different input keys can be different. The time complexity of finding the key dependency in an iteration is the size of a key dependency set (usually small and can be considered as a constant) times the number of keys (usually large), or roughly,  $O(\# \text{ of keys})$ , which is usually large.

We presents the efficient Confluence Key Partitioning (CKP) scheme that reduces or even eliminates the shuffle size in each iteration with as little as  $O(1)$  time complexity.

**Algorithm 2: Confluence Key Partitioning**


---

```

1 foreach iteration  $i$  do
  /* Programmers decide that */
2    $b = \text{Should iteration } i \text{ apply key partition binding?}$ 
3   if  $b = \text{True}$  then
    /*  $K$  is a key dependency set */
4     Find  $\forall k, K =$ 
       $\{k \Rightarrow_{pr_j} f_j(k) | j = 1, 2, \dots, \sum_j pr_j \leq 1\}$ ;
5     Find  $J$  such that  $\forall j, pr_j \leq pr_J$ ;
6      $\forall k$ , bind input key  $k$  to mapped key  $f_J(k)$ ;
7   end
8 end

```

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The procedure of applying CKP is shown in Algorithm 2. In an iteration, instead of finding a distinguished key dependency set for every input key, CKP finds one general key dependency set that is applicable to any input key.

For example, in the second iteration of matrix multiplication, instead of finding key dependency  $(1, 0, 0) \Rightarrow_1 (1, 0)$  for input key  $(1, 0, 0)$  and  $(1, 0, 1) \Rightarrow_1 (1, 0)$  for input key  $(1, 0, 1)$ , respectively, we can define  $(1, 0, p) \Rightarrow_1 (1, 0)$  for any  $p$  in general. Or more generally, we can define  $(i, j, p) \Rightarrow_1 (i, j)$  generally for any  $i, j, p$ .

A feature of CKP is that it allows a programmer to decide whether a specific iteration should apply key partition binding or not. In some iterations, it may happen that programmers fail to find the key dependency, or the maximum dependency probability of the key dependency set is very small, and thus applying CKP is trivial. In these cases, CKP let programmers decide not to bind key partitions. Further discussion about these cases will be presented in Section 4.5. When a key dependency with a large dependency probability exists in an iteration, key partition binding can be applied to reduce shuffle size.

A question about the CKP algorithm is how programmers figure out the key dependency set in an iteration. The key dependency is derived from the transform operation logic. In some cases, for input key-value entries with a specific key, the transform operation always generates output entries with predictable keys, regardless what the values are. The matrix multiplication algorithm falls in this case. Finding key dependency such cases is usually simple, and a programmer may start from the domain of the input keys and derive the general key dependency for each range of the domain. In some other cases, keys of the output entries are also affected by the values of the input key-value entries. In these cases, a programmer need also consider the key-value entries as a whole to deduct what mapped keys will be and what probability it is to generate an output entry with each mapped key. We will discuss more about finding the key dependency with real-life examples in Section 4.

There is an implicit in the CKP algorithm. For iterations that do not apply key partition binding, the input keys are randomly partitioned, just as in RKP.

CKP reduces the shuffle size in all iterations that apply key partition binding. The shuffle size reduction in each binding iteration compared to RKP is  $\Delta \approx \sum_k pr_J|D'_k|$  (recalling the shuffle size reduction by key partition binding in Section 3.1). For other iterations that do not applied key

partition binding, the CKP shuffle size is the same as that of RKP. In all, CKP reduces the shuffle size by a percentage of  $pr_J$  in each iteration that applies key partition binding. When  $pr_J$  equals 1 in an iteration, the shuffle size is 0 in that iteration.

### 3.3 Workload Skewness Analysis

CKP does not increase the workload skewness in most applications. The workload of a node is the number of input entries for the transform operation in that node and the workload skewness of an iteration is the standard deviation of the workloads of different nodes in that iteration.

We compare workload skewness of CKP and RKP in iterations that apply key partition binding. In unbound iterations, the key partitioning policy of CKP is the same as that of RKP, and the workload skewness in these iterations is the same in both schemes. Suppose in one of such iterations, keys of input entries follow a distribution  $Dist$ . In RKP, these input keys have been uniformly partitioned, and the workload skewness is the standard deviation of  $Dist$  times the average number of distinguished input keys in each node.

In CKP, in a binding iteration, suppose two conditions: 1) input keys are uniformly bound to mapped keys; 2) the mapped keys, which is also the input keys of the next iteration, will be uniformly partitioned in the shuffle operation. The first condition means that the expected number of distinguished input keys bound to every mapped key is the same. If both conditions are satisfied, it indicates that the input keys have also been uniformly partitioned in the previous iteration, and therefore, the workload skewness is the same as that of RKP. The second condition will be eventually satisfied, as the last iteration always randomly partitions keys. If the first condition is always true in all iterations, the second condition can be proved to be always true by induction from the last iteration back to previous iterations.

In all, if input keys are uniformly bound to mapped keys in all iterations, the workload skewness of CKP is the same as that of RKP. Luckily, this condition is true in most applications we observe. For example, in the second iteration of matrix multiplication, the number of input keys  $(i, j, p)$  that are bound to mapped key  $(i, j)$  is the dimension size of the matrix. In the MovieLensALS algorithm introduced later in Section 4, the expected number of input keys  $(userId, itemId)$  that are bound to mapped key  $userId$  is the average number of items all users have bought. In a few cases (e.g., KMeans in Section 4) when this condition does not hold, we find that the workload skewness difference of CKP and RKP is so small that it could be ignored, regarding the shuffle size CKP reduces.

### 3.4 Affect of Inaccurate Key Dependency

Note that programmers do not need to change the original logic of applications in order to apply CKP. Applying any key partition scheme, including CKP, will not affect the logic correctness of the applications, but only affect the traffic performance of shuffle (shuffle size and workload skewness).

TABLE 2  
CKP Shuffle Size Reduction in Different Distributed Operations

Distributed Operations	CKP Shuffle Size Reduction
MatrixMultiplication	$n^3$ for $R^{n \times n}$
MovieLensALS	# of $(user, item)$ entries
GoogleAlbum	# of album entries and user entries
PageRank	volume of link lists of all URL's in every join iteration
MultiAdjacentList	1/2 the RKP shuffle size in every iteration
KMeans	$ A  \cdot \sum_{i=j+1}^m p_{ij}^1$ upper bound: $(m-j) A $ lower bound: 0

<sup>1</sup>  $|A|$  is the volume of points,  $m$  is the number of iterations.

An inaccurate key dependency means a key dependency with an inaccurate dependency probability. A dependency probability of a key dependency implies how much CKP reduces the shuffle size compared to RKP. When an inaccurate key dependency is applied in CKP, the shuffle size reduction is related to the actual dependency probability, not to the inaccurate one. At all events, the shuffle size of CKP is no greater than that of RKP.

As to the workload skewness, the inaccurate key dependency may lead to a different key partition binding and unpredictable workload skewness may exist. But as CKP randomly partitions mapped keys in every iteration whose next iteration does not apply key partition binding, the workload skewness readjusts to the same as RKP then.

## 4 APPLICATION

In this section, we show how CKP is applied in various iterative distributed applications, by digging out the key dependency with a large dependency probability in some iterations. We also discuss limitations of CKP when in some circumstances it is not applicable, and solutions to handle these limitations.

We find that many applications have key dependency with a large dependency probability in some iteration(s). For example, in the second iteration of the matrix multiplication algorithm, the transform operation does a many-to-one processing and the key dependency is obvious,  $\forall$  key  $(i, j, p)$ ,  $(i, j, p) \Rightarrow_1 (i, j)$ , which has 100% dependency probability for all key dependencies. In some applications, the dependency probability is not 100% but is still large enough to apply CKP. We illustrate the techniques of finding the key dependency of iterative distributed operations with the real-life application examples from different fields. A summary of the CKP shuffle size improvements to the distributed applications discussed below is shown in Table 2.

### 4.1 MovieLensALS

MovieLensALS [22] is a recommendation application that uses the Alternating Least Squares (ALS) method in collaborative filtering in order to recommend product items to users based on the user-item rating information. During the execution, it organizes the rating data into user-item blocks grouped by key  $“(userId, itemId)”$ . In the successive iterations, it needs to reorganize the user-item block data by generating user blocks and item blocks grouped by key  $“userId”$  and key  $“itemId”$ , respectively. This operation is done in two iterations as shown in Fig. 2(a).

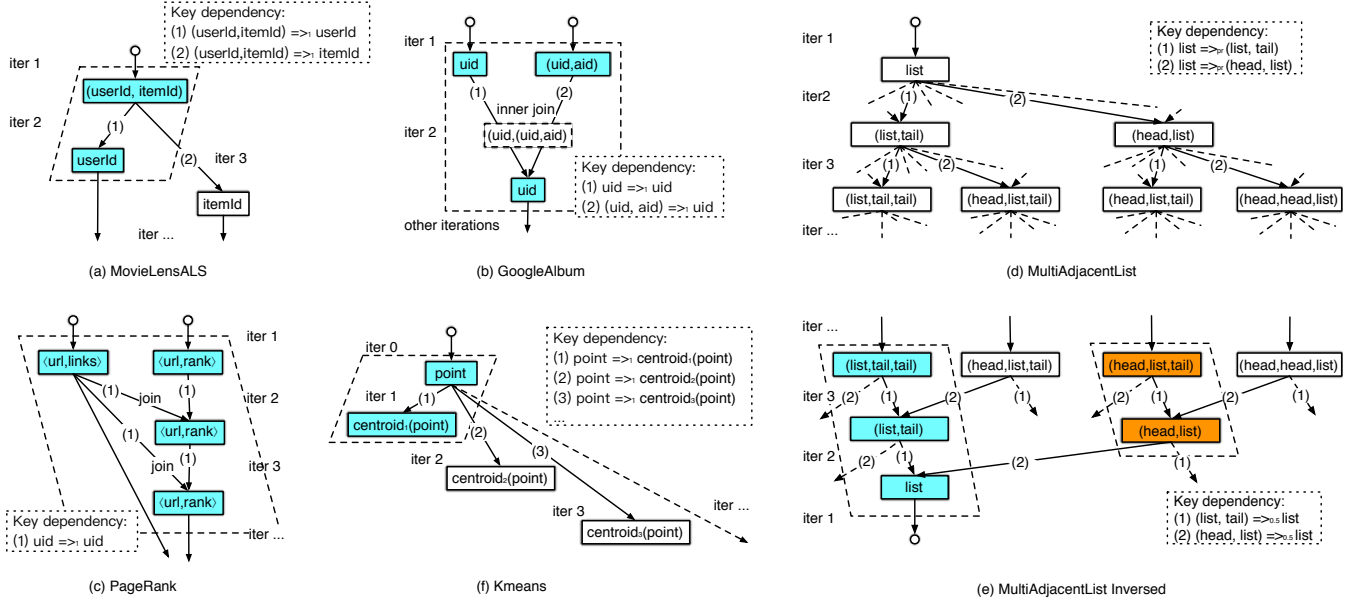


Fig. 2. The Transformation Lineage of Keys between Iterations and the Key Dependency of Different Applications. Key Partition Binding is Applied to Keys in Iterations Wrapped in Dashed Rhombus Boxes

Key dependencies from input key  $(userId, ItemId)$  exist in iteration 2 and iteration 3, respectively. As these two iterations use the same copy of input dataset, by the second constraint of key partition binding, we can apply key partition binding in either iteration, but not in both. If we select key dependency  $(userId, itemId) \Rightarrow_1 userId$  in iteration 2, the shuffle size generating the user block in iteration 2 is 0, and the shuffle size generating the item block in iteration 3 is the same as that of RKP.

#### 4.2 GoogleAlbum and PageRank

CKP can usually be applied to *join* operations in distributed databases. For example, in a Google album application [26], an entry in table Users is identified by primary key “uid” and an entry in table Albums by “(uid, aid)” (Fig. 2(b)). When an *inner join* operation interleaves these two tables and gets albums for each user, the transform operation processes interleaved data with key “(uid, (uid, aid))” to album data with key uid. The key dependency here is  $(uid, (uid, aid)) \Rightarrow_1 uid$ . In CKP, when binding the interleaved key  $(uid, (uid, aid))$  to key uid, it meaning both binding key uid in table Users to key uid (which is a trivial binding) and binding key  $(uid, aid)$  in table Albums to key uid.

We can also interpret this binding as putting album entries of the same user together in the same node before such an “inner join” operation. Spanner [26] uses the similar notion of hierarchical schema to maintain data locality in the distributed database. The hierarchical schema specifies data locations once when persisting data in storage, while CKP specifies data locations iteratively during the computing procedure of a distributed operation.

Similarly in the famous PageRank algorithm, the link list of a URL is represented as  $\langle url, links \rangle$ , and the rank of a URL is  $\langle url, rank \rangle$ , where url is the key for both datasets. These two datasets are joined to entries in form

of  $\langle url, (links, rank) \rangle$  in multiple iterations (Fig. 2(c)). The key dependency is  $url \Rightarrow_1 url$  for both datasets. Note that the link list dataset is loaded from a distributed file system initially and it has not been partitioned by its key url. Without CKP, entries with key  $url_0$  in the link list dataset need to be transferred to the partition of key  $url_0$  in every *join* iteration. In CKP, by binding partition of input key url to mapped key url, the link list dataset is partitioned by its key url first. Therefore, the link list and the rank with the same URL are on the same node in every iteration, and no shuffle network traffic incurs in these *join* operations. This partition optimization is also suggested in the usage of RDD [18], we formalize it here by the concept of key dependency.

#### 4.3 MultiAdjacentList

MultiAdjacentList [23] is a graph computing application which generates lists of different lengths in a graph by concatenating adjacent nodes to the head and the tail of a list, recursively. In every iteration, for a data entry  $\langle L_i, value \rangle$ , key  $L_i$  represents a list of length  $i$  and the value is a set of adjacent nodes to the head or the tail of the list. The transform operation generates a group of new lists of length  $i + 1$  by appending head nodes and tail nodes to each list. Each new list acts as the new key, and its new head nodes and tail nodes as the new value. The iterative transformation of keys in MultiAdjacentList is shown in Fig. 2(d).

For any input key  $L_i$  in iteration  $i$ , key dependencies are  $L_i \Rightarrow_{pr_j} (head_j, L_i)$  and  $L_i \Rightarrow_{pr_l} (L_i, tail_l)$  for many different  $j$ 's and  $l$ 's, because a list  $L_i$  may have many adjacent head or tail nodes. Therefore, values of  $pr_{j1}$  and  $pr_{j2}$  can be small and benefits of applying CKP based on these key dependencies is little. But if we inverse the direction of the key flow as in Fig. 2(e), we have new key dependencies with dependency probability of 0.5. The key dependencies of the inversed key flow reflects the fact that an entry with key



$(L_i, tail)$  is 50% generated from entries with key  $L_i$  (and another 50% from entries with key  $(L_{i-1}, tail)$ , where  $L_{i-1}$  is a sublist of  $L_i$  cutting off the head node).

#### 4.4 KMeans

KMeans [24] is a famous clustering algorithm in data mining. It groups vector points into  $k$  clusters where each vector point belongs to the cluster with the minimum mean value. This procedure is done in a dedicated number of iterations. With the initial  $k$  chosen points regarded as centroids of the clusters, in each iteration, every point is compared with the  $k$  centroids and is grouped into a cluster where the Euclidean distance between the point and the centroid is the smallest. After all points are grouped, each new cluster centroid is chosen in the next iteration by the mean of the points in that cluster. To calculate the mean of each cluster whose points are located in different nodes, the shuffle operation takes place to partition points of the same cluster to one node. A point is always transformed to an entry with its cluster centroid as the key in every iteration.

Key dependency exists in each cluster iteration of the KMeans algorithm (Fig. 2(f)). In CKP, we choose a specific iteration  $j$ , and bind key partitions of the points based on the key dependency  $point \Rightarrow_1 centroid_j(point)$ . As all iterations use the same copy of the point dataset, the binding can be interpreted as: if a point is partitioned to a cluster in an iteration  $j$ , we bind its partition to this cluster afterwards, regardless of which cluster it will be actually grouped into in the following iterations.

We can predicate the shuffle size after applying CKP. Suppose in iteration  $i, i > j$ ,  $pr_{ij}$  is the probability of that any point is grouped into the same cluster as it was grouped into in a previous iteration  $j$ . CKP reduces the shuffle size by a percentage of  $pr_{ij}$  in each iteration  $i, i > j$ . The value of  $pr_{ij}$  is affected by the input dataset, initial centroids of the clusters, and the value of  $j$ , i.e., from which iteration on the partitions of points are bound to clusters. For example, the cluster a point is grouped into tends to be stabler in latter iteration. With a higher value of  $j$ , the value of  $pr_{ij}(i > j)$  tends to be higher. But accordingly, the number of iterations that apply key partition binding is smaller. Programmers may need to tune the value of  $j$  and make a trade-off then. In our evaluation in Section 6, we choose  $j$  to 1.

#### 4.5 Limitations Applying Confluence

CKP can be widely applied to reduce the shuffle size of iterative distributed paradigms that compute and store intermediate transformation outputs in local storages (including disk and memory), like Spark and Twister. However, we find it not applicable or directly applicable in the following circumstances and we suggest solutions for these limitations.

First, CKP is not usually applicable for single-iteration distributed operations. CKP requires that each key of a transformation input dataset have a partition location. But in single-iteration distributed operations, input datasets are usually attained from a distributed file system (e.g., HDFS [13]), where data locations are not organized by their key partitions. An alternative solution is to modify the mechanism of distributed file systems on choosing the

partitions of data blocks when storing data to a file. If a data entry is able to declare the preferred location as its key partition, and if the distributed file system writes the entry to its preferred location, the key partitions of the dataset sustain and CKP can be applicable.

Second, CKP is not applicable when intermediate transformation data change their partition location in iterative distributed operations. CKP assumes that data would sustain the partition location during transformation, which should be a local processing of data in each node without cross-node data traffic. This assumption is violated when performing iterative distributed operations in distributed paradigms that relocate data during transformation. For example, if we use MapReduce [12] to implement a iterative distributed operation, output data of the reduce phase are stored into HDFS, where the data may be transferred to other nodes for persistence due to its internal mechanism. A solution to this problem to use iterative distributed paradigms, such as Twister [19] and Hadoop [17], that retain intermediate transformation data in local nodes.

The third circumstance is that programmers fail to define the key dependency or the maximum dependency probability of the key dependency set is small and trivial in all iterations. The reason of the former case is either that the programmers do not have enough information on the logic and input data of the distributed application to deduce the key dependency, or that the key dependency is not clear by the nature of the distributed application. A solution to the former case relies on programmers to discover key dependencies with large dependency probability, usually in transform operations that reduce the dimensions of key tuples. An example is the mapping from key  $(userId, itemId)$  to  $(userId)$  in the MovieLensALS algorithm. The latter case happens when input keys are diversely mapped to lots of mapped keys during transformation. All dependency probabilities are usually small, and the shuffle size improvement of CKP is limited in this case.

Besides, to apply CKP, all a programmer need to manually do is to provide a key dependency by the procedure in Section 3.2, and the rest is automated by the ConfluencePartitioner interface introduced later in Section 5. After the algorithm of an application is changed, programmers need to manually update the key dependency being applied accordingly. Even if the key dependency was outdated, it only affects the shuffle size reduction, as discussed in Section 3.4.

## 5 IMPLEMENTATION

This section introduces implementation details of tying up partitions with executors to facilitate CKP and automating CKP in distributed frameworks.

### 5.1 Tying Partition and Executor in Spark

In the implementation of Spark, the partition is only a logical location where the task executes the dataset, while the logical locations of tasks are not tied up with the actual (physical) positions of the executors. In other words, the tasks working on the same partition are not guaranteed to be assigned to the same executor (or the same computer



TABLE 3  
Key Mapping Functions of ConfluencePartitioner in Different Iterative Distributed Operations

Distributed Operations	Key Dependency	Key Mapping Function
MatrixMultiplication	$(i, j, p) \Rightarrow_1 (i, j)$	$(a: \text{Any}) \Rightarrow a \text{ match } \{ \text{case } (\_, \_) \Rightarrow (a\_1, a\_2) \}$
MovieLensALS	$(userId, itemId) \Rightarrow_1 userId$	$(a: \text{Any}) \Rightarrow a \text{ match } \{ \text{case } (\_, \_) \Rightarrow a\_1 \}$
GoogleAlbum	$(uid, (uid, aid)) \Rightarrow_1 uid$	$(a: \text{Any}) \Rightarrow a \text{ match } \{ \text{case } (\_, \_) \Rightarrow a\_1 \}$
PageRank	$url \Rightarrow_1 url$	$(a: \text{Any}) \Rightarrow a \text{ match } \{ \text{case } \_ \Rightarrow a \}$
MultiAdjacentList	$(list, tail) \Rightarrow_{0.5} list$	$(a: \text{Any}) \Rightarrow a \text{ match } \{ \text{case } s: \text{String} \Rightarrow \{s.split(" ")(0)\} \}$
KMeans	$point \Rightarrow_1 centroid_1(point)$	$(a: \text{Any}) \Rightarrow a \text{ match } \{ \text{case } \_ \Rightarrow \text{closestPoint}(a, \text{centroids}_1)^1 \}$

<sup>1</sup>  $centroid_1(point)$  stands for the index of the centroid point that the  $point$  is grouped to in the first iteration.  $\text{closestPoint}(a, \text{centroids}_1)$  is the function which returns the cluster of point “a”, that is, the index of the point in “centroids<sub>1</sub>” that is in the shortest Euclidean distance with “a”. The “centroids<sub>1</sub>” are the cluster centroids of the first iteration.

node) across different iterations, where data shuffling is still needed.

To amend the mismatch of the logical partition and the actual position of the executor, we implement the new feature in Spark to allow the tying of the task partitions and the executors. The tying can be done in a hash manner. In default settings of the task scheduler of Spark, when an executor becomes free, the task scheduler selects a task with whichever partition from the head of task queue. The selected task will run in that executor. Now, if the partition-executor-tying feature is turned on, when the task scheduler selects a task for the free executor, it selects one whose task partition hash code is the same as the hash code of the free executor. The hash manner tying ensures that tasks of the same partition will always be allocated to the same executor in different iterations. Finding the first task that hashes the executor from the task queue, the time complexity of scheduling each task is  $O(N)$ , where  $N$  is the number of the executors in a computing iteration.

The partition-executor-tying implementation will not introduce negative side effects into the system for the following three reasons: 1) The order to run pending tasks of the same iteration does not affect the completion time of each iteration; 2) In the hash manner, each executor is expected to run the same number of tasks in each iteration; 3) The task scheduling overhead is often trivial and negligible, which is only linear to the number of executors.

## 5.2 Confluence Partitioner Interface

We provide an interface in Spark to allow programmers to apply CKP in a distributed application with as simple as one single line of code. To apply CKP, the user-definable partitioner offered by pervasive distributed frameworks allows programmers to implement specific partitioners for their distributed applications.

We make one step further by implementing a class named `ConfluencePartitioner`, which only requires programmers to provide the key mapping function of the key dependency selected for key partition binding. Now recall that in Section 2.3, the key mapping function  $f$  is a function of the input key  $k$  and returns the mapped key  $f(k)$  in a key dependency.

In `ConfluencePartitioner`, to calculate the partition of an input key-value entry in the shuffle operation, the key mapping function is applied to the input key, and the mapped key is returned. The mapped key is then hashed based on the number of partitions and the hash value decides the partition of this input entry. In this way, all input entries

```

1  /**** Original MovieLensALS ****/
2  val userPart = new HashPartitioner(16)
3  ratings.mapPartitions{...}
4      .groupByKey(userPart).mapValues{...}
5
6  /**** MovieLensALS applying CKP ****/
7  val userFunc = (a:Any) => {a match {case
8      (_,_) => a._1}}
9  val userPart = new
10     ConfluencePartitioner(16, userFunc)
11 ratings.mapPartitions{...}
12     .groupByKey(userPart).mapValues{...}
13
14 /**** Original KMeans ****/
15 while(notConverge && i < maxIter){
16     val closest = points.map(...)
17     centroids = closest.reduceByKey(...)...
18 }
19
20 /**** KMeans applying CKP ****/
21 while(notConverge && i < maxIter){
22     if (i == j){
23         val mapFunc = (a:Any) => {a match { case
24             _ => closestPoint(a, centroids)}}
25         val part = new ConfluencePartitioner(16,
26             mapFunc)
27         points = points.reduceByKey(part,
28             (x,y)=>1).cache()
29     }
30     val closest = points.map(...)
31     centroids = closest.reduceByKey(...)...
32 }

```

Fig. 3. Code Comparison after Using the `ConfluencePartitioner` Interface to Apply CKP in `MovieLensALS` and `KMeans`

whose mapped key is the same will be partitioned to the same node.

To apply CKP in a shuffle operation, programmers only need add a `ConfluencePartitioner` with a corresponding mapping function as the partitioner. The code looks like:

```

data.shuffleOp (new ConfluencePartitioner
    (numPartitions, mappingFunction));

```

, where “data” is often an RDD in Spark and “shuffleOp” is any shuffle function that repartitions distributed data, such as `reduceByKey` or `join`. Fig. 3 shows the code of using `ConfluencePartitioner` to apply CKP in `MovieLensALS` and `KMeans`. Only a single line of code is added in `MovieLensALS` to apply CKP. Line 7 defines the key mapping function from key  $(userId, itemId)$  to the first element of the tuple:  $userId$ . In `KMeans`, the key mapping function in Line 21

TABLE 4  
Benchmark Settings

Benchmark	Input Data	Runtime Setting
MatrixMultiplication	matrix type: $Z^{1000 \times 1000}$	Nil
MovieLensALS	21,622,187 ratings from 234,934 users on 29,584 movies	32 user blocks and 32 item blocks
MultiAdjacentList	25,000,000 vertexes with average in/out-degree being 2	4 iterations
KMeans	64,534,480 wikipedia page visit records	32 centers, 10 iterations

binds the partition of key  $a$ , which is a point, to its cluster centroid in a specific iteration  $j$ .

Table 3 lists the mapping functions of different distributed operations mentioned above in the Scala programming language. With ConfluencePartitioner, programmers can apply CKP without knowing details of implementing the self-defined partitioner or repeatedly writing different self-defined partitioners in different iterations.

## 6 EVALUATION

We conduct experiments on a physical testbed to compare the performance of CKP with the default RKP scheme in aspects of: the improvement on the shuffle size, the workload skewness of executors, the completion time and the scalability.

### 6.1 Testbed and Benchmarks

The testbed consists of 18 computer nodes of the Gideon-II cluster in HKU [25], where each node is equipped with 2 quad-core, 32 GB DDR3 memory and  $2 \times 300$  GB SAS hard disks running RAID-1. In the setting of the YARN cluster, one node takes the role of the name node of HDFS and one other acts as the resource manager of YARN. The remaining 16 nodes are configured as both HDFS data nodes and YARN node managers, and are connected to an internal non-blocking switch with GbE ports. Spark is deployed on top of the YARN cluster with 16 executor, where each executor runs with 8 GB memories.

Several benchmarks that are representative ones of their fields are used to evaluate the performance of CKP. Unless further specified, the input data sizes and the running setting of the benchmarks are listed in Table 4. CKP is compared with RKP as the baseline as RKP is the default and pervasive key partitioning scheme in distributed frameworks. Other key partitioning scheme are sometimes used to balance the workload of the executors for specific applications and datasets. These key partitioning schemes are for special purpose and we do not compare CKP with them here.

### 6.2 Metrics

We measure the shuffle size of each distributed computing iteration (or alternately, stage) of the benchmarks. The shuffle size of each iteration is the sum of the cross-node traffic size of the shuffle operation of all executors in that iteration. This metric depicts the performance of CKP in reducing the shuffle size. Besides, the standard deviation of input workloads of executors is measured as the metric of workload skewness in binding iterations. The completion time measure how CKP improves the job completion time

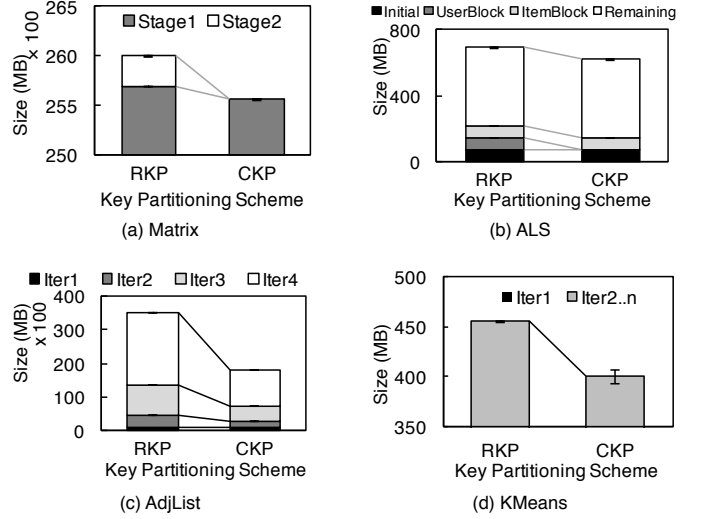


Fig. 4. The Shuffle Size and Standard Deviation of Workloads of Multiple Iterations (or Stages) in MatrixMultiplication (Matrix), MovieLensALS (ALS), MultiAdjacentList (AdjList) and KMeans Benchmarks

by shuffle size reduction. The scalability of CKP is measured by the overall shuffle size of all binding iterations, with different volumes of input data.

### 6.3 Shuffle Size Improvement

Results of the shuffle size and the workload skewness of each iteration (or stage) after applying CKP and RKP in all the benchmarks are shown in Fig. 4.

In MatrixMultiplication, CKP totally removes the shuffle size of stage 2, and the shuffle size of stage 1 remains close to that of RKP (Fig. 4(a)). Note that, regardless of the key partitioning scheme, the volume of data in stage 2 is small compared to stage 1 because data have been locally merged by the distributed framework to compress data in stage 2 [18].

The benefit of applying CKP on iterative distributed operations that reduce key dimensions can be read in Fig. 4(b). In MovieLensALS, after applying CKP, the shuffle size for generating the user block is 0, while the shuffle size for generating the item block remains almost the same as that of RKP. As a result, the CKP shuffle size for generating these two block is half of that of RKP. The other iterations do not apply key partition binding, and the overall shuffle size that CKP can reduce for this application is about 11%.

After applying CKP in MultiAdjacentList, the shuffle size of each iteration is decreased by half beginning from iteration 2 (Fig. 4(c)). The reason is that by binding partitions of key ( $list, tail$ ) to key  $list$ , only data with key ( $head, list$ ) need to be shuffled in each iteration, which is about 50% of the total data volume.

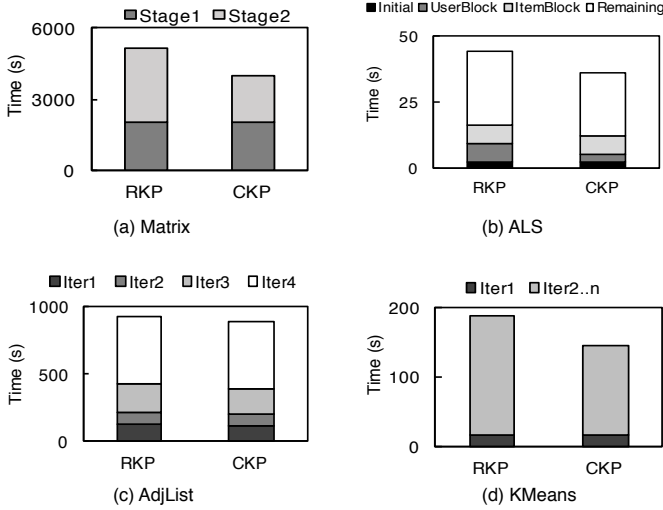


Fig. 5. Completion Time of Multiple Iterations in Different Benchmarks

In KMeans benchmark, points are bound to centroids they are grouped into in iteration 1. by partitioning the points into clusters they belong to in the first iteration, CKP decreases the overall shuffle size by 12% (Fig. 4(d)). This decrease in shuffle size is contributed by avoiding the repartition of the points that are stable to their clusters, although not all points are fixed to their clusters in every iteration. This shuffle size is influenced by the input dataset, initial centroids of clusters, and from which iteration the points are bound to the centroids. All these factors affect the dependency probability in the key dependency.

Note that the shuffle sizes of the other iterations that do not apply CKP remains almost unchanged, which means that CKP can reduce the shuffle size in the binding iterations without introducing extra workloads to the other iterations. How CKP can reduce the overall shuffle traffic depends on how large the volumes of data are in the binding iterations, compared to the overall data volume of all iterations. In MultiAdjacentList, the CKP can be applied to half of the iterations, by either appending nodes to the head or to the tail. While in MovieLensALS, CKP can only be applied to the iteration that arrange the user-item block, which is a relatively small portion of the overall application. Still, it indicates the potential of applying CKP in complicated iterative distributed applications.

## 6.4 Workload Skew

The workload skewness is indicated by the standard deviation bars in Fig. 4. As expected, the workload skewness (standard deviation of workloads) of the key partition binding stages of MatrixMultiplication (Fig. 4(a)), MovieLensALS (Fig. 4(b)) and MultiAdjacentList (Fig. 4(c)) are all close to 0. In the KMeans benchmark, the workload skewness of CKP is slightly larger than that in RKP (Fig. 4(d)). The reason is that the number of points belonging to each cluster varies, but the number of clusters (32) is not large enough compared to the computer nodes (16) to balance the workloads of the nodes. If the number of clusters is larger, the number of points bound to each cluster is smaller. As each cluster centroid is randomly partitioned, the workload

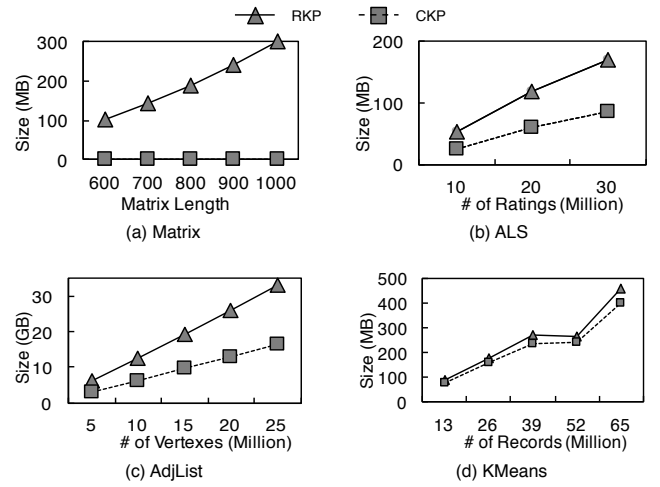


Fig. 6. Overall Shuffle Size of the Key Partition Binding Iterations in MatrixMultiplication (Matrix), MovieLensALS (ALS), MultiAdjacentList (AdjList) and KMeans Benchmarks with Different Input Size

skewness tends to be smaller. Still, the standard deviation of CKP (7 MB) is small compared to the mean shuffle size of the executors (25 MB).

## 6.5 Completion Time

We evaluate the completion time of each iteration to see how shuffle size reduction actually improves the performance of distributed applications. The result of different benchmarks is shown in Fig. 5. CKP reduces the overall job completion time by about 23%, 19%, 6% and 23%, respectively. In key partition binding iterations, the completion time reduction is even greater. For example, the completion time reduction of the second iteration in MatrixMultiplication is about 37% (Fig. 5(a)), and that of the UserBlock iteration in MovieLensALS is about 57% (Fig. 5(b)). We conclude that the overall completion time improvement depends on how large portion the completion time of binding iterations occupy.

Another observation is that how much the overall completion time is improved depends on whether the network performance for shuffling is the bottleneck for an iterative distributed operation. For example, in MultiAdjacentList, the shuffle size of every iteration is reduced by 50%, but the average completion time reduction of all iterations is only about 6%, resulting in about 6% overall completion time reduction. The reason is that this algorithm spends more time generating dozens of new lists from every shorter list, where CPU is the bottleneck most of the time and network is less a bottleneck.

## 6.6 Scalability

The overall shuffle sizes of iterations that apply key partition binding with different input sizes in different benchmarks are shown in Fig. 6. In MatrixMultiplication, the overall shuffle size of RKP increases linearly as the matrix length grows, while that of CKP is always 0. CKP can totally remove the shuffle size of stage 2 in MatrixMultiplication. In the other benchmarks, the shuffle sizes of CKP always

keep in a fixed (or stable) ratio to those of RKP, i.e., 50% in both MovieLensALS and MultiAdjacentList and around 88% in KMeans. The result shows that CKP scales well with different volumes of input data. The CKP decreases the shuffle size greatly (about 50%) in one iteration out of many iterations in the MovieLensALS application, and a little (about 12%) but in every iteration the KMeans application.

## 7 RELATED WORK

**Iterative distributed computing:** Some works [17], [18], [19], [29] improved distributed paradigms for iterative distributed operations by extending programming interfaces to support multiple transform and shuffle phases. The general idea was to cache the data of each iteration in memories instead of in disks to reduce I/O overheads. However, these works on distributed paradigms did not concern with the heavy network workload during shuffle operations. Inheriting the benefit of fast memory I/O rate in iterative distributed paradigms, CKP reduces shuffle sizes and alleviate network workloads by considering the key dependency in multiple iterations.

**Parallel&Distributed algorithms optimization:** Lots of work has been conducted on the optimization of parallel&distributed machine learning algorithms [30] and science computing [31], [32], including matrix multiplication methods [33], [34]. Generally, they focused on optimizing the algorithm itself by decomposing tasks to increase task parallelism or removing the synchronization boundary between I/O-intensive tasks and compute-intensive tasks. Sarma et al. [35] represented the number of data entries generated from the map input for a distributed application with a given parallelism factor as communication cost. To decrease the communication cost for a specific distributed application, one need redesign the logic of the program itself, operating with a proper parallelism factor. The term of communication cost is different from the shuffle size in this paper, where the communication cost is the transfer size of data between different reduce worker processes (which can be on the same node), while the shuffle size is traffic size between different computer nodes. An iterative distributed application can first be designed with the minimum communication cost for each iteration, if possible, and then apply CKP to reduce the shuffle size. ShuffleWatcher [36] scheduled the locality of map tasks and reduce tasks to decrease shuffle size for the single iteration of a MapReduce job, but it did not provide a solution for iterative distributed operations.

**Logic-Aware Shuffle Partitioning:** Some similar works [27], [28] also looked into the logic of programs and considered the data relation across iterations to avoid unnecessary shuffle network traffic. But the approach either lacked a precise model abstraction so that its applicable area was limited to aggregate-like operations [28], or was modeled on specific types of data exchange patterns [27]. The Confluence key dependency model is simple in form and can be applied to general iterative distributed operations. The dependency probability precisely predicts the effect of shuffle size reduction.

**Datacenter networking for shuffle:** In datacenters, various networking scheduling algorithms were proposed to

improve the shuffle throughput for different performance goals [15], [37], [38], [39]. CKP does not concern with the underlying network level. However, by decreasing shuffle workloads, shuffle operations applying CKP can work on these shuffle-optimized datacenter networks seamlessly and gain larger performance increase.

**Skew&Straggler:** Distributed computing paradigms like MapReduce adopted the data locality principle, either to place computing tasks close to locations of the processing data in priority to avoid data migration [12], [40], or to avoid an unbalanced allocation of workloads by considering compute skew problems [41], [42]. They concerned with the placing of map tasks whose required input data are self-contained. As the input data of each shuffle task are distributed across the cluster, such a task-to-data approach cannot help in shuffle tasks. CKP usually does not introduce new workloads skewness. Aaron et al. [43] addressed the problem of stragglers in iterative machine learning. In the case of successive key partition binding, as CKP randomly partitions mapped keys in every iteration whose next iteration does not apply key partition binding, the workload skewness always readjusts to balanced.

## 8 CONCLUSION AND FUTURE WORK

We have presented the Confluence Key Partitioning (CKP) scheme, the first work on reducing the shuffle size of iterative distributed operations based on the key dependency. The key dependency precisely captures the logic of iterative distributed operations and CKP partitions data across different iterations by using the technique of key partition binding. CKP greatly reduces the overall shuffle size by a predictable percentage while not introducing the workload skewness side effects for a variety of distributed applications in fields such as scientific computing, machine learning, and data analysis.

In the future, we will try to explore methods to automatically discover the key dependency of data among different iterations. A potential feasible solution is data flow tracking. With a set of sample data, we can keep track of how data are flowing between nodes across different iterations, and thus find out the key dependency of the sample data. The challenge is that how to expand the key dependency of sample data to the whole dataset. Unrepresentative sample data affect the accuracy of the dependency probability. Luckily, as we have discussed, CKP survives the problem inaccurate dependency probability.

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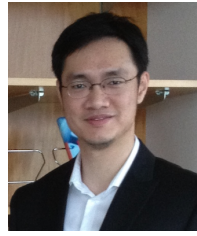
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