LinearPartition: Linear-Time Approximation of RNA Folding Partition Function and Base Pairing Probabilities

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+ beam pruning

RNA secondary structure prediction is widely used to understand RNA function. Recently, there has been a shift away from the classical minimum free energy (MFE) methods to partition function-based methods that account for folding ensembles and can therefore estimate structure and base pair probabilities. However, the classical partition function algorithm scales cubically with sequence length, and is therefore a slow calculation for long sequences. This slowness is even more severe than cubic-time MFE-based methods due to a larger constant factor in runtime. Inspired by the success of our recently proposed LinearFold algorithm that computes the MFE structure in linear time, we propose a similar linear-time heuristic algorithm, LinearPartition, to approximate the partition function and base pairing probabilities. LinearPartition is $256 \times$ faster than Vienna RNAfold for a sequence with length 15,780, and 2,771× faster than CONTRAfold for a sequence with length 32,753 (2.5 days vs. 1.3 minutes). Interestingly, although LinearPartition is approximate, the resulting base pairing probabilities are better correlated with the ground truth structures and lead to a small accuracy improvement on families with the longest length sequences (16S and 23S rRNA) when used for downstream structure prediction, as well as a substantial improvement on long-distance base pairs (500+ nt apart).

1. Introduction

RNAs are involved in multiple processes, such as catalyzing reactions or guiding RNA modifications ¹⁻³, and their functionalities are highly related to structures. However, structure determination techniques, such as X-ray crystallography 4 or Nuclear Magnetic Resonance (NMR)⁵, and cryo-electron microscopy⁶, though reliable and accurate, are extremely slow and costly. Therefore, fast and accurate computational prediction of RNA structure is useful and desired. Considering full RNA structure prediction is challenging⁷, many studies focus on predicting secondary structure, the set of canonical base pairs in the structure (A-U, G-C, G-U base pairs)⁸, as it is well-defined, and provides detailed information to help understand the structurefunction relationship. The secondary structure additionally is a basis to predict full tertiary structure ^{9,10}.

RNA secondary structure prediction is NP-complete 18, but nested (i.e., pseudoknot-free) secondary structures can be predicted with cubic-time dynamic programming algorithms. Commonly, the minimum free energy (MFE) structure is predicted 11,19. At equilibrium, the MFE structure is the most populated structure, but it is a simplification because multiple conformations exist as an equilibrium ensemble for one RNA sequence ²⁰. For example, many mRNAs in vivo form a dynamic equilibrium and fold into a population of structures ^{21–24}; Figure 1A-B shows the example of Tebowned RNA which folds into more than one structure at equilibrium. In this case, the prediction of one single structure, such as the MFE structure, is not expressive enough to capture multiple states of RNA sequences at equilibrium.

Alternatively, we can compute the partition function, which is the sum of the equilibrium constants for all possible secondary structures, and is the normalization term for calculating the probability of a secondary structure in the Boltzmann ensemble. The partition function

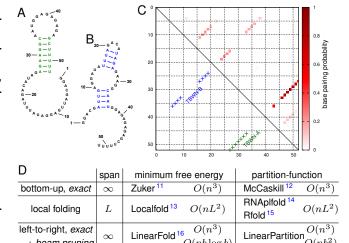


Fig. 1. An RNA can fold into multiple structures at equilibrium. A-B: Two such secondary structures of Tebowned RNA: TBWN-A and TBWN-B 17. C: upper triangle shows the estimated base pairing probability matrix for this RNA using Vienna RNAfold, where darker red squares represent higher probility base pairs; the lower triangle shows the two different structures; D: Comparison between classical, local, and left-to-right algorithms for MFE and partition function calculation. LinearFold and LinearPartition enjoy linear runtime thanks to left-to-right order that enables heuristic beam pruning, and both become exact $O(n^3)$ algorithms without pruning. "Span" denotes the maximum pair distance allowed (∞ means no limit); it is a small constant in local methods (e.g., default L=70 in RNAplfold).

 $O(nb \log b)$

calculation can also be used to calculate base pairing probabilities of each nucleotide i paired with each of possible nucleotides $i^{12,20}$ In Figure 1C, the upper triangle presents the base pairing probability matrix of Tebowned RNA using Vienna RNAfold, showing that base pairs in TBWN-A have higher probabilities (in darker red) than base pairs in TBWN-B (in lighter red). This is consistent with the experimental result, i.e., TBWN-A is the majority structure that accounts for $56 \pm 16\%$ of the ensemble, while TBWN-B takes up $27 \pm 12\%$ ¹⁷.

In addition to model multiple states at equilibrium, base pairing probabilities are used for downstream prediction methods, such as maximum expected accuracy (MEA)^{25,26}, to assemble a structure with improved accuracy compared with the MFE structure ²⁷. Other downstream prediction methods, such as ProbKnot²⁸, ThreshKnot²⁹ DotKnot³⁰ and IPknot³¹, use base pairing probabilities to predict pseudoknotted structures with heuristics, which is beyond the scope of standard cubic-time algorithms. Additionally, the partition function is the basis of stochastic sampling, in which structures are sampled with their probability of occurring in the Boltzmann ensemble ^{32,33}.

Author contributions: L.H. conceived the idea and directed the project. H.Z., L.H., and D.H.M. designed the algorithm; H.Z. implemented it. L.Z. wrote MEA & ThreshKnot code. D.H.M. guided the evaluation that H.Z. and L.Z. carried out, H.Z., L.H., and D.H.M. wrote the manuscript.

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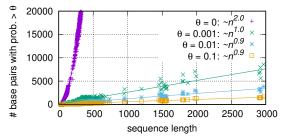


Fig. 2. Although the total number of possible base pairings scales $O(n^2)$ with the sequence length n (using the probability matrix from Vienna RNAfold as an example), with any reasonable threshold θ , the number of surviving pairings (in different colors with different θ) grows linearly, suggesting that our approximation, only computing O(n) pairings, is reasonable.

Therefore, there has been a shift from the classical MFE-based methods to partition function-based ones. These latter methods, as well as the prediction engines based on them, such as partition function-mode of RNAstructure ³⁴, Vienna RNAfold ³⁵, and CONTRAfold ²⁶, are all based on the seminal algorithm that McCaskill (1990) pioneered ¹². It employs a dynamic program to capture all possible (exponentially many) nested structures, but its $O(n^3)$ runtime still scales poorly for longer sequences. This slowness is even more severe than the $O(n^3)$ -time MFE-based ones due to a much larger constant factor. For instance, for *H. pylori* 23S rRNA (sequence length 2,968 nt), Vienna RNAfold's computation of the partition function and base pairing probabilities is $9\times$ slower than MFE (71 vs. 8 secs), and CONTRAfold is even $20\times$ slower (120 vs. 6 secs). The slowness prevents their applications to longer sequences.

To address this $O(n^3)$ -time bottleneck, we present LinearPartition, which is inspired by our recently proposed LinearFold algorithm ¹⁶ that approximates the MFE structure in linear time. Using the same idea, LinearPartition can approximate the partition function and base pairing probability matrix in linear time. Like LinearFold, LinearPartition scans the RNA sequence from 5'-to-3' using a left-to-right dynamic program that runs in $O(n^3)$ time, but unlike the classical bottom-up McCaskill algorithm ¹² with the same speed, our left-to-right scanning makes it possible to apply the beam pruning heuristic ³⁶ to achieve linear runtime in practice; see Fig 1D. Though the search is approximate, the well-designed heuristic makes sure the surviving structures capture the bulk of the free energy of the ensemble. It is important to note that, unlike local folding methods in Fig. 1D, our algorithm does *not* impose any limit on the base-pairing distance; in other words, it is a *global* partition function algorithm.

More interestingly, as Figure 2 shows, even with the $O(n^3)$ -time McCaskill algorithm, the resulting number of base pairings with reasonable probabilities (e.g., >0.001) grows only linearly with the sequence length. This suggests that our algorithm, which only computes O(n) pairings by design, is a reasonable approximation.

LinearPartition is $2,771 \times$ faster than CONTRAfold for the longest sequence $(32,753 \ nt)$ that CONTRAfold can run in the dataset $(2.5 \ days \ vs. \ 1.3 \ min.)$. Interestingly, LinearPartition is orders of magnitude faster *without* sacrificing accuracy. In fact, the resulting base pairing probabilities are even better correlated with ground truth structures, and when applied to downstream structure prediction tasks, they lead to a small accuracy improvement on longer families (small and large subunit rRNA), as well as a substantial accuracy improvement on long-distance base pairs (500 + nt) apart).

2. Results

A. LinearPartition Algorithm. We denote $\mathbf{x} = x_1...x_n$ as the input RNA sequence of length n, and $\mathcal{Y}(\mathbf{x})$ as the set of all possible

```
1: function LINEARPARTITION(\mathbf{x}, b)
                                                                      \triangleright b is the beam size
      n \leftarrow \text{length of } \mathbf{x}
                                            \triangleright hash table: from span [i, j] to Q_{i,j}
       Q \leftarrow \text{hash}()
      Q_{j,j-1} \leftarrow 1 \text{ for all } j \text{ in } 1...n
      for j = 1...n do
         for each i such that [i, j-1] in Q do
                                                                        \triangleright O(b) iterations
          Q_{i,j} \mathrel{+}= Q_{i,j-1} \cdot e^{-\frac{\delta(\mathbf{x},j)}{RT}}
 7:
                                                                                       ▷ SKIP
          if x_{i-1}x_i in {AU, UA, CG, GC, GU, UG} then
 8:
            for each k such that [k, i-2] in Q do \triangleright O(b) iterations
              Q_{k,j} += Q_{k,i-2} \cdot Q_{i,j-1} \cdot e^{-\frac{\xi(\mathbf{x},i-1,j)}{RT}}
10.
         BEAMPRUNE(Q, j, b)
11:
                                                                           ⊳ see Fig. SI 1
                                                \triangleright partition function Q(\mathbf{x}) = Q_{1,n}
       return Q
```

Fig. 3. Partition function calculation pseudocode of a simplified version of the LinearPartition algorithm (the inside phase). The base-pairing probabilities are computed with the combination of the outside phase (Fig. SI 2). The actual algorithm using the Turner model is in our **GitHub**.

secondary structures ${\bf y}$ of ${\bf x}$. The partition function $Q({\bf x})$ is then:

$$Q(\mathbf{x}) = \sum_{\mathbf{y} \in \mathcal{Y}(\mathbf{x})} e^{-\frac{\Delta G^{\circ}(\mathbf{y})}{RT}}$$
[1]

where $\Delta G^{\circ}(\mathbf{y})$ is the conformational Gibbs free energy change of structure \mathbf{y} , R is the universal gas constant and T is the thermodynamic temperature. $\Delta G^{\circ}(\mathbf{y})$ is calculated using loop-based Turner free-energy model ^{37,38}, but for presentation reasons, we use a revised Nussinov-Jacobson energy model, i.e., a free energy change of $\delta(\mathbf{x},j)$ for unpaired base at position j and a free energy change of $\xi(\mathbf{x},i,j)$ for base pair of (i,j). For example, we can assign $\delta(\mathbf{x},j)=1$ kcal/mol and $\xi(\mathbf{x},i,j)=-3$ kcal/mol for CG pairs and -2 kcal/mol for AU and GU pairs. Thus, $\Delta G^{\circ}(\mathbf{y})$ can be decomposed as:

$$\Delta G^{\circ}(\mathbf{y}) = \sum_{j \in \text{unpaired}(\mathbf{y})} \delta(\mathbf{x}, j) \ + \sum_{(i, j) \in \text{pairs}(\mathbf{y})} \xi(\mathbf{x}, i, j) \quad \ [2]$$

where unpaired(y) is the set of unpaired bases in y, and paired(y) is the set of base pairs in y. The partition function now decomposes as:

$$Q(\mathbf{x}) = \sum_{\mathbf{y} \in \mathcal{Y}(\mathbf{x})} \left(\prod_{j \in \text{unpaired}(\mathbf{y})} e^{-\frac{\delta(\mathbf{x}, j)}{RT}} \prod_{(i, j) \in \text{pairs}(\mathbf{y})} e^{-\frac{\xi(\mathbf{x}, i, j)}{RT}} \right)$$
[3]

We first define **span** [i,j] to represent the subsequence $x_i...x_j$ (thus [1,n] denotes the whole sequence \mathbf{x} , and for any j in 1..n, [j,j-1] denotes the empty span between x_{j-1} and x_j). We then define a **state** to be a span associated with its partition function:

$$[i,j]:Q_{i,j}$$

where $Q_{i,j}$ encompasses all possible substructures for span [i, j]:

$$Q_{i,j} = \sum_{\mathbf{y} \in \mathcal{Y}(x_i \dots x_j)} e^{-\frac{\Delta G^{\circ}(\mathbf{y})}{RT}}$$

which can be visualized as: $Q_{i,j}$

For simplicity of presentation, in the pseudocode in Fig. 3, Q is notated as a hash table, mapping from [i,j] to $Q_{i,j}$; see Supplementary Information Section A for details of its efficient implementation. As the base case, we set $Q_{j,j-1}$ to be 1 for all j, meaning all empty spans have partition function of 1 (line 4). Our algorithm then scans the sequence from left-to-right (i.e., from 5'-to-3'), and at each nucleotide x_j (j=1...n), we perform two actions, SKIP and POP:

• SKIP (line 8): We extend each span [i, j-1] in Q to [i, j] by adding an unpaired nucleotide $y_j =$ "." (in the dot-bracket notation) to the right of each substructure in $Q_{i,j-1}$, updating $Q_{i,j}$ as follows:

$$Q_{i,j} += Q_{i,j-1} \cdot e^{-\frac{\delta(\mathbf{x},j)}{RT}}$$

• POP (lines 9–10): If x_{i-1} and x_j are pairable, we combine span [i, j-1] in Q with each combinable "left" span [k, i-2] in Q and update the resulting span [k, j]'s partition function as follows:

$$Q_{k,j} += Q_{k,i-2} \cdot Q_{i,j-1} \cdot e^{-\frac{\xi(\mathbf{x},i-1,j)}{RT}}.$$

This means that every substructure in $Q_{i,j-1}$ can be combined with every substructure in $Q_{k,i-2}$ and a base pair (i-1,j) to form one possible substructure in $Q_{k,j}$:

$$Q_{k,i-2}$$
 $Q_{k,i-1}$ $Q_{k,i-1}$ $Q_{k,i-1}$ $Q_{k,i-1}$ $Q_{k,i-1}$ $Q_{k,i-1}$ $Q_{k,i-1}$ $Q_{k,i-1}$ $Q_{k,i-1}$ $Q_{k,i-1}$

Above we presented a simplified version of our left-to-right Linear Partition algorithm. We have three nested loops, one for j, one for i, and one for k, and each loop takes at most n iterations; therefore, the time complexity without beam pruning is $O(n^3)$, which is identical to the classical McCaskill Algorithm (see Fig. 1D). In fact, there is an alternative, bottom-up, interpretation of our left-to-right algorithm that resembles the Nussinov-style recursion of the classical McCaskill Algorithm:

$$Q_{k,j} = Q_{k,j-1} \cdot e^{-\frac{\delta(\mathbf{x},j)}{RT}} + \sum_{k < i \le j} Q_{k,i-2} \cdot Q_{i,j-1} \cdot e^{-\frac{\xi(\mathbf{x},i-1,j)}{RT}}$$

However, unlike the classical bottom-up McCaskill algorithm, our left-to-right dynamic programming, inspired by LinearFold, makes it possible to further apply the beam pruning heuristic to achieve linear runtime in practice. The main idea is, at each step j, among all possible spans [i,j] that ends at j (with i=1...j), we only keep the top b most promising candidates (ranked by their partition functions $Q_{i,j}$). where b is the beam size. With such beam pruning, we reduce the number of states from $O(n^2)$ to O(nb), and the runtime from $O(n^3)$ to $O(nb^2)$. For details of the efficient implementation and runtime analysis, please refer to Supplementary Information Section A. Note b is a user adjustable constant (b=100 by default).

B. Efficiency and Scalability. We present two versions of LinearPartition: *LinearPartition-V* using thermodynamic parameters ^{37–39} following Vienna RNAfold ³⁵, and *LinearPartition-C* using the machine learning-based parameters from CONTRAfold ²⁶. We use a Linux machine with 2.90GHz Intel i9-7920X CPU and 64G memory.

Figure 4 compares the efficiency and scalability between the two baselines, Vienna RNAfold and CONTRAfold, and our two versions, LinearPartition-V and LinearPartition-C. To make the comparison fair, we disable the downstream tasks (MEA prediction in CONTRAfold, and centroid prediction and visualization in Vienna RNAfold) which are by default enabled. Figure 4A shows that both LinearPartition-V and LinearPartition-C scale almost linearly with sequence length n. The runtime deviation from exact linearity is due to the relatively short sequence lengths in the ArchiveII dataset, which contains a

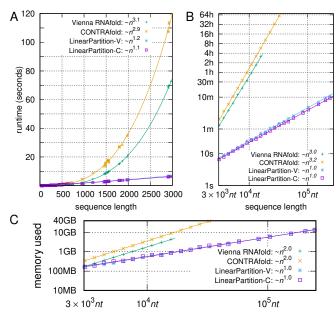


Fig. 4. Total runtime and memory usage of computing both the partition function and base pairing probabilities. **A**: Runtime comparisons on the Archivell dataset; the curve-fittings were log-log in gnuplot with $n>10^3$. **B**: Runtime comparisons on the RNAcentral dataset (log scale). The partition function computation takes about half of the total time shown here. **C**: Memory usage comparisons on the RNAcentral dataset (log scale).

set of sequences with well-determined structures 40 . Figure 4A also confirms that the baselines scale cubically and the $O(n^3)$ runtimes are substantially slower than LinearPartition on long sequences. For the *H. pylori* 23S rRNA sequence (2,968 nt, the longest in ArchiveII), both versions of LinearPartition take only 6 seconds, while Vienna RNAfold takes 73 seconds, and CONTRAfold almost 120 seconds.

We also notice that both Vienna RNAfold and CONTRAfold have limitations on even longer sequences. Vienna RNAfold scales the magnitude of the partition function using a constant estimated from the minimum free energy of the given sequence to avoid overflow, but overflows still occur on long sequences. For example, it overflows on the 19,071 nt sequence in the sampled RNAcentral dataset. CON-TRAfold stores the logarithm of the partition function to solve the overflow issue, but cannot run on sequences longer than 32,767 nt due to using unsigned short to index sequence positions. LinearPartition, like CONTRAfold, performs computations in the log-space, but can run on all sequences in the RNAcentral dataset. Figure 4B compares the runtime of four systems on a sampled subset of RNAcentral dataset, and shows that on longer sequences the runtime of LinearPartition is exactly linear. For the 15,780 nt sequence, the longest example shown for Vienna RNAfold, LinearPartition-V is 256× faster (more than 3 hours vs. 44.1 seconds). Note that Vienna RNAfold may not overflow on some longer sequences, where LinearPartition-V should enjoy an even more salient speedup. For the longest sequence that CONTRAfold can run (32,753 nt) in the dataset, LinearPartition is 2,771 × faster (2.5 days vs. 1.3 mins.) Even for the longest sequence in RNAcentral (Homo Sapiens Transcript NONHSAT168677.1 with length 244,296 nt⁴¹), both LinearPartition versions finish in \sim 10 minutes.

Figure 4C confirms that both Vienna RNAfold and CONTRAfold use $O(n^2)$ memory space, while LinearPartition uses O(n) space.

Now that we have established the speed of LinearPartition, we move on to the quality of its output. We first study the correlation with ground truth structures in Sec. C, and then use base pairing

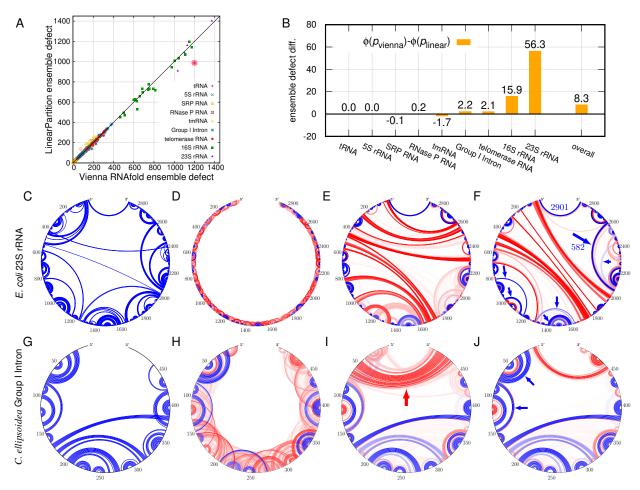


Fig. 5. A: Ensemble defect (expected number of incorrectly predicted nucleotides; lower is better) comparison between Vienna RNAfold and LinearPartition on the Archivell dataset. B: Ensemble defect difference for each family. LinearPartition has lower ensemble defects for longer families: on average 56.3 less incorrectly predicted nucleotides on 23S rRNA and 8.3 less over all families. C–F: An example of *E. coli* 23S rRNA (shaded point in A). C: Circular plot of the ground truth. D–F: Base pair probabilities from Vienna RNAplfold (with default window size 70), RNAfold and LinearPartition, respectively; Blue denotes pairs in the known structure and Red denotes predicted pairs not in the known structure. The darkness of the line indicates pairing probability, with the darkest lines close to a probability of 1. G–J: Circular plots of *C. ellipsoidea* Group I Intron. See Fig. 6 for another view of this example.

probabilities for downstream structure predictions in Sec. D.

C. Correlation with Ground Truth Structures. We use *ensemble defect* 42 (Fig. 5A–B) to represent the quality of the Boltzmann distribution. It is the expected number of incorrectly predicted nucleotides over the whole ensemble at equilibrium, and formally, for a sequence \mathbf{x} and its ground-truth structure \mathbf{y}^* , the ensemble defect is

$$\Phi(\mathbf{x}, \mathbf{y}^*) = \sum_{\mathbf{y} \in \mathcal{Y}(\mathbf{x})} p(\mathbf{y}) \cdot d(\mathbf{y}, \mathbf{y}^*)$$
 [4]

where $p(\mathbf{y}) = e^{-\frac{\Delta G^{\circ}(\mathbf{y})}{RT}}/Q(\mathbf{x})$ is the probability of structure \mathbf{y} in the ensemble $\mathcal{Y}(\mathbf{x})$, and $d(\mathbf{y}, \mathbf{y}^*)$ is the distance between \mathbf{y} and \mathbf{y}^* , defined as the number of incorrectly predicted nucleotides in \mathbf{y} :

$$d(\mathbf{y}, \mathbf{y}^*) = |\mathbf{x}| - |\text{pairs}(\mathbf{y}) \cap \text{pairs}(\mathbf{y}^*)| - |\text{unpaired}(\mathbf{y}) \cap \text{unpaired}(\mathbf{y}^*)|$$

The naïve calculation of Eq. 4 requires enumerating all possible structures in the ensemble, but by plugging $d(\mathbf{y}, \mathbf{y}^*)$ into Eq. 4 we have ⁴²

$$\Phi(\mathbf{x}, \mathbf{y}^*) = |\mathbf{x}| - 2 \sum_{(i,j) \in \text{pairs}(\mathbf{y}^*)} p_{i,j} - \sum_{j \in \text{unpaired}(\mathbf{y}^*)} q_j$$
 [5]

where $p_{i,j}$ is the probability of i pairing with j, i.e., $p_{i,j} = \sum_{(i,j) \in \text{pairs}(\mathbf{y})} p(\mathbf{y})$, and q_j is the probability of j being unpaired, i.e., $q_j = 1 - \sum p_{i,j}$. This means we can now use base pairing probabilities to compute the ensemble defect.

Figure 5A-B employs ensemble defect to measure the average number of incorrectly predicted nucleotides over the whole ensemble (lower is better). Vienna RNAfold and LinearPartition have similar ensemble defects for short sequences, but LinearPartition has lower ensemble defects for longer sequences, esp. 16S and 23S rRNAs; in other words, LinearPartition's ensemble has less expected number of incorrectly predicted nucleotides (or higher number of correctly predicted nucleotides). In particular, on 16S and 23S rRNAs, LinearPartition has on average 15.9 and 56.3 more correctly predicted nucleotides than Vienna RNAfold, and on average 8.3 more correctly predicted nucleotides over all families (Fig. 5B). Figs. SI3 show the relative ensemble defects (normalized by sequence lengths), where the same observations hold, and LinearPartition has on avearge 0.4% more correctly predicted nucleotides over all families. In both cases, the differences on tmRNA (worse) and Group I Intron (better) are statistically significant (p < 0.01).

This finding also implies that LinearPartition's base pairing probabilities are on average higher than Vienna RNAfold's for ground-truth

base pairs, and on average lower for incorrect base pairs. We use two concrete examples to illustrate this. First, we plot the ground truth structure of *E. coli* 23S rRNA (2,904 *nt*) in Figure 5C, and then plot the predicted base pairing probabilities from the local folding tool Vienna RNAplfold (with default window size 70), Vienna RNAfold, and LinearPartition in Figure 5D–F, respectively. We can see that local folding can only produce local pairing probabilities, while Vienna RNAfold misses most of the long-distance pairs from the ground truth (except the 5'-3' helix), and includes many incorrect long-distance pairings (shown in red). By contrast, LinearPartition successfully predicts many long-distance pairings that RNAfold misses, the longest being 582 *nt* apart (shown with arrows). Indeed, the ensemble defect of this example confirms that LinearPartition's ensemble distribution has on average 211.4 more correctly predicted nucleotides (over 2,904 *nt*, or 7.3%) than RNAfold's.

As the second example, we use C. ellipsoidea Group I Intron (504 nt). First, in Fig. 5G–J, we plot the circular plots in the same style as the previous example, where LinearPartition is substantially better in predicting 4 helices in the ground-truth structure: [17,24]–[72,79], [30,45]–[66,71], [44,48]–[54,58], and [80,83]–[148,151] (annotated with blue arrows). Next, in Figure 6A, we plot the base pairs (in triangle) and unpaired bases (in circle) with RNAfold probability on x-axis and LinearPartition probability on y-axis. We color the circles and triangles in blue where LinearPartition gives 0.2 higher probability than RNAfold (top left region), the opposite ones (bottom right region) in red, and the remainder (diagonal region, with probability changes less than 0.2) in green. Then in Figure 6B, we visualize the ground truth structure 43 and color the bases as in Figure 6A. We observe that the majority of bases are in green, indicating that RNAfold and LinearPartition agree with for a majority of the structure features. But the blue helices (near 5'-end and [80,83]-[148,151], see also Fig. 5J) indicate that LinearPartition favors these correct substructures by giving them higher probabilities than RNAfold. We also notice that all red features (where RNAfold does better than LinearPartition) are unpaired bases. This example shows that although LinearPartition gives different probabilities compared with RNAfold, it is likely that LinearPartition prediction structure is closer to the ground truth structure (which will be confirmed by downstream structure predictions in Section D). The ensemble defect of this example also confirms that LinearPartition has on average 47.1 more correctly predicted nucleotides (out of 504 nt, or 9.3%) than RNAfold.

Fig. 6C gives the statistics of this example. We can see the green triangles in Fig. 6A, which denote similar probabilities between Vienna RNAfold and LinearPartition, are the vast majority. The total number of blue triangles, for which LinearPartition gives higher base pairing probabilities, is 55, and among them 23 (41.8%) are in the ground truth structure. On the contrary, 56 triangles are in red, but none of these Vienna RNAfold prefered base pairs are correct. For unpaired bases, LinearPartition also gives higher probabilities to more ground truth unpaired bases: there are 40 blue circles, among which 37 (92.5%) are unpaired in the ground truth structure, while only 19 out of the 44 red circles (43.2%) are in the ground truth structure.

D. Accuracy of Downstream Structure Predictions. An important application of the partition function is to improve structure prediction accuracy (over MFE) using base pairing probabilities. Here we use two such "downstream prediction" methods, MEA 26 and ThreshKnot 29 which is a thresholded version of ProbKnot 28 , and compare their results using base pairing probabilities from $O(n^3)$ -time baselines and our O(n)-time LinearPartition. We use Positive Predictive Value (PPV, the fraction of predicted pairs in the known structure, a.k.a. precision) and sensitivity (the fraction of known pairs predicted,

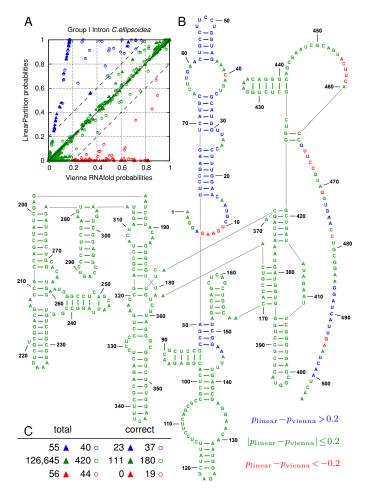


Fig. 6. A–C: An example of *C. ellipsoidea* Group I Intron. A: Solid triangles (\blacktriangle \blacktriangle \blacktriangle) stand for base pairing probabilities and unfilled circles (\circ \circ \circ) stand for single-stranded probabilities. blue: $p_{\rm linear} - p_{\rm vienna} > 0.2$; green: $|p_{\rm linear} - p_{\rm vienna}| \leq 0.2$; red: $p_{\rm linear} - p_{\rm vienna} < -0.2$; B: Ground truth structure colored with the above scheme; C: Statistics of this example. "total" columns are the total numbers of triangles and circles with different colors in A, while "correct" columns are the corresponding numbers in the ground-truth structure in B, which is better correlated with LinearPartition's probabilities than Vienna RNAfold's (23 blue pairs and 0 red pairs).

a.k.a. recall) as accuracy measurements for each family, and get overall accuracy be averaging over families. When scoring accuracy, we allow base pairs to differ by one nucleotide in position³⁷. We compare Vienna RNAfold and LinearPartition-V on the ArchiveII dataset in the main text, and provide the CONTRAfold vs. LinearPartition-C comparisons in the Supporting Information Figs. SI 4–SI 5.

Figure 7A shows that MEA predictions (Vienna RNAfold + MEA and LinearPartition + MEA) are more accurate than MFE ones (Vienna RNAfold MFE and LinearFold-V), but more importantly, LinearPartition + MEA consistently outperforms Vienna RNAfold + MEA in both PPV and sensitivity with the same γ , a hyperparameter that balances PPV and sensitivity in the MEA algorithm.

Figures 7B–C detail the per-family PPV and sensitivity, respectively, for MFE and MEA ($\gamma=1.5$) results from Figure 7A. LinearPartition + MEA has similar PPV and sensitivity as Vienna RNAfold + MEA on short families (tRNA, 5S rRNA and SRP), but interestingly, is more accurate on longer families, especially the two longest ones, 16S rRNA (+0.86 on PPV and +1.29 on sensitivity) and 23S rRNA (+0.88 on PPV and +0.62 on sensitivity).

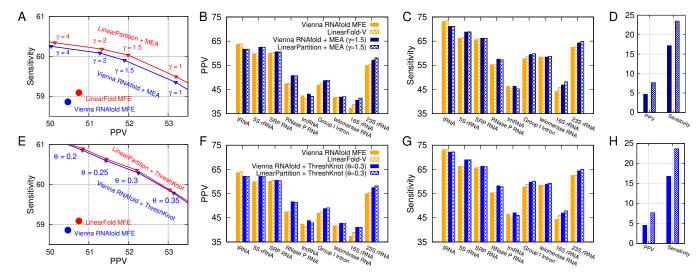


Fig. 7. Accuracy of downstream structure predictions (MEA and ThreshKnot) using base pairing probabilities from Vienna RNAfold and LinearPartition on the Archivell dataset. A: Overall PPV-Sensitivity tradeoff of MFE (single point) and MEA with varying γ (which can be tuned for higher sensitivity or PPV by adjusting γ). B and C: PPV and Sensitivity comparisons of MEA structures for each family. D: Accuracy comparison of long-distance base pairs (>500 nt apart) in the MEA structures. E–H: Same as A–D, but using ThreshKnot predictions instead of MEA. We conclude that MEA predictions based on LinearPartition-V are consistently better in both PPV and Sensitivity than those based on Vienna RNAfold for all γ 's, while ThreshKnot predictions based on those two are almost identical for all θ 's. LinearPartition-V is substantially better on long-distance base pairs in both MEA and ThreshKnot predictions.

ProbKnot is another downstream prediction method that is simpler and faster than MEA; it assembles base pairs with reciprocal highest pairing probabilities. Recently, we demonstrated ThreshKnot²⁹, a simple thresholded version of ProbKnot, leads to more accurate predictions that outperform MEA by filtering out unlikely pairs, i.e., those whose probabilities fall under a given threshold θ .

Shown in Fig. 7E, LinearPartition + ThreshKnot is almost identical in overall accuracy to Vienna RNAfold + ThreshKnot at all θ 's, and is slightly better than the latter on long families (+0.24 on PPV and +0.38 on sensitivity for Group I Intron, +0.12 and +0.37 for telomerase RNA, and +0.74 and +0.62 for 23S rRNA) (see Figs. 7F–G). We also performed a two-tailed permutation test to test the statistical significance, and observed that on tmRNA, both MEA and ThreshKnot structures of LinearPartition are significantly worse (p < 0.01) than their Vienna RNAfold-based counterparts in both PPV and Sensitivity.

Fig. 7D & H show that LinearPartition-based predictions are subtantially better than RNAfold's (in both PPV and sensitivity) for long-distance base pairs (those with 500+ nt apart), which are well known to be challenging for the current models. Fig. SI 6 details the accuracies on base pairs with different distance groups.

Figs. SI 4–SI 5 show similar comparisons between CONTRAfold and LinearPartition-C using MEA and ThreshKnot prediction, with similar results to Fig. 7, i.e., downstream structure prediction using LinearPartition-C is as accurate as using CONTRAfold, and (sometimes significantly) more accurate on longer families.

E. Approximation Quality at Default Beam Size. Linear Partition uses beam pruning to ensure linear runtime, thus is approximate compared with standard $O(n^3)$ -time algorithms. Below we investigate its approximation quality at the default beam size b=100.

First, in Fig. 8, we measure the approximation quality of the partition function calculation, and specifically, we measure the ensemble folding free energy change (also known as "free energy of the ensemble") which reflects the size of the partition function,

$$\Delta G_{\text{ensemble}}^{\circ}(\mathbf{x}) = -RT \log Q(\mathbf{x}).$$

Figure 8A shows that the LinearPartition estimate for the ensemble folding free energy change is close to the Vienna RNAfold estimate on the ArchiveII dataset and randomly generated RNA sequences. The similarity shows that little magnitude of the partition function is lost by the beam pruning. For short families, free energy of ensembles between LinearPartition and Vienna RNAfold are almost the same. For 16S and 23S rRNA sequences and long random sequences (longer than 900 nucleotides), LinearPartition gives a lower magnitude ensemble free energy change, but the difference, shown in Fig. 8B,

$$\Delta \Delta G_{\rm ensemble}^{\circ}(\mathbf{x}) = \Delta G_{\rm ensemble}^{\circ \ \rm vienna}(\mathbf{x}) - \Delta G_{\rm ensemble}^{\circ \ \rm linear}(\mathbf{x}) \, \geq 0$$

is smaller than 20 kcal/mol for 16S rRNA, 15 kcal/mol for 23S rRNA, and 37 kcal/mol for random sequences. The maximum difference for

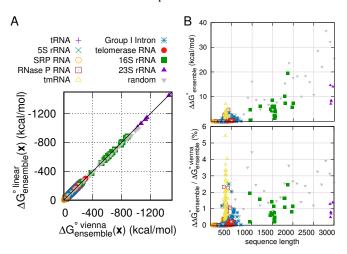


Fig. 8. Approximation quality of partition function on ArchiveII dataset and random sequences. **A**: The x and y axes are ensemble folding free energy changes $\Delta G_{\rm ensemble}^{\circ}(\mathbf{x})$ of Vienna RNAfold and LinearPartition, respectively. **B**: Difference of ensemble folding free energy change (top), $\Delta\Delta G_{\rm ensemble}^{\circ}(\mathbf{x})$, between RNAfold and LinearPartition. and the relative differences (bottom), $\Delta\Delta G_{\rm ensemble}^{\circ}(\mathbf{x})/\Delta G_{\rm ensemble}^{\circ}(\mathbf{x})$, in percentages.

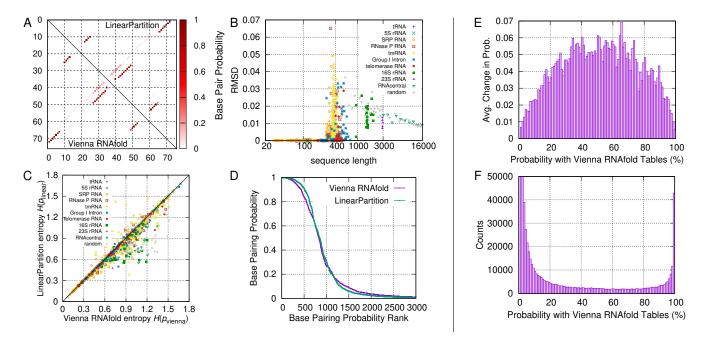


Fig. 9. Comparison of base pairing probabilities from Vienna RNAfold and LinearPartition. A: LinearPartition (upper triangle) and Vienna RNAfold (lower triangle) result in identical base pairing probability matrix for $E.\ coli\ tRNA^{Gly}$. B: The root-mean-square deviation, $_{RMSD}(p_{vienna}, p_{linear})$, is relatively small between LinearPartition and Vienna RNAfold; all tRNA and 5S rRNA sequences $_{RMSD}$ is close to 0 (e.g., $_{RMSD} < 10^{-5}$). C: Average positional structural entropy H(p) comparison; LinearPartition has noticeably lower entropy. D: LinearPartition starts higher and finishes lower than Vienna RNAfold in a sorted probability curve for $E.\ coli\ 23S\ rRNA$, suggesting lower entropy. E: Mean absolute value of change in base pairing probabilities between Vienna RNAfold and LinearPartition; these changes are averaged within every probability bin. F: Pair probability distribution of Vienna RNAfold. Note that the y-axis is limited to 50,000 counts, and the counts of first three bins (with probability smaller than 3%) are far beyond 50,000.

random sequence is bigger than natural sequences (by 17.2 kcal/mol). This likely reflects the fact that random sequences tend to fold less selectively to probable structures 44 , and the beam is therefore pruning structures in random that would contribute to the overall folding stability. Fig 8C shows the "relative" differences in ensemble free energy changes, $\Delta\Delta G_{\rm ensemble}^{\circ}(\mathbf{x})/\Delta G_{\rm ensemble}^{\circ}(\mathbf{x})$, are also very small: only up to 2.5% and 1.5% for 16S and 23S rRNAs, and up to 4.5% for random sequences.

Next, in Fig. 9, we measure the approximation quality of base pairing probabilities using root-mean-square deviation (RMSD) between two probability matrices p and p' over the set of all possible Watson-Crick and wobble pairs on a sequence \mathbf{x} . We define

$$\begin{aligned} \text{pairings}(\mathbf{x}) &= \{1 \leq i < j \leq |\mathbf{x}| \mid \\ &\mathbf{x}_i \mathbf{x}_j \in \{\text{CG, GC, AU, UA, GU, UG}\}, j-i > 3 \} \\ \text{and:} \\ \text{RMSD}(p, p') &= \sqrt{\frac{1}{|\text{pairings}(\mathbf{x})|} \sum_{(i,j) \in \text{pairings}(\mathbf{x})} (p_{i,j} - p'_{i,j})^2} \end{aligned}$$

Figures 9A and B confirm that our LinearPartition algorithm (with default beam size 100) can indeed approximate the base pairing probability matrix reasonably well. Figure 9A shows the heatmap of probability matrices for *E. coli* tRNA^{Gly}. Vienna RNAfold (lower triangle) and LinearPartition (upper triangle) yield identical matrices (i.e., RMSD = 0). Figure 9B shows that the RMSD of each sequence in ArchiveII and RNAcentral datasets, and randomly generated artificial RNA sequences, is relatively small. The highest deviation is 0.065 for *A. truei* RNase P RNA, which means on average each base pair's probability deviation in that worst-case sequence is about 0.065 between the cubic algorithm (Vienna RNAfold) and our linear-time

one (LinearPartition). On the longest 23S rRNA family, the RMSD is about 0.015. We notice that tmRNA is the family with biggest average RMSD. The random RNA sequences behave similarly to natural sequences in terms of RMSD, i.e., RMSD is close to 0 (RMSD $< 10^{-5}$) for short ones, then becomes bigger around length 500 and decreases after that, but for most cases their RMSD's are slightly larger than the natural sequences. This indicates that the approximation quality is relatively better for natural sequences. For RNAcentral-sampled sequences, RMSD's are all small and around 0.01.

We hypothesize that LinearPartition reduces the uncertainty of the output probability distributions because it filters out states with lower partition function. We measure this by using average positional structural entropy H(p), which is the average of positional structural entropy $H_2(i)$ for each nucleotide $i^{45,46}$:

$$H(p) = \frac{1}{n} \sum_{i=1}^{n} H_2(i) = \frac{1}{n} \sum_{i=1}^{n} (-\sum_{j=0}^{n} p_{i,j} \log_2 p_{i,j})$$
$$= -\frac{1}{n} \sum_{i=1}^{n} \sum_{j=0}^{n} p_{i,j} \log_2 p_{i,j}$$
 [6]

where p is the base pairing probability matrix, and $p_{i,0}$ is the probability of nucleotide i being unpaired (q_i in Eq. 4). The lower entropy indicates that the distribution is dominated by fewer base pairing probabilities. Figure 9C confirms LinearPartition distribution shifted to higher probabilities (lower average positional structural entropy) than Vienna RNAfold for most sequences.

Figure 9D uses *E. coli* 23S rRNA as an example to illustrate the difference in base pairing probabilities. We sort all these probabilities from high to low and take the top 3,000. The LinearPartition curve starts higher and finishes lower, confirming a lower entropy.

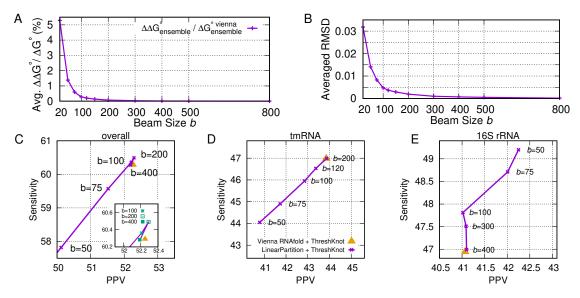


Fig. 10. Impact of beam size. A: Relative difference of ensemble folding free energy change, $\Delta\Delta G_{\rm ensemble}^{\circ}/\Delta G_{\rm ensemble}^{\circ}$, against beam size. B: RMSD against beam size. C: Overall PPV and Sensitivity with beam size. D–E: tmRNA and 16S rRNA PPV and Sensitivity against beam size, respectively. Note that the results of ThreshKnot using RNAfold (yellow triangles in C–E) are identical to ThreshKnot using the *exact* version of LinearPartition (with $b=\infty$).

Figures 9E and F follow a previous analysis method 47 to estimate the approximation quality with a different perspective. We devide the base pairing probabilities range [0,1] into 100 bins, i.e., the first bin is for base pairing probabilities [0,0.01), and the second is for [0.01, 0.02), so on so forth. In Figure 9E we visualize the averaged change of base pairing probabilities between Vienna RNAfold and LinearPartition for each bin. We can see that bigger probability changes are in the middle (bins with probability around 0.5), and smaller changes on the two sides (with probability close to either 0 or 1). In Figure 9F we illustrate the counts in each bin based on Vienna RNAfold base pairing probabilities. We can see that most base pairs have low probabilities (near 0) or very high probabilities (near 1). Combine Figures 9E and F together, we can see that probabilities of most base pairs are near 0 or 1, where the differences between Vienna RNAfold and LinearPartition are relatively small. Figure SI 7 provides the comparison of counts in each bin between Vienna RNAfold and LinearPartition-V. The count of LinearPartition-V in bin [99,100) is slightly higher than Vienna RNAfold, while the counts in bins near 0 (being capped at 50,000) are much less than Vienna RNAfold. This comparison also confirm that LinearPartition prunes out base pairs with probabilities close to 0.

F. Adjustable Beam Size. Beam size in LinearPartition is a user adjustable hyperparameter controlling beam prune, and it balances the approximation quality and runtime. A smaller beam size shortens runtime, but sacrifices approximation quality. With increasing beam size, LinearPartition gradually approaches the classical $O(n^3)$ -time algorithm and the output is finally identical to the latter when the beam size is ∞ (no pruning). Figure 10A shows the changes in approximation quality of the ensemble free energy change, $\Delta G_{\rm ensemble}^{\circ}(\mathbf{x})$, with $b=20 \rightarrow 800$. Even with a small beam size (b=20) the difference is only about 5%, which quickly shrinks to 0 as b increases. Figure 10B shows the changes in RMSD with changing b. With a small beam size b=20 the average RMSD is lower than 0.035 over all ArchiveII sequences, which shrinks to less than 0.005 at the default beam size (b=100), and almost 0 with b=500.

Beam size also has impact on PPV and Sensitivity. Figure 10C

gives the overall PPV and Sensitivity changes with beam size. We can see that both PPV and Sensitivity improve from b=50 to b=100, and then become stable beyond that. Figures 10D and E present this impact for two selected families. Figure 10D shows that tmRNA's PPV and Sensitivity both increase when enlarging beam size. Using beam size 200, LinearPartition achieves similar PPV and Sensitivity as Vienna RNAfold. However, increasing beam size is not benefical for all families. Figure 10E gives the counterexample of 16S rRNA. We can see both PPV and Sensitivity decrease with b from 50 to 100. After that, Sensitivity drops with no PPV improvement.

LinearFold uses k-best parsing 48 to reduce runtime from $O(nb^2)$ to $O(nb\log b)$ without losing accuracy. Basically, k-best parsing is to find the exact top-k (here k=b) states out of b^2 candidates in $O(b\log b)$ runtime by using a heap. If we applied k-best parsing here, LinearPartition would sum the partition function of only these top-b states instead of the partition function of b^2 states. This change would introduce a larger approximation error, especially when the differences of partition function between the top-b states and the following states near the pruning boundary are small. Therefore, in LinearPartition we do not use k-best parsing as in LinearFold, and the runtime is $O(nb^2)$ instead of $O(nb\log b)$.

Finally, it is worth noting that the default beam size b=100 follows LinearFold and is a simple round number; we do not tune it.

3. Discussion

- **A. Summary.** The classical McCaskill (1990) algorithm for partition function and base pairing probabilities calculations are widely used in many studies of RNA sequences, but its application has been impossible for long sequences (such as full length mRNA) due to its cubic runtime. To address this issue, we present LinearPartition, a linear-time algorithm that dramatically reduces the runtime without sacrificing output quality. We confirm that:
 - LinearPartition takes only linear runtime and memory usage, and is orders of magnitude faster on longer sequences. For example, it enjoys a 2,771× speedup (2.5 days vs. 1.3 min.)

over CONTRAfold on the longest sequence (32,753 *nt*) that CONTRAfold can run in the RNAcentral dataset (Figure 4).

- The base pairing probabilities produced by LinearPartition are better correlated with the ground truth structures on average. For instance, LinearPartition's ensemble distribution leads to 211.4 (7.3%) more correctly predicted nucleotides on *E. coli* 23S rRNA compared with Vienna RNAfold, and 47.1 (9.3%) more on *C. ellipsoidea* Group I Intron (Figures 5 and 6).
- 3. When used with downstream structure prediction methods such as MEA and ThreshKnot, LinearPartition's base pair probabilities have similar overall accuracy (or even a small improvement on MEA structures) compared with RNAfold, and slightly better accuracies on longer families. LinearPartition is also substantially better on long-distance base pairs (500+ nt apart) in both MEA and ThreshKnot predictions (Figure 7).
- 4. LinearPartition has a reasonable approximation quality. Although filtering out some structures, the ensemble free energy change of LinearPartition is either the same or only slightly smaller than Vienna RNAfold, e.g., the largest fraction of total free energy change is 2.5% in the ArchiveII dataset (Figure 8). Additionally, RMSD of base pairing probabilities between LinearPartition and Vienna RNAfold is small, e.g., the largest RMSD in the ArchiveII dataset is 0.065 (Figure 9).
- 5. With increasing beam size, LinearPartition gradually approaches the classical McCaskill algorithm; both the difference in ensemble free energy change and RMSD quickly shrink to 0 (Fig. 10).
- **B. Extensions.** Our algorithm has several potential extensions.
 - 1. Bimolecular and multistrand base pairing probabilities and accessibility could be accelerated and improved. Many ncRNAs function by interacting with other RNA sequences by base pairing. Existing methods and tools for calculating two-strand (bimolecular) or multi-strand folding partition functions and base pairing probability matrices ^{49–52} suffer from slowness, limiting the accessibility evaluation for long sequences. LinearPartition will provide a much faster solution for these problems, and will have immediate impact on the ability to predict bimolecular or multi-strand structures and also providing additional structure information (both intra- and inter-molecular pairs) to users.
 - 2. We will linearize the partition function-based heuristic methods for pseudoknot prediction such as IPknot and Dotknot by replacing their bottleneck $O(n^3)$ -time calculation of the base pairing probabilities with our LinearPartition. These heuristic methods use rather simple criteria to choose pairs from the base pairing probability matrix. For example, IPknot selects base pairs by solving an Integer Linear Program (ILP) to maximize the total probabilities of chosen pairs with well-designed constrains. Actually the first step of computing base pairing probabilities takes the vast majority of total computation time; e.g., on 23S rRNAs it accounts for 99.3% of total time. With LinearPartition we can overcome the costly $O(n^3)$ -time calculation of the base pairing probability matrix and get an overall much faster tool. With the promising results of LinearPartition, we believe LinearPartitionpowered IPknot (or DotKnot) might be as accurate as, if not more accurate than, their original $O(n^3)$ versions.
 - 3. We can also speed up stochastic sampling of RNA secondary structures from Boltzmann distribution. The standard stochastic sampling algorithm runs in worst-case $O(n^2)$ time ³², but

relies on the classical $O(n^3)$ partition function calculation. With LinearPartition, we can apply stochastic sampling to full length sequences such as mRNAs, and compute their accessbility based on sampled structures.

Methods

Datasets. We use sequences from two datasets, ArchiveII and RNAcentral. The archiveII dataset (available in http://rna.urmc.rochester. edu/pub/archiveII.tar.gz) is a diverse set with 3,857 RNA sequences and their secondary structures. It is first curated in the 1990s to contain sequences with structures that were well-determined by comparative sequence analysis ³⁷ and updated later with additional structures ⁴⁰. We remove 957 sequences that appear both in the ArchiveII and the S-Processed datasets 53, because CONTRAfold uses S-Processed for training. We also remove all 11 Group II Intron sequences because there are so few instances of these that are available electronically. Additionally, we removed 30 sequences in the tmRNA family because the annotated structure for each of these sequences contains fewer than 4 pseudoknots, which suggests the structures are incomplete. These preprocessing steps lead to a subset of ArchiveII with 2,859 reliable secondary structure examples distributed in 9 families. See SI 1 for the statistics of the sequences we use in the ArchiveII dataset. Moreover, we randomly sampled 22 longer RNA sequences (without known structures) from RNAcentral (https://rnacentral.org/), with sequence lengths ranging from 3,048 nt to 244,296 nt. For the sampling, we evenly split the range from 3,000 to 244, 296 (the longest) into 24 bins by log-scale, and for each bin we randomly select a sequence (there are bins with no sequences).

To show the approximation quality on random RNA sequences, we generated 30 sequences with uniform distribution over {A, C, G, U}. The lengths of these sequences are spaced in 100 nucleotide intervals from 100 to 3,000.

Baseline Software. We use two baseline software packages: (1) Vienna RNAfold (Version 2.4.11) from https://www.tbi.univie.ac.at/RNA/download/sourcecode/2_4_x/ViennaRNA-2. 4.11.tar.gz and (2) CONTRAfold (Version 2.0.2) from http://contra.stanford.edu/. Vienna RNAfold is a widely-used RNA structure prediction package, while CONTRAfold is a successful machine learning-based RNA structure prediction system. Both provide partition function and base pairing probability calculations based on the classical cubic runtime algorithm. Our comparisons mainly focus on the systems with the same model, i.e., LinearPartition-V vs. Vienna RNAfold and LinearPartition-C vs. CONTRAfold. In this way the differences are based on algorithms themselves rather than models. We found a bug in CONTRAfold by comparing our results to CONTRAfold, which led to overcounting multiloops in the partition function calculation. We corrected the bug, and all experiments are based on this bug-fixed version of CONTRAfold.

Evaluation Metrics and Significance Test. Due to the uncertainty of basepair matches existing in comparative analysis and the fact that there is fluctuation in base pairing at equilibrium, we consider a base pair to be correctly predicted if it is also displaced by one nucleotide on a strand ³⁷. Generally, if a pair (i,j) is in the predicted structure, we consider it a correct prediction if one of (i,j), (i-1,j), (i+1,j), (i,j-1), (i,j+1) is in the ground truth structure.

We use Positive Predictive Value (PPV) and sensitivity as accuracy measurements. Formally, denote ${\bf y}$ as the predicted structure and ${\bf y}^*$ as the ground truth, we have:

$$\begin{aligned} \text{PPV} &= \frac{\#_{\text{TP}}}{\#_{\text{TP}} + \#_{\text{FP}}} = \frac{|\text{pairs}(\mathbf{y}) \cap \text{pairs}(\mathbf{y}^*)|}{|\text{pairs}(\mathbf{y})|} \\ \text{Sensitivity} &= \frac{\#_{\text{TP}}}{\#_{\text{TP}} + \#_{\text{FN}}} = \frac{|\text{pairs}(\mathbf{y}) \cap \text{pairs}(\mathbf{y}^*)|}{|\text{pairs}(\mathbf{y}^*)|} \end{aligned}$$

where $\#_{TP}$ is the number of true positives (correctly predicted pairs), $\#_{FP}$ is the number of false positives (wrong predicted pairs) and $\#_{FN}$ is the number of false negatives (missing ground truth pairs).

We test statistical significance using a paired, two-sided permutation test ⁵⁴. We follow the common practice, choosing 10,000 as the repetition number and $\alpha = 0.05$ as the significance threshold.

Curve Fitting. We determine the best exponent a for the scaling curve $O(n^a)$ for each data series in Figures 2 and 4. Specifically, we use f(x) = ax + b to fit the log-log plot of those series in Gnuplot; e.g., fitting $\log t_n = a \log n + b$, where t_n is the running time on a sequence of length n, so that $t_n = e^b n^a$. Gnuplot uses the nonlinear least-squares Marquardt-Levenberg algorithm.

Code availability

Our LinearPartition source code can be downloaded from https://github.com/LinearFold/LinearPartition.

Data availability

The data that support the findings of this study are available from the corresponding author upon request.

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Supporting Information

LinearPartition: Linear-Time Approximation of RNA Folding Partition Function and Base Pairing Probabilities

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A. Details of the Efficient Implementation

A.1 Data Structures

In the main text, for simplicity of presentation, Q is described as a hash from span [i,j] to $Q_{i,j}$, but in our actual implementation, to make sure the overall runtime is $O(nb^2)$, we implement Q as an array of n hashes, where each Q[j] is a hash mapping i to Q[j][i] which is conveniently notated as $Q_{i,j}$ in the main text. It is important to note that the first dimension j is the right boundary and the second dimension i is the left boundary of the span [i,j]. See the following table for a summary of notations and the corresponding actual implementations.

notations in this paper	actual Python implementation
$Q \leftarrow hash()$	Q = [defaultdict(float) for _ in range(n)]
$Q_{i,j}$	Q[j][i]
$\left[i,j ight]$ in Q	Q[j][i] i in Q[j]
for each i such that $[i, j]$ in Q	for i in Q[j]
delete $[i, j]$ from Q	del Q[j][i]

A.2 Complexity Analysis

In the partition function calculation (inside phase) in Fig. 3, the number of states is O(nb) because each Q[j] contains at most b states ($Q_{i,j}$'s) after pruning. Therefore the space complexity is O(nb). For time complexity, there are three nested loops, the first one (j) with n iterations, the second (i) and the third (k) loops both have O(b) iterations thanks to pruning, so the overall runtime is $O(nb^2)$.

A.3 Outside Partition Function and Base Pairing Probability Calculation

After we compute the partition functions $Q_{i,j}$ on each span [i,j] (known as the "inside partition function"), we also need to compute the complementary function $\widehat{Q}_{i,j}$ for each span known as the "outside partition function" in order to derive the base-pairing probabilities. Unlike the inside phase, this outside partition function is calculated from top down, with $\widehat{Q}_{1,n}=1$ as the base case.

$$\begin{split} \widehat{Q}_{i,j} &= \widehat{Q}_{i,j+1} \cdot e^{-\frac{\delta(\mathbf{x},j+1)}{RT}} \\ &+ \sum_{k < i} \widehat{Q}_{k,j+1} \cdot Q_{k,i-2} \cdot e^{-\frac{\xi(\mathbf{x},i-1,j+1)}{RT}} \\ &+ \sum_{k > j+1} \widehat{Q}_{i,k} \cdot Q_{j+2,k-1} \cdot e^{-\frac{\xi(\mathbf{x},j+1,k)}{RT}} \end{split}$$

Note that the second line is only possible when $x_{i-1}x_{j+1}$ can form a base pair (otherwise $e^{-\frac{\xi(\mathbf{x},i-1,j+1)}{RT}}=0$) and the third line has a constraint that $x_{j+1}x_k$ can form a base pair (otherwise $e^{-\frac{\xi(\mathbf{x},j+1,k)}{RT}}=0$).

For each (i, j) where $x_i x_j$ can form a base pair, we compute its pairing probability:

$$p_{i,j} = \sum_{k \leq i} \widehat{Q}_{k,j} \cdot Q_{k,i-1} \cdot e^{-\frac{\xi(\mathbf{x},i,j)}{RT}} \cdot Q_{i+1,j-1}$$

The whole "outside" computation takes $O(n^3)$ without pruning, but also $O(nb^2)$ with beam pruning. See Fig. SI2 for the pseudocode to compute the outside partition function and base pairing probabilities.

B. Supporting Tables and Figures

```
1: function BEAMPRUNE(Q, j, b)
       candidates \leftarrow hash()
2:
                                                                                             \triangleright hash table: from candidates i to score
       for each i such that [i, j] in Q do
3:
            candidates[i] \leftarrow Q_{1,i-1} \cdot Q_{i,j}
                                                                                       \triangleright like LinearFold, use Q_{1,i-1} as prefix score
4:
       candidates \leftarrow SelecttopB(candidates, b)
5:
                                                                                                          \triangleright select top-b states by score
       for each i such that [i, j] in Q do
6:
           if key i not in candidates then
7:
                delete [i, j] from Q

    prune low-scoring states

8:
```

Fig. SI 1. The BEAMPRUNE function from the Pseudocode of our main algorithm (Fig. 3).

```
1: function BASEPAIRINGPROBS(\mathbf{x}, Q)
                                                                                                                                                                       n \leftarrow \text{length of } \mathbf{x}
            \widehat{Q} \leftarrow \text{hash}()
                                                                                              \triangleright hash table: from span [i,j] to \widehat{Q}_{i,j}: outside partition function
           p \leftarrow \text{hash}()
                                                                                                           \triangleright hash table: from span [i, j] to p_{i,j}: base-pairing probs
            \widehat{Q}_{1,n} \leftarrow 1
 5:
                                                                                                                                                                                      ⊳ base case
            for j = n downto 1 do
                  for each i such that [i, j-1] in Q do
 7:
                         \widehat{Q}_{i,j-1} += \widehat{Q}_{i,j} \cdot e^{-\frac{\delta(\mathbf{x},j)}{RT}}
 8:
                                                                                                                                                                                             ▷ SKIP
                         if x_{i-1}x_j in {AU, UA, CG, GC, GU, UG} then
 9:
                               for each k such that [k, i-2] in Q do
10:
                                    \widehat{Q}_{k,i-2} += \widehat{Q}_{k,j} \cdot Q_{i,j-1} \cdot e^{-\frac{\xi(\mathbf{x},i-1,j)}{RT}}
\widehat{Q}_{i,j-1} += \widehat{Q}_{k,j} \cdot Q_{k,i-2} \cdot e^{-\frac{\xi(\mathbf{x},i-1,j)}{RT}}
p_{i-1,j} += \frac{\widehat{Q}_{k,j} \cdot Q_{k,i-2} \cdot e^{-\frac{\xi(\mathbf{x},i-1,j)}{RT}} \cdot Q_{i,j-1}}{Q_{1,n}}
                                                                                                                                                                                       ▷ POP: left
11:
                                                                                                                                                                                     ⊳ POP: right
12:

    b accumulate base pairing probs

13:
            return p
                                                                                                                 ⊳ return the (sparse) base-pairing probability matrix
14:
```

Fig. SI 2. Outside partition function and base pairing probabilities calculation for a simplified version of the LinearPartition. Q is the (inside) partition function calculated by the pseudocode in Fig. 3, and \widehat{Q} is the outside partition function. The actual algorithm using the Turner model is in our GitHub codebase.

	# of seqs		length		
Family	total	used	avg	max	min
tRNA	557	74	77.3	88	58
5S rRNA	1,283	1,125	118.8	135	102
SRP RNA	928	886	186.1	533	28
RNase P RNA	454	182	344.1	486	120
tmRNA	462	432	369.1	433	307
Group I Intron	98	96	424.9	736	210
Group II Intron	11	0	-	-	-
telomerase RNA	37	37	444.6	559	382
16S rRNA	22	22	1,547.9	1995	950
23S rRNA	5	5	2,927.4	2968	2904
Overall	3,846	2,859	221.1	2968	28

Table SI1. Statistics of the sequences in the Archivell dataset used in this work.

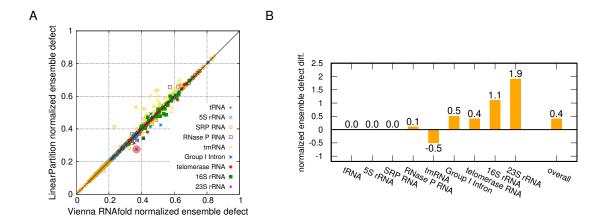


Fig. SI 3. The comparison of normalized ensemble defects (normalized by sequence length) on the ArchiveII dataset. A: Normalized ensemble defect between Vienna RNAfold and LinearPartition-V for each sequence; the trend is similar as Fig. 5A, but the deviations for tmRNAs are more apparent; the point with red shaded are the example in Fig. 6. B: Normalized ensemble defect difference for each family; for longer families, e.g., Group I Intron, telomerase RNA, 16S and 23S rRNA, LinearPartition has lower normalized ensemble defect differences; note that LinearPartition's normalized ensemble defects are significantly better than Vienna RNAfold on Group I Intron (p < 0.01), but significantly worse on tmRNA (p < 0.01).

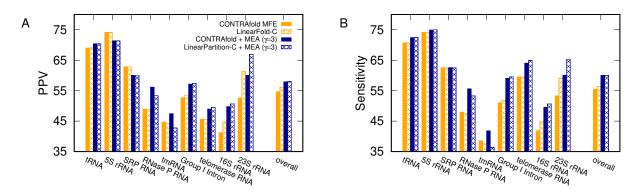


Fig. SI 4. Accuracy comparison of MEA structures ($\gamma=3$) between CONTRAfold and LinearPartition-C on the ArchiveII dataset. γ is the hyperparameter balances PPV and Sensitivity. Note that LinearPartition-C + MEA is significantly worse than CONTRAfold + MEA on two families in both PPV and Sensitivity, tmRNA and RNase P RNA (p<0.01).

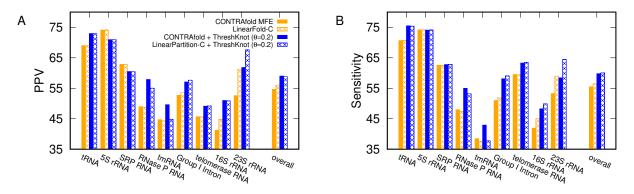


Fig. SI 5. Accuracy comparison of ThreshKnot structure ($\theta=0.2$) between CONTRAfold and LinearPartition-C on ArchiveII dataset. θ is the hyperparameter that balances PPV and Sensitivity. Note that LinearPartition-C + ThreshKnot is significantly worse than CONTRAfold + ThreshKnot on two families in both PPV and Sensitivity, tmRNA and RNase P RNA (p<0.01), and significantly better on three longer families in Sensitivity, Group I Intron (p<0.01), telomerase RNA and 16S rRNA ($0.01 \le p<0.05$).

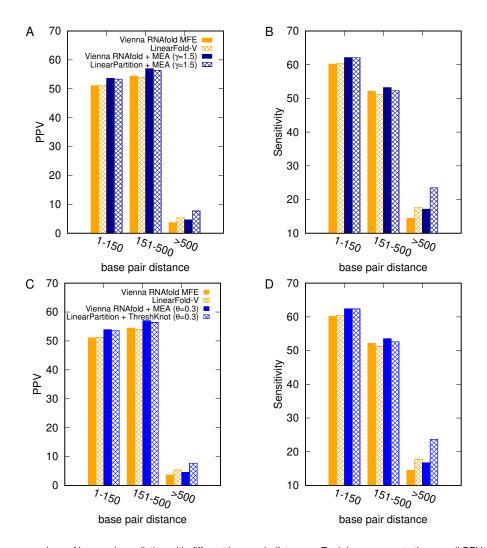


Fig. SI 6. Accuracy comparison of base pair prediction with different base pair distances. Each bar represents the overall PPV/sensitivity of all predicted base pairs in a certain length range across all sequences. LinearPartition performs best on long base pairs over four systems. **A** and **B**: Comparison using MEA structures. **C** and **D**: Comparison using ThreshKnot structures. In all cases, LinearPartition's base pair probabilities lead to substantially better accuracies on long-distance pairs (500+ *nt* apart).

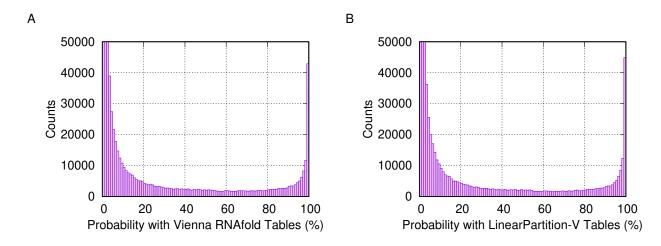


Fig. SI7. Pair probability distributions of Vienna RNAfold and LinearPartition-V are similar. A: Pair probability distribution of Vienna RNAfold; B: Pair probability distribution of LinearPartition-V. The count of LinearPartition-V in bin [99,100) is slightly bigger than Vienna RNAfold, while the count in bin [0,1) (cut here at 50,000) is much less than Vienna RNAfold (2,068,758 for LinearPartition-V and 48,382,357 for Vienna RNAfold).