

Hi,

Thanks for the introduction.

So, I'll be talking about  
accurate indirect occlusion.

# Authors



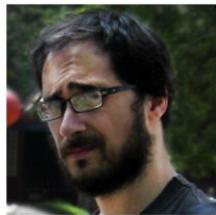
**Jorge Jimenez**  
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**Adrian Jarabo**  
Universidad de Zaragoza



First of all I'd like to show all the authors of this work,

Xianchun Wu, Angelo Pesce, Adrian Jarabo and me.

## Notice for Offline Reading

- Hidden slides in this presentation
- Slide show mode won't show them
- Don't miss the speaker notes, most slides have them

# More Details Online!

- Check out:
  - Technical report
  - Full slide deck online

## Practical Realtime Strategies for Accurate Indirect Occlusion

Jorge Jimenez<sup>1</sup> Xian-Chun Wu<sup>2</sup> Angelo Peral<sup>3</sup> Adrián Jarabo<sup>3</sup>  
Technical Mono ATIVI TR-01-01  
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Figure 1: A car scene rendered using our Ground-Truth Ambient Occlusion (GTAO). The scene on the right, shows comparison of rendering using GTAO and the scene on the left. While the scene on the right shows the ambient occlusion from our technique achieves high-quality ambient occlusion matching the ray-traced ground-truth, in just 0.3 ms on a PS4 at 1080p.

### Abstract

Ambient occlusion is ubiquitous in games and other real-time applications to approximate global illumination effects. However there is no analytic or geometric ambient occlusion integral for arbitrary scene geometry and materials. In practice often are empirically made to fit specific materials and scene geometries. In this work we introduce a new formulation of ambient occlusion, GTAO, which is based on a novel integral formulation that is well-motivated on current console hardware. This is done by using an analytic approximation of the ambient occlusion integral and an efficient implementation, which distributes computation using graphics hardware. We also introduce a novel temporal sampling technique that takes into account near-field global illumination, which is key for achieving high-quality ambient occlusion. Our technique for specular occlusion, GTAO, symmetric to ambient occlusion, is based on a novel integral formulation that is well-motivated on probe-based illumination. Our techniques are efficient, give results that are visually comparable to ray-traced ambient occlusion and have been integrated to recent AAA console titles.

low spatial resolution, thus computing ambient occlusion per pixel can reduce the overall appearance of indirect illumination. Unfortunately, this is not always the case, as ambient occlusion in certain scenarios (e.g., 1080p rendering at 60fps), as approximately 10% of the pixels are affected by the pair of rays, can hurt performance.

We introduce a new coarse-space technique for ambient occlusion, the Global-Temporal Ambient Occlusion (GTAO), and a good of the technique is to match ground truth ambient occlusion with ray-traced ambient occlusion in a wide range of applications such as modern console games. Our technique bases on the observation that the most important factor for the quality of the problem. This formulation allows us to reduce significantly the cost of ambient occlusion computation. We also introduce a novel ambient occlusion integral under the assumption that our scene is explicitly composed of probe-based illumination. We then solve the integral efficiently by using temporal reprojection and spatial filtering. To further reduce ambient occlusion computation in just 0.3 ms per frame, we use Sony PlayStation 4's game time limit (0.3 ms).

Based on this formulation, we extend our ambient occlusion solution to support arbitrary materials, including materials that are not approximated using ambient occlusion alone. On one hand, we introduce an approach to approximate the ambient occlusion integral to be accurate for near-field global illumination. This technique is based on the observation that the most important factor for the quality of the surface albedo and ambient occlusion terms, and the most expensive near-field illumination. Following this observation, we develop an analytic approximation and a corresponding integral formulation that is low when computing ambient occlusion alone.

Based on this formulation, we extend our ambient occlusion solution to support arbitrary materials, including materials that are not approximated for arbitrary specular materials, the we call probe-based ambient occlusion. This technique is based on the observation that the most important factor for the quality of the surface albedo and ambient occlusion terms, and the most expensive near-field illumination. Following this observation, we develop an analytic approximation and a corresponding integral formulation that is low when computing ambient occlusion alone.

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Due to time constraints, I'll try to keep the talk high level.

You will find all the details online in our technical report and the full slide deck, so we definitely recommend to check them out.

# Yet Another SSAO?

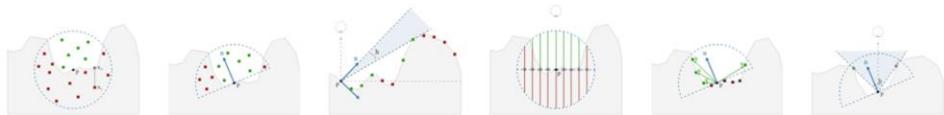
## A Comparative Study of Screen-Space Ambient Occlusion Methods

Student

Frederik Peter Aalund  
DTU

Supervisor

Andreas Bærentzen  
DTU



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# Motivation

$$L_o(\omega_o) = L_e(\omega_o) + \int_{\Omega} f_r(\omega_i, \omega_o) L_i(\omega_i) (n \cdot \omega_i) d\omega_i$$

- Physically based BRDF adoption crucial



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In the past few years, the adoption of physically-based BRDFs has been a crucial improvement to the consistency and realism of real-time rendering.

Large efforts have been made to improve this term of the rendering equation, marked in orange.

## Motivation

$$L_o(\omega_o) = L_e(\omega_o) + \int_{\Omega} f_r(\omega_i, \omega_o) L_i(\omega_i) (n \cdot \omega_i) d\omega_i$$

- Physically based BRDF adoption crucial
- Occlusion also a highly important ingredient
  - Diffuse
  - Specular
- Use of HDR+PBR makes specular occlusion even more important



And we have seen improvements in the accuracy of incoming radiance, in green, with the adoption of environment look up tables for image-based lighting.

However, its implicit visibility term, in blue, has received less attention.

We think that both diffuse and specular occlusion are very important ingredients of the rendering equation,  
and in this talk we will explore them in more detail.

Without them, no matter how accurate our BRDF and lighting models are, we are missing that component that makes objects stick to the ground.

That makes them feel part of a connected world, rather than individually composited objects.

# Motivation

$$L_o(\omega_o) = L_e(\omega_o) + \int_{\Omega} f_r(\omega_i, \omega_o) L_i(\omega_i) (n \cdot \omega_i) d\omega_i$$

- Physically based BRDF adoption crucial
- Occlusion also a highly important ingredient
  - Diffuse
  - Specular
- Use of HDR+PBR makes specular occlusion even more important
- Often hacked
  - Previous gen consoles required so
  - Can we use accurate solutions now?



In real-time rendering, we often hack or heavily approximate the occlusion,

This is understandable given the constraints of the previous generation of consoles.

But the question that we asked ourselves is: do we still need to do so?

Can we use accurate approaches in reasonable budgets, under the constraints of 60 frames per second?

Bear with me, and we will discover this out...

# Methodology

- Monte Carlo Ground Truth
  - Implemented critical parts twice to ensure correctness



3d ray tracer (3d geometry)



Screen-space ray marcher (height map)



Horizon-based numerical integrator



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The core of our methodology for both the diffuse and specular occlusion has been to constantly compare with Monte Carlo ground truth at each step we performed, to ensure the correctness of our techniques.

# Methodology

- Monte Carlo Ground Truth
  - Implemented critical parts twice to ensure correctness
- Analytical problem
  - Available data (engine)
  - Performance targets



3d ray tracer (3d geometry)



Screen-space ray marcher (height map)



Horizon-based numerical integrator



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We derived analytical solutions where possible,  
and from there...

# Methodology

- Monte Carlo Ground Truth
  - Implemented critical parts twice to ensure correctness
- Analytical problem
  - Available data (engine)
  - Performance targets
- Closed-form solution + Fitting residual



3d ray tracer (3d geometry)



Screen-space ray marcher (height map)



Horizon-based numerical integrator

...we found approximations for the residual error from the ground truth.

## Goals

- Achieve same performance as the fastest technique we had
  - MiniEngine SSAO: outstanding technique in terms of quality/performance
- Achieve high accuracy
- Make using accurate approaches a no brainer
- **Used in production under 60 fps constraints**
  - 0.5ms on PS4@1080p



The ultimate goal was to achieve better quality while staying in the same performance budget as previous techniques, making this solution a simple drop-in replacement.

For ambient occlusion, our budget was 0.5ms on the PS4 at 1080p.

Note that I will not cover optimizations during the talk, but you will find them on the online material.

MiniEngine SSAO:

<https://github.com/Microsoft/DirectX-Graphics-Samples/blob/master/MiniEngine/Core/Shaders/AoRenderCS.hlsl>

# Overview

- Ambient Occlusion (GTAO):  $V_d$  in this presentation
  - Uniform Weighting
  - Cosine Weighting
  - Multiple Bounces
- Specular Occlusion (GTSO):  $V_s$  in this presentation
  - Cone/Cone Intersection Method
  - Cone/Lobe Intersection Method

# GTAO

## Ground Truth-based Ambient Occlusion



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The first part of this talk will showcase a new screen-space ambient occlusion technique that we called GTAO.



I'd like to start by showing some in-engine renderings.

Here we can see an image without any indirect occlusion at all...

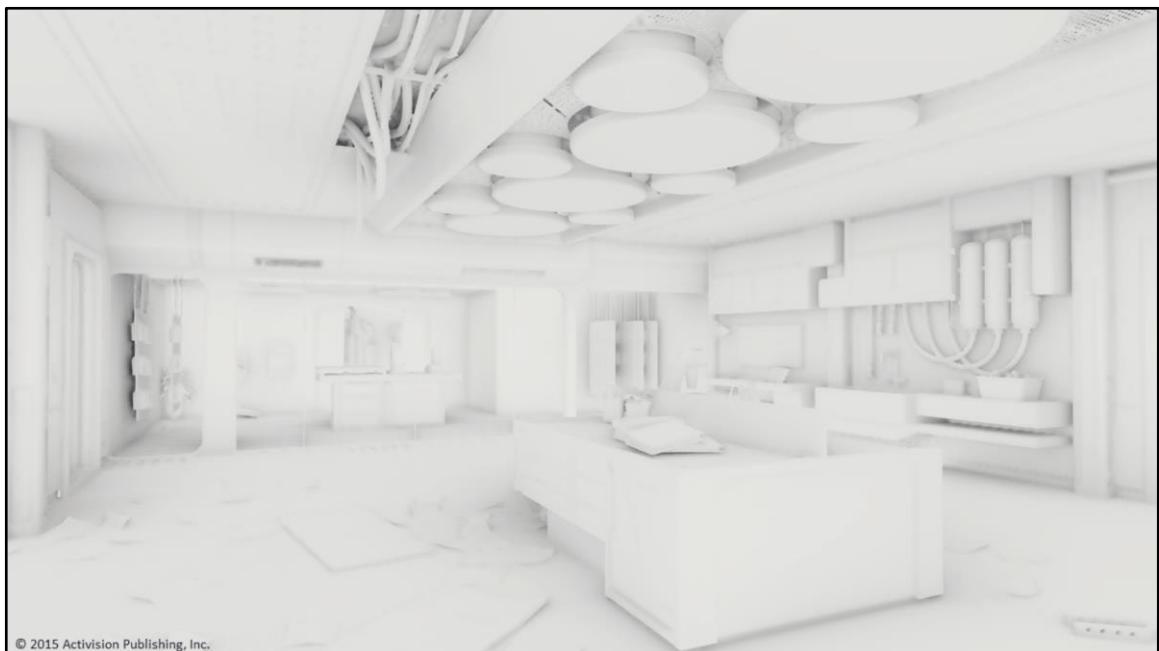


...and here with GTAO.

This image clearly shows the importance of having accurate occlusion.

A key observation is that with accurate occlusion, we can see subtle but important changes in lighting in some areas, for example near the wall corners...  
...but really strong changes in others, like the tubes on the ceiling.

Let's go back and forward a couple of times so that you can observe the differences again...



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Here you can see the ambient occlusion of this scene.



This is another shot, without ambient occlusion...



...and with GTAO.

Notice how our technique is not shy of darkening where it needs to be darkened.



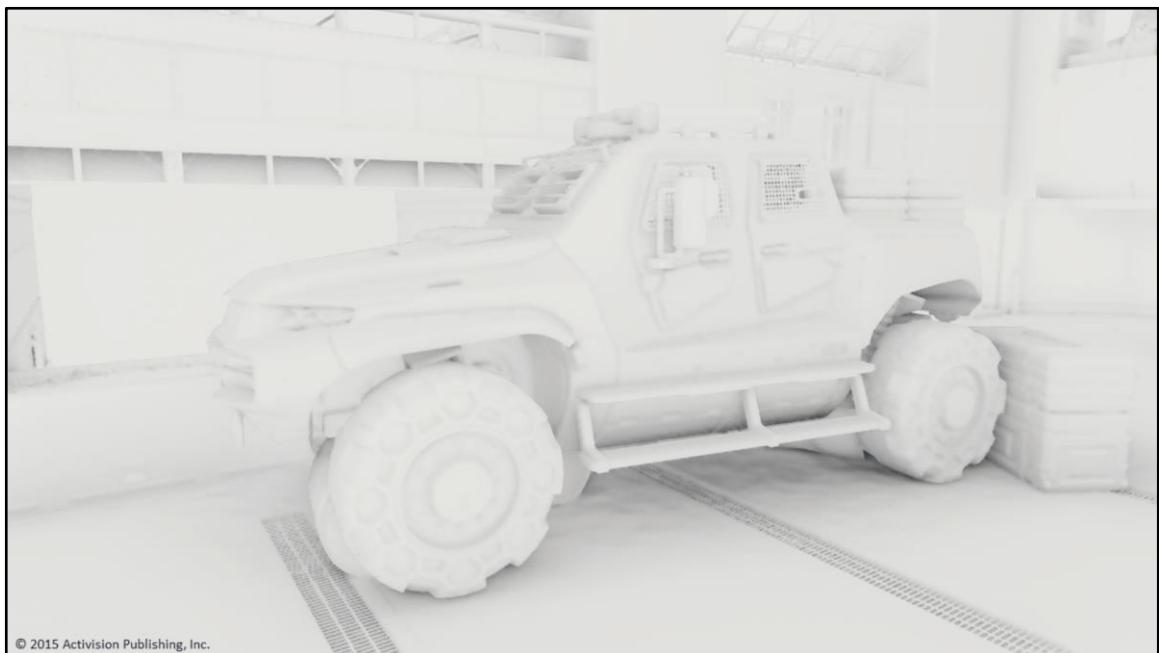
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And a final example without occlusion...



...and with GTAO



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## Towards Monte Carlo Ground Truth



HBAO  
[Bavoil2008]



Monte Carlo  
Ground Truth



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I'll start with a teaser of what will be presented.

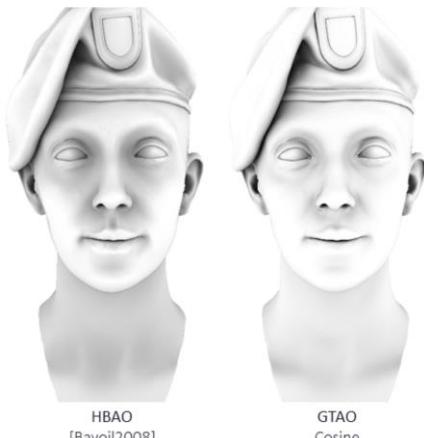
On the left, we have uniform weighting ambient occlusion, which is what we often use.

We will show our journey from this, towards achieving a close match to the occlusion in a Monte Carlo rendering with multiple light bounces, which is on the right.

Notice how different they look.

So, we want to go further than the classic occlusion equation, and attempt to match real lighting occlusion instead.

## Towards Monte Carlo Ground Truth



$$V_d = L_o(x, \omega_o) / \rho_d$$



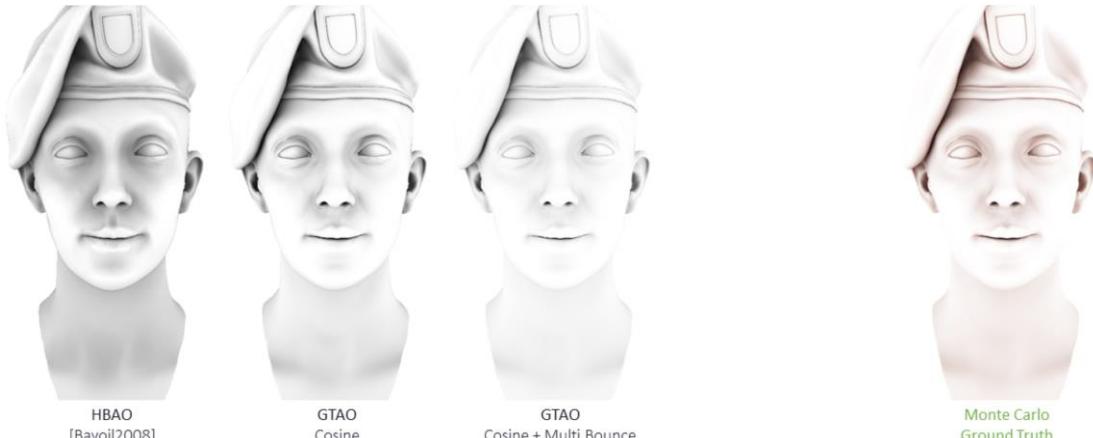
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We will show how to add the cosine term to horizon-based ambient occlusion...

## Towards Monte Carlo Ground Truth

$$V_d = L_o(x, \omega_o) / \rho_d$$



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...and we will show what happens when we consider more than a single bounce of light...

## Towards Monte Carlo Ground Truth

$$V_d = L_o(x, \omega_o) / \rho_d$$



HBAO  
[Bavoil2008]

GTAO  
Cosine

GTAO  
Cosine + Multi Bounce

GTAO  
Cosine + Colored Multi Bounce

Monte Carlo  
Ground Truth



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...and the extension for colored objects.

Here we can see that our final solution, marked in orange,  
is a close match for the Monte Carlo reference.

# What Is Ambient Occlusion?

$$L_o(\omega_o) = \underbrace{L_e(\omega_o)}_{\text{Emitted radiance}} + \int_{\Omega} \underbrace{f_r(\omega_i, \omega_o)}_{\text{BRDF}} \underbrace{L_i(\omega_i)(n \cdot \omega_i)}_{\text{Incoming Radiance}} d\omega_i$$



I'd like to start with the core or basics of our technique, and then show how we improved on that.

So for that, we need to define, what is ambient occlusion?

Let's start with the rendering equation, where you can see the emitted and incoming radiance and the BRDF.

If we assume there is no emission, and we use the Lambertian BRDF...

# What Is Ambient Occlusion?

$$L_o(\omega_o) = \mathbf{0} + \int_{\Omega} \frac{\rho_d}{\pi} L_i(\omega_i)(n \cdot \omega_i) d\omega_i$$

Incoming  
Radiance

Emitted  
radiance      BRDF



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...we get this.

If we then assume a constant white dome is illuminating the scene, and we only calculate a single bounce of light...

# What Is Ambient Occlusion?

$$L_o(\omega_o) = \int_{\Omega} \frac{\rho_d}{\pi} V(\omega_i) \mathbf{1}(n \cdot \omega_i) d\omega_i$$

White Dome  
|  
Visibility

$$V(\omega_i) = \begin{cases} 1 & \text{if } \omega_i \text{ hits the sky} \\ 0 & \text{if } \omega_i \text{ do not hit the sky} \end{cases}$$



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...we obtain this.

Notice a new visibility term appeared, that specifies if a ray hits the sky or not.

Then if we rearrange the terms...

# What Is Ambient Occlusion?

- Ambient occlusion is the ground truth lighting for the case of:
  - Lambertian surface
  - White dome (or uniform)
  - Single bounce of light

$$L_o(\omega_o) = \rho_d \frac{1}{\pi} \int_{\Omega} V(\omega_i)(n \cdot \omega_i) d\omega_i = \rho_d V_d$$

Ambient Occlusion



...we arrive to the classic definition of ambient occlusion.

So, we can say that the ambient occlusion multiplied by the albedo is the lighting for the case of:

a white dome,  
a single bounce of light and  
a Lambertian surface.

# How We Use Ambient Occlusion

$$L_o(\omega_o) = \rho_d V_d \underbrace{\int_{\Omega} L_i^{env}(\omega_i)(n \cdot \omega_i) d\omega_i}_{\text{Pre-convolved Probe}}$$

Ambient Occlusion



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In real scenes though we don't typically have uniform white lighting.

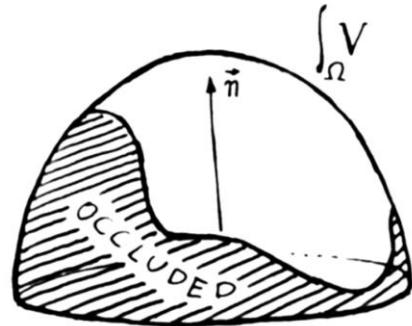
The typical approach to account for this is to just multiply the ambient occlusion by the pre-convolved probe,  
which will still be accurate for the case of a white dome, but not for any other scenario.

## Problem Statement

- Cosine-Weighted Ambient Occlusion:

$$V_d^{cosine} = \frac{1}{\pi} \int_{\Omega} V(\omega_i) (\mathbf{n} \cdot \mathbf{\omega}_i) d\omega_i$$

Cosine Term



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So, now that we have defined what is ambient occlusion, let's continue with the problem statement.

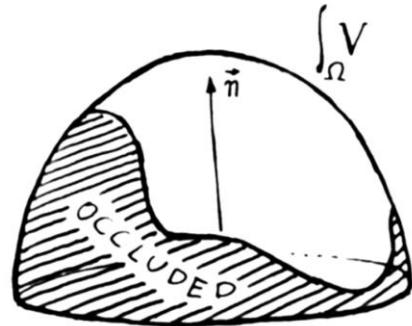
We want to find a solution to the ambient occlusion equation, which in simple terms is just the visible area of the hemisphere, weighted by a cosine term modelling the foreshortening.

Sometimes, ambient occlusion is solved with uniform weighting...

## Problem Statement

- Cosine-Weighted Ambient Occlusion:

$$V_d^{cosine} = \frac{1}{\pi} \int_{\Omega} V(\omega_i) (\mathbf{n} \cdot \mathbf{\omega}_i) d\omega_i$$



- Uniformly-Weighted Ambient Occlusion:

$$V_d^{uniform} = \frac{1}{2\pi} \int_{\Omega} V(\omega_i) d\omega_i$$



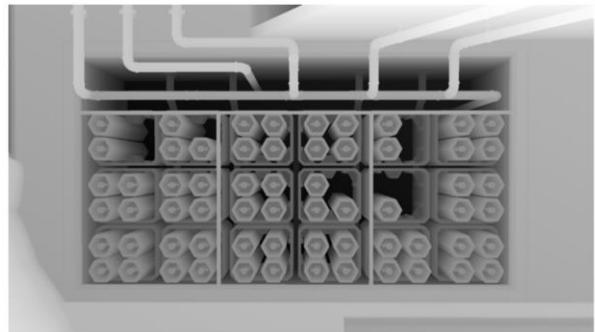
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...which unfortunately doesn't yield ground truth results for the assumptions we made, so we won't use it here.

# Input Data

- Visibility function
  - Depth buffer (height field)
- Surface normal
  - Normal buffer, or
  - Derived from depth buffer



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This is the input data that we have.

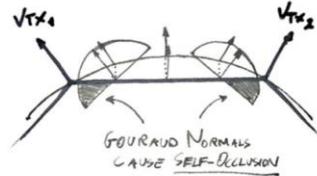
The surface normal, which can either come from a normal buffer or derived from the depth buffer.

And the visibility function, which in our case comes from a depth buffer given that we work in screen space.

This means that the scene is represented as an height field, and as we'll see later on, this is a very important observation.

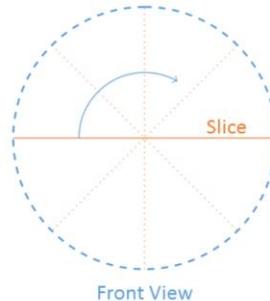
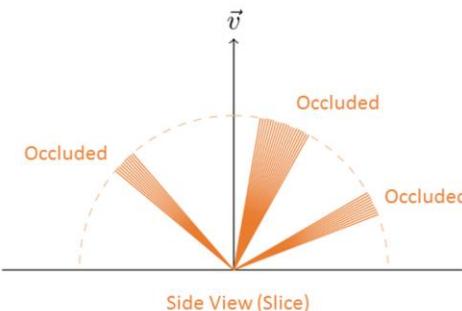
# Which Normals?

- Shading
  - Gouraud & Normal-Maps
- Geometric
  - Can be approximated via z-buffer differentials
- No perfect solution
  - Gouraud interpolation causes erroneous self-occlusion
    - Normals don't belong to the actual surface
  - Normal-Maps could be desirable
    - Often easier/best to bake their occlusion in the surface (not if tiling/compositing)
    - Be sure not to double-occlude (in the maps and in the SSAO)
  - Geometric normals can make the faceted nature of the mesh visible
    - As hemisphere orientation "snaps" sharply when moving from triangle to triangle
  - This problem affects Monte-Carlo path tracing as well



## Double Integral

$$V_d = \frac{1}{\pi} \int_{\Omega} V(\omega_i)(n \cdot \omega_i) d\omega_i = \frac{1}{\pi} \int_0^{\pi} \int_{-\pi/2}^{\pi/2} V(\theta, \phi)(n \cdot \omega_i) |\sin(\theta)| d\theta d\phi$$



We can calculate the ambient occlusion integral as a double integral in polar coordinates.

The inner integral integrates the visibility for a slice of the hemisphere, as you can see in the left,  
and the outer integral swipes this slice to cover the full hemisphere.

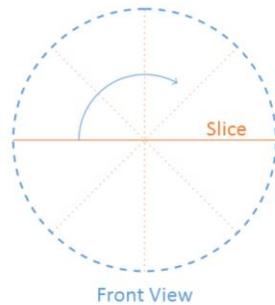
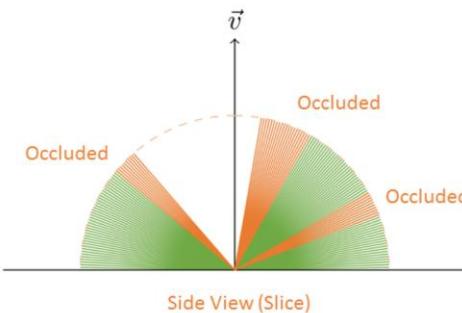
The simplest solution would be to just numerically solve both integrals.

But the solution we chosen, horizon-based ambient occlusion, which was introduced by Louis Bavoil in 2008,  
made the key observation that the occlusion as pictured here can't happen when working with height fields.

Using height-fields we would never be able to tell that the areas in...

## Horizon-Based Ambient Occlusion [Bavoil2008]

$$V_d = \frac{1}{\pi} \int_{\Omega} V(\omega_i)(n \cdot \omega_i) d\omega_i = \frac{1}{\pi} \int_0^{\pi} \int_{-\pi/2}^{\pi/2} V(\theta, \phi)(n \cdot \omega_i) |\sin(\theta)| d\theta d\phi$$



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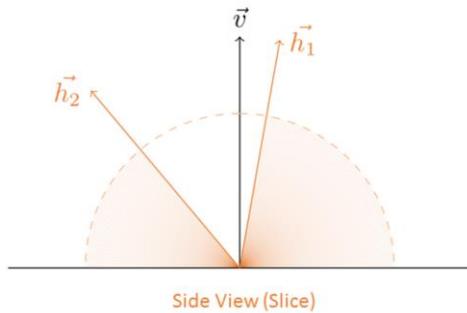
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...green here, are actually visible.

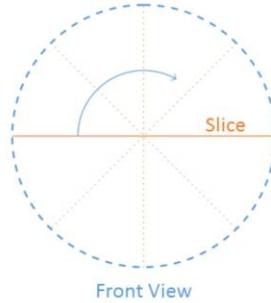
The key consequence of this, is that we can just search for the two horizons  $h_1$  and  $h_2$ ...

## Horizon-Based Ambient Occlusion [Bavoil2008]

$$V_d = \frac{1}{\pi} \int_{\Omega} V(\omega_i)(n \cdot \omega_i) d\omega_i = \frac{1}{\pi} \int_0^{\pi} \int_{-\pi/2}^{\pi/2} V(\theta, \phi)(n \cdot \omega_i) |\sin(\theta)| d\theta d\phi$$



Side View (Slice)



Front View



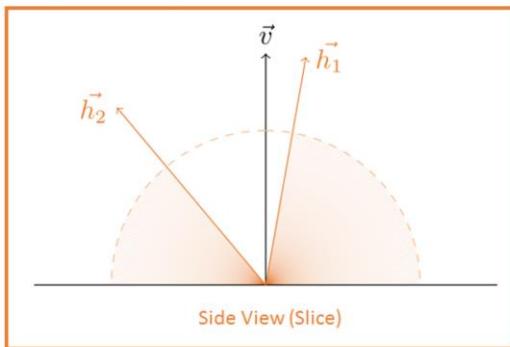
...and that captures all the visibility information that can be extracted from a height map,  
for a given slice.

So, with this information at hand, we no longer need to calculate both integrals numerically...

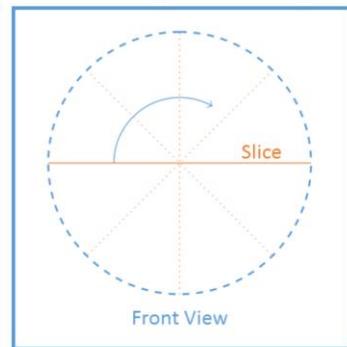
## Horizon-Based Ambient Occlusion [Bavoil2008]

$$V_d = \frac{1}{\pi} \int_{\Omega} V(\omega_i) (n \cdot \omega_i) d\omega_i = \frac{1}{\pi} \int_0^{\pi} \int_{-\pi/2}^{\pi/2} V(\theta, \phi) (n \cdot \omega_i) |\sin(\theta)| d\theta d\phi$$

Analytic solution per slice



Numerical integral on the longitude



...and can instead perform the inner integral, in orange, analytically,

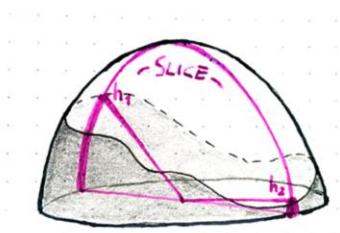
which is substantially faster.

The original horizon-based ambient occlusion technique used uniform weighting,

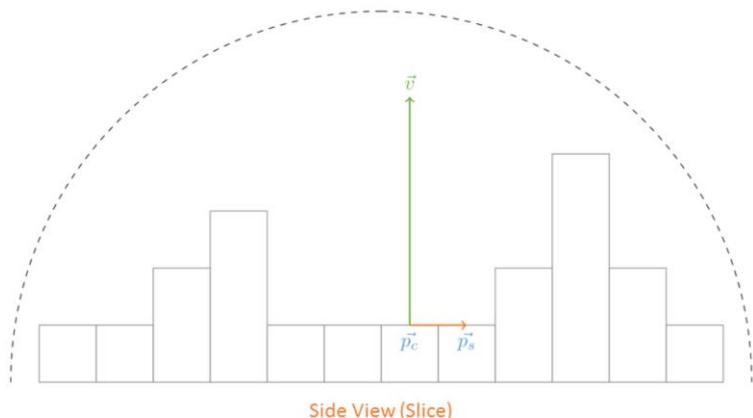
so this means that we need to figure out how to do this analytical integral for the cosine weighting case.

# Horizon-Based Ambient Occlusion [Bavoil2008]

- Discrete data → Not fully closed-form
- Depth buffer → Single visibility aperture
  - We only see the visibility by tracing the depth buffer
  - Depth buffer considered by SSAO algorithms to be a height field
- Horizon model [Bavoil2008] is the best we can do!
  - Compute the occluded angles of each longitudinal slice
  - Compute the AO integral in the unoccluded area



# Searching for Horizons



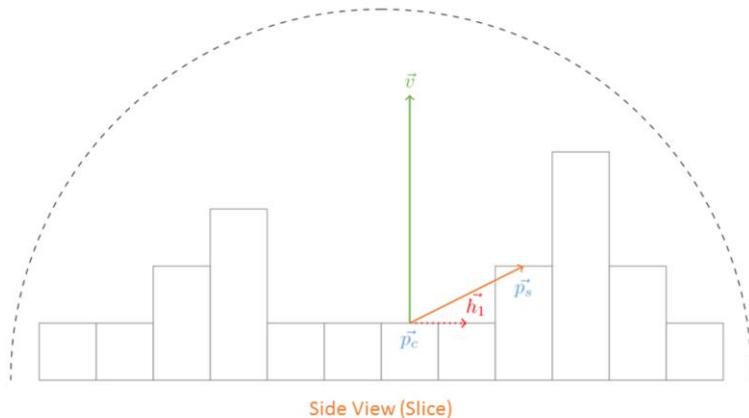
Side View (Slice)



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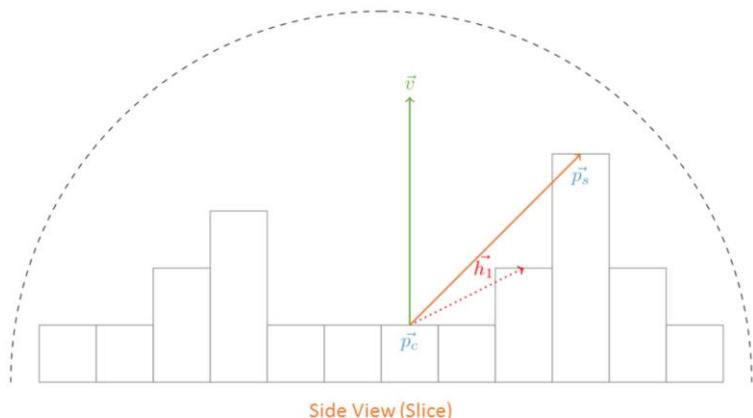
# Searching for Horizons



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# Searching for Horizons



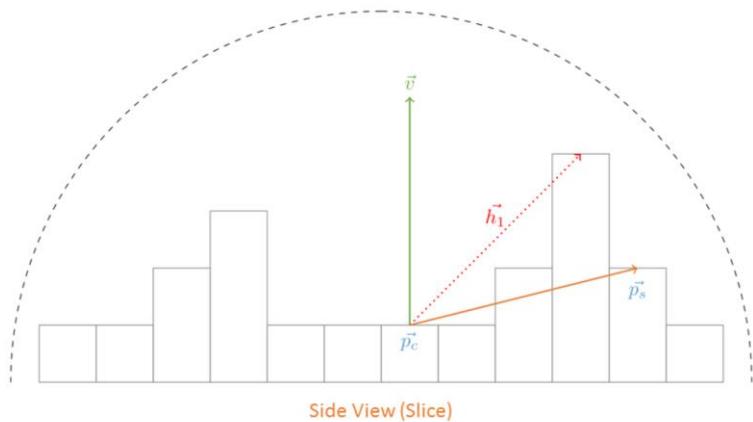
Side View (Slice)



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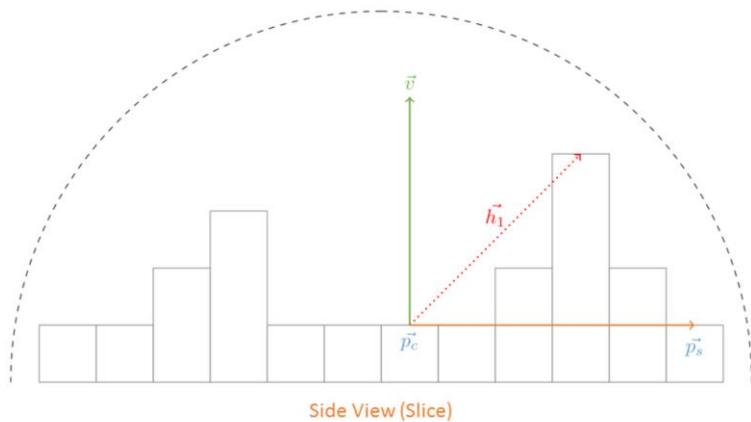
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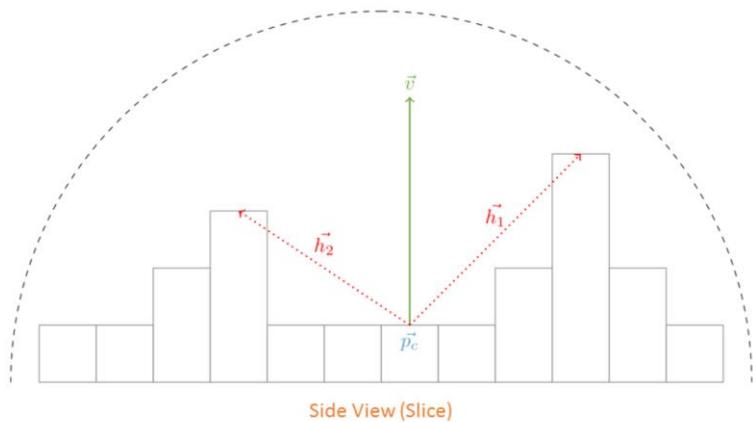
# Searching for Horizons



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# Searching for Horizons



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# Method

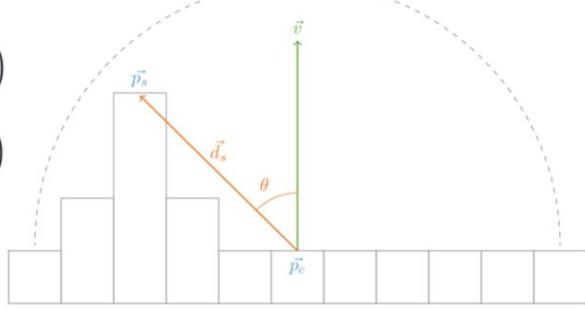
1. For each direction, calculate the horizons  $h_1$  and  $h_2$ :

$$h_1 = -\cos \left( \max_{s=1 \dots m/2} \left( \frac{\vec{d}_s \cdot \vec{v}}{|\vec{d}_s|} \right) \right)$$

$$h_2 = +\cos \left( \max_{t=1 \dots m/2} \left( \frac{\vec{d}_t \cdot \vec{v}}{|\vec{d}_t|} \right) \right)$$

$$\vec{d}_s = \vec{p}_s - \vec{p}_c$$

$$\vec{d}_t = \vec{p}_t - \vec{p}_c$$



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$\vec{p}_s$  and  $\vec{p}_c$ : sample and center positions in view space

$\vec{v} = -\vec{p}_c$ : view vector

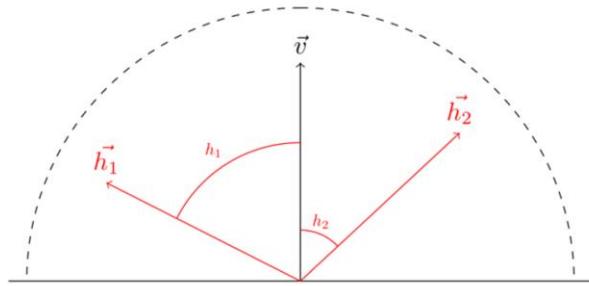
$m$ : sample count

In this diagram,  $\vec{d}_s$  samples to the left and  $\vec{d}_t$  to the right.

# Method

2. Calculate the visibility  $v_d$  for this slice:

$$v_d = \text{IntegrateArc}(\vec{h}_1, \vec{h}_2)$$



# Method

- Slightly different from HBAO:
  - No sample attenuation (obscurace)
    - Can't match ground truth renderings if we use obscurrence
    - One integral per direction rather than per sample (reduces ALU overhead)
    - We still do conservative attenuation (more details later on)
    - Instead of using obscurrence to avoid the overdarkening produced by ignoring near-field interreflections, we add this lost light (more details later on)
  - Not using attenuation allows to integrate with respect to the view vector  $\vec{v}$  rather than the XY plane
    - We integrate from  $\vec{v}$  to horizon instead of integrating from XY to horizon and from XY to tangent (halves number of integrals)
  - Simple search loop (dot, rsqrt and max)
    - Shader becomes completely memory bound
    - Aiming for ground truth calculations becomes free (we do optimal math with a given sample set)
  - Integrates 180° slices instead of 90° ones
    - Reduces ALU overhead by sharing calculations
- HBAO is mathematically equivalent to our method (with uniform weighting) if no obscurrence is used

## NVIDIA's HBAO Implementation

- Contains a performance optimization that prevents to reproduce the results shown in this presentation:

```
data.MaxValuePixels = 0.1f * min(data.FullResolution[0], data.FullResolution[1]);  
data.MaxValuePixels = 300.0f;
```

- Compute shader version does further optimizations that bias the results
- Pixel shader one is the recommended for visual reference
- **Note that HBAO+ is the latest implementation and seems to not be horizon based**

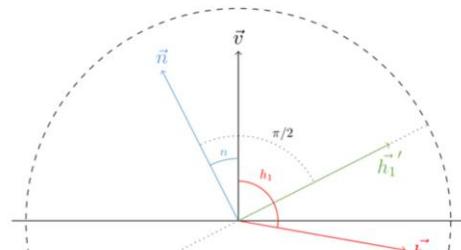
# Integration Domain

- We (have to) slice in screen-space circles
- The spherical integral axis is the view-vector
- We search for horizons in the full sphere
  - The horizons can be in the negative view hemisphere ( $\vec{h}_1$ )
  - We have to clamp them with the normal hemisphere ( $\vec{h}_1'$ )

Note:  $\vec{h}_1$  is negative

$$\vec{h}_1' = n + \max(\vec{h}_1 - n, -\pi/2)$$

$$\vec{h}_2' = n + \min(\vec{h}_2 - n, \pi/2)$$



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# Uniform Weighting

- Ambient occlusion equation:

$$V_d^{uniform} = \frac{1}{2\pi} \int_0^\pi \int_{-\pi/2}^{\pi/2} V(\theta, \phi) |\sin(\theta)| d\theta d\phi$$

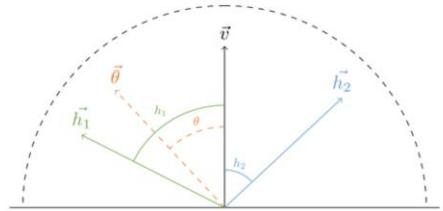
$v_d$

- Using horizon angles  $h_1$  and  $h_2$ , we have the visibility for a single slice  $v_d$ :

$$v_d = IntegrateArc(h_1, h_2) =$$

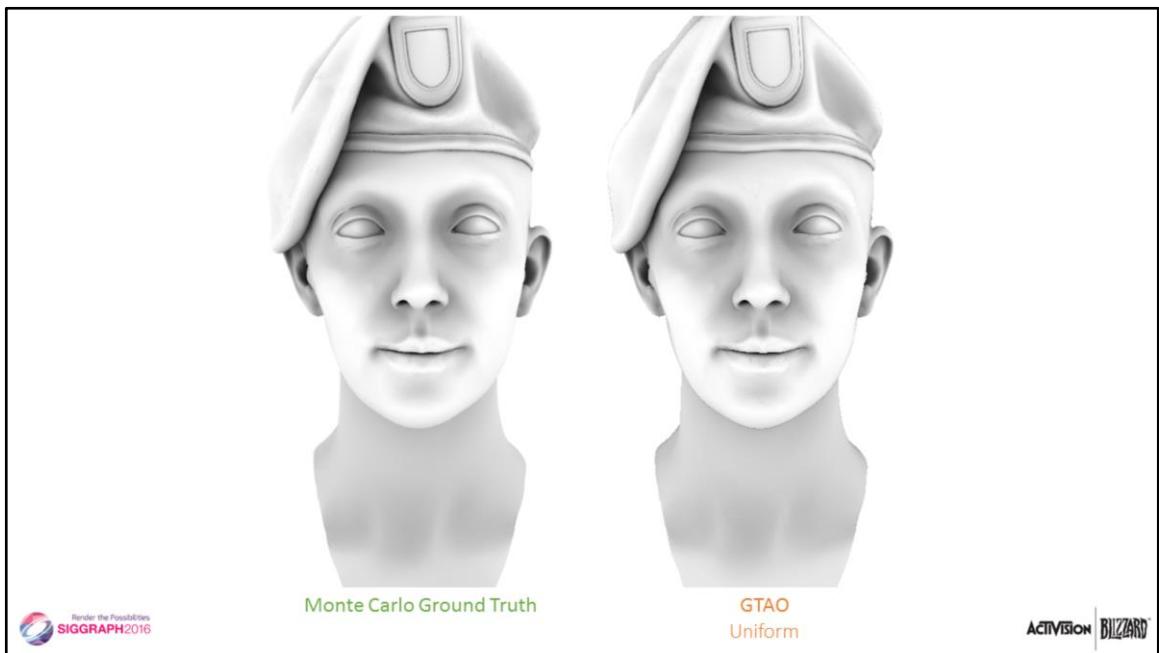
$$\int_0^{h_1} |\sin(\theta)| d\theta + \int_0^{h_2} |\sin(\theta)| d\theta =$$

$$(1 - \cos(h_1)) + (1 - \cos(h_2))$$



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# Cosine Weighting

- Ambient occlusion equation:

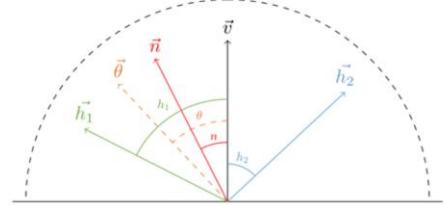
$$V_d^{\text{cosine}} = \frac{1}{\pi} \int_0^\pi \underbrace{\int_{-\pi/2}^{\pi/2} V(\theta, \phi) \cos(\theta - n) |\sin(\theta)| d\theta d\phi}_{v_d}$$

- Using horizon angles  $h_1$  and  $h_2$ , we have the visibility for a single slice  $v_d$ :

$$v_d = \text{IntegrateArc}(h_1, h_2, n) =$$

$$\int_0^{h_1} \cos(\theta - n) |\sin(\theta)| d\theta + \int_0^{h_2} \cos(\theta - n) |\sin(\theta)| d\theta =$$

$$\frac{1}{4} (-\cos(2h_1 - n) + \cos(n) + 2h_1 \sin(n)) + \frac{1}{4} (-\cos(2h_2 - n) + \cos(n) + 2h_2 \sin(n))$$



To solve ambient occlusion with cosine weighting,  
we integrate the visibility from the view vector to  $h_1$ , marked in green,  
and the visibility from the view vector to  $h_2$ , marked in blue,  
taking the cosine term into account, marked in purple on the equation.

It is a bit more involved than this, but I'll leave the details to the technical report and the online slides.

[Note: here  $v_d$  and  $\text{IntegrateArc}$  are NOT the same as in the  $V_d^{\text{uniform}}$  case, we just avoided renaming everything with "uniform" and "cosine" not to clutter the equations]

# Cosine Weighting Normal Projection

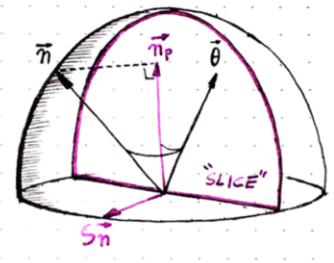
- So far, we assumed that normal lies on the sampling slice

- [Timonen2013b] showed that:

$$\begin{aligned} V_d^{\cosine} &= \frac{1}{\pi} \int_0^\pi \int_{-\pi/2}^{\pi/2} V(\theta, \phi) (\vec{n} \cdot \vec{\theta}) |\sin(\theta)| d\theta d\phi \\ &= \frac{1}{\pi} \int_0^\pi \|\vec{n}_p\| \int_{-\pi/2}^{\pi/2} V(\theta, \phi) (\vec{n}_p \cdot \vec{\theta}) |\sin(\theta)| d\theta d\phi \end{aligned}$$

where  $\vec{n}_p$  is the normal projected onto the sampling plane

- In practical terms:
  - Project (and normalize) the normal to the slice plane for the integration
  - Multiply each slice contribution by the length of the projected normal



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# Cosine Weighting LUT vs Analytical

- Cosine weighting already used for horizon-based ambient occlusion [Timonen2013b]
  - Tabulated LUT solution with per sample attenuation
- Analytic solution practical for us
  - ALU not a problem as we're memory bound
  - By design we do not use per sample attenuation
  - Only one integral per direction instead of per sample
- Transcendental functions after optimization:
  - 2 cos and 1 sin
  - 3 acos also needed for setting up the integration domain
- Use fast sqrt [Drobot2014a] and acos [Eberly2014]

```
float GTAOFastSqrt( float x )
{
    // [Drobot2014a] Low Level Optimizations for GCN
    return asfloat( 0x1FB01DF5 + ( asint( x ) >> 1 ) );
}

float GTAOFastCos( float x )
{
    // [Eberly2014] GPGPU Programming for Games and Science
    float res = -0.156583 * abs( x ) + GTAO_PI / 2.0;
    res *= GTAOFastSqrt( 1.0 - abs( x ) );
    return x >= 0 ? res : GTAO_PI - res;
}
```



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## Possible Pitfall

- Using:

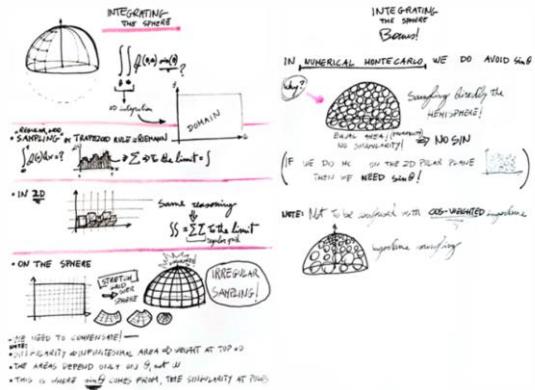
$$\int_{-\pi/2}^{\pi/2} V(\theta, \phi) \cos(n) |\sin(\textcolor{red}{n})| d\theta$$

- Instead of:

$$\int_{-\pi/2}^{\pi/2} V(\theta, \phi) \cos(n) |\sin(\theta)| d\theta$$

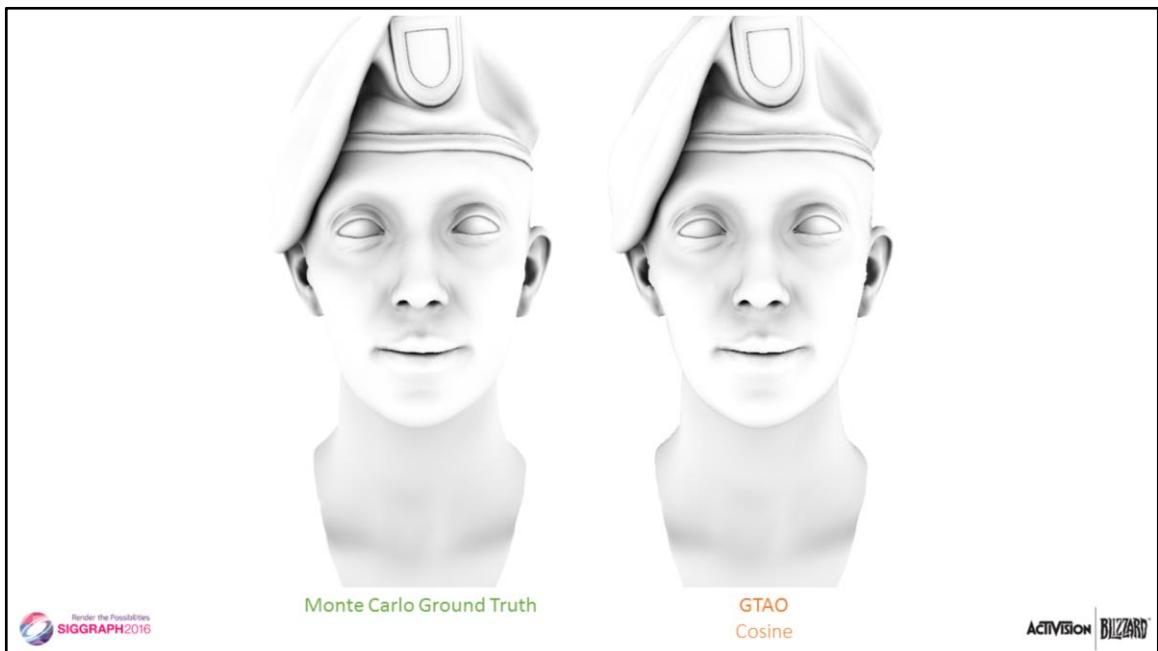
- Why not?

- We search for horizons on the whole sphere
  - $\sin(\theta)$  ensures regular sampling in the view-space hemisphere
  - $\cos(n)$  due to the cosine weighting (obviously) done with respect to the normal angle  $n$



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In this slide we have a comparison of the ground truth and our results so far.

So, we have done a quick recap of how to efficiently calculate the integral using horizon-based ambient occlusion,  
and then we have shown how to take the cosine term into account.

# Multiple Bounces

- Ambient occlusion is the ground truth lighting for the case of:
  - Lambertian surface
  - White dome (or uniform)
  - Single bounce of light

Now, to move this forward, we will relax the assumption of using a single bounce of light...

# Relaxing the Assumptions

- Ambient occlusion is the ground truth lighting for the case of:
  - Lambertian surface
  - White dome (or uniform)
  - Single bounce of light
- Extend the regular ambient occlusion equation by relaxing the assumptions:
  - Lambertian surface
  - White dome (or uniform)
  - Neighboring albedos  $\rho_m \approx$  the albedo  $\rho_1$  of current point being shaded
- Allows to approximate multiple bounces
  - [Silvennoinen2015] is an alternative solution using screen space bounces, could not afford due to our limited budget

...and replace it with the assumption that the albedo for the point being shaded is similar to that in the close neighborhood.

Doing this allows to approximate multiple bounces of light.

# Multiple Bounces

- Method:

- Calculate single-bounce using Monte Carlo ray tracing:

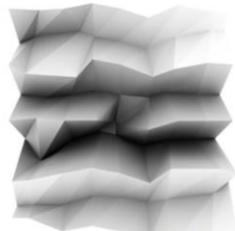
 $V_d$ 

- Calculate multi-bounce using Monte Carlo ray tracing @ given albedo  $\rho$  (4 bounces):

 $V'_d$ 

- Fit a function  $f$  that translates from single to multi-bounce results:

$$V'_d = f(V_d, \rho)$$



Single Bounce ( $V_d$ )



Multi Bounce ( $V'_d$ )



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The high level idea is simple.

We calculate references using single and multi bounce Monte Carlo raytracing, for a given albedo, which is shown in the images on the right.

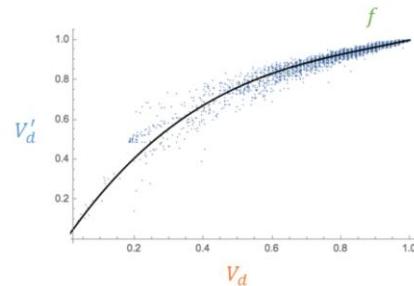
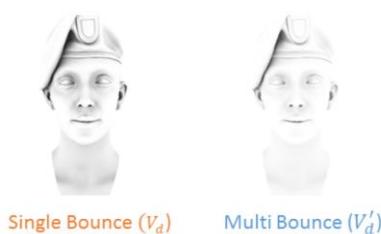
Now the idea is that we can perhaps fit a function that will map the single-bounce results to the multi-bounce ones.

Note that most previous techniques tried to avoid the overdarkening produced by AO introducing Ambient Obscurrence,  
which empirically assigns less weight to far away occluders.

We instead try to directly account for the lost energy with a correction function on the AO value,  
and derive this function from data.

## Fitting for a Fixed Albedo

- Fitting a brightness remapping curve for an albedo of  $\rho=0.6$



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$$V'_d = f(V_d) = ((aV_d + b)V_d + c)V_d$$

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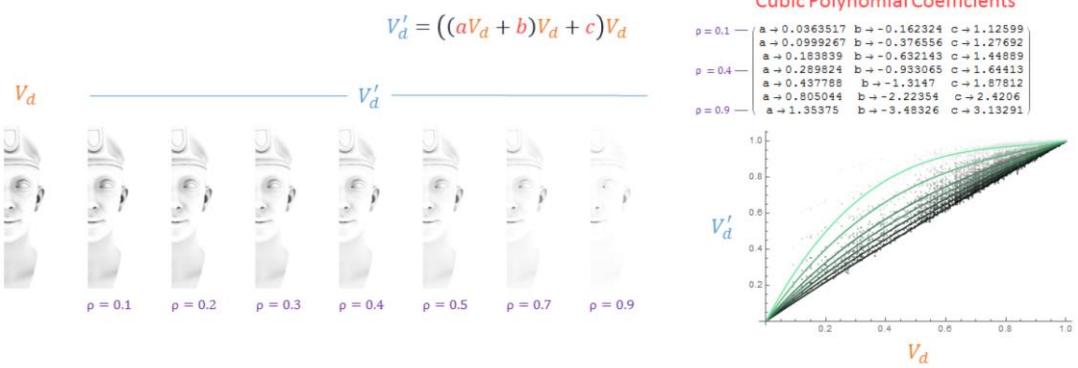
So, we started looking at the data for a given albedo, in this case 0.6.

On the right we have a plot of how intensities in the single bounce image on the horizontal axis, map to the ones in the multi bounce image on the vertical axis.

For this data, we found that fitting a cubic polynomial function was sufficient to model this correlation.

## Fitting Over Varying Albedo

- Seven single to multi-bounce references, for various albedos  $\rho$
- Fit a cubic polynomial per albedo:

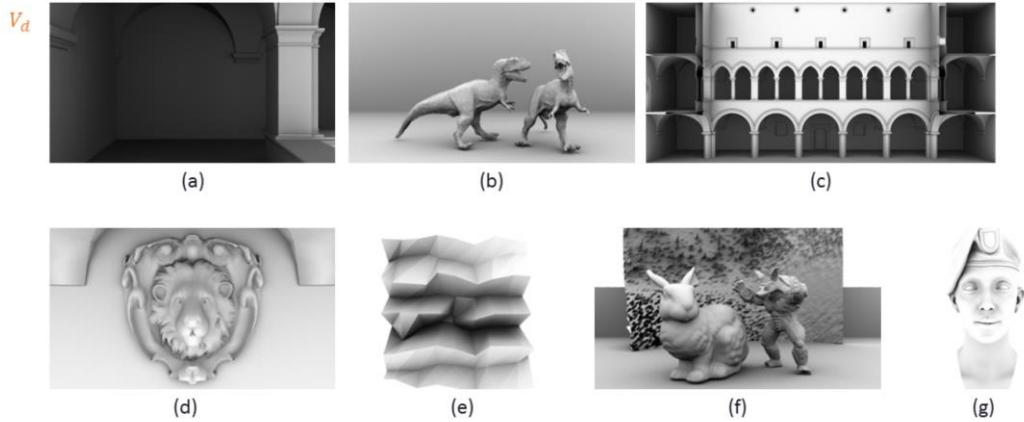


Then, to generalize to varying albedos, we calculated seven multi-bounce references, for albedos ranging from 0.1 to 0.9.

For each albedo, we calculate its own cubic polynomial fit.

On the right you can see the 7 polynomials, and their coefficients, and on the plot how the curve changes shape as the albedo increases.

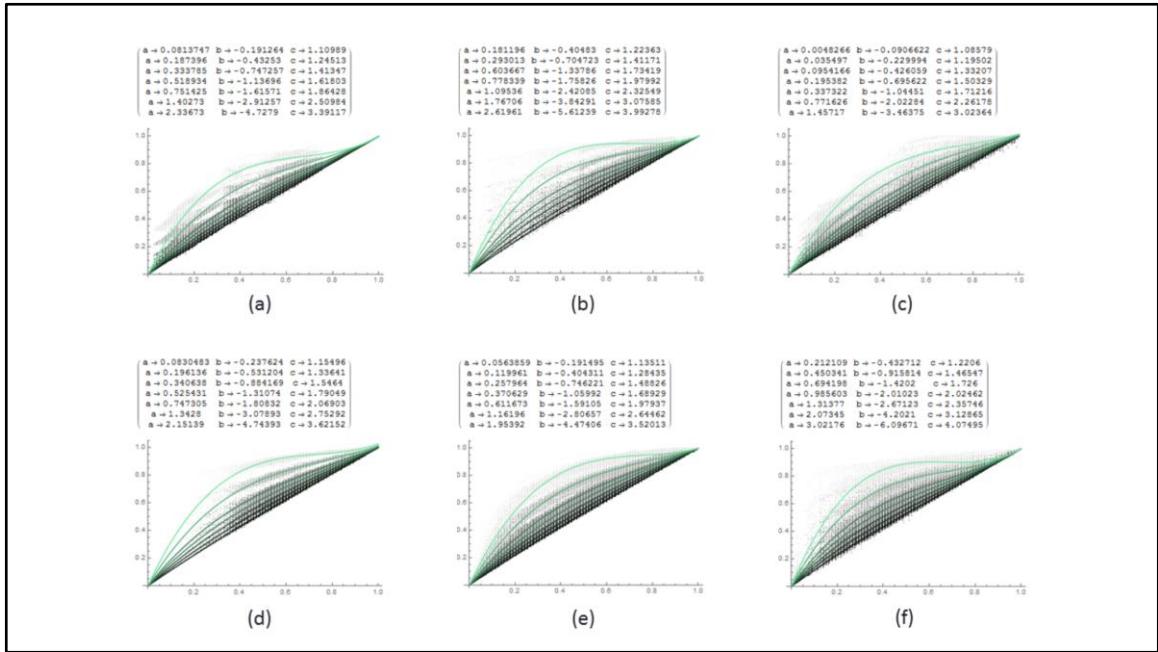
## Generalizing to Other Scenes



We found our fits to work great for our test case, which was a human head.

But we wanted to discover if the technique generalizes to other cases.

So we prepared a larger dataset and performed fittings for all the scenes shown here.

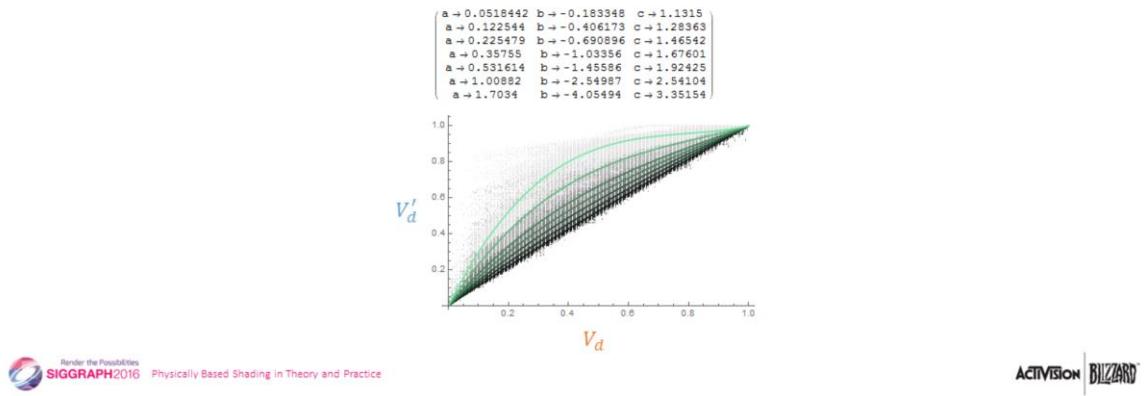


You can observe that even if our fitting functions are not exactly the same for all the scenes,  
they all look reasonably similar.

Especially for the lower albedos, which are the ones that we more often find in nature.

## Final Fit Over All Data

- Using all the input data jointly



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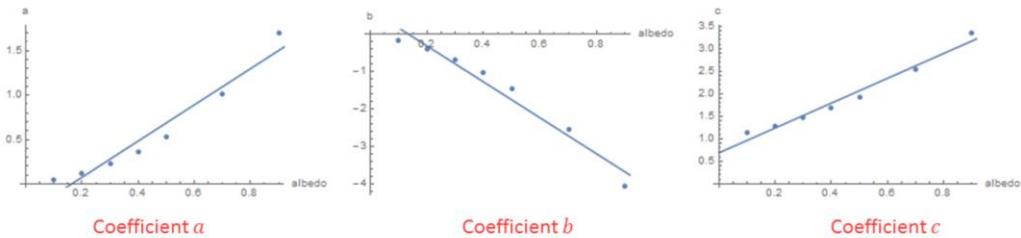
So, using all this data, we did a final fitting and obtained this.

At this point we know how to do the multibounce mapping for the seven albedos that we used for the fitting, but how to generalize to arbitrary ones?

# Finding a Symbolic Expression

- How to lerp between the fits for each albedo?
  - For each albedo we have:
    - $V_d = ((aV_d + b)V_d + c)V_d$
  - A linear function for each coefficient  $a$ ,  $b$  and  $c$ :
    - $a = a_0 + a_1 \rho$

```
{(a, {x0 -> 2.0404, x1 -> -0.332404}), {b, {x0 -> -4.79514, x1 -> 0.641681}}, {c, {x0 -> 2.7552, x1 -> 0.6903}}}
```



To find out, we plotted the coefficients  $a$ ,  $b$ ,  $c$  of the cubic polynomial of each albedo that we fitted.

So, in these figures, you have albedo in the horizontal axis, and the coefficient value in the vertical one.

As you can see they are pretty much linear, so we approximated the polynomial coefficients as function of the albedo with linear equations.

## Recap

- $V'_d = f(V_d, \rho)$



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So, to recap.

We have a function that maps from single bounce AO to multi bounce AO, for a given albedo.

## Recap

$$\begin{aligned}\bullet V'_d &= f(V_d, \rho) \\ &= ((aV_d + b)V_d + c)V_d\end{aligned}$$

For this functions, we used a cubic polynomial.

## Recap

- $V'_d = f(V_d, \rho)$   
=  $((aV_d + b)V_d + c)V_d$
- $a = a_0 + a_1\rho$
- $b = b_0 + b_1\rho$
- $c = c_0 + c_1\rho$

And the coefficients a, b and c for this cubic polynomial are obtained using a linear function per coefficient.

## Shader Code

```
float3 GTAOMultiBounce( float visibility, float3 albedo )
{
    float3 a = 2.0404 * albedo - 0.3324;
    float3 b = -4.7951 * albedo + 0.6417;
    float3 c = 2.7552 * albedo + 0.6903;

    float x = visibility;
    return max( x, ( ( x * a + b ) * x + c ) * x );
}
```



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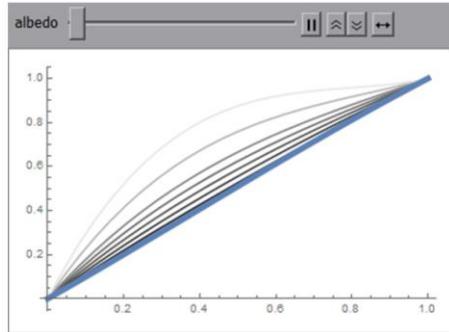
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And this is the resulting shader snippet.

In the end, it's quite simple and very fast.

Two inputs, visibility and albedo, and single output, colored multi bounce visibility.

# Finding a Symbolic Expression

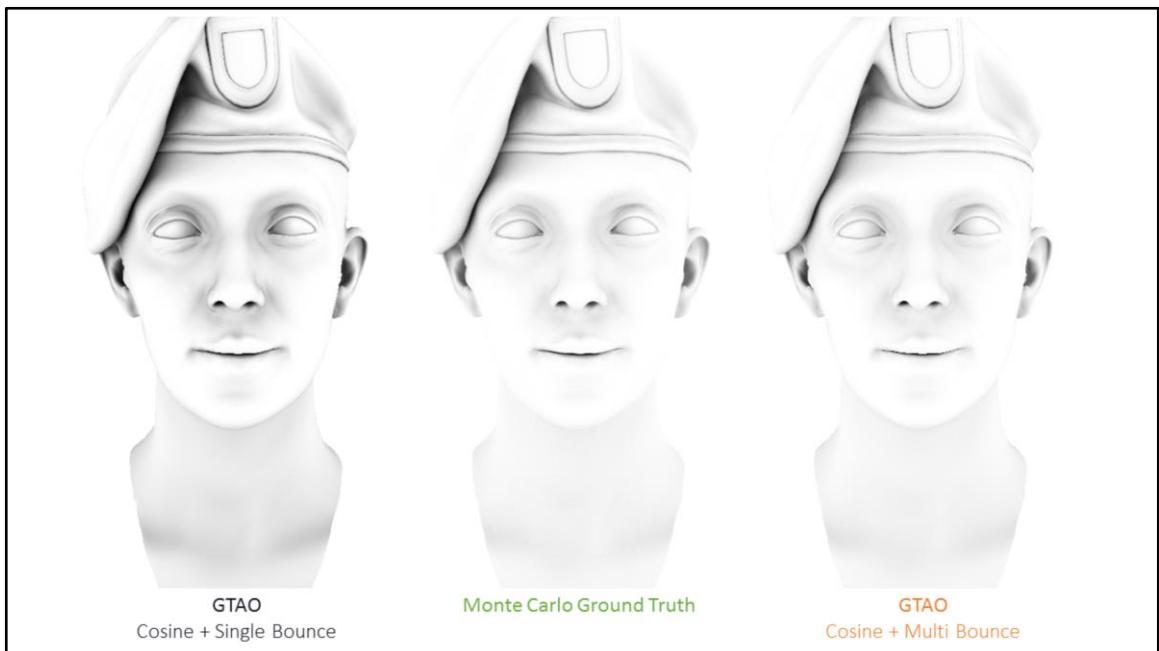


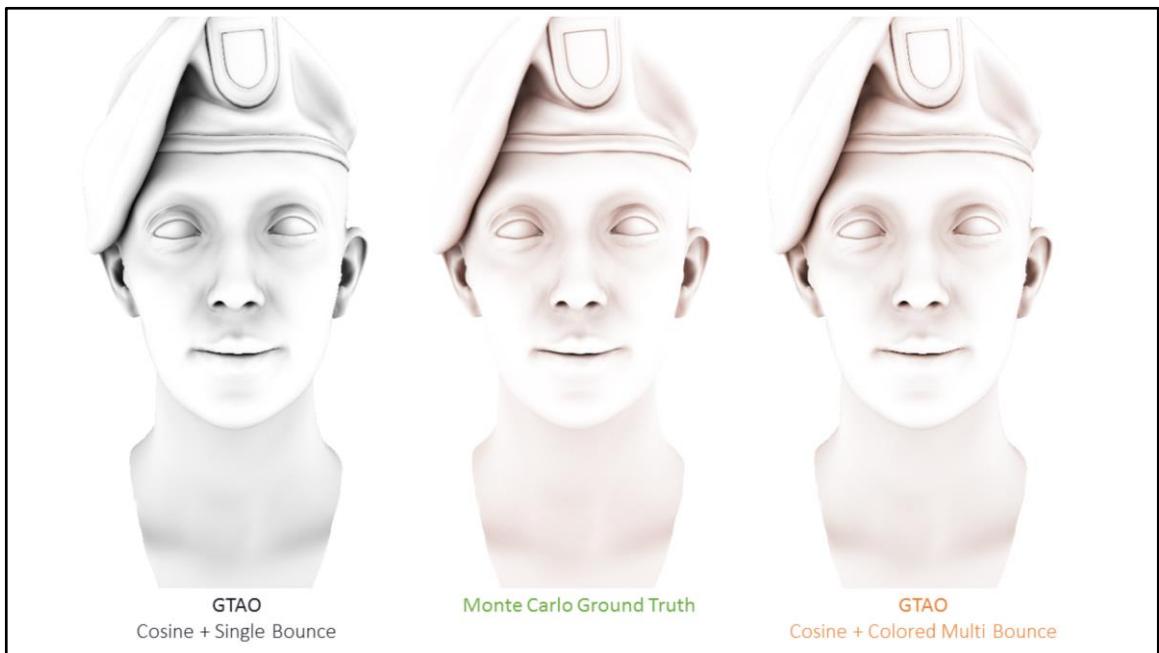
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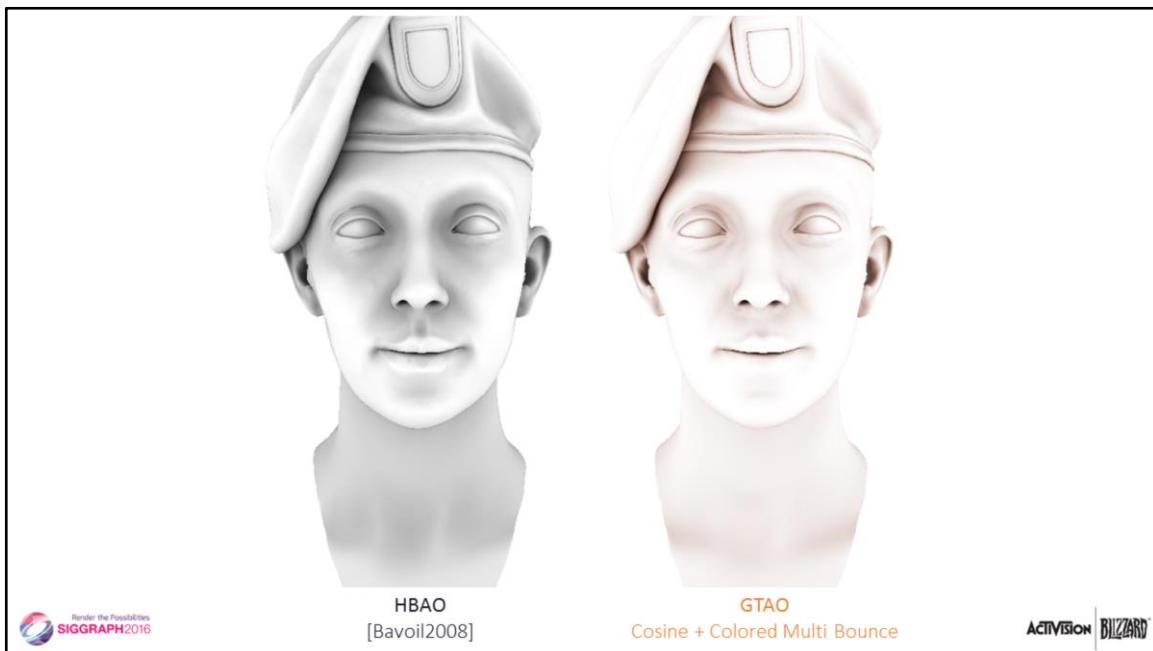
So, here you have it in action.

We can see how the shape of the mapping changes, as we modify the albedo.



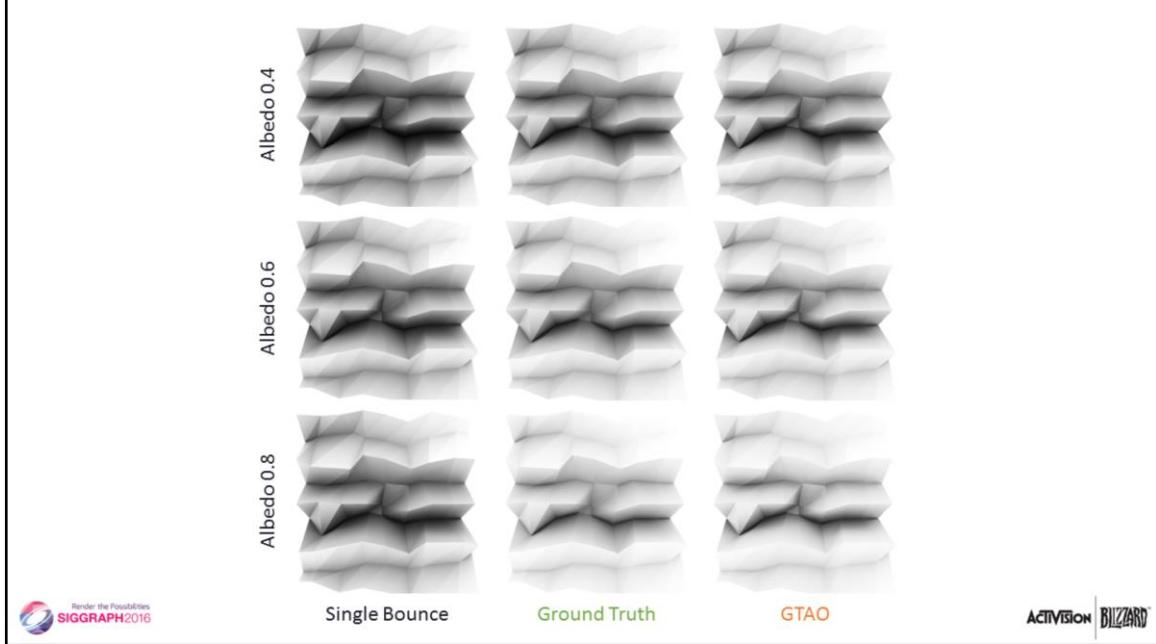


This slide shows a comparison between doing a single bounce on the left,  
the Monte Carlo reference in the middle,  
and the results obtained using our multi-bounce fitting function on the right.



To finish this part, I want to compare our starting point, on the left, with our final results, on the right.

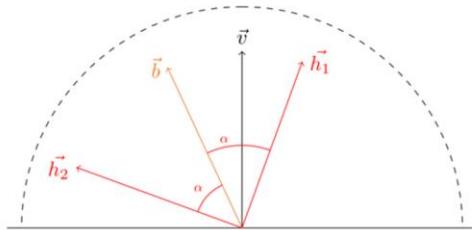
And as you can see, considering the cosine and the multiple bounces yield a significant visual difference.



# Bent Normal

- Average of horizon vectors:

$$\vec{b} = 0.5(\vec{h}_1 + \vec{h}_2)$$



- Or faster: average both horizon angles and recover 3d vector

# Achieving the Performance Target

- Half resolution
- Traverse a depth mipmap chain during sampling
  - Near samples: use half-res depth
  - Further away samples: progressively use lower mips
- D3D11\_FILTER\_MINIMUM\_\*

  - Gets closest to camera height on 2x2 bilinear block
  - For small offsets: closest height  $\cong$  max horizon
  - Improves samples per direction (4x)
    - Not ideally distributed (close together), but still useful
  - Alternative: `min(Gather4())`

# Achieving the Performance Target

- Distribute outer integral (directions) over space and time
  - 1 direction per pixel
  - 16 spatial rotations in 4x4 tiles
  - 6 temporal rotations
  - $1 \times 4 \times 4 \times 6 = 96$  effective directions per pixel
- Distribute inner integral (samples within a direction) over space and time
  - 8 samples per direction
  - 4 unique spatial offsets per 4x4 tile
  - 4 temporal offsets
  - $8 \times 4 \times 4 \cong 128$  effective samples per direction
- Thanks to Michal Drobot for all the ideas and support!



GTAO Output  
1 direction per pixel

We are not covering the details in this talk, but this is perhaps an important one.

So, the problem was, how we do all this in engine in 0.5ms?

Horizon-based approaches are perhaps the optimal way to calculate ground truth approximations,  
they are still slower than empirical solutions.

In this budget we could only afford half resolution and 1 direction per pixel, which as you can imagine is quite noisy.

# Achieving the Performance Target

- Distribute outer integral (directions) over space and time
  - 1 direction per pixel
  - 16 spatial rotations in 4x4 tiles
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Spatial Denoiser  
16 directions per pixel

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Then we applied a 4x4 bilateral filter, as usual, which creates 16 directions per pixel.

It looks ok on static images, but working in half resolution it was still quite unstable.

# Achieving the Performance Target

- Distribute outer integral (directions) over space and time
  - 1 direction per pixel
  - 16 spatial rotations in 4x4 tiles
  - 6 temporal rotations
  - $1 \times 4 \times 4 \times 6 = 96$  effective directions per pixel
- Distribute inner integral (samples within a direction) over space and time
  - 8 samples per direction
  - 4 unique spatial offsets per 4x4 tile
  - 4 temporal offsets
  - $8 \times 4 \times 4 \cong 128$  effective samples per direction
- Thanks to Michal Drobot for all the ideas and support!



Spatial + Temporal Denoiser  
96 Directions per pixel

So, we had to heavily rely on temporal filtering to stabilize the image, and to increase the directions to 96 per pixel.

It is typical to use temporal filtering for ambient occlusion, but it is usually seen as a finisher.

In our case, we strongly rely on it, specially for improving the temporal stability.

# Achieving the Performance Target

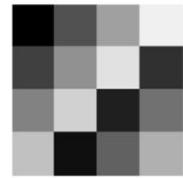
- Final Cost for 1080p half res (PS4):
  - GTAO with 1 direction per pixel
    - 0.35ms
  - Spatial/Temporal Denoiser
    - 0.15ms
    - Can be amortized (typically needed for other techniques like SSR)

The cost of the base GTAO was around 0.35ms, and the spatial and temporal denoising 0.15ms.

Note that the denoising can be amortized if we need to denoise other half resolution images, like for example SSR ones,  
as many memory accesses and calculations would be actually shared.

# Noise Distribution

- Spatial distribution for directions
  - 4x4 uniform
  - Sorted in such a way that each row contains a full rotation
    - Horizontal/vertical features prominent in architecture
    - More likely for samples to be averaged horizontally/vertically by the bilateral filter
  - Sorting in rows ensures that if just a single row of the 4x4 kernel is averaged, it contains all the directions



Our Approach

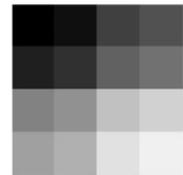


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# Noise Distribution

- Spatial distribution for directions
  - 4x4 uniform
  - Sorted in such a way that each row contains a full rotation
    - Horizontal/vertical features prominent in architecture
    - More likely for samples to be averaged horizontally/vertically by the bilateral filter
    - Sorting in rows ensures that if just a single row of the 4x4 kernel is averaged, it contains all the directions
  - Worked better than Morton order



4x4 Tile



Morton Order

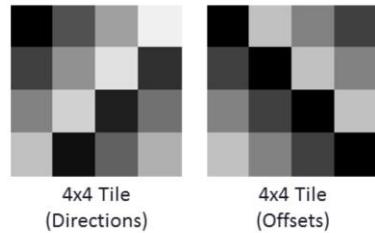


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# Noise Distribution

- Spatial distribution for offsets
  - 4 spatial values per row
  - Shifted for each row
  - Similar directions alternate 2 different offsets (observe the +xy diagonal)



# Noise Distribution

```
float noise = ( 1.0 / 16.0 ) * ( ( ( position.x + position.y ) & 0x3 ) << 2 ) +  
    ( position.x & 0x3 );
```

Spatial Directions

```
float noise = ( 1.0 / 4.0 ) * ( ( position.y - position.x ) & 0x3 );
```

Spatial Offsets

```
float rotations[] = { 60.0f, 300.0f, 180.0f, 240.0f, 120.0f, 0.0f };  
float rotation = rotations[frameCount % 6] / 360.0f;
```

Temporal Directions

```
float offsets[] = { 0.0f, 0.5f, 0.25f, 0.75f };  
float offset = offsets[frameCount / 6] % 4];
```

Temporal Offsets



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# Spatial Denoiser Details

- 4x4 Bilateral filter
  - 4 AO gathers
  - 4 depth gathers
  - Can be reduced to 1 gather if packed [Drobot2014a]
- Thresholding
  - Linear depth
  - Relative soft threshold
    - Pixels with depth delta bigger than 10% of current depth don't accumulate [Bavoil2012]
    - Using a linear ramp for weighting
  - Gradient threshold
    - First derivatives



Linear Depth +  
First Derivatives



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## Spatial Denoiser Details

- 4x4 Bilateral filter
  - 4 AO gathers
  - 4 depth gathers
  - Can be reduced to 1 gather if packed [Drobot2014a]
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  - Linear depth
  - Relative soft threshold
    - Pixels with depth delta bigger than 10% of current depth don't accumulate [Bavoil2012]
    - Using a linear ramp for weighting
  - Gradient threshold
    - First derivatives
    - Second derivatives (for slopes)



Linear Depth +  
First + Second Derivatives



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# Spatial Denoiser Details

- 4x4 Bilateral filter
  - 4 AO gathers
  - 4 depth gathers
  - Can be reduced to 1 gather if packed [Drobot2014a]
- Thresholding
  - Linear depth
  - Relative soft threshold
    - Pixels with depth delta bigger than 10% of current depth don't accumulate [Bavoil2012]
    - Using a linear ramp for weighting
  - Gradient threshold
    - First derivatives
    - Second derivatives (for slopes)
- Also tried log-space depth + first derivatives
  - Linear depth + 1<sup>st</sup> + 2<sup>nd</sup> order derivatives yield sharper details yet smoother flat surfaces



Log Depth +  
First Derivatives



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# Temporal Denoiser Details

- Runs after the spatial denoiser
- Halfres exponential accumulation buffer
  - Running on its own, separate from temporal AA
  - Tuned for the specific problem (more aggressive)
- 4x4 bilateral filter offsets the image (not symmetric)
  - Temporal filtering will accumulate offsets and show trailing
  - Offset the 4x4 bilateral filter by 1 pixel each frame to compensate
    - Odd frames: move top/left
    - Even frames: move bottom/right
- Dynamic convergence time
  - Slower convergence for objects moving slowing
  - Faster converge for faster moving objects
  - Using proper convergence time [Jimenez2016]

# Temporal Denoiser Details

- Bilinear reprojection produces bleeding of visibility on edges
  - Fetch 2x2 visibility and depth block using Gather4:
    - Current Frame Depth (`currentDepth`)
    - Previous Frame Depth (`previousDepth`)
    - Visibility (`visibilityHistory4`)
  - Test each sample in the 2x2 independently
    - Temporal bilateral filter
    - Only differences in depth in the disocclusion direction matter
      - Don't use abs
    - Weight each sample with a bilinear weight
      - If all the samples pass the depth test, same results as bilinear reprojection
    - Similar in spirit to depth testing [Jimenez2016]

```
for ( int i = 0; i < 4; i++ )
{
    float bilateralWeight = saturate( 1.0 - depthScale * ( currentDepth - previousDepth[i] ) );
    visibilityHistory += bilinearWeights[i] * lerp( visibility, visibilityHistory4[i], bilateralWeight );
}
```



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# Temporal Denoiser Details

- Neighborhood Clamp
  - Depth testing don't work for moving objects casting occlusion over static ones
    - Not a disocclusion case, but still creates ghosting trails
    - Neighborhood clamp mitigates the problem
  - Noisy neighborhood leads to ghosting even when using the neighborhood clamp [Jimenez2016]
  - Apply a low pass on the neighborhood
    - Fetch 4 diagonal corners with bilinear [Jimenez2016]
    - Neighborhood incorporates additional directions and reduces variance → closer to final result (less ghosting)

## Temporal Denoiser Details

- Widen the neighborhood min/max window using velocity weighting
  - If velocities are very different, keep the window tight
  - Otherwise open it (unlikely to introduce ghosting)
- Similar idea to [Drobot2014b] soft clamping and color weighting
  - Allows values further than min/max to still be accepted
  - Color weighting revert to neighborhood clamp when ghosting potentially detected



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## Temporal Denoiser Details

- Widen the neighborhood min/max window using velocity weighting
- Rationale:
  - If velocity weighting says reprojection is safe, we can trust it
  - However, velocity weighting gives false ghosting positives
    - Fast camera rotations, specially on the sides of the screen
  - Revert to a tight neighborhood clamp when velocity weighting detects ghosting, rather than just rejecting

## Temporal Denoiser Details

- Widen the neighborhood min/max window using velocity weighting
- Shader Code:

```
float velocityWeight = VelocityWeight( currentVelocity, previousVelocity );
float window = velocityWeight * ( visibilityMax - visibilityMin );

visibilityMin -= config.clampWindowSize * window; // config.clampWindowSize = 0.5
visibilityMax += config.clampWindowSize * window;

return clamp( visibilityHistory, visibilityMin, visibilityMax );
```



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# Minimizing Artifacts

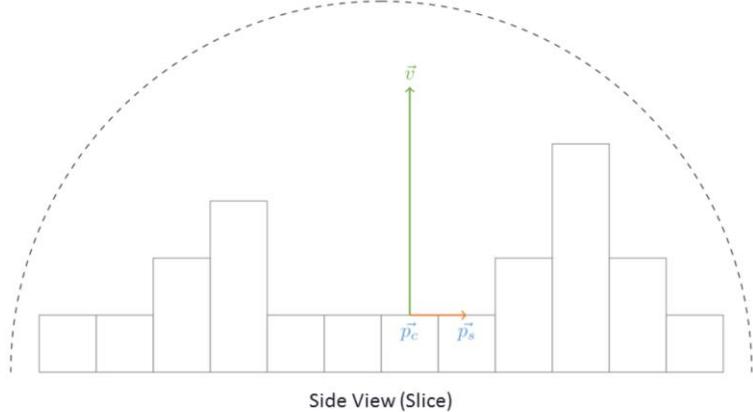
- Conservative attenuation
  - Soft-clamp integration sampling distance
  - 150 inches of full effect
  - From 150 to 200 soften sample contribution from 1 to 0
  - Ensure ground truth near occlusion
  - Far occlusion was contained in our baked lighting solution
- Screen-space radius according to the distance from camera
  - Necessary to make AO view-independent
  - Clamped to a max radius in pixels (avoids cache trashing on very near objects)
- Thickness heuristic for thin features
  - Do not trust a single layer depth buffer!

## Thickness Heuristic

- Can't infer thickness from depth buffer
- Depth peeling not practical for us
- Horizon-based AO leads to thin features to cast too much occlusion
- We developed a thickness heuristic:
  - Assume object thickness similar to screen space width
  - Conservative with architectural features such as 90° corners



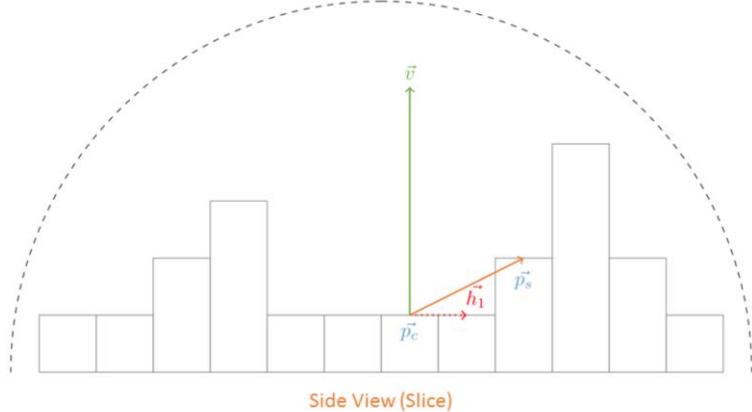
# Thickness Heuristic



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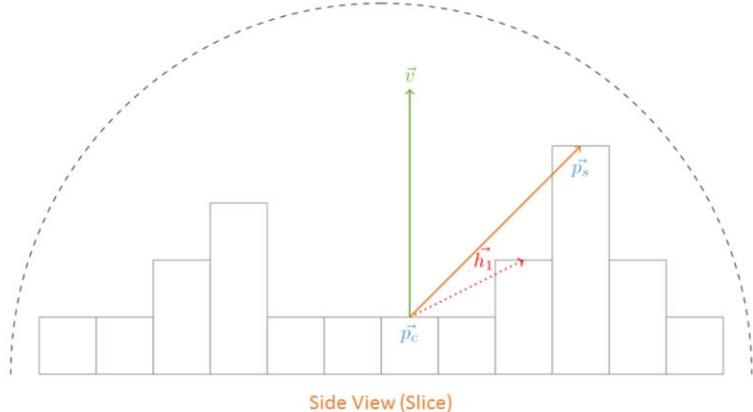
# Thickness Heuristic



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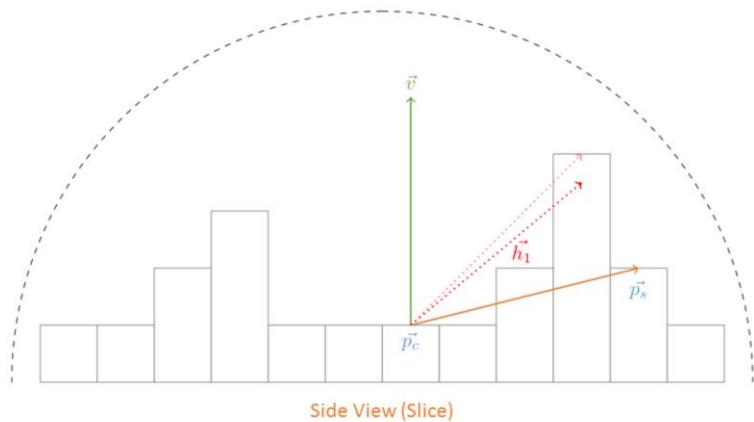
# Thickness Heuristic



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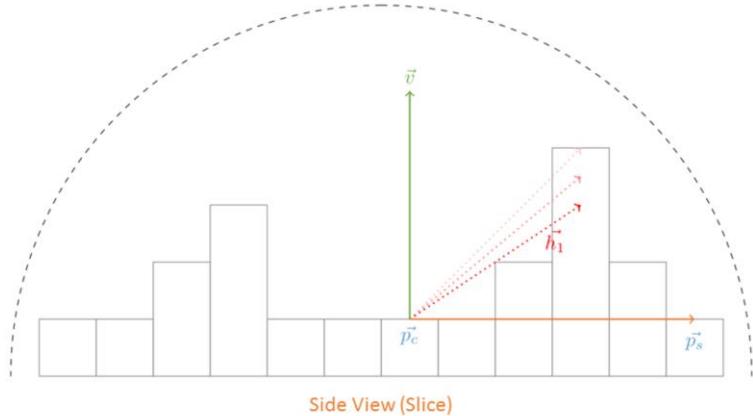
# Thickness Heuristic



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# Thickness Heuristic



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## Thickness Heuristic



Without Thickness  
Heuristic



With Thickness  
Heuristic



## Final Remarks

- Ideas and math presented are compatible with line sweep ambient occlusion [Timonen2013a] [Timonen2013b] [Silvennoinen2015]
  - Horizon-based integration math is the same
  - Multibounce fit is agnostic to the source of the AO as long as it is ground truth

# GTSO

## Ground Truth-based Specular Occlusion



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So, we're done with ambient occlusion, and now we will dive into the details of our specular occlusion technique, which we called GTSO.



To motivate the importance of accurate specular occlusion,  
I'll start showing its importance for character rendering.

Here you have a character rendering without specular occlusion...



...and here with it [back and forth].

We think that specular occlusion is as important as ambient occlusion, but unfortunately it doesn't receive as much attention.

$$V(\omega_i) = \begin{cases} 1 & \text{if } \omega_i \text{ hits the sky} \\ 0 & \text{if } \omega_i \text{ do not hit the sky} \end{cases}$$

## Introduction

- Split integral approximation [Lazarov2013] [Karis2013]:

$$\int_{\Omega} L_i(\omega_i) f(\omega_i, \omega_o) \cos \theta_i d\omega_i \cong \int_{\Omega} V(\omega_i) L_i^{env}(\omega_i) f(\omega_i, \omega_o) \cos \theta_i d\omega_i \cong$$

Visibility  
Probe convolution      Environment LUT



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I'd like to start with a brief recap of the split integral approximation for image based lighting.

It approximates the rendering equation by splitting it to two pieces, in orange and blue.

In orange, the probe convolution,  
and in blue, what is called the environment LUT.

Notice that we added a visibility term here, in green,  
which is typically ignored or approximated via simple hacks.

# Introduction

- We further split the visibility calculation  $V_S$ , which is our *specular occlusion*
- Can be thought as *prefiltering the visibility*

$$\begin{aligned} \int_{\Omega} V(\omega_i) L_i^{env}(\omega_i) f_r(\omega_i, \omega_o) \cos \theta_i d\omega_i &\cong \int_{\Omega}^* V(\omega_i) L_i^{env}(\omega_i) D(h) \cos \theta_i d\omega_i \int_{\Omega} f_r(\omega_i, \omega_o) \cos \theta_i d\omega_i \\ &\cong \int_{\Omega}^* V(\omega_i) D(h) \cos \theta_i d\omega_i \underbrace{\int_{\Omega}^* L_i^{env}(\omega_i) D(h) \cos \theta_i d\omega_i}_{V_S} \int_{\Omega} f_r(\omega_i, \omega_o) \cos \theta_i d\omega_i \end{aligned}$$



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The core of our technique consists on adding a further split for visibility, in green here,  
which can be seen as prefiltering the visibility.

[stop for a few seconds]

Note that the stars on the integrals...

## Split Sum Term Normalization

- Star (\*) means to normalize the integral
  - As in the split integral approximation previous work
- For the new visibility term:

$$\int_{\Omega}^* V(\omega_i) D(h) \cos \theta_i d\omega_i = \frac{\int_{\Omega} V(\omega_i) D(h) \cos \theta_i d\omega_i}{\int_{\Omega} D(h) \cos \theta_i d\omega_i}$$

...means that we normalize them, something that is also done by the previous split integral approximations.

$$\int_{\Omega} V(\omega_i) L_i^{env}(\omega_i) D(h) \cos \theta_i d\omega_i \int_{\Omega} f_r(\omega_i, \omega_o) \cos \theta_i d\omega_i$$



## Two Split

$$\int_{\Omega}^* V(\omega_i) D(h) \cos \theta_i d\omega_i = \int_{\Omega}^* L_i^{inv}(\omega_i) D(h) \cos \theta_i d\omega_i \int_{\Omega} f_r(\omega_i, \omega_o) \cos \theta_i d\omega_i$$



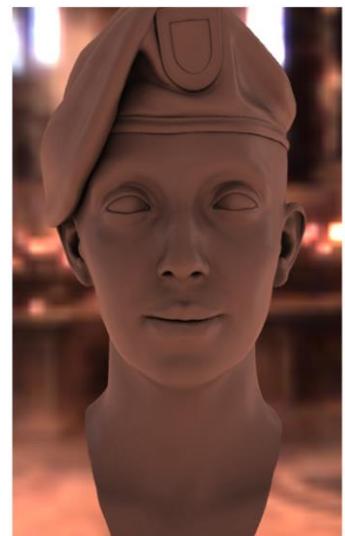
Monte Carlo Ground Truth



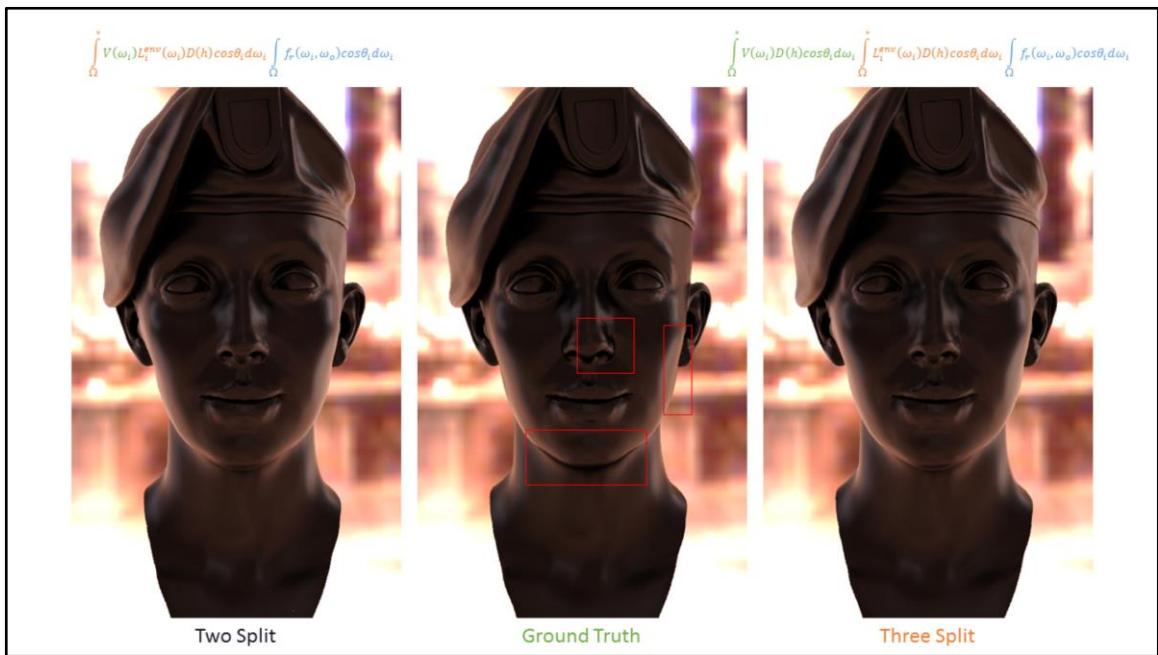
### Three Split

Here you have a comparison of the original two split integral on the left, and our three split on the right.

You can see how the three split approximation is still quite close to ground truth, and doesn't introduce more error than the two split one.



Monte Carlo Ground Truth



$$\int_{\Omega}^* V(\omega_i) L_i^{env}(\omega_i) D(h) \cos \theta_i d\omega_i \int_{\Omega} f_r(\omega_i, \omega_o) \cos \theta_i d\omega_i$$

$$\int_{\Omega}^* V(\omega_i) D(h) \cos \theta_i d\omega_i \int_{\Omega}^* L_i^{env}(\omega_i) D(h) \cos \theta_i d\omega_i \int_{\Omega} f_r(\omega_i, \omega_o) \cos \theta_i d\omega_i$$



Two Split



Three Split Approximation

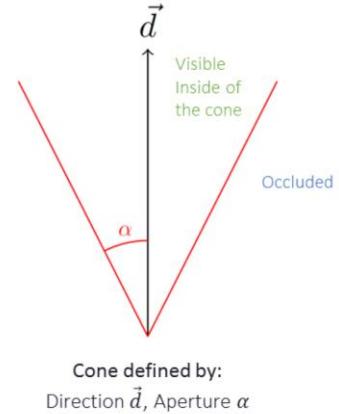


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## First $V_S$ Attempt

- Assumptions:
  - Visibility can be approximated as a cone
  - BRDF can be approximated as a cone
- Input:
  - Bent normal + AO
- Idea:
  - Calculate the occlusion using the intersection of the reflection and visibility cones
  - Similar to [Oat2007], but for specular lighting



So, let's dive into our first specular occlusion attempt.

We made the assumption that both the visibility and the BRDF can be approximated by cones.

The idea is then to calculate the occlusion using the intersection of these cones.

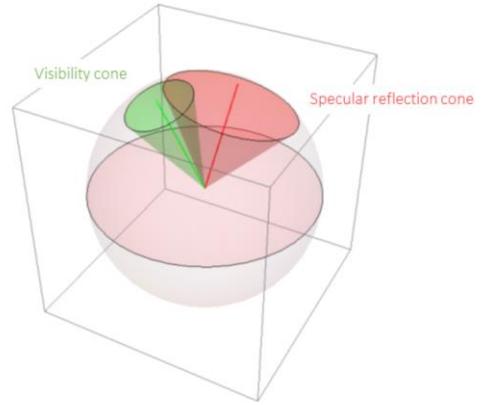
The visibility cone can be obtained from the bent normal and occlusion values, which can either be baked or computed by GTAO.

And the reflection cone can be derived from the reflection direction and roughness.

# Method

## 1. Calculate:

- Visibility cone
- Specular reflection cone



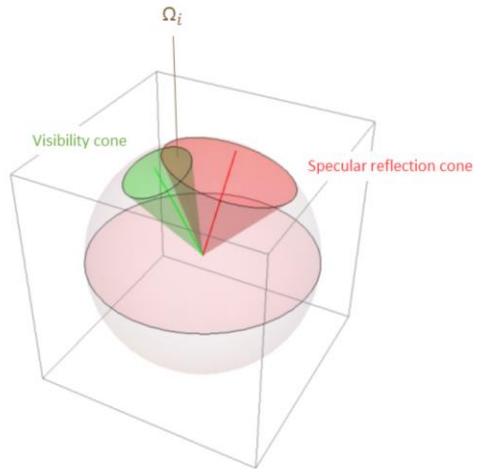
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For this, we first calculate the visibility and specular cones.

# Method

1. Calculate:
  - Visibility cone
  - Specular reflection cone
2. Calculate solid angle of the intersection  $\Omega_i$



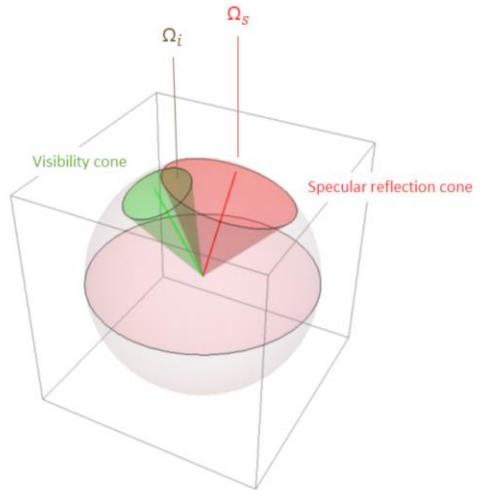
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Then we calculate the solid angle of the intersection.

# Method

1. Calculate:
  - Visibility cone
  - Specular reflection cone
2. Calculate solid angle of the intersection  $\Omega_i$
3. Calculate solid angle of the specular reflection cone  $\Omega_s$



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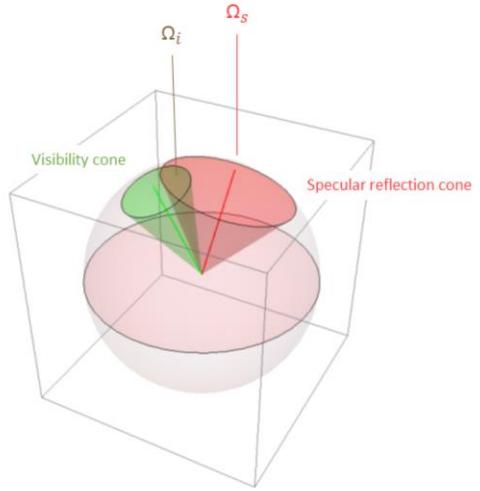
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The solid angle of the reflection cone.

# Method

1. Calculate:
  - Visibility cone
  - Specular reflection cone
2. Calculate solid angle of the intersection  $\Omega_i$
3. Calculate solid angle of the specular reflection cone  $\Omega_s$
4. Calculate percentage of occlusion:

$$V_s = \frac{\Omega_i}{\Omega_s}$$



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And with both at hand, we can calculate the percentage of the occlusion by doing a simple ratio.

# Input

Visibility cone direction      Convert to visibility aperture  $\alpha_v$



- Bent normal + ambient occlusion
- Reflection direction + gloss

Reflection cone direction

Convert to reflection aperture  $\alpha_s$



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## Calculating Aperture from Ambient Occlusion

- Ambient occlusion equation (uniform weighting):

$$V_d^{uniform} = \frac{1}{2\pi} \int_0^{2\pi} \int_0^{\alpha_v} \sin(\theta) d\theta d\phi = 1 - \cos(\alpha_v)$$

- Therefore:

$$\cos(\alpha_v) = 1 - V_d$$

## Calculating Aperture from Ambient Occlusion

- Ambient occlusion equation (cosine weighting):

$$V_d^{cosine} = \frac{1}{\pi} \int_0^{2\pi} \int_0^{\alpha_v} \cos(\theta) \sin(\theta) d\theta d\phi = 1 - \cos(\alpha_v)^2$$

- Therefore:

$$\cos(\alpha_v) = \sqrt{1 - V_d}$$

## Calculating Aperture from Gloss

- No exact solution available, reflection lobe is not a cone
- [Drobot2014c]: for each aperture gloss value  $p$ , find the aperture  $\alpha_s$  such that the cone wraps all the values in the lobe that pass a threshold  $\sigma$ . This tabulated function is approximated using:

$$\alpha_s = 2 \sqrt{\frac{2}{p+2}}$$

- [Uludag2014]: uses Phong importance sampling to relate  $\alpha_s$  and  $p$ , visually fitting lobe to cones plots:

$$\alpha_s = \arccos(0.244^{\frac{1}{p+1}})$$

Note: [Uludag2014] appears with a typo on the article, and shows it as  $\alpha_s = \cos(0.244^{\frac{1}{p+1}})$  instead.

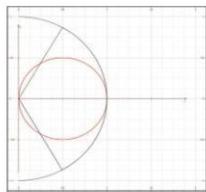
## Calculating Aperture from Gloss

- Similar in spirit to [Uludag2014], we used Phong importance sampling equation [Walter2007] (Eq. 31) to relate them:

$$\alpha_s = \arccos\left(u^{\frac{1}{p+2}}\right)$$

- But in contrast with [Uludag2014], we do not fit the **plots**
- Rather the variable  $u$  is optimized by comparing the resulting specular occlusion **images** with the Monte Carlo ground truth reference using DSSIM
  - We obtained an optimal value of  $u = 0.01$

## Working with Final Image Pixels



[Uludag2014]  
Plot fitting



Ours  
[Uludag2014] gloss to  
aperture



Ours  
Our gloss to aperture fit



Phong Reference

## Calculating Aperture From Gloss

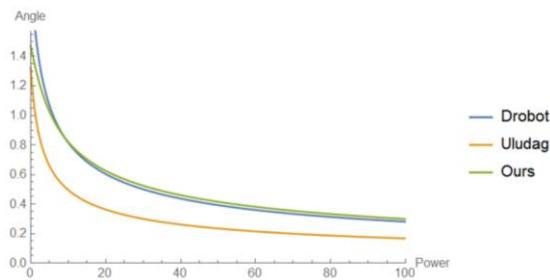
- We can use roughness  $r$  for faster evaluation:

$$r = \sqrt{\frac{2}{p+2}}$$

$$\cos(\alpha_s) = 0.01^{\frac{1}{p+2}} = 0.01^{0.5r^2} = e^{-2.30259r^2} = 2^{-3.32193r^2}$$

## Calculating Aperture From Gloss

- We found our approach to be very similar to [Drobot2014c], but more accurate for smaller gloss values



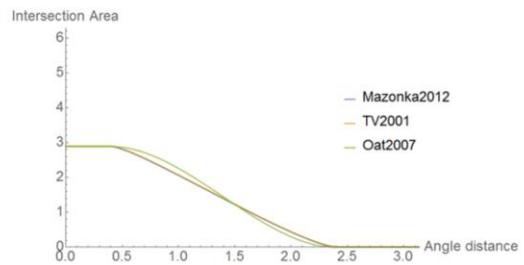
## Intersection Calculation

- $\alpha_v$  = visibility aperture angle
- $\alpha_s$  = reflection aperture angle
- $\beta$  = angle between bent normal and reflection direction

$$\Omega_i = \text{Intersection}(\cos(\alpha_v), \cos(\alpha_s), 0.5\cos(\beta) + 0.5)$$

# Intersection Calculation

- Accurate Options:
  - [Mazonka2012]
  - [TV01]
- Approximate One:
  - [Oat2007]
- [Mazonka2012] and [TV01]:
  - Derived differently
  - Mathematically equivalent



## Final Specular Visibility Calculation

Solid angle of the intersection

$$V_s = \frac{\Omega_i}{\Omega_s} = \frac{\text{Intersection}(\cos(\alpha_v), \cos(\alpha_s), 0.5\cos(\beta) + 0.5)}{2\pi(1 - \cos(\alpha_s))}$$

Solid angle of the reflection cone

- $V_s$  can be baked to a 32x32x32 BC4 look up table (8-bit)
  - 16x16x16 BC4 still acceptable, quality-wise

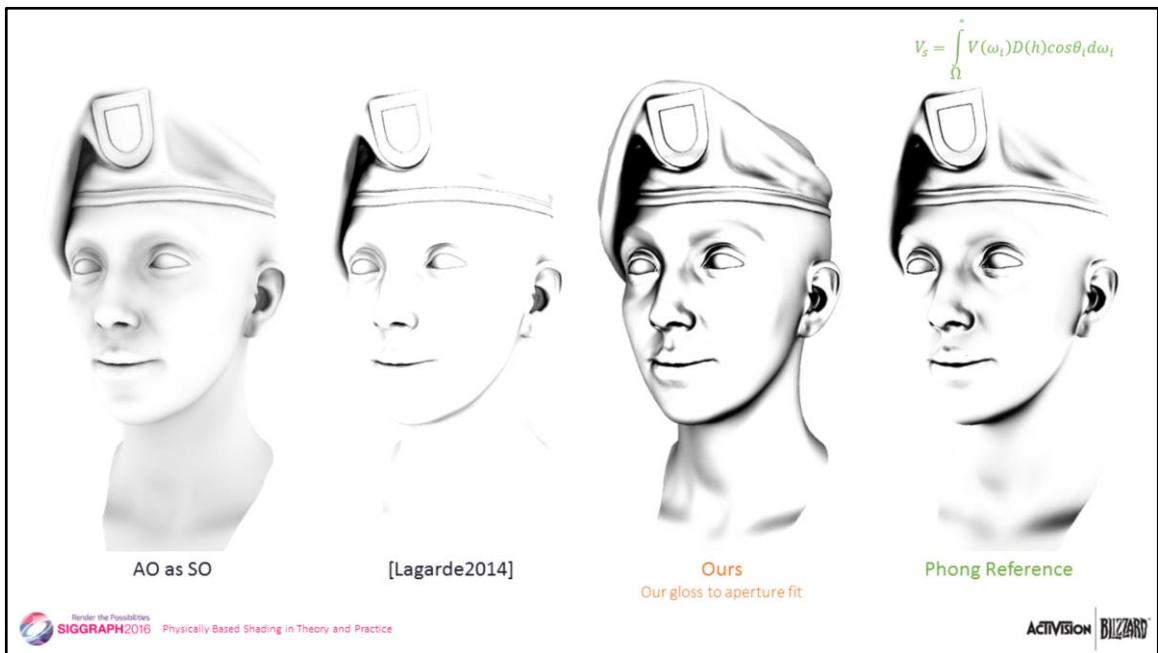


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Or in more detail, this.

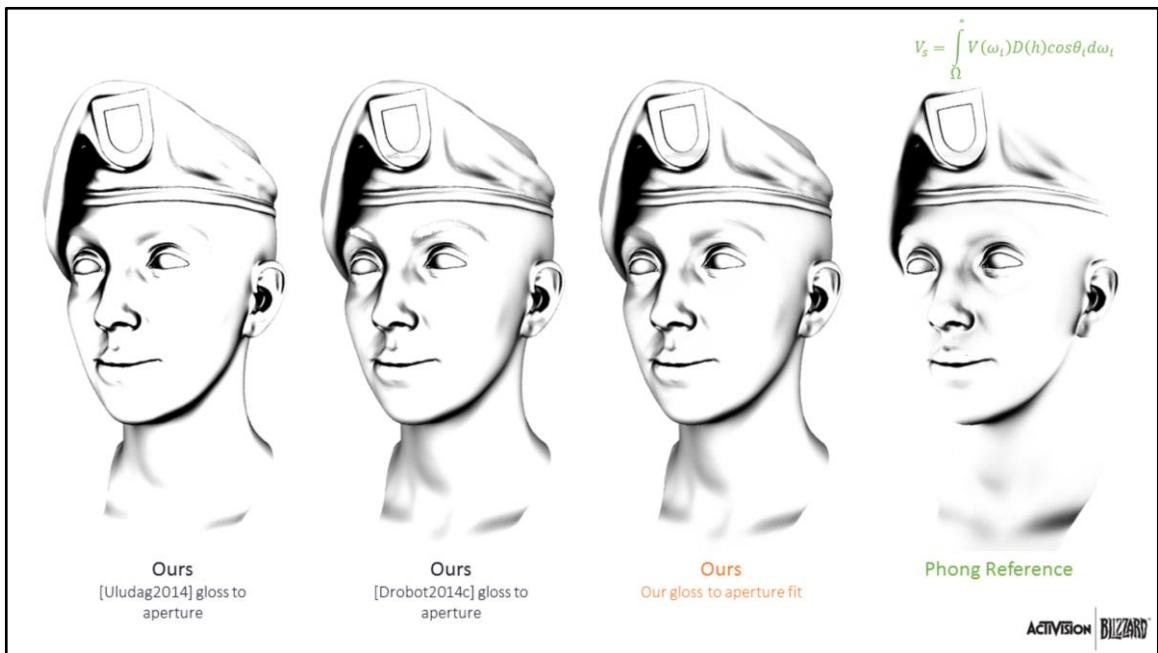
The visibility  $V_{sub}s$  here is a function of three parameters, so we actually baked it into a 3d lookup table,  
which will be important as we will see later on.



So, time for some comparisons.

On the left we are using AO as specular occlusion,  
next we have [Lagarde2014] approximation,  
next we have the cone/cone intersection approximation that we have just explained,  
in orange,  
and on the right the reference.

Note that while not perfect, the cone/cone intersection technique more closely matches our reference.



## Second $V_S$ Attempt

- Assumption:
  - Visibility can be approximated as a cone
  - ~~BRDF can be approximated as a cone~~

To improve on these results, we wanted to relax our assumptions.

In particular to stop approximating the reflection lobe with a cone.

## Intersection with Accurate Reflection Lobes

$$V_s = \frac{\Omega_i}{\Omega_s} = \frac{\text{Intersection}(\cos(\alpha_v), \cos(\alpha_s), 0.5\cos(\beta) + 0.5)}{2\pi(1 - \cos(\alpha_s))}$$

- $V_s$  currently calculates the intersection of two cones
  - $V_s$  is baked into a LUT
  - We can calculate the intersection with any other shape efficiently
- **Key Idea:**
- Bake the intersection of the visibility cone with the actual reflection lobe

If you remember this, we baked the intersection into a look up table.

So, why we need to use a cone to represent our BRDF, when we can actually calculate the intersection with the real lobe shape offline?

## Intersection with Accurate Reflection Lobes

- Use the distribution function to shape the lobe
- Intersect with the visibility cone

$$V_s = LUT(\alpha_v, \beta, r) = \int_{\Omega}^* V(\omega_i, \alpha_v, \beta) D(h, r) \cos \theta_i d\omega_i$$

Determined using the  
visibility cone

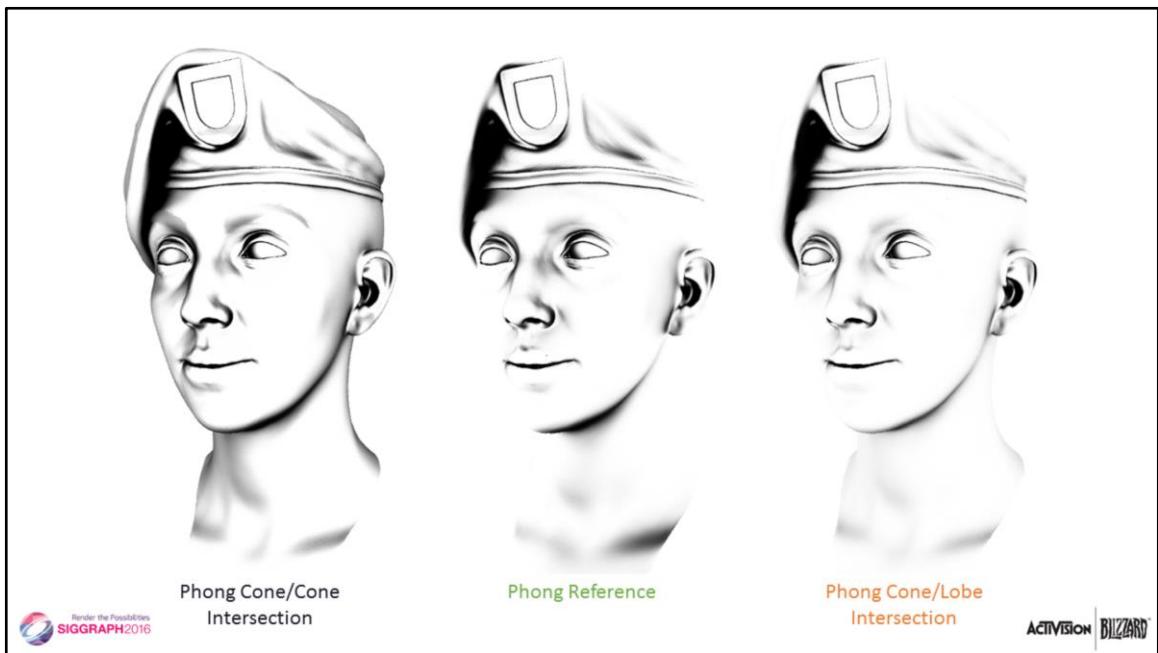
$\alpha_v$  = visibility aperture angle  
 $\beta$  = angle between bent normal and reflection direction  
 $r$  = roughness (or specular power if using Phong)



What we bake into the lookup table is in particular, this.

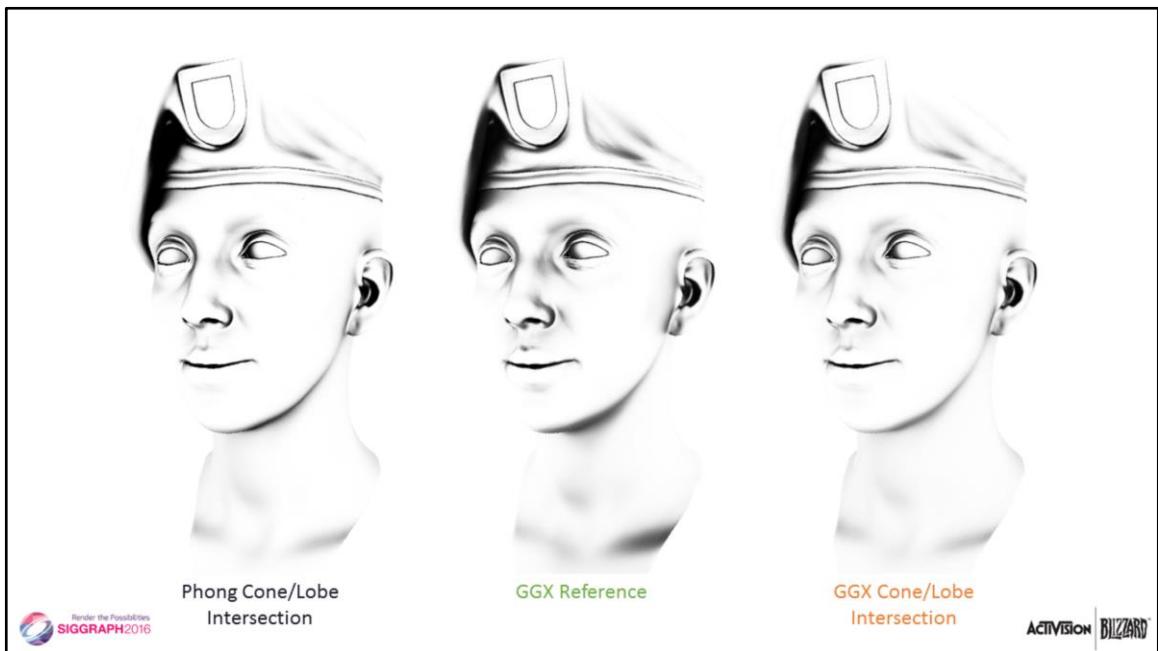
Still a 3d look up table, but with slightly different parameters, as we obviously need to pass the roughness.

The integral basically calculates the reflection lobe over the hemisphere, but masking the rays that are outside of the visibility cone.



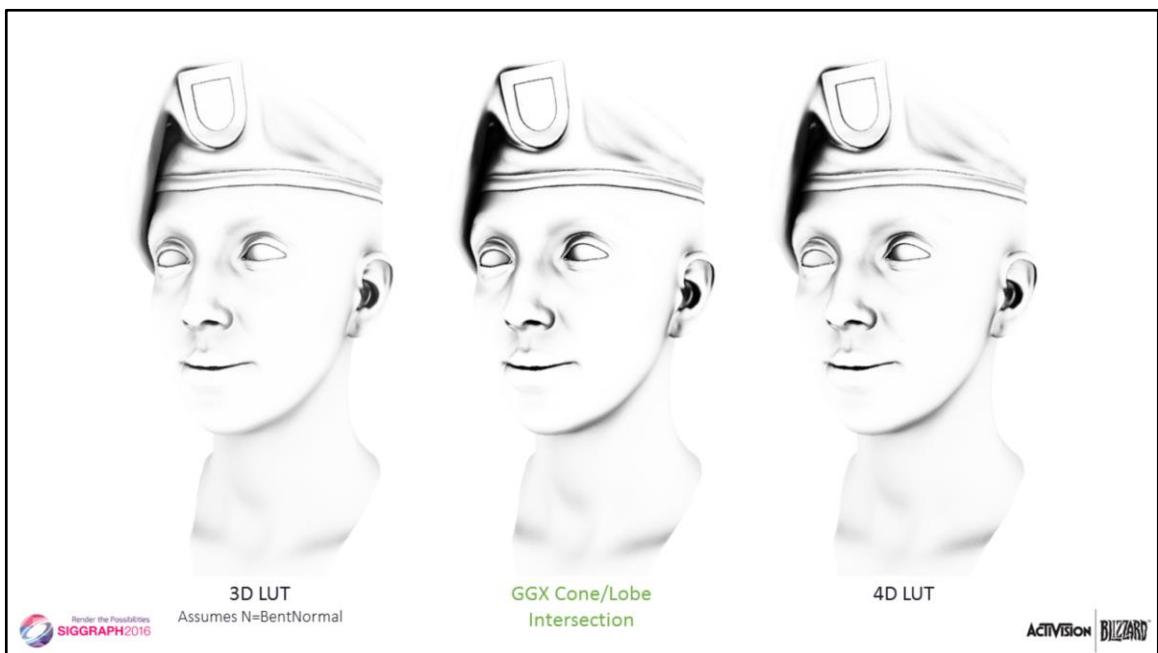
In this comparison, we can see on the left our previous cone/cone intersection, on the middle the reference, and on the right the new cone/lobe intersection that we have just presented.

Note how it substantially improves on the overdarkening that we were getting near the silhouette of the character.



All the previous comparisons were done using Phong (as the previous work used Phong for gloss to aperture calculations), but we can now use any BRDF we want, like GGX.

So from now on, we will use GGX, which is what we used in-engine for rendering.



# Ground Truth Specular Occlusion

- We want to derive a specular occlusion definition analogous to ambient occlusion:
  - Ground truth results if the probe is uniform
- Our current definition does not comply with that:

$$V_s = \int_{\Omega}^* V(\omega_i) D(h) \cos\theta_i d\omega_i$$



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The next step for us, was to derive a specular occlusion definition that is analogous to ambient occlusion.

That means that it should be ground truth if the probe has a constant value, but our current definition does not comply with that.

If we include the full BRDF in the visibility term, instead of just using the distribution function...

# Ground Truth Specular Occlusion

- We want to derive a specular occlusion definition analogous to ambient occlusion:
  - Ground truth results if the probe is uniform
- Our current definition does not comply with that:

$$V_s = \int_{\Omega}^* V(\omega_i) D(h) \cos\theta_i d\omega_i$$

- However, this definition complies:

$$V_s = \int_{\Omega}^* V(\omega_i) f_r(\omega_i, \omega_o) \cos\theta_i d\omega_i$$

...and we expand the normalization factor we mentioned earlier...

# Ground Truth Specular Occlusion

- We want to derive a specular occlusion definition analogous to ambient occlusion:
  - Ground truth results if the probe is uniform
- Our current definition does not comply with that:

$$V_s = \int_{\Omega}^* V(\omega_i) D(h) \cos \theta_i d\omega_i$$

- However, this definition complies:

$$V_s = \frac{\int_{\Omega} V(\omega_i) f_r(\omega_i, \omega_o) \cos \theta_i d\omega_i}{\int_{\Omega} f_r(\omega_i, \omega_o) \cos \theta_i d\omega_i}$$

...and we substitute in the rendering equation...

## Ground Truth Specular Occlusion

- We want to derive a specular occlusion definition analogous to ambient occlusion:
  - Ground truth results if the probe is uniform
- Our current definition does not comply with that:

$$V_s = \int_{\Omega}^* V(\omega_i) D(h) \cos \theta_i d\omega_i$$

- However, this definition complies:

$$\begin{aligned} V_s &= \frac{\int_{\Omega} V(\omega_i) f_r(\omega_i, \omega_o) \cos \theta_i d\omega_i}{\int_{\Omega} f_r(\omega_i, \omega_o) \cos \theta_i d\omega_i} \\ &\quad \uparrow \downarrow \text{Cancels Out} \\ \int_{\Omega} V(\omega_i) L_i^{env}(\omega_i) f_r(\omega_i, \omega_o) \cos \theta_i d\omega_i &\cong V_s \int_{\Omega} L_i^{env}(\omega_i) D(h) \cos \theta_i d\omega_i \int_{\Omega} f_r(\omega_i, \omega_o) \cos \theta_i d\omega_i = \int_{\Omega} L_i^{env}(\omega_i) D(h) \cos \theta_i d\omega_i \int_{\Omega} V(\omega_i) f_r(\omega_i, \omega_o) \cos \theta_i d\omega_i \end{aligned}$$

You can see that the normalization denominator and the environment look up table cancel out,

and we reach to the result on the bottom right.

Let me zoom in.

## Ground Truth Specular Occlusion

$$\int_{\Omega} V(\omega_i) L_i^{env}(\omega_i) f_r(\omega_i, \omega_o) \cos \theta_i d\omega_i \cong \int_{\Omega}^* L_i^{env}(\omega_i) D(h) \cos \theta_i d\omega_i \int_{\Omega} V(\omega_i) f_r(\omega_i, \omega_o) \cos \theta_i d\omega_i$$

Here we can see that if we replace the incoming radiance, in purple, with a white dome ...

## Ground Truth Specular Occlusion

$$\int_{\Omega} V(\omega_i) \mathbf{f}_r(\omega_i, \omega_o) \cos \theta_i d\omega_i = \int_{\Omega} \mathbf{1D}(h) \cos \theta_i d\omega_i \int_{\Omega} V(\omega_i) f_r(\omega_i, \omega_o) \cos \theta_i d\omega_i$$

...the orange part will be completely gone, as it integrates to 1...

## Ground Truth Specular Occlusion

$$\int_{\Omega} V(\omega_i) f_r(\omega_i, \omega_l) \cos \theta_i d\omega_i = \int_{\Omega} V(\omega_i) f_r(\omega_i, \omega_o) \cos \theta_i d\omega_l$$

...so we reach an equality, rather than an approximation, for the case of a white dome.

## Ground Truth Specular Occlusion

- If we compare the original split approximation (our starting point):

$$\int_{\Omega} V(\omega_i) L_i^{env}(\omega_i) f(\omega_i, \omega_o) \cos \theta_i d\omega_i \cong \int_{\Omega}^* V(\omega_i) L_i^{env}(\omega_i) D(h) \cos \theta_i d\omega_i \int_{\Omega} f(\omega_i, \omega_o) \cos \theta_i d\omega_i$$

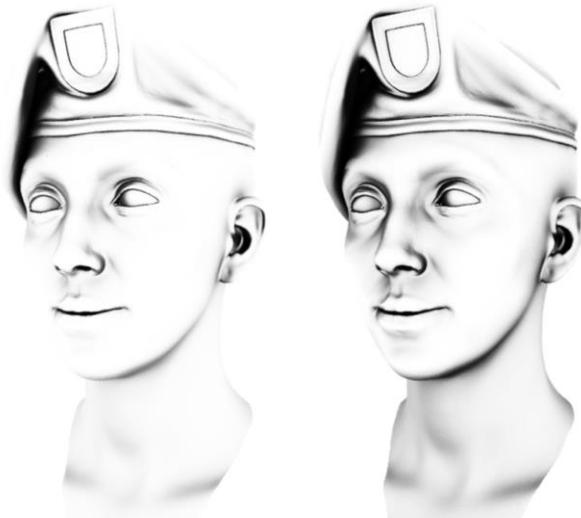
- With the final formulation results from previous slide:

$$\int_{\Omega} V(\omega_i) L_i^{env}(\omega_i) f(\omega_i, \omega_o) \cos \theta_i d\omega_i \cong \int_{\Omega}^* L_i^{env}(\omega_i) D(h) \cos \theta_i d\omega_i \int_{\Omega} V(\omega_i) f(\omega_i, \omega_o) \cos \theta_i d\omega_i$$

- Notice that the only difference is that  $V(\omega_i)$  moved to the right integral

$$V_s = \int_{\Omega}^* V(\omega_i) D(h) \cos \theta_i d\omega_i$$

$$V_s = \int_{\Omega}^* V(\omega_i) f_r(\omega_i, \omega_o) \cos \theta_i d\omega_i$$



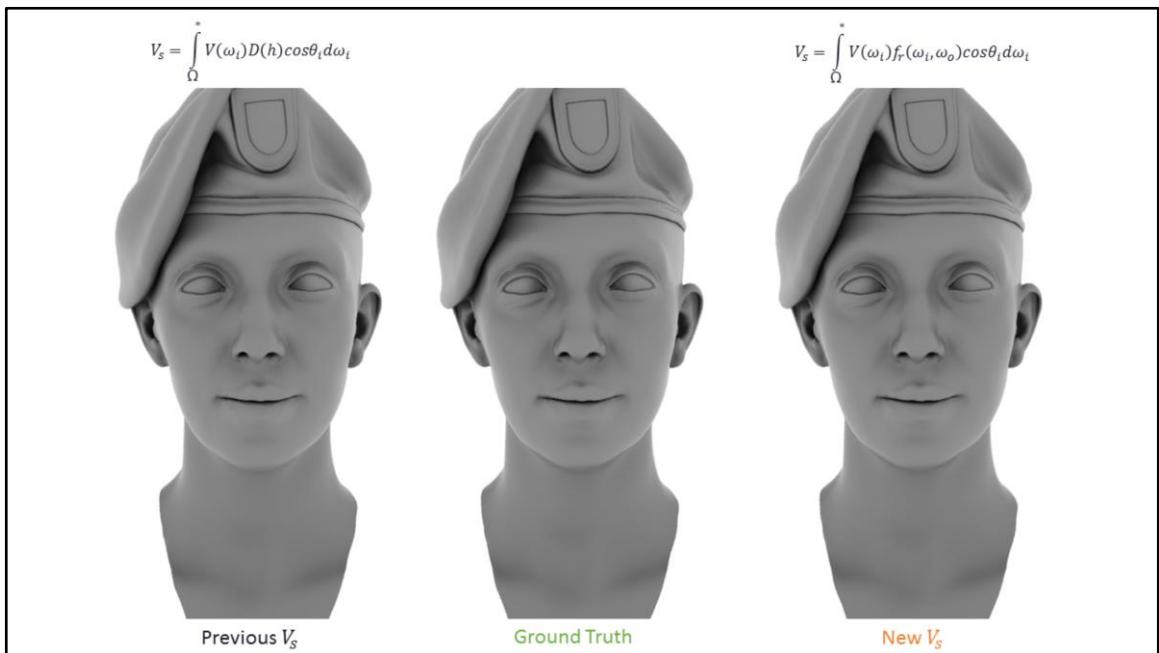
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Previous  $V_s$

New  $V_s$

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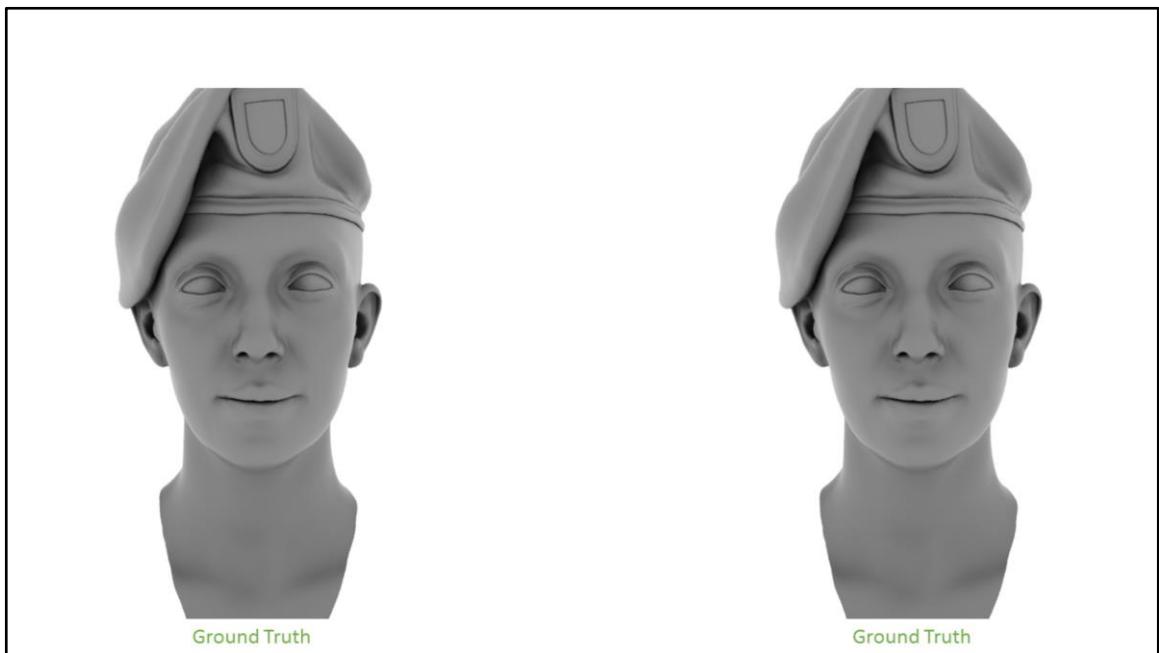
This is how this new formulation looks like, when compared with our previous one.



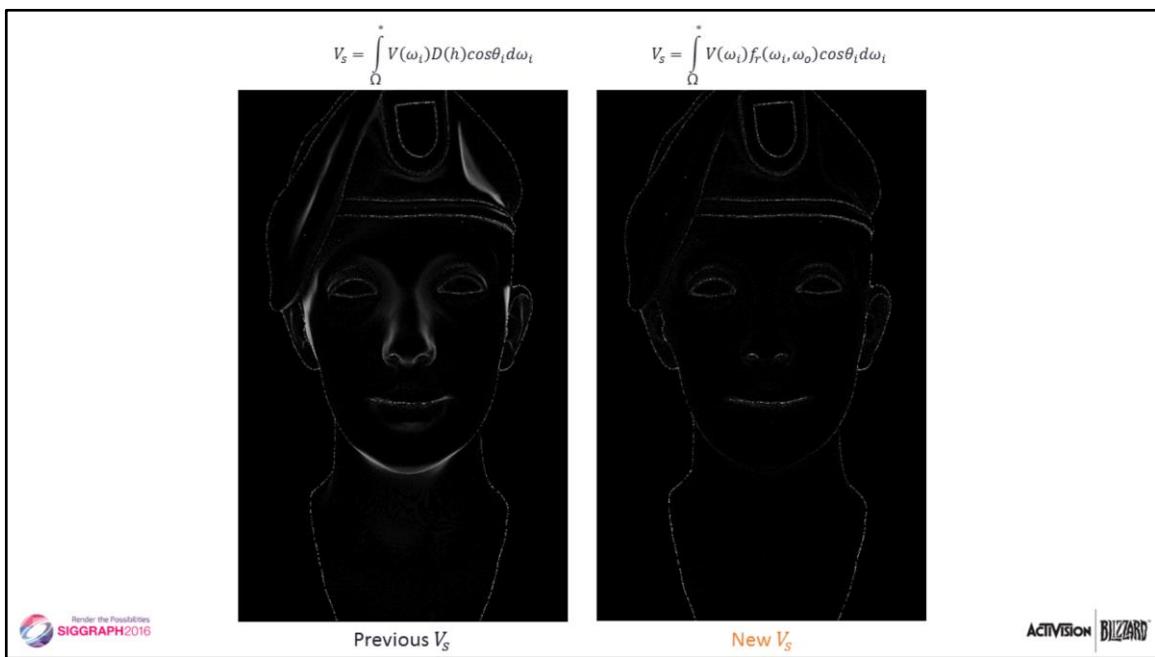
And here, you have some final renders for a white dome.

With our old formulation, on the left,  
ground truth, in the middle,  
and the new formulation on the right.

To better see that it completely matches the ground truth, lets flip the images back  
an forth.



The new approach on the right is completely equivalent to the ground truth.



Note: differences are due to aliasing differences, given that we are using Monte Carlo for these renders.

$$V_s = \int_{\Omega}^* V(\omega_i) D(h) \cos \theta_i d\omega_i$$

$$V_s = \int_{\Omega}^* V(\omega_i) f_r(\omega_i, \omega_o) \cos \theta_i d\omega_i$$





Monte Carlo Ground Truth



Monte Carlo Ground Truth

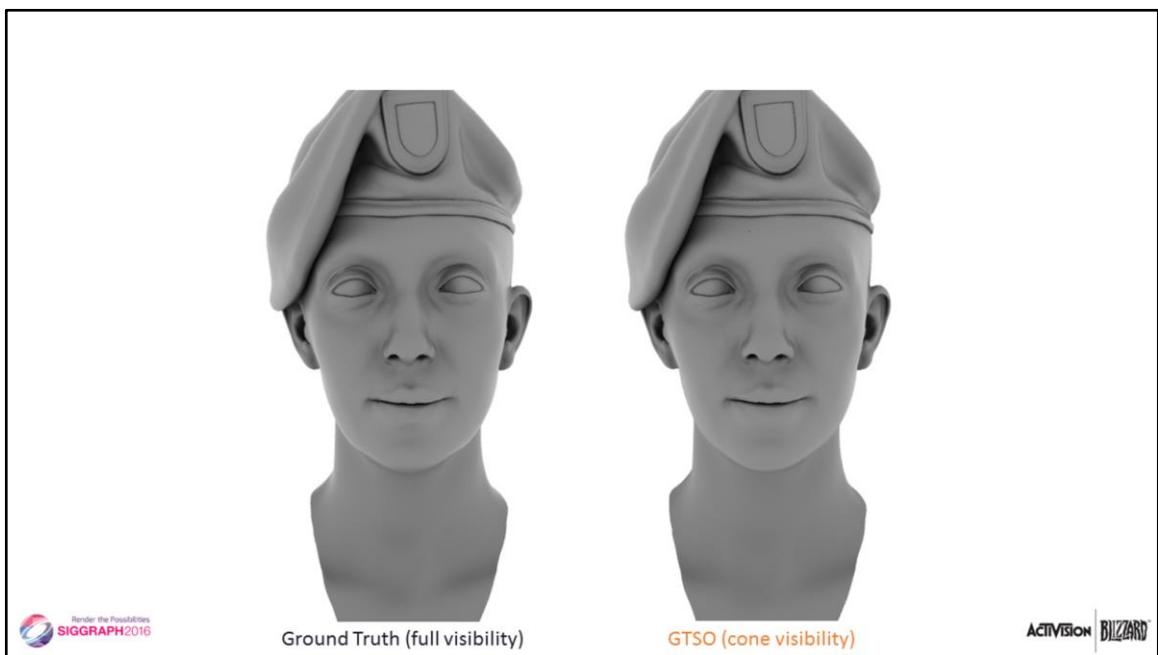
$$V_s = \int_{\Omega}^* V(\omega_i) D(h) \cos \theta_i d\omega_i$$

$$V_s = \int_{\Omega}^* V(\omega_i) f_r(\omega_i, \omega_o) \cos \theta_i d\omega_i$$



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The previous comparisons used the real visibility, on the interest of showing the match with the ground truth for a white dome.

But as you can see here, using a cone visibility is still a very good match to the ground truth.

# Conclusions

- Occlusion is as important as using physically based BRDFs
- Our main contributions:
  - Showing accurate approximations for:
    - Ambient Occlusion (with multiple bounces)
    - Specular Occlusion (for arbitrary BRDFs)
  - A specular occlusion definition
    - Analogous to the widely-used ambient occlusion one
  - Showing that accurate approximations can be used in game production
    - Full multi-bounce GTAO (0.5ms in the PS4@1080p)
    - Prototype of GTSO used for faces



Going to the conclusions, I'd like to remark that, in our opinion, occlusion is as important as using physically based BRDFs, if the goal is to achieve correct and photorealistic results.

To recap:

Our first contribution was to derive accurate approximations for both ambient and specular occlusion, without constraints on the number of bounces for ambient occlusion, nor on the BRDF we use for specular occlusion, given that it is actually baked.

The second contribution was to define an equation for specular occlusion that is analogous to the ambient occlusion one, meaning that it yields ground truth results when using white domes.

And finally, as our techniques have been employed in production under strict performance budgets, we have shown that we have less reasons now, to employ inaccurate hacks for indirect occlusion in modern hardware.

## Q&A - Acknowledgements

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- Ville Timonen

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So, this ends our presentation, I hope you liked it, and please do not hesitate to make any questions after the session is finished.

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