

# Optical-axis perturbation in triaxial ring resonator

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We report several findings on the optical-axis perturbation of monolithic triaxial ring resonator. A criterion,  $C$ , which represents the mismatching error of the monolithic triaxial ring resonator, has been found out and it cannot be decreased by modifying the angles of the terminal surfaces or the terminal mirrors of the resonator. When  $C \neq 0$ , an optimization method to share the mismatching error  $C$  in some specific directions equally and simultaneously has been proposed. The interesting findings are important to cavity design, cavity improvement, and alignment of the monolithic triaxial ring resonator. © 2008 Optical Society of America

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## 1. Introduction

One of the many successful uses of laser is as a rotate sensor. There have been many kinds of planar or nonplanar monoaxial ring resonators, which are widely used for laser gyroscopes [1–4]. Some applications need small size without compromising accuracy too much. The construction of a triaxial ring laser gyro in a single optical block leads to a better compromise between accuracy and small size.

There has been extensive research on the mirror misalignment-induced optical-axis perturbation of planar or nonplanar ring resonators [5–14]. All of the above-referenced articles are concerned only with the monoaxial ring resonator. A monolithic triaxial ring resonator [15] is shown in Fig. 1. Since each mirror of the monolithic triaxial ring resonator is used for two optical cavities, the misalignment of every single mirror will bring the optical-axis perturbation to two related optical cavities. This brings more complexity. The optical-axis perturbation of this monolithic triaxial ring resonator has not been analyzed before.

A mathematic model of a monolithic triaxial ring resonator has been established in this paper. By utilizing the result of a monoaxial ring resonator obtained in our previous papers and following the

extended matrix formulation [11,14,16,17], the optical-axis perturbations at several symmetrical points of the monolithic triaxial ring resonator will be analyzed in detail. The interrelationship in the optical-axis perturbations of the three monoaxial ring resonators will also be discussed in detail. A criterion,  $C$ , which represents the mismatching error of the monolithic triaxial ring resonator, will be found. When the mismatching error  $C$  is not equal to 0, an optimization method to share the mismatching error  $C$  will be obtained. The results in this paper are important to cavity design, cavity improvement, and alignment of the monolithic triaxial ring resonator.

## 2. Analysis Method and Results

As shown in Fig. 1, a monolithic triaxial ring cavity is chosen for analysis [15]. Mirrors  $M_1$ ,  $M_2$ ,  $M_3$ ,  $M_4$ ,  $M_5$ , and  $M_6$  are positioned in the center of each cube body face. The cube is machined such that a small diameter bore connects adjacent mirrors. A closed optical cavity is defined between four coplanar mirrors, which are interconnected by bores. There are three mutually orthogonal closed beam paths, each of which is used to detect angular rotation about its normal axis. The planar ring resonator that is defined by the optical cavity between the mirrors  $M_2$ ,  $M_3$ ,  $M_4$ , and  $M_6$  is called cavity A; the resonator defined by  $M_1$ ,  $M_3$ ,  $M_5$ , and  $M_6$  is called cavity B; and the resonator defined by  $M_1$ ,  $M_2$ ,  $M_5$ , and  $M_4$  is called cavity C. The

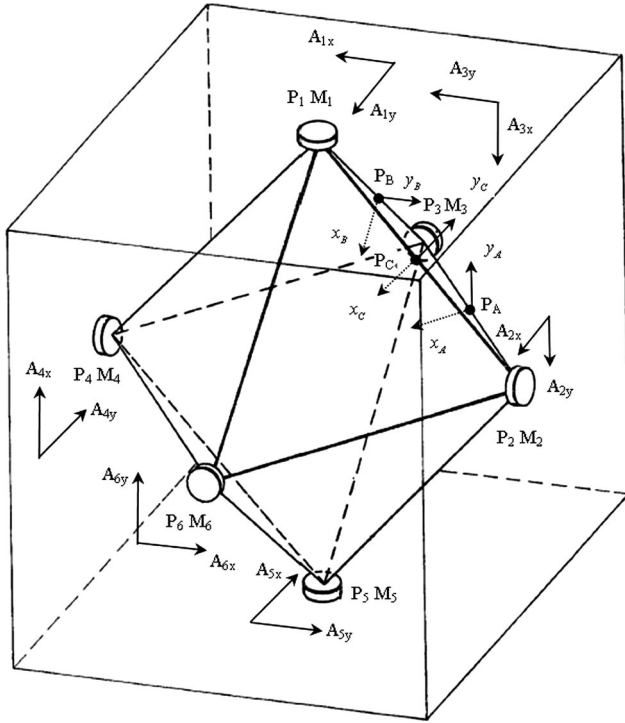


Fig. 1. Schematic of monolithic triaxial ring resonator.

mirrors  $M_1$ ,  $M_2$ , and  $M_3$  are spherical mirrors with common radius  $R$ , and the mirrors  $M_4$ ,  $M_5$ , and  $M_6$  are chosen to be flat. Points  $P_1$ ,  $P_2$ ,  $P_3$ ,  $P_4$ ,  $P_5$ , and  $P_6$  are the terminal points of the resonator. The diaphragms of cavities A, B, and C are, respectively, located at points  $P_A$ ,  $P_B$ , and  $P_C$ , which are the midpoints between the two spherical mirrors  $M_2$ ,  $M_3$ , or  $M_1$ . For a monolithic triaxial ring cavity, it would be better if the optical axes of all three monoaixal ring resonators pass through the center of their diaphragms simultaneously.

All three planar ring resonators are square ring resonators that are mutually orthogonal. A square ring resonator is shown in Fig. 2. The mirrors  $M_a$  and  $M_b$  are spherical mirrors, and the mirrors  $M_c$  and  $M_d$  are flat mirrors. The incidence angle is  $45^\circ$ . Points  $P_a$ ,  $P_b$ ,  $P_c$ , and  $P_d$  are the terminal points of the resonator. The diaphragm is located at point  $P_e$ , which is the midpoint between the two spherical mirrors  $P_a$  and  $P_b$ . The optical-axis perturbation at point  $P_e$  caused by the spherical and planar mirror's misalignments can be written as [11,14]

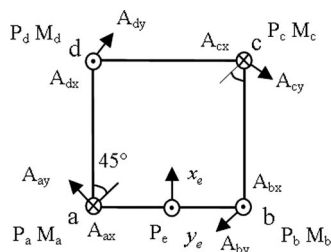


Fig. 2. Schematic of a square ring resonator.

$$\Delta x_e = \frac{\sqrt{2}}{4} R(\theta_{ax} + \theta_{bx} + \theta_{cx} + \theta_{dx}), \quad (1)$$

$$\Delta y_e = \frac{\sqrt{2}}{2} R(\theta_{ay} + \theta_{by} + \theta_{cy} + \theta_{dy}). \quad (2)$$

The optical-axis locations  $x_e$  and  $y_e$  are the optical-axis deviations from the longitudinal axis of the ideal diaphragm along the  $x$  and  $y$  axes, respectively.  $\Delta x_e$  and  $\Delta y_e$  are the optical-axis perturbations in the axes of  $x$  and  $y$ , respectively.  $\theta_{ix}$  and  $\theta_{iy}$  are the misalignment angles of mirror  $i$  ( $i = a, b, c, d$ ) in its local tangential and sagittal planes, respectively. Their positive orientations are defined as follows. As shown in Fig. 2, the positive orientation of  $y_e$  is upward and perpendicular to the plane of the resonator. The positive orientation of  $x_e$  is shown as the black arrow located at point  $P_c$ . The misalignment angles of  $\theta_{ax}$ ,  $\theta_{ay}$ ,  $\theta_{bx}$ ,  $\theta_{by}$ ,  $\theta_{cx}$ ,  $\theta_{cy}$ ,  $\theta_{dx}$ , and  $\theta_{dy}$  are defined as follows. We choose point  $a$  as an example and a spherical mirror  $P_a$  is located at point  $a$ . As shown in Figs. 2 and 3(a), to define the misalignment angles of  $\theta_{ax}$  and  $\theta_{ay}$ , two orthogonal rotation axes  $A_{ax}$  and  $A_{ay}$  have been defined for the mirror  $M_a$ . The two rotation axes  $A_{ax}$  and  $A_{ay}$  are in the plane of the mirror  $M_a$ . When we look at the mirror in front of the rotation axis  $A_{ax}$ , the mirror is shown as Figs. 3(b) and 3(c). When the mirror rotates clockwise with respect to its rotation axis  $A_{ax}$ , the induced misalignment angle of  $\theta_{ax}$  is positive and it is shown in Fig. 3(b), i.e.,  $\theta_{ax} > 0$ . When the mirror rotates counterclockwise with respect to its rotation axis  $A_{ax}$ , the induced misalignment angle of  $\theta_{ax}$  is negative and it is shown in Fig. 3(c), i.e.,  $\theta_{ax} < 0$ . The misalignment angles of  $\theta_{ay}$ ,  $\theta_{bx}$ ,  $\theta_{by}$ ,  $\theta_{cx}$ ,  $\theta_{cy}$ ,  $\theta_{dx}$ , and  $\theta_{dy}$  are defined similarly.

Based on the above discussion, we can discuss the optical-axis perturbation of the monolithic triaxial ring resonator. Points  $P_A$ ,  $P_B$ , and  $P_C$ , which are the diaphragms of the cavities A, B, and C, are chosen for analysis. As shown in Fig. 1, the positive orientations of  $x_A$ ,  $y_A$ ,  $x_B$ ,  $y_B$ ,  $x_C$ , and  $y_C$  are parallel to the directions of the vectors  $\vec{P_2 P_6}$ ,  $\vec{P_5 P_1}$ ,  $\vec{P_1 P_6}$ ,  $\vec{P_4 P_2}$ ,  $\vec{P_2 P_5}$ , and  $\vec{P_6 P_3}$ , respectively. The misalignment angles of  $\theta_{ix}$  and  $\theta_{iy}$  ( $i = 1, 2, 3, 4, 5, 6$ ) are defined as follows. We choose point  $P_1$  as an example and a spherical mirror  $M_1$  is located at point  $P_1$ . The induced misalignment angle of  $\theta_{1x}$  is positive when the spherical mirror rotates clockwise with respect to its rotation axis  $A_{1x}$ . The induced misalignment angle of  $\theta_{1x}$  is negative when

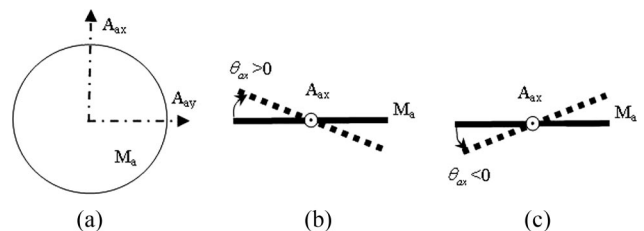


Fig. 3. Definition of the mirror's misalignments angle  $\theta_{ax}$ .

the spherical mirror rotates counterclockwise with respect to its rotation axis  $A_{1x}$ . The misalignment angles of  $\theta_{2x}$ ,  $\theta_{2y}$ ,  $\theta_{3x}$ ,  $\theta_{3y}$ ,  $\theta_{4x}$ ,  $\theta_{4y}$ ,  $\theta_{5x}$ ,  $\theta_{5y}$ ,  $\theta_{6x}$ , and  $\theta_{6y}$  are defined similarly. The directions of rotation axes  $A_{1x}$ ,  $A_{1y}$ ,  $A_{2x}$ ,  $A_{2y}$ ,  $A_{3x}$ ,  $A_{3y}$ ,  $A_{4x}$ ,  $A_{4y}$ ,  $A_{5x}$ ,  $A_{5y}$ ,  $A_{6x}$ , and  $A_{6y}$  are parallel to the directions of the vectors  $\vec{P_2P_4}$ ,  $\vec{P_3P_6}$ ,  $\vec{P_1P_5}$ ,  $\vec{P_2P_4}$ ,  $\vec{P_5P_1}$ ,  $\vec{P_6P_3}$ ,  $\vec{P_6P_3}$ ,  $\vec{P_4P_2}$ ,  $\vec{P_4P_2}$ , and  $\vec{P_5P_1}$ , respectively. The optical-axis perturbations at points  $P_A$ ,  $P_B$ , and  $P_C$  caused by the spherical and planar mirror's misalignments can be written as

$$\begin{aligned}\Delta x_A &= \frac{\sqrt{2}}{4} R(\theta_{2y} - \theta_{3x} - \theta_{4x} + \theta_{6y}), \\ \Delta y_A &= \frac{\sqrt{2}}{2} R(\theta_{2x} - \theta_{3y} + \theta_{4y} - \theta_{6x}),\end{aligned}\quad (3)$$

$$\begin{aligned}\Delta x_B &= \frac{\sqrt{2}}{4} R(\theta_{3y} - \theta_{1x} - \theta_{6x} + \theta_{5y}), \\ \Delta y_B &= \frac{\sqrt{2}}{2} R(\theta_{3x} - \theta_{1y} + \theta_{6y} - \theta_{5x}),\end{aligned}\quad (4)$$

$$\begin{aligned}\Delta x_C &= \frac{\sqrt{2}}{4} R(\theta_{1y} - \theta_{2x} - \theta_{5x} + \theta_{4y}), \\ \Delta y_C &= \frac{\sqrt{2}}{2} R(\theta_{1x} - \theta_{2y} + \theta_{5y} - \theta_{4x}).\end{aligned}\quad (5)$$

If we let

$$\begin{aligned}x'_A &= x_A, & y'_A &= y_A/2, & x'_B &= x_B, & y'_B &= y_B/2, \\ x'_C &= x_C, & y'_C &= y_C/2.\end{aligned}\quad (6)$$

Then,

$$\begin{aligned}\Delta x'_A &= \Delta x_A, & \Delta y'_A &= \Delta y_A/2, & \Delta x'_B &= \Delta x_B, \\ \Delta y'_B &= \Delta y_B/2, & \Delta x'_C &= \Delta x_C, & \Delta y'_C &= \Delta y_C/2,\end{aligned}\quad (7)$$

we can obtain

$$\Delta x'_A + \Delta y'_A + \Delta x'_B + \Delta y'_B + \Delta x'_C + \Delta y'_C = 0. \quad (8)$$

That is to say, however big or small the misalignment angles brought by the three spherical mirrors and the three planar mirrors are, Eq. (8) will always be valid. We use the functions of  $x'_A(t)$ ,  $y'_A(t)$ ,  $x'_B(t)$ ,  $y'_B(t)$ , and  $x'_C(t)$ ,  $y'_C(t)$  to represent the optical-axis locations at the time of  $t$ . When  $t = 0$ , the optical-axis locations at points  $P_A$ ,  $P_B$ , and  $P_C$  can be written as  $x'_A(0)$ ,  $y'_A(0)$ ,  $x'_B(0)$ ,  $y'_B(0)$ , and  $x'_C(0)$ ,  $y'_C(0)$ . The optical-axis perturbations during the period of time from 0 to  $t$  can be written as

$$\begin{aligned}\Delta x'_A(0 \rightarrow t) &= x'_A(t) - x'_A(0), \\ \Delta y'_A(0 \rightarrow t) &= y'_A(t) - y'_A(0), \\ \Delta x'_B(0 \rightarrow t) &= x'_B(t) - x'_B(0), \\ \Delta y'_B(0 \rightarrow t) &= y'_B(t) - y'_B(0), \\ \Delta x'_C(0 \rightarrow t) &= x'_C(t) - x'_C(0), \\ \Delta y'_C(0 \rightarrow t) &= y'_C(t) - y'_C(0).\end{aligned}\quad (9)$$

According to Eq. (8),

$$\begin{aligned}\Delta x'_A(0 \rightarrow t) + \Delta y'_A(0 \rightarrow t) + \Delta x'_B(0 \rightarrow t) + \Delta y'_B(0 \rightarrow t) \\ + \Delta x'_C(0 \rightarrow t) + \Delta y'_C(0 \rightarrow t) = 0.\end{aligned}\quad (10)$$

From Eq. (9), we can obtain

$$\begin{aligned}x'_A(t) + y'_A(t) + x'_B(t) + y'_B(t) + x'_C(t) + y'_C(t) &= x'_A(0) \\ + y'_A(0) + x'_B(0) + y'_B(0) + x'_C(0) + y'_C(0).\end{aligned}\quad (11)$$

Equation (11) is always valid at any time of  $t$  for an ideal triaxial ring resonator. In fact, because there has always been the machining error in any actual resonator, Eq. (12) should be equal to a constant for a real triaxial ring resonator and we could define the constant as  $C$ :

$$x'_A(t) + y'_A(t) + x'_B(t) + y'_B(t) + x'_C(t) + y'_C(t) = C. \quad (12)$$

The value of the constant  $C$  is not changeable with the misalignment of any spherical mirror or planar mirror. The machining angle error of any terminal surface of a resonator can be equivalent to the misalignment angle of the terminal mirror. When a triaxial ring resonator has been machined, its value of  $C$  cannot be decreased by modifying the angles of the terminal surfaces or the terminal mirrors. We can call this constant  $C$  the mismatching error of the triaxial ring resonator. The smaller the value of  $C$ , the smaller the mismatching error. When  $C = 0$ , the mismatching error is 0 and it is an ideal triaxial ring resonator.

Because the mismatching error  $C$  of an actual triaxial ring resonator is always not equal to 0, we need to find out an optimization method to share the mismatching error. By utilizing Eqs. (6)–(8), the distances between the optical axis and the center of the diaphragm at any point of  $P_A$ ,  $P_B$ , and  $P_C$  can be written as  $D_A$ ,  $D_B$ , and  $D_C$ ,

$$\begin{aligned}D_A &= \sqrt{x_A^2 + y_A^2} = \sqrt{x_A'^2 + 4y_A'^2}, \\ D_B &= \sqrt{x_B^2 + y_B^2} = \sqrt{x_B'^2 + 4y_B'^2}, \\ D_C &= \sqrt{x_C^2 + y_C^2} = \sqrt{x_C'^2 + 4y_C'^2}.\end{aligned}\quad (13)$$

Obviously, the three monoaxial ring resonators cannot be aligned to the best condition of  $D_A = D_B = D_C = 0$  simultaneously because  $C \neq 0$ . To make the total diffraction loss of the monolithic triaxial ring resonator the lowest, the values of  $D_A$ ,  $D_B$ , and  $D_C$  should be simultaneously made the smallest. That is to say that the mismatching error should be shared equally. So the best case should be

$$\begin{aligned}x'_A(t) &= x'_B(t) = x'_C(t) = C/3, \\y'_A(t) &= y'_B(t) = y'_C(t) = 0, \\D_A &= D_B = D_C = \frac{|C|}{3}.\end{aligned}\quad (14)$$

If we let the mismatching error be shared equally by  $y'_A(t)$ ,  $y'_B(t)$ , and  $y'_C(t)$ , then

$$\begin{aligned}y'_A(t) &= y'_B(t) = y'_C(t) = C/3, \\x'_A(t) &= x'_B(t) = x'_C(t) = 0, \\D_A &= D_B = D_C = \frac{2}{3}|C|.\end{aligned}\quad (15)$$

The following is another example of sharing the mismatching error:

$$\begin{aligned}x'_A(t) &= -C/3, & x'_B(t) &= C, & x'_C(t) &= C/3, \\y'_A(t) &= y'_B(t) = y'_C(t) = 0, \\D_A &= \frac{1}{3}|C|, & D_B &= |C|, & D_C &= \frac{1}{3}|C|.\end{aligned}\quad (16)$$

Obviously the first case is better than the next two cases.

### 3. Conclusions

In conclusion, a criterion  $C$ , which represents the mismatching error of the monolithic triaxial ring resonator, has been found. It is basic that the machining accuracy should be enhanced to decrease the mismatching error  $C$ . The mismatching error  $C$  of the triaxial ring resonator cannot be decreased by modifying the angles of the terminal surfaces or the terminal mirrors. When  $C \neq 0$ , the three monoaxial ring resonators cannot be aligned to the best condition simultaneously. An optimization method to share the

mismatching error  $C$  has been proposed. The method is to share the mismatching error  $C$  in the three specific directions of  $x_A$ ,  $x_B$ , and  $x_C$  equally and simultaneously. By utilizing this method, the alignment precision can be greatly improved and the total diffraction loss will be lowest in any monolithic triaxial ring resonator.

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