

A Survey on Deep Learning in Medical Image Analysis

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Abstract

Deep learning algorithms, in particular convolutional networks, have rapidly become a methodology of choice for analyzing medical images. This paper reviews the major deep learning concepts pertinent to medical image analysis and summarizes over 300 contributions to the field, most of which appeared in the last year. We survey the use of deep learning for image classification, object detection, segmentation, registration, and other tasks and provide concise overviews of studies per application area. Open challenges and directions for future research are discussed.

Keywords: deep learning, convolutional neural networks, medical imaging, survey

1. Introduction

As soon as it was possible to scan and load medical images into a computer, researchers have built systems for automated analysis. Initially, from the 1970s to the 1990s, medical image analysis was done with sequential application of low-level pixel processing (edge and line detector filters, region growing) and mathematical modeling (fitting lines, circles and ellipses) to construct compound rule-based systems that solved particular tasks. There is an analogy with expert systems with many if-then-else statements that were popular in artificial intelligence in the same period. These expert systems have been described as GOFAI (good old-fashioned artificial intelligence) and were often brittle; similar to rule-based image processing systems.

At the end of the 1990s, supervised techniques, where training data is used to develop a system, were becoming increasingly popular in medical image analysis. Examples include active shape models (for segmentation), atlas methods (where the atlases that are fit to new data form the training data), and the concept of feature extraction and use of statistical classifiers (for computer-aided detection and diagnosis). This pattern recognition or machine learning approach is still very popular and forms the basis of many successful commercially available medical image analysis systems. Thus, we have seen a shift from systems that are completely de-

signed by humans to systems that are trained by computers using example data from which feature vectors are extracted. Computer algorithms determine the optimal decision boundary in the high-dimensional feature space. A crucial step in the design of such systems is the extraction of discriminant features from the images. This process is still done by human researchers and, as such, one speaks of systems with *handcrafted* features.

A logical next step is to let computers learn the features that optimally represent the data for the problem at hand. This concept lies at the basis of many deep learning algorithms: models (networks) composed of many layers that transform input data (e.g. images) to outputs (e.g. disease present/absent) while learning increasingly higher level features. The most successful type of models for image analysis to date are convolutional neural networks (CNNs). CNNs contain many layers that transform their input with convolution filters of a small extent. Work on CNNs has been done since the late seventies ([Fukushima \(1980\)](#)) and they were already applied to medical image analysis in 1995 by [Lo et al. \(1995\)](#). They saw their first successful real-world application in LeNet ([Lecun et al. \(1998\)](#)) for hand-written digit recognition. Despite these initial successes, the use of CNNs did not gather momentum until various new techniques were developed for efficiently training deep networks, and advances were made in core computing systems. The watershed was the contribution of

Krizhevsky et al. (2012) to the ImageNet challenge in December 2012. The proposed CNN, called AlexNet, won that competition by a large margin. In subsequent years, large progress has been made using related but deeper architectures (Russakovsky et al. (2014)). In computer vision, deep convolutional networks have now become the technique of choice.

The medical image analysis community has taken notice of these pivotal developments. However, the transition from systems that use handcrafted features to systems that learn features from the data has been gradual. Before the breakthrough of AlexNet, many different techniques to learn features were popular. Bengio et al. (2013) provide a thorough review of these techniques. They include principal component analysis, clustering of image patches, dictionary approaches, and many more. Bengio et al. (2013) introduce CNNs that are trained end-to-end only at the end of their review in a section entitled *Global training of deep models*. In this survey, we focus particularly on such deep models, and do not include the more traditional feature learning approaches that have been applied to medical images. For a broader review on the application of deep learning in health informatics we refer to Ravi et al. (2017), where medical image analysis is briefly touched upon.

In this survey over 300 papers are reviewed, most of them recent, on a wide variety of applications of deep learning in medical image analysis. The appendix describes how the papers included in this survey were selected¹. With this survey we aim to:

- show that deep learning techniques have permeated the entire field of medical image analysis;
- identify the challenges for successful application of deep learning to medical imaging tasks;
- highlight specific contributions which solve or circumvent these challenges.

Applications of deep learning to medical image analysis first started to appear at workshops and conferences, and then in journals. The number of papers grew rapidly in 2015 and 2016. This is illustrated in Figure 1. The topic is now dominant at major conferences and a first special issue appeared of IEEE Transaction on Medical Imaging in May 2016 (Greenspan et al. (2016)).

The structure of this paper is as follows: In Section 2 we introduce the main deep learning techniques that have been used for medical image analysis. Section 3 describes the contributions of deep learning to various

generic tasks in medical image analysis: classification, detection, segmentation, registration, retrieval, image generation and enhancement. Section 4 discusses obtained results and open challenges per application area. We end with a general discussion and an outlook for future research.

2. Overview of deep learning techniques

In this section, we introduce the deep learning concepts that are important for and have been applied to medical image analysis. Readers who are interested in more background can consult one of several reviews and tutorials (Schmidhuber (2015); Gu et al. (2015); LeCun et al. (2015); Lecun et al. (1998)). A schematic representation of some of the most commonly used networks can be found in Figure 2.

2.1. Neural networks

Most deep architectures are based on neural networks and can be considered as a generalization of a linear or logistic regression. The activation a of each neuron in such a network represents a linear combination of some inputs \mathbf{x} and a set of learned parameters, \mathbf{w} and b , followed by an element-wise non-linearity $\sigma(\cdot)$:

$$a = \sigma(\mathbf{w}^T \mathbf{x} + b) \quad (1)$$

A neural network consists of several layers L of stacked neurons through which a signal is propagated, $\sigma(\mathbf{w}_L^T \sigma(\mathbf{w}_{L-1}^T \dots) + b_L)$. When multiple, mono-directional (i.e. feed-forward) layers are stacked in such a way, the model is referred to as a multi-layered perceptron (MLP), where the intermediate layers are typically known as *hidden layers* as they are not directly observed (in contrast to the in- and output layers). When a network has many layers it is often called 'deep' or a deep neural network (DNN).

For a long time, DNNs were considered hard to train efficiently and only gained popularity in 2006 (Hinton and Salakhutdinov (2006); Hinton et al. (2006); Bengio et al. (2007)) when it was shown that greedily training DNNs layer-by-layer in an unsupervised manner (pre-training), followed by supervised fine-tuning of the stacked network, could result in excellent pattern recognition tools. Two popular architectures trained in such a way are stacked auto-encoders (SAEs) and deep belief networks (DBNs). An alternative strategy is to train an entire deep network end-to-end in a supervised fashion. Two of such architectures are currently popular: convolutional neural networks (CNNs) and recurrent neural networks (RNNs). At the time of writing, CNNs are far

¹We welcome feedback on work missing from this overview.

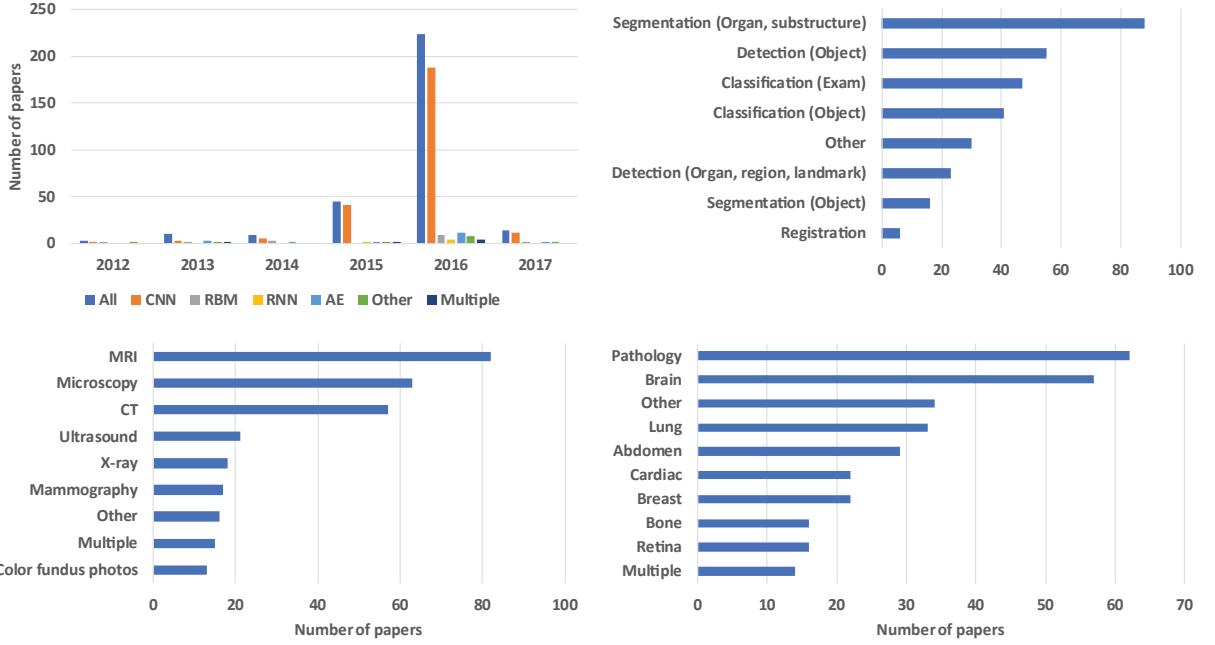


Figure 1: Breakdown of the papers included in this survey in year of publication, task addressed (Section 3), imaging modality, and application area (Section 4).

more ubiquitous in (medical) image analysis, although RNNs are gaining popularity.

2.2. Types of neural networks

2.2.1. Auto-encoders (AEs) and Stacked Auto-encoders (SAEs)

AEs are simple networks that are trained to reconstruct the input \mathbf{x} on the output layer \mathbf{x}' through one hidden layer \mathbf{h} . They are governed by weight matrices $\mathbf{W}_{x,h}$ and $\mathbf{W}_{h,x'}$ and biases $b_{x,h}$ and $b_{h,x'}$. If the hidden layer had the same size as the input and no further non-linearities were added, the model would simply learn the identity function. The crucial feature however, is the use of a non-linear activation function to compute the latent representation

$$\mathbf{h} = \sigma(\mathbf{W}_{x,h}\mathbf{x} + \mathbf{b}_{x,h}), \quad (2)$$

and typically take an $|\mathbf{h}|$ that is smaller than $|\mathbf{x}|$. This way, the data is projected onto a lower dimensional subspace representing a dominant latent structure in the input. Regularization or sparsity constraints can be employed to better discover relevant structure. The weight matrix $\mathbf{W}_{x,h}$ is often taken to be $\mathbf{W}_{x,h}^T$. The denoising auto-encoder ([Vincent et al. \(2010\)](#)) is another proposed solution to prevent the model from learning a trivial solution. In this setting, the model is trained to reconstruct

the input from a noise corrupted version (typically salt-and-pepper-noise). SAEs (or deep AEs) are formed by placing auto-encoder layers on top of each other. Typically, each auto-encoder layer was trained individually ('greedily') after which the full network was fine-tuned using supervised training to predict some label given an input.

2.2.2. Restricted Boltzmann Machines (RBMs) and Deep Belief Networks (DBNs)

RBM ([Hinton \(2010\)](#)) are a type of Markov Random Field (MRF), constituting an input layer or visible layer $\mathbf{x} = (x_1, x_2, \dots, x_N)$ and a hidden layer $\mathbf{h} = (h_1, h_2, \dots, h_M)$ that carries the latent feature representation. The connections between the nodes are bidirectional, given an input vector \mathbf{x} one can obtain the latent feature representation \mathbf{h} , but also vice versa. As such, the RBM is a generative model, meaning we can sample from it and generate new data points coming from the distribution on which it is trained. In analogy to physical systems, an energy function is defined for a particular state (\mathbf{x}, \mathbf{h}) of input and hidden units:

$$E(\mathbf{x}, \mathbf{h}) = \mathbf{h}^T \mathbf{W} \mathbf{x} - \mathbf{c}^T \mathbf{x} - \mathbf{b}^T \mathbf{h} \quad (3)$$

with \mathbf{c} and \mathbf{b} bias terms. The probability of the 'state' of the system is defined by simply tossing the energy into

an exponential and normalizing for each possible state:

$$p(\mathbf{x}, \mathbf{h}) = \frac{1}{Z} \exp\{-E(\mathbf{x}, \mathbf{h})\} \quad (4)$$

Computing the partition function Z is generally intractable. However, conditional inference in the form of computing \mathbf{h} conditioned on \mathbf{v} or vice versa is tractable and results in a simple formula:

$$P(h_j|\mathbf{x}) = \frac{1}{1 + \exp\{-b_j - \mathbf{W}_j \mathbf{x}\}} \quad (5)$$

Since the network is symmetric, a similar expression holds for $P(x_i|\mathbf{h})$.

DBNs ([Hinton et al. \(2006\)](#); [Bengio et al. \(2007\)](#)) are essentially SAEs where the AE layers are replaced by RBMs. Training of the individual layers is, again, done in an unsupervised manner. Final fine-tuning is performed by adding a linear classifier to the top layer of the DBN and performing a supervised optimization.

2.2.3. Convolutional Neural Networks (CNNs)

The strength of CNNs lies in their weight sharing, exploiting the intuition that similar structures occur in different locations in an image. When seeing \mathbf{x} as a vectorized image, weights can be shared in such a way that it results in a convolution operation, the main workhorse of the CNN. This drastically reduces the amount of parameters (i.e. the number of weights no longer depends on the size of the input image) that need to be learned and renders the network equivariant with respect to translations of the input.

At each layer, the input image is convolved with a set of K kernels $\mathcal{W} = \{\mathbf{W}_1, \mathbf{W}_2, \dots, \mathbf{W}_K\}$ and subsequently biases $\mathcal{B} = \{b_1, \dots, b_K\}$ are added, each generating a new feature map \mathbf{X}_k . These features are subjected to an element-wise non-linear transform $\sigma(\cdot)$ and the same process is repeated for every convolutional layer l :

$$\mathbf{X}_k^l = \sigma(\mathbf{W}_k^{l-1} \otimes \mathbf{X}^{l-1} + b_k^{l-1}) \quad (6)$$

Convolutional layers are typically alternated with pooling layers where pixel values of neighborhoods are aggregated using some permutation invariant function, typically the max or mean operations, which induce a certain amount of translation invariance.

At the end of the convolutional stream of the network, fully-connected layers (i.e. regular neural network layers) are usually added to act as classification layers, where weights are no longer shared. In contrast to SAEs and DBNs, CNNs are typically trained end-to-end (as opposed to layer-by-layer) in a completely supervised

manner. The significant reduction in the number of weights and the translational invariance of the learned features (i.e. the convolution kernels) contributes to the ability of CNNs to be trained end-to-end.

2.2.4. Recurrent Neural Networks (RNNs)

RNNs exploit structure in the data, similar to the weight sharing in CNNs but using sequential structure instead. Rather than learning the posterior over Y given a input vector \mathbf{x} , the model learns it given a sequence $\mathbf{x}_1, \mathbf{x}_2, \dots, \mathbf{x}_T$ and are therefore more general.

The plain RNN maintains a latent or hidden state \mathbf{h} at time t that is some non-linear mapping from its input \mathbf{x}_t and the previous state \mathbf{h}_{t-1} :

$$\mathbf{h}_t = \sigma(\mathbf{W}\mathbf{x}_t + \mathbf{R}\mathbf{h}_{t-1} + \mathbf{b}) \quad (7)$$

where weight matrices \mathbf{W} and \mathbf{R} are shared over time. For classification, some fully connected layers are typically added followed by a softmax to map the sequence to a posterior over the classes.

$$P(Y = y|\mathbf{x}_1, \mathbf{x}_2, \dots, \mathbf{x}_T; \Theta) = \text{softmax}(\mathbf{h}_T; \mathbf{W}_{out}, \mathbf{b}_{out}) \quad (8)$$

Since the gradient needs to be backpropagated from the output through time, RNNs are inherently deep (in time) and consequently suffer from the problems of fading or exploding gradients during learning ([Bengio et al. \(1994\)](#)). To this end, several specialized memory units have been developed. The earliest one is the Long Short Term Memory (LSTM) cell ([Hochreiter and Schmidhuber \(1997\)](#)). LSTM units comprise gating functions and can be seen as a differentiable version of a computer memory chip. Each gate is governed by a weight matrix from the input and a weight matrix from the previous hidden state. At the heart of the unit lies a memory cell \mathbf{c} that combines the activation of the other gates and relays it to the output of the unit and the next state of its memory. The model effectively learns when to read and write from the memory.

2.3. Deep CNN Architectures

Given the prevalence of convolutional neural networks in medical imaging, we elaborate on the most common architectures and architectural differences between widely used models.

2.3.1. General classification architectures

The paper by [Krizhevsky et al. \(2012\)](#) introduced the so-called AlexNet, which shattered previous records

on the ImageNet Large Scale Visual Recognition Challenge (ILSVRC) in 2012, and is still one of the most well-known CNN architectures for classification. It is comprised of feature maps of {96, 256, 384, 384, 256} kernels with pooling on the 1st, 2nd, and 5th layers; kernel sizes were {11, 5, 3, 3, 3} respectively. Two fully connected layers of 4096 units were added to the end of the network, which resulted in a total of 60 million parameters.

In the last three years, there seems to be a preference towards deeper models with more complex building blocks. Deeper networks have been shown to be able to represent certain function classes exponentially more efficiently ([Srivastava et al. \(2014\)](#); [Bengio \(2012\)](#)) and generally have a lower memory footprint during inference, enabling their deployment on mobile computing devices such as smartphones. [Simonyan and Zisserman \(2014\)](#) were the first to explore much deeper networks, and employed fixed 3×3 sized kernels, the most popular being *VGG-19*, a 19 layer network which won the ImageNet challenge of 2014. [Szegedy et al. \(2014\)](#) introduced a 22-layer network coined *GoogLeNet*. Their model makes use of so-called inception blocks ([Lin et al. \(2013\)](#)). Inception blocks can be interpreted as a network-in-a-network, where the input is branched into several different convolutional sub-networks which are concatenated at the end of the block. Inception blocks also introduced the application of 1×1 convolutions to reduce the dimensionality of the feature maps.

The *ResNet* architecture ([He et al. \(2015\)](#)) is currently the best performing deep architecture, being the winner of the ImageNet challenge in 2015. A so-called ResNet-block is defined as:

$$\mathbf{y} = \mathbf{x} + F(\mathbf{x}, W_R). \quad (9)$$

As one can distill from this equation, the network only needs to learn the residual $F(\mathbf{x}, W_R)$. This way, the model is preconditioned towards learning ‘simple’ parsimonious representations in each layer that are close to the identity function. The fact that the ResNet submission of 2015 only had 15% of the floating point operations (FLOPS) compared to VGG-19, the winner of the previous year (3.6 billion vs 19.6 billion), proves this. Function $F(\mathbf{x}, W_R)$ is known as a residual block, for which several variants have been proposed ([He et al. \(2015, 2016\)](#)). ResNet architectures have also been combined with inception blocks ([Szegedy et al. \(2016\)](#)).

2.3.2. Multi-stream architectures

The default CNN architecture can accommodate multiple sources of information or representations of the input in the form of channels presented to the input layer.

In principle, however, different channels can be merged at any point in the pipeline. Under the intuition that different tasks require different ways of fusion, *multi-stream* architectures are being explored. These models, sometimes referred to as dual pathway architectures ([Kamnitsas et al. \(2017\)](#)), have two main applications at the time of writing: (1) multi-scale image analysis and (2) 2.5D classification; both relevant for medical image processing tasks.

Image analysis on multiple scales has been done for several decades and is a well studied concept. For object detection, context is often an important cue. Even though the most straightforward way to increase context is to feed larger patches to the network, this can significantly increase the amount of parameters and memory requirements of a network. Furthermore, it effectively decreases the signal-to-noise ratio and therefore makes learning more difficult. Consequently, architectures where context is added in a down-scaled representation in addition to high resolution local information, have been investigated. To the best of our knowledge, the multi-stream multi-scale architecture was first explored by [Farabet et al. \(2013\)](#), who used it for multi-scale segmentation. Several medical applications have also successfully used this concept ([Song et al. \(2015\)](#); [Moeskops et al. \(2016a\)](#); [Kamnitsas et al. \(2017\)](#); [Yang et al. \(2016c\)](#)).

An additional challenge in the medical domain is novel input formats such as 3D data. In early applications of CNNs to three dimensional images, full 3D convolutions were circumvented by dividing the Volume of Interest (VOI) into slices which are fed as different streams to a network. [Prasoon et al. \(2013\)](#) were the first to use this strategy for knee cartilage segmentation. Another strategy is to feed the network multiple angled patches from the 3D-space in a multi-stream fashion, which has been applied by various authors in the context of medical imaging ([Roth et al. \(2016b\)](#); [Setio et al. \(2016\)](#)).

2.3.3. Segmentation Architectures

Segmentation is a common task in medical image analysis. Although CNNs in their basic form are classification architectures, they could straightforwardly be applied to every single pixel or voxel in an image, using a patch or subimage centered on that pixel or voxel, and predicting if the pixel or voxel belongs to the object of interest.

A naive ‘sliding window’-approach has the drawback that input patches from neighboring pixels have huge overlap and convolutions are computed many times for the same pixels. Fortunately, the convolution and dot

product are both linear operators and they can be represented interchangeably, which allows the application of networks to images larger than the ones they were trained on by rewriting the fully connected layers as convolutions. The resultant ‘fully convolutional network’ (fCNN) can then be applied as a single set of stacked convolutions to an entire image in an efficient fashion. However, due to the use of ‘valid’ convolutions or pooling layers, this may result in output with a far lower resolution than the input. Several solutions have been proposed to circumvent this resolution degradation. The most straightforward one is called ‘shift-and-stitch’ ([Long et al. \(2015\)](#)), where the fCNN is applied exactly the same amount of times as the downsampling factor in each direction with a shift of one pixel each time. By stitching the result together, one obtains a full resolution version of the final output, minus the pixels lost due to the ‘valid’ convolutions.

[Ronneberger et al. \(2015\)](#) took the idea of the fCNN one step further and proposed an architecture (so-called U-net architecture), comprising a ‘regular’ CNN followed by an upsampling part where ‘up’-convolutions are used to increase the image size, coined contractive and expansive paths. A similar approach was used by [Çiçek et al. \(2016\)](#) for 3D data. [Milletari et al. \(2016b\)](#) proposed an extension to the U-Net layout that incorporates ResNet-like residual blocks and a Dice loss layer that directly minimizes the commonly used segmentation error measure.

2.4. Hardware and Software

One of the main contributors to deep learning taking flight in recent years has been the cheap and wide availability of GPU and the corresponding GPU-computing libraries (CUDA, OpenCL). GPUs are highly parallel computing engines, which have an order of magnitude more execution threads than central processing units (CPUs). With current hardware, deep learning on GPUs is typically 10 to 30 times faster than on CPUs.

Next to the hardware developments, the other driving force behind the popularity of deep learning methods is the wide availability of open source packages. These libraries provide efficient GPU implementations of important operations in neural networks, such as convolutions; allowing the user to implement ideas at a high level rather than worrying about implementing a convolutional operator in the most efficient way. At the time of writing, the most popular packages were (in alphabetical order):

- **Caffe** ([Jia et al. \(2014\)](#)). Provides C++ and Python interfaces, developed by graduate students at UC

Berkeley.

- **Tensorflow** ([Abadi et al. \(2016\)](#)). Provides C++ and Python and interfaces, developed by Google and is used by Google research.
- **Theano** ([Bastien et al. \(2012\)](#)). Provides a Python interface, developed by MILA lab in Montreal.
- **Torch** ([Collobert et al. \(2011\)](#)). Provides a Lua interface and is used by, among others, Facebook AI research.

There are third-party packages written on top of one or more of these frameworks, such as Lasagne (<https://github.com/Lasagne/Lasagne>) or Keras (<https://keras.io/>). It goes beyond the scope of this paper to discuss all these packages in detail.

3. Deep learning in Medical Imaging

3.1. Classification

3.1.1. Image/exam classification

Image or exam classification was one of the first areas in which deep learning made a major contribution to medical image analysis. In exam classification one typically has one or multiple images (an exam) as input with a single diagnostic variable as output (e.g., disease present or not). In such a setting, every diagnostic exam is a sample and dataset sizes are typically small compared to those in computer vision (e.g., hundreds/thousands vs. millions of samples). The popularity of transfer learning for such applications is therefore not surprising.

Transfer learning is essentially the use of pre-trained networks (typically on natural images) to try to work around the (perceived) requirement of large data sets for deep network training. Two transfer learning strategies were identified: (1) using a pre-trained network as a feature extractor and (2) fine-tuning a pre-trained network on medical data. The former strategy has the extra benefit of not requiring one to train a deep network at all, allowing the extracted features to be easily plugged in to existing image analysis pipelines. Both strategies are popular and have been widely applied. However, few authors perform a thorough investigation in which strategy gives the best result. The two papers that do, [Antony et al. \(2016\)](#) and [Kim et al. \(2016a\)](#), offer conflicting results. In the case of [Antony et al. \(2016\)](#), fine-tuning clearly outperformed feature extraction, achieving 57.6% accuracy in multi-class grade assessment of knee osteoarthritis versus 53.4%. [Kim et al. \(2016a\)](#), however, showed that using CNN as a feature extractor

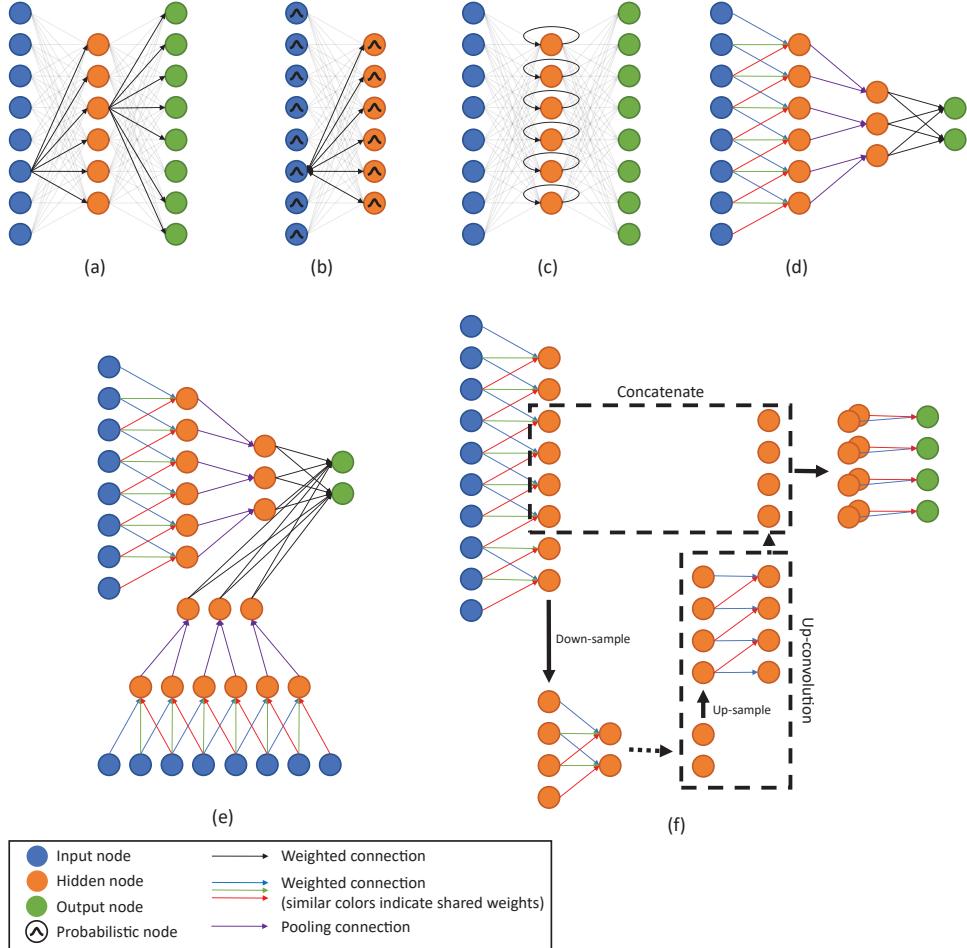


Figure 2: Node graphs of 1D representations of architectures commonly used in medical imaging. a) Auto-encoder, b) restricted Boltzmann machine, c) recurrent neural network, d) convolutional neural network, e) multi-stream convolutional neural network, f) U-net (with a single downsampling stage).

outperformed fine-tuning in cytopathology image classification accuracy (70.5% versus 69.1%). If any guidance can be given to which strategy might be most successful, we would refer the reader to two recent papers, published in high-ranking journals, which fine-tuned a pre-trained version of Google’s Inception v3 architecture on medical data and achieved (near) human expert performance ([Gulshan et al. \(2016\)](#); [Esteva et al. \(2017\)](#)). As far as the authors are aware, such results have not yet been achieved by simply using pre-trained networks as feature extractors.

With respect to the type of deep networks that are commonly used in exam classification, a timeline similar to computer vision is apparent. The medical imaging community initially focused on unsupervised

pre-training and network architectures like SAEs and RBMs. The first papers applying these techniques for exam classification appeared in 2013 and focused on neuroimaging. [Brosch and Tam \(2013\)](#), [Plis et al. \(2014\)](#), [Suk and Shen \(2013\)](#), and [Suk et al. \(2014\)](#) applied DBNs and SAEs to classify patients as having Alzheimer’s disease based on brain Magnetic Resonance Imaging (MRI). Recently, a clear shift towards CNNs can be observed. Out of the 47 papers published on exam classification in 2015, 2016, and 2017, 36 are using CNNs, 5 are based on AEs and 6 on RBMs. The application areas of these methods are very diverse, ranging from brain MRI to retinal imaging and digital pathology to lung computed tomography (CT).

In the more recent papers using CNNs authors also

often train their own network architectures from scratch instead of using pre-trained networks. [Menegola et al. \(2016\)](#) performed some experiments comparing training from scratch to fine-tuning of pre-trained networks and showed that fine-tuning worked better given a small data set of around a 1000 images of skin lesions. However, these experiments are too small scale to be able to draw any general conclusions from.

Three papers used an architecture leveraging the unique attributes of medical data: two use 3D convolutions ([Payan and Montana \(2015\)](#); [Hosseini-Asl et al. \(2016\)](#)) instead of 2D to classify patients as having Alzheimer; [Kawahara et al. \(2016b\)](#) applied a CNN-like architecture to a brain connectivity graph derived from MRI diffusion-tensor imaging (DTI). In order to do this, they developed several new layers which formed the basis of their network, so-called edge-to-edge, edge-to-node, and node-to-graph layers. They used their network to predict brain development and showed that they outperformed existing methods in assessing cognitive and motor scores.

Summarizing, in exam classification CNNs are the current standard techniques. Especially CNNs pre-trained on natural images have shown surprisingly strong results, challenging the accuracy of human experts in some tasks. Last, authors have shown that CNNs can be adapted to leverage intrinsic structure of medical images.

3.1.2. Object or lesion classification

Object classification usually focuses on the classification of a small (previously identified) part of the medical image into two or more classes (e.g. nodule classification in chest CT). For many of these tasks both local information on lesion appearance and global contextual information on lesion location are required for accurate classification. This combination is typically not possible in generic deep learning architectures. Several authors have used multi-stream architectures to resolve this in a multi-scale fashion (Section 2.3.2). [Shen et al. \(2015b\)](#) used three CNNs, each of which takes a nodule patch at a different scale as input. The resulting feature outputs of the three CNNs are then concatenated to form the final feature vector. A somewhat similar approach was followed by [Kawahara and Hamarneh \(2016\)](#) who used a multi-stream CNN to classify skin lesions, where each stream works on a different resolution of the image. [Gao et al. \(2015\)](#) proposed to use a combination of CNNs and RNNs for grading nuclear cataracts in slit-lamp images, where CNN filters were pre-trained. This combination allows the processing of all contextual information regardless of image size.

Incorporating 3D information is also often a necessity for good performance in object classification tasks in medical imaging. As images in computer vision tend to be 2D natural images, networks developed in those scenarios do not directly leverage 3D information. Authors have used different approaches to integrate 3D in an effective manner with custom architectures. [Setio et al. \(2016\)](#) used a multi-stream CNN to classify points of interest in chest CT as a nodule or non-nodule. Up to nine differently oriented patches extracted from the candidate were used in separate streams and merged in the fully-connected layers to obtain the final classification output. In contrast, [Nie et al. \(2016c\)](#) exploited the 3D nature of MRI by training a 3D CNN to assess survival in patients suffering from high-grade gliomas.

Almost all recent papers prefer the use of end-to-end trained CNNs. In some cases other architectures and approaches are used, such as RBMs ([Zhang et al. \(2016c\)](#) and [van Tulder and de Brujne \(2016\)](#)), SAEs ([Cheng et al. \(2016a\)](#)) and convolutional sparse auto-encoders (CSAE) ([Kallenberg et al. \(2016\)](#)). The major difference between CSAE and a classic CNN is the usage of unsupervised pre-training with sparse auto-encoders.

An interesting approach, especially in cases where object annotation to generate training data is expensive, is the integration of multiple instance learning (MIL) and deep learning. [Xu et al. \(2014\)](#) investigated the use of a MIL-framework with both supervised and unsupervised feature learning approaches as well as hand-crafted features. The results demonstrated that the performance of the MIL-framework was superior to hand-crafted features, which in turn closely approaches the performance of a fully supervised method. We expect such approaches to be popular in the future as well, as obtaining high-quality annotated medical data is challenging.

Overall, object classification sees less use of pre-trained networks compared to exam classifications, mostly due to the need for incorporation of contextual or three-dimensional information. Several authors have found innovative solutions to add this information to deep networks with good results, and as such we expect deep learning to become even more prominent for this task in the near future.

3.2. Detection

3.2.1. Organ, region and landmark localization

Anatomical object localization (in space or time), such as organs or landmarks, has been an important pre-processing step in segmentation tasks or in the clinical workflow for therapy planning and intervention. Localization in medical imaging often requires parsing of

3D volumes. To solve 3D data parsing with deep learning algorithms, several approaches have been proposed that treat the 3D space as a composition of 2D orthogonal planes. [Yang et al. \(2015\)](#) identified landmarks on the distal femur surface by processing three independent sets of 2D MRI slices (one for each plane) with regular CNNs. The 3D position of the landmark was defined as the intersection of the three 2D slices with the highest classification output. [de Vos et al. \(2016b\)](#) went one step further and localized regions of interest (ROIs) around anatomical regions (heart, aortic arch, and descending aorta) by identifying a rectangular 3D bounding box after 2D parsing the 3D CT volume. Pre-trained CNN architectures, as well as RBM, have been used for the same purpose ([Chen et al. \(2015b\)](#); [Kumar et al. \(2016\)](#); [Cai et al. \(2016b\)](#)), overcoming the lack of data to learn better feature representations. All these studies cast the localization task as a classification task and as such generic deep learning architectures and learning processes can be leveraged.

Other authors try to modify the network learning process to directly predict locations. For example, [Payer et al. \(2016\)](#) proposed to directly regress landmark locations with CNNs. They used landmark maps, where each landmark is represented by a Gaussian, as ground truth input data and the network is directly trained to predict this landmark map. Another interesting approach was published by [Ghesu et al. \(2016a\)](#), in which reinforcement learning is applied to the identification of landmarks. The authors showed promising results in several tasks: 2D cardiac MRI and ultrasound (US) and 3D head/neck CT.

Due to its increased complexity, only a few methods addressed the direct localization of landmarks and regions in the 3D image space. [Zheng et al. \(2015\)](#) reduced this complexity by decomposing 3D convolution as three one-dimensional convolutions for carotid artery bifurcation detection in CT data. [Ghesu et al. \(2016b\)](#) proposed a sparse adaptive deep neural network powered by marginal space learning in order to deal with data complexity in the detection of the aortic valve in 3D transesophageal echocardiogram.

CNNs have also been used for the localization of scan planes or key frames in temporal data. [Baumgartner et al. \(2016\)](#) trained CNNs on video frame data to detect up to 12 standardized scan planes in mid-pregnancy fetal US. Furthermore, they used saliency maps to obtain a rough localization of the object of interest in the scan plan (e.g. brain, spine). RNNs, particularly LSTM-RNNs, have also been used to exploit the temporal information contained in medical videos, another type of high dimensional data. [Chen et al. \(2015a\)](#), for example,

employed LSTM models to incorporate temporal information of consecutive sequence in US videos for fetal standard plane detection. [Kong et al. \(2016\)](#) combined an LSTM-RNN with a CNN to detect the end-diastole and end-systole frames in cine-MRI of the heart.

Concluding, localization through 2D image classification with CNNs seems to be the most popular strategy overall to identify organs, regions and landmarks, with good results. However, several recent papers expand on this concept by modifying the learning process such that accurate localization is directly emphasized, with promising results. We expect such strategies to be explored further as they show that deep learning techniques can be adapted to a wide range of localization tasks (e.g. multiple landmarks). RNNs have shown promise in localization in the temporal domain, and multi-dimensional RNNs could play a role in spatial localization as well.

3.2.2. Object or lesion detection

The detection of objects of interest or lesions in images is a key part of diagnosis and is one of the most labor-intensive for clinicians. Typically, the tasks consist of the localization and identification of small lesions in the full image space. There has been a long research tradition in computer-aided detection systems that are designed to automatically detect lesions, improving the detection accuracy or decreasing the reading time of human experts. Interestingly, the first object detection system using CNNs was already proposed in 1995, using a CNN with four layers to detect nodules in x-ray images ([Lo et al. \(1995\)](#)).

Most of the published deep learning object detection systems still uses CNNs to perform pixel (or voxel) classification, after which some form of post processing is applied to obtain object candidates. As the classification task performed at each pixel is essentially object classification, CNN architecture and methodology are very similar to those in section 3.1.2. The incorporation of contextual or 3D information is also handled using multi-stream CNNs (Section 2.3.2, for example by [Roth et al. \(2016b\)](#) and [Barbu et al. \(2016\)](#)). [Teramoto et al. \(2016\)](#) used a multi-stream CNN to integrate CT and Positron Emission Tomography (PET) data. [Dou et al. \(2016c\)](#) used a 3D CNN to find micro-bleeds in brain MRI. Last, as the annotation burden to generate training data can be similarly significant compared to object classification, weakly-supervised deep learning has been explored by [Hwang and Kim \(2016\)](#), who adopted such a strategy for the detection of nodules in chest radiographs and lesions in mammography.

There are some aspects which are significantly different between object detection and object classification. One key point is that because every pixel is classified, typically the class balance is skewed severely towards the non-object class in a training setting. To add insult to injury, usually the majority of the non-object samples are easy to discriminate, preventing the deep learning method to focus on the challenging samples. [van Grinsven et al. \(2016\)](#) proposed a selective data sampling in which wrongly classified samples were fed back to the network more often to focus on challenging areas in retinal images. Last, as classifying each pixel in a sliding window fashion results in orders of magnitude of redundant calculation, fCNNs, as used in [Wolterink et al. \(2016\)](#), are important aspect of an object detection pipeline as well.

Challenges in meaningful application of deep learning algorithms in object detection are thus mostly similar to those in object classification. Only few papers directly address issues specific to object detection like class imbalance/hard-negative mining or efficient pixel/voxel-wise processing of images. We expect that more emphasis will be given to those areas in the near future, for example in the application of multi-stream networks in a fully convolutional fashion.

3.3. Segmentation

3.3.1. Organ and substructure segmentation

The segmentation of organs and other substructures in medical images allows quantitative analysis of clinical parameters related to volume and shape, as, for example, in cardiac or brain analysis. Furthermore, it is often an important first step in computer-aided detection pipelines. The task of segmentation is typically defined as identifying the set of voxels which make up either the contour or the interior of the object(s) of interest. Segmentation is the most common subject of papers applying deep learning to medical imaging (Figure 1), and as such has also seen the widest variety in methodology, including the development of unique CNN-based segmentation architectures and the wider application of RNNs.

The most well-known of these novel CNN architectures is U-net, published by [Ronneberger et al. \(2015\)](#) (section 2.3.3). The two main architectural novelties in U-net are the combination of an equal amount of up-sampling and downsampling layers. Furthermore, there are so-called skip connections between opposing convolution and deconvolution layers, which concatenate features from these different layers. From a training perspective this means that entire images/scans can be

processed by U-net in one forward pass, resulting in a segmentation map directly. This allows U-net to take into account the full context of the image, which can be an advantage in contrast to patch-based CNNs. Furthermore, in an extended paper by [Çiçek et al. \(2016\)](#), it is shown that a full 3D segmentation can be achieved by feeding U-net with a few 2D annotated slices from the same volume. Other authors have also built derivatives of the U-net architecture; [Milletari et al. \(2016b\)](#), for example, proposed a 3D-variant of U-net architecture, called V-net, performing 3D image segmentation using 3D convolutional layers with an objective function directly based on the Dice coefficient. [Drozdzal et al. \(2016\)](#) investigated the use of short ResNet-like skip connections in addition to the long skip-connections in a regular U-net.

RNNs have recently become more popular for segmentation tasks. For example, [Xie et al. \(2016b\)](#) used a spatial clockwork RNN to segment the perimysium in H&E-histopathology images. This network takes into account prior information from both the row and column predecessors of the current patch. To incorporate bidirectional information from both left/top and right/bottom neighbors, the RNN is applied four times in different orientations and the end-result is concatenated and fed to a fully-connected layer. This produces the final output for a single patch. [Stollenga et al. \(2015\)](#) chose a different approach and use pyramid-shaped predecessors by adding extra input connections. [Andermatt et al. \(2016\)](#) used a complete 3D RNN with gated recurrent units to segment gray and white matter in a brain MRI data set. Last, [Poudel et al. \(2016\)](#) combined a 2D U-net architecture with a gated recurrent unit to perform 3D segmentation.

Although these specific segmentation architectures offered compelling advantages, many authors have also obtained excellent segmentation results with patch-trained neural networks. One of the earliest papers covering medical image segmentation with deep learning algorithms used such a strategy and was published by [Ciresan et al. \(2012\)](#). They applied pixel-wise segmentation of membranes in electron microscopy imagery in a sliding window fashion. Most recent papers now use fCNNs (subsection 2.3.3) in preference over sliding-window-based classification to reduce redundant computation.

fCNNs have also been extended to 3D and have been applied to multiple targets at once: [Korez et al. \(2016\)](#), used 3D fCNNs to generate vertebral body likelihood maps which drove deformable models for vertebral body segmentation in MR images, [Zhou et al. \(2016\)](#) segmented nineteen targets in the human torso,

and Moeskops et al. (2016b) trained a single fCNN to segment brain MRI, the pectoral muscle in breast MRI, and the coronary arteries in cardiac CT angiography (CTA).

One challenge with voxel classification approaches is that they sometimes lead to spurious responses. To combat this, groups have tried to combine fCNNs with graphical models like MRFs (Shakeri et al. (2016); Song et al. (2015)) and Conditional Random Fields (CRFs) (Christ et al. (2016); Gao et al. (2016c); Fu et al. (2016a); Dou et al. (2016c); Cai et al. (2016a); Alansary et al. (2016)) to refine the segmentation output. In most of the cases, graphical models are applied on top of the likelihood map produced by CNNs or fCNNs and act as label regularizers.

Summarizing, segmentation in medical imaging has seen a huge influx of deep learning related methods. Custom architectures have been created to directly target the segmentation task. These have obtained promising results, rivaling and often improving over results obtained with fCNNs.

3.3.2. Lesion segmentation

Segmentation of lesions combines the challenges of object detection and organ and substructure segmentation in the application of deep learning algorithms. Global and local context are typically needed to perform accurate segmentation, such that multi-stream networks with different scales or non-uniformly sampled patches are used as in for example Kamnitsas et al. (2017) and Ghafoorian et al. (2016b). In lesion segmentation we have also seen the application of U-net and similar architectures to leverage both this global and local context. The architecture used by Wang et al. (2015), similar to the U-net, consists of the same down-sampling and upsampling paths, but does not use skip connections. Another U-net-like architecture was used by Brosch et al. (2016) to segment white matter lesions in brain MRI. However, they used 3D convolutions and a single skip connection between the first convolutional and last deconvolutional layers.

One other challenge that lesion segmentation shares with object detection is class imbalance, as most voxels/pixels in an image are from the non-diseased class. Some papers combat this by adapting the loss function: Brosch et al. (2016) defined it to be a weighted combination of the sensitivity and the specificity, with a larger weight for the specificity to make it less sensitive to the data imbalance. Others balance the data set by performing data augmentation on positive samples (Litjens et al. (2016); Pereira et al. (2016); Kamnitsas et al. (2017)).

Thus lesion segmentation sees a mixture of approaches used in object detection and organ segmentation. Developments in these two areas will most likely naturally propagate to lesion segmentation as the existing challenges are also mostly similar.

3.4. Registration

Registration (i.e. spatial alignment) of medical images is a common image analysis task in which a coordinate transform is calculated from one medical image to another. Often this is performed in an iterative framework where a specific type of (non-)parametric transformation is assumed and a pre-determined metric (e.g. L2-norm) is optimized. Although segmentation and lesion detection are more popular topics for deep learning, researchers have found that deep networks can be beneficial in getting the best possible registration performance. Broadly speaking, two strategies are prevalent in current literature: (1) using deep-learning networks to estimate a similarity measure for two images to drive an iterative optimization strategy, and (2) to directly predict transformation parameters using deep regression networks.

Wu et al. (2013), Simonovsky et al. (2016), and Cheng et al. (2015) used the first strategy to try to optimize registration algorithms. Cheng et al. (2015) used two types of stacked auto-encoders to assess the local similarity between CT and MRI images of the head. Both auto-encoders take vectorized image patches of CT and MRI and reconstruct them through four layers. After the networks are pre-trained using unsupervised patch reconstruction they are fine-tuned using two prediction layers stacked on top of the third layer of the SAE. These prediction layers determine whether two patches are similar (class 1) or dissimilar (class 2). Simonovsky et al. (2016) used a similar strategy, albeit with CNNs, to estimate a similarity cost between two patches from differing modalities. However, they also presented a way to use the derivative of this metric to directly optimize the transformation parameters, which are decoupled from the network itself. Last, Wu et al. (2013) combined independent subspace analysis and convolutional layers to extract features from input patches in an unsupervised manner. The resultant feature vectors are used to drive the HAMMER registration algorithm instead of handcrafted features.

Miao et al. (2016) and Yang et al. (2016d) used deep learning algorithms to directly predict the registration transform parameters given input images. Miao et al. (2016) leveraged CNNs to perform 3D model to 2D x-ray registration to assess the pose and location of an

implanted object during surgery. In total the transformation has 6 parameters, two translational, 1 scaling and 3 angular parameters. They parameterize the feature space in steps of 20 degrees for two angular parameters and train a separate CNN to predict the update to the transformation parameters given an digitally reconstructed x-ray of the 3D model and the actual intraoperative x-ray. The CNNs are trained with artificial examples generated by manually adapting the transformation parameters for the input training data. They showed that their approach has significantly higher registration success rates than using traditional - purely intensity based - registration methods. [Yang et al. \(2016d\)](#) tackled the problem of prior/current registration in brain MRI using the OASIS data set. They used the large deformation diffeomorphic metric mapping (LDDMM) registration methodology as a basis. This method takes as input an initial momentum value for each pixel which is then evolved over time to obtain the final transformation. However, the calculation of the initial momentum map is often an expensive procedure. The authors circumvent this by training a U-net like architecture to predict the x- and y-momentum map given the input images. They obtain visually similar results but with significantly improved execution time: 1500x speed-up for 2D and 66x speed-up for 3D.

In contrast to classification and segmentation, the research community seems not have yet settled on the best way to integrate deep learning techniques in registration methods. Not many papers have yet appeared on the subject and existing ones each have a distinctly different approach. Thus, giving recommendations on what method is most promising seems inappropriate. However, we expect to see many more contributions of deep learning to medical image registration in the near future.

3.5. Other tasks in medical imaging

3.5.1. Content-based image retrieval

Content-based image retrieval (CBIR) is a technique for knowledge discovery in massive databases and offers the possibility to identify similar case histories, understand rare disorders, and, ultimately, improve patient care. The major challenge in the development of CBIR methods is extracting effective feature representations from the pixel-level information and associating them with meaningful concepts. The ability of deep CNN models to learn rich features at multiple levels of abstraction has elicited interest from the CBIR community.

All current approaches use (pre-trained) CNNs to extract feature descriptors from medical images. [Anavi](#)

[et al. \(2016\)](#) and [Liu et al. \(2016b\)](#) applied their methods to databases of X-ray images. Both used a five-layer CNN and extracted features from the fully-connected layers. [Anavi et al. \(2016\)](#) used the last layer and a pre-trained network. Their best results were obtained by feeding these features to a one-vs-all support vector machine (SVM) classifier to obtain the distance metric. They showed that incorporating gender information resulted in better performance than just CNN features. [Liu et al. \(2016b\)](#) used the penultimate fully-connected layer and a custom CNN trained to classify X-rays in 193 classes to obtain the descriptive feature vector. After descriptor binarization and data retrieval using Hamming separation values, the performance was inferior to the state of the art, which the authors attributed to small patch sizes of 96 pixels. The method proposed by [Shah et al. \(2016\)](#) combines CNN feature descriptors with hashing-forests. 1000 features were extracted for overlapping patches in prostate MRI volumes, after which a large feature matrix was constructed over all volumes. Hashing forests were then used to compress this into descriptors for each volume.

Content-based image retrieval as a whole has thus not seen many successful applications of deep learning methods yet, but given the results in other areas it seems only a matter of time. An interesting avenue of research could be the direct training of deep networks for the retrieval task itself.

3.5.2. Image Generation and Enhancement

A variety of image generation and enhancement methods using deep architectures have been proposed, ranging from removing obstructing elements in images, normalizing images, improving image quality, data completion, and pattern discovery.

In image generation, 2D or 3D CNNs are used to convert one input image into another. Typically these architectures lack the pooling layers present in classification networks. These systems are then trained with a data set in which both the input and the desired output are present (e.g. regular and bone-suppressed X-ray in [Yang et al. \(2016c\)](#), 3T and 7T brain MRI in [Bahrami et al. \(2016\)](#), PET from MRI in [Li et al. \(2014\)](#), CT from MRI in [Nie et al. \(2016a\)](#)), defining the differences with the desired output as the loss function. [Li et al. \(2014\)](#) even showed that one can use these generated images in computer-aided diagnosis systems for Alzheimer's disease when the original data is missing or not acquired.

With multi-stream CNNs super-resolution images can be generated from multiple low-resolution inputs (section 2.3.2). In [Oktay et al. \(2016\)](#), multi-stream networks reconstructed high-resolution cardiac MRI from

Table 1: Overview of papers using deep learning techniques for brain image analysis. All works use MRI unless otherwise mentioned.

Reference	Method	Application; remarks
Disorder classification (AD, MCI, Schizophrenia)		
Brosch and Tam (2013)	DBN	AD/HC classification; Deep belief networks with convolutional RBMs for manifold learning
Plis et al. (2014)	DBN	Deep belief networks evaluated on brain network estimation, Schizophrenia and Huntington's disease classification
Suk and Shen (2013)	SAE	AD/MCI classification; Stacked auto encoders with supervised fine tuning
Suk et al. (2014)	RBM	AD/MCI/HC classification; Deep Boltzmann Machines on MRI and PET modalities
Payan and Montana (2015)	CNN	AD/MCI/HC classification; 3D CNN pre-trained with sparse auto-encoders
Suk et al. (2015)	SAE	AD/MCI/HC classification; SAE for latent feature extraction on a large set of hand-crafted features from MRI and PET
Hosseini-Asl et al. (2016)	CNN	AD/MCI/HC classification; 3D CNN pre-trained with a 3D convolutional auto-encoder on fMRI data
Kim et al. (2016b)	ANN	Schizophrenia/NH classification on fMRI; Neural network showing advantage of pre-training with SAEs, and L1 sparsification
Ortiz et al. (2016)	DBN	AD/MCI/HC classification; An ensemble of Deep belief networks, with their votes fused using an SVM classifier
Pinaya et al. (2016)	DBN	Schizophrenia/NH classification; DBN pre-training followed by supervised fine-tuning
Sarraf and Tofighi (2016)	CNN	AD/HC classification; Adapted Lenet-5 architecture on fMRI data
Suk et al. (2016)	SAE	MCI/HC classification of fMRI data; Stacked auto-encoders for feature extraction, HMM as a generative model on top
Suk and Shen (2016)	CNN	AD/MCI/HC classification; CNN on sparse representations created by regression models
Shi et al. (2017)	ANN	AD/MCI/HC classification; Multi-modal stacked deep polynomial networks with an SVM classifier on top using MRI and PET
Tissue/anatomy/lesion/tumor segmentation		
Guo et al. (2014)	SAE	Hippocampus segmentation; SAE for representation learning used for target/atlas patch similarity measurement
de Brébisson and Montana (2015)	CNN	Anatomical segmentation; fusing multi-scale 2D patches with a 3D patch using a CNN
Choi and Jin (2016)	CNN	Striatum segmentation; Two-stage (global/local) approximations with 3D CNNs
Stollenga et al. (2015)	RNN	Tissue segmentation; PyraMid-LSTM, best brain segmentation results on MRBrainS13 (and competitive results on EM-ISBI12)
Zhang et al. (2015)	CNN	Tissue segmentation; multi-modal 2D CNN
Andermatt et al. (2016)	RNN	Tissue segmentation; two convolutional gated recurrent units in different directions for each dimension
Bao and Chung (2016)	CNN	Anatomical segmentation; Multi-scale late fusion CNN with random walker as a novel label consistency method
Birenbaum and Greenspan (2016)	CNN	Lesion segmentation; Multi-view (2,5D) CNN concatenating features from previous time step for a longitudinal analysis
Brosch et al. (2016)	CNN	Lesion segmentation; Convolutional encoder-decoder network with shortcut connections and convolutional RBM pretraining
Chen et al. (2016a)	CNN	Tissue segmentation; 3D res-net combining features from different layers
Ghafoorian et al. (2016b)	CNN	Lesion segmentation; CNN trained on non-uniformly sampled patch to integrate a larger context with a foveation effect
Ghafoorian et al. (2016a)	CNN	Lesion segmentation; multi-scale CNN with late fusion that integrates anatomical location information into network
Havaei et al. (2016b)	CNN	Tumor segmentation; CNN handling missing modalities with abstraction layer that transforms feature maps to their statistics
Havaei et al. (2016a)	CNN	Tumor segmentation; two-path way CNN with different receptive fields
Kamnitsas et al. (2017)	CNN	Tumor segmentation; 3D multi-scale fully convolutional network with CRF for label consistency
Kleesiek et al. (2016)	CNN	Brain extraction; 3D fully convolutional CNN on multi-modal input
Mansoori et al. (2016)	SAE	Visual pathway segmentation; Learning appearance features from SAE for steering the shape model for segmentation
Milletari et al. (2016a)	CNN	Anatomical segmentation on MRI and US; Hough-voting to acquire mapping from CNN features to full patch segmentations
Moeskops et al. (2016a)	CNN	Tissue segmentation; CNN trained on multiple patch sizes
Nie et al. (2016b)	CNN	Infant tissue segmentation; FCN with a late fusion method on different modalities
Pereira et al. (2016)	CNN	Tumor segmentation; CNN on multiple modality input
Shakeri et al. (2016)	CNN	Anatomical segmentation; FCN followed by Markov random fields
Zhao and Jia (2016)	CNN	Tumor segmentation; Multi-scale CNN with a late fusion architecture
Lesion/tumor detection and classification		
Pan et al. (2015)	CNN	Tumor grading; 2D tumor patch classification using a CNN
Dou et al. (2015)	ISA	Microbleed detection; 3D stacked Independent Subspace Analysis for candidate feature extraction, SVM classification
Dou et al. (2016c)	CNN	Microbleed detection; 3D FCN for candidate segmentation followed by a 3D CNN as false positive reduction
Ghafoorian et al. (2017)	CNN	Lacune detection; FCN for candidate segmentation then a multi-scale 3D CNN with anatomical features as false positive reduction
Survival/disease activity/development prediction		
Kawahara et al. (2016b)	CNN	Neurodevelopment prediction; CNN with specially-designed edge-to-edge, edge-to-node and node-to-graph conv. layers for brain nets
Nie et al. (2016c)	CNN	Survival prediction; features from a Multi-modal 3D CNN is fused with hand-crafted features to train an SVM
Yoo et al. (2016)	CNN	Disease activity prediction; Training a CNN on the Euclidean distance transform of the lesion masks as the input
van der Burgh et al. (2017)	CNN	Survival prediction; DBN on MRI and fusing it with clinical characteristics and structural connectivity data
Image construction/enhancement		
Li et al. (2014)	CNN	Image construction; 3D CNN for constructing PET from MR images
Bahrami et al. (2016)	CNN	Image construction; 3D CNN for constructing 7T-like images from 3T MRI
Benou et al. (2016)	SAE	Denoising DCE-MRI; using an ensemble of denoising SAE (pretrained with RBMs)
Golkov et al. (2016)	ANN	Image construction; Per-pixel neural network to predict complex diffusion parameters based on fewer measurements
Hoffmann et al. (2016)	ANN	Image construction; Deep neural nets with SReLU nonlinearity for thermal image construction
Nie et al. (2016a)	CNN	Image construction; 3D fully convolutional network for constructing CT from MR images
Sevetlidis et al. (2016)	ANN	Image construction; Encoder-decoder network for synthesizing one MR modality from another
Other		
Brosch et al. (2014)	DBN	Manifold Learning; DBN with conv. RBM layers for modeling the variability in brain morphology and lesion distribution in MS
Cheng et al. (2015)	ANN	Similarity measurement; neural network fusing the moving and reference image patches, pretrained with SAE
Huang et al. (2016)	RBM	fMRI blind source separation; RBM for both internal and functional interaction-induced latent sources detection
Simonovsky et al. (2016)	CNN	Similarity measurement; 3D CNN estimating similarity between reference and moving images stacked in the input
Wu et al. (2013)	ISA	Correspondence detection in deformable registration; stacked convolutional ISA for unsupervised feature learning
Yang et al. (2016d)	CNN	Image registration; Conv. encoder-decoder net. predicting momentum in x and y directions, given the moving and fixed image patches

one or more low-resolution input MRI volumes. Not only can this strategy be used to infer missing spatial information, but can also be leveraged in other domains (e.g. inferring advanced MRI diffusion parameters from

limited data (Golkov et al. (2016))). Other image enhancement applications like intensity normalization and denoising have seen only limited application of deep learning algorithms. Janowczyk et al. (2016a) used

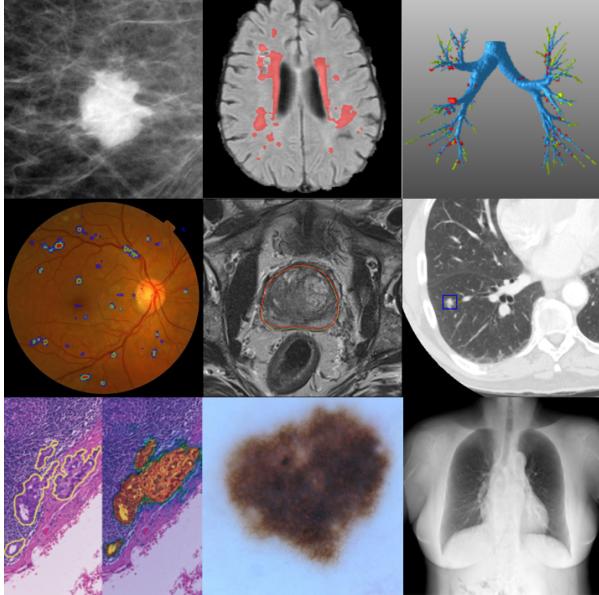


Figure 3: Collage of some medical imaging applications in which deep learning has achieved state-of-the-art results. From top-left to bottom-right: mammographic mass classification (Kooi et al. (2016)), segmentation of lesions in the brain (top ranking in BRATS, ISLES and MRBrainS challenges, image from Ghafoorian et al. (2016b)), leak detection in airway tree segmentation (Charbonnier et al. (2017)), diabetic retinopathy classification (Kaggle Diabetic Retinopathy challenge 2015, image from van Grinsven et al. (2016)), prostate segmentation (top rank in PROMISE12 challenge), nodule classification (top ranking in LUNA16 challenge), breast cancer metastases detection in lymph nodes (top ranking and human expert performance in CAMELYON16), human expert performance in skin lesion classification (Esteva et al. (2017)), and state-of-the-art bone suppression in x-rays (image from Yang et al. (2016c)).

SAEs to normalize H&E-stained histopathology images whereas Benou et al. (2016) used CNNs to perform denoising in DCE-MRI time-series.

Image generation has seen impressive results with very creative applications of deep networks in significantly differing tasks. One can only expect the number of tasks to increase further in the future.

3.5.3. Combining Image Data With Reports

The combination of text reports and medical image data has led to two avenues of research: (1) leveraging reports to improve image classification accuracy (Schlegl et al. (2015)), and (2) generating text reports from images (Shin et al. (2015, 2016a); Wang et al. (2016e); Kisilev et al. (2016)); the latter inspired by recent caption generation papers from natural images (Karpathy and Fei-Fei (2015)). To the best of our knowledge, the first step towards leveraging reports was taken by Schlegl et al. (2015), who argued that large

amounts of annotated data may be difficult to acquire and proposed to add semantic descriptions from reports as labels. The system was trained on sets of images along with their textual descriptions and was taught to predict semantic class labels during test time. They showed that semantic information increases classification accuracy for a variety of pathologies in Optical Coherence Tomography (OCT) images.

Shin et al. (2015) and Wang et al. (2016e) mined semantic interactions between radiology reports and images from a large data set extracted from a PACS system. They employed latent Dirichlet allocation (LDA), a type of stochastic model that generates a distribution over a vocabulary of topics based on words in a document. In a later work, Shin et al. (2016a) proposed a system to generate descriptions from chest X-rays. A CNN was employed to generate a representation of an image one label at a time, which was then used to train an RNN to generate sequence of MeSH keywords. Kisilev et al. (2016) used a completely different approach and predicted categorical BI-RADS descriptors for breast lesions. In their work they focused on three descriptors used in mammography: shape, margin, and density, where each have their own class label. The system was fed with the image data and region proposals and predicts the correct label for each descriptor (e.g. for shape either oval, round, or irregular).

Given the wealth of data that is available in PACS systems in terms of images and corresponding diagnostic reports, it seems like an ideal avenue for future deep learning research. One could expect that advances in captioning natural images will in time be applied to these data sets as well.

4. Application areas

This section presents an overview of deep learning contributions to the various application areas in medical imaging. We highlight some key contributions and discuss performance of systems on large data sets and on public challenge data sets. All these challenges are listed on <http://www.grand-challenge.org>.

4.1. Brain

DNNs have been extensively used for brain image analysis in several different application domains (Table 1). A large number of studies address classification of Alzheimer’s disease and segmentation of brain tissue and anatomical structures (e.g. the hippocampus). Other important areas are detection and segmentation of lesions (e.g. tumors, white matter lesions, lacunes, micro-bleeds).

Table 2: Overview of papers using deep learning techniques for retinal image analysis. All works use CNNs.

Color fundus images: segmentation of anatomical structures and quality assessment	
Fu et al. (2016b)	Blood vessel segmentation; CNN combined with CRF to model long-range pixel interactions
Fu et al. (2016a)	Blood vessel segmentation; extending the approach by Fu et al. (2016b) by reformulating CRF as RNN
Mahapatra et al. (2016)	Image quality assessment; classification output using CNN-based features combined with the output using saliency maps
Maninis et al. (2016)	Segmentation of blood vessels and optic disk; VGG-19 network extended with specialized layers for each segmentation task
Wu et al. (2016)	Blood vessel segmentation; patch-based CNN followed by mapping PCA solution of last layer feature maps to full segmentation
Zilly et al. (2017)	Segmentation of the optic disk and the optic cup; simple CNN with filters sequentially learned using boosting
Color fundus images: detection of abnormalities and diseases	
Chen et al. (2015d)	Glaucoma detection; end-to-end CNN, the input is a patch centered at the optic disk
Abràmoff et al. (2016)	Diabetic retinopathy detection; end-to-end CNN, outperforms traditional method, evaluated on a public dataset
Burlina et al. (2016)	Age-related macular degeneration detection; uses overfeat pretrained network for feature extraction
van Grinsven et al. (2016)	Hemorrhage detection; CNN dynamically trained using selective data sampling to perform hard negative mining
Gulshan et al. (2016)	Diabetic retinopathy detection; Inception network, performance comparable to a panel of seven certified ophthalmologists
Prentasic and Loncaric (2016)	Hard exudate detection; end-to-end CNN combined with the outputs of traditional classifiers for detection of landmarks
Worrall et al. (2016)	Retinopathy of prematurity detection; fine-tuned ImageNet trained GoogLeNet, feature map visualization to highlight disease
Work in other imaging modalities	
Gao et al. (2015)	Cataract classification in slit lamp images; CNN followed by a set of recursive neural networks to extract higher order features
Schlegl et al. (2015)	Fluid segmentation in OCT; weakly supervised CNN improved with semantic descriptors from clinical reports
Prentasic et al. (2016)	Blood vessel segmentation in OCT angiography; simple CNN, segmentation of several capillary networks

Apart from the methods that aim for a scan-level classification (e.g. Alzheimer diagnosis), most methods learn mappings from local patches to representations and subsequently from representations to labels. However, the local patches might lack the contextual information required for tasks where anatomical information is paramount (e.g. white matter lesion segmentation). To tackle this, Ghafoorian et al. (2016b) used non-uniformly sampled patches by gradually lowering sampling rate in patch sides to span a larger context. An alternative strategy used by many groups is multi-scale analysis and a fusion of representations in a fully-connected layer.

Even though brain images are 3D volumes in all surveyed studies, most methods work in 2D, analyzing the 3D volumes slice-by-slice. This is often motivated by either the reduced computational requirements or the thick slices relative to in-plane resolution in some data sets. More recent publications had also employed 3D networks.

DNNs have completely taken over many brain image analysis challenges. In the 2014 and 2015 brain tumor segmentation challenges (BRATS), the 2015 longitudinal multiple sclerosis lesion segmentation challenge, the 2015 ischemic stroke lesion segmentation challenge (ISLES), and the 2013 MR brain image segmentation challenge (MRBrains), the top ranking teams to date have all used CNNs. Almost all of the aforementioned methods are concentrating on brain MR images. We expect that other brain imaging modalities such as CT and US can also benefit from deep learning based analysis.

4.2. Eye

Ophthalmic imaging has developed rapidly over the past years, but only recently are deep learning algorithms being applied to eye image understanding. As summarized in Table 2, most works employ simple CNNs for the analysis of color fundus imaging (CFI). A wide variety of applications are addressed: segmentation of anatomical structures, segmentation and detection of retinal abnormalities, diagnosis of eye diseases, and image quality assessment.

In 2015, Kaggle organized a diabetic retinopathy detection competition: Over 35,000 color fundus images were provided to train algorithms to predict the severity of disease in 53,000 test images. The majority of the 661 teams that entered the competition applied deep learning and four teams achieved performance above that of humans, all using end-to-end CNNs. Recently Gulshan et al. (2016) performed a thorough analysis of the performance of a Google Inception v3 network for diabetic retinopathy detection, showing performance comparable to a panel of seven certified ophthalmologists.

4.3. Chest

In thoracic image analysis of both radiography and computed tomography, the detection, characterization, and classification of nodules is the most commonly addressed application. Many works add features derived from deep networks to existing feature sets or compare CNNs with classical machine learning approaches using handcrafted features. In chest X-ray, several groups detect multiple diseases with a single system. In CT

Table 3: Overview of papers using deep learning techniques for chest x-ray image analysis.

Reference	Application	Remarks
Lo et al. (1995)	Nodule detection	Classifies candidates from small patches with two-layer CNN, each with 12.5×5 filters
Anavi et al. (2015)	Image retrieval	Combines classical features with those from pre-trained CNN for image retrieval using SVM
Bar et al. (2015)	Pathology detection	Features from a pre-trained CNN and low level features are used to detect various diseases
Anavi et al. (2016)	Image retrieval	Continuation of Anavi et al. (2015), adding age and gender as features
Bar et al. (2016)	Pathology detection	Continuation of Bar et al. (2015), more experiments and adding feature selection
Cicero et al. (2016)	Pathology detection	GoogLeNet CNN detects five common abnormalities, trained and validated on a large data set
Hwang et al. (2016)	Tuberculosis detection	Processes entire radiographs with a pre-trained fine-tuned network with 6 convolution layers
Kim and Hwang (2016)	Tuberculosis detection	MIL framework produces heat map of suspicious regions via deconvolution
Shin et al. (2016a)	Pathology detection	CNN detects 17 diseases, large data set (7k images), recurrent networks produce short captions
Rajkomar et al. (2017)	Frontal/lateral classification	Pre-trained CNN performs frontal/lateral classification task
Yang et al. (2016c)	Bone suppression	Cascade of CNNs at increasing resolution learns bone images from gradients of radiographs
Wang et al. (2016a)	Nodule classification	Combines classical features with CNN features from pre-trained ImageNet CNN

Table 4: Overview of papers using deep learning techniques for chest CT image analysis.

Reference	Application; remarks
Segmentation	
Charbonnier et al. (2017)	Airway segmentation where multi-view CNN classifies candidate branches as true airways or leaks
Nodule detection and analysis	
Ciampi et al. (2015)	Used a standard feature extractor and a pre-trained CNN to classify detected lesions as benign peri-fissural nodules
van Ginneken et al. (2015)	Detects nodules with pre-trained CNN features from orthogonal patches around candidate, classified with SVM
Shen et al. (2015b)	Three CNNs at different scales estimate nodule malignancy scores of radiologists (LIDC-IDRI data set)
Chen et al. (2016d)	Combines features from CNN, SDAE and classical features to characterize nodules from LIDC-IDRI data set
Ciampi et al. (2016)	Multi-stream CNN to classify nodules into subtypes: solid, part-solid, non-solid, calcified, spiculated, perifissural
Dou et al. (2016b)	Uses 3D CNN around nodule candidates; ranks #1 in LUNA16 nodule detection challenge
Li et al. (2016a)	Detects nodules with 2D CNN that processes small patches around a nodule
Setio et al. (2016)	Detects nodules with end-to-end trained multi-stream CNN with 9 patches per candidate
Shen et al. (2016)	3D CNN classifies volume centered on nodule as benign/malignant, results are combined to patient level prediction
Sun et al. (2016b)	Same dataset as Shen et al. (2015b), compares CNN, DBN, SDAE and classical computer-aided diagnosis schemes
Teramoto et al. (2016)	Combines features extracted from 2 orthogonal CT patches and a PET patch
Interstitial lung disease	
Anthimopoulos et al. (2016)	Classification of 2D patches into interstitial lung texture classes using a standard CNN
Christodoulidis et al. (2017)	2D interstitial pattern classification with CNNs pre-trained with a variety of texture data sets
Gao et al. (2016c)	Propagates manually drawn segmentations using CNN and CRF for more accurate interstitial lung disease reference
Gao et al. (2016a)	AlexNet applied to large parts of 2D CT slices to detect presence of interstitial patterns
Gao et al. (2016b)	Uses regression to predict area covered in 2D slice with a particular interstitial pattern
Tarando et al. (2016)	Combines existing computer-aided diagnosis system and CNN to classify lung texture patterns.
van Tulder and de Bruijne (2016)	Classification of lung texture and airways using an optimal set of filters derived from DBNs and RBMs
Other applications	
Tajbakhsh et al. (2015a)	Multi-stream CNN to detect pulmonary embolism from candidates obtained from a tobogganing algorithm
Carneiro et al. (2016)	Predicts 5-year mortality from thick slice CT scans and segmentation masks
de Vos et al. (2016a)	Identifies the slice of interest and determine the distance between CT slices

the detection of textural patterns indicative of interstitial lung diseases is also a popular research topic.

Chest radiography is the most common radiological exam; several works use a large set of images with text reports to train systems that combine CNNs for image analysis and RNNs for text analysis. This is a branch of research we expect to see more of in the near future.

In a recent challenge for nodule detection in CT, LUNA16, CNN architectures were used by all top performing systems. This is in contrast with a previous lung nodule detection challenge, ANODE09, where handcrafted features were used to classify nodule candi-

dates. The best systems in LUNA16 still rely on nodule candidates computed by rule-based image processing, but systems that use deep networks for candidate detection also performed very well (e.g. U-net). Estimating the probability that an individual has lung cancer from a CT scan is an important topic: It is the objective of the Kaggle Data Science Bowl 2017, with \$1 million in prizes and more than one thousand participating teams.

4.4. Digital pathology and microscopy

The growing availability of large scale gigapixel whole-slide images (WSI) of tissue specimen has made

Table 5: Overview of papers using deep learning for digital pathology images. The staining and imaging modality abbreviations used in the table are as follows: H&E: hematoxylin and eosin staining, TIL: Tumor-infiltrating lymphocytes, BCC: Basal cell carcinoma, IHC: immunohistochemistry, RM: Romanowsky, EM: Electron microscopy, PC: Phase contrast, FL: Fluorescent, IFL: Immunofluorescent, TPM: Two-photon microscopy, CM: Confocal microscopy, Pap: Papanicolaou.

Reference	Topic	Staining\Modality	Method
Nucleus detection, segmentation, and classification			
Cireşan et al. (2013)	Mitosis detection	H&E	CNN-based pixel classifier
Cruz-Roa et al. (2013)	Detection of basal cell carcinoma	H&E	Convolutional auto-encoder neural network
Malon and Cosatto (2013)	Mitosis detection	H&E	Combines shapebased features with CNN
Wang et al. (2014)	Mitosis detection	H&E	Cascaded ensemble of CNN and handcrafted features
Ferrari et al. (2015)	Bacterial colony counting	Culture plate	CNN-based patch classifier
Ronneberger et al. (2015)	Cell segmentation	EM	U-Net with deformation augmentation
Shkolyar et al. (2015)	Mitosis detection	Live-imaging	CNN-based patch classifier
Song et al. (2015)	Segmentation of cytoplasm and nuclei	H&E	Multi-scale CNN and graph-partitioning-based method
Xie et al. (2015a)	Nucleus detection	Ki-67	CNN model that learns the voting offset vectors and voting confidence
Xie et al. (2015b)	Nucleus detection	H&E, Ki-67	CNN-based structured regression model for cell detection
Akram et al. (2016)	Cell segmentation	FL, PC, H&E	fCNN for cell bounding box proposal and CNN for segmentation
Albarqouni et al. (2016)	Mitosis detection	H&E	Incorporated ‘crowd sourcing’ layer into the CNN framework
Bauer et al. (2016)	Nucleus classification	IHC	CNN-based patch classifier
Chen et al. (2016b)	Mitosis detection	H&E	Deep regression network (DRN)
Gao et al. (2016e)	Nucleus classification	IFL	Classification of Hep2-cells with CNN
Han et al. (2016)	Nucleus classification	IFL	Classification of Hep2-cells with CNN
Janowczyk et al. (2016b)	Nucleus segmentation	H&E	Resolution adaptive deep hierarchical learning scheme
Kashif et al. (2016)	Nucleus detection	H&E	Combination of CNN and hand-crafted features
Mao and Yin (2016)	Mitosis detection	PC	Hierarchical CNNs for patch sequence classification
Mishra et al. (2016)	Classification of mitochondria	EM	CNN-based patch classifier
Phan et al. (2016)	Nucleus classification	FL	Classification of Hep2-cells using transfer learning (pre-trained CNN)
Romo-Bucheli et al. (2016)	Tubule nuclei detection	H&E	CNN-based classification of pre-selected candidate nuclei
Sirinukunwattana et al. (2016)	Nucleus detection and classification	H&E	CNN with spatially constrained regression
Song et al. (2017)	Cell segmentation	H&E	Multi-scale CNN
Turkki et al. (2016)	TIL detection	H&E	CNN-based classification of superpixels
Veta et al. (2016)	Nuclear area measurement	H&E	A CNN directly measures nucleus area without requiring segmentation
Wang et al. (2016d)	Subtype cell detection	H&E	Combination of two CNNs for joint cell detection and classification
Xie et al. (2016a)	Nucleus detection and cell counting	FL and H&E	Microscopy cell counting with fully convolutional regression networks
Xing et al. (2016)	Nucleus segmentation	H&E, IHC	CNN and selection-based sparse shape model
Xu et al. (2016b)	Nucleus detection	H&E	Stacked sparse auto-encoders (SSAE)
Xu and Huang (2016)	Nucleus detection	Various	General deep learning framework to detect cells in whole-slide images
Yang et al. (2016b)	Glia cell segmentation	TPM	fCNN with an iterative k-terminal cut algorithm
Yao et al. (2016)	Nucleus classification	H&E	Classifies cellular tissue into tumor, lymphocyte, and stromal
Zhao et al. (2016)	Classification of leukocytes	RM	CNN-based patch classifier
Large organ segmentation			
Ciresan et al. (2012)	Segmentation of neuronal membranes	EM	Ensemble of several CNNs with different architectures
Kainz et al. (2015)	Segmentation of colon glands	H&E	Used two CNNs to segment glands and their separating structures
Apou et al. (2016)	Detection of lobular structures in breast	IHC	Combined the outputs of a CNN and a texture classification system
BenTaieb and Hamarneh (2016)	Segmentation of colon glands	H&E	fCNN with a loss accounting for smoothness and object interactions
BenTaieb et al. (2016)	Segmentation of colon glands	H&E	A multi-loss fCNN to perform both segmentation and classification
Chen et al. (2017)	Segmentation of colon glands	H&E	Deep contour-aware CNN
Çiçek et al. (2016)	Segmentation of xenopus kidney	CM	3D U-Net
Drozdal et al. (2016)	Segmentation of neuronal structures	EM	fCNN with skip connections
Li et al. (2016b)	Segmentation of colon glands	H&E	Compares CNN with an SVM using hand-crafted features
Teikari et al. (2016)	Volumetric vascular segmentation	FL	Hybrid 2D-3D CNN architecture
Wang et al. (2016c)	Segmentation of messy and muscle regions	H&E	Conditional random field jointly trained with an fCNN
Xie et al. (2016b)	Perimysium segmentation	H&E	2D spatial clockwork RNN
Xu et al. (2016d)	Segmentation of colon glands	H&E	Used three CNNs to predict gland and contour pixels
Xu et al. (2016a)	Segmenting epithelium & stroma	H&E, IHC	CNNs applied to over-segmented image regions (superpixels)
Detection and classification of disease			
Cruz-Roa et al. (2014)	Detection of invasive ductal carcinoma	H&E	CNN-based patch classifier
Xu et al. (2014)	Patch-level classification of colon cancer	H&E	Multiple instance learning framework with CNN features
Bychkov et al. (2016)	Outcome prediction of colorectal cancer	H&E	Extracted CNN features from epithelial tissue for prediction
Chang et al. (2017)	Multiple cancer tissue classification	Various	Transfer learning using multi-Scale convolutional sparse coding
Günhan Ertosun and Rubin (2015)	Grading glioma	H&E	Ensemble of CNNs
Källén et al. (2016)	Predicting Gleason score	H&E	OverFeat pre-trained network as feature extractor
Kim et al. (2016a)	Thyroid cytopathology classification	H&E, RM & Pap	Fine-tuning pre-trained AlexNet
Litjens et al. (2016)	Detection of prostate and breast cancer	H&E	fCNN-based pixel classifier
Quinn et al. (2016)	Malaria, tuberculosis and parasites detection	Light microscopy	CNN-based patch classifier
Rezaeilouyeh et al. (2016)	Gleason grading and breast cancer detection	H&E	The system incorporates shearlet features inside a CNN
Schaumberg et al. (2016)	SP0P mutation prediction of prostate cancer	H&E	Ensemble of ResNets
Wang et al. (2016b)	Metastases detection in lymph node	H&E	Ensemble of CNNs with hard negative mining
Other pathology applications			
Janowczyk et al. (2016a)	Stain normalization	H&E	Used SAE for classifying tissue and subsequent histogram matching
Janowczyk and Madabhushi (2016)	Deep learning tutorial	Various	Covers different detecting, segmentation, and classification tasks
Sethi et al. (2016)	Comparison of normalization algorithms	H&E	Presents effectiveness of stain normalization for application of CNNs

Table 6: Overview of papers using deep learning techniques for breast image analysis. MG = mammography; TS = tomosynthesis; US = ultrasound; ADN = Adaptive Deconvolution Network.

Reference	Modality	Method	Application; remarks
Sahiner et al. (1996)	MG	CNN	First application of a CNN to mammography
Jamieson et al. (2012)	MG, US	ADN	Four layer ADN, an early form of CNN for mass classification
Fonseca et al. (2015)	MG	CNN	Pre-trained network extracted features classified with SVM for breast density estimation
Akselrod-Ballin et al. (2016)	MG	CNN	Use a modified region proposal CNN (R-CNN) for the localization and classification of masses
Arevalo et al. (2016)	MG	CNN	Lesion classification, combination with hand-crafted features gave the best performance
Dalmis et al. (2016)	MRI	CNN	Breast and fibroglandular tissue segmentation
Dubrovina et al. (2016)	MG	CNN	Tissue classification using regular CNNs
Dhungel et al. (2016)	MG	CNN	Combination of different CNNs combined with hand-crafted features
Fotin et al. (2016)	TS	CNN	Improved state-of-the art for mass detection in tomosynthesis
Hwang and Kim (2016)	MG	CNN	Weakly supervised CNN for localization of masses
Huynh et al. (2016)	MG	CNN	Pre-trained CNN on natural image patches applied to mass classification
Kallenberg et al. (2016)	MG	SAE	Unsupervised CNN feature learning with SAE for breast density classification
Kisilev et al. (2016)	MG	CNN	R-CNN combined with multi-class loss trained on semantic descriptions of potential masses
Kooi et al. (2016)	MG	CNN	Improved the state-of-the art for mass detection and show human performance on a patch level
Qiu et al. (2016)	MG	CNN	CNN for direct classification of future risk of developing cancer based on negative mammograms
Samala et al. (2016a)	TS	CNN	Microcalcification detection
Samala et al. (2016b)	TS	CNN	Pre-trained CNN on mammographic masses transferred to tomosynthesis
Sun et al. (2016a)	MG	CNN	Semi-supervised CNN for classification of masses
Zhang et al. (2016c)	US	RBm	Classification benign vs. malignant with shear wave elastography
Kooi et al. (2017)	MG	CNN	Pre-trained CNN on mass/normal patches to discriminate malignant masses from (benign) cysts
Wang et al. (2017)	MG	CNN	Detection of cardiovascular disease based on vessel calcification

digital pathology and microscopy a very popular application area for deep learning techniques. The developed techniques applied to this domain focus on three broad challenges: (1) Detecting, segmenting, or classifying nuclei, (2) segmentation of large organs, and (3) detecting and classifying the disease of interest at the lesion- or WSI-level. Table 5 presents an overview for each of these categories.

Deep learning techniques have also been applied for normalization of histopathology images. Color normalization is an important research area in histopathology image analysis. In Janowczyk et al. (2016a), a method for stain normalization of hematoxylin and eosin (H&E) stained histopathology images was presented based on deep sparse auto-encoders. Recently, the importance of color normalization was demonstrated by Sethi et al. (2016) for CNN based tissue classification in H&E stained images.

The introduction of grand challenges in digital pathology has fostered the development of computerized digital pathology techniques. The challenges that evaluated existing and new approaches for analysis of digital pathology images are: EM segmentation challenge 2012 for the 2D segmentation of neuronal processes, mitosis detection challenges in ICPR 2012 and AMIDA 2013, GLAS for gland segmentation and, CAMELYON16 and TUPAC for processing breast cancer tissue samples.

In both ICPR 2012 and the AMIDA13 challenges on mitosis detection the IDSIA team outperformed other

algorithms with a CNN based approach (Cireşan et al. (2013)). The same team had the highest performing system in EM 2012 (Ciresan et al. (2012)) for 2D segmentation of neuronal processes. In their approach, the task of segmenting membranes of neurons was performed by mild smoothing and thresholding of the output of a CNN, which computes pixel probabilities.

GLAS addressed the problem of gland instance segmentation in colorectal cancer tissue samples. Xu et al. (2016d) achieved the highest rank using three CNN models. The first CNN classifies pixels as gland versus non-gland. From each feature map of the first CNN, edge information is extracted using the holistically nested edge technique, which uses side convolutions to produce an edge map. Finally, a third CNN merges gland and edge maps to produce the final segmentation.

CAMELYON16 was the first challenge to provide participants with WSIs. Contrary to other medical imaging applications, the availability of large amount of annotated data in this challenge allowed for training very deep models such as 22-layer GoogLeNet (Szegedy et al. (2014)), 16-layer VGG-Net (Simonyan and Zisserman (2014)), and 101-layer ResNet (He et al. (2015)). The top-five performing systems used one of these architectures. The best performing solution in the Camelyon16 challenge was presented in Wang et al. (2016b). This method is based on an ensemble of two GoogLeNet architectures, one trained with and one without hard-negative mining to tackle the chal-

lengen. The latest submission of this team using the WSI standardization algorithm by Ehteshami Bejnordi et al. (2017) achieved an AUC of 0.9935, for task 2, which outperformed the AUC of a pathologist (AUC = 0.966) who independently scored the complete test set.

The recently held TUPAC challenge addressed detection of mitosis in breast cancer tissue, and prediction of tumor grading at the WSI level. The top performing system by Paeng et al. (2016) achieved the highest performance in all tasks. The method has three main components: (1) Finding high cell density regions, (2) using a CNN to detect mitoses in the regions of interest, (3) converting the results of mitosis detection to a feature vector for each WSI and using an SVM classifier to compute the tumor proliferation and molecular data scores.

4.5. Breast

One of the earliest DNN applications from Sahiner et al. (1996) was on breast imaging. Recently, interest has returned which resulted in significant advances over the state of the art, achieving the performance of human readers on ROIs (Kooi et al. (2016)). Since most breast imaging techniques are two dimensional, methods successful in natural images can be easily transferred. With one exception, the only task addressed is the detection of breast cancer; this consisted of three subtasks: (1) detection and classification of mass-like lesions, (2) detection and classification of micro-calcifications, and (3) breast cancer risk scoring of images. Mammography is by far the most common modality and has consequently enjoyed the most attention. Work on tomosynthesis, US, and shear wave elastography is still scarce, and we have only one paper that analyzed breast MRI with deep learning; these other modalities will likely receive more attention in the next few years. Table 6 summarizes the literature and main messages.

Since many countries have screening initiatives for breast cancer, there should be massive amounts of data available, especially for mammography, and therefore enough opportunities for deep models to flourish. Unfortunately, large public digital databases are unavailable; older scanned screen-film data sets are still in use. Challenges such as the recently launched DREAM challenge have not yet had the desired success.

As a result, many papers used small data sets resulting in mixed performance. Several projects have addressed this issue by exploring semi-supervised learning (Sun et al. (2016a)), weakly supervised learning (Hwang and Kim (2016)), and transfer learning (Samala et al. (2016b); Kooi et al. (2017)). Another method combines deep models with handcrafted features (Dhungel

et al. (2016)), which have been shown to be complementary still, even for very big data sets (Kooi et al. (2016)). State of the art techniques for mass-like lesion detection and classification tend to follow a two-stage pipeline with a candidate detector; this design reduces the image to a set of potentially malignant lesions, which are fed to a deep CNN (Fotin et al. (2016); Kooi et al. (2016)). Alternatives use a region proposal network (R-CNN) that bypasses the cascaded approach (Akselrod-Ballin et al. (2016); Kisilev et al. (2016)).

When large data sets are available, good results can be obtained. At the SPIE Medical Imaging conference of 2016, a researcher from a leading company in the mammography CAD field told a packed conference room how a few weeks of experiments with a standard architecture (AlexNet) - trained on the company's proprietary database - yielded a performance that was superior to what years of engineering handcrafted feature systems had achieved (Fotin et al. (2016)).

4.6. Cardiac

Deep learning has been applied to many aspects of cardiac image analysis; the literature is summarized in Table 7. MRI is the most researched modality and left ventricle segmentation the most common task, but the number of applications is highly diverse: segmentation, tracking, slice classification, image quality assessment, automated calcium scoring and coronary centerline tracking, and super-resolution.

Most papers used simple 2D CNNs and analyzed the 3D and often 4D data slice by slice; the exception is Wolterink et al. (2016) where 3D CNNs were used. DBNs are used in four papers, but these all originated from the same author group. The DBNs are only used for feature extraction and are integrated in compound segmentation frameworks. Two papers are exceptional because they combined CNNs with RNNs: Poudel et al. (2016) introduced a recurrent connection within the U-net architecture to segment the left ventricle slice by slice and learn what information to remember from the previous slices when segmenting the next one. Kong et al. (2016) used an architecture with a standard 2D CNN and an LSTM to perform temporal regression to identify specific frames and a cardiac sequence. Many papers use publicly available data. The largest challenge in this field was the 2015 Kaggle Data Science Bowl where the goal was to automatically measure end-systolic and end-diastolic volumes in cardiac MRI. 192 teams competed for \$200,000 in prize money and the top ranking teams all used deep learning, in particular fCNN or U-net segmentation schemes.

Table 7: Overview of papers using deep learning techniques for cardiac image analysis.

Reference	Modality	Method	Application; remarks
Emad et al. (2015)	MRI	CNN	Left ventricle slice detection; simple CNN indicates if structure is present
Avendi et al. (2016)	MRI	CNN	Left ventricle segmentation; AE used to initialize filters because training data set was small
Kong et al. (2016)	MRI	RNN	Identification of end-diastole and end-systole frames from cardiac sequences
Oktay et al. (2016)	MRI	CNN	Super-resolution; U-net/ResNet hybrid, compares favorably with standard superresolution methods
Poudel et al. (2016)	MRI	RNN	Left ventricle segmentation; RNN processes stack of slices, evaluated on several public datasets
Rupprecht et al. (2016)	MRI	CNN	Cardiac structure segmentation; patch-based CNNs integrated in active contour framework
Tran (2016)	MRI	CNN	Left and right ventricle segmentation; 2D fCNN architecture, evaluated on several public data sets
Yang et al. (2016a)	MRI	CNN	Left ventricle segmentation; CNN combined with multi-atlas segmentation
Zhang et al. (2016b)	MRI	CNN	Identifying presence of apex and base slices in cardiac exam for quality assessment
Ngo et al. (2017)	MRI	DBN	Left ventricle segmentation; DBN is used to initialize a level set framework
Carneiro et al. (2012)	US	DBN	Left ventricle segmentation; DBN embedded in system using landmarks and non-rigid registration
Carneiro and Nascimento (2013)	US	DBN	Left ventricle tracking; extension of Carneiro et al. (2012) for tracking
Chen et al. (2016c)	US	CNN	Structure segmentation in 5 different 2D views; uses transfer learning
Ghesu et al. (2016b)	US	CNN	3D aortic valve detection and segmentation; uses shallow and deeper sparse networks
Nascimento and Carneiro (2016)	US	DBN	Left ventricle segmentation; DBN applied to patches steers multi-atlas segmentation process
Moradi et al. (2016a)	US	CNN	Automatic generation of text descriptions for Doppler US images of cardiac valves using doc2vec
Gülsün et al. (2016)	CT	CNN	Coronary centerline extraction; CNN classifies paths as correct or leakages
Lessmann et al. (2016)	CT	CNN	Coronary calcium detection in low dose ungated CT using multi-stream CNN (3 views)
Moradi et al. (2016b)	CT	CNN	Labeling of 2D slices from cardiac CT exams; comparison with handcrafted features
de Vos et al. (2016b)	CT	CNN	Detect bounding boxes by slice classification and combining 3 orthogonal 2D CNNs
Wolterink et al. (2016)	CT	CNN	Coronary calcium detection in gated CTA; compares 3D CNN with multi-stream 2D CNNs
Zeik et al. (2016)	CT	CNN	Left ventricle segmentation; multi-stream CNN (3 views) voxel classification

4.7. Abdomen

Most papers on the abdomen aimed to localize and segment organs, mainly the liver, kidneys, bladder, and pancreas (Table 8). Two papers address liver tumor segmentation. The main modality is MRI for prostate analysis and CT for all other organs. The colon is the only area where various applications were addressed, but always in a straightforward manner: A CNN was used as a feature extractor and these features were used for classification.

It is interesting to note that in two segmentation challenges - SLIVER07 for liver and PROMISE12 for prostate - more traditional image analysis methods were dominant up until 2016. In PROMISE12, the current second and third in rank among the automatic methods used active appearance models. The algorithm from IMorphics was ranked first for almost five years (now ranked second). However, a 3D fCNN similar to U-net (Yu et al. (2017)) has recently taken the top position. This paper has an interesting approach where a summation operation was used instead of the concatenation operation used in U-net, making it a hybrid between a ResNet and U-net architecture. Also in SLIVER07 - a 10-year-old liver segmentation challenge - CNNs have started to appear in 2016 at the top of the leaderboard, replacing previously dominant methods focused on shape and appearance modeling.

4.8. Musculoskeletal

Musculoskeletal images have also been analyzed by deep learning algorithms for segmentation and identification of bone, joint, and associated soft tissue abnormalities in diverse imaging modalities. The works are summarized in Table 9.

A surprising number of complete applications with promising results are available; one that stands out is Jamaludin et al. (2016) who trained their system with 12K discs and claimed near-human performances across four different radiological scoring tasks.

4.9. Other

This final section lists papers that address multiple applications (Table 10) and a variety of other applications (Table 11).

It is remarkable that one single architecture or approach based on deep learning can be applied without modifications to different tasks; this illustrates the versatility of deep learning and its general applicability. In some works, pre-trained architectures are used, sometimes trained with images from a completely different domain. Several authors analyze the effect of fine-tuning a network by training it with a small data set of images from the intended application domain. Combining features extracted by a CNN with ‘traditional’ features is also commonly seen.

From Table 11, the large number of papers that address obstetric applications stand out. Most papers address the groundwork, such as selecting an appropriate

Table 8: Overview of papers using deep learning for abdominal image analysis.

Reference	Topic	Modality	Method	Remarks
<i>Multiple</i>				
Hu et al. (2016a)	Segmentation	CT	CNN	3D CNN with time-implicit level sets for segmentation of liver, spleen and kidneys
<i>Segmentation tasks in liver imaging</i>				
Li et al. (2015)	Lesion	CT	CNN	2D 17×17 patch-based classification, Ben-Cohen et al. (2016) repeats this approach
Ben-Cohen et al. (2016)	Liver	CT	CNN	2D CNN similar to U-net, but without cross-connections; good results on SLIVER07
Christ et al. (2016)	Liver & tumor	CT	CNN	U-net, cascaded FCNN and dense 3D CRF
Dou et al. (2016a)	Liver	CT	CNN	3D CNN with conditional random field; good results on SLIVER07
Hoogi et al. (2016)	Lesion	CT/MRI	CNN	2D CNN obtained probabilities are used to drive active contour model
Hu et al. (2016b)	Liver	CT	CNN	3D CNN with surface evolution of a shape prior; good results on SLIVER07
Lu et al. (2017)	Liver	CT	CNN	3D CNN, competitive results on SLIVER07
<i>Kidneys</i>				
Lu et al. (2016)	Localization	CT	CNN	Combines local patch and slice based CNN
Ravishankar et al. (2016b)	Localization	US	CNN	Combines CNN with classical features to detect regions around kidneys
Thong et al. (2016)	Segmentation	CT	CNN	2D CNN with 43×43 patches, tested on 20 scans
<i>Pancreas segmentation in CT</i>				
Farag et al. (2015)	Segmentation	CT	CNN	Approach with elements similar to Roth et al. (2015b)
Roth et al. (2015b)	Segmentation	CT	CNN	Orthogonal patches from superpixel regions are fed into CNNs in three different ways
Cai et al. (2016a)	Segmentation	CT	CNN	2 CNNs detect inside and boundary of organ, initializes conditional random field
Roth et al. (2016a)	Segmentation	CT	CNN	2 CNNs detect inside and boundary of pancreas, combined with random forests
<i>Colon</i>				
Tajbakhsh et al. (2015b)	Polyp detection	Colonoscopy	CNN	CNN computes additional features, improving existing scheme
Liu et al. (2016a)	Colitis detection	CT	CNN	Pre-trained ImageNet CNN generates features for linear SVM
Nappi et al. (2016)	Polyp detection	CT	CNN	Substantial reduction of false positives using pre-trained and fine-tuned CNN
Tachibana et al. (2016)	Electronic cleansing	CT	CNN	Voxel classification in dual energy CT, material other than soft tissue is removed
Zhang et al. (2017)	Polyp detection	Colonoscopy	CNN	Pre-trained ImageNet CNN for feature extraction, two SVMs for cascaded classification
<i>Prostate segmentation in MRI</i>				
Liao et al. (2013)	Application of stacked independent subspace analysis networks			
Cheng et al. (2016b)	CNN produces energy map for 2D slice based active appearance segmentation			
Guo et al. (2016)	Stacked sparse auto-encoders extract features from patches, input to atlas matching and a deformable model			
Milletari et al. (2016b)	3D U-net based CNN architecture with objective function that directly optimizes Dice coefficient, ranks #5 in PROMISE12			
Yu et al. (2017)	3D fully convolutional network, hybrid between a ResNet and U-net architecture, ranks #1 on PROMISE12			
<i>Prostate</i>				
Azizi et al. (2016)	Lesion classification	US	DBN	DBN learns features from temporal US to classify prostate lesions benign/malignant
Shah et al. (2016)	CBIR	MRI	CNN	Features from pre-trained CNN combined with features from hashing forest
Zhu et al. (2017)	Lesion classification	MRI	SAE	Learns features from multiple modalities, hierarchical random forest for classification
<i>Bladder</i>				
Cha et al. (2016)	Segmentation	CT	CNN	CNN patch classification used as initialization for level set

Table 9: Overview of papers using deep learning for musculoskeletal image analysis.

Reference	Modality	Application; remarks
Prasoon et al. (2013)	MRI	Knee cartilage segmentation using multi-stream CNNs
Chen et al. (2015c)	CT	Vertebrae localization; joint learning of vertebrae appearance and dependency on neighbors using CNN
Roth et al. (2015c)	CT	Sclerotic metastases detection; random 2D views are analyzed by CNN and aggregated
Shen et al. (2015a)	CT	Vertebrae localization and segmentation; CNN for segmenting vertebrae and for center detection
Suzani et al. (2015)	MRI	Vertebrae localization, identification and segmentation of vertebrae; CNN used for initial localization
Yang et al. (2015)	MRI	Anatomical landmark detection; uses CNN for slice classification for presence of landmark
Antony et al. (2016)	X-ray	Osteoarthritis grading; pre-trained ImageNet CNN fine-tuned on knee X-rays
Cai et al. (2016b)	CT, MRI	Vertebrae localization; RBM determines position, orientation and label of vertebrae
Golan et al. (2016)	US	Hip dysplasia detection; CNN with adversarial component detects structures and performs measurements
Korez et al. (2016)	MRI	Vertebral bodies segmentation; voxel probabilities obtained with a 3D CNN are input to deformable model
Jamaludin et al. (2016)	MRI	Automatic spine scoring; VGG-19 CNN analyzes vertebral discs and finds lesion hotspots
Miao et al. (2016)	X-ray	Total Knee Arthroplasty kinematics by real-time 2D/3D registration using CNN
Roth et al. (2016c)	CT	Posterior-element fractures detection; CNN for 2.5D patch-based analysis
Stern et al. (2016)	MRI	Hand age estimation; 2D regression CNN analyzes 13 bones
Forsberg et al. (2017)	MRI	Vertebrae detection and labeling; outputs of two CNNs are input to graphical model
Spampinato et al. (2017)	X-ray	Skeletal bone age assessment; comparison among several deep learning approaches for the task at hand

Table 10: Overview of papers using a single deep learning approach for different tasks. DQN = Deep Q-Network

Reference	Task	Modality	Method	Remarks
Shin et al. (2013)	Heart, kidney, liver segmentation	MRI	SAE	SAE to learn temporal/spatial features on 2D + time DCE-MRI
Roth et al. (2015a)	2D slice classification	CT	CNN	Automatically classifying slices in 5 anatomical regions
Shin et al. (2015)	2D key image labeling	CT, MRI	CNN	Text and 2D image analysis on a diverse set of 780 thousand images
Cheng et al. (2016a)	Various detection tasks	US, CT	AE, CNN	Detection of breast lesions in US and pulmonary nodules in CT
Ghesu et al. (2016a)	Landmark detection	US, CT, MRI	CNN, DQN	Reinforcement learning with CNN features, cardiac MR/US, head&neck CT
Liu et al. (2016b)	Image retrieval	X-ray	CNN	Combines CNN feature with Radon transform, evaluated on IRMA database
Merkow et al. (2016)	Vascular network segmentation	CT, MRI	CNN	Framework to find various vascular networks
Moeskops et al. (2016b)	Various segmentation tasks	MRI, CT	CNN	Single architecture to segment 6 brain tissues, pectoral muscle & coronaries
Roth et al. (2016b)	Various detection tasks	CT	CNN	Multi-stream CNN to detect sclerotic lesions, lymph nodes and polyps
Shin et al. (2016b)	Abnormality detection	CT	CNN	Compares architectures for detecting interstitial disease and lymph nodes
Tajbakhsh et al. (2016)	Abnormality detection	CT, US	CNN	Compares pre-trained with fully trained networks for three detection tasks
Wang et al. (2016e)	2D key image labeling	CT, MRI	CNN	Text concept clustering, related to Shin et al. (2015)
Yan et al. (2016)	2D slice classification	CT	CNN	Automatically classifying CT slices in 12 anatomical regions
Zhou et al. (2016)	Thorax-abdomen segmentation	CT	CNN	21 structures are segmented with 3 orthogonal 2D fCNNs and majority voting

Table 11: Overview of papers using deep learning for various image analysis tasks.

Reference	Task	Modality	Method	Remarks
<i>Fetal imaging</i>				
Chen et al. (2015b)	Frame labeling	US	CNN	Locates abdominal plane from fetal ultrasound videos
Chen et al. (2015a)	Frame labeling	US	RNN	Same task as Chen et al. (2015b), now using RNNs
Baumgartner et al. (2016)	Frame labeling	US	CNN	Labeling 12 standard frames in 1003 mid pregnancy fetal US videos
Gao et al. (2016d)	Frame labeling	US	CNN	4 class frame classification using transfer learning with pre-trained networks
Kumar et al. (2016)	Frame labeling	US	CNN	12 standard anatomical planes, CNN extracts features for support vector machine
Rajchl et al. (2016b)	Segmentation with non expert labels	MRI	CNN	Crowd-sourcing annotation efforts to segment brain structures
Rajchl et al. (2016a)	Segmentation given bounding box	MRI	CNN	CNN and CRF for segmentation of structures
Ravishankar et al. (2016a)	Quantification	US	CNN	Hybrid system using CNN and texture features to find abdominal circumference
Yu et al. (2016b)	Left ventricle segmentation	US	CNN	Frame-by-frame segmentation by dynamically fine-tuning CNN to the latest frame
<i>Dermatology</i>				
Codeila et al. (2015)	Melanoma detection in dermoscopic images	CNN	Features from pre-trained CNN combined with other features	
Demyanov et al. (2016)	Pattern identification in dermoscopic images	CNN	Comparison to simpler networks and simple machine learning	
Kawahara et al. (2016a)	5 and 10-class classification photographic images	CNN	Pre-trained CNN for feature extraction at two image resolutions	
Kawahara and Hamarneh (2016)	10-class classification photographic images	CNN	Extending Kawahara et al. (2016a) now training multi-resolution CNN end-to-end	
Yu et al. (2016a)	Melanoma detection in dermoscopic images	CNN	Deep residual networks for lesion segmentation and classification, winner ISIC16	
Menegola et al. (2016)	Classification of dermoscopic images	CNN	Various pre-training and fine-tuning strategies are compared	
Esteva et al. (2017)	Classification of photographic and dermoscopic images	CNN	Inception CNN trained on 129k images; compares favorably to 29 dermatologists	
<i>Lymph nodes</i>				
Roth et al. (2014)	Lymph node detection	CT	CNN	Introduces multi-stream framework of 2D CNNs with orthogonal patches
Barbu et al. (2016)	Lymph node detection	CT	CNN	Compares effect of different loss functions
Nogues et al. (2016)	Lymph node detection	CT	CNN	2 fCNNs, for inside and for contour of lymph nodes, are combined in a CRF
<i>Other</i>				
Wang et al. (2015)	Wound segmentation	photographs	CNN	Additional detection of infection risk and healing progress
Ypsilantis et al. (2015)	Chemotherapy response prediction	PET	CNN	CNN outperforms classical radiomics features in patients with esophageal cancer
Zheng et al. (2015)	Carotid artery bifurcation detection	CT	CNN	Two stage detection process, CNNs combined with Haar features
Alansary et al. (2016)	Placenta segmentation	MRI	CNN	3D multi-stream CNN with extension for motion correction
Fritscher et al. (2016)	Head&Neck tumor segmentation	CT	CNN	3 orthogonal patches in 2D CNNs, combined with other features
Jaumard-Hakoun et al. (2016)	Tongue contour extraction	US	RBM	Analysis of tongue motion during speech, combines auto-encoders with RBMs
Payer et al. (2016)	Hand landmark detection	X-ray	CNN	Various architectures are compared
Quinn et al. (2016)	Disease detection	microscopy	CNN	Smartphone mounted on microscope detects malaria, tuberculosis & parasite eggs
Smistad and Løvstakken (2016)	Vessel detection and segmentation	US	CNN	Femoral and carotid vessels analyzed with standard fCNN
Twinanda et al. (2017)	Task recognition in laparoscopy	Videos	CNN	Fine-tuned AlexNet applied to video frames
Xu et al. (2016c)	Cervical dysplasia	cervigrams	CNN	Fine-tuned pre-trained network with added non-imaging features
Xue et al. (2016)	Esophageal microvessel classification	Microscopy	CNN	Simple CNN used for feature extraction
Zhang et al. (2016a)	Image reconstruction	CT	CNN	Reconstructing from limited angle measurements, reducing reconstruction artefacts
Lekadir et al. (2017)	Carotid plaque classification	US	CNN	Simple CNN for characterization of carotid plaque composition in ultrasound
Ma et al. (2017)	Thyroid nodule detection	US	CNN	CNN and standard features combines for 2D US analysis

frame from an US stream. More work on automated measurements with deep learning in these US sequences is likely to follow.

The second area where CNNs are rapidly improving the state of the art is dermoscopic image analysis. For a long time, diagnosing skin cancer from photographs was considered very difficult and out of reach for computers. Many studies focused only on images obtained with specialized cameras, and recent systems

based on deep networks produced promising results. A recent work by Esteva et al. (2017) demonstrated excellent results with training a recent standard architecture (Google's Inception v3) on a data set of both dermoscopic and standard photographic images. This data set was two orders of magnitude larger than what was used in literature before. In a thorough evaluation, the proposed system performed on par with 30 board certified dermatologists.

5. Concluding remarks

From the 306 papers reviewed in this survey, it is evident that deep learning has pervaded every aspect of medical image analysis. This has happened extremely quickly: the vast majority of contributions, 240 papers, were published in 2016 or 2017. A large diversity of deep architectures have been applied to medical image analysis tasks. Early studies focused on pre-trained CNNs and used them for feature extractors. The fact that these pre-trained networks could simply be downloaded and directly applied to any medical image facilitated their use. Moreover, already existing feature based systems could simply be extended with more features. In the last two years, however, we have seen that end-to-end trained CNNs have become the preferred approach for medical imaging interpretation (see Figure 1). Such CNNs are often integrated into existing pipelines and replace traditional methods. This is the approach followed by the largest group of papers in this survey and we can confidently state that this is the current standard practice.

The lack of large training data sets has been repeatedly mentioned as a challenge to apply deep learning algorithms to medical data. However, this notion is wrong. The use of PACS systems has been routine in most western hospitals for at least a decade and these are filled with millions of images. There are few other domains where this magnitude of imaging data, acquired for specific purposes, are digitally available in well-structured archives. Moreover, increasingly large public data sets are made available: Esteva et al. (2017) used 18 public data sets and more than 10^5 training images; in the Kaggle DR competition a similar number of retinal images were released; and several chest x-ray studies used more than 10^4 images. We expect to see many more examples in the near future of relatively standard CNN architectures leveraging large data sets and achieving excellent results. The competitions, challenges, and large public datasets that are available for medical image analysis are a great help in this regard.

We identify two remaining challenges in acquiring training data for medical image analysis. One is gaining access to medical archives. These archives are mostly located in closed proprietary databases in hospitals and privacy regulations may impede distribution and access to the data. The other challenge is obtaining annotated image data in a systematic fashion, like the WordNet hierarchy available for ImageNet. A wealth of clinical information is, however, available in electronic patient records and text reports made by specialists, describing their findings in natural language. This information

was leveraged by Schlegl et al. (2015) to compensate the lack of annotated data in retinal images. Advances in the computer vision community with respect to caption generation in natural images, combining text and image analysis with RNNs and CNNs, will likely soon make their way into medical image analysis.

For some applications, human expert level performance has already been reached (see Section 4). This level of performance is generally obtained using deeper or task-specific architectures, such as Google Inception network, ResNet or U-net. We expect that more tasks-specific architectures will start appearing in the coming years as well, for example in registration and content-based image retrieval.

We also foresee deep learning approaches will be used for related tasks in medical imaging, currently unexplored, such as image reconstruction (see Wang (2016)). Deep learning will thus not only have a great impact in medical image analysis, but in medical imaging as a whole.

Acknowledgments

The authors would like to thank members of the Diagnostic Image Analysis Group for discussions and suggestions. This research was funded by grants KUN 2012-5577, KUN 2014-7032, and KUN 2015-7970 of the Dutch Cancer Society.

Appendix A: Literature selection

Pubmed was searched for papers containing "convolutional" OR "deep learning" in any field. ArXiv was searched for papers mentioning one of a set of terms related to medical imaging. Conference proceedings for MICCAI (including workshops), SPIE, ISBI and EMBC were searched based on titles of papers. We checked references in all selected papers and consulted colleagues. Papers not reporting results on medical image data or only using standard feed-forward neural networks with handcrafted features were excluded. When largely overlapping work had been reported in multiple publications, only the publication deemed most important was included.

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