



Fidelity Investments
Client Service Operations
P.O. Box 770003
Cincinnati, OH 45277-0065

Incoming Rollover Instructions

Plan Name: **Oracle Corporation 401(k) Savings and Investment Plan**

Plan Number: **35004**

"Rolling over" money into the Oracle Corporation 401(k) Savings and Investment Plan is a three-step process. Please follow these instructions to ensure that this process is completed in a timely and accurate manner. *Please note:* Failure to follow these instructions may result in a delay in the processing of your request and may jeopardize your ability to roll over your distribution.

Step 1: Request your distribution

Request a direct rollover distribution from your previous eligible retirement plan. See Section Two of the attached Incoming Rollover Contribution Application for a list of the types of plans or accounts from which rollovers may be made to the Oracle Corporation 401(k) Savings and Investment Plan.

There are two check-payable distribution options:

Option 1: The check can be made payable to Fidelity Investments Institutional Operations Company, Inc. (or FIIOC), for the benefit of **(YOUR NAME)**. The check must be from the distributing trustee or custodian. (Personal checks are not acceptable.) **Note:** This type of distribution avoids automatic income tax withholding. Also, it avoids the possible 10% early withdrawal penalty if you are under the age of 59½.

Option 2: If the distribution was originally made payable directly to you, you must send your rollover contribution to Fidelity via a certified check or money order only for the amount you are rolling over. (Personal checks are not acceptable.) **Note:** If your distribution is initially received as a check made payable to you, your rollover must be completed within 60 days of receipt of the distribution check. Your previous administrator will be required to withhold income taxes. As a result, you will not be able to roll over 100% of your eligible distribution unless you have extra savings available to make up the amount withheld. You must also roll over that amount within 60 days of receipt of your distribution check. If you do not make up the amount withheld, that amount will be considered a withdrawal from the previous program and the taxable portion will be subject to ordinary income taxes, and possibly to a 10% early withdrawal penalty.

Fidelity does not accept wire transfers of funds. You must request a CHECK from your previous plan or IRA.

The check should be mailed directly to you. Once you have received the check, please follow the directions in Step 2.

Step 2: Complete ONE of the following rollover application options

Option 1: Open the NetBenefits® mobile app and choose "Explore rollovers" from the "Take Action" section on the home screen for simple and guided steps. This option allows you to deposit your rollover check using your phone's camera similar to mobile banking. **STOP HERE – you do not need to complete this form or send in your rollover check if you uploaded it using the mobile app.**

Option 2: Complete the enclosed **Incoming Rollover Contribution Application** and move to **Step 3**

Please be sure to complete all items and sign the form where indicated.

On the application, use the Investment Elections section to select the investment options into which you wish to invest your rollover contribution. You may choose as many investment options as you wish from the options in the Plan. The quantity and selection of available investment options are determined by the Oracle Corporation. If you are not choosing to have your monies invested according to your current investment election on file, please indicate a percentage for each investment option chosen. **The percentage must be in whole numbers (e.g., 34%, instead of 33.5%) for each investment option chosen and must total 100%. If your investment elections do not total 100%, your documentation and check will be returned to you.**

If you are not sure of the plan type that you are rolling out of, please contact your previous plan sponsor or IRA Custodian for verification. An incorrect plan type could invalidate your rollover.

Step 3: Mail the completed **Incoming Rollover Contribution Application (1)** and the check (2) to:

First Class Mail with stamp:

Fidelity Investments
Client Service Operations
P.O. Box 770003
Cincinnati, OH 45277-0065

Overnight Address:

Fidelity Investments
Client Service Operations(KC1F-L)
100 Crosby Parkway
Covington, KY 41015

Please include all the information requested. Incomplete forms and the accompanying check will be returned to you and may jeopardize your ability to roll over your distribution.

Once your contribution is accepted into the Oracle Corporation 401(k) Savings and Investment Plan, you can log on to Fidelity NetBenefits® at www.netbenefits.com/oracle to view your rollover contribution or change your rollover investment election(s). Please allow at least seven business days for processing. If you have any questions about rollover contributions, call **1-800-410-2363**.

Please be sure you have your updated beneficiary information for the Plan on file. To establish or change your beneficiary information for the Oracle Corporation Savings and Investment Plan, log on to Fidelity NetBenefits at www.netbenefits.com/oracle and go to the **Beneficiaries** section on the **Profile** page.

You should make a copy of your rollover check and the Incoming Rollover Contribution Application for your records.

Incoming Rollover Contribution Application

Plan Name: **Oracle Corporation 401(k) Savings and Investment Plan**

Plan Number: **35004**

Section One: Participant Information (please print)

The following section must be completed entirely to ensure that your account is properly set up.

Social Security #: Hire Date: ____/____/____ Birth Date: ____/____/____

Participant Name (first, MI, last): _____

Participant Address: _____

City: _____ State: _____ ZIP: _____

Phone (day): _____ Phone (evening): _____

Section Two: Rollover Contribution Information

Acceptable rollover sources

The Plan will accept rollovers of eligible distributions you receive as a participant from the following types of plans: qualified retirement plans (including 401(k) plans) and 403(b) plans (plans of tax-exempt organizations). The Plan will also accept rollovers of eligible distributions you may receive as a spousal beneficiary in one of these plans, and eligible distributions you may receive as an alternate payee under a QDRO. Additionally, the Plan will accept rollovers of taxable amounts from conduit ("rollover") IRAs and direct rollovers of after-tax and Roth 401(k) monies from a qualified retirement plan.

Please note: Making rollover contributions to the Oracle Corporation Employee Savings and Investment Plan that consist of assets other than qualified 401(a) plan assets, or Conduit IRA (Rollover IRA) assets, may result in the loss of favorable capital gains or 10-year income averaging tax treatment associated with lump sum distributions from the Oracle Corporation Employee Savings and Investment Plan. If you may be eligible for this special tax treatment, you should consult your tax advisor and carefully consider the impact of making a rollover contribution to the Oracle Corporation Employee Savings and Investment Plan. One of the requirements for these special tax treatments is that you must have been born in 1935 or earlier. Please talk to your tax advisor for additional information and review the special tax notice to determine if you're eligible.

Enclosed Contribution:

\$ _____ Pre-Tax Dollars

\$ _____ Roth 401(k) Contributions

Date of first Roth 401(k) contribution _____

\$ _____ Roth 401(k) Earnings

\$ _____ Traditional After-Tax Contributions

\$ _____ Traditional After-Tax Earnings

\$ _____ **TOTAL (should equal the rollover check(s) amount)**

Please provide the following information concerning the origin of this rollover:

Plan name: _____

☐

401(a) Plan, including a 401(k) plan

☐

403(b) Plan

☐

Conduit IRA (rollover IRA)

Unacceptable rollover sources

The Plan cannot accept money from the following sources: 457(b) plans, 403(a) plans, rollovers from beneficiary accounts (other than from a spouse), payments over a life expectancy or a period of 10 or more years, or mandatory age 73 distributions. Also unacceptable are Roth IRAs, Coverdell Education Savings Accounts (CESAs), and non-conduit IRAs (traditional IRAs). In-kind distributions of employer stock are not acceptable; therefore, stock must be sold and the proceeds (including any appreciation realized through the date of distribution) may be rolled over.

Section Three: Investment Elections

Please indicate your investment election in whole percentages among the investment options shown below (the total percentage must equal 100%). Your investment election below will apply only to this rollover contribution.

Be sure to read the mutual fund(s) prospectus(es) or fund fact sheets before choosing your investment option(s). Prospectuses for the registered mutual funds and fund fact sheets for investment options that are not registered mutual funds are available on NetBenefits or by calling Fidelity Investments at 1-800-410-2363.

To make an investment election or to request a fund prospectus please log on to www.netbenefits.com/oracle. You can choose from the available investment options for the Oracle Corporation 401(k) Savings and Investment Plan from the list below, or you can choose to have your rollover invested according to your current elections.

☐ I direct Fidelity to invest my rollover contribution into my current investment mix applicable to rolling contributions as displayed in NetBenefits®. If I have not selected an investment mix on my own via NetBenefits® or by telephone, I understand that this rollover contribution will be invested in my Plan's default investment option.

☐ I direct Fidelity to invest my rollover contribution to the investment mix allocation that I choose from the list below.

Investment options for the Oracle Corporation 401(k) Savings and Investment Plan	Fund Code	Percent
Galliard Stable Value Fund	GDLR	%
Broad Market Bond Portfolio	TDP1	%
Vanguard Institutional Total Bond Market Index Trust	OZ6W	%
PIMCO Inflation Response Multi Asset Fund Institutional	OUPS	%
Fidelity Balanced Fund Class K	2077	%
Dodge & Cox Stock Fund	OQ5E	%
Vanguard Institutional 500 Index Trust	OZ6X	%
Vanguard Target Retirement 2020 Trust	O5Y2	%
Vanguard Target Retirement 2025 Trust	O5Y3	%
Vanguard Target Retirement 2030 Trust	O5Y4	%
Vanguard Target Retirement 2035 Trust	O5Y5	%
Vanguard Target Retirement 2040 Trust	O5Y6	%
Vanguard Target Retirement 2045 Trust	O5Y7	%
Vanguard Target Retirement 2050 Trust	O5Y8	%
Vanguard Target Retirement 2055 Trust	O5Y9	%
Vanguard Target Retirement 2060 Trust	O5Z0	%
Vanguard Target Retirement 2065 Trust	O5Z1	%
Vanguard Target Retirement 2070 Trust	O6TD	%
Vanguard Target Retirement Income Trust	O5Y0	%
Fidelity <i>Contrafund</i> ® Commingled Pool Class S	7529	%
Fidelity Growth Company Commingled Pool Class S	7530	%
Fidelity Low-Priced Stock Commingled Pool Class O	4004	%
US Small-Mid Cap Value Stock Portfolio	TD36	%
William Blair Small Mid Cap Growth Separate Account	TDOL	%
Vanguard Institutional Extended Market Index Trust	OZ6Y	%
Artisan International Separate Account	TDH7	%
Dodge & Cox International Stock Fund	OQ5F	%
Fidelity Worldwide Fund	0318	%
Vanguard Institutional Total International Stock Market Index Trust	OGME	%
Emerging Markets Stock Portfolio	TF2N	%
Oracle Common Stock Fund	TCOR	%
Total		100%

Note: Any investment election chosen on this form will take precedence over any other prior investment election that Fidelity Investments may have on its system for a rollover contribution.

This Plan also has Fidelity BrokerageLink® as an option. If you do not currently have a BrokerageLink account, you are not eligible to roll assets into this option.

If you would like more information, or to set up a Fidelity BrokerageLink® account, you must contact the Fidelity Retirement Benefits Line at 1-800-410-2363 to request a Fidelity BrokerageLink® Acknowledgement Form.

Shareholders may be subject to certain short-term trading fees. Please consult the prospectus for further information.

Section Four: Participant Certification

I authorize the investment election for this rollover and acknowledge that I have received information detailing my available investment options.

I certify that this rollover amount is composed ONLY of money from acceptable sources listed under Section Two, and I have completed the information regarding the source of this money to the best of my knowledge. Also, if the distribution check was made payable to me, I understand that this rollover must be received and deposited to my account within 60 days of receipt of the distribution check. I understand that, once invested, these monies will be subject to the terms that govern the Oracle Corporation Employee Savings and Investment Plan.

Signature of Employee

Date

Application must be signed, or form and check will be returned to you.

Fidelity Investments Institutional Operations Company LLC.

For more information about the Oracle 401(k) Plan, go to

www.netbenefits.com/oracle